

*Public Meeting on Reauthorization of the
Generic Drug User Fee Amendments of 2017 (GDUFA)*

July 21, 2020

*A Matter of Record
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1 FOOD AND DRUG ADMINISTRATION	1 Michael Kopcha
2	2 Director, Office of Pharmaceutical Quality (OPQ),
3	3 CDER, FDA
4 Public Meeting on Reauthorization of the	4
5 Generic Drug User Fee Amendments of 2017 (GDUFA)	5 Christopher Lamer
6	6 Indian Health Service
7	7
8	8 Rob Lionberger
9	9 Director, Office of Research and Standards, OGD,
10	10 CDER, FDA
11 Virtual Meeting	11
12	12 Elizabeth Miller
13	13 Assistant Commissioner for Medical Products and
14	14 Tobacco Operations
15	15
16	16 Jillanne Schulte Wall
17 Tuesday, July 21, 2020	17 American Society of Health-System Pharmacists
18 9:01 a.m. to 2:29 p.m.	18 Anthony Barrueta
19	19 Kaiser Permanente
20	20
21	21 Scott Tomsky
22	22 Teva Pharmaceuticals
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1 Meeting Roster	1 Maryll Toufanian
2 Ashley Boam	2 Director, Office of Generic Drug Policy
3 Director, Office of Policy for Pharmaceutical	3 OGD, CDER, FDA
4 Quality, OPQ, CDER, FDA	4
5	5 Priscilla Zawislak
6 Sally Choe	6 IPEC-Americas
7 Director, OGD, CDER, FDA	7
8	8 Diana Zuckerman
9 Jacqueline Corrigan-Curay	9 National Center for Health Research
10 Director, Office of Medical Policy, CDER, FDA	10
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12 David Gaugh	12
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19 Commissioner of Food and Drugs, FDA	19
20	20
21 Jeffrey Kelman	21
22 Center for Medicare and Medicaid Services	22

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1	C O N T E N T S		1	P R O C E E D I N G S	
2	AGENDA ITEM	PAGE	2	(9:01 a.m.)	
3	Welcome and Opening Remarks		3	MS. NGUYEN: Good morning, everyone. My	
4	Stephen Hahn	10	4	name is Martha Nguyen, and I am the director of the	
5	Sally Choe	18	5	Division of Policy Development in the Office of	
6	Michael Kopcha	28	6	Generic Drugs, and I will serve as the moderator	
7	Introduction of Panel		7	for today's meeting.	
8	Michael Kopcha	39	8	On behalf of the generic drug program,	
9	Overview of GDUFA II		9	welcome and thank you for participating in today's	
10	Maryll Toufanian	41	10	virtual public meeting on the Reauthorization of	
11	The Future of Inspections - - Role of ORA		11	the Generic Drug User Fee Amendment of 2017 or	
12	Elizabeth Miller	59	12	GDUFA. Before I introduce my office director Sally	
13	The Future of Pharmaceutical Quality		13	Choe, I wanted to give you a brief overview of	
14	Ashley Boam	72	14	today's agenda.	
15	Overview of Pre-ANDA and Complex		15	You'll hear from FDA officials, including	
16	Generic Activity		16	Commissioner Hahn, and the directors of the Office	
17	Rob Lionberger	84	17	of Generic Drugs and the Office of Pharmaceutical	
18			18	Quality, Sally Choe and Mike Kopcha, consecutively;	
19			19	then Mike will introduce the panel of FDA experts	
20			20	at today's meeting. After each group of	
21			21	presentations, the FDA panel will have an	
22			22	opportunity to ask clarifying questions.	
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1	C O N T E N T S (continued)		1	Next, Maryll Toufanian, the director of the	
2	AGENDA ITEM	PAGE	2	Office of Generic Drug Policy in OGD, will provide	
3	Other Federal Agency Presentations		3	an overview of GDUFA II, followed by Elizabeth	
4	Jeffrey Kelman	112	4	Miller, the assistant commissioner for Medical	
5	Peter Glassman	118	5	Products and Tobacco Operations, who will discuss	
6	Christopher Lamer	125	6	the future of inspection.	
7	Clarifying Questions from the Panel	128	7	After Elizabeth's presentation, Ashley Boam,	
8	Trade Association Presentation		8	the director of the Office of Policy for	
9	David Gaugh	135	9	Pharmaceutical Quality, will present on the future	
10	Clarifying Questions from the Panel	139	10	of pharmaceutical quality. After Ashley's	
11	Healthcare Provider Presentations		11	presentation, we'll take a short break and	
12	Jillanne Schulte Wall	146	12	reconvene at 11a.m. for a presentation on Complex	
13	Anthony Barrueta	153	13	Generic Activity by Rob Lionberger, the director of	
14	Clarifying Questions from the Panel	165	14	the Office of Research and Standards in OGD.	
15	Stakeholder Presentations		15	The rest of the morning will be dedicated to	
16	Scott Tomsky	170	16	presentations from other federal agencies and trade	
17	Diana Zuckerman	185	17	associations. We will break for lunch and	
18	Priscilla Zawislak	196	18	reconvene at 1:00 p.m. for presentations from	
19	Clarifying Questions from the Panel	205	19	healthcare providers and other stakeholders and an	
20	Open Comment Period	212	20	open public comment period. If you would like to	
21	Closing Remarks		21	speak during the open public comment period, please	
22	Jacqueline Corrigan-Curay	218	22	send a request through the technical support	

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1 chatbox before the lunch break. Finally,
2 Jacqueline Corrigan-Curay, the director of the
3 Office of Medical Policy in CDER, will make closing
4 remarks and adjourn the public meeting.
5 Again, thank you for your participation in
6 today's virtual public meeting. If you should
7 experience technical difficulties during this
8 event, close the Adobe Connect window and rejoin
9 the meeting or try rejoining the meeting using a
10 different web browser. If this does not work,
11 contact our technical support through this email
12 address, virtual-w0cc-support@fda.hhs.gov.
13 With that, I will turn it over to Sally
14 Choe to introduce Dr. Hahn.
15 DR. CHOE: Thank you, Martha.
16 I'm delighted to introduce Dr. Stephen M.
17 Hahn, who was sworn in as the 24th commissioner of
18 Food and Drugs on December 17, 2019. Dr. Hahn is a
19 dedicated clinician having trained in both medical
20 oncology and radiation oncology. In his previous
21 leadership roles, he has always carefully balanced
22 executive management with the clinical time to

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1 continue to serve oncology patients, his true
2 passion.
3 Prior to joining the FDA, Dr. Hahn served as
4 a chief medical executive at the University of
5 Texas MD Anderson Cancer Center, a facility that
6 cares for more than 140,000 patients a year.
7 Before joining MD Anderson, he served as the chair
8 of the Radiation Oncology Department at the
9 University of Pennsylvania's Perelman School of
10 Medicine from 2005 to 2014.
11 Dr. Hahn earned the rank of commander in the
12 U.S. Public Health Service Commissioned Corps while
13 at the National Institute of Health, National
14 Cancer Institute, where he also completed a
15 fellowship in medical oncology and a residency in
16 radiation oncology. He also completed a residency
17 in Internal Medicine at University of California,
18 San Francisco. Please join me in welcoming
19 Dr. Stephen Hahn.
20 Presentation - Stephen Hahn
21 DR. HAHN: Thank you, Sally, for that
22 introduction.

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1 I want to welcome everyone today to the
2 public meeting on GDUFA. The public meeting
3 process is an essential element of the FDA's
4 commitment to public health. Our ability to
5 faithfully fulfill our mission to protect and
6 promote the health of the American public and to
7 build on our successes to meet the future public
8 health needs of the American public relies in great
9 part on our knowledge and understanding of hearing
10 from the public.
11 Meetings like this one, designed
12 specifically for us to have the opportunity to hear
13 from a broad range of stakeholders, are vital to
14 that process. Looking over today's agenda, I'm
15 pleased to see that we have a full representation
16 of these groups from patients and consumers to
17 healthcare professionals, scientists, and members
18 of industry.
19 Perhaps no area of FDA's vast set of
20 responsibilities is this accessibility and
21 transparency more important than in the role we
22 play in helping to expand access to affordable

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1 medications. Ensuring that patients who need safe
2 and effective medicines and have greater access to
3 them is a public health priority for us. It is
4 central to the work of the FDA, and it has also
5 been a key element of my work throughout my career.
6 We know that competition from generic drugs
7 can help lower drug prices and improve access for
8 American patients and consumers. Three years ago,
9 when we launched the FDA's Drug Competition Action
10 Plan, DCAP, one of our priorities was to improve
11 the efficiency of the generic drug development
12 assessment and approval process. We've made
13 enormous strides with this plan, which is
14 completely consistent with and builds on the
15 commitments and goals reflected in the GDUFA II
16 agreement.
17 As a result, we brought greater
18 predictability and timeliness to our assessment of
19 generic drug applications while increasing access
20 to safe, high-quality, and more affordable generic
21 drugs, all the time always maintaining our rigorous
22 approval standards.

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1 These efforts are even more critical today
2 as we are immersed in the consuming effort to find
3 treatments and cures in response to the COVID-19
4 pandemic. Even as our agency is working full steam
5 on our COVID-19 response, we continue to focus on
6 our critical role to ensure access to lower cost,
7 safe and effective high-quality generic medicines.
8 Across the FDA, many of our staff continue to focus
9 on these and other mission-critical initiatives,
10 and I am so incredibly proud of the more than
11 17,000 FDA employees during this time.
12 I especially want to recognize the Generic
13 Drug Assessment Program team for its work in
14 ensuring that the program has continued with
15 minimal interruptions during this time. During
16 this public health emergency, the FDA has
17 prioritized the assessment of generic drug
18 submissions for potential treatments and supportive
19 therapies for patients with COVID-19.
20 We've approved a number of important generic
21 drugs for this purpose, including some used mainly
22 in intensive care unit settings for patients

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1 requiring mechanical ventilations and others that
2 have seen increased demand. Throughout, we've
3 maintained FDA's gold standards of evaluating
4 products based on quality data and sound science.
5 The FDA has also been working with generic
6 drug applicants whose development work has been
7 affected by the COVID-19 pandemic, and we've also
8 worked diligently to support manufacturers of
9 approved generic drug products who need to make
10 changes to a manufacturing process or facility to
11 address disruptions from the COVID-19 pandemic.
12 We significantly expedited the assessment of
13 these types of changes, known as supplements, to
14 approve generic drug applications. Indeed since
15 February, our generic drug program has worked with
16 companies to approve close to 300 of these ANDA
17 changes, which have helped maintain the supply of
18 medications for our most critically ill patients
19 with COVID-19, including antibiotics, sedatives
20 used in ventilated patients, anticoagulants, and
21 pulmonary medications. And really, this is a
22 partnership with the industry and many of you here

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1 that we are able to do this and very much
2 appreciate that collaboration.
3 Now, even as we respond to COVID-19, we have
4 continued to work to ensure access to safe and
5 effective generic medicines beyond those needed to
6 respond to this immediate crisis. The generic drug
7 program itself is stronger than ever before, and we
8 continue to take actions on COVID-19 and non-COVID-
9 19 related ANDAs.
10 Even during this pandemic, we are on target
11 to meet our GDUFA goal of assessing and taking
12 timely action on at least 90 percent of original
13 generic drug applications, as we have since 2015.
14 In the first six months of 2020, FDA approved, or
15 tentatively approved, 361 ANDAs, including 35 first
16 generics, but the impact of GDUFA funding on
17 American patients goes beyond approval numbers.
18 GDUFA regulatory science research provides
19 needed information and tools for industry to
20 develop new generic drug products. It allows us to
21 make recommendations that support appropriate
22 science-based methodologies and evidence for the

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1 development of generic drugs. In combination with
2 the implementation of DCAP, GDUFA is helping make
3 approval of generic drugs easier to obtain by
4 proactively addressing scientific and regulatory
5 challenges that may arise.
6 With GDUFA I and II, the FDA has
7 demonstrated its leadership in helping to ensure
8 that more safe, effective, high-quality generics
9 are available to patients who need them most. With
10 each authorization of GDUFA, the program continues
11 to improve predictability and transparency, driving
12 an efficient and effective application assessment
13 process.
14 Of course, we know that there's much work to
15 be done, and part of today's event is to actually
16 receive as much feedback as possible and have a
17 bi-directional conversation, and we look very much
18 forward to that.
19 Thanks to the hard work and the
20 collaboration of industry and the FDA, we've built
21 a modern generic drug assessment program with the
22 necessary IT capabilities and the scientific and

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1 operational sophistication that came into being
2 under GDUFA. As a result, the United States has a
3 very strong pipeline of generic drug applications
4 and a robust development pathway.
5 We will not rest on past successes. We are
6 a learning organization and want to get better. We
7 will apply the same energy, resourcefulness, and
8 innovation we are currently demonstrating in our
9 efforts to defeat COVID-19 to further increasing
10 accessibility of all medications for the benefits
11 of patients and consumers.
12 We look forward to hearing from all of you
13 as we develop GDUFA III and work to strengthen the
14 generic drugs program in ways that will even
15 further enhance public health. Thank you and have
16 a great meeting.
17 MS. NGUYEN: Commissioner Hahn, thank you
18 for your remarks, for your leadership, and
19 steadfast support of the generic drug program,
20 especially during these challenging times.
21 I now invite Sally Choe, the director of the
22 Office of Generic Drugs, to provide her remarks.

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1 Presentation - Sally Choe
2 DR. CHOE: Thank you. It's my pleasure to
3 welcome everybody once again and to give these
4 opening remarks along with my colleague Dr. Kopcha
5 following Dr. Hahn. We introduced the
6 reauthorization process building from the success
7 of GDUFA I and GDUFA II, which enables the most
8 robust generic drug review program to date.
9 The continued work between FDA and the
10 generic pharmaceutical industry's GDUFA III program
11 will ensure that Americans will continue to have
12 access to safe, high-quality, and more affordable
13 generic drugs.
14 Dr. Hahn has already noted how important
15 generic drugs are in helping lower drug prices and
16 improve access for American patients and consumers.
17 I would like to mention briefly the particular role
18 generic drugs play in the current public health
19 emergency by providing access to drugs facing
20 increased demand and in short supply and drugs
21 vital to the care of patients suffering from
22 COVID-19, such as those used in ICU settings for

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1 patients requiring mechanical ventilation.
2 I won't go through this whole list, and it's
3 not even an exhaustive list of all of the generic
4 drug approvals used in the COVID-19 response over
5 the past three months. However, I wanted to show
6 this example to demonstrate how FDA prioritizes the
7 assessment of generic submissions in support for
8 patients with COVID-19, which is all possible
9 because of the enhanced generic drug program and
10 its improved flexibility due to GDUFA, allowing us
11 to prioritize assessment of generic drug
12 submissions and involving the supportive therapy
13 for patients with COVID-19 while still maintaining
14 the rest of our usual work.
15 This really shows the result of our
16 successful GDUFA program, and you will enjoy
17 hearing the specifics from various speakers from
18 the agency, starting with my colleague, Dr. Kopcha,
19 in his opening remarks, and then Ms. Maryll
20 Toufanian, and others today.
21 Now to quote our usual GDUFA work, there
22 have been various commitments that have been worked

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1 out between the agency and the industry, and let me
2 start from the communication side. Mutual
3 commitments to the assessment process has shown
4 clear value, and more clear communication from FDA
5 and complete timely response from applicants
6 significantly enhance the process.
7 Building on the success of GDUFA I, GDUFA II
8 included increased communication and collaboration
9 with the industry, provided additional support to
10 applicants preparing their generic drug
11 application, and streamlined the business process
12 to increase first-cycle approvals and worked to get
13 faster approvals.
14 To improve the predictability, transparency,
15 and efficiency of the review process, as well as to
16 minimize the number of review cycles leading to
17 approval, FDA agreed in GDUFA II to issue
18 communications related to ANDA efficiency during the
19 course of the review of original ANDAs.
20 FDA continues to embrace these mechanisms
21 and communicate extensively with industry. These
22 mechanisms include information requests, IRs;

<p style="text-align: right;">Page 21</p> <p>1 discipline review letters, DRLs; and complete 2 response letters, CRLs. These requests and letters 3 detail important issues that need to be addressed 4 by applicants before FDA can approve an 5 application. 6 Another important tool I'd like to mention 7 is controlled correspondences. A controlled 8 correspondence inquiry is submitted to the agency 9 by or on behalf of a generic drug manufacturer or 10 related industry, requesting information on a 11 specific element of a generic drug product 12 development. 13 The staff that responds to controlled 14 correspondence is the same assessment staff that is 15 part of the assessment process. The opportunity 16 for industry to submit controlled correspondence 17 supports the development and submission of a higher 18 quality generic drug application. 19 FDA's effort to increase the review 20 efficiency and thereby improve patient access to 21 generic drugs also has been greatly enhanced by the 22 agency, making regulatory and scientific policies</p>	<p style="text-align: right;">Page 23</p> <p>1 make the agency's operation more transparent. 2 FDA also engages in outreach efforts to 3 inform industry participants and other stakeholders 4 about GDUFA II and the generic drugs program. Some 5 examples of annual meetings we have hosted this 6 year are our 2020 Generic Drug Forum, which took 7 place in April, helping applicants achieve success 8 and minimize common deficiencies in the development 9 of generic drug applications. 10 Also in May, in the GDUFA Generic Drug 11 Regulatory Science Initiative Public Workshop, we 12 solicited input from the public, industry, and 13 academia to develop an annual list of science and 14 research priorities for generic drugs, which 15 support PSG development, ANDA submission 16 assessment, and the pre-ANDA program. Both 17 meetings were successfully conducted 100 percent 18 virtually. 19 This coming September, we have the Advancing 20 Innovative Science in Generic Drug Development 21 Workshop, formerly known as the Complex Generic 22 Drug Development Workshop, intended to help the</p>
<p style="text-align: right;">Page 22</p> <p>1 available to applicants and the general public. 2 This includes guidances for industry where FDA 3 publishes to share the agency's current thinking 4 and recommendations to industry and specific topics 5 covering generic drug development, pharmaceutical 6 quality, regulatory review, and the approval 7 processes, with many more. 8 Product-specific guidances, PSGs, provide 9 the agency's current thinking and expectations on 10 how to develop generic drugs that are 11 therapeutically equivalent to specific brand name, 12 reference-listed drugs. PSGs also help applicants 13 submit ANDAs with efficiency, which can lead to 14 more first-cycle approvals. 15 PSGs are intended to make industry's 16 research and development decisions more efficient 17 and cost effective by identifying the most 18 appropriate methodology and evidence needed to 19 support a specific generic drug's approval, and of 20 course the manuals of our policies and procedures, 21 MAPPs, describes internal agency policy and 22 procedures and are accessible to the public to help</p>	<p style="text-align: right;">Page 24</p> <p>1 generic industry, scientists, researchers, and 2 regulatory affairs professionals pave a clear 3 scientific pathway for generic drug development by 4 focusing on common scientific issues and 5 deficiencies in ANDAs. 6 We also hold events based on timely issues, 7 such as another upcoming workshop on the Orange 8 Book, which will celebrate its 40th anniversary 9 this year. In addition, we have experts from FDA 10 present at various webinars and conferences and 11 published articles. And lastly, here I have listed 12 some of the use of websites that give tons of 13 information on generic drug programs, which can be 14 easily accessed by all of you. 15 While the recent public health emergency has 16 reminded us of the importance of being prepared for 17 whatever the future may bring, thankfully GDUFA I 18 and II already had FDA's generic drug program 19 working in a forward-thinking manner. The GDUFA 20 program is strategically designed to support the 21 development of generic drugs long before the 22 submission of applications. It has helped in</p>

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1 accessing, that generic drugs can be available to
 2 patients as soon as patents or exclusivities permit
 3 application approval.
 4 The GDUFA-funded Science and Research
 5 Program and the Pre-ANDA program are two such
 6 examples of FDA's strategic, proactive approach to
 7 supporting generic drug development and assessment.
 8 First, GDUFA regulatory science research
 9 provides needed information and tools for industry
 10 to develop new generic drug products. It enables
 11 us to make recommendations that support appropriate
 12 science-based methodology and evidence for the
 13 development of generic drugs. This type of work is
 14 particularly important for complex generic drug
 15 products, which are harder to genericize and often
 16 have less market competition.
 17 We directly support developers of complex
 18 generic drug products to ensure that patients and
 19 consumers have access to the drugs they need at
 20 prices they can better afford.
 21 Here are some of the examples of approvals
 22 of complex generic drugs, where GDUFA-funded

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1 from last year, 2019, which I have been displaying
 2 in various meetings.
 3 Why? I want to remind us that despite
 4 today's pandemic challenge, which forces us to have
 5 a virtual presence in so many important events like
 6 today's public meeting, we have incredibly
 7 dedicated individuals not just from the Office of
 8 Generic Drugs but in the Office of Pharmaceutical
 9 Quality and many other offices across the center
 10 and agency, working hard continuously in the
 11 generic drug program. I know the same goes to
 12 industry, academia, and all of the organizations
 13 that you represent.
 14 With GDUFA I and II, FDA has demonstrated
 15 leadership in helping to ensure that more safe,
 16 effective, high-quality generics are available to
 17 the patients who need them most. We have
 18 established a generic drug program that is
 19 strategically structured in activities and
 20 communications with industry. With each
 21 authorization of GDUFA, the program continues to
 22 improve predictability and transparency, driving an

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1 research directly facilitated the assessment and
 2 approval during GDUFA II. Albuterol sulfate
 3 inhalation aerosol, fluticasone
 4 propionate/salmeterol inhalation powder, and
 5 acyclovir cream are just a few examples.
 6 The Pre-ANDA program established under
 7 GDUFA II, the Pre-Abbreviated New Drug Application
 8 program, provides product development assistance to
 9 generic developers through written communications
 10 and meetings with industry to help clarify
 11 regulatory expectations early in the generic drug
 12 development process and during FDA application
 13 assessments.
 14 This program provides a special focus on
 15 complex generic products such as some inhaled or
 16 injectable products, which are usually harder for
 17 generic drug developers to develop, often leading
 18 to a lack of generic competition even after patents
 19 and exclusivities no longer block general generic
 20 approval.
 21 I think this is my last slide. This is a
 22 photo of the Office of Generic Drug's summer picnic

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1 efficient and effective application assessment
 2 process. As a result, the U.S. has a very strong
 3 pipeline of generic drug applications and a robust
 4 development pathway.
 5 Once again, all of this is possible as a
 6 result of the hard work industry and FDA have
 7 engaged in building a more modern generic drug
 8 assessment program. I hope you enjoy the rest of
 9 today's virtual public meeting, and we look forward
 10 to working with you. Thank you.
 11 MS. NGUYEN: Thank you, Sally.
 12 Now I invite Mike Kopcha to provide his
 13 remarks. Mike Kopcha is the director of the Office
 14 of Pharmaceutical Quality.
 15 Mike, after your presentation, please
 16 introduce the panel of FDA experts at today's
 17 meeting. Thank you.
 18 Presentation - Michael Kopcha
 19 DR. KOPCHA: Thanks, Martha. I appreciate
 20 that. And thank you, Dr. Choe, for the
 21 introduction as well.
 22 As Sally pointed out, OPQ, the Office of

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1 Pharmaceutical Quality, is one of the many offices
2 that support the generics program, so I'm glad to
3 be part of that group and part of the work that's
4 done to bring generics to the marketplace.
5 The topic for my presentation today, GDUFA
6 and Pharmaceutical Quality at FDA, are programs
7 that have grown up together. The reason why I
8 entitled it that is because when I joined the FDA
9 back in November of 2015, the GDUFA II negotiations
10 were just starting up, so I was able to get
11 introduced into the programs, not only GDUFA but
12 PDUFA as well.
13 So I do feel alliance with the UFA programs,
14 and now that we're going through the
15 reauthorization, I'm kind of growing up now, going
16 into the third iteration for GDUFA itself.
17 Let me go on to the topics I'm going to be
18 presenting today: a life cycle approach to
19 pharmaceutical quality and give you a little bit of
20 background on that; FDA's research and how that
21 informs quality assessment; innovations in FDA's
22 generic drug assessment; and generics in the time

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1 of COVID-19.
2 Let me start out with the life cycle
3 approach to pharmaceutical quality. What I
4 typically like to do is kind of ground people in
5 terms of quality itself, and pharmaceutical quality
6 more specifically. A quality product of any kind
7 consistently meets the expectations of the users.
8 People feel real comfortable talking about
9 quality when it comes to things like computers,
10 automobiles, smartphones, but I do want to
11 communicate and share with you that drugs are no
12 different. They need to consistently meet the
13 expectations of the end user, and for us that end
14 user is both patients and consumers.
15 Patients expect safe and effective medicines
16 with every dose that they take, and the way I like
17 to define it is that quality ensures the safety and
18 efficacy of the next dose; which makes it just an
19 easier way for me to explain pharmaceutical
20 quality. Pharmaceutical quality is what gives
21 patients confidence in their next dose of medicine,
22 as I mentioned.

