

FDA Form 3938

Drug Master File (DMF)

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Division of Lifecycle API

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Office of Pharmaceutical Quality, FDA/CDER

On behalf of

Division of Regulations, Guidance, and Standards

Office of Policy for Pharmaceutical Quality

Office of Pharmaceutical Quality, FDA/CDER

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Acronyms

DMF – Drug Master File

ESG – Electronic Submission Gateway

eCTD – Electronic Common Technical Document

LoA – Letter of Authorization

REMS – Risk Evaluation and Mitigation Strategy

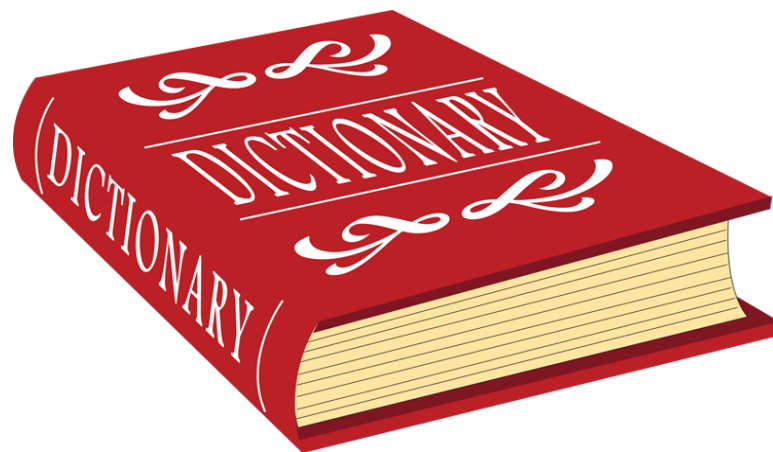


Definitions

- Type II DMF: DMF related to Drug Substance, Drug Substance Intermediate, and Materials Used in Their Preparation, or Drug Product
- Type III DMF: DMF related to Packaging Material
- Type IV DMF: DMF related to Excipient, Colorant, Flavor, Essence, or Material Used in Their Preparation
- Type V DMF: DMF related to FDA-Accepted Reference Information (includes REMS)

Responsible Official

The Responsible Official is an employee at a company who has signatory authority to sign company documents.



Purpose of DMF Form 3938



Provide a standardized fillable electronic form for Drug Master File (DMF) submissions

Allow for automated pull of DMF information into FDA databases

Capture relevant DMF submission information submitted using the electronic Common Technical Document (eCTD) format.

When Should Form 3938 be Used?



- Form 3938 should accompany all DMF submissions submitted in eCTD format.
- Note: Type III DMF is exempt from the eCTD requirement. It can be submitted in eCTD or non-eCTD format through ESG. It can also be submitted in non-eCTD through CDER NextGen Portal.

Who Should Use Form 3938?



- The DMF Holder
- The U.S. Agent
- A 3rd Party Contractor

When will the form be available for use?



The form is currently in the approval process at FDA and Office of Management and Budget (OMB)

We hope to have the form available for general use with DMF submissions sometime in 2021

Please stay tuned!

WALK-THROUGH OF FORM 3938 WITH MOCK DATA



DMF Information

Fields 1 to 4

1. Date of Submission (<i>mm/dd/yyyy</i>)	2. DMF Number
<input type="text" value="12/20/2020"/>	<input type="text" value="123456"/>
3. DMF Subject (<i>Title</i>)	
<u>Madeupthricin</u>	
4. DMF Type (<i>Select one</i>)	
<input checked="" type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V	

DMF Information

Field 5



5. Holder Information	
Holder Name Magic Kingdom, Inc.	Holder DUNS Number 984123654
Holder Address	
Address 1 (<i>Street address, P.O. box, etc.</i>) 1000 Fun Street	
Address 2 (<i>Apartment, suite, unit, building, floor, etc.</i>) 	
City Orlando	State/Province/Region Florida
Country USA	ZIP or Postal Code 32801
Holder Contact (<i>Name of person</i>) Goofy Dawg	Holder Contact Telephone Number (<i>Include country code, if applicable, and area code</i>) (111) 987-6543
Holder Contact Email Address goofydaug@magic.net	Holder Contact FAX Number (<i>Include country code, if applicable, and area code</i>) (111) 987-5432



