



Bioassays for Establishing Equivalence

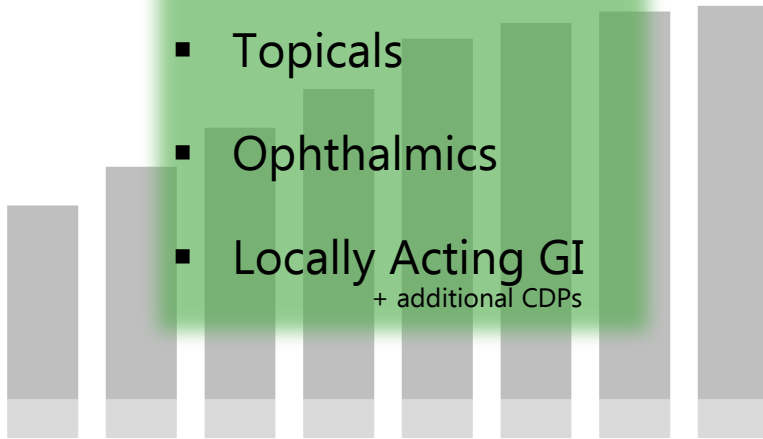
Linking API and Formulation to their Biological Effect

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Chief Operating Officer

Limited Access; Limited Success

Attributed to lack of generic-

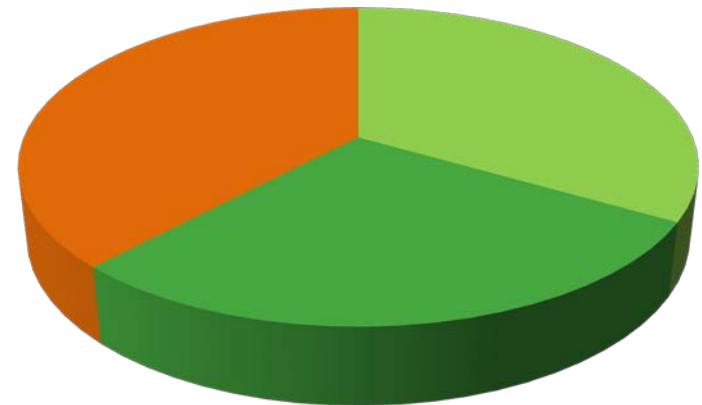
- Topicals
- Ophthalmics
- Locally Acting GI
+ additional CDPs



Slow down of unique generic drug product approvals

IMS Report: Declining Medicine Use and Costs: For Better or Worse? May 2013 and AAPS Local BE Workshop, November 2016