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1 Now what I'd like to do is to get into the
2 quality over the drug product life cycle. One of
3 the hallmarks of CDER's quality program is the
4 focus that spans the entire drug product life
5 cycle, my Office of Pharmaceutical Quality. This
6 means we are involved in the quality of a product
7 from the time it is born as an IND, or an
8 investigational new drug, to the time that multiple
9 generic competitors are approved and brought to the
10 market.
11 This approach emphasizes knowledge sharing
12 across the life cycle, which is a key thing for us
13 and it's essential for quality oversight. It
14 enables us then to ensure quality medicines are
15 consistently available to patients and consumers.
16 It enables us then to proactively prevent drug
17 shortages. Very importantly, it enables us to
18 ensure parity between brand and generic products.
19 We've seen successes of this life cycle
20 approach play out under GDUFA. One example is
21 ensuring that innovator drug labeling is current
22 and complete such that it enables the development

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1 and eventual approval of more generic drugs.
2 Inaccurate innovator labels would then, of course,
3 limit generic competition.
4 Another example is the integrated quality
5 assessment, which is a team-based approach or
6 team-based process that brings quality discipline
7 experts together to solve difficult issues. This
8 team-based approach has led to the approval of many
9 difficult critical generic products under GDUFA.
10 What I'd like to do now is to transition
11 over to FDA research and how that informs the
12 quality assessment, but keep in mind that if we
13 wait to address the underlying science of generic
14 drug applications until they arrive on the
15 doorstep, then it's already too late for us. So we
16 need to do that proactively and we need to do that
17 in advance of these generic products coming into
18 the center.
19 For this reason, we've built a strong
20 proactive science and research program under GDUFA.
21 With this proactive approach to science and
22 research, we can be prepared then to respond. For

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1 example, this may prepare us to address consumer
2 complaints or face public health issues.
3 It is also very forward-looking, as we want
4 to make sure we're prepared to handle the latest
5 technologies and process control and advanced
6 manufacturing, the latest in advanced analytics,
7 and the latest advances in drug design and
8 formulation. After all, with our life cycle
9 approach, new drugs are already viewed as the
10 future generic drugs. That's kind of how we view
11 it within the program itself.
12 Let me explain to you or give you a little
13 bit of an idea what I mean by advanced
14 manufacturing. This is one of the areas that I
15 typically like to talk about because it's extremely
16 important across all the programs, all the UFA
17 programs that we're dealing with.
18 In terms of what's novel manufacturing, most
19 people would say, "Well, novel manufacturing looks
20 at the methods to improve process robustness in
21 efficiency." But the way we define it, it goes
22 even beyond just the manufacturing methods because

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1 the manufacturing methods then lead to the dosage
2 form. So novel dosage forms and delivery systems
3 to improve drug delivery and targeting is also
4 defined as part of advanced manufacturing.
5 Well, now there's a third part because in
6 order to make those dosage forms using advanced
7 manufacturing, you need to be able to analyze them.
8 So the third part then becomes novel analytical
9 tools to improve product quality testing, process
10 monitoring, and/or process control, and that's how
11 we define advanced manufacturing.
12 So advanced manufacturing is coming in the
13 generics industry. We've seen a lot of interest in
14 this area, and we've also received applications
15 that have come into the program. But let me
16 explain how our research program directly enables
17 generic drug approvals. One example is
18 abuse-deterrent formulations of generic opioid
19 products. These are part of FDA's action plans to
20 address opioid addiction.
21 Our research fuels the understanding of
22 formulations necessary for the assessment of what

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1 we call ADF or whatchama-call-its, for the ADF.
2 It's the abuse-deterrent formulations that we have.
3 Also, another example that we use is locally-acting
4 ophthalmic drug products. These ocular drug
5 products then are also particularly important to us
6 for developing and testing bioequivalence to the
7 innovator products. Our research directly
8 contributed to the development of guidances related
9 to these types of products.
10 What I'd like to do is go on to transition
11 into innovations in FDA's generic drug assessment.
12 It's not enough to simply be prepared for
13 industry's innovations; we also need to make sure
14 that the FDA is being innovative in the way we
15 conduct our business, particularly related to
16 quality assessment.
17 The future of quality assessments looks like
18 this. It's the knowledge-aided assessment and
19 structure application or what we call KASA. You'll
20 hear more about KASA later on, but briefly it's a
21 database platform that will take structured data
22 from applications and use it for structured quality

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1 assessments. Importantly, it will assess risk and
2 enable efficient knowledge management about
3 products, process, and facilities across the life
4 cycle, from the IND, all the way through to
5 generic, all the way through to post-marketing
6 activities that need to take place.
7 We talk about managing the life cycle of a
8 product, and KASA, this knowledge-aided assessment
9 and structured application will allow us to do that
10 more efficiently and more effectively and make use
11 of the learnings across the life cycle. But KASA
12 isn't just the future; it's also the present. We
13 have already used an iteration of KASA internally
14 for some solid oral generic drug product quality
15 assessments.
16 So as we always like to say, the future is
17 already here. KASA has clear benefits to us at the
18 FDA. It enhances our consistency and objectivity.
19 It enables knowledge management as it relates to
20 sharing information about products, manufacturing,
21 and facilities. It also accelerates our regulatory
22 actions and decision making.

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1 What I want to point out is that KASA has
2 similar, if not better, benefits for patients and
3 industry as well, which is always our ultimate
4 focus. It should provide for clear regulatory
5 expectation and enhanced transparency and enhanced
6 consistency and application assessment. It also
7 increases the ability for first-cycle approvals,
8 and this is particularly so for generics, but
9 important not only for generics, but for new drugs
10 as well. It should lead to more affordable and
11 accessible medicines, importantly, while making
12 sure we hold the same lofty quality standards.
13 Transitioning into generics in the time of
14 COVID-19, if KASA is what the future holds, what
15 I'd like to talk about now is how GDUFA is
16 benefiting everyone right now, even in the time of
17 COVID-19. Much of the press is focused on new drug
18 discovery and development, but generics have played
19 a crucial role in our nation's COVID-19 response as
20 well.
21 The error of COVID has made our job harder,
22 but many of the issues we're dealing with are ones

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1 we've been bringing to light for years. This
2 includes the complexity of the supply chains that
3 we deal with, drug shortages, and also
4 decisionmaking based on changing science and risk.
5 So COVID-19 has really brought these to the
6 forefronts even though we've known they've existed
7 for a period of time.
8 You've seen and perhaps benefited from some
9 of the things GDUFA has enabled us to do related to
10 COVID. We've helped secure the supply chain by
11 expediting the quality assessments of hundreds of
12 application supplements, and we've also approved
13 hundreds of these supplements.
14 We've granted regulatory discretion to
15 accelerate post-approval changes to provide
16 flexibility to manufacturers. For example, based
17 on risk and medical necessity, we've downgraded
18 some prior approval supplements to changes being
19 effected supplements; and where possible, we've
20 been driving COVID-related ANDAs to first-cycle
21 approvals through a combination of information
22 requests and good old-fashioned hard work. Nothing

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1 ever replaces good old-fashioned hard work.
2 When I look at who's benefited from GDUFA,
3 that does not exclude us at the FDA as well. Like
4 all of you, we rely on generic medicines as well
5 for our health and well-being, so don't forget that
6 we are patients, too. Let me close then by asking
7 that we continue using GDUFA to give us our
8 confidence in our next dose of generic medicines.
9 I thank you for the time and for allowing me to
10 give my opening remarks.
11 Introduction of Panel -
12 - Michael Kopcha
13 DR. KOPCHA: As Martha had mentioned, what
14 I'd like to do now is to go through and make you
15 aware of the individuals that are going to be our
16 FDA GDUFA III Q&A panel of experts.
17 I'm going to start with Dr. Jacqueline
18 Corrigan-Curay. She's the director of the Office
19 of Medical Policy at CDER; Mr. Alonza Cruse who's
20 the director of the Office of Pharmaceutical
21 Quality Operations at the Office of Regulatory
22 Affairs; Ms. Ashley Boam who's the director of the
23 Office of Policy for Pharmaceutical Quality at OPQ;

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1 Ms. Maryll Toufanian who's the director of the
2 Office of Generic Drug Policy at OGD; Mr. Ted
3 Sherwood who's the director of the Office of
4 Regulatory Operations at OGD; and Dr. Robert
5 Lionberger who's the director of the Office of
6 Research and Standards at OGD.
7 They will actually be with us today,
8 throughout the public meeting today. At certain
9 allocated time points, we will have the panel of
10 FDA experts clarify questions of our external guest
11 speakers to make sure we have a clear understanding
12 of the points and the concerns, or even some of the
13 positive things that outside speakers may have
14 about the program.
15 Martha, I believe I now turn it back over to
16 you.
17 MS. NGUYEN: Thank you, Mike. Thank you for
18 your remarks and for introducing our panel of FDA
19 experts.
20 Next I'd like to introduce Maryll Toufanian,
21 who, as Mike said, is the director of the Office of
22 Generic Drug Policy. She will be providing an

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1 overview of GDUFA II.
2 Presentation - Maryll Toufanian
3 MS. TOUFANIAN: Good morning. It's my
4 pleasure to be speaking with you all today. I have
5 the good fortune of being part of the team that is
6 intimately involved in implementing the GDUFA II
7 agreement, and I have the pleasure of doing so
8 because it has been such a tremendous collaborative
9 endeavor with many of the folks who are joining our
10 public meeting today, and more generally within the
11 industry community and the more general public
12 stakeholder community.
13 To refresh folks' recollection, the GDUFA II
14 agreement really builds on the fundamental
15 restructuring that took place under GDUFA I, which
16 as we all know established the modern generic drug
17 review program under a user-fee paradigm. GDUFA II
18 really streamlined and finessed the GDUFA I
19 agreement, targeting where there could be more
20 improvement in our work with industry to facilitate
21 the availability of generic drug products as soon
22 as possible.

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1 The core of the GDUFA II agreement had two
2 major objectives. The first was reducing the
3 number of review cycles to approval and, two,
4 increasing those approvals to ensure that safe,
5 high-quality, and lower cost generic drugs were
6 available.
7 Features, which Sally and Mike both
8 described in some detail, included the new pre-ANDA
9 program really focusing on how we can get those
10 more complex generic products that are more
11 difficult to genericize into our queue factor and
12 reviewed more quickly, making sure that we are
13 transparent and proactive in our support of not
14 only the assessment of those products but really
15 the development.
16 In addition, there were new review goals for
17 priority ANDA submissions, again seeking to
18 facilitate more efficient and timely assessment and
19 approval, greater accountability and reporting, and
20 a slightly modified user-fee structure; again, all
21 with the intent of going from good to great, as we
22 did from GDUFA I to GDUFA II.

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1 GDUFA by the numbers, I think that we are
2 very proud as a generic drug program to have
3 achieved what we did during GDUFA II. As reflected
4 on the slide, we had over 2,000 approvals, over 550
5 tentative approvals as of mid-point this year, and
6 it's a result of a tremendous effort both by the
7 program, but also our partners in industry in
8 stepping up and engaging all of the important tools
9 and all of the important process improvements that
10 we contemplated as we negotiated the GDUFA II
11 agreement.
12 But obviously numbers, approval numbers and
13 tentative approvals, are not the full story. So for
14 the next few minutes, I'm going to take the
15 opportunity to go under the numbers or beyond the
16 numbers, and share with this group a little bit
17 more of what goes into a successful generic drug
18 program.
19 First we have the graph cap [ph] numbers
20 that we talk about when we talk about our
21 assessment and work we do prior to ANDA submission.
22 A complete response letter is what we send to

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1 industry upon assessment of a generic drug
2 application, listing out all of the deficiencies
3 that we've identified need to be resolved. We've
4 worked tirelessly to provide that information to
5 industry, and we're working on making those
6 communications even more efficient, more clear, and
7 more helpful to industry.
8 Even before we issue the complete response
9 letter, two very important features of GDUFA II is
10 the opportunity that FDA has to communicate
11 deficiencies and ask questions over the course of a
12 review cycle to ensure that we have as much
13 information as we can get in order to evaluate the
14 generic drug submissions. As you can see, the
15 numbers speak for themselves.
16 Each one of those thousands of
17 communications reflect a significant amount of work
18 by multiple parts of the review assessment program,
19 ensuring that we are, as nimbly and efficiently as
20 possible, identifying next steps for resolution of
21 outstanding scientific and regulatory issues with a
22 particular submission.

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1 A significant number of drug master file
2 completeness assessments have been done. This is
3 that first step that's necessary for a generic drug
4 applicant to reference a drug master file; again,
5 trying to make sure we're doing that as efficiently
6 as possible so that everything comes together in a
7 way that facilitates a more efficient generic drug
8 assessment.

9 A significant amount of work has been done
10 prior to ANDA submission by our scientific groups
11 in the form of controlled correspondence, which
12 give folks an ability to ask specific questions,
13 and a really exceptionally successful pre-ANDA
14 program. Those series of meetings, both prior to
15 ANDA submission and during the ANDA assessment,
16 gives a really significant amount of scientific
17 attention to those harder to develop complex
18 generics.

19 With success, and I'll be talking about this
20 in a little bit more detail later on, comes a very,
21 very important -- and I think Sally and Mike in
22 particular reference that once we approve a

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1 product, we are totally committed to making sure
2 that product remains a safe, effective, and
3 high-quality product. That involves our continued
4 work reviewing prior approval and was referred to
5 as changes being effective supplements.

6 These are the opportunities to make sure
7 that the product is both up to date with respect to
8 labeling and quality and really ensuring that the
9 American public has the very best generic drug
10 products that can be made.

11 In addition to these more formal
12 communications and paper exchanges, I'll note
13 that -- and I think that this is actually an
14 undercount -- there is a significant number of more
15 informal communications between various parts of
16 the review program and applicants, really trying to
17 facilitate that dialogue in a way that makes clear
18 to industry applicants and other industry
19 stakeholders what our scientific and regulatory
20 standards are so that they can meet them.

21 Now stepping back from the director review
22 work, as Sally alluded to, all of that work is

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1 really complimented by a second or third circle of
2 work, and that is the work that the offices in the
3 program in general do to make our regulatory and
4 scientific processes, requirements, and
5 recommendations as transparent and clear as
6 possible to industry and public stakeholders.

7 We've issued in GDUFA II over 27 draft and
8 final guidances with topics across the spectrum,
9 and some are very practical. Here is what we
10 agreed to in GDUFA II and here is how we propose to
11 implement that agreement.

12 Guidances are a vital way for us not only to
13 provide our ultimate recommendations, but in almost
14 an iterative process, propose our plans for
15 implementation, receive very important feedback
16 from the public, and then finalize those
17 recommendations so we can provide as much
18 transparency as possible.

19 We issue guidances on a number of scientific
20 topics, including very important communications on
21 how we recommend the development and our evaluation
22 of complex dosage forms; combination products;

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1 peptide products; abuse deterrence; and consensus
2 standards.

3 Finally, there are a number of draft and
4 final guidances that provide important
5 recommendations and background for those parts of
6 the program that aren't necessarily front and
7 center when we think about assessment, but yet a
8 vital cog; and that is the work that we all in the
9 generic landscape understand to be very complex
10 with respect to the important incentives embedded
11 in the Hatch-Waxman amendment that established our
12 program over 30 years ago in terms of the
13 incentives for new drugs, the patent and
14 exclusivity provisions of the law, and how those
15 are implemented by the generic drug program
16 recently.

17 For example, we went beyond what is normally
18 considered part of the nuts and bolts in GDUFA, but
19 really sought -- in both the draft guidance
20 describing the work of the Orange Book, which is
21 the soul of regulatory information for both new and
22 generic drug review -- and in addition asked the

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1 stakeholders how can this publication and how can
2 our work implementing certain provisions of the
3 statute related to patents and exclusivity be
4 enhanced.
5 In addition to the guidances, we issued over
6 20 MAPPs with topics as broad as how we're going to
7 communicate with industry, what you all can expect
8 from us, more transparency on our review assessment
9 activities, and the pre-ANDA process.
10 All of this work is reflective of a
11 significant amount of collaboration, time, effort,
12 and coordination among all of the people who are
13 also reviewing the generic drug applications, and a
14 significant number of people who you may not think
15 about, including those within the policy programs,
16 those within the Commissioner's office, and those
17 who within the Chief Counsel. So it really does
18 take a village, and some of these efforts show the
19 fruits of those endeavors.
20 In addition, in the next circle of efforts,
21 we have provided a significant number of
22 informational activities directly supporting

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1 generic drug access. Sally referenced some of
2 these. I won't go into great detail, but I think
3 it's notable that much of this, again, was not
4 necessary and was not part of our direct
5 commitments under the GDUFA II agreement, and yet
6 we identified the need to go above and beyond and
7 provide, in creative ways, additional information
8 to facilitate the success of the generic drug
9 program.
10 Those activities included the creation of
11 several informational web pages, and I'll feature
12 two in particular. One was what we refer to as the
13 "Overhaul of the Paragraph IV Certification
14 Webpage." As I referenced previously, there's a
15 significant overlay of intellectual property
16 elements to our regulatory process, one of which is
17 providing information that is essential for
18 industry to plan when first generics likely will be
19 able to be approved as informed by the patent and
20 exclusivity landscape.
21 We noted that while there was certain
22 information available, we had received feedback

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1 that it would be much more helpful to provide
2 additional information, so we created that because
3 in addition to making sure that our assessment
4 process is streamlined and as efficient as
5 possible, our implementation of the patent
6 exclusivity provisions of the statute really
7 necessitate and can be facilitated by greater
8 transparency on when a product can actually get
9 approved.
10 In addition, there were statutory mandates
11 that were contemplated during the GDUFA
12 negotiations that were implemented and we're
13 committed to implementing successfully, including a
14 totally new element of our review program in the
15 competitive generic therapy pathway to incentivize
16 generic competition for older product for which
17 there was limited generic competition, and a
18 webpage on CREATES implementation that helps
19 facilitate a very important part of recent
20 legislation; and that is facilitating the
21 availability of samples for generic developers and
22 conducting their pre-submission evaluative testing.

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1 This is something that I think we heard loud
2 and clear and Congress ultimately heard loud and
3 clear, was the problem inhibiting generic drug
4 development. We embraced the results of CREATES
5 and provided what we think is very helpful
6 information about how we are going to implement
7 that to facilitate generic drug development.
8 As Sally described, and I think Rob will
9 detail later, there's been a significant number of
10 webinars, podcasts, and events hosted by the FDA
11 Small Business and Industry Assistance program on a
12 number of topics. The terrific news about this,
13 and much of our work, is that it's available on our
14 website for all to resource and continue to
15 resource, helping both seasoned ANDA applicants but
16 also those new ANDA applicants who we welcome and
17 embrace.
18 There's extensive individual participation
19 in external regulatory and scientific meetings and
20 a significant number of scientific publications
21 taking that work that I think Rob will discuss in
22 more detail and making that available. In

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1 addition -- and I know that Ashley will touch on
2 some of this -- there's a significant program, and
3 I would say agency commitment, to the global
4 landscape and the reality that the generic drug
5 work that we all do is actually part of a larger
6 global endeavor. We're committed to maximizing
7 harmonization and in particular our work with the
8 International Council for Harmonisation activities.
9 There is more on that to come but, again,
10 something that wasn't necessarily contemplated in
11 the GDUFA II agreement and yet really complements
12 those fundamental goals and objectives that we all
13 identified.
14 The good news is that not only does
15 industry, the generic drug program, and the agency
16 but really the whole government and the
17 administration has been behind and a huge
18 champion -- especially, I'm always impressed with
19 Dr. Hahn because there is such a tremendous amount
20 of work to be done by FDA. Yet, since he stepped
21 on campus, he has been a real champion and very
22 much involved in ensuring that the important

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1 purposes of GDUFA II and the Drug Competition
2 Action Plan are furthered under his leadership, in
3 addition to the significant CDER and obviously
4 program support.
5 The Drug Competition Action Plan, as
6 Dr. Hahn referenced, really complements and
7 facilitates an extension of those particular policy
8 efforts that directly support the important work
9 we're doing under GDUFA II.
10 Three tenets of that is including increasing
11 transparency and efficiency in FDA assessment;
12 enhancing the development of complex product
13 review; and reducing gaming by innovators and other
14 stakeholders that may frustrate or delay generic
15 approval. All of this, again, is that next
16 concentric circle that really is showing the
17 multiple layers of our commitment and our desire to
18 make our GDUFA II agreement successful.
19 At the end of GDUFA II, where are we? As a
20 result of GDUFA and the efforts that I've detailed,
21 and many, many, many more on the part of the agency
22 and of industry, I think we have all demonstrated

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1 the ability to do what it takes to meet the goals
2 of the agreement. That just doesn't mean the
3 numbers; it means making sure that all of the work
4 that we do complements and helps us achieve those
5 goals.
6 We have healthy generic program study
7 numbers of applications with industry and FDA,
8 which is a real indicator of the health of the
9 program. While numbers, submissions, and approvals
10 or action numbers will ebb and flow as the work of
11 the industry and the agency works through, I think
12 we now have really achieved a successful modern,
13 nimble review program. We have the most
14 predictable and transparent assessment process to
15 date and, as Rob will detail, a thriving,
16 strategically positioned science and research
17 program.
18 So what does all of this give to us?
19 Really, a springboard to GDUFA III, and that's why
20 we're here today, is to set the stage and really
21 invite important public comment on what's in light
22 of the successes. Certainly there have been

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1 challenges in implementing the GDUFA II agreement.
2 What can we do better?
3 Looking ahead, I know from the agency's
4 perspective, the next authorization cycle creates
5 new opportunities to further enhance the program
6 and the partnership. Always with success comes
7 responsibility. As I alluded to previously, there
8 has been a significant increase in supplements to
9 approve ANDAs. We embrace those supplements as
10 important to maintaining safe, effective, and
11 quality medicines we all take.
12 In addition, we have additional safety
13 surveillance responsibilities that we take very
14 seriously. In addition to the work we do to
15 approve the product, we have an entire staff and
16 many, many people in our partner offices within
17 CDER and FDA committed to surveilling the landscape
18 of drugs, including generic drugs, to ensure that
19 if there are signals related to potential safety or
20 efficacy concerns, we are proactive and embrace the
21 tools we have and develop new tools to ensure that
22 products out there we are all taking are safe and

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1 effective.

2 I think there's also still work to be done

3 to further enhance the efficiency and transparency

4 of our work and to gain more first-cycle approvals,

5 which benefits not only those folks at the

6 negotiation table, but more importantly those

7 individuals and American patients to get those

8 products as soon as they can.

9 I think we also realize that we must be

10 forward-thinking. We must anticipate what the

11 future generic drugs submission horizon looks like.

12 I think Rob will describe in more detail as drugs

13 become more innovative when they are first approved

14 by FDA, we have a responsibility in the generic

15 drug program to make sure we are ready to assess

16 and review those products, notwithstanding those

17 complexities, to ensure they are substitutable as

18 they come in as generics.

19 I think there's an extraordinary amount of

20 rapidly advancing technologies. Mike alluded to

21 many of those in the manufacturing stage, and I

22 think Ashley will to come. But it's not limited to

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1 manufacturing. I think there's a whole host of

2 really exceptional work coming out of our research

3 activity just in general that a GDUFA program

4 should be able to embrace.

5 I think all of that requires us to embrace

6 creative thinking. As I like to say, I'm fortunate

7 to work with the smartest people I know. I'm

8 humbled by that work. I'm equally humbled by the

9 work that I see industry and other important public

10 stakeholders bring to the table. In order to

11 really maximize the success of the program, we need

12 to embrace that creative thinking.

