GRAS Notice (GRN) No. 916 with amendment https://www.fda.gov/food/generally-recognized-safe-gras/gras-notice-inventory



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February 11, 2020

Food and Drug Administration Center for Food Safety & Applied Nutrition Office of Food Additive Safety (HFS-200) 5001 Campus Drive College Park, MD 20740

Attention: Dr. Susan Carlson

Re: GRAS Notification - Dihydroquercetin

Dear Dr. Carlson:

GRAS Associates, LLC, acting as the Agent for Blue California, is submitting for FDA review Form 3667 and the enclosed CD, free of viruses, containing a GRAS Notification for *Dihydroquercetin*. Along with Blue California's determination of safety, an Expert Panel of qualified persons was assembled to assess the composite safety information of the subject substance with the intended use as an ingredient in non-alcoholic beverages (up to 0.02 g per L), flavored fermented milk and dairy products (up to 0.02 g per kg), and chocolate products (up to 0.07 g per kg). The attached documentation contains the specific information that addresses the safe human food uses for the subject notified substance as discussed in the GRAS guidance document.

If additional information or clarification is needed as you and your colleagues proceed with the review, please feel free to contact me via telephone or email.

We look forward to your feedback.

Sincerely,

William J. Rowe, President Agent for Blue California GRAS Associates, LLC 11810 Grand Park Ave Suite 500 North Bethesda, MD 20852 wrowe@nutrasource.ca

Enclosure: GRAS Notification for Blue California – Dihydroquercetin

| | | | Form Approved: OMB No. 0910-0342; Expiration Date: 09/30/2019 (See last page for OMB Statement) | | | | |
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| GENE | RALLY RECO | GNIZED AS SAFE | NAME FOR INT | ERNET | | | |
| (GRA | S) NOTICE (| Subpart E of Part 170) | | | | | |
| | | | KEYWORDS | | | | |
| completed form | and attachments in | | I media to: Office | of Food Additiv | (see Instructions); OR Transmit re Safety (HFS-200), Center for Park, MD 20740-3835. | | |
| | SECTIO | N A - INTRODUCTORY IN | FORMATION A | BOUT THE SU | JBMISSION | | |
| 1. Type of Subm | ission (Check one) | | | 7000 | | | |
| New | Amendme | nt to GRN No | Suppl | ement to GRN N | 0 | | |
| 2. All elect | ronic files included in | n this submission have been ch | hecked and found | to be virus free. | (Check box to verify) | | |
| | presubmission meeti subject substance (y | | | | | | |
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| | | SECTION B - INFORMA | ATION ABOUT | THE NOTIFIER | ₹ | | |
| | Name of Contact F Hadi Omrani | | Position or Title Technical Director - Regulatory Affairs | | | | |
| 1a. Notifier | Organization (if ap | plicable) | | | | | |
| | Mailing Address (number and street) 30111 Tomas | | | | | | |
| City | | State or Province | Zip Code/P | ostal Code | Country | | |
| Rancho Santa M | argarita | California | 92688 | | United States of America | | |
| Telephone Numb 949-635-1991 X | | Fax Number 949-635-1984 | E-Mail Add hadi@blue | ress cal-ingredients.c | com | | |
| | Name of Contact I William J. Rowe | Person | | Position or Title President | | | |
| 1b. Agent or Attorney (if applicable) | Organization (if applicable) GRAS Associates | | | | | | |
| | Mailing Address (number and street) 11810 Grand Park Ave, Suite 500 | | | | | | |
| City | | State or Province | Zip Code/P | Zip Code/Postal Code Country | | | |
| North Bethesda | | Maryland | 20852 | | United States of America | | |
| elephone Number 19-341-3667 | | Fax Number 888-531-3466 | | E-Mail Address wrowe@nutrasource.ca | | | |

| SECTION C - GENERAL ADMINISTRATIVE INFORMATION | |
|--|--------|
| Name of notified substance, using an appropriately descriptive term Dihydroquercetin | 7 |
| 2. Submission Format: (Check appropriate box(es)) Electronic Submission Gateway Paper If applicable give number and type of physical media | |
| 4. Does this submission incorporate any information in CFSAN's files? (Check one) ☐ Yes (Proceed to Item 5) ☐ No (Proceed to Item 6) | |
| 6. Statutory basis for conclusions of GRAS status (Check one) | |
| Scientific procedures (21 CFR 170.30(a) and (b)) Experience based on common use in food (21 CFR 170.30(a) and (c)) | |
| 7. Does the submission (including information that you are incorporating) contain information that you view as trade secret or as confidential commercial or financial information? (see 21 CFR 170.225(c)(8)) Yes (Proceed to Item 8) No (Proceed to Section D) | |
| | |
| | |
| SECTION D - INTENDED USE | |
| 1. Describe the intended conditions of use of the notified substance, including the foods in which the substance will be used, the levels of in such foods, and the purposes for which the substance will be used, including, when appropriate, a description of a subpopulation expet to consume the notified substance. Dihydroquercetin is intended to be used as an ingredient in conventional non-alcoholic beverages (up to 0.02 g per L), flavored fermented milk and dairy products (up to 0.02 g per kg), and chocolate products (up to 0.07 g per kg). | |
| Does the intended use of the notified substance include any use in product(s) subject to regulation by the Food Safety and Inspection Service (FSIS) of the U.S. Department of Agriculture? (Check one) | |
| ☐ Yes ☑ No | |
| 3. If your submission contains trade secrets, do you authorize FDA to provide this information to the Food Safety and Inspection Service U.S. Department of Agriculture? (Check one) | of the |
| Yes No , you ask us to exclude trade secrets from the information FDA will send to FSIS. | |

| | SE | CTION E – PARTS 2 -7 OF YOUR GRAS NOTICE | |
|-------|--|---|--------------------------------|
| | (check list to help ensure yo | ur submission is complete – PART 1 is addressed in other section | ns of this form) |
| × F | PART 2 of a GRAS notice: Identity, me | ethod of manufacture, specifications, and physical or technical effect (17 | 0.230). |
| Z7.4 | PART 3 of a GRAS notice: Dietary exp | osure (170.235). | |
| | PART 4 of a GRAS notice: Self-limiting | glevels of use (170.240). | |
| | | based on common use in foods before 1958 (170.245). | |
| | PART 6 of a GRAS notice: Narrative (| | |
| | PART 7 of a GRAS notice: List of supp | porting data and information in your GRAS notice (170.255) | |
| Did y | r Information ou include any other information that y ⊠ Yes □ No ou include this other information in the ⊠ Yes □ No | rou want FDA to consider in evaluating your GRAS notice? | |
| | SECTION | F - SIGNATURE AND CERTIFICATION STATEMENTS | |
| 1. Th | e undersigned is informing FDA that | Blue California | |
| has c | concluded that the intended use(s) of | (name of notifier) Dihydroquercetin (name of notified substance) | |
| Drug, | | attached notice, is (are) not subject to the premarket approval requirem clusion that the substance is generally recognized as safe recognized a 0.30. | |
| 2. | Blue California | agrees to make the data and information that are | the basis for the |
| | asks to do so; agrees to send thes | copy these data and information during customary business hours at the data and information to FDA if FDA asks to do so. | |
| | 30111 Tomas, Rancho Santa M | argarita, CA 92688 (address of notifier or other location) | |
| | as well as favorable information, p party certifies that the information | is GRAS notice is a complete, representative, and balanced submission ertinent to the evaluation of the safety and GRAS status of the use of the provided herein is accurate and complete to the best or his/her knowled in | e substance. The notifying |
| | gnature of Responsible Official, ent, of Attorney | Printed Name and Title Katrina Emmel on behalf of William J. Rowe, President | Date (mm/dd/yyyy) 2/11/2020 |

SECTION G - LIST OF ATTACHMENTS

List your attached files or documents containing your submission, forms, amendments or supplements, and other pertinent information. Clearly identify the attachment with appropriate descriptive file names (or titles for paper documents), preferably as suggested in the guidance associated with this form. Number your attachments consecutively. When submitting paper documents, enter the inclusive page numbers of each portion of the document below.

| Attachment Number | Attachment Name | Folder Location (select from menu) (Page Number(s) for paper Copy Only) | | |
|----------------------|--|--|--|--|
| | Multiple Appendices Appendices 1 through 6 | | | |
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OMB Statement: Public reporting burden for this collection of information is estimated to average 170 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to: Department of Health and Human Services, Food and Drug Administration, Office of Chief Information Officer, PRAStaff@ida.his.gov. (Please do NOT return the form to this address.). An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.



GRAS Notification

of

Dihydroquercetin (DHQ)

Food Usage Conditions for General Recognition of Safety

on behalf of

Blue California

30111 Tomas Rancho Santa Margarita, CA 92688

TABLE OF CONTENTS

| PART 1. | SIGNED STATEMENTS AND CERTIFICATION | |
|---------|--|----|
| | A. Basis of Exclusion from the Requirement for Premarket Approval Pursuant to Subpart E of 170 | 4 |
| | B. Name and Address of Responsible Party | 5 |
| | C. Common Name and Identity of Notified Substance | 5 |
| | D. Conditions of Intended Use in Food | |
| | E. Basis for GRAS Conclusion | |
| | F. Availability of Information | 6 |
| PART 2. | IDENTITY, METHOD OF MANUFACTURE, SPECIFICATIONS, AND PHYSICAL OR TECHNICAL EFFECT | 6 |
| | A. Chemical Identity of Ingredient | 6 |
| | B. Manufacturing Processes | 7 |
| | 1. Fermentation Process | 8 |
| | Extraction and Purification | 8 |
| | C. Product Specifications | |
| | Specifications for Dihydroquercetin | |
| | 2. Specifications for Blue California's Dihydroquercetin Preparation and Supporting Methods | |
| | D. Physical or Technical Effect | |
| | E. Stability | |
| | Published Stability on Dihydroquercetin | |
| | Stability Data for Blue California's Dihydroquercetin | |
| PART 3. | DIETARY EXPOSURE | |
| | A. Estimate of Dietary Exposure to BC-DHQ [™] | |
| | B. Estimated Dietary Exposure to Any Other Substance That is Expected to be Formed in or On Food | 19 |
| | C. Dietary Exposure to Contaminants or Byproducts | 19 |
| PART 4. | SELF-LIMITING LEVELS OF USE | 20 |
| PART 5. | EXPERIENCE BASED ON COMMON USE IN FOOD BEFORE 1958 | 20 |
| | A. Other Information on Dietary Exposure | |
| | 1. History of Traditional Medicinal and Human Food Use | |
| | 2. U.S. Regulatory History | |
| | 3. Canadian Regulatory History | |
| | 4. European Regulatory History | |
| | 5. Other Regulatory History | 21 |
| PART 6. | NARRATIVE | 21 |
| | A. Discussion on Safety Data on Dihydroquercetin | 21 |
| | 1. in Vitro Toxicology Studies | |
| | 2. Acute and Subacute Toxicity Studies | |
| | 3. Subchronic Toxicity Studies | 24 |
| | 4. Chronic Toxicity Studies | 26 |
| | 5. Reproductive/Developmental Toxicity Studies | 27 |
| | 6. Genotoxicity/Mutagenicity Studies | 28 |
| | 7. Clinical Studies | |
| | 8. Absorption, Distribution, Metabolism, and Excretion (ADME) | |
| | 9. Properties of Dihydroquercetin | |
| | 10. Summary | |
| | B. GRAS Criteria | |
| | C. Expert Panel Findings on Safety of BC-DHQ™ | |
| | D. Common Knowledge Elements for GRAS Conclusions | |
| | Public Availability of Scientific Information | |
| | 2. Scientific Consensus | |
| | E. Conclusion | 41 |

| PART 7. LIST OF SUPPORTING DATA AND INFORMATION IN THE GRAS NOTICE | |
|--|-------|
| A. Acronyms and References | 42 |
| APPENDIX 1 DETERMINATION OF THE RELATIVE AND ABSOLUTE STRUCTURAL CONFIGURATION OF CALIFORNIA'S DHQ | BLUE |
| APPENDIX 2 SPECIFICATIONS AND CERTIFICATES OF ANALYSES FOR PRODUCTION PROCESSING A | JDS51 |
| APPENDIX 3 ANALYTICAL METHOD AND REPRESENTATIVE CHROMATOGRAMS | 75 |
| APPENDIX 4 CERTIFICATES OF ANALYSES FOR MULTIPLE PRODUCTION LOTS OF BC-DHQ™ | 76 |
| APPENDIX 5 PESTICIDE ANALYSES FOR MULTIPLE PRODUCTION BATCHES OF BC-DHQ™ | 81 |
| APPENDIX 6 GRAS ASSOCIATES EXPERT PANEL REPORT | |
| | |
| FIGURES | |
| Figure 1. Chemical Structures of Quercetin and Dihydroquercetin ^a | |
| Figure 2. Bioconversion of Eriodictyol to Dihydroquercetin | 8 |
| Figure 3. Manufacturing Flow Chart for BC-DHQ [™] | 9 |
| TABLES | |
| Table 1. Specifications and Analysis for Blue California's BC-DHQ™ | 10 |
| Table 2. Specifications for Blue California's Dihydroquercetin Preparation | |
| Table 3. Blue California's BC-DHQ™ Stability Data | |
| Table 4. Blue California's Intended BC-DHQ™ Food Uses | |
| Table 5. Estimated Daily Intake of DHQ from Addition to Conventional Foods (Europe) ^a | |
| Table 6. Dihydroquercetin Proposed Uses and Use Levels as Evaluated by EFSA ^a | 15 |
| Table 7. Dihydroquercetin Intake Estimates for Specific Subpopulations ^a | |
| Table 8. Dietary Sources of Dihydroquercetin ^a | |
| Table 10. Clinical Studies of Dihydroquercetin | |
| Table 11. Clinical Studies of Ascovertin and Laviocard | |

FOREWORD

Blue California based its Generally Recognized as Safe (GRAS) assessment of dihydroquercetin (DHQ) primarily on the composite safety information, i.e., scientific procedures with corroboration from history of use. The safety/toxicity of DHQ, history of use of DHQ, and compositional details, specifications, and method of preparation of the subject ingredient were reviewed. In addition, a search of the scientific and regulatory literature was conducted through December 2, 2019 with particular attention paid to adverse reports, as well as those that supported conclusions of safety. Those references that were deemed pertinent to this review are listed in Part 7. The composite safety/toxicity studies, in concert with dietary exposure information, ultimately provide the specific scientific foundation for the GRAS conclusion.

At Blue California's request, GRAS Associates, LLC ("GRAS Associates") convened an Expert Panel to complete an independent safety evaluation of Blue California's DHQ preparation. The purpose of the evaluation is to ascertain whether the intended food uses of DHQ as described in Part 3 are generally recognized as safe, i.e., GRAS, under the intended conditions of use, as concluded by Blue California. In addition, Blue California has asked GRAS Associates to act as Agent for the submission of this GRAS notification.

PART 1. SIGNED STATEMENTS AND CERTIFICATION

A. Basis of Exclusion from the Requirement for Premarket Approval Pursuant to Subpart E of 170¹

Blue California has concluded that its ≥ 95% DHQ preparation, BC-DHQTM, is GRAS under Section 201(s) of the Federal Food, Drug, and Cosmetic (FD&C) Act.² This determination was made in concert with an appropriately convened panel of experts who are qualified by scientific training and experience. The GRAS determination is based primarily on scientific procedures as described in the following sections. The evaluation accurately reflects the intended conditions of food use for the designated BC-DHQTM preparation.

Signed:



Agent for Blue California

William J. Rowe President **GRAS Associates LLC** Date: 2/11/20

GRAS ASSOCIATES, LLC

¹ See 81 FR 54960, 17 August 2016. Accessible at: https://www.gpo.gov/fdsys/pkq/FR-2016-08-17/pdf/2016-19164.pdf (Accessed 2/2/2020).

² Available at: https://legcounsel.house.gov/Comps/Federal%20Food,%20Drug,%20And%20Cosmetic%20Act.pdf (Accessed 2/2/2020). Page 4 of 98

11810 Grand Park Avenue Suite 500 North Bethesda, MD 20852

B. Name and Address of Responsible Party

Blue California 30111 Tomas Rancho Santa Margarita, CA 92688

As the Responsible Party, Blue California accepts responsibility for the GRAS conclusion that has been made for its \geq 95% DHQ preparation, which is also referred to BC-DHQTM, as described in the subject safety evaluation; consequently, Blue California's BC-DHQTM preparation, which meets the conditions described herein, is not subject to premarket approval requirements for food ingredients.

C. Common Name and Identity of Notified Substance

The common name of the ingredient to be used on food labels is dihydroquercetin and is abbreviated as DHQ throughout this document.

D. Conditions of Intended Use in Food

Blue California's BC-DHQTM preparation, which contains \geq 95% DHQ, is intended to be added as an ingredient in various food categories as described in Part 3. The serving levels reflect good manufacturing practices principles in that the quantities added to foods should not exceed the amounts reasonably required.

E. Basis for GRAS Conclusion

Pursuant to 21 CFR 170.30(a) and (b), Blue California's BC-DHQ[™] preparation (≥ 95% DHQ) has been concluded to be GRAS based on scientific procedures as discussed in the detailed description provided below.

BC-DHQTM is not subject to premarket approval requirements of the FD&C Act based on Blue California's conclusion that the substance is GRAS under the conditions of its intended food use.

Blue California certifies, to the best of our knowledge, that this GRAS notice is a complete, representative, and balanced assessment that includes all relevant information available---both favorable and unfavorable---that is pertinent to the evaluation of the safety and GRAS status of the subject \geq 95% DHQ preparation. The preparation of this safety evaluation also included a comprehensive literature search through December 2, 2019.

F. Availability of Information

The data and information that serve as the basis for this GRAS Notice will be maintained at the offices of Blue California, Rancho Santa Margarita, CA, and will be made available during customary business hours.

Blue California certifies that no data or information contained herein are exempt from disclosure under the Freedom of Information Act (FOIA). No non-public, safety-related data were used by the Expert Panel to reach a GRAS conclusion.