Complex Ophthalmic Complex Topical Locally Acting GI

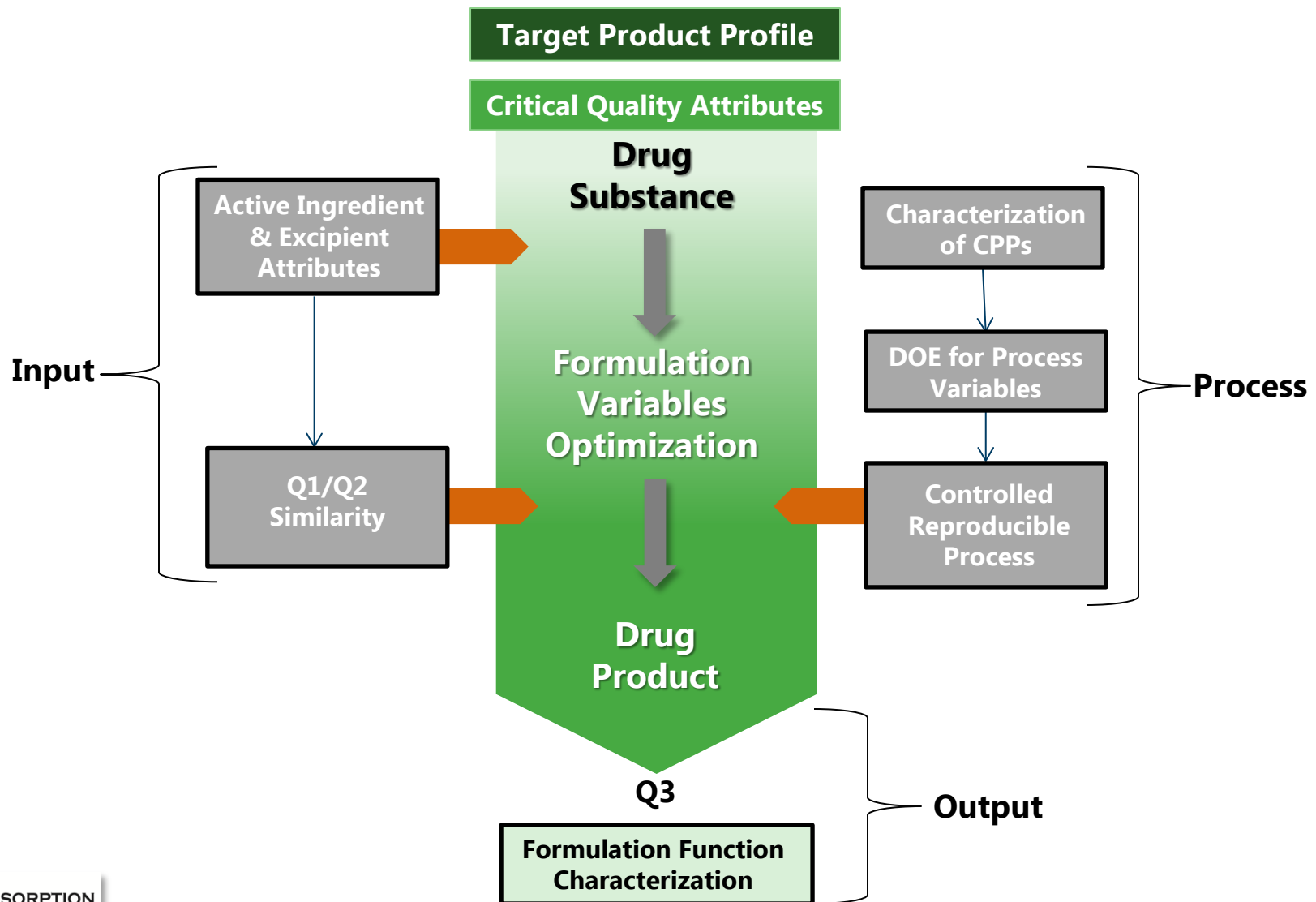


■ PK ■ Clinical End Point Studies ■ In Vitro

API | RLD | PD Specific

In Vitro Based BE

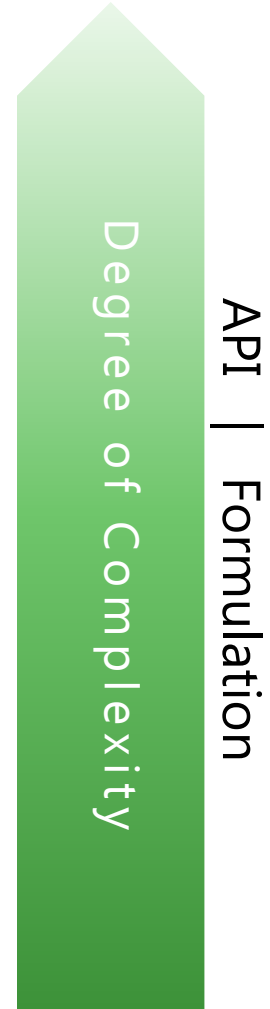
In Vitro Characterization Based Equivalence



Characterization Based Equivalence

Limitations

- Which attributes to measure?
 - Identifying key factors that impact BA
- How to perform?
 - Outcome can be methodology dependent
- Open-ended process optimization
 - Interpretation of differences observed; do they matter?
- No insights on site of action vs. formulation interaction
 - Complex, multifactorial and layered biology
 - API molecular diversity or multiphasic formulations
- Q1/Q2 not possible
 - Unable to use approach; Constraint



Opportunity for Innovation

Therapeutic Equivalence

Integrated Assays

Site of Action ↔ Formulation | Bio-relevant

PK Assays:

- Interaction
- Accumulation

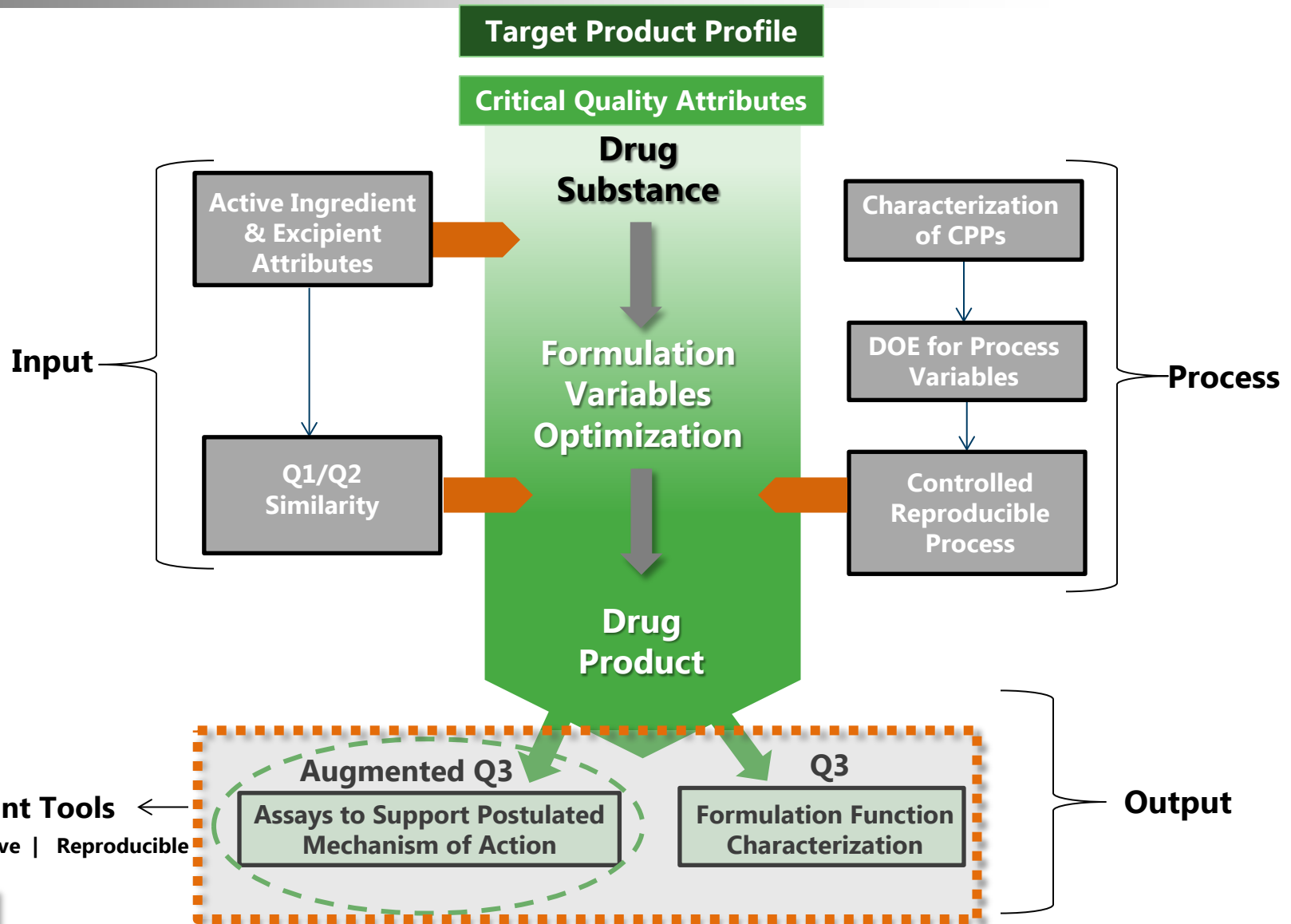
Effect Assays:

- Enzyme upregulation
- Healing biomarkers

In Vitro CBE

API | Excipients | Physicochemical Characterization

Augmented Q3 - Bioassays



Bioassay Development

Strategy

- Endpoint, methodology and mode of measurement

Optimization

- Various assay parameters
- Physiologically relevant conditions

Qualification

- Validation feasible
- Sensitive over a range of concentrations
- Reproducible
- Discriminatory

Validation

- Comply with relevant guidelines

Pivotal Performance assessment

- Multiple lots of RLD and Test formulations
- Quantitative Comparison

Bioassays - Integrated Effect

- **Comparative Physicochemical Characterization**

- **Local GI**

- Dissolution, pH, viscosity, acid neutralizing capacity, re-dispersibility, specific gravity, PSD