13 In closing, I'll say that we often reflect

14 on what we can do better, what industry can do

15 better, and what stakeholders can do better in

16 making sure there's a return on our investment.

17 For us, and I think all of us in the generic drug

18 community, we understand the most important return

19 on investment is that return we get to see there in

20 public, to the folks who take generic drugs and

21 rely on generic drugs every day.

22 I'll echo what everyone has said before me,

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1 that I think although the current global pandemic

2 is profoundly foundationally challenging our

3 nation, I think that we as a collaborative generic

4 endeavor have been able to address some of the deep

5 challenges with respect to access because of the

6 successes of what we've all been describing. Our

7 responsibility now is to make sure that the next

8 authorization allows us to continue to be nimble

9 and embrace any challenges that may come.

10 With that, I will turn it back over to

11 Martha Nguyen.

12 MS. NGUYEN: Thanks, Maryll, for your

13 comments.

14 Next is Elizabeth Miller, the assistant

15 commissioner for Medical Products and Tobacco

16 Operations, who will speak with us about the future

17 of inspections, in particular, the role of the

18 Office of Regulatory Affairs.

19 Presentation - Elizabeth Miller

20 MS. MILLER: Hi. Good morning, and thank

21 you so much for inviting me to present. I hope

22 everyone is well and staying safe. It's my

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1 pleasure to be joining you as I begin my fourth

2 month back at FDA in the Office of Regulatory

3 Affairs. Today I will be sharing ORA's

4 perspectives and information about the future of

5 inspections.

6 I'd like to frame the discussion with some

7 overarching perspective. Together we have shared

8 goals that include work we do to help ensure that

9 U.S. patients and the U.S. healthcare systems have

10 access to a secure and consistent supply of

11 critical pharmaceuticals. ORA's mission is

12 protecting consumers and enhancing public health by

13 maximizing compliance of FDA-regulated products and

14 minimizing risks associated with those products.

15 Our mission and the public health outcome,

16 as we strive to achieve, are closely aligned and

17 frequently mutual; and as such, it's critical that

18 we at FDA appropriately partner with regulated

19 industry to realize the intended impact. The

20 diverse and resilient pharmaceutical supply chain

21 is critical to our nation's health, and a reduction

22 in dependence on any one country for key

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1 pharmaceuticals or their components contributes to
2 our national security. Industry owns the primary
3 responsibility to reliably produce quality,
4 effective, and safe products.
5 The medical product industries we regulate
6 must adhere to current good manufacturing practice
7 requirements pertaining to, for example, operating
8 procedures, manufacturing, sanitation, and
9 processing controls, and are subject to certain
10 reporting requirements about their facilities.
11 Furthermore, there is expectations that the
12 development of generic product data and the
13 integrity of those data are sound.
14 A little bit about ORA, ORA is at the
15 forefront of building a public health safety net
16 for today's complex global regulatory environment.
17 ORA professionals work in a range of program areas
18 and locations with 227 offices and 13 laboratories
19 throughout the United States. Six of these
20 laboratories specialize in pharmaceutical analysis.
21 As the lead office for all FDA field
22 activity, ORA serves as the eyes and ears of the

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1 agency through inspections of firms and
2 establishments producing FDA-regulated products;
3 investigations of consumer complaints, emergencies,
4 and criminal activity; enforcement of FDA
5 regulations; sample, collection, and analysis; and
6 review of imported products.
7 ORA is committed to quality and continued
8 improvement and maintains oversight of the
9 industries FDA regulates, including human and
10 animal foods and drugs; medical devices;
11 bioresearch monitoring to support regulated
12 products; and tobacco. ORA's efforts in these
13 programs include collaborating with all FDA product
14 centers and federal, state, local, tribal,
15 territorial, and foreign regulatory public health
16 counterparts.
17 We are also implementing new authorities
18 granted by legislation and developing regulatory
19 program standards for quality improvement, as well
20 as establishing safety systems and coordinating
21 emergency communications and doing risk-based
22 monitoring of imported products. With all of these

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1 responsibilities, it is imperative that we work
2 together, and our collaboration is essential to
3 ensure that U.S. patients and the U.S. healthcare
4 system have access to secure and consistent
5 critical pharmaceuticals.
6 Within the Office of Medical Products and
7 Tobacco Operations sits the Office of
8 Pharmaceutical Quality Operations. In this program
9 we have approximately 200 investigators conducting
10 inspections as their primary function. Included in
11 this number are the GDUFA II consumer safety
12 officers that are funded by user fees. We have 78,
13 and also currently we have 11 investigators that
14 are in our foreign drug cadre.
15 In addition, there are other GDUFA II
16 user-fee funded positions in ORA, including
17 compliance officers, supervisory consumer officers,
18 and others such as official establishment inventory
19 coordinators. I think this allows for a total of
20 about 40 additional user-fee funded positions.
21 ORA is very much involved and plays a key
22 role in our global presence. We support training

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1 and staffing of the operational investigators that
2 we have in global offices in China and India. ORA
3 trains investigators, and these are regularly
4 detailed to our global offices, and we assign and
5 review inspections in the global offices.
6 Last fiscal year, our inspectional
7 accomplishments included 941 domestic drug
8 inspections and 1,045 foreign drug inspections in
9 59 countries. ORA has an active part in FDA's
10 international engagements and we play a lead role
11 in the agency's mutual reliance activities, along
12 with the Office of Global Policy and Strategy and
13 the Center for Drugs and Biologics and the Center
14 for Veterinary Medicine.
15 As part of the mutual reliance with the EU,
16 we are sharing inspectional findings for drug and
17 biological inspections for products within scope,
18 and we are in the process of assessing authorities
19 for the oversight in veterinary medicine.
20 With the onset of the public health
21 emergency resulting from pandemic travel
22 restrictions and continued outbreak of the disease,

<p style="text-align: right;">Page 65</p> <p>1 this has posed some difficulty in accomplishing 2 many aspects of on-site inspectional work. As a 3 result of these issues and the policies implemented 4 by regulated firms restricting visitors to their 5 facilities, we experience serious challenges in 6 accomplishing inspectional activities. 7 As a result, in March, FDA announced 8 temporary postponement of foreign and domestic 9 routine surveillance facility inspections. From a 10 public health perspective and as a public health 11 agency, we are deeply committed to our 12 responsibilities for accomplishing our mission to 13 ensure access to safe, effective, and quality 14 products. 15 We recognize this needs to be balanced with 16 protection of our workforce, protection of the 17 workforces of those we regulate, and also that we 18 prevent transmission of the COVID-19 virus through 19 limitation of unnecessary contact; for example, 20 travel and other activities that don't promote 21 flattening of the curve. 22 These postponed operations are surveillance</p>	<p style="text-align: right;">Page 67</p> <p>1 inspections as soon as it is safe to do. In 2 addition to the work that was not accomplished or 3 could not be done through alternative mechanisms, 4 we have new work that has resulted from the COVID 5 response activity; for example, emergency youth 6 authorizations and work supporting supply chain and 7 access. We recognize those assignments that await 8 resumption of travel needs strategic 9 prioritization. 10 As we resume operations, we intend to apply 11 a strategic benefit-versus-risk calculus to our 12 inspectional work. We're using a data-driven risk 13 assessment system that allows us to apply a 14 strategic benefit-versus-risk framework. We are 15 monitoring this advisory system with a goal of 16 safely resuming on-site inspections. 17 In the near term, we are preannouncing our 18 prioritized domestic surveillance inspections. We 19 are doing this to determine the operating status of 20 facilities and to understand the safety within 21 those facilities, and we're using data to 22 understand the virus' trajectory in given</p>
<p style="text-align: right;">Page 66</p> <p>1 facility inspections that FDA traditionally 2 conducts every few years based on risk analysis. 3 Importantly, for-cause inspection assignments have 4 been ongoing and have been evaluated and proceeding 5 when deemed mission-critical, and this has been for 6 both domestic and, where feasible and advisable, 7 for foreign inspections as well. 8 Importantly, during this interim period, 9 we've been using additional innovative ways to 10 conduct our inspectional work that would not 11 jeopardize public safety and are protecting both 12 the firms and FDA staff. We are employing 13 authorities that allow us to accomplish our work in 14 ways that we previously have not used, such as 15 requesting records in advance or in lieu of on-site 16 work when travel is not permissible. This has 17 enabled us to make risk-informed regulatory 18 decisions and to focus and maximize the use our 19 on-site time where necessary. 20 As this remains a dynamic situation, we are 21 continuing to assess and calibrate our approach as 22 needed, and we stand ready to resume postponed</p>	<p style="text-align: right;">Page 68</p> <p>1 localities and rules and guidelines put in place by 2 those states and localities. I am happy to report 3 that this week we have started some prioritized 4 surveillance inspections. 5 I want to talk a little bit about how our 6 experience through this crisis has really informed 7 as we are moving ahead into the future. As we 8 recognize, inspections are one among many tools 9 that the agency uses to inform our risk-based 10 strategy for managing quality and safety of 11 marketed products and for overseeing the 12 importation of FDA-regulated products. 13 FDA remains committed to using all of these 14 available tools to oversee safety and quality for 15 American patients and consumers. We will continue 16 looking to facilitate new ways of operations to 17 achieve efficient regulatory activities that result 18 in the desired public health outcome. 19 We are committed to continued improvement 20 and strengthen internal coordination to ensure 21 expanded engagement with manufacturers. 22 Considering the unprecedented workload and the</p>

<p style="text-align: right;">Page 69</p> <p>1 changing priorities that have resulted from the 2 response to COVID-19, the agency is keeping up with 3 our user-fee commitments and our performance is 4 commensurate with the previous year. 5 In addition to inspections, FDA has other 6 tools like import alerts and heightened screening 7 at the borders that have been utilized as part of 8 our risk-based approach to ensuring quality and 9 compliance. We are continuing to be committed to 10 using these tools to oversee safety and quality of 11 the regulated products, and we are expanding our 12 usage of capable authority inspection reports and 13 those inspections in third countries, looking at 14 how we use our global partnerships and requiring 15 other regulatory authorities. 16 We are looking to continue to facilitate new 17 ways of operations to achieve efficient regulatory 18 activities that result in the desired public health 19 outcomes. We are committed to improvement and 20 strengthen internal coordination; adopting 21 regulatory efficiencies and new thinking; and 22 looking at new tools. We look to leveraging</p>	<p style="text-align: right;">Page 71</p> <p>1 Furthermore, we are committed to expanding 2 cooperation with manufacturers, working 3 collaboratively to evaluate facility processes and 4 look forward to discussions on ways to achieve 5 inspectional operations in innovative and creative 6 ways. 7 We look forward to engaging in dialogues and 8 how we work best together for the best interest of 9 American people and to benchmarking our operations 10 against other industries and inspectorates to 11 identify how new strategies can potentially be 12 adopted and incorporated. And we look to have open 13 and honest dialogue about how we function as true 14 partners to advance medical product quality and 15 accessibility. 16 Finally, as we look forward to optimizing 17 our inspectional operations, we're going to be 18 pushing ourselves to be forward-thinking to 19 evaluate approaches and processes that will 20 facilitate getting needed therapies approved and 21 advancing access to these therapies for Americans. 22 I think it will be important to continually closely</p>
<p style="text-align: right;">Page 70</p> <p>1 technologies and having that inform our work, as 2 well as strengthening relationships with regulatory 3 partners to reduce redundant duplicative work and 4 to disperse critical resources globally more 5 effectively. 6 So looking at some of these tools and things 7 that we are trying to accomplish, I think it's 8 really helpful that we start to look at 9 technologies and secure data platforms so that we 10 can effectively share, access, and exchange records 11 to inform regulatory decisions. 12 As I mentioned earlier, mutual reliance, we 13 are actively evaluating inspection reports of 14 capable authorities from their inspections in third 15 countries, and we are participating robustly in the 16 pharmaceutical inspection cooperation scheme, or 17 PIC/S. The PIC/S new inspection reliance guidance 18 has now been out since January 2019, and they're in 19 the process of assembling metrics for the first 20 year of implemented inspection reliance. This 21 current COVID situation has emphasized that needed 22 collaboration is essential.</p>	<p style="text-align: right;">Page 72</p> <p>1 engage, and I welcome the chance to have crucial 2 conversations that we can truly get a shared 3 understanding of where we go next. 4 I think these three bullets are really 5 illustrating some areas where we could start those 6 conversations to really understand how this 7 pandemic has impacted and changed the generic 8 manufacturing industry and to have conversations 9 about how we work best together to achieve the 10 outcomes and strengthen our partnerships so that we 11 can truly get the best outcomes for the American 12 people. 13 With that, I will turn it back to Martha. 14 Thank you for listening. 15 MS. NGUYEN: Thank you, Elizabeth. 16 Next up, we have our final presentation 17 before the morning break, and this will be by 18 Ashley Boam, who is the director of the Office of 19 Policy for Pharmaceutical Quality in OPQ. 20 Presentation - Ashley Boam 21 MS. BOAM: Hi. Thank you, Martha, and I 22 appreciate the opportunity to be with you today.</p>

<p style="text-align: right;">Page 73</p> <p>1 If we take a few moments to start and look 2 toward the past, what we've seen a lot of is what 3 you might refer to as a minimalist approach to 4 quality. What that's looked like is minimal 5 compliance with current good manufacturing 6 practice; a reactive approach to dealing with 7 problems that arise; fewer post-approval changes 8 intended to improve manufacturing processes; and 9 commonly single-entity supply chains. 10 What this has led to at times is outdated 11 equipment, use of older analytical technologies, 12 and with less robust processes, supply disruptions 13 and, unfortunately, drug shortages. 14 But let's not dwell there; let's look toward 15 the future. What we believe the future looks like 16 is more continual improvement; a proactive approach 17 to post-approval change management; the use of risk 18 management plans; and a commitment to and exploring 19 innovation. 20 So let me start with continual improvement. 21 ICH Q10, entitled Pharmaceutical Quality System, 22 actually augments the CGMP with the concept of the</p>	<p style="text-align: right;">Page 75</p> <p>1 people all throughout the chain of command within 2 the manufacturing facility. 3 Organizational objectives are linked to and 4 drive quality in the organization, and the quality 5 systems are set up to shape culture. There's a 6 focus on innovation and continual improvement, and 7 as I mentioned, using data to drive changes, so a 8 performance-based quality management system with a 9 focus on analytics and the inclusion of risk 10 management plans and forecasting to try to minimize 11 supply disruptions and be better prepared to ensure 12 that reliability of supply. 13 Let's move to post-approval change 14 management. Recently published is the ICH Q12 15 guideline, entitled Technical and Regulatory 16 Considerations for Pharmaceutical Product Life 17 Cycle Management, which is a mouthful. Q12 18 provides some important tools and enablers to help 19 facilitate continual improvement and innovation in 20 that proactive approach. 21 Those tools include established conditions, 22 post-approval change management protocols, which</p>
<p style="text-align: right;">Page 74</p> <p>1 effective pharmaceutical quality system or PQS. 2 Q10 applies to the entire life cycle of a product, 3 so not just during development but through 4 commercialization, and it addresses activities to 5 manage and continually improve the PQS. 6 Where we see consistent implementation of 7 the principles in Q10 is really a hallmark of what 8 we refer to as a mature quality management system. 9 This includes a focus on performance and continual 10 improvement, and in particular, tracking and making 11 changes to improve metrics that impact the patient. 12 It also includes using data to track performance, 13 identify opportunities for improvement, and overall 14 to reduce quality issues that can lead to 15 complaints, supply disruptions, shortages, and 16 adverse events. 17 A little bit more about what quality 18 management maturity looks like. Manufacturers and 19 those who have responsibility for oversight and 20 controls for manufacturing really take ownership 21 for quality, and that really starts from the top 22 with management setting the tone and investing in</p>	<p style="text-align: right;">Page 76</p> <p>1 are also known as comparability protocols in the 2 U.S., the product lifecycle management document, 3 and structured approaches for frequent CMC 4 post-approval changes, which are intended to 5 support products already on the market. 6 I'll spend just a moment to talk about one 7 of the most prominent and important tools in Q12, 8 which is referred to as established conditions. 9 Established conditions, or ECs as we refer to them, 10 offer applicants a real opportunity to gain clarity 11 about their future of their application as regard 12 to post-approval change management. It provides 13 specificity around which elements of the control 14 strategy must be reported when changed, but also 15 then which elements can be managed only under the 16 PQS without the need to report to the regulator. 17 It can help identify how much flexibility 18 exists within a particular element of the control 19 strategy and how much room there is to maneuver 20 without needing to report a change, and then for 21 those elements that do require changes, what's the 22 appropriate reporting category. In general,</p>

<p style="text-align: right;">Page 77</p> <p>1 established conditions offer an opportunity for 2 additional regulatory flexibility not only in 3 managing post-approval CMC changes within a single 4 region, but because this is a harmonized guideline, 5 to gain this type of flexibility in a global 6 scenario. 7 Importantly, however, the ability to gain 8 regulatory flexibility using the tools in Q12 9 depends on both a robust product and process 10 understanding and that effective PQS I mentioned in 11 terms of Q10. In particular, as you might imagine, 12 the change management aspects of Q10 are quite 13 important. Consistent implementation of ICH Q10 14 and quality management maturity provide important 15 confidence in the firm's quality system to help 16 support regulatory flexibility as offered by Q12. 17 Let me move now to innovation. You heard 18 Dr. Kopcha talk about advanced manufacturing, and I 19 want to emphasize that the world of advanced 20 manufacturing gets bigger all the time. It 21 includes, but isn't limited to, continuous 22 manufacturing, 3D printing, innovative container</p>	<p style="text-align: right;">Page 79</p> <p>1 opportunity to help reduce regulatory burden not 2 just for post-approval changes but also to 3 incentivize continual improvement in innovation. 4 As I mentioned, ICH Q12 was finalized by ICH 5 in November of 2019. Implementation is ongoing, 6 and FDA expects to publish our version of this 7 final guideline this summer. Also in development 8 is ICH Q13 on continuous manufacturing, a revision 9 to the existing Q2 guideline on analytical 10 procedure validation, and a new guideline, Q14, on 11 analytical procedure development; then ICH Q3E 12 about extractables and leachables assessment. 13 There's also been an important renewed focus 14 on quality risk management in the global 15 harmonization space. The Pharmaceutical 16 Inspectorate Cooperation Scheme or PIC/S, which is 17 an international group of regulators focused on the 18 inspection space, has recently put out a draft 19 recommendation document on how to evaluate, if you 20 are an inspector, or to demonstrate, if you are a 21 manufacturing facility, the effectiveness of a PQS 22 in relation to risk-based change management, and I</p>
<p style="text-align: right;">Page 78</p> <p>1 closure systems, and more. 2 I'll speak just for a moment about 3 continuous manufacturing. We've had some folks who 4 thought that continuous manufacturing always meant 5 end-to-end continuous processing and that's not 6 necessarily the case. Continuous manufacturing can 7 be applied only to the drug substance, or only to 8 the drug product, or even simply certain unit 9 operations within drug product manufacturing. 10 We acknowledge that continuous manufacturing 11 may not be right for every product but it can 12 certainly offer real advantages for certain 13 products. It can minimize scale-up issues, reduce 14 environmental impact, provide a more agile startup 15 and changeover between products, and can provide 16 lower costs over time. And importantly for 17 patients, it can provide a more robust process that 18 is less likely to experience disruption. 19 Now let me talk about a few opportunities 20 for FDA and industry to continue to work together 21 in the quality area. The first is in the area of 22 global harmonization, which provides an important</p>	<p style="text-align: right;">Page 80</p> <p>1 refer you all to that very good document. 2 Also ICH is just beginning this fall a 3 revision of the existing Q9 quality risk management 4 guideline. This will also be important to 5 supporting future continual improvement and 6 innovation. 7 Now let me talk about innovation on the FDA 8 side. When we think about how historically OPQ has 9 assessed quality, our assessors have put together 10 what is essentially a freestyle narrative, so lots 11 of summarizing what an applicant has provided an 12 application, copying and pasting data tables, and 13 really a format that is largely unstructured text. 14 What this makes challenging then is 15 knowledge management, managing consistency and 16 quality across the life cycle, and in general, it 17 hampers our overall modernization of our assessment 18 process. What you heard from Dr. Kopcha is our 19 ongoing efforts and development of the KASA system, 20 which is intended to address this. 21 The KASA system is being designed to capture 22 and manage knowledge during the life cycle of a</p>

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1 drug product and it includes rules and algorithms
2 that help identify risks to capture risk
3 mitigations and to facilitate consistent
4 communication to applicants regarding issues
5 related to the drug product, the manufacturing
6 process, and the facilities.
7 The KASA system provides a computer-aided
8 analysis of applications to help compare the
9 information provided against existing regulatory
10 standards and our understanding of quality risk
11 across our collection of approved drug products and
12 facility information. Then it provides a
13 structured assessment that cuts way down on the
14 text-based narratives and the summarizing of
15 information from applications that makes for a more
16 efficient process for our assessors.
17 Where we are currently is that we've really
18 done a lot to be building the house, the
19 knowledge-aided assessment piece. This piece, as
20 you heard from Dr. Kopcha, is current state, and we
21 are putting this in place for more and more dosage
22 forms and more and more of the subdisciplines of

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1 quality. But as you see here on the left hand of
2 the slide, we're still relying on manual entry of
3 unstructured data extracted for our submissions.
4 The exciting piece is the future, which is where
5 we'd have structured information submitted by an
6 applicant through our gateway that would
7 automatically filter into the KASA system and
8 facilitate the analytics and the algorithms that we
9 have in place.
10 In conclusion, as you've heard from other
11 speakers, our success really depends on FDA and
12 industry working together, as well as with our
13 global regulatory partners to achieve the future of
14 pharmaceutical quality, which we believe includes
15 more robust manufacturing processes, a culture of
16 continual improvement and innovation, fewer supply
17 disruptions and drug shortages, which then lead to
18 more consistent access to important medicines for
19 patients.
20 Thank you very much for your attention
21 today.
22 MS. NGUYEN: Thank you, Ashley.