PART 2. IDENTITY, METHOD OF MANUFACTURE, SPECIFICATIONS, AND PHYSICAL OR TECHNICAL EFFECT

A. Chemical Identity of Ingredient

Flavonoids are a diverse chemical class of secondary metabolites universally found in the plant kingdom (Fowler and Koffas, 2009). Vegetables and fruits contain many flavonoids in the form of flavonois, flavones, and flavanones. It is estimated that the dietary intake of flavonoids ranges from 0.05 to 1 gram per person per day (Stevens et al., 1999). The total flavonol and flavone intakes are reported to be between 3 and 65 mg per day, where the lowest reported intake is in Finland and the highest intake is in Japan (Justesen et al., 2000).

Dihydroquercetin, which is commonly referred to in the literature as taxifolin, is a flavanol that is structurally similar to quercetin. The chemical structures of quercetin and DHQ are provided in Figure 1. DHQ was confirmed to be in the (2R,3R)-*trans* configuration by circular dichroism, proton nuclear magnetic resonance (1H-NMR), and 1H-1H correlation spectroscopy 2-dimensional nuclear magnetic resonance (COSY 2D NMR) analyses (Appendix 1).

Figure 1. Chemical Structures of Quercetin and Dihydroquercetina

^a Adapted from Vladimirov et al. (2009).

Common or Usual Name: Dihydroquercetin

Chemical Name: (2R)-2-(3,4-dihydroxyphenyl)-3,5,7-trihydroxy-2,3-

dihydrochromen-4-one; (2R,3R)-3,3',4',5,7-

pentahydroxyflavanone

Synonyms: Taxifolin; Diquertin; (2R,3R)-*trans*-Dihydroquercetin,

(2R,3R)-Dihydroquercetin; Taxifoliol; Dystylin;

Catechin hydrate; (+)-Dihydroquercetin; (+)-Taxifolin;

DHQ

CAS Number: 480-18-2 Molecular Formula: C₁₅H₁₂O₇

Molecular Mass: 304.25 daltons

Dihydroquercetin has been the subject of numerous studies and US patents since the early 1950s when Giessman and Lischner first determined the chemical structure (Gupta et al., 1971).

In certain foods, such as peanuts, white wine, and onions, DHQ is present as both an aglycone and a glycoside (Itaya and Igarashi, 1992; Oi et al., 2012; Singleton and Trousdale, 1983). Additionally, DHQ has also been identified as a component of bee pollen (Silva et al., 2009). A list of foods in which DHQ has been identified is provided in Table 8.

B. Manufacturing Processes

Blue California uses an enzymatic bioconversion reaction to produce BC-DHQTM high purity DHQ from eriodictyol, a bitter-masking flavanone extracted from plant materials. Eriodictyol is converted to DHQ by flavanone 3 β -hydroxylase (F3H), a ubiquitous enzyme found in higher order plants that catalyzes the following reaction:

Flavone + 2-oxoglutarate + $O_2 \leftrightarrow dihydroflavonol + succinate + <math>CO_2$

Blue California uses a nonpathogenic and nontoxigenic strain of wild-type *Escherichia coli* K12 W3110 to produce F3H. The microbe is a gram-negative, non-spore forming, facultative anaerobe, with a long history of safe industrial use. *E. coli* K12 is the most commonly used industrial strain and is GRAS under 21 CFR 170.36.

The conversion of eriodictyol to DHQ, by F3H enzyme in the presence of 2-oxoglutarate, is shown in Figure 2.

e California 2/11/20

Figure 2. Bioconversion of Eriodictyol to Dihydroquercetin

1. Fermentation Process

The glycerol stock of *E. coli* W3110 strain (carrying apple F3H gene) is removed from storage at -70°C, thawed to room temperature, and grown in 50-mL LB culture seed media at 37°C. After 16 hours, the growing Seed Culture 1 is transferred to 2-L LB culture seed media as Seed Culture 2. When the cells read $OD_{600} = 5$, they are transferred to 500-L fermenters.³ This Seed Culture 3 is then transferred to a 60-ton production fermenter.

The *E. coli* W3110 strain cells are cultured in the presence of a peptone yeast extract⁴ for 24 hours and then harvested by centrifugation. The cells are passed through a homogenizer, and the resulting mixture is separated by another centrifugation step. The supernatant is passed through an ion exchange column which retains the F3H enzyme. F3H is then eluted from the column with sodium chloride solution and mixed with a reaction buffer in a 60-ton reaction tank with slow agitation. The reaction buffer is prepared with ferrous sulfate (FeSO₄) and disodium phosphate (Na₂HPO₄), after which the pH is adjusted with phosphoric acid (H₃PO₄).

Eriodictyol, derived from orange peel, is dissolved in methanol and fed into the reaction tank containing the enzyme-buffer mixture. The reaction is allowed to proceed to completion, which is verified by high performance liquid chromatography (HPLC) analysis. The reaction mixture is then heated to 85°C for 20 minutes to denature the enzymes, and the supernatant is removed for down-stream processing.

2. Extraction and Purification

The enzymatic conversion mixture is centrifuged and the supernatant is transferred to an ion-exchange resin column. The column is washed with warm water and DHQ is eluted with food grade ethanol. The eluent is condensed with a wipe-film evaporator, and the condensate is then transferred to a crystallization tank and crystallized by chilling. The crystals are subsequently redissolved in water and the solution is passed through activated charcoal to remove any colorant from fermentation. The resulting high purity DHQ preparation is dried in a baking oven and crushed into fine powder.

³ Blue California uses older, larger cells to perform the measurement.

⁴ Peptone yeast extract aids in *E. coli* cell growth, and ultimately increases enzyme production. GRAS ASSOCIATES, LLC

A manufacturing process flow chart for the production of BC-DHQTM high purity DHQ is provided in Figure 3.

Raw materials used in the manufacturing process are suitable food-grade materials and are used in accordance with applicable US Federal Regulations and Current Good Manufacturing Practice (CGMP). All resins and processing aids are food grade materials. Supporting documentation for the raw materials and processing aids is provided in Appendix 2.

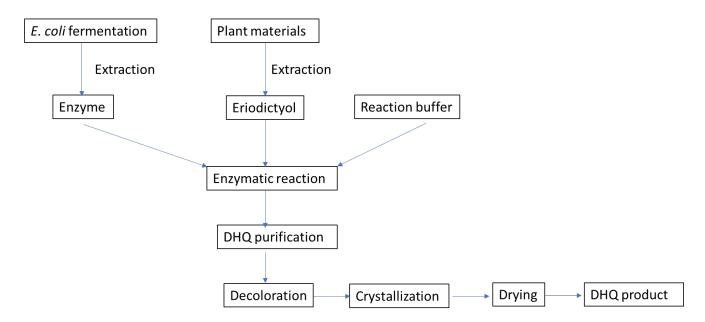


Figure 3. Manufacturing Flow Chart for BC-DHQ™

C. Product Specifications

1. Specifications for Dihydroquercetin

There are no known established standardized specifications for DHQ; however, specifications for Ametis JSC's taxifolin-rich *Larix gmelinii* preparation were reviewed and reported on by the European Food Safety Authority (EFSA) (Turck et al., 2017). Blue California has adopted product specifications for our DHQ that are comparable to Ametis JSC's specifications, as reported to EFSA (Turck et al., 2017) for DHQ as a consumable human food substance. Ametis JSC's specifications and specifications for Blue California's DHQ preparation are shown in Table 1.

Table 1. Specifications and Analysis for Blue California's BC-DHQ™

| Physical and Chemical | Ametis JSC's | Blue California's BC-DHQ™ | | |
|---|---|---|--------|--|
| Parameters | Dihydroquercetin Specifications ^a | Specification | Method | |
| Appearance Form & Color | White or straw-coloured powder | Off white to white powder | Visual | |
| Moisture | ≤ 10% | ≤ 5% | USP | |
| Bulk Density | NS | ≥ 0.15 g/mL | USP | |
| Tap Density | NS | ≥ 0.30 g/mL | USP | |
| Particle Size | NS | > 95% through Mesh #60 sieve | USP | |
| Taxifolin | ≥ 90.0% (on dry basis) | ≥ 95% (as dihydroquercetin, on dry basis) | HPLC | |
| Ethanol | < 5,000 mg/kg | < 1,000 ppm | USP | |
| Methanol | NS | < 200 ppm | USP | |
| Dichlorodiphenyltrichloroethane (DDT) | ≤ 0.05 mg/kg | NSb | NA | |
| Heavy Metals | NS | < 10 ppm | USP | |
| Lead | ≤ 0.5 mg/kg | < 0.5 ppm | ICP-MS | |
| Arsenic | ≤ 0.02 mg/kg | < 0.5 ppm | ICP-MS | |
| Cadmium | ≤ 0.5 mg/kg | < 0.5 ppm | ICP-MS | |
| Mercury | ≤ 0.1 mg/kg | < 0.5 ppm | ICP-MS | |
| | | T | | |
| Total Viable Count | ≤ 10,000 cfu/g | < 5,000 cfu/g | AOAC | |
| Enterobacteria + Div. Gram-Negative Bacteria | ≤ 100 cfu/g | NS | NA | |
| Total Coliform | NS | < 100 cfu/g | AOAC | |
| Total Yeast & Mold | ≤ 100 cfu/g | < 100 cfu/g | AOAC | |
| E. coli | Negative in 1 g | Negative | AOAC | |
| Salmonella spp. | Negative in 10 g | Negative | AOAC | |
| Staphylococcus aureus | Negative in 1 g | NS | NA | |
| Pseudomonas spp. | Negative in 1 g | NS | NA | |

^a From Turck et al. (2017)

^b Blue California does not have a specification for DDT since BC-DHQ[™] is derived from a fermentation process. However, DDT was an analyte in pesticide screens conducted on five representative lots of BC-DHQ[™] (Appendix 5) and no concerns were noted upon review.

NS – Not specified; NA – Not applicable; USP – United States Pharmacopeia; HPLC – High Performance Liquid Chromatography; ICP-MS – Inductively Coupled Plasma-Mass Spectrometry; AOAC – Association of Official Analytical Chemists; ppm – Parts per million; cfu – Colony forming unit

2. Specifications for Blue California's Dihydroquercetin Preparation and Supporting Methods

The compositions of five non-consecutive lots of Blue California's BC-DHQ™ preparation and product specifications, are provided in Table 2.

Table 2. Specifications for Blue California's Dihydroquercetin Preparation

| Physical and Chemical | Blue California BC-DHQ™ | Results of Batch Numbers | | | | | |
|---------------------------------|------------------------------|--------------------------|---------------|--------------|-------------|--------------|--|
| Parameters | Specifications | 7730-160823 | 7730-161028 | 7730-170425 | 7730-170525 | 7730-170616 | |
| Appearance Form & Color | Off white to white powder | Pass | Pass | Pass | Pass | Pass | |
| Bulk Density | ≥ 0.15 g/mL | 0.16 g/mL | 0.15 g/mL | 0.16 g/mL | 0.17 g/mL | 0.16 g/mL | |
| Tap Density | ≥ 0.30 g/mL | 0.32 g/mL | 0.32 g/mL | 0.34 g/mL | 0.32 g/mL | 0.32 g/mL | |
| Particle Size | > 95% through mesh #60 sieve | 100% | 100% | 100% | 100% | 100% | |
| Dihydroquercetin Assay- HPLC | ≥ 95% (on dry basis) | 97.8% | 97.8% | 97.3% | 95.2% | 97.7% | |
| Loss on Drying | ≤ 5% | 3.32% | 3.71% | 3.25% | 3.48% | 3.82% | |
| Ethanol | < 1,000 ppm | Pass | Pass | Pass | Pass | Pass | |
| Methanol | < 200 ppm | Pass | Pass | Pass | Pass | Pass | |
| Heavy Metals | < 10 ppm | Pass | Pass | Pass | Pass | Pass | |
| Lead | < 0.5 ppm | <0.25 ppm | <0.25 ppm | <0.25 ppm | <0.25 ppm | <0.25 ppm | |
| Arsenic | < 0.5 ppm | <0.5 ppm | <0.5 ppm | <0.5 ppm | <0.5 ppm | <0.5 ppm | |
| Cadmium | < 0.5 ppm | <0.25 ppm | <0.25 ppm | <0.25 ppm | <0.25 ppm | <0.25 ppm | |
| Mercury | < 0.5 ppm | <0.1 ppm | <0.1 ppm | <0.1 ppm | <0.1 ppm | <0.1 ppm | |
| | | | | | | | |
| Total Plate Count | < 5,000 cfu/g | <1,000 cfu/g | < 1,000 cfu/g | <1,000 cfu/g | < 500 cfu/g | <1,000 cfu/g | |
| Total Coliform | < 100 cfu/g | < 3 cfu/g | < 10 cfu/g | < 3 cfu/g | < 3 cfu/g | < 3 cfu/g | |
| Total Yeast & Mold | < 100 cfu/g | < 10 cfu/g | < 100 cfu/g | < 10 cfu/g | < 10 cfu/g | < 10 cfu/g | |
| E. coli | Negative | ND | ND | ND | ND | ND | |
| Salmonella | Negative | ND | ND | ND | ND | ND | |

 $[\]mbox{cfu} - \mbox{Colony forming unit; } g - \mbox{gram; ND} - \mbox{Not detected; } \mbox{ppm} - \mbox{Parts per million}$

Blue California analyzes its high purity DHQ preparation by HPLC. A method verification report, which includes representative chromatograms, is provided in Appendix 3. In addition to the presentation of key specifications found in Table 2 for comparison with generally accepted purity standards, certificates of analysis for five representative lots of DHQ are provided in Appendix 4.

Blue California has also analyzed representative lots of material for pesticides (Appendix 5). No concerns were noted upon review.

D. Physical or Technical Effect

Dihydroquercetin is not added to food for a physical or technical effect. It will be added to conventional foods and beverages as an ingredient for those wishing to increase their dietary intake of the ingredient.

E. Stability

1. Published Stability on Dihydroquercetin

Ametis JSC submitted results of a stability study to EFSA on its DHQ material stored in dark glass containers for three months under normal storage conditions (25°C, 65% relative humidity) and for 24 weeks under accelerated conditions (40°C, 75% relative humidity). The taxifolin content of samples stored at 40°C was reported to be 94.5% after one week and 97.5% after 30 weeks. Furthermore, Ametis JSC provided information indicating that soymilk concentrate fortified with taxifolin was observed to have a loss of 6.8% taxifolin at 4°C, 3.2% taxifolin at 10°C, and 10.3% taxifolin at 20°C over a year. The EFSA panel considered the stability data provided by Ametis JSC to be sufficient and did not raise any safety concerns (Turck et al., 2017).

2. Stability Data for Blue California's Dihydroquercetin

Blue California conducted a six-month accelerated stability study on its BC-DHQ $^{\text{TM}}$ high purity DHQ at 40 ± 2 °C and 75 ± 5 % relative humidity. A summary of the accelerated stability results is presented in Table 3.

| Dihydroquercetin Lot# 7730-160823 | | | | | | | |
|-----------------------------------|--------------|--------------|---|-------------------|--|--|--|
| Duration | Appearance | Moisture (%) | Dihydroquercetin Assay (%) Dry weight | Total Plate Count | | | |
| t=0 | Beige powder | 3.15 | 97.4 | 25 cfu/g | | | |
| 1 month | Beige powder | 3.15 | 96.9 | 30 cfu/g | | | |
| 2 months | Beige powder | 3.11 | 97.6 | 25 cfu/g | | | |
| 3 months | Beige powder | 3.18 | 97.2 | 45 cfu/g | | | |
| 6 months | Reige nowder | 3 21 | 97.4 | 30 cfu/a | | | |

Table 3. Blue California's BC-DHQ™ Stability Data

| Dihydroquercetin Lot# 7730-161028 | | | | | | |
|-----------------------------------|--------------|--------------------|---|-------------------|--|--|
| Duration | Appearance | Moisture (%) | Dihydroquercetin Assay (%) Dry weight | Total Plate Count | | |
| t=0 | Beige powder | 3.56 | 97.5 | 20 cfu/g | | |
| 1 month | Beige powder | 3.50 | 97.6 | 25 cfu/g | | |
| 2 months | Beige powder | 3.61 | 97.5 | 15 cfu/g | | |
| 3 months | Beige powder | 3.60 | 97.3 | 30 cfu/g | | |
| 6 months | Beige powder | 3.66 | 97.4 | 35 cfu/g | | |
| | D | ihydroquercetin Lo | | | | |
| Duration | Appearance | Moisture (%) | Dihydroquercetin Assay (%) Dry weight | Total Plate Count | | |
| t=0 | Beige powder | 3.12 | 97.2 | 50 cfu/g | | |
| 1 month | Beige powder | 3.13 | 96.7 | 40 cfu/g | | |
| 2 months | Beige powder | 3.20 | 97.1 | 35 cfu/g | | |
| 3 months | Beige powder | 3.22 | 97.2 | 40 cfu/g | | |
| 6 months | Beige powder | 3.28 | 97.3 | 40 cfu/g | | |
| | D | ihydroquercetin Lo | | | | |
| Duration | Appearance | Moisture (%) | Dihydroquercetin Assay (%) Dry weight | Total Plate Count | | |
| t=0 | Beige powder | 3.36 | 95.4 | 10 cfu/g | | |
| 1 month | Beige powder | 3.42 | 95.3 | 15 cfu/g | | |
| 2 months | Beige powder | 3.50 | 95.5 | 25 cfu/g | | |
| 3 months | Beige powder | 3.55 | 95.4 | 10 cfu/g | | |
| 6 months | Beige powder | 3.62 | 95.4 | 25 cfu/g | | |
| | D | ihydroquercetin Lo | | | | |
| Duration | Appearance | Moisture (%) | Dihydroquercetin Assay (%) Dry weight | Total Plate Count | | |
| t=0 | Beige powder | 3.76 | 97.8 | 30 cfu/g | | |
| 1 month | Beige powder | 3.78 | 97.6 | 25 cfu/g | | |
| 2 months | Beige powder | 3.79 | 97.6 | 30 cfu/g | | |
| 3 months | Beige powder | 3.82 | 97.5 | 15 cfu/g | | |
| 6 months | Beige powder | 3.87 | 97.8 | 30 cfu/g | | |

The stability data in the scientific literature for DHQ, along with Blue California's stability testing results for BC-DHQTM, support the position that Blue California's BC-DHQTM preparation is well-suited for the intended food uses.

In addition, Blue California claims a two-year shelf life for BC-DHQTM.

