Summary Basis for Regulatory Action

From: Annette Ragosta, Chair of the Review Committee

BLA/ STN#: 125463

Applicant Name: Alba Bioscience Limited

Date of Submission: September 19, 2012

MDUFA Goal Date: November 14, 2017

Proprietary Name: ALBAclone® Anti-C3d (Murine Monoclonal)

Established Name (common or usual name): Anti-Human Globulin Anti-C3d

Intended Use/Indications for Use: (*copied from page one of the final draft package insert)*

"Anti-Human Globulin, Anti-C3d is intended for use in the direct antiglobulin test to detect the *in vivo* coating of human red blood cells with C3b and/or C3d components."

Recommended Action: The Review Committee recommends approval of these products.

Review Office Signatory Authority: Jay Epstein, MD, Director, Office of Blood Research and Review

☐ **I concur with the summary review.**

☐ **I concur with the summary review and include a separate review to add further analysis.**

☐ **I do not concur with the summary review and include a separate review.**

The table below indicates the material reviewed when developing the SBRA.

TABLE 1

Document title	**Reviewer name, Document date**
Clinical	Annette Ragosta, OBRR/DBCD/DRB August 9, 2017
Non-Clinical Review	Annette Ragosta, OBRR/DBCD/DRB August 9, 2017
Statistical Review	Chunrong Chen, OBE/DB/TEB July 27, 2017
CMC Product Review	• Annette Ragosta, OBRR/DBCD/DRB August 9, 2017 • Simleen Kaur, OCBQ/DBSQC/LMIVTS Microbiology/Bioburden November 17, 2016
CMC Facility Review	Priscilla M. Pastrana OCBQ/DMPQ/BII November 10, 2016
Labeling Review(s)	• Annette Ragosta, OBRR/DBCD/DRB August 9, 2017 • Dana Jones, OCBQ/DCM/ALPB
Lot Release Protocols/Testing Plans	Varsha Garnepudi, OCBQ, DBSQC
Establishment Inspection Report	Not applicable for these submissions, inspection waived
Bioresearch Monitoring Review	Not applicable for these submissions

1. Introduction

Alba Bioscience Limited (Alba) submitted an original Biologics License Application requesting approval to manufacture and distribute Anti-Human Globulin (Murine Monoclonal); hereafter referred to as AHG. The proprietary name for this product is ALBAclone® Anti-C3d (Murine Monoclonal); hereafter referred to as Anti-C3d. The manufacture and assembly of this product is performed at Alba Bioscience Limited, 21 Ellen's Glen Road, Liberton, Edinburgh, EH17 7QT, Scotland, United Kingdom.

The above mentioned Anti-C3d reagent was submitted in a bundle with (b) (4) other AHG products: Anti-IgG (Rabbit (b) (4)) reviewed under 125464 (hereafter

referred to as Anti-IgG), (b) (4) . Anti-C3d and Anti-IgG are also being used as (b) (4) product. See Table 2 below for a summary of the (b) (4) bundled original BLA submissions:

TABLE 2 List of Bundled AHG Submissions

Submission Tracking Number	Name of Biological Product/Trade Name	Antibody Source	Intended Use
125463	Anti-Human Globulin (Murine Monoclonal) ALBAclone® Anti-C3d (Murine Monoclonal)	Monoclonal Cell Line 3G8	Anti-Human Globulin, Anti-C3d (Murine Monoclonal) is intended for use in the direct antiglobulin test to detect the *in vivo* coating of human red blood cells with C3b and/or C3d components. For Tube Technique
125464	Anti-Human Globulin Anti-IgG (Rabbit (b) (4))	Rabbit	Anti-Human Globulin, Anti-IgG (Rabbit (b) (4)), is intended for use in the direct antiglobulin test to detect the *in vivo* coating of human red blood cells with IgG. Anti-Human Globulin, Anti-IgG is intended for use in the indirect antiglobulin test to detect the *in vitro* coating of human red blood cells with IgG. For Tube Technique
(b) (4)			

Submission Tracking Number	Name of Biological Product/Trade Name	Antibody Source	Intended Use
(b) (4)			

Intended Use/Indications for Use: (*copied from page one of the final draft package insert)*

"Anti-Human Globulin, Anti-C3d is intended for use in the direct antiglobulin test to detect the *in vivo* coating of human red blood cells with C3b and/or C3d components."

Chronology:

CBER received this original submission on September 19, 2012, and received seventeen amendments from Alba in response to three Complete Response letters and nineteen information requests.