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1 We will now take a break until 11 a.m. when
2 we will resume with additional presentations as
3 part of this public meeting. I have two quick
4 reminders. One is if you joined this meeting by
5 phone, please mute your phone. Second, if you
6 would like to speak during the open public comment
7 period, please send a request to either Dat Doan or
8 indicate your request in the technical support
9 chatbox before the lunch break. With that, we will
10 take a break until 11 a.m.
11 (Whereupon, at 10:28 a.m., a recess was
12 taken.)
13 MS. NGUYEN: Good morning, everyone, and
14 welcome back to FDA's virtual public meeting on the
15 Reauthorization of the Generic Drug User Fee
16 Amendments of 2017 or GDUFA. My name is Martha
17 Nguyen, and I will be moderating this meeting. I
18 want to thank all of the FDA presenters this
19 morning, and thank you to all of you for
20 participating in this meeting virtually.
21 We have one more FDA presenter this morning,
22 and then the rest of the morning will be dedicated

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1 to presentations by other federal agencies and
2 trade associations. As a reminder, we will have an
3 open public comment period this afternoon. If you
4 would like to speak during that open public comment
5 period, please send a message to Dat Doan or in the
6 technical support chatbox before the lunch break so
7 that we can arrange for your participation in that
8 way.
9 Next up, I would like to invite Rob
10 Lionberger to speak. He is the director of the
11 Office of Research and Standards in OGD.
12 Presentation - Robert Lionberger
13 DR. LIONBERGER: Thank you, Martha.
14 It's my pleasure to talk to you this morning
15 about the pre-ANDA program and the activities
16 related to complex generics. Hopefully, as many of
17 you who are experienced with the GDUFA II program
18 know there are multiple elements of our pre-ANDA
19 system.
20 There is our science and research activity,
21 which provides the foundation. There are
22 product-specific guidances, which provide clear

<p style="text-align: right;">Page 85</p> <p>1 advice for potential applicants. There's a 2 significant controlled correspondence program that 3 provides quick answers to specific single 4 questions, and then new in GDUFA II, we have a 5 pre-ANDA meeting program for discussion of more 6 complex issues related to complex products. 7 As part of GDUFA II, GDUFA II defines the 8 class of complex products specifically to focus the 9 pre-ANDA meeting activity around those complex 10 products. So I'm not going to go through in detail 11 exactly what's a complex product. It's a little 12 bit simpler to talk about the things that aren't 13 complex products. 14 The simpler products, the non-complex 15 products, essentially are tablets, capsules, 16 suspensions for oral administration that acts 17 systemically, as well as almost all of the 18 solutions for various routes of administration, 19 including oral and parenteral solution. 20 If you take those very standard, simple 21 dosage forms, that's essentially this class. 22 Almost everything else is a complex product.</p>	<p style="text-align: right;">Page 87</p> <p>1 research, public workshop, and a public process by 2 which we seek stakeholder input on which research 3 activity will be important both to our industry 4 stakeholders and to our patient stakeholders 5 through public health advocates. We have a 6 long-standing process for public input into the 7 research planning. We also have ways in GDUFA II 8 where we meet regularly with the industry 9 stakeholders to discuss and align a research plan 10 for those activities. 11 We also look at the inventory specifically 12 of complex products to say what products are 13 complex and which ones will require some research 14 activity before we can provide clear scientific 15 advice on how to develop generic versions of those 16 products. 17 Once the plan is complete, there's a lot of 18 work that goes on in executing the research. 19 Research at FDA is done either internally, so the 20 FDA labs in the Office of Pharmaceutical Quality 21 and also in the Office of Translational Science; 22 work on that. We also internally do a lot of data</p>
<p style="text-align: right;">Page 86</p> <p>1 That's probably the simplest way to think about the 2 division. Although complex products are very 3 important, as I'll show in this talk, most of the 4 work that FDA is still doing is on the non-complex 5 products. They still make the vast majority of the 6 generic products that are being submitted and 7 supplied, so it's important to keep that in mind as 8 we go through these activities, that there's a 9 valuable part of maintaining appropriate advice on 10 the non-complex product as well. 11 I want to talk a little bit about how the 12 overall system works together. You've heard a 13 little bit about this from Sally and Mike, about 14 the importance of the research activity and how it 15 feeds into the different activities, so I want to 16 emphasize that a little bit by walking people 17 through how the pre-ANDA system works from FDA's 18 perspective, beginning with research and moving 19 toward application evaluation. 20 Again, research planning, we have to decide 21 what research to do. One important part of GDUFA 22 is that there's been, since GDUFA I, a public</p>	<p style="text-align: right;">Page 88</p> <p>1 analysis and model building, but there are 2 certainly some things where we don't have either 3 the capability or capacity to do internally, so we 4 collaborate with academic experts. 5 We bring in leading pharmaceutical 6 scientists across the world and engage them with 7 the generic drug program. This builds a reservoir 8 of expertise of people who because of their 9 participation through this collaboration have much 10 more understanding and expertise of issues related 11 to generic drug development, and students who work 12 for these collaborators are part of the 13 pharmaceutical sciences workforce who have 14 experience in things related to potential generic 15 drug development so this is really expanding. 16 Also in addition to accomplishing the 17 research goals, it also expands the scientific pool 18 of experts who are available to the community as 19 you're developing the product. That's had a 20 significant impact on raising the visibility of the 21 scientific issues related to generic drug 22 development when the leading pharmaceutical</p>

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1 scientists are collaborating with FDA and
2 addressing them. That's maybe under-recognized but
3 an important part of our program.
4 As well, some of the activities that we do
5 externally, FDA does not have the capability
6 internally to do human subject research, so some of
7 our grants and contracts go for human subject
8 research to obtain new in vivo data that helps the
9 research program, and we have activities related to
10 oversight and monitoring and protecting the human
11 subjects engaged in those research activities.
12 Once the research is complete, then the
13 staff within FDA, basically what we do is digest
14 the results of those research activities and use
15 them essentially to create research and standards.
16 The office that I lead is the Office of Research
17 and Standards. We do the research, but our goal
18 also is to translate that into advice for the
19 development of generic products. Certainly,
20 they're not standards in the formal sense, but they
21 form the scientific advice.
22 You see many of that in our product-specific

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1 guidance on complex products. We also say how do
2 we take this research and put it into a form that
3 can be useful for pharmaceutical development and
4 evaluation, so that's the new tools and
5 bioequivalence approaches.
6 There are additional ways that applicants
7 can engage with us on these activities, primarily
8 on complex products through the pre-ANDA meetings.
9 So all of the knowledge that's been developed
10 through the research program thinking about
11 product-specific guidances also feeds into the
12 pre-ANDA meeting response, so it's built on that
13 foundation.
14 The pre-ANDA meetings are very company and
15 product specific, but we also try to do general
16 communications through industry workshops such as
17 our SBIA workshop on complex generics that we hold
18 every September with about 3,000 people attending
19 that each year, as well as training internally for
20 FDA staff so that the knowledge that we generate
21 through this research activity flows into our
22 review process.

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1 The final step -- and this is one where I
2 think that there are opportunities for improvements
3 in the future -- is really how we assess the
4 applications once they come in. Certainly within
5 our internal processes, the experts who have been
6 thinking about these products get consulted and
7 bring that knowledge into the ANDA review
8 assessment.
9 For example, the OPQ laboratory scientists
10 who've done incredible work on the abuse-deterrent
11 formulations, they're involved in the assessment of
12 the in vitro data for the abuse-deterrent
13 properties. As well, when we develop new modeling
14 simulation approaches to BE studies, those people
15 are consulted through the ANDA bioequivalence
16 review process. So the experts are brought in as
17 needed, and then this again leaves to application
18 decision.
19 The important thing is that there's a
20 pipeline of activities wherein GDUFA I really
21 establishes that you have a research program.
22 GDUFA II adds on goals around product-specific

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1 guidances and the pre-ANDA meeting process as we
2 look forward and say how do we continue this
3 activity flowing through into application decision
4 processes.
5 I just want to talk a little bit about the
6 different aspects of the program briefly to give an
7 idea of scale and impact of the program. For
8 research activities, with the stable investment
9 from GDUFA II, we're able to have a very active
10 research program. There's probably about a hundred
11 active projects both internal and external at any
12 time.
13 We publish each year a list of the new
14 grants or contracts where we go outside, so we're
15 completely transparent about the contracts and
16 grants that are awarded each year, and we have a
17 website where we list all the publications and
18 presentations that come out of this process. We
19 have approximately -- although I think it's been
20 left off of the PDF slide here -- each year
21 50 peer-reviewed publications, around 100
22 presentations at different scientific meetings.

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1 You can go to our website to the provided
2 links to where those are, as well as we develop our
3 own focus workshops either run by FDA or are
4 collaborators within CDER to the SBIA group, or
5 with external scientific organizations, depending
6 on the topic and the focus, to provide detailed
7 insight into some of the specific areas. So we
8 look for the appropriate audience for each group.
9 Again, the key scales, the research
10 activity, probably the first place from a
11 regulatory point of view, you'll see the research
12 impacted through the product-specific guidances for
13 the complex products; and this again, here's the
14 publication and the magnitude that you can see for
15 these activities.
16 Just to give some examples of the kind of
17 things that are covered by the research example,
18 we've divided the research approaches into three
19 broad categories. One is about generic access and
20 all product categories. This is probably the
21 largest chunk of our research program. It's
22 focused on what's the best way to demonstrate

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1 bioequivalence for complex and locally-acting
2 products.
3 This includes a lot of the in vitro BE
4 methods, so there's a lot of interface between the
5 product quality evaluation, the bioequivalence
6 evaluation, and these areas for the new approaches
7 to topical semi-solids; nasal suspensions;
8 ophthalmic suspensions and emulsions; and
9 inhalation products. These are things we talk
10 about at our public workshops each year.
11 Another category of research helps build the
12 confidence in generic substitution. These have
13 been things that primarily during GDUFA I we began
14 many of these because there was a lot of questions
15 at that time about brand to generic switching and
16 is it effective.
17 So we really did some focus studies in
18 patient populations that looked at brand to generic
19 switching and patients switching back and forth,
20 really showing that, as we expect, based on our
21 approvals, that the generic products are
22 substitutable in the patient population.

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1 This can be important. Some of these very
2 significant ones on antiepileptic drugs helped
3 change the perspective of some of the professional
4 societies that had perhaps sometimes a negative
5 view of generic substitution. So there's work like
6 this that help build the confidence broadly in the
7 generic drug substitution.
8 We've also been involved in adapting
9 surveillance tools for generics. The post-approval
10 questions about generics are very different than
11 those for new drugs. At FDA, our Office of
12 Surveillance and Epidemiology is looking at
13 unexpected adverse events for new drug products
14 that raise safety issues that weren't discovered in
15 the NDA approval process.
16 For generics, we're really looking at
17 questions about substitution and are products being
18 successfully substituted, and sometimes that's a
19 more subtle question, less than a large effect than
20 a new unexpected adverse event. So there are
21 different types of tools and methods that might be
22 used in that as the generic industry thinks about

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1 how its pharmacovigilance program should look in
2 the future. There are new approaches. There are
3 lots more data available and different suppliers of
4 that type of data. FDA has a Sentinel program and
5 there's interest in real-world evidence.
6 So a lot of things like that feed into this
7 approach to say what should you do to ensure that
8 generic drugs are being successfully substituted
9 the way we expect.
10 The final category is focused less on the
11 product categories but on the tools for development
12 and review. There are two big categories that we
13 focus on here. One is modeling, simulation, and
14 data science. These are PBPK models, absorption
15 models, and clinical trial simulation models, as
16 well as the new frontiers in data analysis, machine
17 learning, and artificial intelligence models and
18 applying them to problems relevant to generic drug
19 development. We have one paper that talks about
20 how you use machine learning models to predict ANDA
21 submission probabilities that we'll see an
22 NCE [indiscernible] application come in.

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1 Finally there's characterization, advanced
2 analytical characterization. A lot of this is done
3 with FDA lab. One specific example is looking at
4 long-acting injectables, a product category that
5 has a very small number of generics and a lot of
6 complicated polymer characterization issues related
7 there that come out of our research programs.
8 These are the scope and type of things that are
9 involved in the research activities, and they feed
10 the development of products through the guidances
11 and our discussions at pre-ANDA meetings.
12 Talking about our product-specific
13 guidances, this is a long-standing program that
14 predates GDUFA II. Currently, there are about 1800
15 product-specific guidances available. We now, with
16 the GDUFA support for this program, are really able
17 to deliver on consistent quarterly postings of new
18 guidances -- this is really I think important -- to
19 the generic industry as a whole.
20 These guidances are incredibly useful to
21 having an efficient review process, especially for
22 the more straightforward process. Within the

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1 Office of Generic Drugs, our Office of
2 Bioequivalence generally has a very high
3 first-cycle acceptability rate for the
4 bioequivalence review primarily because most of the
5 applicants look at the guidances and follow them.
6 They're very clear about what the expectations are
7 for the bioequivalence studies. We try, as much as
8 possible, to reduce ambiguity through the PSG
9 process, so I think this is a very successful
10 program overall.
11 What's new through the GDUFA support for
12 research is the extent of the guidances that cover
13 the complex product. You can see that in FY 2019,
14 there are 24 new guidances for complex products and
15 some significant updates for some of the
16 transdermal systems, and a large amount of updates
17 with keeping the complex product guidances up to
18 date in that area.
19 Some of the trends in the product-specific
20 guidances that we see, again, there's a continual
21 flow of guidances for the complex product. The
22 program began in 2007, really filling out the solid

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1 oral products and the straightforward BE guidances
2 and very effective and useful for our review
3 efficiency there, but the movement in the future is
4 toward the product-specific guidances.
5 For example, in FY 2019, if you look at our
6 complex product guidances, 30 of the new or revised
7 guidances provided an alternative bioequivalence
8 approach that was much more efficient than the one
9 before, so generally providing an alternative to a
10 clinical endpoint bioequivalence study. So these
11 come both sometimes in new guidances, where the
12 first appearance has an alternative, but also some
13 of these are revisions where when we revise the
14 guidance, we're able to provide these new
15 opportunities.
16 As we look at this and reflect on this, as
17 the large number of products and the guidances are
18 available, some of which date back to even before
19 the first postings in 2007, there are maintenance
20 costs to keep all these guidances up to date.
21 Applicants need to have confidence that these
22 guidances reflect our current thinking, so there's

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1 continual work that we do. That's why every
2 quarter you see revisions to this as well.
3 As part of the goals system, the GDUFA goals
4 for the PSG program talk about goals for the
5 non-complex NMEs and having them available two
6 years before the first legal submission date, but
7 there's no specific goals related to complex
8 products, although there's a significant amount of
9 activity related to that. But there's no certainty
10 on when the complex guidances will appear in the
11 current system.
12 The other aspect of our pre-ANDA program is
13 our controlled correspondence process. This is a
14 long-standing process. It dates back to almost the
15 beginning of the generic drug program in the 1990s,
16 that often Generic Drugs has been answering written
17 questions from applicants about controlled
18 correspondence.
19 What we've seen through the GDUFA I and II
20 years is this program continues to increase.
21 Between 2015 and 2019, the number of controlled
22 correspondences has doubled, so there's a lot of

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1 interest in applicants getting answers to these
2 questions. There's a broader group of companies
3 that are asking these questions. This is an
4 important part, and this is probably the most
5 efficient way that a company is going to get an
6 answer. There's a 60-day goal for most of these,
7 so it's the fastest way to get information about
8 generic drug development. But there are costs
9 associated with this, and it's something that seems
10 to be continuing to increase in the workplace.
11 Just a few analysis assessments; about
12 40 percent of these controlled correspondence
13 questions are about things that fall into the
14 complex product category, so that's part of the
15 reason for the growing aspect of that.
16 In GDUFA II, we have something called a
17 complex control, which is not, unfortunately, about
18 a complex product but it's about a complicated
19 issue, so there's a nomenclature issue there that
20 maybe we can resolve for clarity. But about
21 7 percent of the controls fall in that category
22 with a 120-day goal date. The other 93 percent get

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1 answers within 60 days.
2 This is a significant part of the pre-ANDA
3 program. Still the majority is related to the
4 non-complex product, and generally many of those
5 are Q1-Q2 questions, which even though they're
6 about simple products, sometimes can raise
7 different scientific and regulatory challenges as
8 well.
9 To move on to the pre-ANDA meetings, which
10 were introduced formally in GDUFA II with goals and
11 commitments, what we've seen is prior to the goals
12 and commitments, we received about 30 requests each
13 month, and that's the first year of GDUFA II. It
14 almost tripled a little bit more the next year, so
15 a great uptake of the program.
16 Use continues to grow, but we've been
17 prepared for this. We expected this. We were able
18 to meet all of our meeting goals related to the
19 pre-ANDA meetings. I think this is an important
20 effort that the program has made. If you look at
21 other user-fee programs, sometimes the meeting
22 goals have provided challenges in those programs,

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1 but for GDUFA, we've been very successful in that
2 way, and that's in part due to how we've negotiated
3 and built up the program slowly, but we're mindful
4 as meetings grow that there are going to be
5 challenges to make sure that we continue to
6 maintain appropriate performance.
7 Again, the pre-ANDA meetings, these are
8 really a place for innovation in bioequivalence
9 approaches. One of, I think, the great things
10 about the GDUFA II commitment letter is that it's
11 really said explicitly that if there's a
12 product-specific guidance and you want to do
13 something different than a guidance, you can come
14 in and discuss that through the pre-ANDA meeting
15 process.
16 I think one of the challenges in generic
17 drug development is oftentimes industry will say
18 FDA guidances, they're the law, they're the rules.
19 Really, our guidances are scientific advice. Every
20 guidance we write says that alternative approaches
21 that meet our statutory and regulatory requirements
22 are acceptable, but oftentimes the perception of

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1 FDA is, no, that's not the -- people in the
2 industry think of guidances differently than that.
3 Pre-ANDA meetings, by explicitly saying you
4 can come in and talk to us about an alternative
5 approach I think really implements that principle
6 that alternative approaches are viable and
7 accessible. I think that's an important aspect of
8 industry and innovation to provide a place to get
9 scientific feedback and alignment on new
10 approaches.
11 Just thinking about the return on the
12 investments in the pre-ANDA program, by far the
13 vast majority of the GDUFA user fees really go to
14 support our ANDA review process. There are
15 thousands of applications a year. That's a huge
16 program. There are thousands of people working on
17 that.
18 There's a relatively small group of people
19 doing research on the pre-ANDA programs, running
20 pre-ANDA meetings, and engaging that, but that
21 really has an outside impact because what that
22 small set of activity is targeting is really a very

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1 large potential market opportunity for the generic
2 industry of complex products that currently don't
3 have generic competition. So these are also, I
4 think, areas where our patient and stakeholders
5 would be very interested in having generic
6 competition for that.

7 So there's a huge area where this investment
8 is focused on, an opportunity for significant
9 leverage and future opportunities there as we look
10 at the scope and scale of that that remains.

11 Even with all of the successes of the
12 generic program, there are still products without
13 generic competition. The brand industry -- good
14 for them -- are not standing still. They continue
15 to develop new products, whether improvements or
16 competitors to existing products that now are
17 subject to competition. So this area doesn't keep
18 shrinking. It at least stays maintained and in
19 place. So there's a constant focus on what's the
20 future competition going to be like.

21 When we look across the different areas
22 where we see this, all of the top 10 areas where

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1 we're looking at research activity, each one of
2 those is from some significant subset of that area:
3 the complex active ingredients; looking at
4 immunogenicity for small peptides where there's no
5 generic competitors; topical dermatological
6 products; inhalation; ophthalmic; nasal; complex
7 injectables; long-acting implants; and more complex
8 drug-device combination products.

9 Again, each of these areas is a sizable
10 chunk of those potential markets. We try to focus
11 our activities on things which will enable
12 competition and be active in the future. This is
13 where we get valuable feedback through the GDUFA I
14 public meeting process and the GDUFA II yearly
15 meetings with industry working groups on regulatory
16 science, and it helps keep us focus on the complex
17 product categories where potentially there will be
18 future generic competition.

19 As we think about it from the public
20 perspective, the return on research investment to
21 our public stakeholders also depends on successful
22 ANDAs, so moving things fully through the pipeline,

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1 the science and research, the guidances, having
2 meetings on development, and getting generic
3 companies in development. But our patient
4 stakeholders, what really drives their return on
5 investment is the successful ANDAs.

6 As you look at this chart here, as the
7 number of generic products increases, the savings
8 to consumers increase. If you have a very small
9 number of competitors, there are limited savings.
10 As competition increases, the savings increase
11 greatly in these areas.

12 For example, in areas where the scientific
13 advances are able to really change the paradigm for
14 bioequivalence -- we have an example -- one of the
15 first topical products where we provided an
16 in vitro alternative to a clinical endpoint study
17 was acyclovir ointment. This was really the very
18 first thing that we were able to move forward
19 there.

20 Through GDUFA II, we've moved this through a
21 broad category of the topical products, but with
22 the longest one, you can really see that since

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1 2012, 27 ANDA submissions and 13 approved ANDAs
2 really pushed the curve for that product very far
3 down and really changed the dynamics of competition
4 in that environment with these novel approaches to
5 complex products.

6 That's one specific example, but I think
7 we'll be seeing in the future that as these
8 in vitro BE approaches propagate through
9 guidances -- 30 new in vitro approaches were added
10 to product-specific guidances last year, so that's
11 30 cases that could end up changing market dynamics
12 like this.

13 If you think about what the future
14 environment will look like, there's still a gap.
15 If we look at our complex product definition, about
16 30 percent of the potential reference-listed drugs
17 are for complex products. Most recently about
18 12 percent of our approved ANDAs are for complex
19 products. So again, as things pull through the
20 pipeline, there are opportunities for -- if the
21 number of ANDAs just balances the number of
22 reference products in this particular category,

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1 there's still a lot of growth for the complex
2 product.
3 What you see from this is that probably in
4 the future, the fraction of the ANDA review work
5 focused on complex products will likely increase.
6 This is an important thing to keep in mind as we
7 develop what the systems look like in the future.
8 The key is to keep complex products moving through
9 the system, identify places where they need
10 additional activities, and also to think about the
11 resources that will be needed for the ANDA review
12 program in the future.
13 Other aspects of the future environment,
14 brand companies aren't standing still. They're
15 developing new types of products. I've put two
16 visually interesting products, and one is a nasal
17 implant product. I put the picture there to look
18 like a space alien landing, but there are novel
19 things that are happening.
20 There's also a Soft Mist inhaler. Twenty
21 years ago, there were dry-powder inhalers and the
22 aerosol metered-dose inhalers. There's now a third

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1 category of inhalers, the Soft Mist inhalers that
2 have different categories and performance tests.
3 We have extremely active research programs and
4 pre-ANDA meetings on this new class of inhalation
5 products, so our research and our guidance
6 developments need to continually keep pace with the
7 new products that are being added into the
8 pipeline.
9 Finally to conclude, the pre-ANDA system
10 provides clarity and can improve development
11 efficiency. It's still new, just three years of
12 experience with GDUFA III. Certainly, the industry
13 has to adapt their product development systems to
14 use this program most efficiently. So there are
15 lots of ways that we can, I think, work together to
16 identify ways to make this system work even better.
17 The research is really important to keep the
18 pre-ANDA system working. When you have a pre-ANDA
19 meeting, because we've been engaged in the research
20 activities before the products come in, we have
21 expertise, and there's expertise also available in
22 the community focused around this.

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1 So there's an essential part of the research
2 activities in making this work well and treating
3 the guidances. And again, the meetings really
4 support innovative approaches that can accelerate
5 access to complex generic companies that want to be
6 first. You can use the meeting process to even get
7 ahead of our product-specific guidances. You don't
8 have to wait for a guidance to do something
9 innovative. I think that's a great feature of the
10 program that can drive new approaches to
11 bioequivalence.
12 So with that, we'll continue with our
13 meeting, and hopefully this has given you an
14 overview of some of the key aspects of our complex
15 generics and pre-ANDA program.
16 MS. NGUYEN: Thank you, Rob.
17 Rob's presentation concludes our planned
18 remarks by FDA officials. The remainder of this
19 public meeting is dedicated to receiving feedback
20 from stakeholders on the reauthorization of GDUFA.
21 After each presentation or group of presentations,
22 I'll give the FDA panel of experts and opportunity

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1 to ask any clarifying questions of the presenters.
2 Finally, before I announce the first of the
3 speakers from the other government agencies, I
4 wanted to put in one last call for public comments.
5 If you would like to provide public comment this
6 afternoon, please send a message before the lunch
7 break to Dat Doan or put a message in the technical
8 support chatbox so we can make arrangements for you
9 to speak. When we break for lunch, registration
10 for open public comments will close.
11 Next, I'd like to invite Dr. Jeff Kelman,
12 the chief medical officer at the Centers for
13 Medicare and Medicaid Services, to speak. Jeff
14 does not have slides, so participants should see a
15 single slide that will not advance during his
16 remarks.
17 Presentation - Jeffrey Kelman
18 DR. KELMAN: Thank you, and thank you all.
19 I'd first like to thank the FDA for inviting me to
20 participate in this panel. As we in CMS are likely
21 the largest single payer for drugs in the country,
22 we take the GDUFA process very close to our hearts.

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1 I'm going to discuss briefly the role of
2 generic drugs in the Part D Medicare program. To
3 cut to the quick, all our enrollees in the Medicare
4 program benefit from FDA's continued efforts and
5 efficiency in bringing generic products to market.
6 The benefits, the Part D benefit, has been in
7 existence since 2006. Before then, Medicare
8 covered some Part B drugs, so-called, which are
9 doctor administered, hospital administered, and
10 some nebulizer administered, and essentially no
11 retail drugs at all except transplant drugs.
12 Currently, we cover approximately
13 1.5 billion prescriptions per year -- that's
14 billion, not million -- and in terms of data
15 collection, we see over 35 billion data elements in
16 Part D annually. The gross drug cost in Medicare
17 Part D grows more than 165 billion per year in
18 Medicare retail and specialty pharmacy,
19 self-administered drugs.
20 Of those prescriptions, generic drugs, as
21 defined by approval under an ANDA, account for 80
22 percent of all prescribing events while also

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1 accounting for less than 20 percent of GDC, gross
2 drug cost. Of note by the way, the Part B drugs
3 account for 35 billion in 2018.
4 Part D formularies are in general 4 or 5
5 tier, depending on whether there are 1 or 2 generic
6 tiers. The most common design is preferred
7 generic/non-preferred generic, preferred brand/
8 non-preferred brand, and specialty tier. Co-pays
9 and cost sharing are dependent on tier placements.
10 In general, the specialty-tier drugs costs
11 are commonly a percentage of co-pay, between 25 and
12 31 percent maximum, depending on the extent of the
13 deductible. Non-preferred brands are mixed between
14 a cost sharing and fixed co-pay, but preferred
15 brand and generics are generally a fixed co-pay.
16 There's the added protection, by the way,
17 for low-income subsidy patients, which is about
18 20 percent of total enrollees, so minimal co-pays
19 between \$4 and \$10 per prescription filled.
20 There's also a catastrophic safeguard for everybody
21 that reduces the co-pays to 5 percent or less after
22 the catastrophic threshold is reached.