PART 3. DIETARY EXPOSURE

The subject DHQ preparation is intended to be used as an ingredient in a limited number of human food categories, similar to those categories and use levels evaluated by EFSA for Ametis JSC's 90% DHQ preparation (Turck et al., 2017). The intended food use categories and use levels for Blue California's BC-DHQ™ DHQ preparation are presented in Table 4.

Table 4. Blue California's Intended BC-DHQ™ Food Uses

| Food Category | Maximum Level of Use |
|--|----------------------|
| Non-alcoholic beverages | 0.02 g/L |
| Flavored fermented milk and dairy products | 0.02 g/kg |
| Chocolate products | 0.07 g/kg |

A. Estimate of Dietary Exposure to BC-DHQ™

In 2016, EFSA reviewed a petition for the use of taxifolin-rich (DHQ-rich) extract from Dahurian Larch (*Larix gmelinii*) as a novel food ingredient at various per serving levels in specific conventional foods: alcohol-free beverages, fermented milk and dairy products, and chocolates, as well as in dietary supplements with a recommended daily dose of 100 mg per day (Turck et al., 2017). The notifier—Ametis JSC—Indicated that their DHQ preparation was intended for use in foods for the general population aged nine years and up.

Estimated intake levels of DHQ were prepared for the European population based on EFSA's Comprehensive Food Composition Database for 'consumers only,' as shown in Table 5. The combined intake from all intended food uses considering the 97.5th percentile intake estimates and 100 mg DHQ per day from supplements resulted in an estimated daily intake of 158 mg DHQ for adults and 146.2 mg DHQ for adolescents. EFSA noted that the estimated dietary intake calculation was conservative.

Table 5. Estimated Daily Intake of DHQ from Addition to Conventional Foods (Europe)^a

| Subpopulation | tion Food Category | | Mean (mg/day) | 95 th Percentile (mg/day) | 97.5 th Percentile (mg/day) |
|-------------------------|--|--------|------------------|---|---|
| | Non-alcoholic beverages | 0.0250 | 10.5 | 20.4 | 30.3 |
| Adolescents | Flavored fermented milk and dairy products | 0.019 | 2.4 | 5.8 | 7.5 |
| (10 to 17 years of age) | Chocolate products | 0.070 | 2.5 | 7.6 | 8.4 |
| | Combined consumption for all categories | | 15.4 | 33.8 | 46.2 |

| Subpopulation | Food Category | Use Level (g/kg) | Mean (mg/day) | 95 th Percentile (mg/day) | 97.5 th Percentile (mg/day) |
|------------------------------------|--|---------------------|------------------|---|--|
| Adults (Aged 18 years or older) | Non-alcoholic beverages | 0.0250 | 9.4 | 28.8 | 36.4 |
| | Flavored fermented milk and dairy products | 0.019 | 4.1 | 11.2 | 13.9 |
| | Chocolate products | 0.070 | 2.3 | 6.0 | 7.7 |
| | Combined consumption for all categories | | 15.8 | 46.0 | 58.0 |

^a Adapted from Turck et al. (2017)

Note: The intake estimates were performed at levels slightly different from the proposed intake levels.

Following a request from the European Commission, the EFSA Panel on Dietetic Products, Nutrition, and Allergies (NDA) subsequently conducted a supplementary safety assessment for DHQ by considering also those population groups that were originally excluded---at the request of the applicant (i.e., infants, young children and children up to nine years of age)---for the food categories set out in the application, and by taking into consideration the extension of use of taxifolin from yogurt to a wider range of dairy products, as shown in Table 6. These updated use levels were then used to determine the estimated intake of DHQ for specific population subgroups, as shown in Table 7.

Table 6. Dihydroquercetin Proposed Uses and Use Levels as Evaluated by EFSA^a

| Food Category | Maximum Level of Use |
|---|----------------------|
| Unflavored fermented milk products, including natural unflavored buttermilk (excluding sterilized buttermilk) non heat-treated after fermentation | 0.020 g/kg |
| Flavored fermented milk products including heat-treated products | 0.020 g/kg |
| Dehydrated milk | 0.052 g/kg |
| Cream and cream powder | 0.070 g/kg |
| Cheese and cheese products | 0.090 g/kg |
| Unripened cheese | 0.090 g/kg |
| Ripened cheese | 0.090 g/kg |
| Whey cheese | 0.090 g/kg |
| Processed cheese | 0.090 g/kg |
| Fats and oils essentially free from water (including anhydrous milkfat) | 0.164 g/kg |
| Cocoa and chocolate products | 0.070 g/kg |
| Fruit juices | 0.020 g/L |
| Vegetable juices | 0.020 g/L |
| Fruit nectars and vegetable nectars and similar products | 0.020 g/L |
| Flavored drinks with sugar | 0.020 g/L |
| Flavored drinks with sweetener | 0.020 g/L |

^a Adapted from EFSA (2017)

Table 7. Dihydroquercetin Intake Estimates for Specific Subpopulations^a

| Population Group (Age Range) | Range of means (mg/kg bw/day) | Range of high intakes (95 th percentile) ^b (mg/kg bw/day |
|------------------------------|----------------------------------|--|
| Infants (up to 1 year) | 0.12-0.34 | 0.32-0.74 |
| Toddlers (1-3 years) | 0.34-0.94 | 0.74-1.54 |
| Other children (4-9 years) | 0.28-0.73 | 0.66-1.47 |
| Adolescents (10-17 years) | 0.19-0.39 | 0.36-0.76 |
| Adults (18-64 years) | 0.09-0.22 | 0.24-0.52 |
| Elderly (>64 years) | 0.05-0.17 | 0.13-0.32 |

^a Adapted from EFSA (2017)

Based on the expanded proposed uses and use levels, the highest estimated 95th percentile intake for a 70 kg adult with a combined DHQ intake from fortified foods (36 mg) and food supplements (100 mg) slightly decreased from 146 mg DHQ per day (Turck et al., 2017) to 136 mg DHQ per day (EFSA, 2017).

For adolescents (aged 14-18 years) with a mean body weight (bw) of 61 kg, the estimated 95th percentile combined DHQ intake from fortified foods (46 mg) and food supplements (100 mg) was estimated by EFSA to be 146 mg per day, slightly higher than the Turck et al. (2017) estimate of 133.8 mg per day. The EFSA Panel noted that this estimate is considered conservative, as the calculations were based on consumption data for a population group of children aged 10-17 years, which included children below 14 years of age with lower body weight and food intake per person.

The EFSA Panel calculated the highest mean and 95th percentile intakes per kg bw amongst all population groups to be for toddlers (1-3 years), as 0.94 and 1.54 mg DHQ per kg bw per day, respectively. Children aged 4-9 years were estimated to have a slightly lower 95th percentile daily intake of 1.47 mg DHQ per kg bw per day.

The EFSA Panel concluded that the taxifolin-rich extract from Dahurian Larch is safe under the proposed conditions of use (EFSA, 2017).

A review of the published literature did not identify any substantiated estimates of daily dietary intakes of DHQ stated to be specific to the US population from the background diet. Based on the anticipated daily consumption of commonly consumed foods, Schauss et al. (2015) estimated individual DHQ exposure in the US to be 426.24 mg per day. The data and methodology used for this calculation were not disclosed.

b Based on surveys with > 60 consumers

A non-exhaustive literature search indicates that DHQ is naturally-occurring in many foods common to the human diet, as shown in Table 8. Most of these references do not state the form of DHQ in the foods; however, (2R,3R)-*trans* DHQ is found in apple (Vega-Villa et al., 2009).

Table 8. Dietary Sources of Dihydroquercetin^a

| Dietary Source | Concentration | Reference |
|----------------------------|--------------------------|---------------------------------|
| Apple flesh | 1,300 mg/kg | Vega-Villa et al. (2009) |
| Apple skin | 7,400 mg/kg | Vega-Villa et al. (2009) |
| Red onions | 98 mg/kg | Slimestad et al. (2007) |
| Tomato | NQ | Turck et al. (2017) |
| Olive oil | 129.4 mg/kg | Carrasco Pancorbo et al. (2004) |
| Sorghum grain | NQ | Gujer et al. (1986) |
| White grapes | NQ | Masa et al. (2007) |
| Strawberries | NQ | Sun et al. (2014) |
| Mulberries | 21 mg/kg (fresh weight) | Zhang et al. (2008) |
| Açaí | NQ | Gallori et al. (2004) |
| Peanuts | 103.4 mg/kg | Pratt and Miller (1984) |
| Siberian Pine seed extract | 1,720 mg/kg | Lantto et al. (2009) |
| Thyme essential oil | 41.96-93.73 mg/kg | Varga et al. (2015) |
| Citrus fruits | NQ | Kawaii et al. (1999) |
| White wine | NQ | Pozo-Bayón et al. (2003) |
| Beer | 1 mg/L | Gerhäuser (2005) |
| Walnut | NQ | Zhao et al. (2017) |
| Mexican oregano | 1,260 mg/kg ^b | Lin et al. (2007) |
| Prickly pear | NQ | Dok-Go et al. (2003) |
| Fenugreek seeds | NQ | Yu et al. (2017) |
| Almond skin | 9.0 mg/kg | Fallico et al. (2011) |

^a Including dihydroquercetin derivatives such as taxifolin deoxyhexose found in açaí

In calculating an estimate of DHQ intake in the US from background diet, we considered the commonly consumed foods in the American diet identified as containing the most DHQ: apples and olive oil. According to USDA data, apples are the most consumed fruit in the US diet, with 2017 data showing loss adjusted per capita availability of fresh or processed apple to be 113.8 pounds (51.6 kg) per person (USDA, 2019). Considering the skin of the apple accounts for a tiny

^b Mean of three samples

NQ - not quantified

percentage of the weight consumed, the DHQ value for 1,300 mg per kg results in an estimated annual intake of approximately 67,000 mg DHQ or 183.7 mg DHQ per person per day, assuming equal daily intake throughout the year.

Olive oil per capita consumption in 2014 for the United States is reported to be 0.9 kg (International Olive Council, 2016). Using the 129.4 mg per kg value, the annual intake of DHQ per person is estimated at 116.5 or 0.32 mg per person per day, assuming equal daily intake throughout the year.

Assuming that all DHQ from apple and olive oil is in the (2R,3R) *trans* form, the combined amount of (2R,3R) *trans* DHQ from the background diet is estimated to be 184 mg per person per day based on intake from apple and olive oil alone.

Blue California intends to use BC-DHQ[™] in conventional foods similar to those identified in the initial EFSA review at various levels as detailed in Table 4. FDA's methodology was applied to estimate mean and high total consumption using USDA survey data on the daily consumption of various food types (FDA, 2006). The corresponding mean total intake value was multiplied by two because the 90th percentile consumption is unlikely to exceed the mean by more than a factor of two (FDA, 2006). FDA methodology is recognized as a method that overestimates consumption. Estimated Daily Intakes (EDIs) for these proposed conventional food categories, concerning the intended use levels, are provided in Table 9.

Table 9. Conventional Foods Dietary Intake Estimations for (2R,3R) *trans*Dihydroquercetin

| Food Category | Maximum Use Level of DHQ ^a (g/serving) | USDA Mean Grams of Food Consumed (All Individuals) ^b | RACC serving size (g) ^{c,d} | Estimated Mean mg DHQ ^a Consumed (All Individuals) | Estimated 90 th Percentile mg DHQ ^a Consumed (All Individuals) | Reference Number, Page Number |
|--|---|--|---|--|--|--|
| Non-alcoholic beverages | 0.0072 | 821 | 360 | 16.42 | 32.84 | USDA (1997) Table 9.7, page 32 |
| Fermented milk and dairy products ^e | 0.0034 | 8 | 170 | 0.16 | 0.32 | USDA (1997) Table 9.4, page 29 |
| Candy containing chocolate | 0.0021 | 4 | 30 | 0.28 | 0.56 | Smiciklas-Wright et al. (2002) Appendix B, page 244 |
| Total | | | | 16.86 | 33.72 | |

a (2R,3R) trans DHQ

https://www.fda.gov/downloads/food/guidanceregulation/guidancedocumentsregulatoryinformation/labelingnutrition/ucm513820.pdf (Accessed 7/6/17)

^b Mean grams food consumed for all individuals taken from Reference 2 or calculated from Reference 1

^c Reference Amounts Customarily Consumed (RACC) as indicated by FDA, Available at:

d For liquids, assume 1 mL = 1 g

e Determined using yogurt United Stated Department of Agriculture (USDA) mean grams of food consumed and RACC serving size

The intended levels of use proposed by Blue California result in estimated daily exposures of 16.86 mg and 33.72 mg (2R,3R) *trans* DHQ for the mean and 90th percentile, respectively. By comparison, the EFSA evaluation estimated adult daily intake from addition to the same food categories to be 15.8 and 46 mg for the mean and 95th percentile, respectively. These similar values indicate that consumption patterns within the US and Europe are similar for these food categories. As such, we consider the similarity in consumption patterns for these food categories would extend to other age groups as well.

It should be noted that the EFSA Panel (Turck et al., 2017) estimated the total daily intake of taxifolin from both conventional foods (46.0 mg for adults and 33.8 mg for adolescents at the 95th percentile) and food supplements (100 mg per day), resulting in an estimated combined 95th percentile (2R,3R) *trans* DHQ intake of 146 mg per day for adults and 133.8 mg per day for adolescents. Interestingly, the subsequent EFSA scientific opinion in which expanded use into a broader range of fermented milk products and added intake assessment of children below nine years of age, resulted in the highest mean and 95th percentile daily estimated intake per kg body weight from fortified foods only, to be in toddlers (0.94 and 1.54 mg, respectively) (Table 7). The 95th percentile (2R,3R) *trans* DHQ intakes for adolescents (14-18 years) were 46 mg (from fortified food) and 100 mg from supplements, totaling 146 mg per day (2.4 mg per kg bw per day based on 61 kg individuals). The 95th percentile intake for adults from fortified food (36 mg) and supplements (100 mg) was 136 mg per day or 1.94 mg per kg bw per day (based on a 70 kg individual). The EFSA intake analyses did not include an estimate of (2R,3R) *trans* DHQ from the background diet. No concerns about the dietary intake levels from novel food use were raised by EFSA, which supports the safety of the proposed use levels of BC-DHQ™ (Table 4).

The estimated intake of (2R,3R) *trans* DHQ from the background diet for the US population based on published literature of DHQ quantified in commonly consumed foods and per capita intake of those foods is 184 mg per day, resulting in an estimated combined mean and 90th percentile intake from background diet and the intended use of 200.86 and 217.72 mg per day, respectively. This equates to 2.87 and 3.11 mg DHQ per kg bw per day for the mean and 90th percentile, respectively.

B. Estimated Dietary Exposure to Any Other Substance That is Expected to be Formed in or On Food

No other substances are expected to be formed in or on food under the intended conditions of use for Blue California's BC-DHQTM.

C. Dietary Exposure to Contaminants or Byproducts

There are no known concerns regarding dietary exposure to contaminants or byproducts of DHQ.

PART 4. SELF-LIMITING LEVELS OF USE

There are no known self-limiting levels of use.

PART 5. EXPERIENCE BASED ON COMMON USE IN FOOD BEFORE 1958

A. Other Information on Dietary Exposure

1. History of Traditional Medicinal and Human Food Use

There are no known documented medicinal or human food use of DHQ before January 1, 1958.

DHQ occurs in a number of foods that are part of the American diet, including apples, red onions, tomatoes, olive oil, sorghum, white grapes, mulberries, açai, peanuts, thyme, citrus fruits, white wine, and beer. It has also been reported that over 250 taxifolin-containing food supplements, foods, and cosmetic products were registered by the Russian Federation by April 2009, with recommended adult intakes ranging from 5 to 100 mg of taxifolin per day. In addition, taxifolin derived from larch wood is used as an ingredient in dietary supplements in Russia, Switzerland, Canada, and the U.S. (Turck et al., 2017).

2. U.S. Regulatory History

A search of FDA's GRAS Notice (GRN) database⁵ using the terms "dihydroquercetin," "DHQ," and "taxifolin" yielded no results.

As noted on their corporate website, Ametis JSC reported "self-affirmed" GRAS status for its (2R,3R) *trans* DHQ product, Lavitol[®], in 2009 (Ametis JSC, Date Unknown).

3. Canadian Regulatory History

A search of the Health Canada website, using the terms "taxifolin" and "dihydroquercetin," yielded that taxifolin is considered a natural health product and classified as a Schedule I isolate obtained from plant material. Source materials are cited as *Drimia maritima* (bulb), *Larix dahurica* (wood and root wood), *Larix sibirica* (wood and root wood) *Senegalia catechu* (whole plant) and *Silybum marianum* (seed) (Health Canada, 2019).

4. European Regulatory History

In December 2016, EFSA responded to a novel food application for a taxifolin-rich extract prepared from Dahurian Larch. The EFSA Panel noted that the specifications, representative batch data, and stability data presented by Ametis JSC were sufficient and did not present any safety concerns. Intended uses for the taxifolin-rich extract (~90% DHQ) included non-alcoholic

⁵ Available at: https://www.accessdata.fda.gov/scripts/fdcc/index.cfm?set=GRASNotices (Accessed on February 2, 2020) GRAS ASSOCIATES, LLC Page 20 of 98

beverages, fermented milk and dairy products, and chocolate. As a food supplement, a daily dose of 100 mg per day was also proposed. The Panel noted that the provided genotoxicity data "do not raise concern." Using a no observed adverse effect level (NOAEL) of 1,500 mg per kg bw per day derived from a subchronic toxicity study in rats and estimated combined intake levels from conventional foods and dietary supplements, the EFSA Panel determined a margin of safety of 660 for adults, 460 for adolescents, and 960 for children aged 9-14 years. The Panel concluded that taxifolin-rich extract from Dahurian Larch is safe as a novel food under the intended conditions of use proposed by Ametis JSC (Turck et al., 2017).

In November of 2017, following a request from the European Commission, the EFSA NDA Panel conducted a supplementary safety assessment for taxifolin by considering also those population groups which were originally excluded at the request of the applicant (i.e., infants, young children and children up to nine years) for the food categories set out in the application, and by taking into the extension of use of taxifolin from yogurt to a wider range of dairy products. The Panel concluded that the taxifolin-rich extract from Dahurian Larch was safe under the proposed conditions of use (EFSA, 2017).

