EMERGENCY USE AUTHORIZATION (EUA) SUMMARY FOR THE GENETWORX Covid-19 Nasal Swab Test

For *in vitro* Diagnostic Use
Rx Only
For individuals age 18 years and older (self-collected)
For 14 years and older (self-collected under adult supervision)
For 4 years and older (collected with adult assistance)

For Use Under Emergency Use Authorization (EUA) Only

The GENETWORx Covid-19 Nasal Swab Test will be performed at RCA Laboratory Services LLC located at 4060 Innslake Drive, Glen Allen, VA 23060 and Testing Centers of America LLC located at 411 Swedeland Road, King of Prussia, PA 19406 that are certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA), 42 U.S.C. §263a and meet requirements to perform high complexity tests as described in the Laboratory Standard Operating Procedures that were reviewed by the FDA under this EUA.

INTENDED USE

The GENETWORx Covid-19 Nasal Swab Test is intended for the qualitative detection of nucleic acid from SARS-CoV-2 in anterior nasal swab specimens that are collected at home using the GENETWORx Covid-19 Nasal Swab Test Collection Kit, by individuals age18 years and older (self-collected), 14 years and older (self-collected under adult supervision) or 4 years and older (collected with adult assistance) suspected of COVID-19, when determined to be appropriate by a healthcare provider. Specimens collected using the GENETWORx Covid-19 Nasal Swab Test Collection Kit can be transported at ambient temperature for testing.

Testing is limited to RCA Laboratory Services LLC located at 4060 Innslake Drive, Glen Allen, VA 23060 and Testing Centers of America LLC located at 411 Swedeland Road, King of Prussia, PA 19406, that are certified under the Clinical Laboratory Improvement Amendments of 1988 (CLIA), 42 U.S.C. §263a, and meet requirements to perform high complexity tests.

Results are for the identification of SARS-CoV-2 RNA. The SARS-CoV-2 RNA is generally detectable in anterior nasal swab specimens during the acute phase of infection. Positive results are indicative of the presence of SARS-CoV-2 RNA; clinical correlation with patient history and other diagnostic information is necessary to determine patient infection status. Positive results do not rule out bacterial infection or co-infection with other viruses. The agent detected may not be the definite cause of disease. Laboratories within the United States and its territories are required to report all results to the appropriate public health authorities.

Negative results do not preclude SARS-CoV-2 infection and should not be used as the sole basis for patient management decisions. Negative results must be combined with clinical observations, patient history, and epidemiological information. Self-collected specimens from SARS-CoV-2 positive individuals may yield negative results if the specimen was not collected properly.

The GENETWORx Covid-19 Nasal Swab Test is intended for use by qualified laboratory personnel specifically instructed and trained in molecular testing and *in vitro* diagnostic procedures. The GENETWORx Covid-19 Nasal Swab Test and the GENETWORx Covid-19

Nasal Swab Test Collection Kit are only for use under the Food and Drug Administration's Emergency Use Authorization.

DEVICE DESCRIPTION AND TEST PRINCIPLE

1. Device Description

The GENETWORx Covid-19 Nasal Swab Test for use with the GENETWORx Covid-19 Nasal Swab Test Collection Kit enables the self-collection of anterior nasal swab specimens by individuals aged 4 years and older suspected of COVID-19 when determined to be appropriate by a healthcare provider. The GENETWORx Covid-19 Nasal Swab Test Collection Kit consists of the Aptima Multitest Swab Kit (comprising a collection swab and Aptima Specimen Transport Medium in a collection tube) or the Hologic Direct Load Tube Collection kit (comprising the same collection tube, Aptima Specimen Transport Medium, and swab), specimen biohazard bag, absorbent sheet, kit carboard box, a shipping package/envelope with return shipping label, instructions for specimen collection and shipping (**Table 1**).

Table-1: Components of the GENETWORx Covid-19 Nasal Swab Test Collection Kit

Name	Description	Quantity	Material Supplier
GENETWORx Covid-19 Nasal Swab kit	Collection containing transport medium vial (barcoded) and swab	1	Aptima Multitest Swab Kit (Part # PRD-03546) or Aptima Direct Load Tube Collection Device (Part# PRD-06997)
Instruction for Use	Collection and shipping Instructions	1	GENETWORx (Part # N/A)
Shipping Box	7.5" wide x 5.5" deep x 2.25" tall box for shipping sample	1	Foley, Inc (Part # N/A)
Activation Card	Card Containing barcode for kit registration	1	GENETWORx (Part # N/A)
Biohazard Bag	Biohazard bag with absorbent pad.	1	VWR (Part # - BioHazard Bag 225)
FedEx Clinical Pak	UN3733 Clinical Pak, FedEx Return shipping label attached	1	Federal Express

The GENETWORx Covid-19 Nasal Swab Test Collection Kit was reviewed for adherence to the Department of Transportation's shipping requirements (IATA Dangerous good Regulations; Edition 61, Addendum 1, packing instruction 620; Effective 1 January – 31st December 2020). The kit was found to be acceptable and appropriate for shipping within the United States. The Specimen Transport Medium used in the GENETWORx Covid-19 Nasal Swab Test Collection Kit contains a substance that inactivates SARS-CoV-2 and might be a human health hazard if it accidentally comes in contact with eyes or skin. Appropriate cautionary and advisory statements were added in the user instructions to mitigate that risk.