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1 From the start of the program, generic drugs
2 have been a central feature of the benefit design.
3 The generic dispensing rate, GDR, as defined as
4 percentage of total prescribing events filled by
5 drugs approved in an ANDA, has been climbing slowly
6 since the beginning of Part D and now exceeds
7 80 percent. This rate is obviously highly
8 dependent on the FDA approval of generic products,
9 which is why we take the GDUFA process so
10 seriously.
11 The generic substitution rate, which is a
12 measure of use of all generics, or use of generics
13 I should say for those drugs that had a licensed
14 generic available, is now greater than 90 percent.
15 Interestingly, the factors that determine the
16 generic substitution rate are not completely clear,
17 as the substitution rates have been slightly higher
18 in the low-income subsidy population than in the
19 non-low-income subsidy population, which in theory
20 are exposed to much higher differential drug costs
21 by not using generic equivalents. This has been
22 true regularly for the last seven years.

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1 In practice, the goal of identifying savings
2 in drug expenditures by increasing GDR and GSR
3 needs to be examined carefully. I'm always told
4 that we should really encourage more generic
5 dispensing as it would solve the drug cost problem.
6 In reality, with significant generic penetration of
7 a market, the brand drugs that remain are often
8 priced at a generic level.
9 The functional generic, GDR, by the way, in
10 that case that would generically price
11 ANDA-approved drugs counting as generics may be
12 significantly higher than reported. Similarly,
13 increasing the GSR may have a limited cost impact
14 if it's the therapeutic equivalent of ANDA drugs
15 already deeply discounted.
16 Savings are clearly found in new generics,
17 especially for higher cost non-biological specialty
18 drugs. Note that this is a discussion of savings
19 and potential savings from biosimilar drugs -- from
20 non-biosimilar drugs. The biosimilar subject is
21 beyond the scope of this presentation, but actually
22 are very interesting.

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1 Following the FDA standards for formulary
2 purposes, we count generic products as absolutely
3 therapeutically equivalent to the originators. The
4 addition of a generic to a formulary is considered
5 a maintenance event. It is not changing the basic
6 structure or value of the formulary and only having
7 a potential positive effect on beneficiary cost
8 sharing because of the addition of a drug to a
9 generic cost here in exchange or in addition to the
10 brand drug tier that was being paid.
11 Therefore, new generics can be added
12 mid-year with the optional removal of the brand
13 drug. We don't allow this for non-generics. We
14 have found this to be very important for early
15 market penetration, especially during a time of
16 limited exclusivity period.
17 While the use of generic drugs only impact
18 one segment of Medicare drug costs, I always like
19 to point out that the basic premiums of Part D have
20 actually fallen over the past five years. It was
21 \$32 per month in 2016, down to \$27 per month in
22 2020, while the overall drug portfolio has clearly

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1 expanded. At CMS, we consider the FDA generic drug
2 approval process as the key element in Part D
3 success. Thank you.
4 MS. NGUYEN: Thank you very much, Jeff, for
5 your remarks at our public meeting.
6 Next, I would like to invite Peter Glassman
7 from the Department of Veterans Affairs to present.
8 DR. GLASSMAN: Hi. This is Pete Glassman.
9 Can you hear me?
10 MS. NGUYEN: Yes, I can.
11 DR. GLASSMAN: Wonderful. I don't see my
12 slides up just yet. There we go.
13 MS. NGUYEN: Sorry to interrupt you. You
14 should be able to advance your own slides by
15 pressing on the right arrow on the bottom left of
16 your slide deck. Do you see that?
17 DR. GLASSMAN: I do. Thank you.
18 Presentation - Peter Glassman
19 DR. GLASSMAN: I am Peter Glassman. I'm the
20 chair of the Medical Advisory Panel for Pharmacy
21 Benefits Management Services for the VA. For some
22 quick disclosures, part of the angle of which I

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1 come from as the chair, I'm the co-director of the
2 VA Center for Medication Safety. Just to point
3 out, the center works with the FDA on various
4 medication safety issues. I'm a physician and I
5 work in Los Angeles. I do primary care and
6 palliative care. I'm also an academic, and I'm a
7 VA representative on the Drug Safety Board for the
8 FDA.
9 From our perspective, when we create our
10 formulary, which is somewhat different than the
11 structure in the private sector, our formulary
12 goals are very similar to others, which is an
13 evidence-based formulary.
14 We want to promote appropriate drug therapy;
15 we want to reduce geographic variability across our
16 system; and we want to provide an affordable and
17 uniform drug benefit, especially because we exist
18 across the country in VAs. There are obviously
19 other reasons we have a national formulary or other
20 activities we do for the national formulary, which
21 are listed on the right.
22 The VA is a large healthcare system in

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1 fiscal 2019, and we'll go into this a little bit
2 more during my brief presentation. We provide a
3 great deal of 30-day equivalent prescriptions,
4 almost 300 million. Most of that is through mail
5 order with a small amount locally distributed. You
6 can see our drug budget, and not surprisingly, the
7 VA takes care of a mostly older male population
8 with multiple comorbidities, which most of you
9 know.
10 Over a period of time -- this is roughly 20
11 years, a little less than 20 year, about 18 -- you
12 can see that the number of pharmacy uniques, which
13 is the number of patients who use our pharmacies,
14 has gone up from a little under 3.5 million to
15 little over 5 million.
16 When we look at value, it's really the
17 intended outcomes more so than benefit over cost.
18 As that net benefit or intended outcomes improve
19 relative to the cost, you get better value. As
20 intended outcomes or net benefit remain stable or
21 improve with lower costs, you indeed get better
22 value.

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1 Generics, provided they're safe and
2 effective, which is really what we're talking about
3 today, provide similar outcomes to the originator
4 products at a lower cost and they provide better
5 value. As a general rule, as you've heard, the
6 value tends to increase as generic competition
7 increases and pushes prices downward.
8 I just wanted to show you a little bit more
9 on those prescription trends. You can see over a
10 period of time, roughly speaking, about 20 years,
11 the 30-day equivalents are in the upper bar and the
12 total prescriptions on the lower. Clearly, it has
13 gone up substantially over the period of about 20
14 years, the last 20 years.
15 At the same time -- not surprisingly, given
16 that there are new drugs being developed and new
17 drugs being used -- the costs have gone up
18 substantially over that roughly same period. As
19 you can see, they are around 5.5. You can see the
20 lines diverge a bit because of the hepatitis C
21 drugs that were being used during this period to a
22 large extent. The lower line would be the drugs

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1 without the hepatitis C, I believe.
2 Yet, at the same time, we've had a
3 relatively -- I won't say flat but a relatively
4 flat 30-day equivalent prescription drug cost. You
5 can see the rise, again, over that period of 20
6 years has gone to where it is now but really kept
7 under reasonable control of costs over that time
8 period.
9 This slide really is to highlight the
10 fact -- and we'll get into this a little bit more
11 in a few slides -- that the VA really is a
12 generic-oriented pharmacy benefit. You can see in
13 this particular study done by Walid Gellad in 2013
14 or published in 2013. You'll see the VA, compared
15 to its Medicare cohort comparators, uses a great
16 deal more of generics or less brand, and this is
17 diabetic care.
18 Just to highlight, generics provide VA
19 savings, allowing funds to be used elsewhere in two
20 general components. One is obviously after a brand
21 goes to generic, after the exclusivity period.
22 Also, whenever possible and when it's available,

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1 contracts are established for sole generic
2 products. That generally reduces costs further
3 through competition, competitive bidding, and helps
4 provide uniformity across VA, which is really
5 important in general but also particularly for
6 certain drugs such as an anticoagulant such as
7 warfarin.
8 I just wanted to highlight the patient
9 perspectives. We do have a tiered co-payment
10 system and prefer generics that are less expensive
11 than other non-preferred or brand name drugs
12 typically, and there's just a little bit more
13 information about that.
14 To really look at this a little bit more
15 closely -- and keep in mind this data may not be
16 exactly to the database limitations and separating
17 out some of the generics and brand products -- you
18 can see that a large proportion of the drugs that
19 we use in VA are obviously generic, and there's a
20 small proportion of brand and over-the-counter
21 drugs. On the next slide, though, you can see
22 where that flips. Again, keep in mind the database

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1 limitation, but you can see where it flips in terms
2 of the cost.
3 I think we have to keep in mind -- and this
4 has been mentioned earlier as I recall -- there are
5 other considerations that may affect the generic
6 market; quality issues, for example, on precursor
7 chemicals or the active molecule. We've seen price
8 gouging in the past, especially when there's a lack
9 of competition, and there are shortages from
10 various causes.
11 The VA has other special considerations that
12 it has to keep in mind when it brings in generics,
13 which is these must be Trade Agreements Act
14 compliant unless a waiver is granted. In order to
15 be added to a VA contract, GNP status, based on FDA
16 inspection, needs to be confirmed.
17 I just wanted to point out that part of the
18 ability to control costs and provide quality care
19 is dependent on our access to safe and effective
20 generics. These generics in turn provide excellent
21 value to the VA, to VA PBM, to our patients, and to
22 the U.S. taxpayers, allowing access to numerous

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1 pharmaceuticals at a reasonable cost.
2 Thank you, and thanks to the FDA for
3 allowing me to speak today, and thank you to my
4 VA PBM colleagues who helped me with information
5 and slides. Thanks so much.
6 MS. NGUYEN: Thank you, Pete.
7 The final of the presentations by federal
8 agencies will be given by Chris Lamer from Indian
9 Health Services, after which I'll give the FDA
10 panel an opportunity to ask questions of all three
11 presenters.
12 (No response.)
13 MS. NGUYEN: Chris, have you joined us?
14 DR. LAMER: Oops. I'm sorry. I'm talking
15 away here, and my mute must have clicked off and
16 back on again. Let me run back over this again.
17 Presentation - Christopher Lamer
18 DR. LAMER: I'm Chris Lamer, and I'm with
19 the Indian Health Service. We are an agency under
20 the Department of Health and Human Services, and
21 we're made up of federal direct service programs,
22 tribally-operated health services, and urban Indian

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1 Health Services. The mission of the Indian Health
2 Service is to raise the physical, mental, social,
3 and spiritual health of American Indians and Alaska
4 Natives to the highest level.
5 We provide healthcare services to over 2.5
6 million people from 573 federally recognized tribes
7 across 37 states. All of the references I have
8 here are a bit older. Chronic diseases are
9 prevalent and contribute to the leading causes of
10 American Indian and Alaska Native deaths. In
11 fiscal year 2018, there were nearly 13 million
12 outpatient visits, many of which involved
13 prescribing chronic medications, most of which are
14 generic.
15 There are many benefits in the use of
16 generic medications within our agency. The
17 increased flexibility to select a medication from a
18 variety of manufacturers provides our programs with
19 the opportunity to select those that are priced
20 lower. It also allows us to continue therapy
21 during a manufacturer's recall when other options
22 are available.

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1 Lowered costs from competition is one of the
2 greatest benefits to us because the lower costs
3 enable our programs to increase access to
4 pharmacological therapies for American Indian and
5 Alaska Native people. And finally, the knowledge
6 that the manufacturing distribution of generic
7 medications is overseen by the FDA helps to assure
8 safe and efficacious therapies.
9 My last slide brings up some areas where we
10 would like to see some improvement, but we
11 appreciate knowing generic medications are safe and
12 free of contamination and that there are often
13 multiple manufacturers that can provide these
14 products.
15 The widespread recalls such as those seen
16 with ranitidine and metformin ER have significantly
17 impacted our healthcare programs and patients.
18 This has resulted in an increased workload, changes
19 in medication treatments for our patients, and
20 sometimes confusion or concern among patients.
21 Finally, we recognize that biosimilars are
22 not the same as generic medications. Their status

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1 as something new brings uncertainty and confusion
2 to our prescribers. We'd like to see increased
3 awareness of biosimilar products as well and how
4 the FDA assures their safety and efficacy in the
5 hopes that biosimilars, like generic medications,
6 can help increase the access of these treatments
7 for our patients.
8 With that, thank you very much for the
9 opportunity to provide some feedback, and I hope
10 you all have a wonderful day.
11 Clarifying Questions from the Panel
12 MS. NGUYEN: Thank you, Chris, for your time
13 and for your presentation, and thanks to Jeff,
14 Pete, and Chris for all of their feedback.
15 At this point, I'd like to pause to give the
16 FDA panel an opportunity to ask questions. I will
17 moderate this by inviting individuals to voice
18 their questions. I would ask each person to give
19 their full name so that the presenter knows who is
20 asking the question. I think the first question
21 will come from Maryll.
22 MS. TOUFANIAN: Good morning. This is

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1 Maryll Toufanian, director of the Office of Generic
2 Drug Policy. Thank you to all three of our federal
3 partners. I feel like I want to add you to the
4 circles of activity that I described earlier today.
5 I have a question for each in turn; and, Chris,
6 your last point triggered this for me.
7 In addition to our activities in approving
8 generic products, are there steps FDA can take to
9 help facilitate your individual entity's
10 administration of your programs? Specifically, are
11 there any steps related to transparency, or regular
12 activity, or enforcement activity; anything that we
13 can do to support you?
14 DR. LAMER: This is Chris Lamer, and I thank
15 you for the opportunity to comment on this. As a
16 member of the Drug Safety Board, I do appreciate
17 the open awareness and communication of things that
18 are taking place within FDA related to medication
19 safety and continued participation in that program
20 would be very helpful.
21 As far as transparency, I think the FDA has
22 been very transparent and open, both to federal

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1 partners and the public, about the happenings
2 taking place. I can't think of anything off the
3 top of my head that I would make as a
4 recommendation.
5 DR. KELMAN: Hi. This is Jeff Kelman. I
6 agree. The FDA has been highly transparent and
7 very active in promotion of generic drugs and
8 approval of generic drugs. If anything more can be
9 done, I would prefer it in the region of
10 beneficiary education because we still get
11 resistance from beneficiaries, not to mention
12 physicians, who don't feel or don't trust generic
13 drugs, and that's a costly mistrust for us.
14 Thanks.
15 DR. GLASSMAN: I echo both those comments.
16 I don't have anything particular to add, but I
17 think those are really on point. Thanks.
18 MS. NGUYEN: Thank you
19 MS. TOUFANIAN: Thank you.
20 MS. NGUYEN: Next, we have a question from
21 Jacqueline Corrigan-Curay.
22 DR. CORRIGAN-CURAY: Hi. This is Jacqueline

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1 Corrigan-Curay, Office of Medical Policy, and I
2 think this somewhat follows on some of the answers
3 in the questions that Maryll had just expressed.
4 Certainly providers are often independent thinkers,
5 and it's not price that they think about first.
6 What has been successful in
7 leading -- obviously, many of your providers are
8 willing to prescribe generic drugs and realize the
9 value. So what are the messages and things that
10 FDA can do or has done, as well as our industry
11 partners, to continue to give providers that
12 confidence to prescribe generic drugs when they are
13 available for their patients?
14 DR. LAMER: This is Chris, and I think that
15 within the Indian Health Service, the FDA and other
16 programs have done a great job at promoting generic
17 medications. For us, we focus on generic names,
18 generic products, and those are our primary
19 medications when available.
20 Sometimes there is confusion among patients,
21 especially with advertising, where there are brand
22 names and generics, and they don't feel that

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1 they're getting what they are expecting to get.
2 But other than that, as far as generics go, we have
3 I think a very good foundation and comfort level.
4 The biosimilars, again as I mentioned on my
5 last slide, are an area of opportunity to promote
6 increased trust in these products. Many people do
7 not seem to understand the differences between a
8 biosimilar and a biological, and the similarities
9 as far as efficacy and safety are pretty much the
10 same. So I think an increased message on that
11 aspect would be helpful.
12 DR. KELMAN: This is Jeff. From our point
13 of view, academic detailing of generics with the
14 FDA standing in the place of the academic world
15 would be helpful, and particularly detailing down
16 to the beneficiary level.
17 The question of biosimilars is really a
18 whole another panel because we find that physicians
19 don't treat them as interchangeable, and they're
20 not interchangeable. But they don't treat them as
21 a therapeutically equivalent module, and that I
22 think is going to take specific detailing by the

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1 FDA down to the provider level.
2 DR. GLASSMAN: This is Pete Glassman.
3 Again, I would echo the comments beforehand. I
4 would also add that as people have gotten
5 comfortable with, and certainly were comfortable
6 with, generics in the VA, because we're a
7 generic-based system and we use generic names
8 similar to the IHS -- as people have become
9 comfortable with generics, it's become less of an
10 issue. But I think the real key would be, at a
11 transition point, when a drug is moving from brand
12 to generic, that I think is the time to really
13 highlight the similarities between a generic
14 product versus the prior innovator brand.
15 I think it's similar for biosimilars. I
16 think it will be kind of the same case scenario for
17 biosimilars. I think a lot of people are confused
18 perhaps with what a biosimilar is, and I think that
19 highlighting, as these biosimilars are coming out,
20 what they are and how they work would be really
21 advantageous to the public, as well as to
22 providers, as to that transition period, and to

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1 educate during that time. Thanks.
2 MS. NGUYEN: Next we have a question from
3 Ted Sherwood.
4 MR. SHERWOOD: Thank you. Are there other
5 areas for us to consider as we enter another round
6 of GDUFA negotiations?
7 DR. GLASSMAN: This is Pete Glassman. I
8 have to admit I'm not an expert in the area, so I
9 would have to defer to others.
10 DR. KELMAN: This is Jeff Kelman again. I
11 don't suppose you could address the question of
12 paying for non-performance, patent tickets,
13 inhibiting generics yet on the market, the use of
14 authorized generics to discourage the first six
15 months, and exclusivity problems. All of those
16 might be helpful in increasing the generic
17 dispensing rate and generic substitution rate;
18 particularly pay-for-delay.
19 MS. TOUFANIAN: Thank you, Jeff. This is
20 Maryll Toufanian. I want to note that your
21 interest in those three topics is definitely noted.
22 I appreciate the comment.

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1 DR. KELMAN: Thank you.
2 DR. LAMER: This is Chris. I have nothing
3 to recommend off the top of my head.
4 MS. NGUYEN: Thank you. Are there other
5 questions from the FDA panel?
6 (No response.)
7 MS. NGUYEN: Great. Then I'd like to thank
8 Jeff, Pete, and Chris for their time and move to
9 the next part of the public meeting, which will be
10 remarks from David Gaugh from the Association for
11 Accessible Medicines.
12 Presentation - David Gaugh
13 MR. GAUGH: Thank you, Martha, and thank
14 you, panel, for this opportunity to speak today.
15 As Martha said, I'm David Gaugh. I'm the senior
16 vice president of Sciences and Regulatory Affairs
17 at the Association for Accessible Medicines, more
18 broadly known as AAM. Today I'm speaking
19 collectively on behalf of the industry
20 representatives that will be engaging in the
21 upcoming GDUFA III negotiations with the agency.
22 The generic industry negotiating team is

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1 made up of three trade associations, the Bulk
2 Pharmaceuticals Task Force, representing
3 manufacturers of active pharmaceutical ingredients,
4 their intermediates, and the excipient sector; the
5 Pharma & Biopharma Outsourcing Association,
6 representing contract manufacturers and the
7 contract development and manufacturing sectors; and
8 AAM, representing the manufacturers and
9 distributors of bulk active pharmaceutical
10 chemicals and finished generic pharmaceutical
11 products and supplies, and other goods and services
12 for the generic sector.
13 Generic medicines represent greater than
14 90 percent of all prescriptions dispensed annually
15 in the U.S., but only account for 22 percent of
16 annual expenditures on prescription drugs. This
17 translates into producing over 70 billion doses of
18 generic medicines annually in the U.S. alone,
19 providing more than 3600 jobs at nearly
20 150 manufacturing facilities; again, that's U.S.
21 alone. Savings to consumers, healthcare systems,
22 and to the federal government are running around

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1 293 billion, and that's in 2018.
2 Our members enable patients to have
3 continued access to affordable quality medicines.
4 What other industry can provide this level of
5 savings for America's patients? And indeed,
6 generic medicines provide an unbeatable value
7 proposition.
8 The first iteration of the Generic Drug User
9 Fee Amendment was enacted on October 1, 2012. The
10 overarching goal of GDUFA was to accelerate timely
11 access to safe and effective generic medicines for
12 the public by providing the agency with
13 supplemental resources by way of the user fees.
14 In turn, the agency made commitments to
15 achieving agreed-upon regulatory milestones that
16 provided industry with more predictability and
17 certainty as to when the agency's actions would
18 occur during the ANDA review process.
19 We are currently midway through the first
20 reauthorization period, or GDUFA II, which is due
21 to sunset at midnight on September 30, 2022. We
22 would like to acknowledge the agency's efforts and

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1 successes in standing up a more modern program to
2 support the review and approval of generic
3 medicines.
4 This brings us to the reason for today's
5 public meeting. The industry and the agency will
6 soon embark upon negotiations for the second
7 reauthorization of GDUFA, or as we know, GDUFA III.
8 As the industry team works together to collectively
9 represent the generic industry in these
10 negotiations, we believe we share a common goal
11 with the agency; that being enhancing the current
12 processes and improving on what we have built
13 together over the last nine years.
14 In order to further increase efficiencies
15 and to facilitate timely access to safe, effective,
16 and high-quality, and therefore more affordable,
17 generic medicines, we need to continue to address
18 ways of improving the first-cycle approval rate,
19 further enhancing communications and transparency
20 and refining existing processes to ensure GDUFA III
21 builds upon the lessons learned from the prior
22 authorizations. This will continue to make the

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1 program robust and successful for both industry and
2 the FDA.
3 This said, we need to also be mindful of
4 containing the costs of the overall program to
5 ensure that the user-fee program does not create a
6 barrier to entry into the generic space. The
7 industry and negotiating team look forward to
8 working with the agency to continuously improve the
9 generic drug user-fee program, and we thank the
10 agency for the opportunity to share our thoughts
11 today. Thank you.
12 Clarifying Questions from the Panel
13 MS. NGUYEN: Thank you, David.
14 Now, I invite the FDA panel, if anyone has
15 questions.
16 Alonza has a question.
17 MR. SHERWOOD: Hello. This is Ted Sherwood
18 with a similar question to AAM. Thank you very
19 much for your summary of the industry and how
20 valuable generic drugs are. As we look again to
21 restart negotiations for a new round, are there
22 factors beyond review and research goals that we

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1 should be looking at?
2 MR. GAUGH: Great question, Ted. Thank you.
3 As I said in my opening remarks, we are three
4 associations that are developing the negotiating
5 process for industry. Our three associations, of
6 course, are made up of our own member companies.
7 We are in the process right now, as you know,
8 through the data call process and also through
9 building our priorities; so at the present, I
10 really don't have any specifics I can give you, but
11 we are working to get that information pulled
12 together in the coming weeks and get that
13 information to the FDA.
14 MR. SHERWOOD: Thank you.
15 MR. CRUSE: Good morning. This is Alonza
16 Cruse, ORA, director of Office of Pharmaceutical
17 Quality. Thank you for your comments, David. I
18 have a question. You were providing information
19 U.S. based. What is your forecast or anything you
20 can share with the foreign-based generic industry?
21 MR. GAUGH: Thank you, Alonza. That's a
22 great question, and I wish I could answer right