5. Other Regulatory History

A search of the Food Standards Australia New Zealand (FSANZ) website⁶ using the terms "taxifolin" and "dihydroquercetin" resulted in no regulatory results for use as a food additive. A search of the Australian Therapeutic Goods Administration website resulted in a historical document from 2007 entitled "Substances that may be used in Listed medicines in Australia," which cites that taxifolin alone is not permitted for use as a Listed medicine; however, taxifolin as a component of *Pinus pinaster* is eligible for use in Listed medicines (TGA, 2007). Further review of the Australian Register of Therapeutic Goods shows over 30 products currently on the market containing *P. pinaster*.

It has been reported that as of April 2009, over 250 DHQ-containing products were registered with Russian Federation regulatory bodies (Turck et al., 2017). A search of the Ministry of Agriculture of the Russian Federation website using the terms "taxifolin" and "dihydroquercetin" resulted in no results.

PART 6. NARRATIVE

A. Discussion on Safety Data on Dihydroquercetin

From an extensive online database search, current to December 2, 2019, using the terms "dihydroquercetin," "biological activity and dihydroquercetin," and "safety and dihydroquercetin," and search engines (Toxnet, PubMed, and Google Scholar), references were scanned for relevant biological effects and safety data on DHQ. Many of the studies found referred to DHQ by

⁶ Available at: https://www.foodstandards.gov.au/Pages/default.aspx (Accessed on February 2, 2020)

GRAS ASSOCIATES, LLC

Page 21 of 98

one of its synonyms--- taxifolin or diquertin. The novel foods dossier submitted to EFSA by Ametis JSC, a Russian company, was reviewed. The dossier contained unpublished studies and published studies that had no English translations available. In addition, the EFSA scientific opinion on a taxifolin-rich extract from Dahurian Larch, which was adopted on December 13, 2016, was reviewed. In October 2017, EFSA released a statement on the safety of taxifolin-rich extract from Dahurian Larch following the completion of a supplementary safety assessment; this document was also reviewed as it considered those populations which were originally excluded from the December 2016 document at the request of the applicant. The more relevant studies are summarized in the following sections.

1. in Vitro Toxicology Studies

The cytotoxicity of a number of flavonoids, including taxifolin, toward cultured human lung embryonic fibroblasts (TIG-1) and human umbilical vein endothelial (HUVE) cells was examined (Matsuo et al., 2005). Taxifolin was found to be slightly toxic to TIG-1 cells and HUVE cells, with LC50 concentrations of > 300 μ M and > 200 μ M (respectively) following incubation at 37°C for 24 hours. As shown in the absorption, distribution, metabolism, and excretion (ADME) section below, the maximum concentration of DHQ that could be present in plasma after consumption of DHQ at the 90th percentile as indicated in this GRAS dossier (approximately 260.84 ng $\,$ per mL or 0.86 μ M) is considerably lower than the concentration that caused toxicity to TIG-1 cells and to HUVE cells.

To evaluate the phototoxic potential of taxifolin, a 3T3 Neutral Red Uptake Phototoxicity Test was conducted according to the Organisation for Economic Co-operation and Development (OECD) TG 432 (Rajnochova Savobodova, 2017). The authors used HaCaT keratinocytes (immortalized human keratinocytes), normal human epidermal keratinocytes, and dermal fibroblasts to better approximate human skin. Taxifolin was found to be nonphototoxic and photostable.

2. Acute and Subacute Toxicity Studies

Schauss et al. (2015) discussed a good laboratory practices (GLP) compliant unpublished acute oral toxicity study in albino outbred rats (gender unspecified) in which no toxicological or gross pathological effects were observed following a single gavage dose of 75, 150, and 1,500 mg per kg bw Lavitol® (91-98% DHQ) as compared to a negative control of potato starch.

In a follow-up GLP-compliant subacute oral toxicity study, male and female albino outbred rats were dosed for seven consecutive days with 10,000 or 15,000 mg per kg bw of Lavitol® (90.94% DHQ) via gavage and the study included concurrent controls (Schauss et al., 2015). Animals were observed for mortality, external appearance, behavior, clinical signs, sensory reactivity to auditory, visual and proprioceptive stimuli and muscle strength. Body weights and food consumption were evaluated as well. Blood was collected on day 0 and day 8 for hematological and biochemical evaluation and urine was collected on days 0 and 8. Animals were euthanized on day eight and underwent a full necropsy with organ weights. There was no difference between

test groups and control animals concerning the quantities of water and food consumed. The administration of Lavitol® did not affect animals' behavior, fur, skin, or mucous membranes. There were no differences in white blood cell count, hemoglobin, hematocrit, basophils, eosinophils, monocytes, or lymphocytes between test and control animals. A decreased red blood cell (RBC) count for females at both doses was evident on day 8, and significant differences in RBC volumes between the control group of female rats and the experimental group of females were noted, but were within normal biological limits. There was no difference in urinalysis between groups and gross pathology analyses revealed no abnormalities. The histopathological and morphological changes observed in all groups and between sexes were considered incidental, physiologically related, and not induced by the test substance.

The effect of DHQ on mean blood pressure and macro- and micro-rheological blood parameters in 17-week-old hypertensive Wistar-Kyoto spontaneously hypertensive (SHR) rats were evaluated following oral dosing at 20 mg per kg bw per day for six weeks (Plotnikov, 2017a). No adverse effects related to DHQ exposure were reported in the SHR rats. In another study, which evaluated the changes in angiotensin-converting enzyme (ACE) activity in the aortas of male normotensive Wistar-Kyoto and SHR rats, the animals were dosed by daily gavage with DHQ at 100 or 300 µg per kg bw for two weeks or vehicle control beginning at postnatal weeks 10-12 or 12-14 (Slashcheva, 2016). SHR rats given 100 µg per kg body weight (bw) per day DHQ from weeks 10-12 exhibited decreased body weight compared to control SHR rats of the same age (p<0.05). There was no effect of either dose of DHQ on the body weights of any other group. In normotensive Wistar-Kyoto rats, administration of DHQ at 300 µg per kg bw per day from weeks 12-14 caused a significant reduction in activity of ACE in the aorta (p<0.05) but had no effect on blood pressure. The effects observed in this study are not considered to be adverse because the body weight reduction in SHR rats was less than 10% and the reduction in ACE activity in normotensive Wistar-Kyoto rats was not associated with a decrease in blood pressure.

The ability of taxifolin to act as an antioxidant *in vivo* was examined by Igarashi et al. (1996). For this study, five-week-old male weanling Wistar rats were divided into three groups of five or six rats each and then exposed to either a control, astilbin (0.074%) added, or taxifolin (0.05%) added diet for ten days (Igarashi et al., 1996). Based on an average initial body weight of 29 g and average food consumption of 11.1 g per day, the average daily amount of taxifolin ingested by the animals was 191.4 mg per kg bw per day. At the end of the dosing period, the animals were anesthetized, blood collected from the heart, and the liver was immediately removed and frozen. Food consumption, body weight gain, total serum cholesterol, high density lipoprotein (HDL)-cholesterol, triacylglycerol and phospholipid, liver lipids and endpoints designed to assess efficacy as antioxidant were measured. There were no adverse effects of taxifolin on any endpoint measured.

The effect of taxifolin on cisplatin-induced oxidative pulmonary damage was investigated in male albino Wistar rats (Unver et al., 2019). There were four groups, with six animals in each group: 50 mg per kg of taxifolin by gavage plus 2.5 mg per kg of cisplatin intraperitoneally group (TC);

2.5 mg per kg of cisplatin intraperitoneally group (CIS); 50 mg per kg of taxifolin by gavage group (TG); and a healthy control group (distilled water by gavage). Taxifolin, cisplatin, and the distilled water were administered at the indicated dose, using the same method daily for 14 days. After 14 days of treatment, animals were euthanized, and blood and lung tissue samples were taken for an assessment of oxidative damage (malondialdehyde, myeloperoxidase, total glutathione and 8-hydroxy-2 deoxyguanosine analyses and histopathological examinations). Results for the TC or CIS groups are not discussed here as they don't directly contribute to the determination of safety of DHQ. Results for a group exposed only to TG were available and could be compared to a control group. Based on the results of the study, the authors concluded that biochemical and histopathological manifestations of oxidative damage were not observed in the blood and lung tissues of the TG group as compared with the control group.

3. Subchronic Toxicity Studies

A GLP compliant subchronic 90-day study in 96 albino outbred rats (48 males and 48 females) to determine the safety of Lavitol® was performed by Schauss et al. (2015). Three experimental groups (12 male; 12 female) received 50, 150, or 1,500 mg per kg bw of Lavitol® each day by gavage, while a fourth group (12 males; 12 female) received 1% potato starch as a vehicle control group. The phytochemical composition of Lavitol® used in this study was 92.20% DHQ, 2.35% aromadendrin, 0.53% eriodictyol, 0.26% quercetin, 0.17% naringenin, and 0.11% pinocembrin. All of the animals in the study exhibited comparable weight gain throughout the dosing period. The quantity of food and water consumed by the animals in all other groups was not significantly different compared with the control animals. There were no abnormal changes in skin and fur appearance, except that the animals administered 50 and 150 mg per kg bw had significantly thicker and fluffier hair compared with the 1,500 mg per kg bw dose group and the control dose group. No abnormal movement was present in any of the groups. Males in the 150 mg per kg bw group were more active during the first month of the study compared with the other groups and controls; however, during the third month of study, males in the 50 and 150 mg per kg bw groups were significantly more active than the males in the 1,500 mg per kg bw group and controls. Stool disturbances were observed in all groups throughout the study, but they were significantly lower in male and female 150 mg per kg bw groups compared with controls. No edema, hyperemia, or pathological excretions were observed in any of the treatment groups. There were no changes in the corneal reflex of any animals tested or any differences in pupil size or width of the palpebral fissure. All indices of hematological analysis were within normal values among groups, and the urine analyses of control and treated animals were within normal ranges. In addition, there were no clinically relevant histopathological differences between experimental and control animals. The authors concluded that there were no adverse effects when Lavitol® was administered orally for 90 days in male and female rats up to a dose of 1,500 mg per kg bw.

In the 2017 EFSA document, a GLP-compliant toxicity study was reported with taxifolin (90.5% DHQ). Wistar albino rats (n= 10 per sex per group) were administered 0, 50, 150, or 1,500 mg per kg bw per day of taxifolin by gavage for 90 days. A high dose recovery group and a control

recovery group were observed for 28 days following the end of the treatment period (n = five rats per sex per group) (EFSA, 2017). The control group received the vehicle, which was a 1% starch solution. No mortality was noted. Absolute body weights in high dose males corresponded with the trend for lower food consumption in this same group. No differences were noted in any other group in either body weights or food consumption. No differences were noted in the ophthalmological examinations, electrocardiograms, and behavioral activity. Minor changes in hematology parameters and clinical biochemistry were noted, but there was no dose response. Urinalysis and relative organ weights were not significantly different between groups. Aggression was observed in two females and three males form the high dose group, one female and two males of the mid dose groups, and one control female; however, there were no differences in behavioral activity between groups (tests performed for this assessment were not stated). Abrasions were observed in high dose females, which was judged to be caused by fighting between the animals. The authors mentioned that the presence of five animals per cage could be a contributing factor to these observations. Gross and microscopic pathological changes were noted in the stomach of one high dose male and female and one high dose recovery group male. EFSA stated that these effects could have arisen from an irritating effect of the test material on the stomach mucosa due to gavage administration. Hypertrophy of the adrenal glands was seen in two males and two females in the high dose group and one male and one female in the high dose recovery group. These effects on the adrenals were thought to be related to stress from the aggressive behavior seen during the study. The EFSA panel concluded that the NOAEL was 1,500 mg per kg bw per day, the highest dose tested.

In a study to evaluate the process of peroxidation in male outbred albino rats following the administration of DHQ for three months, the diets of rats were supplemented daily with a DHQ dose of either 86, 860, or 3,000 mg per kg bw (Chernyak and Shchukina, 2009). A control group receiving only the standard diet was included in the study as well as a reference control group receiving rutin at 86 mg per kg bw. Because this study was designed as an efficacy study, safety endpoints were not included; however, no mortalities or adverse effects were specifically reported.

A study was conducted where the effects of DHQ on microvascularization and microcirculation in the cerebral cortex of SHR rats during the development of arterial hypertension were evaluated (Plotnikov, 2017b). Animals were dosed with 50 mg per kg bw DHQ in 1% starch gel via gavage for six weeks. Concurrent controls, both SHR and normotensive Wistar Kyoto rats received 1% starch gel only. No adverse effects related to exposure to DHQ were reported.

The effect of DHQ on the performance of broiler chickens was assessed by Pirgozliev et al. (2019). For this study, a total of 80 male Ross 308 broilers were allocated to 16 pens of five birds each, and the pens were randomly allocated to eight pens per treatment [a control diet or a diet containing 0.5 g per kg extract of Siberian Larch (85% DHQ)]. The diets were fed over two feeding phases, a grower phase from 7 to 28 days of age and a finisher phase from 28 to 35 days of age. Based on average feed intake of 96.1 g per day (2,788 g per 29 days), an initial

California 2/11/20

body weight of 123 g, and a DHQ content if 85% in the feed, birds received approximately 64 mg per kg bw per day DHQ. Parameters measured include growth performance variables, color score of left breast meat, weight of proventriculus, gizzard, duodenum, pancreas, jejunum, ileum, caeca, liver, heart, spleen, and the bursa of Fabricius, gastrointestinal (GI) tract and immune organ development, glutathione peroxidase and hemoglobin in blood, hepatic vitamin E content, dietary N-corrected metabolizable energy and nutrient retention coefficients. All birds were healthy throughout the study period and there was no mortality or clinical sign of toxicity. There was no effect of treatment on any parameter measured, except for an increase in the redness index of the breast fillets, which is not considered to be adverse.

4. Chronic Toxicity Studies

Booth and Deeds (1958) investigated the chronic oral toxicity of DHQ in albino rats. Ten weanling rats of each sex were administered dietary levels of 0.125, 0.25, 0.5, and 1.0% DHQ with a control group of 20 per sex receiving the basal diet only. Estimated doses are 125, 250, 500, and 1,000 mg per kg based on estimated food consumption of 10 g per day in a rat of 100 g (IPCS, 2009). At the end of 226 days, 50 percent of the animals receiving 0.5% and 1.0% of the test material were euthanized along with an equal number of animals that were fed the basal diet. The remaining animals in these dose groups were euthanized after 450 days. At the end of 249 days, 50 percent of the animals that were dosed with 0.125% and 0.25% of the test material were euthanized along with an equal number of controls. The remaining animals in these dose groups were euthanized after 650 days. Rats underwent a weekly clinical exam and were weighed weekly as well. During the study, no differences in appearance, behavior, food intake, or growth were noted between experimental animals and controls. No treatment-related deaths occurred. There were no adverse gross nor microscopic changes that were attributable to DHQ, except for vacuolization in the livers of female rats fed 1% DHQ. The authors stated that this was most likely due to fat deposition. The authors concluded that no significant toxicological effects were observed from the long-term administration of DHQ to albino rats at a dietary level of up to 1% (Booth and Deeds, 1958).

In the Schauss et al. (2015) report, a six-month chronic toxicity study in male rats and dogs performed by Shkarenkov et al. (1998; paper and abstract not found) was described. Rats received either control solution, 150 mg DHQ preparation (purity not indicated) per kg bw per day, or 15,000 mg DHQ preparation per kg bw per day via intragastric administration. Dogs received 190 mg DHQ preparation per kg bw per day in their food. The authors mentioned that "except for slight variations in some functional tests, the safety of the preparation was supported by evaluation and analyses of the animal's organs and tissues." Further details about the conduct results of these studies were not provided in the Schauss et al. (2015) study. The authors concluded that "in both the rat and dog studies, no evidence of diquertin toxicity was shown." Although documentation from this study is weak, there is no mention of any of the effects that were observed in a few rats gavaged with 1,500 mg DHQ per kg bw per day in the 90-day study reported by EFSA (2017), even at a 10-fold higher dose.

California 2/11/20

5. Reproductive/Developmental Toxicity Studies

Schauss et al. (2015) conducted GLP compliant prenatal and postnatal developmental toxicity studies of Lavitol® in 80 pregnant female rats. Following a 14-day acclimation and observation period, rats were mated over 13 days by placing one male with two females during two estrus cycles. Mating was confirmed by the presence of sperm in a vaginal smear, and this was considered gestational day 1. Female rats were divided into four groups as follows: group 1 (n= 20), the control group, received 3 mL of a 1% starch solution per day; group 2 (n = 20) was administered 75 mg per kg bw of Lavitol® during the period of organogenesis—from gestational day 6 to 16; group 3 (n =20) was given 1,500 mg per kg bw per day of Lavitol® during the same period; and group 4 (n = 20) received 75 mg per kg bw per day of Lavitol® during the period of implantation, organogenesis, and fetogenesis—from the 1st to the 19th days of gestation. Animals were dosed via daily gavage based on the most recent body weight taken on days 1, 8, 14, 17, 18, 19, 20, and 21 of gestation. The phytochemical composition of Lavitol® used in this study was 92.19% DHQ, 3.57% aromadendrin, 0.58% eriodictyol, 0.33% quercetin, 0.17% naringenin, and 0.17% pinocembrin. During the dosing period, animals were observed three times daily for signs of pharmacological and/or toxicological effects and included general appearance, behavioral changes, and locomotor activity. Mean group body weight, percentage of body weight gain, feed and water consumption were determined during the study. Blood and urine were collected from females before mating, on day 20 of gestation prior to delivery in that group, and on day 18 or 20 for those euthanized on those days. In each group, five pregnant females were euthanized on day 18 and another five were euthanized on day 20 of gestation. The remaining pregnant females in each group were allowed to give birth and raise the offspring until weaning on day 25 – 30 of lactation. There were no signs of toxicity during the dosing period. All females gained weight during the gestation period, and no statistically or biologically relevant differences were noted in hematological or clinical chemistry parameters between groups and controls. No spontaneous abortions were recorded in any of the Lavitol® groups and no significant differences were found in the number of corpora lutea/dam, implantation sites. resorptions, late fetal deaths, non-live implants or the percent pre- and post-implantation loss, gender ratio differences, or combined fetal weights.