DMF Information

Field 6

6. DMF Agent *(Recommended for DMFs submitted by non-U.S. companies)*

DMF Agent Name

ABC Company LLC

Agent Address

Address 1 *(Street address, P.O. box, etc.)*

2222 Epcot Way

Address 2 *(Apartment, suite, unit, building, floor, etc.)*

City

Laurel

State/Province/Region

Virginia

Country

USA

ZIP or Postal Code

22046

Agent Contact *(Name of person)*

Mr. John Smith

Agent Contact Telephone Number *(Include country code, if applicable, and area code)*

222-123-4567

Agent Contact Email Address

JSmith@abccompany.com

Agent Contact FAX Number *(Include country code, if applicable, and area code)*

Not Available



DMF Information

Field 7 (Scenario 1 & 2)

Scenario 1

7. Submission Type (May select more than one)

- | | | |
|---|--|---|
| <input checked="" type="checkbox"/> Original (New) | <input type="checkbox"/> Withdrawal of Letter of Authorization | <input type="checkbox"/> Response to Deficiency, Complete Response, Information Request or Additional Comments Letter |
| <input type="checkbox"/> Administrative Amendment | <input type="checkbox"/> Meeting | <input type="checkbox"/> REMS - Risk Evaluation and Mitigation Strategy |
| <input type="checkbox"/> Annual Report | <input type="checkbox"/> Quality Amendment | <input type="checkbox"/> Other (Specify):
<input type="text"/> |
| <input checked="" type="checkbox"/> Letter of Authorization | <input type="checkbox"/> Response to Administrative Filing Issue | |
-

Scenario 2

7. Submission Type (May select more than one)

- | | | |
|--|--|---|
| <input type="checkbox"/> Original (New) | <input type="checkbox"/> Withdrawal of Letter of Authorization | <input type="checkbox"/> Response to Deficiency, Complete Response, Information Request or Additional Comments Letter |
| <input checked="" type="checkbox"/> Administrative Amendment | <input type="checkbox"/> Meeting | <input type="checkbox"/> REMS - Risk Evaluation and Mitigation Strategy |
| <input type="checkbox"/> Annual Report | <input checked="" type="checkbox"/> Quality Amendment | <input type="checkbox"/> Other (Specify):
<input type="text"/> |
| <input checked="" type="checkbox"/> Letter of Authorization | <input type="checkbox"/> Response to Administrative Filing Issue | |
-

DMF Information

Fields 8 (Scenario 1)

7. Submission Type *(May select more than one)*

- | | | |
|--|--|---|
| <input checked="" type="checkbox"/> Original (New) | <input type="checkbox"/> Withdrawal of Letter of Authorization | <input type="checkbox"/> Response to Deficiency, Complete Response, Information Request or Additional Comments Letter |
| <input type="checkbox"/> Administrative Amendment | <input type="checkbox"/> Meeting | <input type="checkbox"/> REMS - Risk Evaluation and Mitigation Strategy |
| <input type="checkbox"/> Annual Report | <input type="checkbox"/> Quality Amendment | <input type="checkbox"/> Other <i>(Specify)</i> :
<input style="width: 100%; height: 15px;" type="text"/> |
| <input type="checkbox"/> Letter of Authorization | <input type="checkbox"/> Response to Administrative Filing Issue | |