Upon receipt in the laboratory, the GENETWORx Covid-19 Nasal Swab sample undergoes an accessioning process in which the bar code on the sample tube is scanned and the integrity of the specimen is verified prior to testing using the GENETWORx Covid-19 Nasal Swab Test that comprises the FDA-authorized APTIMA SARS-CoV-2 Assay and a parallel RT-PCR assay for

the human RNase P gene (GENETWORx RNase P Assay), that is used to monitor the integrity of specimen collection and transport.

2. GENETWORx Covid-19 Nasal Swab Test Collection Kit Ordering and Processing

A. Group orders

GENETWORx will supply the GENETWORx Covid-19 Nasal Swab Kit to businesses, colleges, and other institutions for distribution to individuals as ordered under prescription by a physician or other qualified healthcare provider. Group orders may be initiated through the GENETWORx website, www.genetworx.com, or by contract with GENETWORx. GENETWORx will ship group orders to the ordering entity for distribution to individuals under prescription or, if requested on the order of a physician, will send kits to individuals directly. Under no circumstance will a collection kit be provided to an individual without a prescription.

B. Individual Online Orders

Individuals will order the GENETWORx COVID-19 Nasal Swab Test Collection Kit through the GENETWORx website. To place an order an individual must complete a COVID-19 questionnaire provided by Physician Wellness Network (PWN), a national telehealth provider. Parents or guardians must complete the questionnaire for minors aged four (4) through seventeen (17). The questionnaire aligns with CDC recommendations for testing prioritization. PWN will review questionnaire responses to determine test eligibility. If appropriate, a qualified PWN healthcare provider in the patient's state will write a prescription. Test Collection Kits will not be sent to patients without a prescription. PWN will contact all patients, and/or parents or guardians of minors, whose results are positive or inconclusive. All patients will receive notice of test result availability by text message or email. Patients will be able to access results online using a mobile and web-based application.

The ordering healthcare provider will have electronic access to test results and will contact patients for follow up as appropriate.

3. GENETWORx Covid-19 Nasal Swab Test Collection Kit Use

Upon receiving the kit by mail, the User must read the package insert carefully and thoroughly to begin the sample collection process. As indicated on the User Instruction Card, prior to the collecting the specimen, a user must register and activate the collection kit. Specimens cannot be processed in the laboratory unless the collection kit is activated and registered. The kit is registered by first scanning the QR Code or manually entering the URL provided on the product packaging. This URL leads to the registration process which requires entering the barcode number provided on the sample tube and the activation card. Once the Kit is activated and registered, the user must follow the step-by-step instructions (as indicated in both written and video instructions) for sample collection, repackaging, and return of the specimen to GENETWORx.

An email or text notification will be sent when results are ready and users will be able to retrieve results and an official lab report through a GENETWORx account.

• Patients who receive negative results are encouraged to follow CDC guidelines for keeping themselves COVID-19 Free.

- Patients who receive positive results are given instructions to seek treatment from a medical provider.
- Patients who receive invalid results are advised to consider re-testing.

Results are also reported via secure portal to the ordering healthcare provider who will provide appropriate follow-up based upon the results in the exercise of professional medical discretion.

4. Laboratory Processing (Registration and accessioning of the samples):

Specimens received by RCA Laboratory Services LLC located at 4060 Innslake Drive, Glen Allen, VA 23060 and Testing Centers of America LLC located at 411 Swedeland Road, King of Prussia, PA 19406, will undergo the GENETWORx registration and accessioning process prior to acceptance for testing. As a first step, GENETWORx will confirm specimen delivery in their proprietary Aura web portal (auratracker.org). Once confirmed, the laboratory will open the package and scan the barcode. The laboratory will confirm that the samples were received on time (within the sample stability acceptance window), properly packaged in the biohazard bag and will check for any evidence of package damage or leakage of the sample. If the sample has leaked or changed its color (i.e., purple hue, indicative of a pH change), or the sample collection tube does not contain a swab, it will be discarded in the rejection bins leaving the sample in the biohazard bag.