The fetuses collected on day 18 or 20 were examined for the shape of the body, head size, limb extension, sex, digits, skin, umbilical region, anus and genitalia, nares, pinna, eyes, and oral cavity. Two-thirds of the fetuses from each litter underwent a skeletal examination and one-third were fixed in Bouin's fluid and underwent a visceral examination. The litters allowed to continue to weaning were evaluated for the number and sex of the pups, the numbers of stillbirths and live births, and the presence of gross abnormalities. The date of detection of primary fur, ear unfolding, incisor eruption, eye opening, testes descent and vaginal patency were recorded. Pups were weighed every three days from day 3 to 42 and the crania-caudal size of each pup was measured up to day 42 to determine if somatic neural growth was affected. Multiple sensorymotor reflex changes were evaluated up to day 42. Blood was collected from 15 pups of each sex from each group following fasting and evaluated for hematological and clinical chemistry

parameters. Pups were euthanized on day 43 and underwent a complete gross pathological examination. Microscopic evaluations were conducted on the heart, liver, kidneys, spleen, adrenal gland, and testicles. Lavitol® did not affect litter size, physical development, survival, reflex measurements, behavioral variables, or gross examination, and histopathology revealed no abnormalities related to exposure. The authors concluded that Lavitol® exposure did not result in embryotoxic or teratogenic effects on the development of offspring.

In the EFSA report from 2017, a developmental toxicity study is reported in which pregnant female rats (n=20) were dosed with taxifolin rich extract (at least 90% taxifolin) at 0, 75, or 1,500 mg per kg bw from gestation day 6 to 16 by gavage. This study was conducted in compliance with GLP as per the Ministry of Health of the Russian Federation. The endpoints for the study included clinical signs, mortality, body weight, functional observations, clinical chemistry, fetus survival and gross, skeletal and visceral examination of the fetuses and for the offspring, body weight, sensory motor evaluation, clinical chemistry, necropsy, and histopathological examinations were done. All dams survived and no clinical signs of toxicity were noted during the prenatal dosing. No adverse effects were noted in the fetuses with respect to litter size, weight, the formation of organs and general development. The conclusion was that no embryotoxic or teratogenic effects were seen at dose levels up to 1,500 mg per kg bw.

The effect of DHQ and other phenolics on the morphology, functions, and redox processes in the reproductive cells of four-month old male Wistar rats has been studied in a model of experimental pathospermia (Borovskaya et al., 2018). Experimental pathospermia was stimulated by intravenous (i.v.) injection of the antitumor drug etoposide that significantly suppresses spermatogenesis. Animals in the experimental groups (n=10 per each) received 10.4 mg per kg DHQ or the other phenolics five days before and five days after etoposide administration. A group of intact animals (baseline) consisted of 10 rats. Total sperm count, percentage of degenerative forms of mature spermatozoa, percentage of mobile spermatozoa and prooxidant/antioxidant activity in sperm were determined. There were no adverse effects of treatment with DHQ on any parameter measured in the study.

Blue California concludes that the totality of evidence from GLP-compliant toxicity studies supports the safety of DHQ at up to 1,500 mg per kg bw in rats. Blue California also notes the similarity between the developmental toxicity study summarized in the ESFA report from 2017 and the Schauss et al. (2015) study, though it is unclear if the studies are independent or duplicates.

6. Genotoxicity/Mutagenicity Studies

a. Comet Assay

Schauss et al. (2015) demonstrated that Lavitol® does not induce DNA damage in a GLP-compliant single-cell gel electrophoresis Comet assay. The Lavitol® used in this study had a phytochemical composition of 97.51% DHQ, 1.55% aromadendrin, 0.1% eriodictyol, and 0.15%

quercetin. Single oral doses of 15 or 2,000 mg Lavitol® per kg bw, methyl methanesulfonate as a positive control, or 1% ethanol as a negative control, were administered to 8- to 10-week-old male CBAxC57B1/6 mice (n=20; five per group). These doses correspond to the daily therapeutic dose of 15 mg per kg bw and a subchronic dose that exceeded the therapeutic dose by >100 times that dose. Gel electrophoresis results showed that these doses of Lavitol® did not induce DNA damage in cytogenic preparations of femoral bone marrow, blood samples, and liver samples from the experimental animals. DHQ also tested negative for DNA damage in an *in vitro* Comet assay in human peripheral blood cells at 100 µg per mL, 250 µg per mL, and 500 µg per mL (Živković et al., 2019).

Zhanataev et al. (2008) studied the genotoxic properties of a DHQ preparation derived from larch (FlavitPure, 90% DHQ) in male and female C57B1/6 mice (animal numbers not specified) using a DNA-Comet assay. To test for the induction of DNA damage, a DHQ preparation was administered either repeatedly or as a single dose. In the repeated-dose study, the DHQ preparation was administered as daily doses of 0.15 and 1.5 mg per kg bw for five days, and then the animals were euthanized three hours after the last dose. In the single-dose study, the respective DHQ preparations were administered once as doses of 15, 150, and 2,000 mg per kg, and the animals were euthanized three hours later. Concurrent vehicle (1% ethanol) and positive (cyclophosphamide) controls were included in the study. Gel electrophoresis results showed that there were no significant differences between test animals and controls for either sex or at any dose level. The authors concluded that DHQ does not exhibit any genotoxic effects.

b. Micronucleus Test in Human Lymphocytes

A GLP-compliant micronucleus test conducted according to OECD Guideline 487 showed that Lavitol[®] did not increase the induction of micronuclei in cultured human lymphocytes *in vitro* in the presence and absence of S9 activation mix (rat liver tissue homogenate used in biological assays) (Schauss et al., 2015). The phytochemical composition of Lavitol[®] used in this study was 97.5%, 1.55% aromadendrin, 0.10% eriodictyol, and 0.15% quercetin. The maximum final concentration to which the cells were exposed was 3,043 mg per mL, dosed at 1% volume per volume (v/v), to enable testing up to 10 mmol per L.

c. Chromosomal Aberration Test

Lavitol® (93.7% DHQ) did not increase bone marrow metaphases in mice treated with a single dose (15 or 2,000 mg per kg bw) compared to a single dose-treated cyclophosphamide control and negative control groups (Schauss et al., 2015). The GLP compliant study was conducted using CBAxC57B1/6 mice of both sexes. Following an acclimation period, a single dose of 15 or 2,000 mg per kg bw was administered orally in one experiment and another experiment, mice were dosed orally with 15 mg per kg bw for five consecutive days. Before euthanasia, animals were dosed with colchicine and then euthanized 2.5 hours later. Femoral bone marrow smears were prepared and then analyzed. There were no significant differences between sexes. It was

concluded that Lavitol® was not genotoxic in mice, either following a single oral dose up to 2,000 mg per kg bw or following repeated doses at 15 mg per kg bw per day for five days.

Zhanataev et al. (2008) performed a chromosome aberration test to determine the genotoxicity of a DHQ preparation (Flavit Company, 90% DHQ) in male and female C57B1/6 mice (number not specified) that included concurrent vehicle (1% ethanol) and positive (cyclophosphamide) controls. The respective DHQ preparations were administered to mice in doses of 1.5 mg per kg bw and 150 mg per kg bw once per day for five days. The level of chromosome aberrations in both sexes and both dose levels did not significantly differ from controls. The authors concluded that DHQ does not exhibit any DNA-damaging activities in mammals.

d. Mutagenicity

A study investigated the mutagenic effects of quercetin and taxifolin on tester strains of *Salmonella typhimurium* TA102 and *Escherichia coli* WP-2 uvrA (Makena et al., 2009). Taxifolin was determined to be not mutagenic in the presence or absence of S9 mix in both TA102 and WP-2 uvrA 2, regardless of the presence of iron or nicotinamide adenine dinucleotide phosphate (NADPH) generating system (NGS). Quercetin, however, was shown to induce mutations in the presence or absence of S9 mix, iron, or NGS. The authors concluded that a minor structural variation between the two plant polyphenols could elicit a marked difference in their genotoxicities.

Blue California concludes that the results of these *in vitro* and *in vivo* genotoxicity and *in vivo* mutagenicity studies do not raise any concerns about the safety of DHQ.

7. Clinical Studies

The clinical studies summarized in Part 6.A.7 have been previously reviewed by EFSA as part of the novel food application by Ametis. While several of the publications cited in the Ametis application are obscure and unavailable for review, Blue California notes that the EFSA Panel did not raise any concerns regarding the safety of DHQ.

Multiple clinical studies investigating various efficacy endpoints were identified. These studies were reviewed for information on adverse events and tolerability of the ingredient. A summary of the findings is provided below.

a. Clinical Studies on Dihydroquercetin

Several clinical studies have been performed on DHQ. Although the studies were designed as efficacy studies, none stated that treatment-related adverse effects were observed (Table 10).

Table 10. Clinical Studies of Dihydroquercetin

| , , | | | | | | |
|---|--|-----------------|-----------------|---|--|---|
| Subjects | Treatment | DHQ Dose | Duration | Endpoints Measured | Results | Reference |
| 112 male patients with acute pneumonia Age: 19-40 yrs. | Standard therapy (ST) (n=50); ST + oral \alpha-tocopherol acetate + i.v. sodium thiosulfate (n=32); ST+ taxifolin (n=30) | 40-60 mg/day | Two weeks | TBARs in plasma, lung X-ray, pulmonary fibrosis, symptoms of pneumonitis | No adverse effects of taxifolin on endpoints measured. No side effects of treatment. | Kolhir et al. (1998) as cited in Ametis, 2010 |
| 29 patients with discirculatory encephalopathy Age: 56-78 yrs | ST + Capilar | 80 mg/day | 18-21 days | Psychoemotional conditions | No adverse effects of Capilar on endpoints measured. No side effects of treatment. | Zavolokov and Ilyuhina (2001) as cited in Ametis, 2010 |
| 100 hypertensive patients with atherosclerosis Age: 50-70 yrs | ST + Capilar (n=68) ST + placebo (n=32) | 80 mg/day | 12 weeks | Hemodynamic and biochemical parameters, endothelial function, and neurological status (including headache frequency and strength) | No adverse effects of Capilar on endpoints measured. No side effects of treatment. | Britov and Aparina (2006) as cited in Ametis, 2010 |
| 42 patients with chronic microcirculatory disturbances Age: 50-76 yrs. | ST + Capilar (n=28) ST + placebo (n=14) | 75 mg/day | Three months | Blood circulation parameters (rheology, blood circulation, the strength of capillary walls) | No adverse effects of Capilar on endpoints measured. No side effects of treatment. | Kozlov et al. (2006) as cited in Ametis, 2010 |
| 60 patients with atherosclerosis of lower extremities Age: 39-75 yrs | ST + Capilar (n=20) ST + oral Capilar + Capilar-cream (n=20) ST + placebo (n=20) | 60 mg/day | Two months | Pain free walking distance, ischemic pain, microcirculation | No adverse effects of Capilar on endpoints measured. No side effects of treatment | Koshkin and Nastavsheva (2008) as cited in Ametis, 2010 |
| 30 patients with IHD after aorta-coronary shunting surgery Age: 32-68 yrs | Basic rehabilitation + Capilar (n=20) Basic rehabilitation (n=10) | 60 mg/day | 12-17 days | Circulation parameters and psychoemotions | No adverse effects of Capilar on endpoints measured. No side effects of treatment. | Shakula et al. (2007) as cited in Ametis, 2010 |
| 40 male patients with chronic pulmonary obstructive disease Age: 30-65 yrs | ST + Capilar (n=20) ST (n=20) | 80 mg/day | 18-21 days | Circulation, respiratory and cardiovascular parameters | No adverse effects of Capilar on endpoints measured. No side effects of treatment. | Shakula et al. (2008) as cited in Ametis, 2010 |
| 40 patients with diabetes mellitus, Age: 56.2±8.5 yrs 20 heathy control subjects | ST+ Diquertin (n= 40 patients) ST + placebo (n = 20 controls) | 120 mg/day | 12 weeks | Sensitivity to insulin; HbA1c levels | No adverse effects of Diquertin on endpoints measured. No side effects of treatment. | Nedosugova (2006) as cited in Ametis, 2010 |
| 37 patients with diabetes- related onychomycosis of feet and hands Age: 30-68 yrs | ST + Diquertin (n=20) ST (n=17) | 120 mg/day | 12 weeks | MDA levels, intoxication parameters | No adverse effects of Diquertin on endpoints measured. No side effects of treatment. | Davudova and Zoloeva (2009) as cited in Ametis, 2010 |

Capilar – taxifolin; HBA1c – glycated hemoglobin; IHD – ischemic heart disease; i.v.= intravenous; MDA – malondialdehyde; Menting et al. (1994)– standard therapy; TBARs – thiobarbituric acid reactive substances; yrs – years

b. Clinical Studies on Ascovertin and Laviocard

Ascovertin is a complex of 20 mg DHQ and 50 mg ascorbic acid per tablet, and it is used as a drug in Russia for health conditions with an underlying mechanism of oxidative stress (Neveu, 2006). This combination is currently available in the US as a dietary supplement, albeit at a different proportion. Laviocard is a very similar preparation containing both taxifolin (30 mg) and ascorbic acid (70 mg). Several clinical studies have been performed on Ascovertin and Laviocard, none of which stated that treatment-related adverse effects were observed (Table 11).

Table 11. Clinical Studies of Ascovertin and Laviocard

| Subjects | Treatment | DHQ Dose | Duration | Endpoints Measured | Results | Reference |
|---|--|--|-----------------|---|--|---|
| 40 patients with IHD | ST+ Ascovertin (One tab x three/day) (n = 20) ST+ placebo (n = 20) | 60 mg/day | Three months | Hemorheological status; number of anginal episodes/week | No adverse effects of Ascovertin on endpoints measured. Side effects were not discussed. | Tyukavkina et al. (2001) as cited in Ametis, 2010 |
| 41 patients w/cerebral atherosclerosis Age = 60.4±4.8 yrs (median) Ten healthy controls | ST + Ascovertin (n= 21 patients) 1st week: Two tab x three/day 2nd-3rd weeks: One tab x three/day ST (n=10 patients) Healthy controls (n=10) | 1st week: 120 mg/day 2 nd -3 rd weeks: 60 mg/day | Three weeks | Hemorheological parameters and subjective symptomology | No adverse effects of Ascovertin on endpoints measured. No side effects of treatment. | Plotnikov et al. (2005) as cited in Ametis, 2010 |
| 48 patients w/arterial hypertension of the II & III degree Age = 60±5 yrs (median) Ten healthy controls | ST + Ascovertin (n=38 patients) ST (n=10 patients) Healthy controls (n=10) | 1st week: 120 mg/day 2nd-3rd weeks: 60 mg/day | Three weeks | Hemorheological parameters | No adverse effects of Ascovertin on endpoints measured. No side effects of treatment. | Plotnikov et al. (2005) as cited in Ametis, 2010 |
| 29 patients with NIDDM Age = 56±4 yrs (median) diagnosed w/NIDDM for 8.5±3.6 years (median), w/fasting blood glucose level of 7.8±1.8 mmol/L Ten healthy controls | ST + Ascovertin (n=19 patients) ST (n=10 patients) Healthy controls (n=10) | 1st week: 120 mg/day 2nd-3rd weeks: 60 mg/day | Three weeks | Hemorheological parameters | No adverse effects of Ascovertin on endpoints measured. No side effects of treatment. | Plotnikov et al. (2005) as cited in Ametis, 2010 |
| 51 patients w/IHD Age: ≤ 65 yrs 10 healthy controls | ST + Ascovertin (n = 17 patients w/IHD without | 1 st month: 80 mg/day 2 nd -3 rd | Three months | Number of episodes of stenocardia; number of nitroglycerin | No adverse effects of Ascovertin on | Plotnikov et al. (2005) as cited in |

⁷ For example, Life Extension Vitamin C with Dihydroquercetin 1,000 mg, available for direct purchase from Life Extension, as well as Swanson Health Products and Amazon.com. This product contains 1,000 mg Vitamin C and 10 mg dihydroquercetin -3-rhamnoside.

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Page 32 of 98

| Subjects | Treatment | DHQ Dose | Duration | Endpoints Measured | Results | Reference |
|---|---|---------------------|----------|---|---|--|
| | prior MI + 14 pts w/IHD w/prior MI) 1st month: One tab x four/day after meal 2nd-3rd month: One tab x four/day ST + placebo (n= 10 patients w/IHD without prior MI + 10 patients w/IHD w/prior MI) Healthy controls (n=10) | month: 60 mg/day | | taken; tolerability to physical exercise; hemorheological parameters; antioxidant parameters | endpoints measured. No side effects of treatment | Ametis, 2010 |
| 48 women undergoing operations on the ovaries Age: 20-34 yrs | ST+ Taxifolin:Ascorbic acid (1:2.5) (n=20) Basic therapy: 23 patients Four days before operation to 10 days after the operation | 120 mg/day | 14 days | Endogenous antioxidants, commissures, lipid peroxidation products; pregnancy | No adverse effects of treatment on endpoints measured. No side effects of treatment | Plotnikov et al. (2005) as cited in Ametis, 2010 |
| 35 patients with chronic venous insufficiency (CVI); 25 patients with atherosclerosis | ST + Laviocard (Lavitol®) one tab/day (n=30, 15/condition) ST (n = 30, 20 CVI + 10 atherosclerotic) | 30 mg/day | 30 days | Blood and circulation parameters | No adverse effects of treatment on endpoints measured. No side effects of treatment | Plotnikov et al. (2005) as cited in Ametis, 2010 |

CVI – chronic venous insufficiency; IHD – ischemic heart disease; NIDDM – non insulin dependent diabetes mellitus; ST – standard therapy; tab – tablet; yrs – years

c. Conclusion from Clinical Studies with DHQ, Ascovertin and Laviocard

Blue California has reviewed clinical studies that have been performed with DHQ, Ascovertin and Laviocard, which show that DHQ is well tolerated in humans, even those with various diseases, and agrees that they support the safety of the proposed use of DHQ.