8. Amendment Type, if applicable *(May select more than one)*

- | | | |
|--|--|---|
| <input type="checkbox"/> Change of agent/address/contact person | <input type="checkbox"/> Manufacture Information | <input type="checkbox"/> REMS Modification-Due to Safety Labeling Changes |
| <input type="checkbox"/> Change of holder/address/contact person | <input type="checkbox"/> Microbiology Information | <input type="checkbox"/> REMS Modification-Major |
| <input type="checkbox"/> Change of DMF Subject (title) | <input type="checkbox"/> New Item | <input type="checkbox"/> REMS Modification-Minor |
| <input type="checkbox"/> Change of DMF Type | <input type="checkbox"/> Packaging Information | <input type="checkbox"/> REMS Proposal-Standard |
| <input type="checkbox"/> Meeting Package | <input type="checkbox"/> Stability Information | <input type="checkbox"/> REMS Correspondence |
| <input type="checkbox"/> Meeting Request | <input type="checkbox"/> REMS Final | <input type="checkbox"/> Agent Appointment |
| <input type="checkbox"/> Controls Information | <input type="checkbox"/> REMS Assessment | <input type="checkbox"/> Other <i>(Specify)</i> : |
| <input type="checkbox"/> Facility Information | <input type="checkbox"/> REMS Assessment Methodology | |
| <input type="checkbox"/> Formulation Information | <input type="checkbox"/> REMS Revision | |

DMF Information

Fields 8 (Scenario 2)

7. Submission Type *(May select more than one)*

- | | | |
|--|--|---|
| <input type="checkbox"/> Original (New) | <input type="checkbox"/> Withdrawal of Letter of Authorization | <input type="checkbox"/> Response to Deficiency, Complete Response, Information Request or Additional Comments Letter |
| <input checked="" type="checkbox"/> Administrative Amendment | <input type="checkbox"/> Meeting | <input type="checkbox"/> REMS - Risk Evaluation and Mitigation Strategy |
| <input type="checkbox"/> Annual Report | <input type="checkbox"/> Quality Amendment | <input type="checkbox"/> Other <i>(Specify):</i> |
| <input type="checkbox"/> Letter of Authorization | <input type="checkbox"/> Response to Administrative Filing Issue | <input style="background-color: #e6f2ff;" type="text"/> |

8. Amendment Type, if applicable *(May select more than one)*

- | | | |
|---|--|---|
| <input type="checkbox"/> Change of agent/address/contact person | <input type="checkbox"/> Manufacture Information | <input type="checkbox"/> REMS Modification-Due to Safety Labeling Changes |
| <input checked="" type="checkbox"/> Change of holder/address/contact person | <input type="checkbox"/> Microbiology Information | <input type="checkbox"/> REMS Modification-Major |
| <input type="checkbox"/> Change of DMF Subject (title) | <input type="checkbox"/> New Item | <input type="checkbox"/> REMS Modification-Minor |
| <input type="checkbox"/> Change of DMF Type | <input type="checkbox"/> Packaging Information | <input type="checkbox"/> REMS Proposal-Standard |
| <input type="checkbox"/> Meeting Package | <input type="checkbox"/> Stability Information | <input type="checkbox"/> REMS Correspondence |
| <input type="checkbox"/> Meeting Request | <input type="checkbox"/> REMS Final | <input checked="" type="checkbox"/> Agent Appointment |
| <input type="checkbox"/> Controls Information | <input type="checkbox"/> REMS Assessment | <input type="checkbox"/> Other <i>(Specify):</i> |
| <input type="checkbox"/> Facility Information | <input type="checkbox"/> REMS Assessment Methodology | |
| <input type="checkbox"/> Formulation Information | <input type="checkbox"/> REMS Revision | |

DMF Information

Fields 8 (Scenario 3)