5. Specimen Collection Control

Concurrently with the APTIMA SARS-CoV-2 Assay, GENETWORx will run a real-time PCR assay to detect the human RNase P gene which serves to monitor the specimen collection process. For the RNase P assay, samples will be extracted by magnetic bead-based extraction and amplified using the Douglas Intelliqube real time PCR instrument.

REAGENTS AND MATERIALS

a. GENETWORX RNase P Assay

A. <u>Assay components</u>: The GENETWORx RNase P Assay uses the RNase P-specific primers and probe contained in the LGC_COVID-19 Kit. Each kit is for up to 6000-7000 tests on the IntelliQube.

rRT-PCR primer/probe COVID-19 kit (LGC-BioSearch; KIT-nCoV-PP1-1000) stored between 2° and 8°C.

Table-2: RNase P Primer and Probe information

Target	Sequence	
Human RNase	Fwd Primer	5'-AGATTTGGACCTGCGAGCG-3'
P gene	Rev Primer	5'-GAGCGGCTGTCTCCACAAGT-3'
	Probe	5'-FAM/QUA-
		TTCTGACCTGAAGGCTCTGCGCG-BHQ-3'

- TaqPath 1-Step RT-qPCR Master Mix, CG (ThermoFisher; cat # A15299 or A15300) store 20 °C
- Molecular grade water, nuclease-free
- Disposable powder-free gloves

- P2/P10, P200, and P1000 aerosol barrier tips
- Sterile, nuclease-free 1.7 mL microcentrifuge tubes
- 0.2 mL PCR reaction tube strips or 96-well real-time PCR reaction plates and optical 8-cap strips
- Laboratory marking pen
- Cooler racks for 1.5 microcentrifuge tubes and 96-well 0.2 mL PCR reaction tubes
- Racks for 1.5 ml microcentrifuge tubes
- Acceptable surface decontaminants
 - ✓ RNase Away (Fisher Scientific; cat. #21-236-21 Store 25 °C
 - ✓ 10% bleach (1:10 dilution of commercial 5.25-6.0% sodium hypochlorite)-make fresh weekly

B. IntelliOube Supplies

Array Tape (Douglas Scientific, IT768-13WP050)

Array Tape Seal (Douglas Scientific)

Greiner 96 well plate with full skirt (VWR, 82050-700, or equivalent)

Greiner 96 deep well plate (VWR, 82051-472)

CyBio Tips 384/40uL (OL 3810-25-231)

CyBio Tips 96/40µl (OL 3810-25-431)

Source of RO-DI Type 1 purified water (ELGA Option-Q)

C. Equipment

IntelliQube Real-time PCR detection system DS-IQ1804 (with IntelliQube® software version 1.13.3.0, Douglas Scientific®). This is a "Research Use Only' instrument. GENETWORX provided a verification protocol to qualify all the Intelliqube instruments used for performing the GENETWORX RNase P Assay which is part of the GENETWORX Covid-19 Nasal Swab Test. Once the qualification is complete, each Intelliqube instrument will be relabeled with an "Emergency Use Only or EUO" sticker covering the Research Use Only label. A copy of the EUO sticker is included in the Intelliqube instrument verification protocol.

Central Pneumatic Air Compressor (60 gal, 5 HP, 165 PSI, Oil Lubricated) ELGA Option-Q Water Purification System

Hand Pipettes (VWR or equivalent, 10-1000 µl)

Multichannel Pipettes (VWR or equivalent, P20 and P200)

PCR Plate Spinner (VWR, 89184-608)

Minifuges (VWR, Cat# C1413 or equivalent)

Racks for 1.5 ml, 0.5 ml microcentrifuge tubes

Refrigerators (4°C)

Freezers (both -20°C and -70°C)

PCR Work Station [UV lamp; Laminar flow (Class 100 HEPA filtered)]

Vortex mixers

Microcentrifuge, for 1.5 mL Eppendorf tubes

Nucleic Acid Extraction for the GENETWORx RNase P Assay

• Samples collected with the Genetworx Covid-19 Nasal swab Test Collection Kit will be extracted using the Sbeadex extraction kit on the LGC Douglas oKtopure instrument.

