

# Designing and Implementing Cardio-oncology Safety Registries

Daniel J Lenihan, MD  
Professor, Division of Cardiovascular Medicine  
Director, Clinical Research

# Presenter Disclosure Information

## FDA CV toxicity in Oncology Workshop

### 9.22.16

- I **will not** discuss off label use or investigational use in my presentation.
- I **have** financial relationships to disclose:
  - Research support from: Acorda, Inc; Takeda, Inc.
  - Consultant (modest): Roche, Amgen, Prothena, BMS

NCI Community Oncology Cardiotoxicity Task Force 2013  
**Important research questions**

- Identify the clinical factors related to recovery of ventricular function
- Understand the impact on cancer outcomes of discontinuation of chemotherapy resulting from cardiotoxicity.
- Clarify the cardiac risk factors and cancer related characteristics that accompany cardiac dysfunction in patients receiving cancer therapy

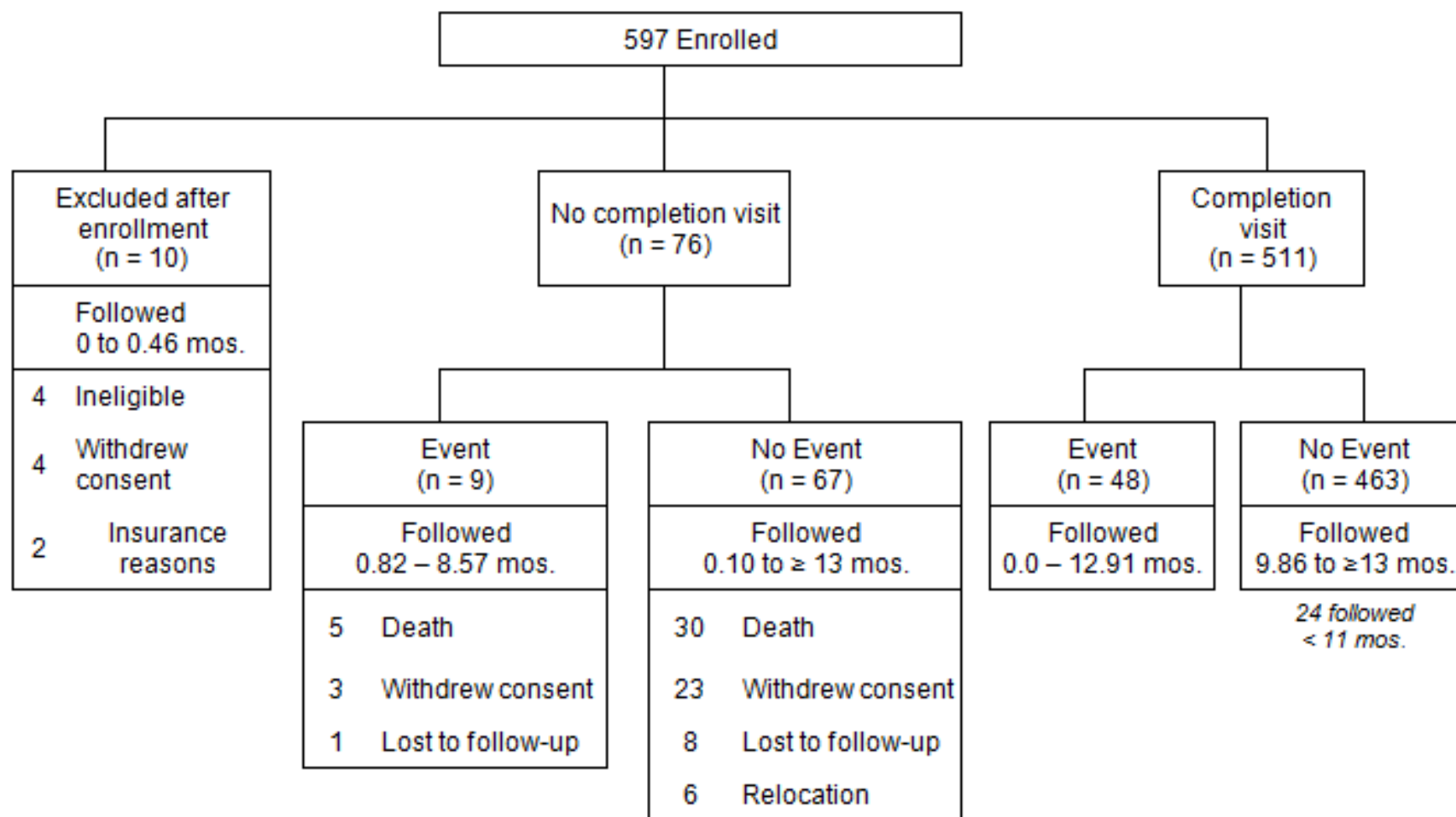
# PREDICT Study Overview:

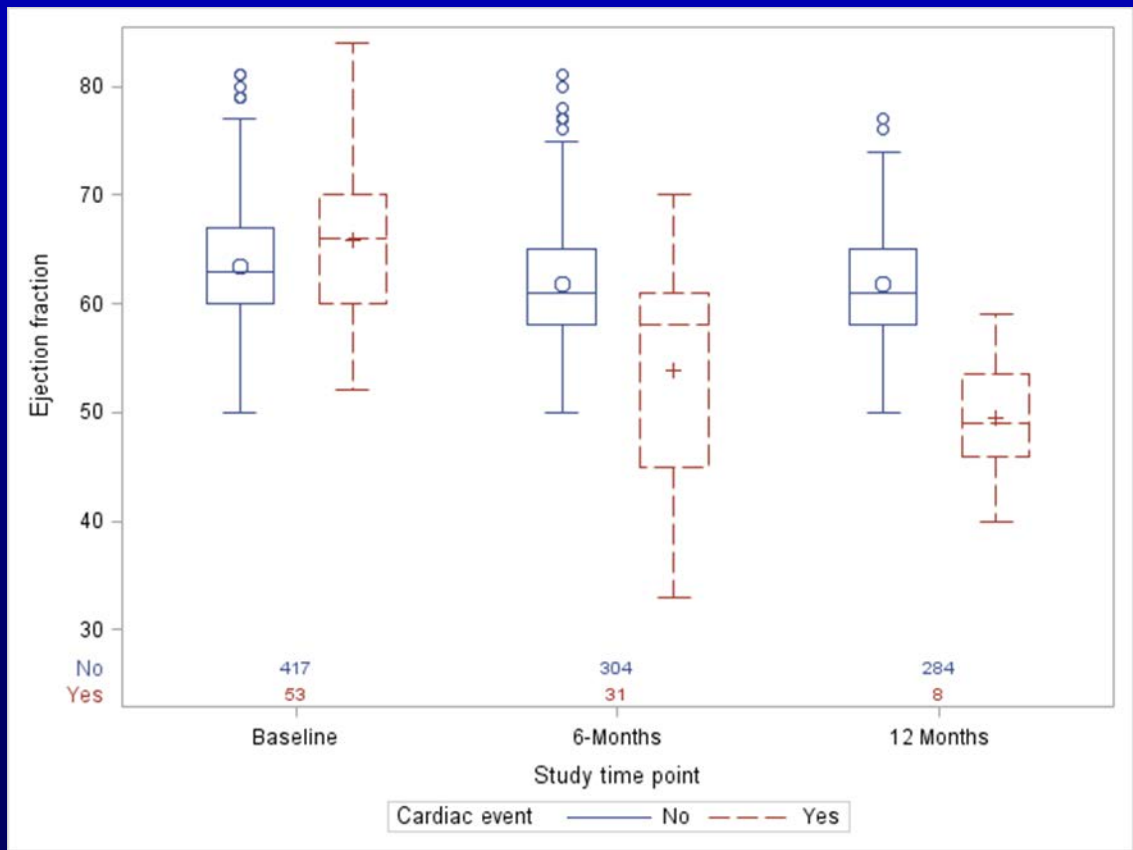
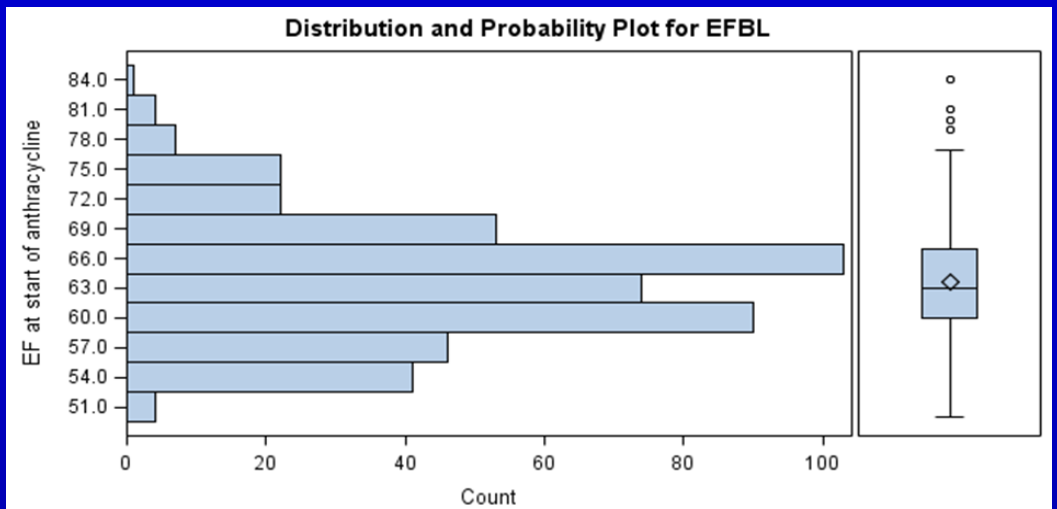
A multicenter study in **P**atients undergoing anthracycline-based chemotherapy to assess the **E**ffectiveness of using biomarkers to **D**etect and **I**dentify **C**ardiotoxicity and describe **T**reatment