8. Absorption, Distribution, Metabolism, and Excretion (ADME)

The absorption of a dietary flavonoid depends on its physiochemical properties such as molecular size, configuration, lipophilicity, solubility, and pH (Kumar, 2013). The flavonoids are then absorbed from either the small intestine or colon depending on the structure of the flavonoid. Following absorption, the flavonoids are conjugated in the liver by glucuronidation, sulfation or methylation, or are metabolized to smaller phenolic compounds.

In the late 1950s, Booth and Deeds reported on the metabolism of DHQ in humans. Two volunteers were given 2 grams of DHQ orally, and their urine samples were analyzed for metabolites; 3,4-dihydroxyphenylacetic acid, m-hydroxyphenylacetic acid, and 3-methoxy-4-hydroxyphenylacetic acid were observed. The authors noted that these are the same metabolites excreted following oral administration of quercetin or 3,4-dihydroxyphenylalanine (DOPA) in rats, rabbits, and humans (Booth and Deeds, 1958).

The urinary metabolites of French maritime pine bark extract, which is known to contain DHQ, were studied after oral administration of 5.28 grams and 1.06 grams in a human volunteer. Taxifolin conjugated as a glucuronide/sulfate was excreted in the urine within 18 hours post dosing, with peak excretion at two to three hours. The recovery of taxifolin in the urine ranged from 7-8% (Düweler and Rohdewald, 2000).

Single and multi-dose studies on Pycnogenol® (a maritime pine bark extract containing 14.35 μ g taxifolin per mL) were conducted in human volunteers (Grimm et al., 2006). In a single-dose study, eleven volunteers (five female, six male) received 300 mg Pycnogenol® (calculated dose of 4.31 mg taxifolin) orally after 24-hours on a flavonoid-restricted diet. Taxifolin (both free and conjugated) was not detected in plasma prior to two hours post-dosing, and maximum concentrations were observed after eight hours, before dropping to a steady level until 14 hours post dosing (experiment end). The authors calculated a maximal plasma concentration (C_{max}) of approximately 33.34 ng per mL for taxifolin, with a time of maximal plasma concentration (T_{max}) of ~8.2 hours, and a terminal half-life ($T_{1/2}$) of ~8.89 hours based on the concentration of free taxifolin in the plasma samples. Based on this study, assuming linear absorption and a molecular weight of 304.25 g per mole, the C_{max} for taxifolin, when ingested at the 90th percentile estimated from use as described in this GRAS dossier (33.72 mg per day) is 260.8 ng per mL or 0.86 μ M.

In a subsequent multiple-dose study, five volunteers (four female, one male) received 200 mg Pycnogenol® (equivalent to 2.87 mg taxifolin) via tablet for five days to reach steady state conditions, after 24-hours on a flavonoid-restricted diet (Grimm et al., 2006). Plasma samples were obtained 4 hours after the final dose; however, at this dose level, plasma taxifolin levels were below the limit of quantitation (10 ng per mL) in all samples. The authors indicated that the delayed observation of taxifolin after a single dose, as well as the lack of steady-state levels in plasma following multiple doses, may be due to metabolic degradation. The authors noted that following oral ingestion, *Clostridium orbiscindens* in the gastrointestinal tract can degrade taxifolin to 3,4-dihydroxyphenylacetic acid and phloroglucan; however, neither of these metabolites were observed in the plasma samples.

In an additional study with Pycnogenol®, 33 patients with severe osteoarthritis scheduled for a knee arthroplasty were randomized to two groups; one receiving 200 mg per day Pycnogenol® (equivalent to 2.87 mg taxifolin) for three weeks (n=16) and the other receiving no treatment (n=17) prior to surgery (Mulek et al., 2017). All participants were asked to consume a polyphenol-free diet before sample collection and were provided guidance on which foods to avoid. Blood samples were collected before treatment and during or shortly before surgery, approximately 12 hours after the last dose of Pycnogenol®. Concentrations of taxifolin in serum, blood cells and synovial fluid of treated patients were 0.20 ± 0.12 ng per mL, 0.56 ± 0.19 ng per mL, and 0.21 ± 0.03 ng per mL, respectively, slightly above the level of quantification (0.06 ng per mL, 0.12 ng per mL, and 0.08 mg per mL, respectively). Taxifolin was also found in blood cells of control patients (0.39 \pm 0.16 ng per mL), but not serum or synovial fluid.

In 2003, Schoefer et al. investigated the anaerobic degradation of taxifolin by *C. orbiscindens*. When *C. orbiscindens* strain I2 cells were treated with 1 mM taxifolin, both 3,4-dihydroxyphenylacetic acid and alphitonin were observed. After treatment with 0.5 mM taxifolin, degradation to 3,4-dihydroxyphenylacetic acid was complete within five hours, while alphitonin was not detected. The authors concluded that *C. orbiscindens* might be as important as *Eubacterium ramulus* for flavonoid degradation in the human gastrointestinal tract (Schoefer et al., 2003).

In a study to evaluate the metabolism of taxifolin *in vivo*, twelve Sprague-Dawley rats were maintained in metabolic cages with *ad libitum* access to food and water and were divided into two groups following acclimation (Yang et al., 2016). Taxifolin was prepared in 0.5% analytical-grade sodium carboxymethyl cellulose (CMC-Na) solution and one group was dosed with the vehicle and the other with 200 mg per kg body weight of taxifolin, once daily for three days. During the dosing period, urine and feces were collected at 0 - 24 hours following the first and second dosing, in the treated and control groups, respectively. Following the last administration, blood samples were collected at 0.5, 1, and 1.5 hours from two rats per time point. There were 191 metabolites tentatively identified; of these, 154 were new metabolites, 69 were new compounds, and 32 were dimers. Seventeen metabolites were found to have various taxifolin-related bioactivities and the potential targets of taxifolin and 63 metabolites were predicted using PharmMapper, with results showing that more than 60 metabolites have the same five targets. These metabolites may exert the same pharmacological effects as taxifolin through an additive effect on the same drug targets. This observation indicated that taxifolin is bioactive not only in the parent form but also through its metabolites.

In a study to investigate the pharmacokinetics of plant phenolic compounds, rats were dosed i.v. with a single dose of DHQ at 1, 3, 10, and 30 mg per kg and with a single oral dose at 50 and 500 mg per kg (Voskoboinikova et al., 1993). Non-linear pharmacokinetic behavior was demonstrated following intravenous administration and after oral administration, DHQ was detected in only trace amounts in the plasma.

In vitro metabolism studies on taxifolin were conducted on human and rat hepatocytes in cell suspensions and primary cultures (Vacek et al., 2012). The major taxifolin metabolite was its sulfated conjugate and the methylated and dehydroxylated metabolites were also observed in human hepatocytes. Methylated and glucuronide conjugates were also observed in rat hepatocytes.

Blue California has reviewed these ADME studies conducted both in humans and animals and concludes that they do not raise any concerns about the safety of DHQ when used at the proposed levels.

9. Properties of Dihydroquercetin

As the biological action or mechanisms of action of an ingredient may reveal potential safety related concerns, a summary of the studies on the biological activity of DHQ that are pertinent for safety is presented here.

a. Estrogenic/Antiandrogenic Activity

The estrogenic potential of taxifolin was investigated with several other phytoestrogens using an in vitro assay that measured the transcriptional activation of the estrogen receptor (ER) in the BG1Luc4E2 cell line and an *in vivo* mouse uterotrophic bioassay in female CD-1 mice (Jefferson et al., 2002). For the *in vivo* assay, groups of 10 weanling mice were injected subcutaneously with various doses of taxifolin or other phytochemicals (0.1, 1, 10, 100, 1,000, 10,000, 100,000, or 500,000 µg per kg bw per day), corn oil (negative control), or varying doses of diethylstilbestrol or 17β-estradiol (positive controls) for three consecutive days. On the fourth day, animals were euthanized, and the uterus excised for examination. The positive controls gave the expected results in all assays. Taxifolin was the only phytochemical tested that did not induce transcriptional activation of the ER in the BG1Luc4E2 cell line at concentrations up to 10 mg per mL. In the mice, there was no effect of any dose of taxifolin tested on uterine wet weight or epithelial cell production of the estrogen responsive protein lactoferrin. The authors mentioned that taxifolin exhibited an increase in epithelial cell height at 1 µg per kg bw per day, but not at higher doses; however, data presented in Figure 3 of the study indicate that this result is for naringenin, rather than taxifolin. As shown in the study, taxifolin did not affect this endpoint at any concentration. Although taxifolin did not increase uterine wet weight, uterine gland numbers were slightly increased at a taxifolin dose of 500,000 µg per kg bw per day (500 mg per kg bw per day) compared to controls. It is unknown whether the result for uterine gland numbers was significantly different from control because the data for this endpoint were not analyzed statistically. The authors concluded that taxifolin appeared to be one of the least potent phytoestrogens tested in this study.

Liu et al. (2018) screened a panel of phytoestrogens for their role in estrogen receptor alpha (ER α) binding and transcriptional transcription and correlated the findings to anti-inflammatory activities in vascular endothelial cells stably expressing either a wild-type or mutant form of ER α deficient in its membrane association. Taxifolin was a "high binder" for ER α ligand binding, but was not a "high activator." The investigators also found that in endothelial cells expressing wild-type ER α , the ER α "high activator," but not the ER α "high binder," promoted ER α nuclear translocation, estrogen response element reporter activity, and downstream gene expression. Further, only the ER α "high activator" inhibited nuclear translocation of nuclear factor KB, JNK, and p38, and the production of inflammatory cytokines IL-1 β and TNF α . The results indicate that although taxifolin binds to the ER α receptor, it does not induce transcriptional activation of the ER, similar to the results of Jefferson (2002).

Taxifolin has been tested for antiandrogenic activity in a number of different assays in rat Leydig and human testes (Ge et al., 2018). Taxifolin (100 μ M) significantly suppressed basal androgen production or androgen production stimulated by luteinizing hormone, 8-bromoadenosine 3′,5′-cyclic monophosphate, pregnenolone or progesterone basal in rat Leydig cells. Further study demonstrated that taxifolin inhibited rat 3 β -hydroxysteroid dehydrogenase and 17 α -hydroxylase/17, 20-lyase (enzymes involved in androgen synthesis) with half maximal inhibitory concentration (IC50) values of 14.55 ± 0.013 and 16.75 ± 0.011 μ M (respectively) and no effect at approximately 1 μ M. Taxifolin also inhibited these two enzyme activities in human testis with IC50 values of approximately 100 μ M. As mentioned in the ADME section above, the maximum concentration of DHQ that could be present in plasma after consumption of DHQ at the 90th percentile as indicated in this GRAS dossier (approximately 260.84 ng per mL or 0.86 μ M) is lower than the inhibitory concentrations for the assays examined in this study.

b. Antioxidant

Weidmann (2012) conducted a review on the properties of DHQ and noted that based on the presence of two of the three criteria for effective radical scavenging ability, the presence of the odihydroxy structure in the B ring which confers stability, and the 5- and 7-OH (hydroxyl) groups with 4-oxo function in the A and C rings which give the maximum radical scavenging potential, DHQ is classified as an antioxidant.

Several publications examined the ability of DHQ to act as an antioxidant *in vitro* and *in vivo*. Results of the *in vitro* studies are mentioned here because they support the hypothesis that DHQ may act as an antioxidant in the foods that DHQ will be added to per this GRAS dossier. DHQ is an effective antioxidant in vegetable oils, animal fat, milk powder, fat-containing pastry, and packaged veal (Dragoev et al., 2014).

DHQ was evaluated for the ability to act as a hydroxyl radical (•OH) scavenger in bone marrow-derived mesenchymal stem cells (Li, 2017). The study employed a variety of antioxidant assays, and the results showed that DHQ could act as an effective •OH scavenger via direct and indirect antioxidant effects.

The antioxidant and antiradical activities of taxifolin were investigated in another study by using different *in vitro* bioanalytical antioxidant methods including N,N-dimethyl-p-phenylene diamine radical cation (DMPD•+), 2,2'-Azino-bis(3-Ethylbenzothiazoline-6-Sulfonic Acid radical cation (ABTS•+), superoxide anion radical (O₂•-), and 2,2-diphenyl-1-picrylhydrazyl radical (DPPH•) scavenging effects, the total antioxidant influence, reducing capabilities, and ferrous iron (Fe²⁺) chelating activities (Topal, 2015). Taxifolin demonstrated 81.02% inhibition of linoleic acid emulsion peroxidation at 30 μg per mL and demonstrated effective DMPD•+, ABTS•+, O₂•-, and DPPH• -scavenging effects, reducing capabilities, and Fe²⁺ chelating effects.

In another study, the *in vitro* antioxidant effects of taxifolin were studied in several assays, including a DPPH• scavenging assay, ABTS• scavenging assay, ferric reducing antioxidant

property (FRAP) assay, and •OH scavenging capacity (Manigandan et al., 2015). The activities of DPPH•, ABTS, FRAP, and •OH levels were significantly inhibited by taxifolin with IC₅₀ values of 16.48, 66.34, 18.17, and 11.42 μg per mL, respectively.

There are indications that some flavonoids may have prooxidant effects (Metodiewa et al., 1999; Yang et al., 2012; Schmalhausen et al., 2007; Choi et al., 2003; Chobot et al., 2016). (Chobot et al., 2016) conducted an *in vitro* deoxyribose degradation assay to assess the pro- and antioxidant activity of three flavan type flavonoids, including taxifolin. The authors concluded that taxifolin demonstrated no prooxidant activity within the tested concentrations. The highest dose tested was $500 \, \mu M$.

c. Effect on Drug Metabolizing Enzymes

Taxifolin is an inducer of some cytochrome P450 (CYP) isozymes *in vitro*. Jin et al. (2018) found that taxifolin induced CYP1A1 and UGT1A1 in Caco2 cells in a dose-dependent manner, with 50 and 100 μ M (but not 10 μ M) causing statistically significant increases in mRNA for these enzymes. Taxifolin did not have the same effect in K01 or K02 cells, which lack the aryl hydrocarbon receptor (AhR). As discussed in the ADME section above, the maximum concentration of DHQ that could be present in plasma after consumption of DHQ at the 90th percentile as indicated in this GRAS dossier (approximately 260.84 ng per mL or 0.86 μ M) is considerably lower than the concentration that induced CYP1A1 and UGT1A1 in Caco2 cells.

10. Summary

Blue California's DHQ product is manufactured with suitable food-grade materials and analyzed using HPLC to prepare a method verification report. Analysis of Blue California's DHQ product showed that it is substantially equivalent to Ametis JSC's DHQ material Lavitol®, which has been approved by EFSA as a novel food.

Acute, subacute, subchronic, chronic, and reproductive and developmental animal studies were reviewed, and all showed that DHQ is well tolerated in laboratory animal models. There is a substantial amount of published literature that supports the safety of DHQ in human subjects. A number of ADME studies in both humans and animals were also reviewed. In December of 2016, and again in late 2017 for additional groups not evaluated in the first review, EFSA reviewed a novel food application for a taxifolin-rich extract for Ametis JCS and concluded that the extract would be safe under the proposed conditions of use.

B. GRAS Criteria

FDA defines "safe" or "safety" as it applies to food ingredients as:

"...reasonable certainty in the minds of competent scientists that the substance is not harmful under the intended conditions of use."

Amplification is provided in that the conclusion of safety is to include probable consumption of the substance in question, the cumulative effect of the substance and appropriate safety factors. It is FDA's operational definition of safety that serves as the framework against which this evaluation is provided.

Furthermore, in discussing GRAS criteria, FDA notes that:

"...General recognition of safety requires common knowledge, throughout the expert scientific community knowledgeable about the safety of substances directly or indirectly added to food, that there is reasonable certainty that the substance is not harmful under the conditions of its intended use."

"Common knowledge' can be based on either "scientific procedures" or on experience based on common use of a substance in food prior to January 1, 1958." 9

FDA discusses in more detail what is meant by the requirement of general knowledge and acceptance of pertinent information within the scientific community, i.e., the so-called "common knowledge element," in terms of the two following component elements:¹⁰

- Data and information relied upon to establish safety must be generally available, and this is most commonly established by utilizing published, peer-reviewed scientific journals; and
- There must be a basis to conclude that there is consensus (but not unanimity) among
 qualified scientists about the safety of the substance for its intended use, and this is
 established by relying upon secondary scientific literature such as published review
 articles, textbooks, or compendia, or by obtaining opinions of expert panels or opinions
 from authoritative bodies, such as JECFA and the National Academy of Sciences.

General recognition of safety based upon scientific procedures shall require the same quantity and quality of scientific evidence as is required to obtain approval of a food additive. General recognition of safety through scientific procedures shall be based upon the application of generally available and accepted scientific data, information, or methods, which ordinarily are

⁸ See 21 CFR 170.3 (e)(i) and 81 FR 54959 Available at: https://www.federalregister.gov/documents/2016/08/17/2016-19164/substances-generally-recognized-as-safe (Accessed on 4/15/17).

⁹ See 81 FR 54959 Available at: https://www.federalregister.gov/documents/2016/08/17/2016-19164/substances-generally-recognized-as-safe (Accessed on 4/15/17).

¹⁰ See Footnote 1.

published, as well as the application of scientific principles, and may be corroborated by the application of unpublished scientific data, information, or methods.

The apparent imprecision of the terms "appreciable," "at the time," and "reasonable certainty" demonstrates that the FDA recognizes the impossibility of providing absolute safety in this or any other area (Lu, 1988; Renwick, 1990; Rulis and Levitt, 2009).

As noted below, this safety assessment to ascertain GRAS status for DHQ for the specified food uses meets FDA criteria for reasonable certainty of no harm by considering both the technical and common knowledge elements.

C. Expert Panel Findings on Safety of BC-DHQ™

An evaluation of the safety and GRAS status of the intended use of Blue California's BC-DHQTM high purity dihydroquercetin preparation has been conducted by an Expert Panel convened by GRAS Associates; the Panel consisted of Kara Lewis, Ph.D. as Panel Chair; Margitta Dziwenka, DVM, DABT; and Stanley Omaye, Ph.D. The Expert Panel reviewed Blue California's dossier as well as other publicly available information available to them. The individuals who served as Expert Panelists are qualified to evaluate the safety of foods and food ingredients by merit of scientific training and experience.

The GRAS Expert Panel report is provided in Appendix 6.