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1 here and right now, but unfortunately can't. We
2 are trying to pull that data together. And as you
3 probably well know, while we know where facilities
4 are located, we don't know the volumes of products
5 coming out of those facilities, whether it be API,
6 or finished dose, or packaging for that matter.
7 That obviously is one of the tenets of the CARES
8 Act, to be able to provide that information.
9 So we are working diligently as an industry,
10 and all three of our associations as an industry,
11 to be able to prepare ourselves for the September
12 time frame when we will start reporting these data
13 to the FDA, but at this point in time, we just
14 don't have that information available to us.
15 MR. CRUSE: Thank you, David.
16 MS. NGUYEN: Jacqueline, I think you have a
17 question.
18 DR. CORRIGAN-CURAY: Sure. Thank you,
19 David, for joining us today and for those remarks.
20 I wanted to just maybe ask you to expand. You
21 talked a little bit about building upon the
22 successes, so I understand there may be room for

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1 improvement. But where do you think the GDUFA
2 program has been particularly successful and of
3 benefit? Maybe you could highlight the areas that
4 you think are working very well.
5 MR. GAUGH: So when we look at going from
6 GDUFA I to GDUFA II, we learned many, many lessons,
7 for those of you that were in GDUFA I, from that
8 process and those processes, and of course
9 negotiated a lot of that information into GDUFA II.
10 We got a lot of successes in there. As I had
11 mentioned, the communications and transparency has
12 much improved GDUFA II over GDUFA I.
13 We still feel there are areas of further
14 improvement, and I believe Maryll and others
15 addressed that this morning as well, so we want to
16 go down that path.
17 We also think working collaboratively and
18 collectively together, FDA and industry, we can
19 work to get the first-cycle reviews in a better
20 place. They have gone from a point in early GDUFA
21 I at about a 9 percent first-cycle review period,
22 so now we're upwards of the mid 20, 25-ish percent

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1 for that first-cycle review, but that's not nearly
2 where we need to be for both industry and the FDA
3 to be as efficient as possible. So I think those
4 are two areas we could really look into.
5 Oh, and just to add to that one more, and
6 Rob Lionberger of course addressed that, but the
7 complex products, we've got additional information
8 in GDUFA II, or opportunities I should say, and
9 processes in GDUFA II for the complex products. We
10 need to take that potentially to the next step in
11 GDUFA III. I'll stop there.
12 DR. CORRIGAN-CURAY: Great. Thank you so
13 much.
14 MS. NGUYEN: Thanks, David.
15 Are there any other questions from the FDA
16 panel?
17 (No response.)
18 MS. NGUYEN: If not, this concludes the
19 morning portion of the public meeting. We will
20 resume this afternoon at 1:00 p.m. Eastern Standard
21 Time, when we will begin with presentations from
22 healthcare providers and other stakeholders and

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1 proceed to the open public comment period.
2 Thank you to all of the presenters and
3 participants. We will see you at 1 o'clock.
4 (Whereupon, at 12:10 p.m., a lunch recess
5 was taken.)
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1 AFTERNOON SESSION
2 (1:00 p.m.)
3 MS. NGUYEN: Good afternoon, and welcome
4 back to FDA's virtual public meeting on the
5 Reauthorization of the Generic Drug User Fee
6 Amendments of 2017 or GDUFA. My name is Martha
7 Nguyen, and I am the director of the Division of
8 Policy Development in OGD, and I'm the moderator
9 for this meeting.
10 This morning we heard from officials from
11 FDA, other government agencies, and trade
12 associations. This afternoon we will have
13 presentations by healthcare providers and other
14 stakeholders, as well as an open public comment
15 period. Finally, Jacqueline Corrigan-Curay, the
16 director of the Office of Medical Policy in CDER,
17 will make closing remarks and adjourn this public
18 meeting.
19 First up, I would like to introduce Jillanne
20 Schulte Wall, who is from the American Society of
21 Health-System Pharmacists. She does not have
22 slides and will be making remarks only, so you will

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1 see a slide that does not advance during her
2 presentation.
3 Presentation - Jillanne Schulte Wall
4 MS. SCHULTE WALL: Thanks, Martha.
5 Good afternoon, everyone. My name is
6 Jillanne Schulte Wall, and I'm ASHP's senior
7 director of Health and Regulatory Policy. On
8 behalf of our 55,000 pharmacists, pharmacy
9 students, and pharmacy technician members providing
10 care in acute and ambulatory settings, we thank FDA
11 for your work implementing the GDUFA II priority,
12 and we look forward to continuing to work with FDA
13 and other industry stakeholders on the development
14 of a strong GDUFA III.
15 ASHP believes that the allocation of
16 sufficient federal resources to the FDA to meet its
17 mission is a necessity, and that those funds should
18 come primarily from federal appropriations. We
19 strongly support increased appropriations for FDA,
20 and we work cooperatively with a line for a
21 stronger FDA to advance that cause.
22 While drug user fees do not replace the need

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1 for increased appropriations, they now play an
2 essential role in the drug ecosystem. GDUFA and
3 GDUFA II have helped speed the approval process and
4 bring safe and effective generic drugs to market.
5 We anticipate that GDUFA III will build on that
6 foundation.
7 As everyone in this meeting knows, FDA's
8 public health mission has never been more critical
9 than it is at this moment. Our members have looked
10 at the agency for strong guidance and assistance
11 during the COVID-19 response and they received it.
12 However, the pandemic has also laid bare the
13 limitations of our drug supply chain, and we
14 believe GDUFA III presents an opportunity to work
15 collaboratively on new initiatives that strengthen
16 the entire supply chain from R&D to approvals, to
17 hospitals and health systems, and the consumer.
18 I'd like to highlight three areas that ASHP
19 would like to see included as GDUFA III
20 initiatives: [indiscernible], shortages, and
21 supply chain strength. I'd like to note here that
22 nothing that ASHP is positing as an option for an

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1 initiative moving forward is meant to cast an
2 aspersion on anything anyone has currently done.
3 We just think that we're at an inflection point
4 where we could theoretically use GDUFA III as a
5 jumping-off point to meet some of the policy
6 priorities that have been long standing and that
7 have really been keyed up by the pandemic.
8 ASHP has long-standing professional policy
9 that supports legislation and regulations that
10 promote increased patient access to less expensive
11 generic drug products. ASHP policy emphasizes that
12 safety comes first and the desire to rush drugs to
13 market should never surpass the need to ensure
14 products are safe and effective. We are pleased to
15 see an increase in the number of generic drug
16 approvals, more choice, and more competition
17 benefit for patients.
18 That said, our members have been concerned
19 about the recent state of quality issues and some
20 of the very quick approvals we've seen during the
21 pandemic, and we recognize that some of those are
22 EUAs, but at the same time we wanted to highlight

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1 this for both industry and FDA, that there's been
2 some concern amongst the FDA and the very close FDA
3 watchers about the speed of approvals and also some
4 of the quality issues we've seen with some of the
5 manufacturers. We have no doubt that manufacturers
6 want to produce high-quality generic drugs and that
7 FDA wants to approve only safe products. We'd like
8 to see resources dedicated to initiatives like
9 continuous quality improvement to help everyone
10 reach these goals.

11 Quality has also played a role in drug
12 shortages. Since GDUFA II, we've continued to see
13 manufacturing issues contribute to shortages, and
14 this has been true for both generic and brand
15 products. However, on the generic side, we've also
16 seen products exit the market because of these
17 manufacturing difficulties and the cost of
18 production versus a relatively low product price.

19 These shortages hit fever pitch following
20 Hurricane Maria when we were dealing with shortages
21 of everything from sterile injectables to sterile
22 saline. Similar pressures have arisen around

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1 supportive medications needed to treat COVID-19
2 patients. While we were pleased that the CARES Act
3 addressed many of our long-standing policy
4 priorities around shortages, we believe more work
5 remains.

6 While the FDA may not be able to compel a
7 manufacturer to continue to make a product, it will
8 be important for the agency to continue to
9 prioritize applications for medically necessary
10 products that are in short supply and to use
11 generic drug user fees to enhance FDA efforts to
12 prevent drug shortages. In the same vein, we
13 encourage the agency and stakeholders to consider
14 methods of using GDUFA to shore up our supply
15 chain.

16 As noted above, the pandemic has highlighted
17 weaknesses in our supply chain, including API
18 sourcing and offshoring of operations. ASHP
19 believes there is no single solution. Simply
20 onshoring all operations still creates
21 vulnerabilities. Although we're talking to
22 Congress about potential policy solutions, we

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1 encourage FDA and industry stakeholders to consider
2 options for utilizing GDUFA to strength our supply
3 chain.

4 I just want to note here that ASHP is happy
5 to work with anyone who is interested in these
6 policy priorities. We've done a lot of work on
7 shortages over the past five years, and we will
8 continue that work. We don't want to be in the
9 position of making requests and not offering to
10 assist in getting them done, recognizing that we at
11 the end of the day are not the ones who pay the
12 user fees.

13 We cannot overstate the importance of
14 generic drugs to our healthcare system. Generic
15 drugs carry the promise of significant savings over
16 their branding counterparts. Over the last decade,
17 they've saved our healthcare system trillions of
18 dollars, however, we continue to see generic prices
19 kick up and skyrocket in some cases.

20 Everyone in this meeting is aware of these
21 issues, so I won't belabor the point, but suffice
22 it to say that massive inexplicable price

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1 fluctuations hurt our patients. At the same time,
2 we also recognize that pricing certain drugs too
3 low has pushed products off the market. This is
4 true for many of the first-line antibiotics, and it
5 has also inhibited the development of new generic
6 products that are relatively inexpensive.

7 ASHP has been engaged in discussions with
8 policymakers about balancing the need to protect
9 patients from huge price spikes and incentivizing
10 new products. We have been engaged with Congress
11 and others, but believe that the FDA should explore
12 ways in which to examine and study these trends
13 using GDUFA resources.

14 Although drug pricing is generally out of
15 the scope of FDA's purview, we believe that FDA is
16 uniquely situated to discuss responsible pricing
17 decisions with manufacturers during the application
18 process.

19 Thank you for the opportunity to present our
20 little wish list for GDUFA III. We recognize that
21 FDA has limited authority in some of these areas
22 and that there are a number of competing priorities

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1 for GDUFA funds, but we urge strong consideration
2 in the initiatives we outlined. At the end of the
3 day, we believe that many of these areas would be
4 mutually beneficial for all stakeholders. Thank
5 you so much.

6 MS. NGUYEN: Thank you, Jillanne.
7 Our next speaker is Tony Barrueta from
8 Kaiser Permanente.

9 Presentation - Anthony Barrueta
10 MR. BARRUETA: Thanks, Megan [sic]. It's
11 nice to follow John's very excellent testimony.
12 Thank you for the opportunity to testify today.
13 I'm Tony Barrueta, senior vice president for
14 Government Relations with Kaiser Permanente.

15 As the largest private integrated healthcare
16 system in the United States, we provide pharmacy
17 benefits and services to over 12 million people.
18 Our model combines coverage and care delivery, and
19 we operate pharmacies that dispense drugs
20 prescribed by are Permanente Medical Group
21 physicians.

22 Our mission for pharmacy, like all of the

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1 services we provide, is to deliver high-quality,
2 affordable care and to improve the health of our
3 members and the communities we serve. Kaiser
4 Permanente appreciates the opportunity to provide
5 feedback on GDUFA II, and we strongly support FDA
6 and the generic industries' efforts to strengthen
7 and improve the program with GDUFA III.

8 In my remarks today, I'll provide some
9 background on why generic drugs are so important to
10 Kaiser Permanente's ability to provide
11 high-quality, affordable pharmaceutical care. I'll
12 also identify three broad issues KP hopes FDA, the
13 generics industry, and Congress will together
14 address during the upcoming user-fee negotiation
15 and reauthorization: 1) reducing barriers to
16 generic competition; 2) improvements to quality and
17 safety oversight; and 3) mitigating prescription
18 drug shortages.

19 Kaiser Permanente has long been an industry
20 leader in generic utilization. More than 91
21 percent of the drugs prescribed in our system are
22 generic. That exceeds the very high market

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1 averages of 89 percent, which just indicates how
2 central and critical generic drugs are to the
3 American healthcare system.

4 Our evidence-based approach to designing
5 pharmacy benefits helps facilitate competition
6 among drugs. Our pharmacists and Permanente
7 Medical Group physicians collaborate closely to
8 develop our formularies. When generics perform
9 just as well as or better than a more expensive
10 brand drug, they prevail within Kaiser Permanente.
11 Every one-tenth of 1 percent increase in generic
12 utilization saves our system \$28 million. These
13 savings help us invest in care and quality
14 initiatives that benefit our members.

15 We're working hard to extend that commitment
16 to generic drugs onto biosimilars as well. While
17 others have been slower in transitioning to
18 biosimilars, Kaiser Permanente has embraced them
19 from the beginning. We adopted the first
20 FDA-approved biosimilar, Zarxio, and now use it
21 instead of Neupogen in approximately 95 percent of
22 cases. We replicated the success with Inflectra,

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1 which we use more than 80 percent of the time
2 instead of the originator Remicade.

3 In the rest of the market, Inflectra and
4 Zarxio utilization hover around 3 and 32 percent,
5 respectively. In late 2019 and early 2020, we've
6 launched new initiatives to adopt three additional
7 biosimilars, Truxima, Kanjinti, and Mvasi. Our
8 adoption rates already exceed 90 percent for each
9 of these products.

10 A few words on competition more generally,
11 generic drugs have resulted in substantial savings
12 for patients, taxpayers, and the entire healthcare
13 system. The Association for Accessible Medicines
14 estimates that generics and biosimilars have saved
15 the United States healthcare system almost \$300
16 billion in 2018 and as much as \$2 trillion over the
17 last decade. These savings help deliver on the
18 benefits promised to society in exchange for the
19 government-granted intellectual property rights
20 such as patents and market exclusivities that
21 protect monopoly pricing power on branded drugs.

22 The GDUFA program is essential to

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1 facilitating increased generic market entry and
2 making the overall pharmaceutical market more
3 competitive. GDUFA I and II made significant
4 strides in addressing the ANDA backlog, helping
5 bring more competition to market faster. At the
6 time of the GDUFA I's enactment, FDA's backlog was
7 over 2800 ANDAs.
8 Today the backlog has effectively been
9 cleared, and FDA is approving record numbers of
10 generic drugs. In fiscal 2019, the agency approved
11 nearly 1200 generic drugs, and all-time high. This
12 is a major accomplishment and will help provide
13 more affordable pharmaceutical care to patients
14 over the long term. We applaud FDA and the generic
15 industry's strong partnership and commitment to
16 addressing this challenge.
17 Despite considerable progress over the last
18 10 years, there is still more work to be done to
19 make the market for prescription drugs competitive.
20 Many recently approved generics are not available
21 to patients due to abusive tactics used by the
22 branded pharmaceutical industry to delay and

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1 prevent competition.
2 As of 2019, 43 percent of generics approved
3 since 2017 were not on the market. Out of 69
4 branded drugs expected to lose market exclusivity
5 between 2010 and 2016, competition was delayed for
6 29 percent of generics and never occurred for 16
7 generics.
8 Patent litigation was the most common cause
9 of delays. Anticompetitive abusive patents can
10 significantly delay generic and biosimilar
11 availability, hampering our ability to provide more
12 affordable options to Kaiser Permanente's members.
13 It also creates uncertainty that disrupts their
14 ability to design optimal pharmacy benefits.
15 Kaiser Permanente would support inclusion of
16 policies that will help address these abuses in the
17 next user-fee reauthorization package. We strongly
18 support the steps FDA has already taken to
19 collaborate with the Federal Trade Commission and
20 curb abuses of citizen petitions and REMS.
21 Common-sense changes to the Orange Book
22 could help build upon those steps. Brand name

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1 manufacturers often obtain numerous patents and
2 list them strategically in the Orange Book to
3 interfere with generic manufacturers' attempts to
4 bring products to market under the Hatch-Waxman
5 framework. Policy changes that would require FDA
6 to promptly remove patents, invalidated by the
7 patent trial and appeal board from the Orange Book,
8 would help mitigate these abuses.
9 GDUFA user fees also help to fund important
10 quality and safety initiatives, including FDA
11 inspections of foreign and domestic manufacturing
12 sites. Drug quality and safety is of the utmost
13 importance to Kaiser Permanente. We support FDA's
14 efforts to ensure all drugs are manufactured to the
15 highest standards, however, recent high-profile
16 incidents have shaken consumer confidence in
17 generic drug integrity and identify potential areas
18 of improvement for the GDUFA program.
19 For example, investigations found that
20 foreign manufacturing sites in India were
21 fraudulently manipulating quality data and
22 successfully hiding substandard conditions from

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1 inspectors. In the past few years, some widely
2 used drugs relied on by many of our members, like
3 Zantac and metformin, have also been recalled over
4 concerns about NDMA contamination, as you've heard,
5 of probable human carcinogen.
6 Under FDA's current quality framework,
7 manufacturers are typically responsible for
8 assessing chemical quality of their medications and
9 self-report the results to FDA. Inspections are
10 the agency's primary means of independent quality
11 oversight. Direct testing of drugs by the FDA for
12 quality and safety is limited.
13 The majority of manufacturing also takes
14 place in foreign countries where FDA's ability to
15 conduct robust inspections has been limited. While
16 domestic inspections are usually unannounced, most
17 inspections of foreign facilities are announced
18 12 weeks in advance giving manufacturers time to
19 conceal potential deficiencies. Despite growing
20 concerns, FDA is also conducting fewer inspections
21 overall due in large part to trouble recruiting and
22 training inspectors. FDA commendably acknowledges

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1 these challenges, noting inspections are not always
2 a reliable predictor of quality.
3 Safety is one of the core components of
4 FDA's public health mission, therefore, Kaiser
5 Permanente strongly encourages FDA to take steps to
6 improve quality and safety oversight in GDUFA III
7 in the next user-fee authorization. Stakeholders
8 across the healthcare system are relying on FDA and
9 the industry to get this right.
10 Specifically, we would support increasing
11 resources for FDA to hire and train inspectors;
12 requiring unannounced inspections of foreign
13 facilities; giving FDA more resources to engage in
14 direct chemical testing of drugs; and establishing
15 a framework to develop reliable and transparent
16 quality ratings for the manufacturing sites used to
17 make different products based on FDA inspections or
18 reviews from independent third parties.
19 Drug shortages remain a persistent problem
20 in the pharmaceutical market. Shortages can occur
21 for many reasons, including appropriate regulatory
22 actions, lack of economic incentives, manufacturer

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1 imposed limitations, trouble accessing raw
2 materials, and demand exceeding supply.
3 The COVID-19 pandemic has also exacerbated
4 risks of shortages and further exposed national
5 security vulnerabilities in this area. Shortages
6 resulting from export bans, slowdowns of API
7 manufacturing abroad, panic buying, and runs on
8 potential COVID-19 therapies are likely to continue
9 and could be difficult to predict and plan for.
10 Regardless of what drives a shortage, they
11 often leave providers and patients scrambling to
12 identify safe alternatives for treatment. This
13 could lead to suboptimal health outcomes when care
14 is delayed or alternative treatments are not as
15 effective or well tolerated.
16 Some studies also estimate that shortages
17 cost hospitals hundreds of millions of dollars a
18 year. These costs are attributable to dedicating
19 staff time to finding alternatives, having to
20 purchase from vendors outside our usual supply
21 chains at higher prices, and price increases by
22 remaining manufacturers aftermarket exits. I can

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1 tell you that all of these issues are major issues
2 for our pharmacy team working to make sure we have
3 consistent access to prescription drugs.
4 As an integrated system, Kaiser Permanente
5 has some advantages with respect to our ability to
6 plan for and manage drug shortages. We're able to
7 strategize and communicate critical information
8 across pharmacies, hospitals, and clinicians across
9 our system.
10 We work to mitigate shortages by proactively
11 surveilling market conditions and warehousing ample
12 supplies of core formulary generics. Our drug
13 information team proactively works to identify
14 alternatives for drugs under threat of shortage and
15 puts together memos to educate clinicians about
16 what is happening.
17 We also evaluate our vendors' manufacturing
18 competencies and their ability to deliver needed
19 supplies when possible. We sometimes use longer
20 term contracts to support consistency and forecast
21 predictability. We also sometimes will penalize
22 manufacturers for failure to supply to help offset

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1 costs of having to use another vendor.
2 Nevertheless, we are not immune from the
3 effects of drug shortages. Over the years, we've
4 experienced shortages on a range of drugs likely
5 attributable to many different causes. For
6 example, we've experienced shortages of local
7 anesthetics, sodium chloride injection, epinephrine
8 auto-injectors, and several other generic drugs
9 such as diphenhydramine injection. We've also seen
10 shortages of injectable opioids, small volume bags
11 of IV fluids, sterile injectables, and antibiotics.
12 When we can anticipate shortages, we can
13 better execute strategies to help build and
14 conserve supply to safeguard against disruptions
15 and care. However, the reasons for shortages are
16 not always disclosed by manufacturers. There's
17 also very little reporting on API shortages and
18 oversight of manufacturers plans to prevent
19 shortages.
20 Kaiser Permanente therefore strongly
21 supported provisions in the CARES Act that
22 bolstered FDA's drug shortage reporting framework

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1 by extending reporting requirements to API and
2 requiring manufacturers to maintain risk management
3 plans. We hope FDA and Congress will build on
4 these provisions to ensure manufacturers
5 operationalize appropriately robust risk management
6 plans.
7 We also support the work of FDA's drug
8 shortage task force to better characterize
9 shortages, improve data sharing, and ensure robust
10 and accurate reporting by manufacturers. We're
11 hopeful that this information will lead to
12 effective policy solutions to prevent and mitigate
13 more shortages down the road.
14 I really want to thank you for considering
15 our perspective on all of these important issues.
16 Kaiser Permanente shares in the objectives of the
17 GDUFA program and looks forward to working with the
18 FDA and the generic industry to advance meaningful
19 policies and improvements as part of the 2022
20 user-fee reauthorization. Thank you very much.
21 Clarifying Questions from the Panel
22 MS. NGUYEN: Thank you, Tony.

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1 Now I'd like to ask our FDA panel if they
2 have questions for Jillanne or Tony. I think
3 Maryll has a question first.
4 MS. TOUFANIAN: I do.
5 Tony, Thank you very much for your comment,
6 and I appreciate the specificity of the detail with
7 respect to drug shortages and quality and safety
8 surveillance considerations. I do have one
9 question for last request, and that is that you
10 indicated Kaiser had some specific questions
11 related to the FDA's activities in patent listings
12 and in the Orange Book, and I would request, either
13 here or in a submission to the currently open
14 public docket regarding patent listings, that you
15 provide some additional specificity for what would
16 be useful from your entity's perspective in that
17 regard.
18 MR. BARRUETA: Thank you. I don't think we
19 have yet submitted comments to that docket, but we
20 would be very happy to do so. We're happy to take
21 that down that path.
22 MS. TOUFANIAN: Thank you.

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1 MS. NGUYEN: Other questions from the panel?
2 MS. BOAM: Hi, Martha. This is Ashley Boam.
3 I have a question for Jillanne. I was wondering if
4 you had any specific suggestions in the quality
5 space. You talked about a desire to see more to
6 improve in the quality space, and I would be
7 interested if you had any specific suggestions
8 you'd like to share with us today.
9 MS. SCHULTE WALL: Yes. Actually, we do
10 have specific suggestions, and some of them kind of
11 mirror what Tony brought up, so things like
12 increased funding for inspectors. We are really
13 interested in continuous improvement processes, and
14 that's an area that my colleague, Mike Gania,
15 really specializes in, so he'd be the one to really
16 provide some of the detail there. But we're happy
17 to submit comments to the docket with much more
18 detail than what was included in my very
19 generalized remarks.
20 MS. BOAM: Thank you so much.
21 MS. NGUYEN: Alonza, did you have a
22 question?