2. Background

Meetings with FDA:

Alba did not request any pre-submission meetings for this product.

Description of the Device

The main component of this reagent is a murine monoclonal antibody to C3d (clone number 3G8). The formulation contains bovine serum albumin, 0.1% (w/v) sodium azide and Tween 80.

The final product is filled into a five milliliter (mL) glass vial (fill volume of three

mL) constructed of (b) (4) glass. The closure is a five mL dropper assembly that includes a black screw cap and a rubber bulb with a clear glass pipette.

Principles of the assay

Anti-3d is commonly used in blood banks to perform direct antiglobulin testing (DAT). DAT testing determines if red blood cells are coated in vivo with immunoglobulins or complement. This test is necessary in the investigation of immune-mediated hemolysis. Immune-mediated hemolysis may be observed in hemolytic transfusion reactions, hemolytic disease of the fetus and newborn, autoimmune hemolytic anemia and drug-induced hemolysis. When complement is activated in vivo, the final degradation of C3b to C3d occurs, and C3d is bound to the red blood cell. Reagents used to detect complement typically contain anti C3d but may also contain anti-C3b.

Anti-C3d has been validated for use by the tube technique which includes both an immediate spin and five minute incubation time followed by centrifugation. The Anti-C3d reagent will react with red blood cells coated with human C3b and/or C3d complement components and will produce macroscopic agglutination of the red blood cells in the test tube. No agglutination of the test red blood cells indicates a negative test result with no detectable C3d or C3b present on the surface of the red blood cells.

Test results should be read and interpreted immediately after centrifugation. Delays may cause dissociation of antigen-antibody complexes resulting in weak positive or false negative reactions.

Marketing History:

Alba has manufactured and distributed Anti-C3d reagent for 20 years since 1997 outside the United States. Specifically it has been CE marked under Annex III, since September 24, 2004 and distributed under Canadian license number 73609 since March 20, 2007, as well as in 30 other countries.

3. Chemistry Manufacturing and Controls (CMC)

The application was submitted in accordance with the recommendations in FDA's Guidance for Industry: "*Content and Format of Chemistry, Manufacturing, and Controls Information and Establishment Description Information for a Biological in-Vitro Diagnostic Product,*" All manufacturing is carried out in a controlled environment.

a) Manufacturing Summary

Alba manufactures (b) (4) In Vitro Product (IVP) at their licensed facility, located at 21 Ellen's Glenn Road, Edinburgh, UK. The manufacturing processes include (b) (4) and final Quality Control (QC) testing. Multiple products are manufactured in the same rooms as the Anti-C3d (b) (4) IVP; Alba provided a comprehensive list of these products in the submission. Cross contamination of the products is controlled by campaign manufacturing; full line clearance is required before commencing production steps. All raw materials used for the manufacture of Anti-C3d are provided by qualified suppliers and accepted based upon the supplier CoA and qualifying tests, as applicable.

(b) (4)

The cell line, 3G8, used in the production of the (b) (4), is of murine origin and was developed by the (b) (4) in (b) (4) through immunization of mice with purified C3d. Both the cell line and the production of the antibody were transferred to Alba in (b) (4) due to the merger of (b) (4) and Alba. The viability and stability of the cell line have been well-documented in the device history records of the manufacturing lots that have been distributed outside the US since 1997.

(b) (4)

Alba performs the following serological testing prior to release of the (b) (4) for IVP manufacturing use:

(b) (4)

(b) (4)

(b) (4)

(b) (4)

(b) (4)

In Vitro Product (IVP)

All raw materials used for the manufacture of the Anti-C3d IVP are provided by qualified suppliers and accepted based upon the supplier CoA and qualifying tests, as applicable.

Manufacturing Process Description

A diluent buffer using (b) (4) Tween 80, sodium azide, and bovine serum albumin is manufactured, tested and released prior to the addition of the thawed Anti-C3d (b) (4). Filtration of the (b) (4) Anti-C3d IVP is performed using a (b) (4) filter into an (b) (4) bag. The maximum validated hold time between formulation and filtration/filling of the (b) (4) is (b) (4) at 2 to 8 °C. The IVP is filled into 5 mL (b) (4) glass vials (fill volume of three milliliters) in a Class (b) (4) validated filling workstation located in a Class (b) (4) clean room. The filling machine is a semi-automatic filling machine and dropper/caps are applied then tightened using a capping machine. The product is labeled and placed in the appropriate packaging together with the Instructions for Use document. Filled, labeled containers are transferred to cold storage. Specificity, potency, and bioburden testing are performed on the filled product. The product is stored at 2 to 8 °C until it is released for distribution by Quality Assurance.