The Predict Study Team

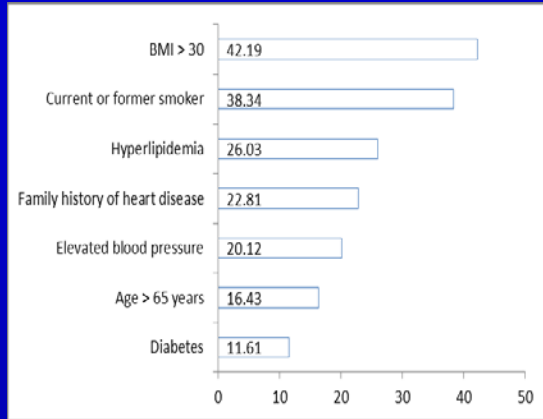
(Lenihan, Ky, Warneke, Lagrone, Feng, Fisch)

# PREDICT Study flow diagram





# PREDICT: Clinical Predictors of Cardiotoxicity



Univariate  
predictors of  
cardiotoxicity

Label	Value	Event		Total	Cases (%)	Odds ratio			ChiSq	P
		Yes	No			OR	95% CL			
							Lower	Upper		
<b>BNP at start of anthracycline</b>	(per unit increase)	53	431	484	(10.95)	1.011	1.003	1.018	8.1869	0.0042
<b>Baseline BNP</b>	BNP 50 or more	13	64	77	(16.88)	1.864	0.944	3.678	3.2224	0.0726
	BNP < 50	40	367	407	(9.83)	1.000	.	.	.	.
<b>Baseline BNP</b>	BNP 100 or more	7	13	20	(35.00)	4.893	1.859	12.881	10.3364	0.0013
	BNP < 100	46	418	464	(9.91)	1.000	.	.	.	.
<b>Age at registration (years)</b>	(per unit increase)	55	438	493	(11.16)	1.048	1.023	1.074	14.8385	0.0001
<b>Sex</b>	Male	19	61	80	(23.75)	3.262	1.758	6.053	14.0601	0.0002
	Female	36	377	413	(8.72)	1.000	.	.	.	.
<b>Race /ethnicity 2 category</b>	NonWhite or Hispanic	19	124	143	(13.29)	1.298	0.717	2.351	0.7425	0.3889
	White, nonHispanic	36	305	341	(10.56)	1.000	.	.	.	.
<b>Smoking status</b>	Current smoker	11	59	70	(15.71)	1.642	0.781	3.452	1.7100	0.1910
	Previous smoker	13	106	119	(10.92)	1.080	0.544	2.143	0.0485	0.8257
	Nonsmoker	31	273	304	(10.20)	1.000	.	.	.	.
<b>Cancer diagnosis</b>	Lymphoma	20	96	116	(17.24)	2.335	1.264	4.312	7.3382	0.0068
	Other	6	17	23	(26.09)	3.957	1.448	10.811	7.1923	0.0073
	Breast	29	325	354	(8.19)	1.000	.	.	.	.
<b>Cancer diagnosis</b>	Other	26	113	139	(18.71)	2.579	1.457	4.564	10.5725	0.0011
	Breast	29	325	354	(8.19)	1.000	.	.	.	.
<b>Number of cardiac risk factors (of 17, including age)</b>	(per unit increase)	55	438	493	(11.16)	1.285	1.076	1.536	7.6404	0.0057
<b>Chemotherapy prior to baseline</b>	Yes	9	30	39	(23.08)	2.661	1.190	5.951	5.6816	0.0171
	No	46	408	454	(10.13)	1.000	.	.	.	.



Are there things on the cancer therapy horizon that could be concerning for heart failure or serious cardiac events?



# Cardiovascular SAEs in RCTs

## Phase 3 Carfilzomib Trials

- ASPIRE Trial

**Table 3. Adverse Events in the Safety Population.\***

Event	Carfilzomib Group (N=392)		Control Group (N=389)	
	All Grades	Grade 3 or Higher	All Grades	Grade 3 or Higher
	<i>number of patients (percent)</i>			
Dyspnea	76 (19.4)	11 (2.8)	58 (14.9)	7 (1.8)
Hypertension	56 (14.3)	17 (4.3)	27 (6.9)	7 (1.8)
Acute renal failure†	33 (8.4)	13 (3.3)	28 (7.2)	12 (3.1)
Cardiac failure‡	25 (6.4)	15 (3.8)	16 (4.1)	7 (1.8)
Ischemic heart disease§	23 (5.9)	13 (3.3)	18 (4.6)	8 (2.1)