7. Submission Type *(May select more than one)*

- | | | |
|---|--|---|
| <input type="checkbox"/> Original (New) | <input type="checkbox"/> Withdrawal of Letter of Authorization | <input type="checkbox"/> Response to Deficiency, Complete Response, Information Request or Additional Comments Letter |
| <input type="checkbox"/> Administrative Amendment | <input type="checkbox"/> Meeting | <input type="checkbox"/> REMS - Risk Evaluation and Mitigation Strategy |
| <input type="checkbox"/> Annual Report | <input checked="" type="checkbox"/> Quality Amendment | <input type="checkbox"/> Other <i>(Specify)</i> :
<input style="width: 100%; height: 15px;" type="text"/> |
| <input type="checkbox"/> Letter of Authorization | <input type="checkbox"/> Response to Administrative Filing Issue | |

8. Amendment Type, if applicable *(May select more than one)*

- | | | |
|--|---|---|
| <input type="checkbox"/> Change of agent/address/contact person | <input type="checkbox"/> Manufacture Information | <input type="checkbox"/> REMS Modification-Due to Safety Labeling Changes |
| <input type="checkbox"/> Change of holder/address/contact person | <input type="checkbox"/> Microbiology Information | <input type="checkbox"/> REMS Modification-Major |
| <input type="checkbox"/> Change of DMF Subject (title) | <input type="checkbox"/> New Item | <input type="checkbox"/> REMS Modification-Minor |
| <input type="checkbox"/> Change of DMF Type | <input type="checkbox"/> Packaging Information | <input type="checkbox"/> REMS Proposal-Standard |
| <input type="checkbox"/> Meeting Package | <input checked="" type="checkbox"/> Stability Information | <input type="checkbox"/> REMS Correspondence |
| <input type="checkbox"/> Meeting Request | <input type="checkbox"/> REMS Final | <input type="checkbox"/> Agent Appointment |
| <input checked="" type="checkbox"/> Controls Information | <input type="checkbox"/> REMS Assessment | <input type="checkbox"/> Other <i>(Specify)</i> : |
| <input type="checkbox"/> Facility Information | <input type="checkbox"/> REMS Assessment Methodology | |
| <input type="checkbox"/> Formulation Information | <input type="checkbox"/> REMS Revision | |

DMF Information

Fields 8 (Scenario 4)

7. Submission Type *(May select more than one)*

- | | | |
|--|--|---|
| <input type="checkbox"/> Original (New) | <input type="checkbox"/> Withdrawal of Letter of Authorization | <input type="checkbox"/> Response to Deficiency, Complete Response, Information Request or Additional Comments Letter |
| <input checked="" type="checkbox"/> Administrative Amendment | <input type="checkbox"/> Meeting | <input type="checkbox"/> REMS - Risk Evaluation and Mitigation Strategy |
| <input type="checkbox"/> Annual Report | <input checked="" type="checkbox"/> Quality Amendment | <input type="checkbox"/> Other <i>(Specify)</i> :
<div style="border: 1px solid black; height: 15px; width: 100%; background-color: #e6f2ff;"></div> |
| <input type="checkbox"/> Letter of Authorization | <input type="checkbox"/> Response to Administrative Filing Issue | |

8. Amendment Type, if applicable *(May select more than one)*

- | | | |
|---|---|---|
| <input type="checkbox"/> Change of agent/address/contact person | <input type="checkbox"/> Manufacture Information | <input type="checkbox"/> REMS Modification-Due to Safety Labeling Changes |
| <input type="checkbox"/> Change of holder/address/contact person | <input type="checkbox"/> Microbiology Information | <input type="checkbox"/> REMS Modification-Major |
| <input checked="" type="checkbox"/> Change of DMF Subject (title) | <input type="checkbox"/> New Item | <input type="checkbox"/> REMS Modification-Minor |
| <input type="checkbox"/> Change of DMF Type | <input checked="" type="checkbox"/> Packaging Information | <input type="checkbox"/> REMS Proposal-Standard |
| <input type="checkbox"/> Meeting Package | <input type="checkbox"/> Stability Information | <input type="checkbox"/> REMS Correspondence |
| <input type="checkbox"/> Meeting Request | <input type="checkbox"/> REMS Final | <input checked="" type="checkbox"/> Agent Appointment |
| <input type="checkbox"/> Controls Information | <input type="checkbox"/> REMS Assessment | <input type="checkbox"/> Other <i>(Specify)</i> : |
| <input type="checkbox"/> Facility Information | <input type="checkbox"/> REMS Assessment Methodology | |
| <input type="checkbox"/> Formulation Information | <input type="checkbox"/> REMS Revision | |