- **Ophthalmic**

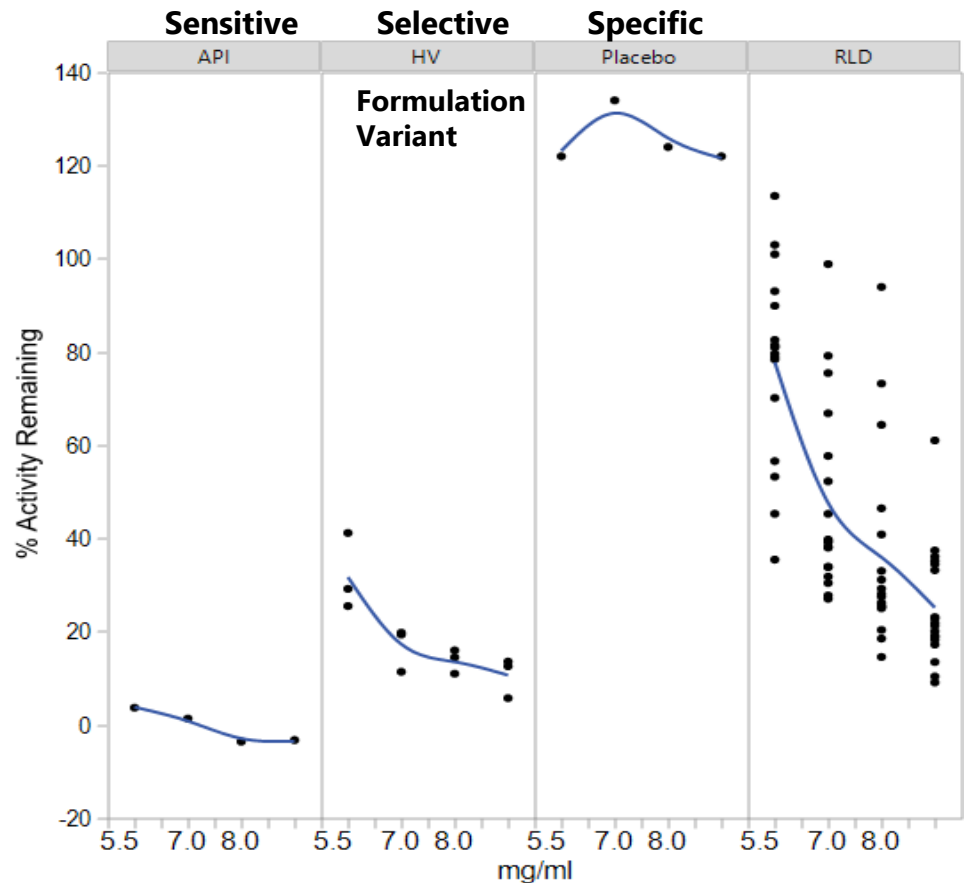
- pH, rheology, crystalline habit, re-dispersibility, surface tension, osmolality, buffer capacity, PSD