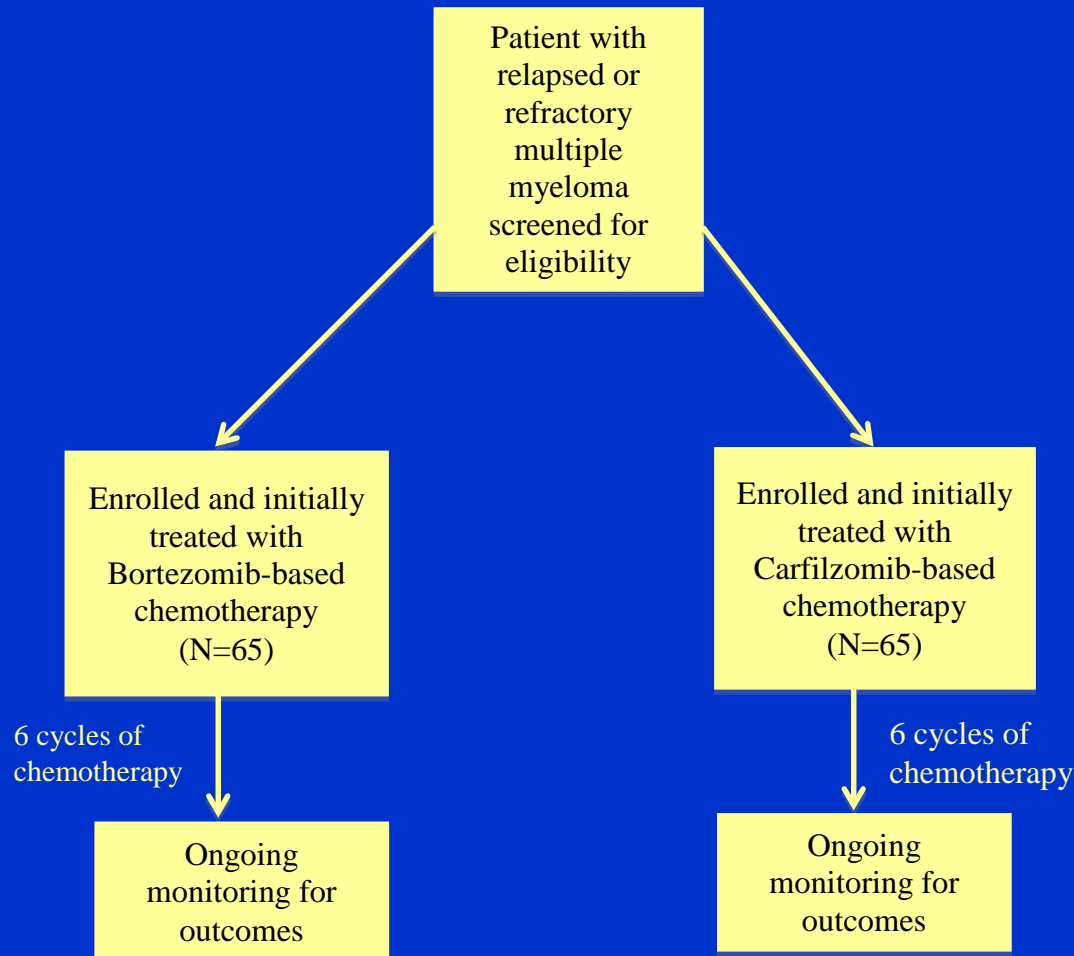
Total Cardiac AEs	26.6%	11.4%	15.6%	5.7%
-------------------	-------	-------	-------	------

Total Cardiac AEs + Dyspnoea	46%	14.2%	30.5%	7.5%
---------------------------------	-----	-------	-------	------

DVT/PE	10.2%		6.2%	
--------	-------	--	------	--

# Understanding Cardiac Issues in Multiple Myeloma patients: An ongoing Prospective Observation of Cardiac Safety with Proteasome Inhibition (PROTECT) study

- This is a prospective, non-randomized, non-interventional, multi-institutional study.
- 130 patients will be enrolled, who will be initiated with either (1) Bortezomib-based (BOR) or (2) Carfilzomib-based (CAR) therapy based on hematologist's decision



## Sites:

Vanderbilt University Medical Center  
University of Pennsylvania  
\*Dana Farber at Harvard  
\*University of Alabama