DMF Information

Field 9

9. Establishment Information (Full establishment information should be provided in the body of the DMF. Refer to the instruction sheet for more information. To add additional establishment(s), press button at bottom of section; this may be repeated as needed.)

Establishment Name
Health Path, Inc.

Establishment Address		
Address 1 (Street address) 3333 Main Street		
Address 2 (Apartment, suite, unit, building, floor, etc.)		
City Buckystown	State/Province/Region California	
Country USA	ZIP or Postal Code 20814	

Establishment DUNS Number 123456789	Registration (FEI) Number 9876543210
--	---

Is the establishment new to the DMF?
 Yes No

Establishment Role (e.g. manufacturing step, type of testing)
Manufacturing

Is the establishment ready for inspection? (See instructions)
 Yes No If not, when will site be ready? (See instructions) 10/25/2021

Establishment Contact (Name of person) Ms. Jane Doe	Establishment Contact Telephone Number (Include country code, if applicable, and area code) 301-234-8758
Establishment Contact Email Address JaneDoe@healthpath.com	Establishment Contact FAX Number (Include country code, if applicable, and area code) 301-234-8700

Click this button to add entries for an additional establishment. May be repeated as needed. **Add Establishment**

Click this button to delete page. May be repeated as needed. **Delete Page**



DMF Information

Field 10

10. Cross-Referenced DMF(s)

DMF Number 009876 / Subject: Cough Medicine / Holder: XY Pharmaceutical, Inc.

A large, light blue rectangular area that appears to be a placeholder or a redacted section of the document, occupying most of the lower half of the page.



DMF Information

Certification & Fields 11 to 15

CERTIFICATION

I agree to update this Drug Master File as required in 21 CFR 314.420(c) and notify in writing each person authorized to reference that information. I agree to comply with all applicable laws and regulations that apply to Drug Master Files.

The data and information in this submission have been reviewed and, to the best of my knowledge, are certified to be current, true and accurate.

Warning: A willfully false statement is a criminal offense, U.S. Code, title 18, section 1001.

11. Typed Name and Title of Responsible Official John Smith, Manager of Regulatory Affaris		12. Date (mm/dd/yyyy) 12/20/2020
13. Telephone Number (Include country code, if applicable, and area code) (222) 123-4567	14. FAX Number (Include country code, if applicable, and area code) not available	
15. Email Address JSmith@abccompany.com		

DMF Information

Fields 16 & 17

16. Address of Person Named in Item 11

Address 1 (<i>Street address or P.O. box, company name</i>)		
ABC Company LLC		
Address 2 (<i>Apartment, suite, unit, building, floor, etc.</i>)		
2222 Epcot Way		
City	State/Province/Region	
Laurel	Virginia	
Country	ZIP or Postal Code	
USA	22046	


17. Signature of Person Named in Item 11

Sign

[Redacted signature area]

Example of Pop-Up Window

Warning: JavaScript Window -

 In order for Requestor to sign this form, these fields must be filled in:
5. Holder Address
If you enter Establishment Name in Section 9, you must complete all of Section 9.
15. Responsible Official Email

OK



DMF Information

End of FDA DMF Form 3938

This section applies only to requirements of the Paperwork Reduction Act of 1995.

DO NOT SEND YOUR COMPLETED FORM TO THE PRA STAFF EMAIL ADDRESS BELOW.