- **Topical**

- Crystal habit, rheology, PSD, pH specific gravity, water activity

- **Inhibition Bioassay**

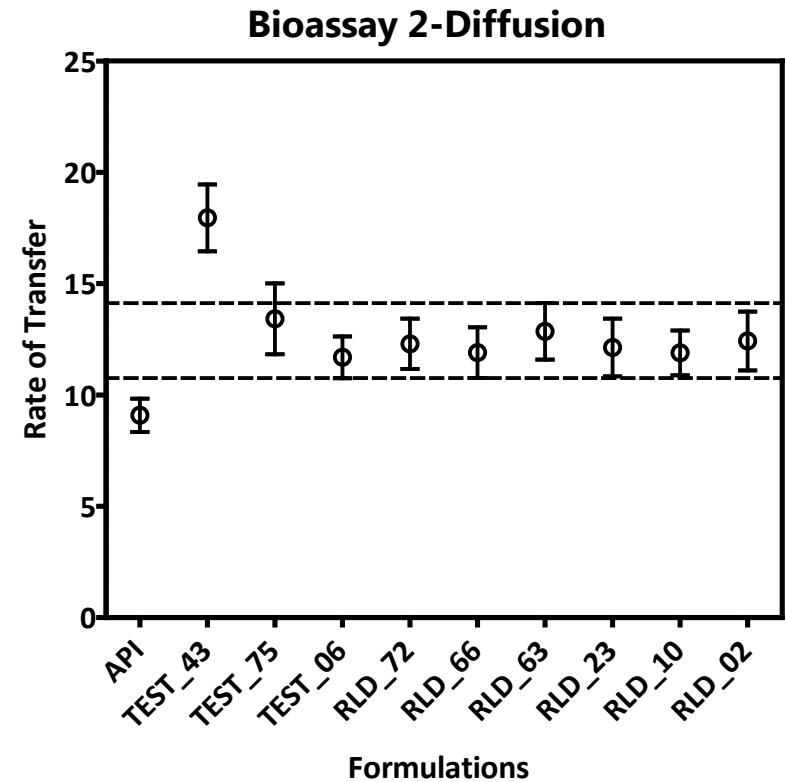
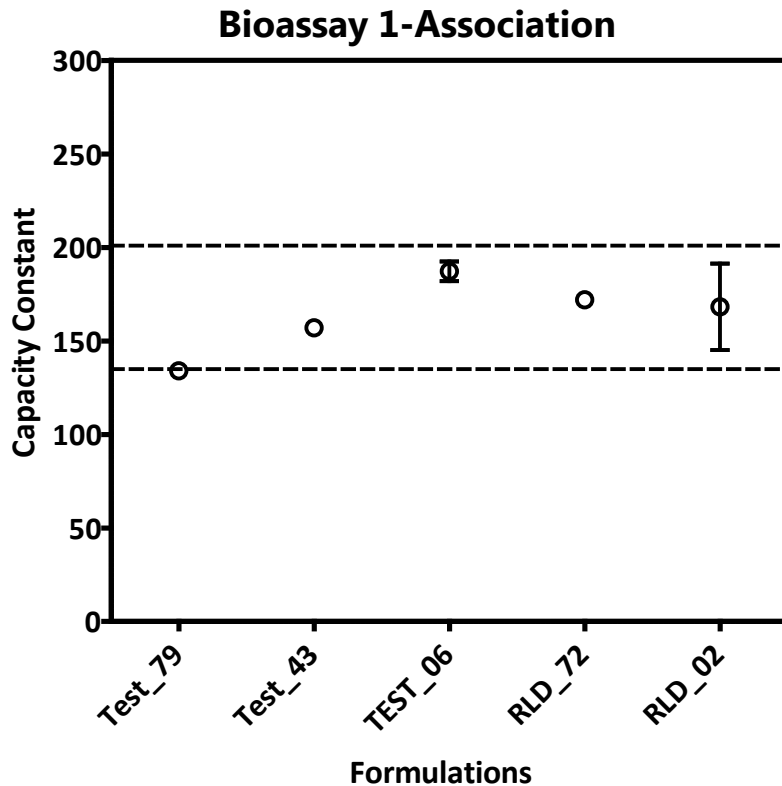
- Combined effect of changes to viscosity, dissolution, and specific gravity



Bioassays - Orthogonal Measures

- Confirmation of the same endpoint using a different assay or methodology
- Closer to the targeted in vivo effect

- Assay outcomes are complementary
- Combined selectivity strengthens assurance of overall conclusions