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1 MR. CRUSE: Good afternoon. This is Alonza
2 Cruse. I have a question for Tony Barrueta, and
3 maybe a question, more a comment. First of all, I
4 want to just thank you for your comments that you
5 raised surrounding the foreign inspection piece.
6 As you know, they certainly represent a unique
7 logistical and coordination challenge in that
8 space.
9 Did you have any other specific
10 recommendations in the work that is done on FDA
11 inspections in an international space that you can
12 either share here?
13 MR. BARRUETA: It's a very good question,
14 and I guess I would acknowledge the challenges in
15 setting up a system to do this appropriately. As a
16 major purchaser of drugs, we do our best to make
17 sure that on our end we're able to validate the
18 capabilities of suppliers overseas, and I know that
19 that's true for everyone in the industry.
20 I think that there is a need -- it may be
21 appropriate, Alonza, for the FDA to work with
22 purchasers more directly, to think about how we

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1 might develop a more coherent surveillance system
2 to identify gaps, knowing that it's going to be
3 impossible -- I think it would be impossible to
4 have as robust a global surveillance safety system
5 as we do in the United States, but I do think
6 there's a lot that we could do together to try to
7 improve that.

8 At the end of the day, it's really important
9 to purchasers that the regulator we rely on, to
10 make sure that safety does exist in the FDA, has
11 the appropriate resources and reach to do this. I
12 don't think we can actually rely on voluntary
13 private efforts in this space, and it's something
14 that both FDA and Congress should ensure is
15 adequately resourced to enable it to happen;
16 because as I think Jillanne mentioned, it's really
17 unlikely that we can onshore all of the
18 manufacturing as some people would like.

19 We will always have to have a global
20 pharmaceutical supply chain, and we need to have a
21 regulatory structure that is appropriately financed
22 and appropriately designed to make that safer and

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1 safer.

2 So I don't have a specific rifle-shot
3 solution to this. I basically think we need to
4 take this on as a complex, comprehensive matter
5 that needs to be addressed by the regulatory agency
6 that's responsible and for all of the purchasers
7 who are reliant upon that.

8 MR. CRUSE: Thank you for that feedback.

9 MS. NGUYEN: Any other questions from the
10 FDA panel for Jillanne or Tony?
11 (No response.)

12 MS. NGUYEN: If not, I'd like to thank you
13 for your time and move on to the next portion of
14 the meeting, which will be presentations from other
15 stakeholders.

16 MR. BARRUETA: Thank you.

17 MS. NGUYEN: Thank you.

18 The next presentation will be from Scott
19 Tomsky, who is from Teva Pharmaceuticals.

20 Presentation - Scott Tomsky

21 MR. TOMSKY: Thank you, Martha.

22 Good afternoon, and thank you for the

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1 opportunity to speak today as we get set to embark
2 on the second reauthorization of GDUFA. My name is
3 Scott Tomsky. I am vice president of Regulatory
4 Affairs, Generics, North America at Teva. I've
5 been engaged with GDUFA from the beginning. I
6 worked to implement GDUFA I, I was a member of the
7 GDUFA II negotiating team, I am an active member of
8 the industry implementation team for GDUFA II, and
9 I'm excited to be a member of the industry team for
10 GDUFA III negotiations set to embark later this
11 year.

12 At any point in time, Teva has on average
13 over 200 ANDAs at various stages of review with
14 FDA. Teva's perspective reflects our repetitive
15 interactions with FDA across many applications. As
16 we have already heard today, FDA has made major
17 strides in standing up a more robust, predictable,
18 transparent, and scientifically driven system to
19 support the review and approval of generic drugs.

20 While Teva applauds the agency's efforts to
21 date, we still see significant areas for
22 improvement. Given the importance of generic drugs

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1 to patients, the healthcare System, the U.S.
2 economy, we believe FDA needs to continue to evolve
3 and strive for greater efficiency, predictability,
4 and regulatory flexibility for the review and
5 approval of generic medicines.

6 One way to help bring these products to
7 market more efficiently so that patients can
8 benefit from access to lower cost alternatives to
9 pricey medications is to further build a GDUFA
10 infrastructure that further supports the review and
11 timely approval of more complex ANDAs.

12 As we look back at the successes we have
13 achieved in GDUFA II, and as we look ahead to the
14 possibilities of GDUFA III, Teva believes that a
15 greater focus on regulatory flexibility and
16 transparency are of paramount importance. As I
17 would detail in my remarks today, FDA must examine
18 its practices in developing robust regulatory
19 science to underpin a more flexible and innovative
20 approach to generic drug review.

21 During the last eight years of GDUFA II, FDA
22 cleared the ANDA backlog, issued hundreds of

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1 product-specific guidances, increased the number of
2 first-cycle approvals, created a formal pre-ANDA
3 meeting process, and clarified many of its policies
4 through issuance of guidances for industry.
5 In GDUFA III, FDA needs to take additional
6 steps to increase the number of first-cycle
7 approvals, especially for complex generics. FDA
8 should examine its practices that may be impeding
9 generic entry such as the rigid adherence to
10 product-specific guidances and qualitative/
11 quantitative similarity, as well as creating a more
12 tailored review process to support product
13 approvals.
14 To start, I want to thank FDA for its
15 willingness to work with industry on reducing the
16 number of ANDAs that are RTR'd. Industry raised
17 those issues with you during GDUFA II
18 implementation, and fewer ANDAs are being refused
19 receipt for trivial reasons. If the agency can
20 show similar flexibility in a few of the areas I
21 will highlight in my remarks today, I believe it
22 will make an enormous difference for the generic

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1 industry.
2 One of the biggest challenges Teva still
3 encounters with FDA is regulatory uncertainty and
4 unpredictability. This has a tremendous impact on
5 Teva's forecast and business decisions related to
6 planning for launch as well as product selection.
7 Sometimes regulatory delays and lack of
8 transparency are so challenging and insurmountable
9 that Teva will choose to abandon an ANDA rather
10 than trying to get it approved and launched, or we
11 may forego development of a project because of the
12 uncertainty around the approval path and timeline.
13 When we are unsure of FDA's timeline in
14 acting on an application or what action FDA will
15 take due to lack of clear regulatory policy or
16 guidance, it makes it nearly impossible to decide
17 to scale up our manufacturing process and prepare
18 to launch the product. It is often more cost
19 effective for Teva to abandon a project rather than
20 throw manufactured product away due to delays and
21 launch timeliness stemming from FDA delays. The
22 impact of FDA's lack of regulatory predictability,

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1 transparency, consistency, and flexibility is felt
2 most acutely for our applications for more complex
3 generic products.
4 As noted in a 2019 report by the Government
5 Accountability Office, the average rate of
6 first-cycle approvals overall was 12 percent with
7 most applications taking up these three review
8 cycles to reach approval. Additionally, GAO notes
9 that complex drugs are highly unlikely to be
10 approved in the first cycle. For some routes of
11 administration commonly associated with complex
12 formulations, the first-cycle approval rate noted
13 by the GAO was zero. This is consistent with
14 Teva's experience as well.
15 But why is it this way, and does it have to
16 be? Complex generics may be more akin to their new
17 drug counterparts in the type of review required.
18 It's notable that FDA's Office of New Drugs is able
19 to approve over 90 percent of applications, even
20 for new molecular entities, on the first round of
21 review.
22 Now granted, PDUFA is structured and

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1 financed very differently than GDUFA, however, we
2 have to dig in closer and take some lessons to be
3 learned in the way FDA works with new drug sponsors
4 to ensure that applications are in shape to be
5 approved when they are submitted to the agency.
6 For example, we should consider setting goal
7 dates from the date of filing and not from the date
8 of submission for complex generic applications as
9 is done in PDUFA for new molecular entities and
10 BLAs, which provide the agency a bit more time to
11 do a substantive review.
12 Teva has also envisioned a different review
13 goal structure for GDUFA III, where complex
14 generics are reviewed under different metrics and
15 where OGD's review completion target is lowered to
16 75 percent rather than 90 percent today. This
17 would allow the Office of Generic Drugs the
18 flexibility to miss a goal date when they feel they
19 can work toward an approval, but it can only work
20 if sponsors have more insight into the review
21 process and confidence and clarity to where an
22 application review stands if a goal date is missed.

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1 The application cannot fall into oblivion as often
2 is the case today when a goal date is missed.
3 One of the best results of GDUFA II was the
4 creation of the pre-ANDA review process, which
5 somewhat reflects the new drug model. Industry
6 pushed hard to gain more FDA feedback prior to ANDA
7 submission, and the agency has delivered on that
8 commitment. Teva specifically has found the
9 product development meeting to be very useful and
10 an important tool for complex products.
11 FDA puts a lot of time and effort into
12 preliminary responses and into the meeting
13 discussion, and we would love to see even more of
14 that kind of collaboration in GDUFA III. Teva
15 feels that can bring more collaboration to other
16 areas of the ANDA review process and reap similar
17 benefits.
18 First, mid-cycle review meetings, they're
19 currently a lost opportunity from Teva's
20 prospective. This meeting opportunity borne out of
21 GDUFA II was an encouraging avenue for industry
22 submitting complex generic drug products, however,

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1 it has been nothing close to what was envisioned or
2 discussed. Teva has experienced several of these
3 mid-cycle review meetings and have found them to be
4 of poor use of FDA and industry resources, as well
5 as a lost opportunity to reduce the likelihood or
6 need for a subsequent review cycle.
7 The intention of these meetings coming out
8 of GDUFA II was to create an avenue where the
9 review team and the applicant would discuss the
10 initial review deficiency and clarify any questions
11 that may require further discussion. This would
12 ensure that the sponsor understood what was being
13 asked, as well as clearly understanding the
14 agency's expectations to address the comment fully.
15 Rather, these meetings have been unfruitful and
16 simply results in FDA communicating that a
17 deficiency letter was issued on a particular date
18 and that the response is due on that particular
19 date without an opportunity for discussion between
20 the review team and the applicant.
21 In regard to complete response letters, FDA
22 relies too heavily on them today and should look

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1 for more opportunities to complete a review with no
2 further questions during a review cycle, and the
3 result of FDA's proclivity to default to major CRLs
4 is a significant lag in the generic product's
5 breach in the market.
6 A more interactive review process may reduce
7 the number of overall CRLs, especially major CRLs.
8 Wherever possible, information requests should be
9 used in place of a minor CRL, which in turn should
10 be used in place of major CRLs. Using information
11 requests more effectively throughout the review
12 cycle could address issues that are being punted to
13 CRLs today.
14 FDA has also implemented a policy of not
15 using information requests or discipline review
16 letters after a GDUFA goal date has passed, which
17 leaves the agency no choice but to issue a complete
18 response letter when the answer to an IR or CRL
19 could have led to an approval.
20 After FDA issues a CRL, currently sponsors
21 our only able to follow up with clarifying
22 questions. There needs to be a process for

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1 sponsors to have post-CRL meetings for more
2 in-depth discussions as there are for new drugs.
3 This type of meeting likely would be most useful
4 for complex drug products and could be piloted for
5 those products first.
6 Another practice that FDA should re-examine
7 under GDUFA III is the agency's policy to apply
8 revised product-specific guidances to pending
9 ANDAs. Practically speaking, FDA requires
10 applicants to meet new and revised guidances even
11 after applications are submitted or tentatively
12 approve. This event occurs routinely, even as FDA
13 reminds us that guidances are not binding. This is
14 especially egregious because FDA does not go back
15 and ask approved ANDAs to meet new product-specific
16 guidances, thus treating similarly situated parties
17 completely differently.
18 If FDA would not ask approved applicants to
19 meet a new guidance, then they should not do so for
20 pending ANDAs. Every time industry has to redo a
21 study, it delays generic entry and adds to the cost
22 of products to the patients. In most cases, FDA's

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1 revisions to product-specific guidances may improve
2 bioequivalence testing methodology, but they don't
3 impose changes that are critical for demonstrating
4 bioequivalence to the reference-listed drug. If
5 the changes were made because previously approved
6 ANDAs had been determined not to be bioequivalent,
7 it would be appropriate to hold pending and
8 approved ANDAs to the same standard.

9 I also think it's important to note that FDA
10 does not just do this for pending ANDAs but also
11 for ANDAs that the agency has already tentatively
12 approved. Were it not for blocking patents or
13 exclusivities, a tentatively approved ANDA would be
14 approved, and yet FDA does not require approved
15 ANDAs to meet the same revised bioequivalence
16 recommendations. You can see how this result
17 appears to be absurd.

18 Another area to be addressed is the
19 presubmission facility correspondence or PFC. FDA
20 currently is not using the PFC process effectively,
21 nor in the way it was contemplated and discussed
22 during GDUFA II negotiations. This was a promising

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1 idea under GDUFA II that could have provided more
2 opportunities to reduce review time from 10 months
3 to 8 months for priority ANDAs.

4 During GDUFA II negotiations, industry
5 agreed to provide a listing of facilities
6 referenced and to be used in a particular ANDA
7 similar to information that's included on a basic
8 356h form for PFC. Subsequently, FDA issued
9 guidance that essentially requires the submission
10 of a mini ANDA for a PFC.

11 This is an overly burdensome process that
12 contravenes the purpose for which the PFC was
13 created, namely to identify all facilities and
14 permit FDA a 2-month lead time to assess and plan
15 if an inspection was determined to be necessary.
16 This would in turn provide an opportunity to
17 expedite review and approval priority ANDAs that
18 submit their facility information two months ahead
19 of a planned ANDA submission date.

20 FDA needs to re-examine the PFC process to
21 bring it in alignment with the original intention
22 and allow sponsors that are diligent in submitting

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1 facility information for priority products in
2 advance to avail themselves and opportunity to a
3 pathway.

4 Finally, I would be remiss if I did not
5 mention today the impact of FDA's regulatory
6 approach for my commercial colleagues. While brand
7 name products receive an outsized amount of
8 attention related to their pricing and marketing,
9 we should not shortchange the effort it takes to
10 bring a generic product to the market.

11 My commercial colleagues walk a tightrope of
12 profitability, which is made even more treacherous
13 by the constantly shifting winds of FDA regulation
14 for generic medicines. When we don't know what is
15 going to happen with FDA, it's bad for business.

16 One great example here is timing of approval
17 actions. Applications from multiple sponsors are
18 at times submitted on the same day and are likely
19 to be approved at the same time. We have had ANDAs
20 where FDA approved our application a full day or
21 several days later than other applications because
22 we had a different project manager or because there

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1 were administrative issues with an ANDA. This has
2 a major impact on business, which can sometimes
3 make it significantly more challenging to launch
4 products because others are out ahead of us.

5 We often hear FDA asking why the number of
6 launches doesn't correlate with the number of
7 approvals. When the FDA approval process drags on
8 or becomes too unpredictable to support the
9 business case, products won't launch. It's that
10 simple. The truth of the matter is that the risk
11 factors of regulatory uncertainty can make a launch
12 unviable. Even when FDA eventually approves a
13 product, the business case may no longer be there
14 to support a successful launch, and we conclude
15 it's preferable to abandon the project.

16 For these reasons, I often have difficulty
17 convincing my commercial colleagues to pursue
18 projects for small market drugs that are going to
19 have competitors. It's even one of the pitfalls I
20 noted in my remarks today that occurs for an ANDA,
21 or a product like that, that will have wasted the
22 development and manufacturing resources, and

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1 there's no return on the investment.
2 I'm looking forward to discussing these and
3 other issues with FDA as the GDUFA III negotiations
4 get underway. If we work together to take steps
5 towards a better, bolder regulation of generic
6 drugs, it will benefit all of us, and even more so
7 the patients that take our medicines every day.
8 Thank you, and I'm happy to answer any questions.
9 MS. NGUYEN: Thank you, Scott. If you can
10 hang on, we will take questions from the FDA panel
11 after two other presentations from stakeholders.
12 The next presentation will be from Diana
13 Zuckerman from the National Center for Health
14 Research.
15 DR. ZUCKERMAN: Thank you so much for the
16 opportunity to speak today. Can you hear me?
17 MS. NGUYEN: Yes.
18 Presentation - Diana Zuckerman
19 DR. ZUCKERMAN: Fantastic.
20 I apologize in advance for my slides. There
21 were some technical difficulties with downloading
22 them, but I think we'll do pretty well with them

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1 regardless.
2 I just want to start out by saying the
3 National Center for Health Research is a non-profit
4 think tank. We focus on the safety and
5 effectiveness of medical and consumer products, and
6 we don't take money from companies that make those
7 products. My particular perspective -- because of
8 my training in epidemiology and public health at
9 Yale, but also a dozen years in the House of
10 Representatives, and the Senate, and at HHS, and at
11 the White House -- comes both from the policy part
12 of the equation as well as the public health part,
13 but I'm going to be focusing on public health
14 today.
15 As everyone knows, safe and effective from
16 the FDA's point of view means that the benefits
17 outweigh the risks for most patients and, of
18 course, the popularity of generic drugs is based on
19 the assumption that the risks and benefits are
20 essentially the same for generics as they are for
21 brand name drugs, even though we know they're not
22 exactly identical.

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1 Of course FDA's drug approval criteria are
2 to be safe, to be effective, and also that they be
3 inspected to make sure that they're being made the
4 way they were supposed to be made and not
5 contaminated, and that they're really exactly what
6 they're supposed to be.
7 For patients, the question is do these drugs
8 work as they're expected to; how sure can patients
9 be that this generic that they're going to take
10 works and is as safe as the label states; and how
11 consistent is the label with the most recent data
12 from postmarket surveillance and other studies that
13 are done outside of the government?
14 From a public health perspective, as I think
15 about how GDUFA can improve, my big concern is that
16 performance data are currently based on speed as
17 well as meetings with industry, which help move
18 things along more quickly, and I have no concern
19 about that in terms of, yes, we all want safe and
20 effective generic drugs on the market as soon as
21 possible, but performance data should also be based
22 on patient-centered outcomes.

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1 Yes, generics cost less, generally,
2 sometimes a lot less and sometimes just a little
3 bit less. But cost is not the only issue of
4 importance to patients, and I was really glad to
5 hear Jacqueline Corrigan-Curay mention that this
6 morning. We agree completely.
7 There is an "H" missing on my slide, but it
8 should be saying, "How can GDUFA improve?" The
9 most important priority for patients is having
10 generic drugs that are safe and effective. So the
11 question for patients is how careful are these FDA
12 reviews? How careful is that scrutiny, and are the
13 resources and is the money from user fees and from
14 appropriations adequate to really prioritize that
15 review in terms of safety and effectiveness, not
16 just speed?
17 Patients want these inspections to be
18 thorough, and in addition to the reviews, they want
19 the inspections to be thorough, and especially
20 foreign inspections. You've heard something about
21 that earlier in the previous panel, which I was
22 very glad to have been a focus. But I just want to

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1 say that GDUFA needs to support inspections. It's
2 not clear to me to what extent these user fees are
3 currently used for inspections.
4 We heard this morning that in some cases I
5 guess inspections were stopped because of the
6 pandemic. The foreign inspections apparently are
7 still postponed because of the pandemic, and this
8 is something that absolutely needs to be resolved
9 as soon as possible.
10 We understand all the limitations, and we
11 certainly want inspectors to be in a safe
12 situation, whether its domestic or foreign
13 inspections, but we can't continue to have no
14 inspections. Even of course before the pandemic,
15 there were problems with not enough inspections.
16 We also agree that there's a problem when companies
17 are told weeks in advance that there's going to be
18 an inspection rather than having a date by
19 surprise.
20 Well, I don't know what your slide looks
21 like, but when I look at it, all the D's are
22 missing. How can GDUFA improve the information

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1 available to patients and providers? In addition
2 to premarket -- and of course the premarket stage
3 is really most important and the scrutiny at the
4 premarket stage is most important, but we also
5 wanted to make sure that GDUFA is adequately
6 supporting postmarket surveillance.
7 I don't have public information about how
8 much GDUFA fees are used for postmarket
9 surveillance. I know that PDUFA does support
10 postmarket surveillance and that MDUFA does not
11 support postmarket surveillance. So we hope that
12 GDUFA is supporting postmarket surveillance, but
13 not just supporting it, but adequately supporting
14 it. In addition to the traditional kind of
15 postmarket surveillance, GDUFA should also be
16 providing support for FDA staff to create things
17 like patient-informed consent checklists when those
18 are needed to help out with medical guides.
19 I just want to actually give a personal
20 experience here. I'm currently taking two generic
21 drugs, and I have found that the medication guides
22 that come with these drugs are sometimes including

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1 information that's either inaccurate or confusing.
2 In fact, I even called Teva about one that I was
3 taking because I thought that the information was
4 incorrect, but the person I talked to there,
5 despite going up the ladder as much as I could,
6 clearly had no idea what I was talking about.
7 So the question in my mind is, if the
8 company is not going to be responsive, are there
9 FDA staff that consumers can contact about
10 apparently incorrect or confusing information on
11 medication guides?
12 In addition to medication guides and
13 something like an informed consent checklist that
14 patients could find, GDUFA should also be
15 supporting Dear Doctor letters and warnings to
16 patients about new information about risks or
17 contraindications.
18 From our point of view, regardless of
19 whether these Dear Doctor letters or warnings are
20 coming out of the FDA, in which case, of course,
21 FDA staff needs to write them, or whether they're
22 going to be coming out of the companies -- but in

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1 that case FDA staff should also be working with the
2 companies to make sure that these letters are going
3 out appropriately, that they are understandable and
4 clear, and that they are getting the attention that
5 they deserve.
6 Postmarket studies are absolutely important,
7 but it always makes me a little nervous to talk
8 about it because I don't want to imply that
9 premarket isn't the most important part of the
10 equation. We don't like it when patients really
11 feel like guinea pigs. There are many different
12 kinds of postmarket studies that can be helpful.
13 The Sentinel program is one. Adverse event reports
14 can be helpful. There are other real-world data
15 that can provide useful information.
16 As someone trained in epidemiology, I know
17 that claims reports and a lot of the big data that
18 are used by Sentinel and others have a lot of flaws
19 and a lot of confusion about what they actually
20 mean. To some extent, the data can be manipulated
21 to show whatever people want it to show.
22 So that can be helpful; the real-world data

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1 can be helpful, but it, in and of itself, isn't
2 enough. Even for postmarket studies, sometimes
3 clinical trials may be needed, especially when it
4 seems that certain products are not as safe or not
5 as effective as we expect that they are, that they
6 should have been.

7 The bottom line really is, are generics as
8 good as name brand medications, and do patients and
9 consumers have confidence that they are as good?
10 We have seen over the years a certain amount of
11 increased cynicism and concern that they may not be
12 quite as equivalent as we expected or thought.

13 This morning, we also heard from the
14 commissioner about some of the changes that were
15 made during the pandemic, and we understand the
16 need for urgency during the coronavirus pandemic,
17 but it was certainly worrisome to hear that
18 apparently some supplemental applications were
19 going forward without even being reviewed by the
20 FDA first.

21 I didn't get all that information in enough
22 detail to know what it means. I only know, for

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1 example, the big example is that coronavirus
2 diagnostic tests -- which is not a GDUFA issue, but
3 those tests were allowed to be sold for weeks
4 before they were reviewed by the FDA. And even
5 after EUAs were authorized, in some cases the FDA
6 did not have the resources to actually review these
7 coronavirus tests to find out how accurate are
8 they. Are some more accurate than others? What
9 are the false positives? What are the false
10 negatives?

11 That's a different issue, I understand that,
12 but it's certainly gotten the attention of a lot of
13 public health advocates, and it lowers the
14 confidence in the FDA in general, and it certainly
15 lowers confidence when we know that there are
16 generic medications that are being used to treat
17 coronavirus and COVID-19, and we'd like to know
18 more about how that's being scrutinized by the FDA.

19 So in conclusion, I just want to say, as
20 I've said, cost and speed are the focus of GDUFA II
21 negotiations between industry and FDA, but it's not
22 the most important thing to patients. You're going

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1 to hear from some patients this afternoon who I
2 think will have compelling stories about why that
3 is true. There's just, I think, a certain lack of
4 transparency for patients, consumers, and public
5 health advocates.

6 It seems like, from all the user-fee
7 negotiations, the focus is on transparency between
8 FDA and industry, and we need a lot more
9 transparency between FDA and the public, and
10 industry and FDA and the public. It's, I think,
11 very unfortunate that these negotiations, all of
12 the user-fee negotiations, occur behind closed
13 doors without public health folks, patients, and
14 consumers, and providers there in the room. We do
15 get these opportunities to speak, and we're very
16 grateful for that, but wouldn't it be helpful to
17 have a lot more transparency about what's going on?

18 Also, let me just say on the FDA website, I
19 think there should be a lot more transparency about
20 how the generic drug process works and what are the
21 mechanisms available for patients, and consumers,
22 and others -- public health folks and policy

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1 folk -- to better understand what the process is,
2 both for getting generics on the market and for
3 postmarket surveillance and studies. Thank you
4 very much.