The burden time for this collection of information is estimated to average 1 hour per response, including the time to review instructions, search existing data sources, gather and maintain the data needed and complete and review the collection of information. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden, to:

Department of Health and Human Services
Food and Drug Administration
Office of Operations
Paperwork Reduction Act (PRA) Staff
PRASStaff@fda.hhs.gov

“An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB number.”

FAQs – Form 3938

Question

Can a contracted company sign DMF Form 3938?

Answer

No. A third party (contracted company) cannot sign DMF Form 3938.



FAQs – Form 3938

Question

Can a DMF Agent sign DMF Form 3938?

Answer

Yes, either the Responsible Official at the DMF Holder company or the Appointed DMF Agent can sign DMF Form 3938.



FAQs – Form 3938

Question

When another employee signs on behalf of the Responsible Official with a digital signature, does the Responsible Official's name still need to be identified on the signed form?

Answer

It is acceptable for another employee to sign on behalf a company's Responsible Official as long as the signed form reflects the Responsible Official's name in Field 11.

The logo for John Hancock, featuring the name "John Hancock" in a blue, cursive script font.

FAQs – Form 3938

Question

Should a FAX number be entered on Form 3938 for each relevant section?

Answer

A FAX number should be entered on Form 3938 if available. If no FAX number is available then enter “not available” in the appropriate field of DMF Form 3938.

If either a FAX number or “not available” is not inserted in the relevant section you will receive an error message.



FAQs – Form 3938

Question Must a pre-assigned DMF number be obtained in advance of submitting an original DMF in eCTD format?

Answer **Yes.** For more information see Requesting a Pre-Assigned DMF Number at:

<https://www.fda.gov/drugs/electronic-regulatory-submission-and-review/requesting-pre-assigned-application-number>



FAQs – Form 3938

Question

Must a DMF holder contact FDA before applying for a pre-assigned DMF number for a Type V DMF?

Answer

For Sterile Processing Facilities the DMF holder need not submit a letter of intent but should specify in the pre-assigned DMF number request and in the original submission's Cover Letter that the DMF is for a Sterile Processing Facility.

For all other Type V submissions, the DMF holder must first submit a letter of intent to the Agency. The Agency will then contact the DMF Holder to discuss the proposed submission.

FAQs – Form 3938

Question What should be included in a letter of intent for a Type V DMF?

Answer DMF holders should send their requests to dmfquestion@fda.hhs.gov with the following information:

- An explanation of the necessity for filing the information in a Type V DMF
- If REMS, indicate the request is for a REMS DMF
- The proposed Subject (Title) of the DMF
- The rationale for not submitting the information in an application
- The clinical division that will be reviewing the information, if applicable



FAQs – Form 3938

Question

How are FEI numbers obtained for facilities that do not have an FEI number?

Answer

FEI numbers can be obtained by sending a request to;

FDAGDUFAFEIRequest@fda.hhs.gov

FAQs – Form 3938

Question

Must I obtain a pre-assigned DMF number when I convert a paper DMF to eCTD Format?

Answer

No. There is no need to request a new DMF number when converting from paper to eCTD. You should continue to use the same DMF number

Note: If the existing DMF number is not 6 digits, prepend the number “0” to make it 6 digits (e.g. 001234)



FAQs – Form 3938

Question

Can a DMF be held by multiple entities?

Answer

No. Only one person/entity can be the holder of a DMF.



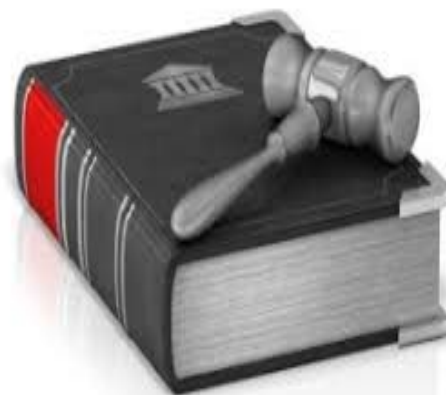
FAQs – Form 3938

Question

How does the Agency define the DMF Holder?