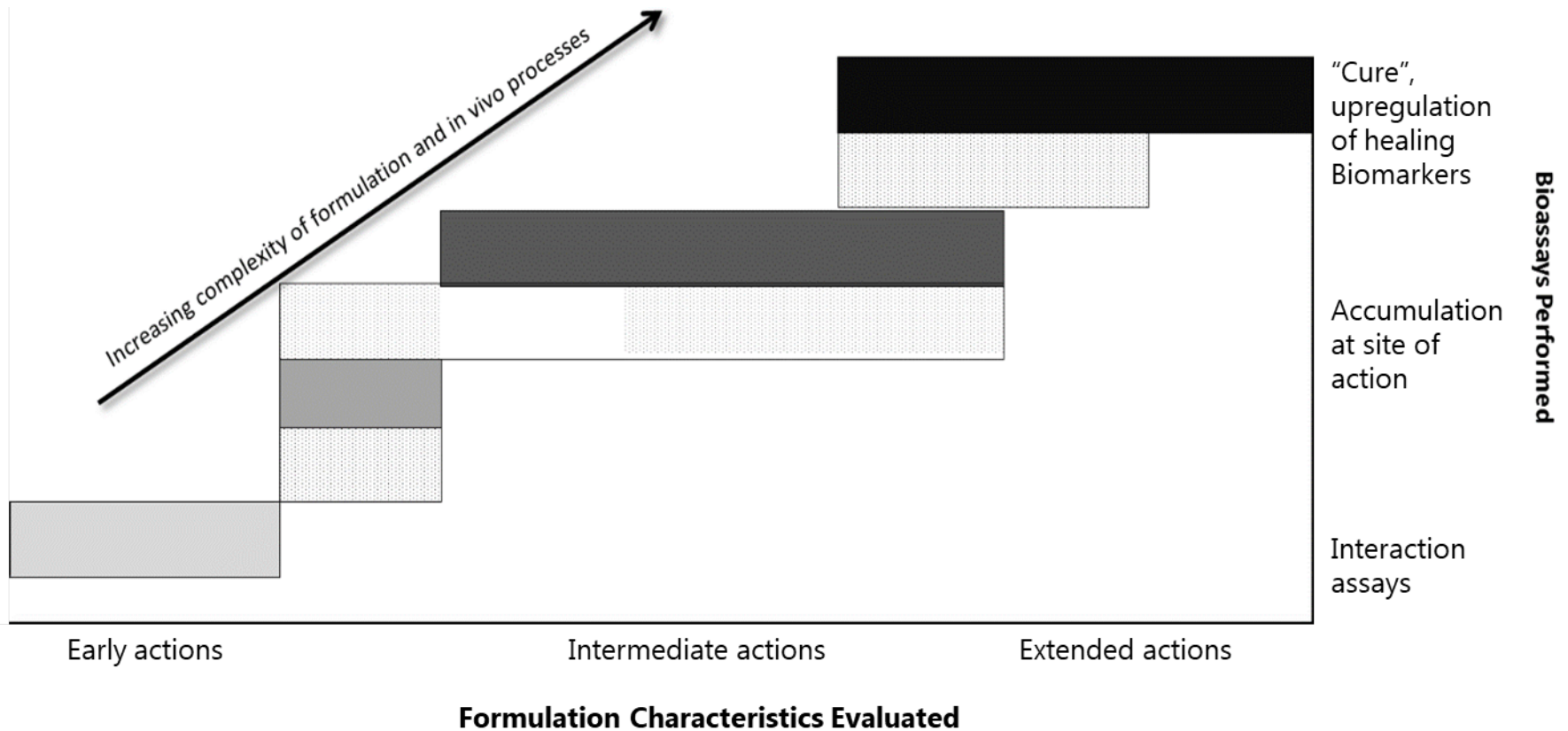


Error bars are the standard deviation of the mean (SD);
dotted lines bracket the range of the RLDs.

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Bioassays – Greater Relevance

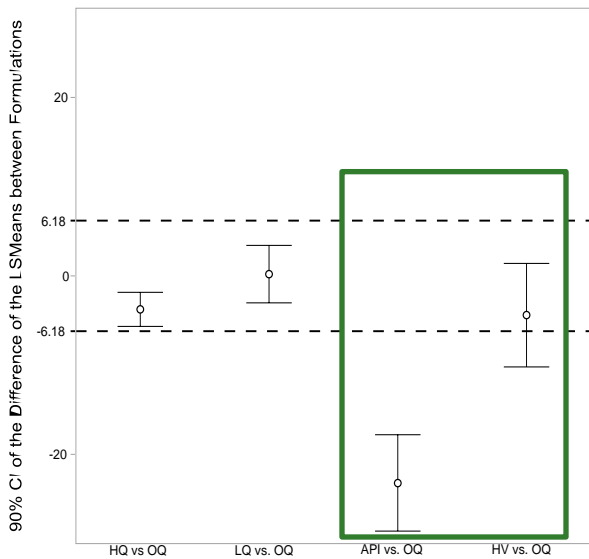
- Quantify a single formulation property
- Evaluate multi-faceted formulation-related effect mechanisms
- Assess relevant interactions between doses