D. Common Knowledge Elements for GRAS Conclusions

The first common knowledge element for a GRAS conclusion requires that data and information relied upon to establish safety must be generally available; this is most commonly established by utilizing studies published in peer-reviewed scientific journals. The second common knowledge element for a GRAS conclusion requires that consensus exists within the broader scientific community.

1. Public Availability of Scientific Information

The majority of studies reviewed on DHQ have been published in the scientific literature; however, the Ametis JSC novel food dossier submitted to EFSA contained a number of unpublished studies or published studies with no English translation. EFSA published a critical evaluation on a taxifolin-rich extract from Dahurian Larch (~90% DHQ) in December 2016 and concluded that it is safe for use as a food supplement (EFSA et al., 2016). EFSA also released a second statement in late 2017 on the safety of the same extract, but this time considered all population groups, which was implemented as Commission Regulation (EU) 2018/461. Relevant toxicity studies, ADME studies, and a number of clinical studies found in the published literature support the conclusion that DHQ is well-tolerated in humans.

2. Scientific Consensus

The second common knowledge element for a GRAS conclusion requires that there be a basis for concluding that consensus exists among qualified scientists about the safety of the substance for its intended use. Blue California intends to use its DHQ preparation as an ingredient in a limited number of human food categories.

EFSA reviewed the body of data available on DHQ in December 2016, and again in late 2017, and concluded that the taxifolin-rich extract (~90% DHQ) was safe as a novel food under the intended conditions of use proposed by Ametis JSC. The proposed use was as an ingredient in non-alcoholic beverages, fermented milk and dairy products, and chocolate and as a dietary supplement at 100 mg DHQ per day.

Blue California's proposed levels of use for DHQ range from 0.02 g per L in non-alcoholic beverages and flavored fermented milk and dairy products to 0.07 g per kg in chocolate products. The intended uses proposed by Blue California result in estimated DHQ exposure of 33.72 mg per day (90th percentile) for adults, which are much lower than those reviewed by EFSA.

The relevant animal toxicity, ADME, reproductive and/or developmental toxicity, genotoxicity and mutagenicity studies, in addition to the human clinical studies, support the conclusion that the intended levels of use do not raise any safety concerns.

Blue California maintains that well-qualified scientists would conclude that DHQ is generally recognized as safe for use in food given the regulatory and safety data available.

E. Conclusion

The ingestion of Blue California's DHQ preparation from the intended uses of 0.02 g per L in non-alcoholic beverages, 0.02 g per kg in flavored fermented milk and dairy products, and 0.07 g per kg in chocolate products results in intakes that are safe within the limits of established historical use of 100 mg per day (EFSA, 2017; Turck et al., 2017) and published safety studies in animals with NOAELs in subchronic toxicity studies up to 1,500 mg per kg bw per day.

In consideration of the aggregate safety information available on DHQ, Blue California concludes that the DHQ preparation defined in this GRAS Assessment, and produced under Current Good Manufacturing Practices with food grade materials and processing aids, is safe for use as an ingredient in foods other than infant formulas and meat and poultry products, and is generally recognized as safe (GRAS) within the meaning of the Food, Drug, and Cosmetic Act.

This declaration has been made in accordance with FDA's standard for food ingredient safety, i.e., reasonable certainty of no harm under the intended conditions of use.

Blue California 2/11/20

PART 7. LIST OF SUPPORTING DATA AND INFORMATION IN THE GRAS NOTICE.

A. Acronyms and References

1. List of Acronyms

¹H-NMR Proton nuclear magnetic resonance

μM Micromolar

ACE Angiotensin-converting enzyme

ADME Absorption, Distribution, Metabolism, and Excretion

AhR Aryl hydrocarbon receptor

AOAC Association of Official Analytical Chemists

bw Body weight

CFR Code of Federal Regulations

cfu Colony forming unit

CGMP Current Good Manufacturing Practice

C_{max} Maximal plasma concentration

COSY 2D NMR ¹H-¹H Correlation spectroscopy 2-dimensional nuclear magnetic resonance

CVI Chronic venous insufficiency

CYP Cytochrome p450

DDT Dichlorodiphenyltricholorethane

DHQ Dihydroquercetin

DNA Deoxyribonucleic acid

DOPA 3,4-Dihydroxyphenylalanine

EDIs Estimated Daily Intakes

EFSA European Food Safety Authority

ER Estrogen receptor
F3H flavanone 3β-hydroxylase
FD&C Food, Drug, and Cosmetic Act
FOIA Freedom of Information Act

FSANZ Food Standards Australia New Zealand

GI Gastrointestinal

GLP Good laboratory practices
GRAS Generally Recognized as Safe

GRN GRAS Notice
HBA1c Glycated hemoglobin
HDL High density lipoprotein

HPLC High performance liquid chromatography
HUVE Human umbilical vein endothelian cells

i.v. Intravenous

IC₅₀ Half maximal inhibitory concentration

ICP-MS Inductively Coupled Plasma-Mass Spectrometry

IHD Ischemic heart disease

L Liter

LC Lethal concentration MDA Malondialdehyde

mL Milliliter

mRNA Messenger ribonucleic acid

NA Not applicable

NADPH Nicotinamide adenine dinucleotide phopshate

ND Not detected

NDA EFSA Panel on Dietetic Products, Nutrition and Allergies

ng Nanogram

NGS NADPH generating system

NIDDM Non insulin dependent diabetes mellitus

NOAEL No observed adverse effect level

NS Not specified

Blue California 2/11/20

OECD Organisation for Economic Co-operation and Development

ppm Parts per million

RACC Reference Amounts Customarily Consumed

RBC Red blood cell

SHR Spontaneously hypertensive

T_{1/2} Terminal half-life

tab Tablet

TBARs Thiobarbituric acid reactive substances
TIG-1 Cultured human lung embryonic fibroblasts
T_{max} Time of maximal plasma concentration
USDA United States Department of Agriculture

USP United States Pharmacopeia

v/v Volume per volume

yrs Years

2. References

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B. Appendices

Appendix 1 Determination of the Relative and Absolute Structural Configuration of Blue California's DHQ

Appendix 1.1 1H-NMR (Figure 1.1) and COSY (Figure 1.2) spectra confirmed the compound as trans-dihydroquercertin

Figure 1.1 1H-NMR spectrum of compound (500 MHz, DMSO-d4)

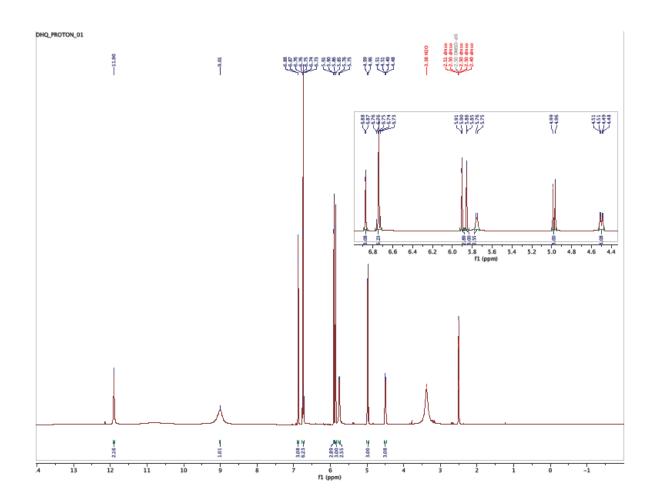
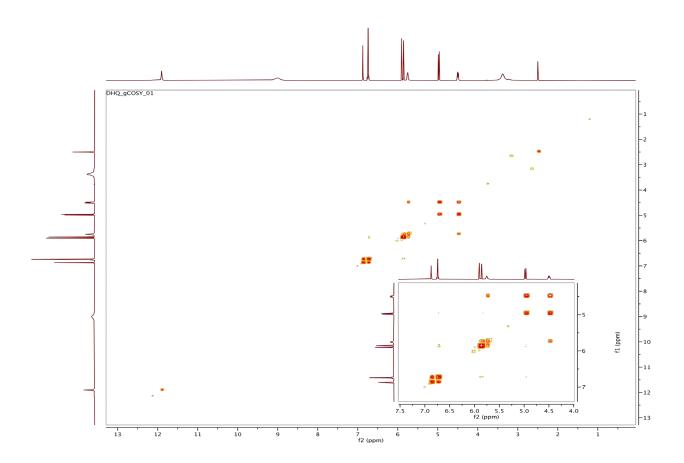
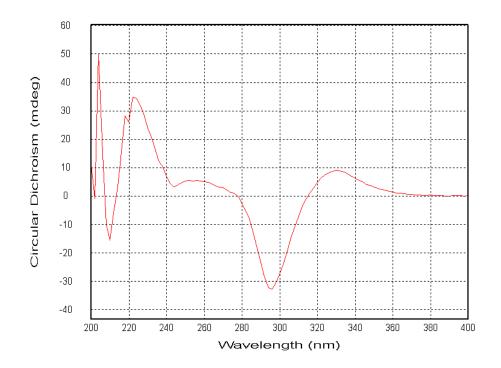


Figure 1.2 COSY spectrum of compound (500 MHz, DMSO-d4)



Appendix 1.2 Circular dichroism (CD) spectrum confirmed the compound as *2R,3R*-dihydroquercetin

Figure 1.3 Circular dichroism (CD) spectrum of compound



Appendix 2 Specifications and Certificates of Analyses for Production **Processing Aids**

Appendix 2.1 **Glycerol**



Appendix 2.2 Yeast Peptone

Test Report

Check (Trade) Word No. 2016-SP13935

Product Name: Angel Yeast (yeast extract)

Specifications and Model: Powder

Unit Being Tested: Angel Yeast (Liuzhou) Co., Ltd.

Test Category: Commissioned inspection

Three Gorges Center for Food and Drug Control

Three Gorges Center for Food and Drug Control Test Report

Check (Trade) Word No. 2016-SP13935

Page 1 out of 2

| oneck (made) w | ord No. 2016-SP13935 | | Page 1 out of 2 | | |
|-----------------------|-----------------------------|---------------------------------|---|--|--|
| Product Name | Angel Yeast (yeast extract) | Specifications and Model | Powder | | |
| Sample Grade | N/A | Trademark | Angel | | |
| Unit Being Tested | Angel Yeast Co., Ltd. | Address of Unit Being Tested | N/A | | |
| Trust Unit Name | Angel Yeast Co., Ltd. | Test Category | Commissioned inspection | | |
| Manufacturer | Angel Yeast Co., Ltd. | Production Date / Lot Number | 201611230288 | | |
| Sampling Personnel | N/A | Commissioned By | Biying Luo | | |
| Sampling Site | N/A | Sampling Date | N/A | | |
| Sample Quantity | 500g * 2 | Sent Date | 12/21/2016 | | |
| Sample Batch | N/A | Test Date | 12/22/2016 - 01/19/2017 | | |
| Test Items | See attached pages | Sample Description | Normal, meet inspection requirement | | |
| Test Standard(s) | Q/YB 21875-2015 | | • | | |
| Test Conclusion | The sample meets the re | quirements of Q/YB 21875-2 | 015. | | |
| | (Stamp) | | | | |
| | | Date of Issue: 0 | 1/20/2017 | | |
| Remarks | N/A | | | | |

Approver: Ailing Luo Examiner: Suyuan Li Major Tester: Dinghuan Zhao

Three Gorges Center for Food and Drug Control Test Result

Check (Trade) Word No. 2016-SP13935

Page 2 out of 2

| CHEC | k (Trade) word | INO. 2016-5P1393 | | | | rage 2 out or |
|------|--|--------------------------|-------|---|-------------------------------------|---------------|
| No. | Test Items | | Unit | Specification | Test Results | Evaluation |
| 1 | Color | | N/A | Light yellow to light brown | Yellow | Pass |
| 2 | Smell | | N/A | Odor that yeast peptone should be | No strange smell | Pass |
| 3 | Exterior | | N/A | Powder or paste | Powder | Pass |
| 4 | Impurities | | N/A | No visible foreign impurities | No visible foreign impurities | Pass |
| 5 | Total Nitrogen (measured on dry basis) | | 96 | >= 8.0 | 11.8 | Pass |
| 6 | Amino Nitrog on dry basis) | en (measured | 96 | >= 1.5 | 3.3 | Pass |
| 7 | Moisture | | 96 | <= 6.0 | 3.8 | Pass |
| 8 | Ash | | 96 | <= 15.0 | 9.0 | Pass |
| 9 | NaCl | | 96 | <= 2.0 | 0.5 | Pass |
| 10 | pН | | N/A | 5.3 – 7.2 | 5.8 | Pass |
| 11 | Pb | | mg/kg | <= 2 | < 0.1 | Pass |
| 12 | Total As | | mg/kg | <= 2 | 0.13 | Pass |
| 13 | Total number | r of colonies | cfu/g | <= 50000 | 4200 | Pass |
| 14 | Coliforms | | MON/g | <= 0.3 | < 0.3 | Pass |
| 15 | Pathogens | Staphylococcus aureus | /25g | Cannot have any | Not detected any, /25g | Pass |
| | | Salmonella | /25g | Cannot have any | Not detected any, /25g | Pass |

Blank Below

Appendix 2.3 Ferrous Sulfate



Test Report

No: H2017WTS0156



Anti-counterfeiting code: 0XR64R

| Product name | Ferrous sulfate |
|-------------------|--|
| Unit being tested | = |
| Manufacturer | Jiangsu Kolod Food Ingredients Co., Ltd. |
| Entrusting Unit | Jiangsu Kolod Food Ingredients Co., Ltd. |
| Test Kind | Consigned Inspection |

The Center of Lianyungang Product Quality Supervision and Inspection

The Center of Lianyungang Product Quality Supervision and Inspection

Test Report

No: H2017WTS0156 Page 1 of 2 pages

| | | | Trademark | Kolod | |
|--|--|--|--|---------------------|--|
| Product name | Ferrous sulfate | | Trademark | 110100 | |
| 1 Toddet Haine | 1011043 | Juliuco | (nominal) | - | |
| Manufacturer | | Jiangsu Ko | olod Food Ingredier | nts Co., Ltd. | |
| Entrusting | Jiangsu | | | Ltd./ South Side of | |
| Unit/Address/Tel./ | | | | one, Guanyun County | |
| Postcode | | | 518-85110538/2220 | | |
| Unit being tested | | | - | | |
| Test Kind | Cons: Inspe | • * | Sample No. | H2017WTS0156 | |
| Quantity of Sample | 100 | 0 g | Sample Grade | - | |
| Date of Test | February 13, 2017 to February 27, 2017 | | Producing Date/Batch No. | -\- | |
| Status of Samples | The sample has met the testing requirements | | Date of Delivery | February 10, 2017 | |
| Status of Sealed Sample | - | - | Sealed Sample Examined by | Li Zhenzhen | |
| Place of Test | The Cent | er of Lianyungang Product Quality Supervision an Inspection | | | |
| Test Basis | GB 292 | | National Food Safety Standard for Food Idditive Ferrous Sulfate | | |
| Test Conclusion | Upon testing, the sample has met the standard requirer specified in GB 29211-2012 and the test conclusion qualified. | | | | |
| Notes | - | | | | |
| Chiefly tested by: Lin Zexin Reviewed by: Gu Tiantian Approved by: Wang Lin* | | (Seal of Inspection Unit) (Special Seal of Inspection of the Center of Lianyungang Product Quality Supervision and Inspection (2)) Issued on: February 27, 2017 | | | |

Test Result

No.: H2017WTS0156 Page 2 of 2 pages

| Serial No. | Test Items | | Unit | Technical Requirements | Test Results | Individu al Judge |
|---------------|--|---------|-------|---------------------------|---|----------------------|
| 1 | Sensory | Color | - | Grey or blue green | Blue green | Qualified |
| 1 | Requirements | Texture | - | Granular crystals | Granular crystals | Qualified |
| 2 | Ferrous sulfate (measuring in FeSO ₄ · 7H ₂ O), w% | | - | 99.5-104.5 | 99.8 | Qualified |
| 3 | Pb | | mg/kg | ≤2 | <2 | Qualified |
| 4 | Hg | | mg/kg | ≤1 | Undetected (detection limit: 0.002mg/kg) | Qualified |
| 5 | As | | mg/kg | €3 | <3 | Qualified |
| Notes | | | | - | | |

Appendix 2.4 Disodium Phosphate



Test Report

No: H2017WTS0164



Anti-counterfeiting code: 8L00LN

| Product name | Food additive disodium hydrogen phosphate (anhydrous) |
|------------------|---|
| Unitbeing tested | 8 |
| Manufacturer | Jiangsu Kolod Food Ingredients Co., Ltd. |
| Entrusting Unit | Jiangsu Kolod Food Ingredients Co., Ltd. |
| Test Kind | Consigned Inspection |

The Center of Lianyungang Product Quality Supervision and Inspection

The Center of Lianyungang Product Quality Supervision and Inspection

Test Report

Page 1 of 2 pages No: H2017WTS0164

| | Food additive | disodium | Trademark | Kolod | |
|--------------------------------|--|--|------------------------------|--------------------|--|
| Product name | hydrogen pl | • | Trademark | | |
| | (anhydr | | (nominal) | - | |
| Manufacturer | | | Food Ingredients C | - | |
| Entrusting | Jiangsu Kolod | Food Ingre | edients Co., Ltd./Sc | outh Side of Weier | |
| Unit/Address/Tel./ | Road, Econon | nic Develop | ment Zone, Guany | un County /0518- | |
| Postcode | | 851 | 10538/222000 | | |
| Unitbeing tested | | | - | | |
| Test Kind | Consigned Ir | nspection | Sample No. | H2017WTS016 4 | |
| Quantity of Sample | 100 g | | Sample Grade | - | |
| Date of Test | February 13, 2017 to | | Producing | -\- | |
| Date of Test | February 1: | 5, 2017 | Date/Batch No. | ' | |
| Status of Samples | The sample has met the | | Date of | February 10, | |
| Status of Samples | testing requi | irements | Delivery | 2017 | |
| Status of Sealed Sample | - | | Sealed Sample Examined by | Li Zhenzhen | |
| Place of Test | The Center of | of Lianyungang Product Quality Supervision and Inspection | | | |
| Test Desig | GB 25568-2 | 2010 National Food Safety Standard for Food | | | |
| Test Basis | Add | dditive Disodium Hydrogen Phosphate | | | |
| | Upon testing, the sample has met the standard requirements | | | | |
| Test Conclusion | specified in GB 25568-2010 and the test conclusion is | | | | |
| | | | qualified. | | |
| Notes | | | - | | |
| | | _ | f Inspection Unit) (| - | |
| Chiefly tested by:Wang Yisheng | | _ | tion of the Center o | | |
| Reviewed by: Gu Ti | | Product Quality Supervision and Inspection | | | |
| Approved by: Wang | Lin* | (2)) | | | |
| | | Is | sued on: February | 21, 2017 | |

Test Result

No: H2017WTS0164 Page 2 of 2 pages

| Serial No. | Test Items | | Unit | Technical Requirements | Test Results | Individual Judge |
|---------------|--|---------|-------|---------------------------|-----------------|---------------------|
| , | Sensory | Color | - | White | White | Qualified |
| 1 | Requirements | Texture | - | Powder | Powder | Qualified |
| 2 | Disodium hydrogen phosphate (Na ₂ HPO ₄ , measuring in a dry basis), w% | | - | ≥98.0 | 98.5 | Qualified |
| 3 | As | | mg/kg | €3 | <3 | Qualified |
| 4 | Heavy metal (measuring in Pb) | | mg/kg | ≤10 | <10 | Qualified |
| 5 | Pb | | mg/kg | ≪4 | <4 | Qualified |
| 6 | Fluoride (measuring in F) | | mg/kg | ≤50 | 5 | Qualified |
| 7 | Insoluble substance, w/% | | - | €0.2 | Undetected | Qualified |
| 8 | Loss on drying (Na ₂ HPO ₄), w% | | - | €5.0 | 0.3 | Qualified |
| Notes | - | | | | | |

Appendix 2.5

Phosphoric Acid





Inspection and Test Report

No.(2017) HGWJ0153

Applicant: Sample Name 85% industrial phosphoric acid

Applicant: Jiangsu ChengXing Phosph-Chemicals Co.,Ltd.