\*pending

# Schedule of Cardiac Safety Monitoring

Study Visits / Procedures	Baseline Assessments	Cycle 1 Visit <sup>‡</sup>		Cycle 2 Visit <sup>‡</sup>		Cycle 3 Visit <sup>‡</sup>		Cycle 4 Visit <sup>‡</sup>		Cycle 5 Visit <sup>‡</sup>		Cycle 6 Visit <sup>i†</sup>		6Mo./12 Mo./EOS <sup>j</sup>	18 Mo./Phone F/U	Any Cardiac Event <sup>d</sup>
Informed Consent	X															
Medical History and prior treatments	X															
Physical Exam and Vitals	X	X		X		X		X		X		X		X		X
6 Minute Hall Walk	X											X		X		
ECG	X			X				X				X				
BNP or NT-proBNP(local)	X	X <sup>f</sup>	X <sup>c</sup>	X	X <sup>c</sup>	X	X <sup>c</sup>	X	O <sup>c</sup>	X	O <sup>c</sup>	X	O <sup>c</sup>	X <sup>h</sup>		X <sup>h</sup>
Troponin I or T (local)	X	X <sup>f</sup>	X <sup>c</sup>	X	X <sup>c</sup>	X	X <sup>c</sup>	X	O <sup>c</sup>	X	O <sup>c</sup>	X	O <sup>c</sup>	X <sup>h</sup>		X <sup>h</sup>
Correlative samples	X	X <sup>f</sup>	X <sup>c</sup>	X	X <sup>c</sup>	X	X <sup>c</sup>	X	O <sup>c</sup>	X	O <sup>c</sup>	X	O <sup>c</sup>	X <sup>h</sup>		X <sup>h</sup>
cMRI <sup>b</sup>	O							O								
TTE <sup>e</sup>	X			X				X				X		X <sup>g</sup>		X <sup>g</sup>
MDASI-HF	X	X <sup>f</sup>		X		X		X		X		X		X		X
Cardiac CTCAE assessment (v. 4)	X	X <sup>f</sup>		X		X		X		X		X		X		X
Overall Survival Status														X	X	X

X required testing; O optional testing; <sup>‡</sup>Denotes chemotherapy cycle;

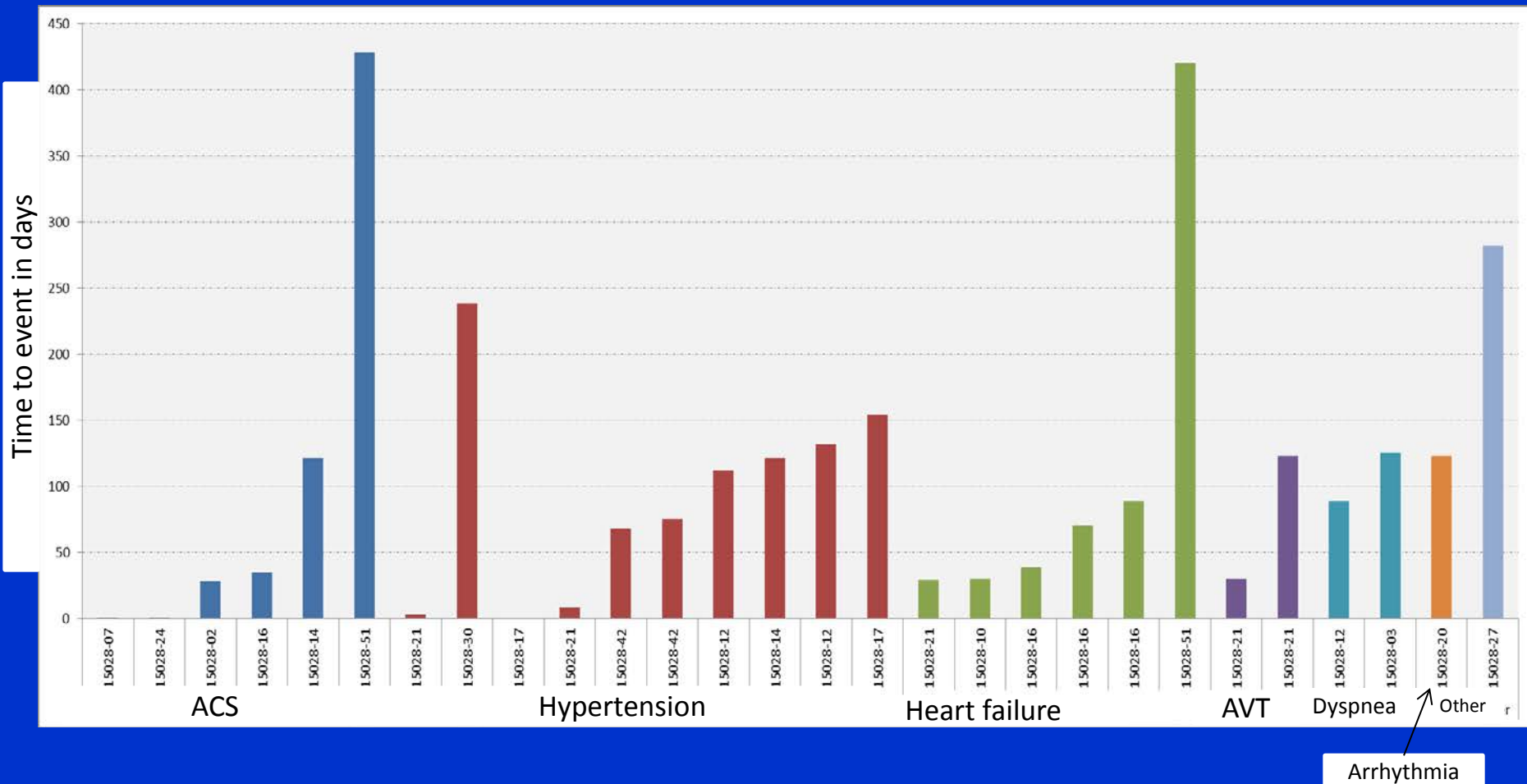
# Suspected Cardiac Events (VUMC only)