Sbeadex Viral RNA extraction kit: Kit number NAP40-026-03 (storage temperature 25°C).

oKtopure liquid handling workstation Model name: oKtopure (KBS-0009-001)

PC with Liquid Handler software

Software version for oKtoPure Instrument: oKtopure software v8.6

b. APTIMA SARS-CoV-2 Assay

Aptima SARS-CoV-2 Assay Kit PRD-06419

For contents, see product insert (Aptima SARS-CoV-2 - Panther System, AW-21492-001 Rev. 005)

Table- 3

Aptima SARS-CoV-2 Refrigerated Box (Box 1 of 2) (store at 2°C to 8°C upon receipt)

Symbol	Component	Quantity 250 test ki
Α	Aptima SARS-CoV-2 Amplification Reagent Non-infectious nucleic acids dried in buffered solution containing < 5% bulking agent.	1 vial
E	Aptima SARS-CoV-2 Enzyme Reagent Reverse transcriptase and RNA polymerase dried in HEPES buffered solution containing < 10% bulking reagent.	1 vial
Р	Aptima SARS-CoV-2 Probe Reagent Non-infectious chemiluminescent DNA probes dried in succinate buffered solution containing < 5% detergent.	1 vial
IC	Aptima SARS-CoV-2 Internal Control	1 vial

Table- 4

Aptima SARS-CoV-2 Room Temperature Box (Box 2 of 2) (store at 15°C to 30°C upon receipt)

Symbol	Component	Quantity 250 test kit
AR	Aptima SARS-CoV-2 Amplification Reconstitution Solution Aqueous solution containing preservatives.	1 x 27.7 mL
ER	Aptima SARS-CoV-2 Enzyme Reconstitution Solution HEPES buffered solution containing a surfactant and glycerol.	1 x 11.1 mL
PR	Aptima SARS-CoV-2 Probe Reconstitution Solution Succinate buffered solution containing < 5% detergent.	1 x 35.4 mL
S	Aptima SARS-CoV-2 Selection Reagent 600 mM borate buffered solution containing surfactant.	1 x 108 mL
TCR	Aptima SARS-CoV-2 Target Capture Reagent Buffered salt solution containing solid phase and capture oligomers.	1 x 54 mL
	Reconstitution Collars	3
	Master Lot Barcode Sheet	1 sheet

Materials Required But Not Provided

Aptima Assay Fluids Kit (Aptima Wash Solution, Aptima Buffer for Deactivation Fluid, and

Aptima OilReagent) 303014 (1000 tests)

Aptima Auto Detect Kit 303013 (1000 tests)

Multi-tube units (MTUs) 104772-02

Panther Waste Bag Kit 902731

Panther Waste Bin Cover 504405

Or Panther Run Kit contains MTUs, waste bags, waste bin covers, assay fluids, and auto detects 303096 (5000 tests)

Tips, 1000 μL conductive, liquid sensing 10612513 (Tecan)

Aptima SARS-CoV-2 Controls Kit

PC - Aptima SARS-CoV-2 Positive Control. Non-infectious nucleic acid in a buffered solution containing < 5% detergent. Quantity 5 x 1.7 mL

NC - Aptima SARS-CoV-2 Negative Control. A buffered solution containing < 5% detergent.

Quantity 5 x 1.7 mL PRD-06420

Aptima Multitest Swab Specimen Collection Kit PRD-03546

Bleach, 5% to 7% (0.7M to 1.0M) sodium hypochlorite solution

Disposable gloves (VWR)

Hologic Solid Cap for use with PRD-06554*, 100 caps per bag

Panther System Aptima SARS-CoV-2 Aptima SARS-CoV-2 - Panther System 16 AW-21492-001 Rev. 005

Aptima SARS-CoV-2 Assay Kit Configuration:

- Negative and Positive Control Kit
- 5 tubes of each control in the box (2°C to 8°C)
- Aptima SARS-CoV-2 Positive Control (5 x 1.7mL)
- Aptima SARS-CoV-2 Negative Control (5 x 1.7mL)
 - o 1 Positive Control per Worklist
 - o 1 Negative Control per Worklist
 - Not Master Lot Specific
 - o Controls are single use only
 - o Control results may be configured with up to 24 hours of validity
- Stable for 30 days after reconstitution when stored at 15°C to 30°C
 - Working Target Capture Reagent (wTCR)
- Stable for 30 days after reconstitution when stored at 2°C to 8°C
 - o Enzyme Reagent
 - o Amplification Reagent
 - o Probe Reagent (Photosensitive) **Note:** Protect from light during storage and preparation for use.
- Stable for 30 days after opening when stored at 2°C to 30°C
 - Selection Reagent
- Reagents are Stable for 72 hours when stored on-board the Panther system

Do not freeze reagents

CONTROLS

a. RNase P Assay Controls

Detection of the human RNase P gene is used to monitor the integrity of specimens collected with the GENETWORx Covid-19 Nasal Swab Test Collection Kit. Two assay controls are required to perform the GENETWORx RNase P Assay and must be tested with each batch of patient specimens:

- ❖ NTC (No Template Control): UltraPure DNase/RNase free water (ThermoFisher, Catalog # 10977015) used to monitor for cross-contamination during nucleic acid extraction and/or RT-PCR set-up.
 - o NTC reaction should be negative and not exhibit fluorescence growth curves that cross the threshold line.
 - If a false positive result occurs with the RNase P primers and probe for NTC reactions, sample contamination may have occurred.
 - Invalidate the run and repeat the assay with stricter adherence to the procedure guidelines.
- ❖ HSC (Human Specimen Control): A COVID-19 negative human specimen prepared in a Multitest Aptima tube used to verify the integrity of nucleic acid extraction and RT-PCR amplification.
 - o RNase P should be positive at or before 45 cycles for the HSC, thus indicating the presence of sufficient nucleic acid from the human RNase P gene. This validates the integrity of the reagents and extraction system.

b. Aptima Assay Controls

Aptima SARS-CoV-2 Controls Kit

PC - Aptima SARS-CoV-2 Positive Control. Non-infectious nucleic acid in a buffered solution containing < 5% detergent. Quantity 5 x 1.7 mL

NC - Aptima SARS-CoV-2 Negative Control. A buffered solution containing < 5% detergent. Quantity 5 x 1.7 mL PRD-06420

The APTIMA SARS-CoV-2 Assay is performed according to the manufacturer's instructions using the assay controls suppled with the kit. One Positive and one Negative Control must be processed each time a new kit is loaded onto the Panther System or when the existing controls have expired. An exogenous Internal Control is added to each sample prior to nucleic acid extraction to monitor specimen processing and nucleic acid amplification/detection. The Internal Control must be detected in all samples that are negative for SARS-CoV-2 in order for a negative test result to be reported.

INTERPRETATION OF RESULTS

All assay controls for the GENETWORx Covid-19 Nasal Swab Test will be evaluated and found to meet the specified acceptance criteria prior to interpretation of patient results. If the controls are not valid, patient results cannot be interpreted.

a. Interpretation of RNase P results and Sample Evaluation

- * RNase P should be positive at or before 45 cycles for all clinical samples and the HSC, thus indicating the presence of sufficient nucleic acid from the human RNase P gene and that the specimen is of acceptable quality.
 - o Failure to detect RNase P in HSC may indicate:
 - Improper assay set up and execution
 - Reagent or equipment malfunction
 - Detection of RNase P in HSC but failure to detect RNase P in any of the clinical samples may indicate:
 - Improper extraction of nucleic acid from clinical materials resulting in loss of nucleic acid or carry-over of PCR inhibitors from clinical specimens
 - Absence of sufficient human cellular material in the sample to enable detection
- Any samples that are reported invalid on an initial run need to be retested beginning with extraction. If the result from the rerun remains the same, the result is reported as Invalid.

Table-5: Interpretation of RNase P results and Sample Evaluation

Control/Clinical	Used to	RP	Expected	Expected
Sample	Monitor		Ct value	QC Result/ interpretation
NTC	Reagent	-	None	Negative (no contamination)
	and/or		detected	
	environmental			
	contamination			
HSC	Failure in	+	≤45 Ct	Positive (Extraction step went
	lysis and/or			well)
	extraction			
	procedure			
Sample	Sufficient	+	≤45 Ct	Positive (sample collection
	Nucleic Acid			adequate)
	from human			
	RNase P gene	_	>45 Ct	Negative (Retest or recollect
	(sample		7 73 Ct	sample)
	collection			bumpie)
	control)			

Final interpretation of the GENETWORx Covid-19 Nasal Swab Test

Final interpretation of the GENETWORx Covid-19 Nasal Swab Test will be determined based on the combined results of the GENETWORx RNase P Assay and APTIMA SARS-CoV-2 Assay using the following matrix given in Table-6.

Table-6. Interpretation of results from clinical specimens **

APTIMA SARS- COV2 Result	RNase P Assay Result	Final Result Interpretation	Report	Actions
Negative	Detected	SARS-COV-2 not detected	Negative	Report results to sender; Both positive and negative results should be reported to appropriate public health authorities.
Positive	Detected	SARS-COV-2 detected	Positive	Report results to sender; Both positive and negative results should be reported to appropriate public health authorities.
Negative	Not Detected	Invalid	Invalid	Recollection and Retest the sample
Positive	Not Detected	SARS-COV-2 detected	Positive	Report results to sender; Both positive and negative results should be reported to appropriate public health authorities.
Invalid	Detected/Not Detected	Invalid	Invalid	Recollection and Retest the sample

^{**} Addition of the RNase P Assay result in the interpretation of the GENETWORx Covid-19 Nasal Swab Test is only intended to evaluate specimen adequacy.