Answer

The DMF Holder is a person who owns a DMF. According to section 201(e) of the Federal Food, Drug, and Cosmetic Act, the term ‘person’ includes individual, partnership, corporation, and association.



FAQs – Form 3938

Question Is a DMF Holder required to appoint a U.S. Agent?

Answer Although there is no regulation that requires DMF Holders to appoint an Agent, the Agency strongly recommends appointment of a U.S. Agent for companies outside of the U.S.

FAQs – Form 3938

Question

Should DMF holder be the manufacturer of the Subject of the DMF?

Answer

If the DMF holder is not the manufacturer, the DMF holder should include a signed statement in their original submission that the DMF holder assumes full responsibility for the manufacturing of the Subject of the DMF.



FAQs – Form 3938

Question If the person identified as the Establishment Contact for a facility changes, is it necessary to submit a Form 3938 with a revised Establishment Contact?

Answer **Yes.** An amendment should be submitted to the DMF notifying the Agency of any changes to previously submitted information.

FAQs – Form 3938

Question What should I enter if I do not yet have an FEI number or a DUNS number?

Answer The form does require an entry in these fields to avoid an error. If an FEI/DUNS is not available just enter “9999999999” (10 digits) in an FEI field or “999999999” (9 digits) in a DUNS field. This will allow the form to be finalized.

FAQs – Form 3938

Question Should I still provide a cover letter with my submissions?

Answer For many simple or administrative submissions such as an Annual Report or an LoA the form may take the place of the cover letter. For more complicated or technical submissions the cover letter and/or summary of changes should still be provided as an aid to the review staff.

FAQs – Form 3938

Question I am removing a facility in this amendment, should I include it in the form?

Answer No. The form should list only the current facilities associated with the DMF. Note the facility being removed in the cover letter, Summary of Changes, and 3.2.S.2.1.

Resources



DMF Website:

<https://www.fda.gov/drugs/forms-submission-requirements/drug-master-files-dmfs>

Pre-assigned DMF Number Request:

<https://www.fda.gov/drugs/electronic-regulatory-submission-and-review/requesting-pre-assigned-application-number>

eCTD Website:

<https://www.fda.gov/drugs/electronic-regulatory-submission-and-review/electronic-common-technical-document-ectd>

DMF Questions:

dmfquestion@fda.hhs.gov

Completed Form (Page 1)



DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration Drug Master File		Form Approved: OMB No. xxxx-xxxx Expiration Date: Xxxxxx xx, 201x See PRA Statement on last page.
1. Date of Submission (mm/dd/yyyy)	2. DMF Number	
12/20/2020	123456	
3. DMF Subject (Title) Madeuptheticin		
4. DMF Type (Select one) <input checked="" type="checkbox"/> II <input type="checkbox"/> III <input type="checkbox"/> IV <input type="checkbox"/> V		
5. Holder Information		
Holder Name	Holder DUNS Number	
Magic Kingdom, Inc.	984123654	
Holder Address		
Address 1 (Street address, P.O. box, etc.) 1000 Fun Street		
Address 2 (Apartment, suite, unit, building, floor, etc.)		
City	State/Province/Region	
Orlando	Florida	
Country	ZIP or Postal Code	
USA	32801	
Holder Contact (Name of person)	Holder Contact Telephone Number (Include country code, if applicable, and area code)	
Goofy Dawg	(111) 987-6543	
Holder Contact Email Address	Holder Contact FAX Number (Include country code, if applicable, and area code)	
goofydaug@magic.net	(111) 987-5432	
6. DMF Agent (Recommended for DMFs submitted by non-U.S. companies)		
DMF Agent Name		
ABC Company LLC		
Agent Address		
Address 1 (Street address, P.O. box, etc.) 2222 Epcot Way		
Address 2 (Apartment, suite, unit, building, floor, etc.)		
City	State/Province/Region	
Laurel	Virginia	
Country	ZIP or Postal Code	
USA	22046	
Agent Contact (Name of person)	Agent Contact Telephone Number (Include country code, if applicable, and area code)	
Mr. John Smith	222-123-4567	
Agent Contact Email Address	Agent Contact FAX Number (Include country code, if applicable, and area code)	
JSmith@abccompany.com	Not Available	