Suspected Cardiac Event	# of events	# of individuals	BOR-treated patients	CAR-treated patients	CTCAE grade
Acute coronary syndrome (ACS) which includes MI	6	6	0	6	grade 2 (n=3) grade 3 (n=3)
Arterial and/or venous thromboembolism	2	1	0	1	grade 1 (n=1) grade 2 (n=1)
Dyspnea	2	2	0	2	grade 1 (n=1) grade 2 (n=1)
Hypertension	11	7	1	6	grade 3 (n=9) grade 4 (n=2)
Symptomatic arrhythmia requiring treatment	1	1	0	1	grade 5 (n=1) grade (n=)
Symptomatic heart failure	7	5	1	4	grade 2 (n=1) grade 3 (n=6)
Other (syncope)	1	1	0	1	grade 2 (n=0) grade 3 (n=1)
<b>Total # of suspected cardiac events</b>	<b>30</b>	<b>23</b>	<b>2</b>	<b>21</b>	

9 patients on the study were lost to follow up as a result of:

- Chose to be treated locally (n=3)
- Deceased due to disease progression (n=5)
- Stopped chemotherapy due to a Cardiac Event (n=1, CAR)

# Time to Event from initiation of PI therapy



# Cardiotoxicity: Recovery Registry (CTR)

The purpose of the **Cardiotoxicity: Recovery Registry** is clarify the mechanisms of cardiovascular toxicity, recognize the typical presentation and discern the best methods for clinical detection, describe optimal therapeutic options as well as identify potential strategies for prevention of cardiac dysfunction.

The specific aims of the cardiac safety registry are:

- *Identify the cancer therapeutics and the cancer conditions in which cardiac dysfunction, potentially as a result of cancer therapy, can recover back to pre-chemotherapy levels or improve substantially with effective cardiac treatment*
- *Describe the clinical tools that are most useful and cost effective at enhancing recovery of cardiac dysfunction*
- *Detail the therapeutic strategies that are most useful and cost effective at promoting recovery of cardiac dysfunction*