PERFORMANCE EVALUATION

Note: GENETWORx has obtained a Right of Reference from Hologic Inc. for the analytical and clinical validation information submitted to FDA with respect to Emergency Use Authorization of the Aptima SARS-CoV-2 Assay, including use of the Aptima Multitest Swab Collection Kit for specimen collection, transport and storage.

1) Shipping Study: sample stability study for GENETWORx Covid-19 Nasal Swab Test

In order to perform the Shipping study, GENETWORx determined the LoD by serially diluting SARS-CoV-2 positive human nasopharyngeal (NP) specimens into Aptima Specimen Transport Medium and negative specimen matrix. The final LoD was determined to be 274 copies/mL. GENETWORx performed two shipping stability studies for the GENETWORx Covid-19 Nasal Swab Test Collection Kit using both contrived positive and negative samples to demonstrate the stability of the SARS-CoV-2 RNA under summer and winter conditions.

To prepare the specimens for spiking, a SARS-CoV-2 positive nasopharyngeal specimen at

100,000 copies per mL was diluted in Aptima Specimen Transport Medium (STM) containing SARS-CoV-2 negative clinical matrix to the following concentrations:

- 274 copies (1x LoD) per mL
- 1370 copies (5x LoD) per mL

For each shipping condition,

- 20 contrived samples at 274 copies / mL (1x LoD, Low)
- 10 contrived samples at 1370 copies / mL (5x LoD, High)
- 10 Negative controls (SARS-CoV-2 negative clinical matrix in Aptima STM)

The samples were incubated as indicated in Table 7 (Summer Profile) and Table 8 (Winter Profile). The samples were tested at the end of the thermal profile only and were not tested at any other time point in the study.

Acceptance Criteria:

Low Positive Samples: ≥95% agreement with expected results. High Positive Samples: 100% agreement with expected results. Negative Samples: 100% agreement with expected results.

Table 7: Summer Profile

Temperature	Cycle Period	Cycle Period Hours	Total Time Hours
40°C	1	8	8
22°C	2	4	12
40°C	3	2	14
30°C	4	36	50
40°C	5	6	56

Table 8: Winter Profile

Temperature	Cycle Period	Cycle Period Hours	Total Time Hours
-10°C	1	8	8
18°C	2	4	12
-10°C	3	2	14
10°C	4	36	50
-10°C	5	6	56

Table 9: Summary of the results from the Shipping sample stability study

Sample group	Sample profile	Total number of samples	Percent Positive (Aptima SARS-CoV-2)	Percent Positive (RNase P)
Low Positive	Summer	20	100 (20/20)	100 (20/20)
(1x LoD)	Winter	20	100 (20/20)	100 (20/20)
High	Summer	10	100 (10/10)	100 (10/10)
Positive (5x LoD)	Winter	10	100 (10/10)	100 (10/10)
	Summer	10	0 (0/10)	100 (10/10)
Negative	Winter	10	0 (0/10)	100 (10/10)

Both summer and winter shipping sample stability study results indicate that specimens will remain stable over a broad range of temperature conditions for up to 56 hours post collection (100% agreement with expected results for SARS-CoV-2 and RNase P) in the Aptima Specimen Transport Medium used in the GENETWORx Covid-19 Nasal Swab Test Collection Kit.

2) <u>Usability Studies</u>

a. Adult Study (for individuals age 18 years and older)

GENETWORx performed a human usability study for adults to evaluate whether patients could follow the instructions included in the GENETWORx Covid-19 Nasal Swab Test Collection Kit. Briefly, 30 subjects of varying age, race, and gender participated in this study. All participants were at least 18 years or older and had a minimum high school diploma level of education. The participants' age, race, and gender distribution is given below.

Table 10: Age group distribution

Age	Count
18-35	23
35 - 50	5
Over 50	2

Table 11: Gender distribution

Gender	Count
Male	11
Female	19

Table 12: Race distribution

Race	Count
Black	12
White	16
Hispanic	2

Participants collecting the nasal swab samples using GENETWORx Covid-19 Nasal Swab Test Collection Kit were informed that the study would be recorded on video, and that the footage would be analyzed for the purposes of the study. Following enrollment, participants were invited to a private room where the home collection kits were placed on a table in advance. Each participant was asked to complete self-collection with each kit. At the end of the collection process, the participants were provided with an anonymous survey on their user experience. The results of the usability questionnaire response showed that 60-80% user found the IFU was effective for collecting samples while 10-20% were neutral or opined that the IFU could be further improved to facilitate proper sample collection.

The nasal swabs collected during the Usability Study were tested using GENETWORx RNase P Assay. The results were interpreted using the algorithm described in Table-5.

The RNase P results showed that 4/30 (13%) samples were RNase P negative. Upon review of the video recordings, GENETWORx noted that participants who methodically followed the specimen collection instructions obtained appropriate RNase P results but that the four subjects who had negative RNase P results did not appear to adhere to the expected sample collection procedure.