Date of Manufacture (DOM)

The DOM is the date of performance of the last group of potency tests of the bulk product; i.e. prefill.

Specifications and Test Methods

The following tables include the specifications (Table 4) and required release tests and acceptance criteria (Table 5) for the Anti-C3d IVP:

Table 4 IVP Specifications for Anti-C3d

Description of Product	Clear Liquid/Unit Volume: 3 mL
Primary Packaging	5 mL clear glass vials with dropper assemblies and black caps. Secondary packaging: 3 and 10 vial cartons
Storage Conditions	2-8 °C

Table 5 IVP Serological Testing

Specificity	(b) (4)

	(b) (4)
Potency	(b) (4)

Microbiology

Anti-C3d is a microbiologically controlled product and is considered a non-sterile, multiple use device. The acceptable level of micro-organisms which the product may contain is (b) (4) . Microbiological control of the final product is accomplished as follows:

- Environmental and in-process controls are in place to limit the presence of micro-organisms, and therefore limit potential contamination of the product through environmental control and aseptic technique. The filling process is performed under Class (b) (4) conditions with a Class (b) (4) background environment.
- The final product is filtered using a (b) (4) filter to remove microorganisms and tested with a validated bioburden method.
- The final product contains the preservative (bacteriostatic agent) sodium azide at a concentration of 1 g/L, to inhibit growth of micro-organisms.

- Final product closures undergo sterilization in an (b) (4) using (b) (4) .

b) CBER Lot Release

The lot release protocol template was submitted to CBER for review and found to be acceptable after revisions. The lot release testing plan was developed by CBER and will be used for routine lot release.

c) Facilities review/inspection

Facility information and data provided in this BLA bundle were reviewed by CBER and found to be sufficient and acceptable. The facilities involved in the manufacture of Monoclonal Antibody Anti-Human Globulin Anti-C3d (ALBA®clone) (Murine Monoclonal) are listed in Table 6 below. The activities performed and inspectional histories are noted in the table and are further described in the paragraphs that follow.

TABLE 6

Name/Address	FEI number	DUNS number	Results/Justification
(b) (4) *in vitro Product Release Testing* Alba Biosciences Limited 21 Ellen's Glen Road	3003580203	719392867	Team Biologics May 2016 VAI

Team Biologics performed a surveillance inspection of the Edinburgh, Scotland, UK facility May 12, 13, 16-20, 2016. All 483 issues were resolved and the inspection was classified as Voluntary Action Indicated (VAI).

d) Environmental Assessment

This BLA included a request for categorical exclusion from an Environmental Assessment under 21 CFR 25.31(c). The FDA concluded that this request is justified as the manufacturing of this product does not alter

significantly the concentration and distribution of naturally occurring substances, and no extraordinary circumstances exist that would require an environmental assessment.

e) Container Closure

The (b) (4) is filled into (b) (4) containers with screw caps made of (b) (4) and supplied by (b) (4) . Alba Biosciences Limited conducted the container closure integrity testing for these monoclonal antibodies at their Edinburgh location. This test was (b) (4) verification according to the manufacturer recommended (b) (4) ranges and all acceptance criteria were met.

The IVP is filled into 5mL (b) (4) glass vial with (b) (4) screw neck and 5 mL glass dropper assembly cap supplied by (b) (4). Alba conducted the container closure integrity testing at the Edinburgh, UK facility, employing (b) (4) verification, (b) (4) verification and visual inspection for turbidity; all acceptance criteria were met.

4. Software and Instrumentation

Not Applicable.

5. Analytical Studies

Analytical studies included stability, anticoagulant, and precision studies.

Stability Studies

Stability studies were performed on (b) (4) conformance lots (manufactured in (b) (4)) to support the proposed shelf life of 24 months at 2-8 °C. Vials were opened briefly at the start of the study and then stored at 2-8 °C until testing at the following time points: day zero, and 3, 6, 9, 12, 24, (b) (4) months. Potency testing was carried out in parallel with the (b) (4) reference stored at (b) (4) °C. The following tables include the sample types, test method, and acceptance criteria for potency (Table 7) and specificity (Table 8) testing.