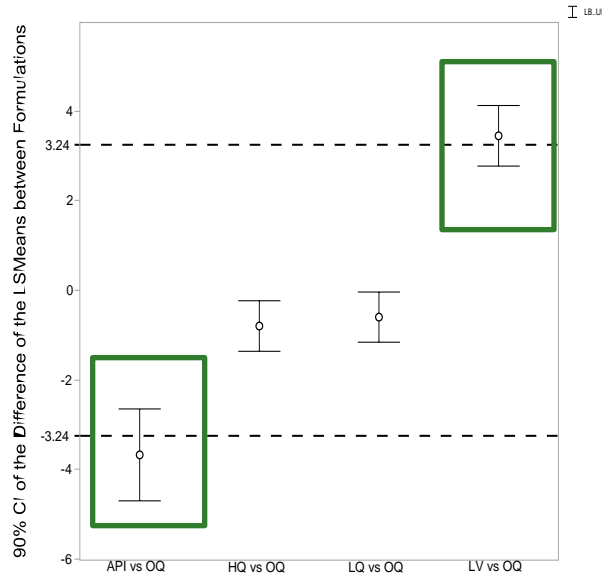
Bioassays – Mitigate Q2 Differences

- Bioassays represent product effect via multiple mechanisms between doses
- Selective to compositional differences
- May be used to construct a zone of “no bio-impact” with Q2 differences

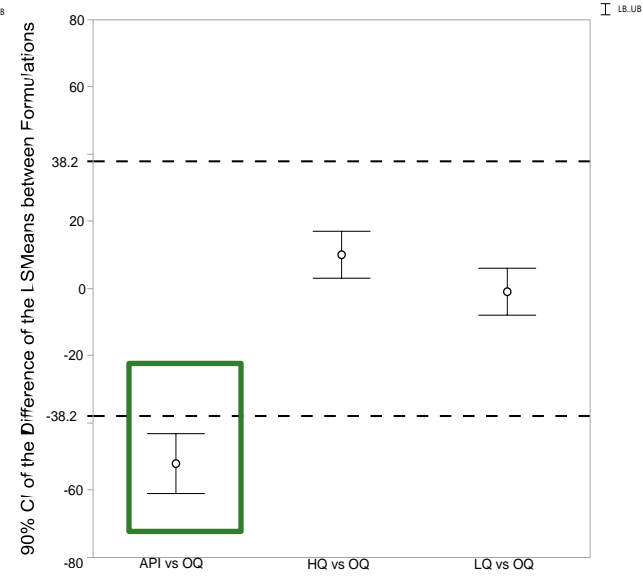
Early Action Bioassay



Intermediate Action Bioassay



Extended Action Bioassay



| Pathways to Approval | In Vitro CBE | Bioassays- Integrated Approach that links API to Formulation | Clinical Studies |
|--|---|--|--|
| <p>Approach</p> | <ul style="list-style-type: none"> ▪ Product Specific Guidance is required ▪ Q1/Q2/Q3 ▪ IVRT comparison for test and RLD formulations | <ul style="list-style-type: none"> ▪ Independent of Product Specific Guidance availability ▪ Q1 Similarity ▪ Q2/Q3 differences may be justifiable ▪ Orthogonal bioassays with in vivo relevance that complement physicochemical characterization | <ul style="list-style-type: none"> ▪ Clinical Endpoint ▪ Site of Action PK |
| <p>Risks & Probability of Success</p> | <ul style="list-style-type: none"> ▪ Knowledge, capability and experience under progress ▪ Frequent revisions to guidance based on new knowledge ▪ Product guidance is a recommendation/guide not a roadmap ▪ Success based on Q1/Q2/Q3 being achieved limits utility | <ul style="list-style-type: none"> ▪ In vitro CBE risks mitigated with a “totality of evidence” approach ▪ Knowledge, bioexemption capabilities and experiences are growing ▪ Wider product development applicability ▪ Possible to overcome Q2 and Q3 differences | <ul style="list-style-type: none"> ▪ Time consuming, expensive, potentially inconclusive ▪ FDA Guidances acknowledge difficulty in approach and requests Sponsors to propose alternative reproducible methods ▪ Opportunity to innovate to enhance patient access |