GENETWORx provided additional data from a clinical study showing 249/250 (99.6%) nasal samples collected by a healthcare provider were positive for RNase P, indicating that the GENETWORx RNase P assay performs as expected with samples that are collected appropriately. This low failure rate suggests that the high rate of RNase P negative results in the Usability Study was due to inadequate sample collection, rather than to the RNase P assay itself. To address this and help ensure that the Instructions for Use are clear and simple to follow, GENETWORx made the following additional modifications to align their Instructions for Use with those of other FDA-authorized nasal swab home collection kits:

Further Modifications to the Instructions for Use:

- 1. A photograph of the GENETWORx Covid-19 Nasal Swab Test Collection Kit components was added.
- 2. Graphics revised for clarity and accuracy.
- 3. Opening and closing of the test vial and insertion of the swab into the test vial was clarified.
- 4. Handling of the biohazard bag was clarified.

- 5. Video instructions posted on GENETWORx's website to provide additional guidance. The video is available for viewing at:

 https://www.youtube.com/watch?v=ZBCIQVpobGI&feature=youtu.be
- 6. Details of the kit activation and warning statement regarding the exposure to the specimen transport medium moved to front flap.
- 7. Photographs of the individual components of the GENETWORx COVID-19 Nasal Swab Test Collection Kit were added to second flap.
- 8. An advisory statement regarding arrangement of the same-day FedEx pickup was added.
- 9. The steps for sample collection were separated into discrete bullets (only one action in each step).
- 10. The text size was increased.
- 11. The text instruction for each step was limited to a maximum of two lines.
- 12. One-column text format instead of three was adopted.
- 13. The text for the critical steps was bolded.
- 14. The graphics of the collection steps showing how far the swab should be inserted in the nostril were modified and revised to include a curved arrow indicating that the swab needs to be circled on the nostril walls.
- 15. Collection from each nostril was separated into discrete steps with opposite facing images for each nostril.
- 16. Additional hand washing steps were added.
- 17. Repackaging of the sample steps were further separated to provide more clarity.
- 18. GENETWORx' address and applicable regulatory information were added on the back flap.

In addition to making these changes, on March 25, 2021, GENETWORx also reported to the FDA an analysis of data from 655 samples that were prospectively collected at home using GENETWORx Covid-19 Nasal Swab Test Collection Kit, of which 553 (84.4%) met the accessioning criteria for testing. Of the 102 samples that were rejected, 97 (95.1%) were expired at the time of receipt in the laboratory. Of the 553 samples that were accepted for testing, 550 (99.5%) (550/553) tested positive for RNase P and 6 (1.1%) were reported positive for SARS-CoV-2. These data confirmed that the changes GENETWORx made to the IFU were appropriate to ensure an adequate collection of nasal swab samples. GENETWORx will implement additional measures to prevent expiration of specimens prior to receipt in the laboratory and will submit the data for the FDA review.

In a supplement to the original EUA request, to accommodate use of the Aptima Direct Load Tube Collection Kit as an alternative to the Aptima Multitest Swab Kit as a component of the GENETWORx Covid-19 Nasal Swab Test Collection Kit, and to prevent user confusion, GENETWORx updated the graphics of the collection tube in the Instructions For Use to show a white (generic, neutral color) label

and a yellow cap.

b. Minor Study (for individuals 4-17 years old with adult supervision/assistance)

To expand the Intended Use of the GENETWORx Covid-19 Nasal Swab Test Collection Kit to include use by minors (aged 4-17 years old) under adult supervision and/or assistance, GENETWORx performed a pediatric usability study to validate GENETWORx video and written instructions. The minor participants of this study had the following the age, gender, and race distribution.

Table 13: Age group distribution

Age	Count
4-11	19
12-17	20

Table 14: Gender distribution

Gender	Count
Male	23
Female	16

Table 15: Race distribution

1 4010 101 11400 410110 41011		
Race	Count	
Black	20	
White	17	
Other	2	

Pediatric subjects were only included in the study following parent and/or guardian consent. Pediatric participants collecting their anterior nasal swab samples using the GENETWORx Covid-19 Nasal Swab Test Collection Kit were informed that the study would be conducted in the presence of a Study Monitor and a Certified Medical Technician. Following enrollment, participants were invited to a private room where the home collection kits were placed on a table in advance. Each participant was asked to complete self-collection with each kit concurrently while viewing age-appropriate video instruction. Written Instructions were additionally provided. At the end of the collection process, the participants were then swabbed a second time by the Medical Technician to collect Validation samples. A verbal survey was administered by Study Monitors to assess the user experience. The nasal swabs collected during the Usability Study were tested using the GENETWORx RNase P Assay. The results were interpreted using the algorithm described in Table-5.