TABLE 7: Stability Potency Testing - IVP

Cell types used in potency testing	Technique	Acceptance Criteria
(b) (4)		

TABLE 8: Stability Specificity Testing - IVP

Test	Technique	Acceptance Criteria
(b) (4)		

Microbiology testing was performed at day zero (post-fill), and six, 12, 24, (b) (4) month time points to demonstrate integrity of the closure system and verify

effectiveness of the preservative included in the formulation of the IVP. All results must be (b) (4) .

Alba provided 24 months of potency and specification test results for the real time stability study. The acceptance criteria were met for all time points for each of the (b) (4) conformance lots.

In addition to the real time stability study on the IVP, Alba also performed a simulated transport stability study on (b) (4) conformance lot to determine the impact of extreme temperature conditions which could potentially occur during transportation of the product between Alba and the end user. Vialled reagent underwent the following simulated worst case conditions:

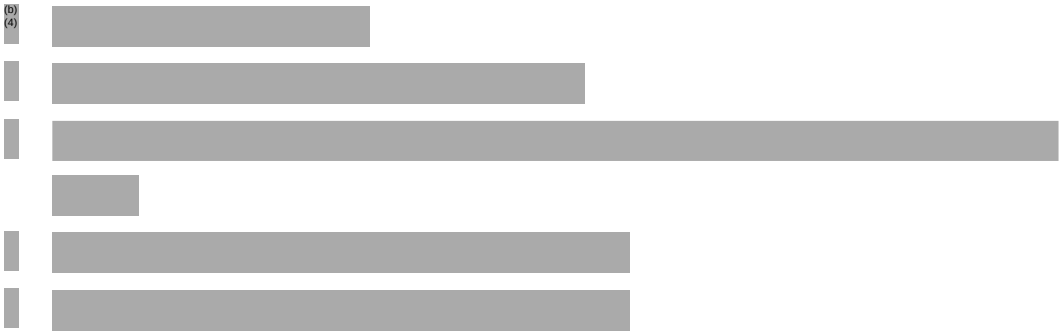
The RBC types, tests, techniques, and acceptance criteria listed in Tables 7 and 8 above also apply to the simulated transport study. Potency and specificity testing on the temperature cycled Anti-C3d met all acceptance criteria and the results show that there is no significant impact on the performance of the Anti-C3d after exposure to extreme temperatures that could potentially be encountered during the shipping process.

Anticoagulant Studies

The package insert includes the following test sample limitations:

- Clotted samples should be tested prior to refrigeration to avoid *in vitro* sensitization with complement.
- Clotted samples and samples collected in EDTA should be tested within 48 hours from collection but may be tested at the maximum storage of 14 days.
- Donor blood collected in ACD, CPD, CPDA-1, CP2D, and CP2D with AS-3, may be tested until the expiration date of the donation.

The validation study included all samples types listed in the package insert and addressed specimen collection limitations. Testing was performed in accordance with the test method listed in the package insert. The following red blood cell samples were included in the study:



The results demonstrate that the performance of the Anti-C3d reagent is not affected by the sample types or the recommended maximum storage times listed in the package insert.

Precision Studies

The Reproducibility and Repeatability Study was performed to demonstrate that the test reagent generates reproducible and accurate results using a panel of well-characterized samples tested on different days at multiple sites, using different lots, and different operators. The acceptance criterion stated there should be (b) (4) agreement between the test outcomes and the expected results.

The external study was performed at (b) (4) sites, using (b) (4) lots of test reagent. The test panel consisted of (b) (4)

(b) (4) . The testing was performed by (b) (4) operators over (b) (4) non-consecutive days, with (b) (4) testing performed by each operator within each run. (b) (4) lots of (b) (4) were also assessed for its effect on the results. There were no discordant results; all expected positive tests generated unequivocal positive reactions and all expected negative tests generated unequivocal negative reactions.

Alba also conducted an internal lot-to-lot study testing (b) (4) lots of the reagent against the same red blood cell test panel used in the external precision study. (b) (4) operators performed testing over (b) (4) non-consecutive days. There were no discordant results; all expected positive tests generated unequivocal positive reactions and all expected negative tests generated unequivocal negative reactions.

6. Clinical Studies

a) Clinical Program

The Anti-C3d reagent was tested at a total of six sites (one internal and five external US sites) in two separate studies (2012 and 2015) in parallel with a licensed US product. The 2015 study was performed to address deficiencies in the 2012 study. Due to the low frequency of in vivo C3 coated red blood cells, chemically coated C3 cells (prepared by Alba) were permitted in the study in addition to the de-identified leftover clinical samples.