# Cardiotoxicity: Recovery Registry (CTR)

## Candidate patients to enroll

- All patients treated for cancer who have **cardiac dysfunction** during or after cancer therapy
- **Cardiac dysfunction:** Any evidence of heart failure (defined by symptoms, physical exam abnormalities, LVEF/imaging changes and/or cardiac biomarker evidence)

Data Collection Instrument	Baseline visit	6 month visit	1 year follow up	2 year follow up	3 year follow up	Phone followup
Inclusion/exclusion Checklist	<input checked="" type="radio"/>					
Enrollment Form Basic Info	<input type="radio"/>					
Physical Exam and Vitals	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Medical History	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Cardiac And Other Related Medications	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Baseline Labs	<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ECHO data	<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
ECG	<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
MRI	<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
6 Minute Walk Test	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Sensitive Information Questionnaire	<input type="radio"/>					
Health Status Questionnaires	<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Cardiovascular risk factors	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Past And Present Cancer History	<input type="radio"/>		<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Chemotherapy Treatment 1	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Chemotherapy Treatment 2	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Chemotherapy Treatment 3	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Chemotherapy Treatment 4	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Chemotherapy Treatment 5	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Suspected Cardiac Event	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Phone interview questionnaire						<input type="radio"/>
Outcomes			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Follow Up Survival Status			<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Notes To File	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Study Exit Form	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Editing existing Record ID VUMC-00001

Event Name: 6 month visit

Record ID: VUMC-00001  
CTCAE Version 4.0

Attachment: [CTCAE manual - DMCC.pdf](#) (0.5 MB)

**Suspected Cardiac Event**

**Date of Cardiac Event?**

**Date of Cardiac Event Resolution**

**Symptomatic heart failure defined as:**

**Diagnostic Tests:**

**Date of onset of event**   Today M-D-Y  
\* must provide value

**Date of Suspect Cardiac Event Resolution**   Today M-D-Y

**CTCAE name for Event**

**CTCAE Grading**

**Cardiac Event Confirmed By:**

- Echocardiogram
- MUGA Scan
- ECG
- Cardiac Catheterization
- Physical Exam
- Cardiac Enzymes

**New or Worsening Diagnoses:**

- High Cholesterol
- Hypertension
- Heart Attack
- Angina
- Arrhythmia
- Heart Failure
- Coronary Disease
- Heart Angioplasty/Stents
- Heart Surgery
- Leaky Heart Valve
- Stenotic Heart Valve
- Syncope/Loss of Consciousness



# Infrastructure for multicenter trials already established at VUMC

- Principal Investigator/Faculty Oversight
- REDCAP web-based relational database already created and utilized
- Multiple clinical research coordinators
  - Consents
  - Data and blood collection
  - Follow-up
  - Research Project Tracking
- Core Lab for Translational and Clinical Research
  - Biospecimen processing, storage, release, and testing
- Regulatory and Compliance
  - IRB approval/Budgets and Contracts
- Scientific Review Committee
  - Faculty, Staff, Researchers
- Steering Committee
  - Administrative Oversight/Quarterly Online Meeting/Stats
- Synthetic Derivative/Bioview
  - EMR de-identified database of Clinical/DNA

## Ongoing or Completed Cardio-Oncology Multicenter Research Projects

- PREDICT (anthracycline therapy)
- PROTECT (proteasome inhibitor therapy)
- CREST (anti-VEGF based therapy)
- VITAL Amyloidosis (NEOD001-anti AL amyloid ab)
- PACE (Breast Cancer observation of cardiac outcomes)
- Biomarker Pilot (cardiac biomarker feasibility)
- HGF levels (novel biomarkers) in Cardiac Amyloidosis

# Cardiotoxicity: Recovery Registry (CTR)

## Initial Cardio-Oncology Centers

- Vanderbilt University Medical Center
- University of Pennsylvania
- Ottawa Hospital Cancer Center, Ottawa, CA
- University of British Columbia, Vancouver, CA
- Brigham and Women's/Dana Farber
- University Health Network, Toronto, CA