Samples from all participants (39/39; 100%) were positive for RNase P nucleic acid, indicating that an acceptable sample was collected in each case. Study Monitor reports indicated that 37/38 subjects methodically followed the video for successful self-collection, while 1/39 (17-year-old male) successfully self-collected using only the written directions but watched and rated the video. Study observer's responses indicated that 12.8% (5/39) individuals encountered difficulties in breaking the swab handle. GENETWORx mitigated this concern by adding a warning statement in the written and video instructions indicating that an adult should break the swab handle following collection of a specimen by a child under 12 years of age.

GENETWORx also made the updates to the end user instructions with respect to use of the GENETWORx Covid-19 Nasal Swab Test Collection Kit with minors that included age-specific usability warnings and recommendations that the user watch the video instructions before sample collection. Video instructions are posted on GENETWORx's website to provide additional guidance. Video Instructions are also presented dynamically based on the age of the patient during registration. The videos are available for viewing at:

Adult Video Instructions- https://youtu.be/8TOgfglwYyA

Pediatric Video Instructions - https://youtu.be/0093tQIwsZE

GENETWORx provided additional data from paired samples that were collected by a Certified Medical Technician which showed that all 39/39 (100%) nasal samples collected by were positive for RNase P, indicating that both self-collected and technician-collected samples produced the expected results. In conclusion, pediatric patients aged 4-years-old to 17-years-old were able to self-collect anterior nasal swab specimens successfully using the GENETWORx Covid-19 Nasal Swab Test Collection Kit. A study report titled "Adequacy of Nasal Self-Swabbing for SARS-CoV-2 Testing in Children" was recently published by a group from Emory University which also demonstrated that children 4-14 years old were able to self-collect nasal swab specimens for SARS-CoV-2 testing. Therefore, results from the studies of GENETWORx and Emory University establish that minors (4-17 years) are able to self-collect specimens for SARS-CoV-2 testing with adult supervision and/or assistance, as appropriate.

FDA SARS-CoV-2 Reference Panel Testing

The evaluation of sensitivity and MERS-CoV cross-reactivity was performed using reference material (T1), blinded samples and a standard protocol provided by the FDA. The study included a range finding study and a confirmatory study for LoD. Blinded sample testing was used to establish specificity and to confirm the LoD. The Aptima SARS-CoV-2 Assay was run on the Hologic Panther instrument. For the RNase P assay, samples were extracted using the Sbeadex extraction kit on the LGC Douglas oKtopure instrument and amplified using the Douglas IntelliQube Real-time PCR detection system DS-IQ1804 (with IntelliQube® software version 1.13.3.0, Douglas Scientific®). The results are summarized in the following Table.

Table 13: Summary of LoD Confirmation Result Using the FDA SARS-CoV-2 Reference Panel

Reference Materials Provide d by FDA	Specimen Type	Product LoD	Cross-Reactivity
SARS-CoV-2	Nasal Swab	1.8x10 ⁴ NDU/mL	N/A
MERS-CoV	Nasai Swao	N/A	ND

NDU/mL: RNA NAAT detectable units/mL

N/A: Not Applicable ND: Not Detected

LIMITATIONS:

- Detection of RNase P indicates that human nucleic acid is present and implies that human biological material was collected and successfully extracted and amplified. It does not necessarily indicate that the specimen is of appropriate quality to enable detection of SARS-CoV-2.
- The performance of this test was established based on the evaluation of a limited number of clinical specimens. Clinical performance has not been established in all circulating variants but is anticipated to be reflective of the prevalent variants in circulation at the time and location of the clinical evaluation. Performance at the time of testing may vary depending on the variants circulating, including newly emerging strains of SARS-CoV-2 and their prevalence, which change over time.

WARNINGS

- For Emergency Use Authorization (EUA) only.
- Caution: Federal Law restricts this device to sale by or on the order of a licensed practitioner.
- For in vitro diagnostic use.
- For prescription use.
- This product has not been FDA cleared or approved, but has been authorized for emergency use by FDA under an EUA for use by authorized laboratories.
- This product has been authorized only for the detection of nucleic acid from SARS-CoV-2, not for any other viruses or pathogens.
- The emergency use of this product is only authorized for the duration of the declaration that circumstances exist justifying the authorization of emergency use of in vitro diagnostic tests for detection and/or diagnosis of COVID-19 under Section 564(b)(1) of the Federal Food, Drug and Cosmetics Act, 21 U.S.C. § 360bbb-3(b)(1), unless the declaration is terminated or authorization is revoked sooner.