5 MS. NGUYEN: Thank you, Diana.

6 The last of the stakeholder presentations
7 will be given by Priscilla Zawislak IPEC-Americas.

8 Presentation - Priscilla Zawislak

9 MS. ZAWISLAK: Thank you.

10 Thank you, and good afternoon. I'm
11 representing IPEC-Americas, and we appreciate the
12 opportunity to speak today. IPEC-Americas
13 represents about 50 excipient manufacturers,
14 distributors, and pharmaceutical biopharma
15 companies who support the safe production and use
16 of excipients. These are the points that I would
17 like to cover today.

18 In terms of the overall performance of the
19 GDUFA program to date, IPEC-Americas appreciates
20 FDA's efforts and the necessary updates completed
21 so far of the inactive ingredient database, the
22 IID, and the addition of maximum daily exposure

<p style="text-align: right;">Page 197</p> <p>1 limits. However, we continue to be concerned with 2 data integrity and traceability of changes to 3 records made in the database. 4 In addition to the current cleanup 5 activities under GDUFA II, improvements are needed 6 to minimize confusion when listings are removed and 7 to resolve data integrity problems that continue to 8 occur. Looking at a way to enhance the efficiency 9 and effectiveness of the generic drug review 10 process, FDA reviewers cannot effectively review 11 submissions if the information in the IID cannot be 12 relied upon. Likewise, formulators cannot rely on 13 the precedents listed in the IID as definitive if 14 there are data integrity issues. 15 FDA should focus on IID policy and data 16 integrity issues not addressed under GDUFA II. In 17 particular, FDA policy development and alignment 18 are needed between the global substance 19 registration system, the IID, and the nomenclature 20 used. Also with respect to drug quality and 21 advanced manufacturing and complex products, in 22 order to facilitate first-time approvals for</p>	<p style="text-align: right;">Page 199</p> <p>1 We are aware that some FDA reviewers have 2 stated that the drug product formulator should use 3 an excipient with an established safety profile 4 that is present in FDA-approved products of the 5 same route of administration and level of use -- we 6 call this formulation by IID -- rather than 7 accepting a detailed bridging justification that 8 meets the safety requirements of FDA as 9 communicated in FDA's own presentations. 10 As a result, companies may formulate 11 suboptimal drug products in order to avoid the 12 perceived regulatory risk of using excipients, or 13 levels of them, which may not have precedence of 14 use in an approved drug product via the IID. IPEC- 15 Americas believes that this current approach is a 16 deterrent to generic drug product innovation, 17 impedes the development of generic drugs, is 18 counter to FDA policy of using risk-assessment 19 principles, and does not enhance patient safety. 20 Since there appear to be differences in 21 awareness and consistency in how bridging 22 justifications are addressed by different</p>
<p style="text-align: right;">Page 198</p> <p>1 complex generics, FDA IID policy needs to be 2 developed for listing excipients used in new and 3 emerging dosage forms; for example, combination 4 products, transdermal applications, and excipients 5 in medical devices as just a few examples. 6 Currently, records in the IID are 7 inconsistent, and there is no FDA policy or 8 guidance available for a generic drug manufacturer 9 to review and evaluate precedents for an excipient 10 relative to these types of dosage forms. 11 Considering the rapid expansion and focus on 12 complex generics, it is critical for FDA to provide 13 clarity and a policy position. 14 Generic pharmaceutical developers are 15 reluctant to vary from excipient grades listed in 16 the IID for new formulations, and it could be due 17 to either unclear bridging justification 18 requirements, the absence of an established 19 regulatory pathway for the evaluation of novel 20 excipients, which are not new chemical entities, 21 and lack of clarity about how to use the 22 information in the IID.</p>	<p style="text-align: right;">Page 200</p> <p>1 reviewers, IPEC believes that an excipient safety 2 bridging justification guidance document should be 3 developed that defines requirements for good 4 bridging studies and develop policy and reviewer 5 training for when and how a good bridging study 6 might be used to support an ANDA submission. This 7 would improve the quality of ANDA submissions, help 8 increase first-time approval rates for ANDA 9 submissions, and ultimately improve generic drug 10 quality, more timely availability, and lower costs. 11 Switching then to our final topic, FDA 12 defines a novel excipient as a material or 13 composition that has not been previously used in an 14 approved drug product in the U.S., meaning that 15 it's not listed in the IID for the intended route 16 and level of administration. Novel excipients can 17 be new chemical entities, or as we would call them 18 NCEs, but they can also be co-processed excipients 19 and existing excipients used for new dosage forms 20 or at higher levels of use, just to name a few. 21 FDA should differentiate novel excipients 22 that are new chemical entities versus a novel</p>

<p style="text-align: right;">Page 201</p> <p>1 excipient based on a slightly higher level of use, 2 a chemical modification, a different route of 3 related route of delivery, et cetera. 4 IPEC-Americas strongly believes that there 5 are many cases where a novel excipient other than a 6 new chemical entity can be justified based on 7 existing human exposure and bridging 8 justifications. Furthermore, we do not agree with, 9 nor understand, the scientific justification for 10 why an excipient with precedence of use for related 11 route of exposure and having data supporting its 12 safe use would be considered novel. 13 IPEC-Americas highly recommends that FDA 14 accept ANDA bridging justifications in lieu of a 15 505(b)(2) to address novel excipient uses other 16 than NCEs. FDA needs to consider this policy 17 change for efficiency and to improve and permit 18 access to better generic drugs. 19 Allowing for reference of information from 20 related excipients will maximize utilization of 21 available safety studies while minimizing 22 unnecessary sacrifice of animals. This strategy is</p>	<p style="text-align: right;">Page 203</p> <p>1 system would give drug developers greater 2 confidence to include novel excipients in drug 3 products and facilitate innovation. 4 IPEC-Americas strongly believes that a novel 5 excipient review program should not be limited to a 6 new chemical entity but rather should include all 7 different types of novel excipients. The vast 8 majority of, quote, "novel excipients" are those 9 that actually fit other types such as chemically 10 modified grades of existing excipients, 11 co-processed excipients, and current IID-listed 12 excipients at higher levels of use or route of 13 delivery. 14 So expanding the program to include these 15 would go further to meet industry needs than 16 limiting it only to new chemical entities, and the 17 safety rationale for these is probably simpler than 18 for the new chemical entities. 19 IPEC believes that all types of novel 20 excipients should be candidates for the novel 21 excipient review program and should be allowed for 22 use in generic drugs, as well as innovator drugs,</p>
<p style="text-align: right;">Page 202</p> <p>1 aligned with the Tox21 program, the goal of which 2 is to develop strategies that can be used directly 3 by regulatory agencies to regulate chemicals and 4 reduce our current reliance on animal testing for 5 toxicological assessment. 6 IPEC requested under GDUFA II, and is still 7 requesting under GDUFA III, that FDA develop and 8 implement an independent, novel excipient review 9 process. This can help minimize the potential that 10 generic companies would formulate suboptimal drug 11 products in order to use ingredients only listed in 12 the IID instead of formulating an optimal drug 13 product by using excipients that may not already 14 have a precedence of use. 15 An independent FDA assessment of novel 16 excipients is needed outside of the drug 17 application. The sponsor would indicate intended 18 types of use and levels. We are not seeking 19 approval of the excipient but rather a way to have 20 the safety of the excipient evaluated and qualified 21 for potential use in a particular route of 22 administration and exposure level. This type of a</p>	<p style="text-align: right;">Page 204</p> <p>1 when their safety has been appropriately justified 2 either with toxicology studies or bridging 3 justification. A GDUFA/PDUFA type user-fee system 4 could provide resources to FDA to perform these 5 independent safety assessments or qualifications if 6 needed. 7 In closing, excipients comprise greater than 8 90 percent of most generic drug formulations, and 9 many are critical ingredients in drug product 10 formulations contributing to how these products 11 perform as intended when used by patients. 12 Excipient issues need to be incorporated into the 13 GDUFA III agreement in a way that will benefit FDA, 14 industry, and the patient. 15 We request the opportunity to help ensure 16 that the data in the IID are complete, consistent, 17 accurate, and maintained as such throughout the 18 data lifecycle. We also request the opportunity to 19 provide expertise and suggestions on how a novel 20 excipient review process might be developed and 21 implemented. 22 Finally, we'd like to share our thoughts and</p>

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1 concerns on guidance and policy for developing
2 appropriate use of bridging studies. As FDA begins
3 to define and develop GDUFA III commitments, IPEC-
4 Americas' request an improved communication channel
5 with FDA related to excipient issues and would like
6 to be formally included in the GDUFA III
7 discussions going forward. Thank you.

8 Clarifying Questions from the Panel

9 MS. NGUYEN: Thank you, Priscilla.

10 Now I will invite the FDA panel if they have
11 questions for Scott, Diana, or Priscilla first.

12 First we have a question from Rob Lionberger.

13 DR. LIONBERGER: Hi. This is Rob
14 Lionberger. I have a question for Scott. You
15 talked about the mid-cycle meeting not meeting
16 expectations. Can you say a little bit more about
17 what you would like to see at a mid-cycle meeting
18 and at what point during the review cycles do you
19 think this meeting would be the most valuable to
20 industry?

21 MR. TOMSKY: Sure. Thanks, Rob, for the
22 question. Look, I think I outlined it in my

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1 comments, but I think as was envisioned during
2 GDUFA II negotiations, the mid-cycle review
3 meeting, the whole purpose, from my perspective and
4 I think industry's perspective, was an opportunity
5 to see the initial review comments from the review
6 staff and have an opportunity to discuss those
7 questions to make sure that the industry was clear
8 on the question being asked, as well as to ensure
9 that industry is clear on what is required to
10 respond to those questions to ensure that there's
11 no follow-up question.

12 So really the whole purpose is to reduce the
13 likelihood and need for a subsequent review cycle,
14 and hopefully to try to work to achieve first-cycle
15 approval. I think the current timelines are
16 reasonable. Obviously, if we get them earlier,
17 great, but I think the current timelines would be
18 reasonable.

19 MS. NGUYEN: Thanks, Scott.

20 Jacqueline, I think you have a question
21 next.

22 DR. CORRIGAN-CURAY: Yes. Hello. Thank

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1 you, Scott, and all our speakers for really laying
2 out some very important points for us to think
3 about.

4 Scott, I'm going to follow up. A number of
5 our speakers -- you gave us a lot of things to
6 think about in terms of regulatory predictability,
7 complex generics, efficiency, and transparency, and
8 a number of our speakers have talked about
9 infections, and foreign inspections, and assuring
10 the quality of the drugs as they're being made
11 beyond review. So I wanted to get your thoughts
12 about that aspect of GDUFA and where you see the
13 importance of us working together on that.

14 MR. TOMSKY: Yes, sure. Obviously, my
15 comments were limited to 15 minutes. I probably
16 could have touched on several other areas.
17 Obviously, facilities and inspection-related issues
18 has been a topic of negotiations in the past and
19 will certainly be an area of focus for GDUFA III as
20 well.

21 I think one thing that jumps to mind is
22 certainly the concern about the majors [ph]

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1 guidance, or the amendments guidance, or the
2 [indiscernible]stands today, and the fact that any
3 facility-related question resulting from an
4 inspection triggers a major CRL. I think that's a
5 huge concern. I think, as I have outlined in my
6 remarks, it results in the overabundance or over-
7 issuance of major CRLs.

8 So that's all I would say at this point, but
9 certainly I think we will include additional
10 comments with regard to your question about
11 inspections and facility-related issues for generic
12 NDAs.

13 DR. CORRIGAN-CURAY: Thank you so much.

14 MS. NGUYEN: Rob, I think you had another
15 question.

16 DR. LIONBERGER: I have a question for Diana
17 about the statement that PDUFA supports postmarket
18 surveillance but GDUFA doesn't. Can you articulate
19 very specifically what you think surveillance for
20 new drug products should be looking for and what
21 the surveillance of generic products should be
22 looking for? Are they the same thing or are they

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1 different, from your perspective?
2 DR. ZUCKERMAN: Sure. Thanks for asking. I
3 want to clarify that what I said was that the PDUFA
4 does include user fees for postmarket surveillance,
5 but MDUFA, the Medical Device User Fee Act,
6 doesn't. I don't know whether GDUFA does or not.
7 I looked online. I couldn't really figure it out,
8 so it wasn't clear to me.
9 But to answer the second part of your
10 question, yes, of course, there are differences,
11 and some of those differences are based on the law
12 and some are based on the reality. But I think
13 that, obviously, the Sentinel program is a good
14 example of something that is good postmarket
15 surveillance for brand name drugs and also for
16 generic drugs.
17 To some extent, the number of patients that
18 you'd have access to studying would be much greater
19 with generic drugs, but then if there are several
20 different generic manufacturers making generic
21 versions of the same drug, you might not know which
22 one was taken.

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1 But I do think that some of the basic issues
2 are the same, and that is adverse event reports,
3 Sentinel, and real-world data of various sorts, and
4 that would be big data but also other kinds of
5 studies. I don't think that postmarket clinical
6 trials would be likely for most generic drugs where
7 they are done quite frequently with brand name
8 drugs, but I do think that there would probably be
9 times when that is the best way to find out what's
10 going on if something serious seems to be occurring
11 with patients taking a particular generic drug.
12 Then I talked about other things where FDA
13 staff could be more available. I didn't mention in
14 my remarks, but of course there's been a lot of
15 talk today about meetings between industry and FDA,
16 and those meetings are very, very important. But
17 meetings with patients and consumers and
18 providers would also be very important.
19 Those meetings shouldn't only be focused on
20 the backlog or how fast are these different
21 generics going to get on the market; they should
22 also be focused on whatever the patients think are

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1 important or whatever their concerns are, to be
2 reassured and have more confidence in the safety
3 and effectiveness of generic drugs.
4 MS. NGUYEN: Next is a question from Ted
5 Sherwood.
6 MR. SHERWOOD: Great. Thank you. This
7 one's for Scott.
8 Scott, you referenced the declining RTR
9 rates as a success. Are there other successful
10 areas that should be retained as we look towards
11 GDUFA III?
12 MR. TOMSKY: Sure. Thanks, Ted, for the
13 question. Again, I think the pre-ANDA,
14 pre-development program has been a huge success,
15 and we should continue to try to leverage it and
16 build on that. Another area that I think has been
17 outstanding has been the work done on the
18 post-approval supplement side, and we've seen
19 excellent results with the FDA review and
20 processing of prior approval supplements, as well
21 as CBEs [ph]. So those are a few things that stand
22 out.

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1 MS. NGUYEN: Thanks, Scott.
2 MR. SHERWOOD: Thank you.
3 MS. NGUYEN: Does the FDA panel have any
4 other questions?
5 (No response.)
6 Open Comment Period
7 MS. NGUYEN: Okay. I think there are no
8 other questions from the FDA panelists for these
9 three stakeholder presenters.
10 Next up on the public meeting agenda is the
11 open public comment period. We have a number of
12 individuals who have registered to provide brief
13 remarks today. I will invite each person in turn
14 to provide their remarks, and each person will have
15 two minutes to present. When all of the open
16 public commenters have presented, we will then
17 provide an opportunity for the FDA panel to ask
18 questions. When I call your name, please announce
19 your name and your affiliation, please.
20 The first person to present during this
21 period is Kristina Gehrki from USA Patient Network.
22 You have two minutes.

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1 MS. GEHRKI: Hi. I'm Kristina Kaiser
2 Gehrki. Can you hear me?
3 MS. NGUYEN: I can. Thank you.
4 MS. GEHRKI: I'm Kristina Kaiser Gehrki.
5 I'm speaking today as an expert by experience and a
6 board member of the USA Patient Network. Ten years
7 ago this month, Stewart Dolin died of prescription
8 drug-induced death after taking generic Paxil as
9 directed for 6 days. Stewart was suffering from
10 akathisia. Akathisia is a prescription
11 drug-induced disorder caused by many different
12 pharmaceutical products. These include asthma
13 drugs, acne drugs, smoking cessation drugs, and
14 malaria drugs, recently touted as possible of COVID
15 treatment.
16 One large class of drugs that are known to
17 cause akathisia and drug-induced suicide are SSRIs.
18 SSRIs are products pharmaceutical companies
19 commonly market as, quote, "antidepressants," end
20 quote. I became involved with USA Patient Network
21 and also the Medication-Induced Suicide Prevention
22 and Education Foundation, called MISSD, seven years

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1 ago after my teenager, Natalie, died a similar
2 akathisia-induced death.
3 Natalie's iatrogenic death occurred 2 days
4 after she took the maximum legal dose of Zoloft as
5 was instructed by her doctor. Natalie was not
6 depressed, and the doctor who prescribed the
7 ultimately fatal Zoloft dose did so by telephone
8 without ever seeing my teenager Natalie. This
9 doctor stated Natalie was not depressed, and again
10 Zoloft had not been prescribed for depression.
11 It is a fact that most labels on generic
12 drugs are incorrect. The FDA has also previously
13 acknowledged that several generic drugs promoted as
14 an antidepressant had never been tested, and in
15 fact one was pulled from market.
16 Lastly, considering generic drugs may have
17 different binders and fillers and do brand name
18 products, consumers can experience allergic
19 reactions. Many consumers of generic drugs also
20 suffer adverse effects due to large increases or
21 decreases in the amount of the active ingredient
22 actually released in their bodies.

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1 Today I end my two minutes by urging the FDA
2 to improve the safety of generics and increase
3 warnings to patients and their doctors so that
4 fewer people will suffer prescribed harm and death.
5 Money from user fees is needed to improve patient
6 safety. The speed of getting products reviewed
7 should be secondary to the FDA's paramount
8 responsibility, which is to ensure all Americans
9 that pharmaceutical products they consume are safe
10 and effective. Thank you for your time.
11 MS. NGUYEN: Thank you, Kristina.
12 The next commenter is Jonathan Furman also
13 from USA Patient Network.
14 MR. FURMAN: Good afternoon, everybody. I'm
15 Jonathan Furman, and I represent USA Patient
16 Network. We are a group of patient advocates who
17 are all familiar with the dangers of undertested
18 drugs and devices that turn out to be dangerous.
19 My particular story involves suffering
20 permanent harm from generic fluoroquinolone
21 antibiotics. There isn't a day that goes by where
22 I don't struggle with the aftermath of these drugs,

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1 which I took many years ago. Many of these side
2 effects are currently on the label, but at the time
3 they were not. Given that the drugs were generic
4 and the warning label was clearly insufficient at
5 the time, I'm particularly interested in how GDUFA
6 influences the entire life cycle of a generic drug.
7 As you consider the implementation details
8 of GDUFA, please keep in mind that low cost of
9 production and speed of approval shouldn't be the
10 only considerations. Safety and efficacy still
11 need to be primary. GDUFA needs to make sure
12 adequate provisions are made for inspections,
13 postmarket surveillance, and most importantly for
14 an environment that promotes accurate warning
15 labels and timely useful risk communications to
16 patients and prescribers.
17 Please don't think the time on market or
18 generic status means that we completely understand
19 any particular drug. The new information that we
20 just all learned about the quinoline antimalarial
21 chloroquine from our nation's COVID response
22 perfectly illustrates this. GDUFA needs to be able

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1 to support clinical trials and other research
2 initiatives post-market. Thank you for your time
3 and consideration.
4 MS. NGUYEN: Thank you, Jonathan.
5 The next speaker is Peiling Cheng from
6 Pharmaceutical Sourcing Partner.
7 MS. CHENG: Hello. Thank you for the
8 opportunity for providing comments. This is
9 Peiling Cheng from PSP, a small generic development
10 company. My comments are related to the ANDA and
11 your program fee.
12 The current large, medium, and small 3-tier
13 structure seems to be simple, but it is putting
14 much higher financial responsibility on companies
15 on the lower end of each tier, especially for those
16 in the small and medium tiers. If you're only one
17 ANDA, the fee is \$166,000, which is at least
18 5 times higher than the lower end of the spectrum,
19 which is 33,000 per ANDA or 20 times higher than
20 the ones on the extreme spectrum, which is less
21 than 10,000 per ANDA.
22 So if you own 2 or 6 ANDAs, then you pay

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1 83,000 or 110,000 per ANDA, again significantly
2 higher than the medium or the lower end. So we
3 suggest in GDUFA III, this approach, which I call
4 small household pays more taxes per person than the
5 large household, be re-evaluated and implement a
6 more equal structure which aligns with the resource
7 allocation for the annual ANDA program, which will
8 encourage more competition from small businesses so
9 in the end the patients can benefit. Thank you for
10 your time.
11 MS. NGUYEN: Thank you, Peiling.
12 This concludes the open public comment
13 period. The final part of our agenda will be
14 closing remarks by Jacqueline Corrigan-Curay,
15 director of the Office of Medical Policy.
16 Closing Remarks - Jacqueline Corrigan-Curay
17 DR. CORRIGAN-CURAY: Good afternoon, and
18 thank you, Martha. And I want to thank everyone
19 who participated today. While we would have liked
20 to be able to see you all in person, I want to
21 especially thank FDA and all the folks who worked
22 on the technical aspects to bring us successfully

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1 together virtually.
2 It has been an extremely informative and
3 exciting overview of the successes of GDUFA, and a
4 special thanks to our federal partners, our
5 healthcare representatives from the American
6 Society of Health-System Pharmacists and Kaiser
7 Permanente, and of course GDUFA's partnership with
8 our industry. I think David Gaugh from the
9 Association of Accessible Medicines; Scott Tomsky
10 from Teva; and our last speaker, Peiling Cheng from
11 a small generic development company.
12 We also greatly appreciated the perspectives
13 provided by Diana Zuckerman from the National
14 Center and Priscilla Zawislak from IPEC-Americas.
15 And finally, a special thanks to those who shared
16 their personal stories, Mr. Jeffrey Furman and
17 Kristina Gehrki. We know that's very difficult to
18 do, and we thank you for sharing and making sure
19 that we keep the patients in our first and foremost
20 thoughts.
21 I want to thank all of my colleagues at FDA
22 who've worked so hard to bring this meeting

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1 together. It's a team effort, but a special thanks
2 to Martha Nguyen and Dat Doan, who's been active in
3 driving us forward.
4 As we review the day, we see much has been
5 accomplished through GDUFA by bringing high quality
6 and affordable medications to the American public
7 and meeting the demands in the time of this
8 unprecedented pandemic. We've heard about the
9 importance of generic drugs across our society,
10 ensuring the health needs of our veterans, American
11 Indian, Alaska Native populations, and our elderly.
12 The generic industry is meeting the health
13 needs of all our citizens, and the success of the
14 program is dependent not only on the diligent and
15 efficient work on current applications, but we've
16 heard about the need to be forward-looking and
17 anticipating the future.
18 A key example we heard about today is
19 GDUFA's robust scientific program, which has
20 brought the best minds together to bring the next
21 generation of generics to the market, including
22 complex generics that can provide confidence to

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1 patients and practitioners that they will continue
2 to meet their needs and be substitutable.
3 We also know how important the quality of
4 generics is, and we heard about OPQ's approach to
5 promoting quality across the life cycle and the
6 opportunities in advanced manufacturing to further
7 assure sustained quality. We also heard about our
8 commitment from our inspectors to work with our
9 industry to increase efficiency through the use of
10 technology in partnership with our other
11 regulators.
12 We are currently in the third year of
13 GDUFA II, and what we've heard today is how highly
14 successful the program has been, but it's also
15 relatively young and still growing and improving.
16 We thank all of our participants for reinforcing
17 the importance of regulatory predictability while
18 maintaining high standards to ensure patient
19 safety; focusing on continuing assurance of quality
20 and safety and accurate information for consumers
21 and making sure that we have the resources to
22 adequately support all of these activities,

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1 including inspections both within and outside of
2 the U.S., and finally, the importance of
3 transparency both to the industry and consumers.
4 We know there are opportunities to work
5 together to continue to build on what works well
6 and make appropriate improvements to further meet
7 the needs of patients. We look forward to working
8 with our industry partners and our other
9 stakeholders to shape the future of GDUFA, and I
10 want to thank you all for your attention and
11 participation today, and my colleague at FDA. And
12 with that, we will close meeting. Thank you.
13 (Whereupon, at 2:29 p.m., the meeting was
14 adjourned.)
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