Completed Form (Page 2)



7. Submission Type (May select more than one)

Original (New)
 Withdrawal of Letter of Authorization
 Response to Deficiency, Complete Response, Information Request or Additional Comments Letter

Administrative Amendment
 Meeting

Annual Report
 Quality Amendment
 REMS - Risk Evaluation and Mitigation Strategy

Letter of Authorization
 Response to Administrative Filing Issue
 Other (Specify): _____

8. Amendment Type, if applicable (May select more than one)

Change of agent/address/contact person
 Manufacture Information
 REMS Modification-Due to Safety Labeling Changes

Change of holder/address/contact person
 Microbiology Information

Change of DMF Subject (title)
 New Item
 REMS Modification-Major

Change of DMF Type
 Packaging Information
 REMS Modification-Minor

Meeting Package
 Stability Information
 REMS Proposal-Standard

Meeting Request
 REMS Final
 REMS Correspondence

Controls Information
 REMS Assessment
 Agent Appointment

Facility Information
 REMS Assessment Methodology
 Other (Specify): _____

Formulation Information
 REMS Revision

9. Establishment Information (Full establishment information should be provided in the body of the DMF. Refer to the instruction sheet for more information. To add additional establishment(s), press button at bottom of section; this may be repeated as needed.)

Establishment Name
Health Path, Inc.

Establishment Address

Address 1 (Street address)
3333 Main Street

Address 2 (Apartment, suite, unit, building, floor, etc.)

City
Buckystown

State/Province/Region
California

Country
USA

ZIP or Postal Code
20814

Establishment DUNS Number
123456789

Registration (FEI) Number
9876543210

Is the establishment new to the DMF?
 Yes No

Establishment Role (e.g. manufacturing step, type of testing)
Manufacturing

Is the establishment ready for inspection? (See instructions)
 Yes No If not, when will site be ready? (See instructions) 10/25/2021

Establishment Contact (Name of person)
Ms. Jane Doe

Establishment Contact Telephone Number (Include country code, if applicable, and area code)
301-234-8758

Establishment Contact Email Address
JaneDoe@healthpath.com

Establishment Contact FAX Number (Include country code, if applicable, and area code)
301-234-8700

Click this button to add entries for an additional establishment. May be repeated as needed.

Click this button to delete page. May be repeated as needed.

Completed Form (Page 3)



10. Cross-Referenced DMF(s)

DMF Number 009876 / Subject: Cough Medicine / Holder: XY Pharmaceutical, Inc.

CERTIFICATION

I agree to update this Drug Master File as required in 21 CFR 314.420(c) and notify in writing each person authorized to reference that information. I agree to comply with all applicable laws and regulations that apply to Drug Master Files.

The data and information in this submission have been reviewed and, to the best of my knowledge, are certified to be current, true and accurate.

Warning: A willfully false statement is a criminal offense, U.S. Code, title 18, section 1001.

11. Typed Name and Title of Responsible Official

John Smith, Manager of Regulatory Affairs

12. Date (mm/dd/yyyy)

12/20/2020

13. Telephone Number

(Include country code, if applicable, and area code) (222) 123-4567

14. FAX Number (Include

country code, if applicable, and area code) not available

15. Email Address

JSmith@abccompany.com

16. Address of Person Named in Item 11

Address 1 (Street address or P.O. box, company name)

ABC Company LLC

Address 2 (Apartment, suite, unit, building, floor, etc.)

2222 Epcot Way

City

Laurel

State/Province/Region

Virginia

Country

USA

ZIP or Postal Code

22046

17. Signature of Person Named in Item 11

Sign

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