Testing was performed in accordance with the Instructions for Use documents for both the trial and the comparator reagents. A separate US licensed product was used to investigate discordant results.

The acceptance criterion is as follows: $\geq$ 99% concordance at the lower bound of the one-sided 95% confidence interval for both negative and positive percent agreements. See Table 9 below for a summary of the comparator testing over all sites (includes results from the 2012 and 2015 studies).

TABLE 9: Summary of Comparator Testing over all trial sites:

		COMPARATOR REAGENT		
		Positive	Negative	Total
TRIAL REAGENT	Positive	135	5	140
	Negative	0	1399	1399
	Total	135	1404	1539
Positive Percentage Agreement				100 %
One-sided 95% lower confidence limit				0.98
Negative Percentage Agreement				99.6%
One-sided 95% lower confidence limit				0.99

There were five discordant results in the study. In all cases the trial reagent generated a positive result and the comparator result was negative. Results from additional testing as part of the investigation are as follows:

- Upon repeat testing, two of the five discordant results were negative with the trial, comparator, and resolver reagents.
- Upon repeat testing, three of the five discordant results continued to be positive with the trial reagent and were weak positive with the comparator reagent. These samples were tested in the 2012 study; resolver reagents were not required in the investigation at that time.

The study results for the negative percentage agreement met the pre-determined acceptance criterion of ($\geq$ 99% concordance at the lower bound of the one-sided 95% confidence interval for both negative and positive percent agreement). Although the one side 95% lower confidence limit for positive percentage agreement was only 0.98 it should be noted that the results are influenced by the number of available positive samples (135). In addition, there was 100% concordance between trial and comparator reagents for positive samples.

In summary, study results demonstrate that the ALBAclone® Anti-C3d (Murine Monoclonal) reagent is comparable to US licensed products with the same intended use.

b) Pediatrics

Cord blood samples were included in the comparator study. Test results demonstrate that this sample type does not affect the reagent's performance.

c) Other Special Populations

The following sample types were included in the 2012 and 2015 studies:

- Multiple Myeloma
- Waldenstrom's Macroglobulinemia
- Pregnancy
- Lymphoma
- Leukemia
- Hemolyzed
- Warm Auto Immune Hemolytic Anemia
- Sickle Cell
- Elderly

Test results demonstrate that these sample types do not affect the reagent's performance.

7. Advisory Committee Meeting

This supplement does not include novel technology; therefore, an advisory committee meeting was not required.

8. Other Relevant Regulatory Issues

There are no relevant regulatory issues for this submission. The review committee members reviewed their specific sections of the BLA and resolved any issues through information requests with Alba. The review team sought the expertise of their respective management, when warranted. No internal or external disagreements were communicated to the regulatory project manager or

chairperson. All reviewers recommended approval of ALBAclone® Anti-C3d (Murine Monoclonal).

9. Labeling

The Product Office and the Advertising and Promotional Labeling Branch reviewed the container labels, the Instructions For Use (IFU) document, and generic packing labels. All labels met the requirements outlined in 21 CFR Part 610.62, 610.64, 660.28 and 21 CFR Part 809.10

10. Recommendations and Risk/ Benefit Assessment

a) Recommended Regulatory Action

The review committee members, representing the necessary review disciplines (DBCD, DMPQ, DB, DCM, and DBSQC) recommend approval. These were independent conclusions based on content of the BLA, issues satisfactorily resolved during the review cycle, and concurred by their respective management. No internal or external disagreements were brought to the attention of the chairperson.

b) Risk/ Benefit Assessment

The benefits of licensing ALBAclone® Anti-C3d (Murine Monoclonal) include the following:

- Decrease the probability of a product shortage for Anti-C3d anti-human globulin reagent. There are few licensed manufacturers of monoclonal sera in the United States therefore licensing this product will introduce an additional monoclonal Anti-C3d reagent for use.
- Improve the safety of the blood supply by providing a wide range of monoclonal reagents manufactured with diverse cell lines which can increase the probability of the detection of rare antigen variants.

The evaluation of the validation and clinical studies and the manufacturing process reduces the risks associated with licensing a new AHG reagent. In addition, ALBAclone® Anti-C3d (Murine Monoclonal) will be subject to post market surveillance (Medical Device Reporting) which will identify adverse events associated with this product.

c) Recommendation for Post Marketing Activities

We did not recommend post-marketing activities for this submission.