Inspection & Test Category: Consigned Inspection

Jiangyin Product Quality Supervision and Testing Institute



Inspection and Testing Report

No.(2017) HGWJ0153

Page 1 of 1

Name of Applicant

Jiangsu ChengXing Phosph-Chemicals Co. Ltd.

Address of Applicant

618 Meiyuan Avenue, Jiangyin City

Information Manufacturer of Jiangsu ChengXing Phosph-Chemicals Co.Ltd.\ 618 Meryuan Avenue, Jiangvin City (The sample information is provided by the entrusting party and thus the entrusting party shall be responsible for the authenticity of such information.)

The following sample information is provided and confirmed by the entrusting party.

Sample Name

\$5% industrial phosphoric acid

Quantity of Sample

500ml

Sample description

Batch No.: 17020702 Sample Grade: First-rated

Date of Production: February 7, 2017 product

Method

Delivery Date

of Delivered by Entrusting Party February 24, 2017

Test Date

February 17, 2017 to March 16, 2017

Test

Basis of Inspection and GB/T 2091-2008 Industrial Phosphoric Acid

Conclusions Inspection and Test Upon testing, the sample has met the standard requirements specified in GB/T 2091-2008 Industrial

Phosphoric Acid.

Notes

Department

Reviewed Prepared Approved by: Wang Lu Yeqing by: Li Juan Wang Wenjie Deputy Lu Yeging Li Juan head of the Chemistry and Building Materials

Essued on: March 16, 2017

Special Seal of Inspection: and Testing of Jiangvin Product Quality Supervision and Testing

Institute)



Inspection and Test Results

No. (2017) HGWJ0153

Page 2 of 2

| Serial No. | | Test Item | Unit | Technical Requirements | Test Results | Individua Judge |
|---------------|---|---------------------------------|-------------|--|--------------|--------------------|
| 1 | Appearance | | 0 | Colorless and transparent or light colored viscous liquid | Qualified | Qualified |
| 2 | Chroma | | Hei Zeng | ≤20 | <20 | Qualified |
| 3 | Phosphoric acid (H ₃ PO ₄) | | % | ≥85.0 | 85.5 | Qualified |
| 4 | Chloride (measuring in CI) | | % | ≤0.0005 | <0.0005 | Qualified |
| 5 | Sulfate | (measuring in SO ₄) | % | ≤0.003 | < 0.003 | Qualified |
| 6 | | Fe | % | ≤0.002 | <0.002 | Qualified |
| 7 | As | | % | ≤0.0001 | <0.0001 | Qualified |
| 8 | Heavy metal (measuring in Pb) | | % | ≤0.001 | <0.001 | Qualified |
| No | otes | | | | | |

-Blank Below-

Eriodictyol Appendix 2.6

Nantong Haitian Biotech Co., Ltd Certificate Of Analysis

Product Name Lot No

Date Of Manufacturing

QC acceptance date QC Country Of Origin Original Manufacturer

Sterilization Status Package Size

ERIODICTYOL 98% 20170915-19 2017-08-02 750kg 2017-08-07 China

Nantong Haitian Biotech Co., Ltd. Treated by steam

15kg/drum

| ATTRIBUTES | SPECIFICATION | METHODS | RESULTS |
|-------------------|---------------------------|-----------|----------|
| Appearance | off-white Powder | CP2000 | Pass |
| Odor | Characteristic | OLFACTORY | Pass |
| Taste | Tasteless | GUSTATORY | Pass |
| Loss On drying | ≤5.0% | CP2000 | 0.1% |
| Heave Metals | ≤10PPM | CP2000 | Pass |
| Bulk density | 0.15-0.3g/ml | CP2000 | 0.16g/ml |
| Tap density | ≥0.2g/ml | CP2000 | 0.30g/m) |
| Particle Side | ≥95%through Mesh#80 Sieve | CP2000 | 96.3% |
| Ash | ≤10.0% | CP2000 | 0.16% |
| Assay | ≥98% | HPLC | 98.5% |
| Lead | ≤3PPM | ICP | <3PPM |
| Arsenic | ≤3PPM | ICP | <3PPM |
| Cadmium | ≤3PPM | ICP | <3PPM |
| Hg | ≤3PPM | ICP | <3PPM |
| Total Plate Count | ≤1000cfu/gm | AOAC | 50cfu/g |
| Total Coliform | ≤100cfu/gm | AOAC | none |
| Yeast And Molds | ≤100cfu/gm | AOAC | 15cfu/g |
| E.Coli. | NEGATIVE | AOAC | none |
| Salmonella | NEGATIVE | AOAC | none |

TESTED BY:

GU-DANTONG

DATE: 09-15-17

APPROVED BY:

DATE: 09-15-17

Appendix 2.7

Sodium Chloride

Test Report

(2015) Commission Checked No. 4

Product Name: Non-iodized refined salt

Specifications and Model: N/A

Trademark: N/A

Trust Unit: <u>Zhongyan Dongxing Yanhua Co., Ltd.</u> Manufacture: <u>Zhongyan Dongxing Yanhua Co., Ltd.</u>

Test Category: Commissioned inspection

QUALITY SUPERVISION INSPECTION CENTER OF NATIONAL LIGHT INDUSTRY WELL MINERAL SALT ADMINISTRATION

Description

- 1. Entrusted inspection is only responsible for the sample.
- 2. This Inspection Report is invalid if no official seal of the inspection unit.
- 3. The copy of this Inspection Report is invalid if no official seal of the re-stamped inspection unit.
- 4. Altered "Inspection Report" is invalid.
- 5. If there is any objection to the Inspection Report, please submit written opinions to the inspection unit within 15 days from the date of receipt of the Inspection Report, and shall be deemed to recognize the Inspection Report.
- 6. If no preparation, inspection, review, and approval of the signature, the Inspection Report is invalid.
- 7. If no objection to the Inspection Report within one month after receipt, the sample should be taken back, otherwise it will be dealt with in accordance with the relevant provisions.

Brief Introduction of Quality Supervision and Testing Center of National Light Industry Well Salt

The Center has passed the China National Accreditation Board for accreditation of Conformity Assessment Laboratory and Food Inspection Agency. The laboratory is in good condition and well equipped, mainly to carry out salt products, food, chemical products, food additives, and feed additives testing, but also bear the quality supervision and inspection, revision of national standards, industry standards and test methods of research, testing personnel technical training, and technical advice business.

Address: No. 11 Dongxing Temple, Zigong City, Sichuan Province

Zip code: 643000 Tel: (0813) 8104587 Fax: (0813) 8207279

QUALITY SUPERVISION INSPECTION CENTER OF NATIONAL LIGHT INDUSTRY WELL MINERAL SALT ADMINISTRATION Test Report

Page 3 out of 4

| | | | Page 3 out of 4 |
|------------------------------------|--|-----------------------------|-------------------------|
| Product Name | Non-iodized refined salt | Trademark | N/A |
| Trust Unit | Zhongyan Dongxing Yanhua Co., Ltd. | Specifications and Model | N/A |
| Address | Dindyuan Salt Mine, Dingyuan County, Chuzhou City, Anhui Province | Sampling Batch | 80t |
| Zip Code | N/A | Sample Amount | 500g |
| Product Unit | Zhongyan Dongxing Yanhua Co., Ltd. | Sample Grade | N/A |
| Sampling Date and Site | N/A | Sent Date | 01/07/2015 |
| Production Date / Lot Number | 2015.01.05 | Sent By | Sufang Chen |
| Test Date | 01/13/2015 | Test Category | Commissioned inspection |
| Test Standard(s) | GB5461-2000 GB/T5009.15-2003 GB/T5009.17-2003 | Environment | 11°C |
| Sample Reception Description | Mailed, plastic bag packaging, packaging intact, the sample is white granular solid. | | |
| Test Conclusion | Based on GB 5461-2000 and GB2762-2012, the sample meets the requirement of non-iodized refined edible salt excellent grade. (Stamp) | | |
| | | | |
| | Date of Issue: 01/20/2015 | | |
| Remarks | All information related to the sample, except the inspection result, is provided by the client, who is responsible for the authenticity of the information provided. | | |

Approver: Wenjie Lei Examiner: Shuying Fu Major Tester: Qian Tan

Prepared by: Zhiyong Chen

QUALITY SUPERVISION INSPECTION CENTER OF NATIONAL LIGHT INDUSTRY WELL MINERAL SALT ADMINISTRATION Test Report

Page 3 out of 4

| | | | Page 3 out of 4 |
|---|-----------------------|-----------------------|-----------------|
| Test Items | Specification | Test Results | Evaluation |
| Level of whiteness, degree | >= 80 | 88 | Pass |
| Granularity (0.15 – 0.85) mm, % | >= 85 | 99 | Pass |
| NaCl, % | >= 99.10 | 99.45 | Pass |
| Moisture, % | <= 0.30 | < 0.01 | Pass |
| Water-insoluble, % | <= 0.05 | < 0.01 | Pass |
| As, mg/kg | <= 0.5 | < 0.5 | Pass |
| Pb, mg/kg | <= 2.0 | < 2.0 | Pass |
| Cd, mg/kg | <= 0.5 | < 0.005 | Pass |
| Total Hg, mg/kg | <= 0.1 | < 0.025 | Pass |
| Ba, mg/kg | <= 15.0 | < 15.0 | Pass |
| [Fe(CN) ₆]⁴-, mg/kg | <= 10.0 | 4.8 | Pass |
| I, mg/kg | < 5 | 0.1 | Pass |
| Sensation: white, taste salty, no strange smell, no obvious foreign substance that is not related to salt. | Meet the requirements | Meet the requirements | Pass |

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Appendix 2.8 Methanol

CCIC JIANGSU CO., LTD

Certification #(No.): 320816090024 – 1Q Date: October 12, 2016

Quality Certification (Cabin)

Item Name: Methanol (in bulk)

Weight: -9,584.530- metric tons (4750.000 metric tons and 4,834.530 metric tons) (bill of lading)

Transportation tool: "VISINO ENERGY 1" Ship

Loading Berth: 1P/S, 2P/S, 3P/S, 4P/S, 5P/S, 6P/S & SLOP-P/S

Transit: from MIDDLE EAST to China Taicang

Inspection location: Taicang Power Shell Petrochemical Co. LTD

Inspection Date: October 10, 2016 to October 12, 2016

Contract #: HI/1608/6421

BL #: SEV1605-01& SEV1605-02

Inspection Results:

According to GB/T 6680-2003 Standards, our company inspector took samples from the items before unloading and did tests. Results are shown as below:

| Inspected item | Inspection Method | Inspection Results |
|---|---------------------|-------------------------------------|
| Specific gravity (20/20, °C) | ASTM D4052-15 | 0.7927 |
| Color intensity (Pt-Co) | ASTM D1209-05(2011) | <5 |
| Acidity (acetic acid)/(mg/kg) | ASTM D1613-06 (2012 | 12 |
| Potassium permanganate test (15°C)/min | ASTM D1363-06 (2011 | >60 |
| Acetone (mg/kg) | IMPCA 001-14 | <30 |
| Sulfuric acid scrubbing color intensity (Pt-Co) | ASTM E346-08#1 | 20 |
| Water miscibility test | GB/ T 6324.1-2004 | Pass (1+3) |
| Water content (mass fraction)/% | ASTRM E1064-16 | 0.018 |
| Distillation range (0°C, 760mmHg), °C | ASTM D1078-11 | |
| Initial boiling point, °C | | 64.5 |
| Dry point, °C | | 64.7 |
| Purity(dry basis) (mass fraction)/% | IMPCA 001-14 | 99.98 |
| Ethanol/ (mg/kg) | IMPCA 001-14 | <5 |
| Chlorinity/ (mg/kg) | SN/T 2994-2011 | 0.069 |
| Sulfur content / (mg/kg) | ASTM D5453-16 | <0.5 |
| Iron content / (mg/kg) | ASTM E394-15 | < 0.01 |
| Non-volatile matter / (mg/100mL) | ASTM D1353-13 | 0.1 |
| Exterior condition | IMPCA 003-98 | Transparent, no mechanical impurity |
| Aromatic hydrocarbon / (mg/kg) | GC.FID | <0.20 |
| | END * | |

Based on our knowledge, we have tried our best to finish the above tests. Issuance of this certification does not imply the exemption of responsibility from the round turn and others beneficial partners.

Industrial and Commercial Registration #: 320191000002448

Appendix 2.9 Ethanol

ETHYL ALCOHOL

Certificate of analysis

| | Qua | lity | | |
|---------------------------------------|--|--------------------|---------------------------|-----------|
| Item | Guaranteed reagent (GR) | Standard grade | Result | |
| Color | Colorle transp | | Colorless and transparent | Qualified |
| Odor | Characteristic | No foreign odor | No foreign odor | Qualified |
| Taste | Pure | Purer | Purer | Qualified |
| Colorimetric reading | ≤1 | 0 | 8 | Qualified |
| Ethanol (% Vol) | ≥95.5 | ≥95.0 | 95.0 | Qualified |
| Sulphuric acid color index | ≤10 | ≤60 | 50 | Qualified |
| Oxidation min | ≥30 | ≥20 | 25 | Qualified |
| Acetaldehyde (mg/L) | ≤2 | ≤30 | 20 | Qualified |
| Methanol (mg/L) | ≤50 | ≤150 | 115 | Qualified |
| 1-propanol (mg/L) | ≤15 | ≤100 | 70 | Qualified |
| Isobutanol and isoamyl alcohol (mg/L) | ≤2 | ≤30 | 25 | Qualified |
| Acid (Acetic acid) (mg/L) | ≤10 | ≤20 | 16 | Qualified |
| Cyanide (HCN) (mg/L) | ≤ <u>'</u> | 5 | 3 | Qualified |
| Conclusion | The product is qualified according to GB10343-2008 standard Date: 2016.3.13 (YYYY.MM. DD) | | | |

Inspector: Ling, Fen and Zhang, Shiyu Auditor: Li, Hongming

Appendix 2.10 Ion-Exchange Resin



July 20, 2018

FOOD GRADE STATEMENT

BLUE CALIFORNIA hereby certifies that all the processing aids and the following materials used in the manufacturing process of BC-DHQ TM Dihydroquercetin 95% are food grade materials.

- 1. 0.22 µm sterile filter
- 2. Ion Exchange Resin

We certify this to be true to the best of our knowledge.

Sincerely,

Hadi Omrani

Hadi Omrani

Manager- Technical and Regulatory Affairs

Website: www.bluecal-ingredients.com

LANSHEN RESIN

Shaanxi Lanshen Special Resin Co., Ltd. Creating more value for client

Quality Test Report

JL8.2.4-3

| Product Name | LS-38 | Serial Number | 2017-015 |
|-----------------------|--------------------------|---------------------------|------------|
| Test Standard(s) | Enterprise Standards | Test Date | 06/20/2017 |
| Appearance of product | Light yellow or yellow o | opaque spherical particle | es |

| No. | Test Items | Test Result | Remarks |
|------------|--|-------------|-------------|
| 1 | Particle size range (0.315 – 1.25mm) % | 95.98 | Pass |
| 2 | Water content (%) | 55.67 | Pass |
| 3 | Weak base exchange capacity (mmol/g) | 4.52 | Pass |
| 4 | Strong base exchange capacity (mmol/g) | 2.08 | Pass |
| 5 | Bulk density in wet state (g/ml) | 0.73 | Pass |
| 6 | True density in wet state (g/ml) | 1.10 | Pass |
| | | | |
| | | | |
| | | | |
| Conclusion | Pass (Stamp) | | |
| Tester | Songsong Zhang | Examiner | Jinhua Feng |

LANSHEN RESIN-WWW.SXLANSHEN.COM

TEL:86-29-86690026 FAX:86-29-892834

Appendix 2.11 Activated Charcoal

State Forestry Administration of the People's Republic of China

Quality Inspection and Supervision station of Forest Products

Laboratory Analysis Report

| Analysis Method | Ana | vsis | Met | hod | |
|-----------------|-----|------|-----|-----|--|
|-----------------|-----|------|-----|-----|--|

GB/T12496.1~12496.22-99

Testing Item and Results:

| 1. Material | Wood |
|-----------------------|----------|
| 2. Granularity | 200 Mesh |
| 3. Methylene (mg/g) | 198 |
| 4. % Ferric Salt | 0.02 |
| 5. % Moisture Content | 9.3 |
| 6. % Heavy Metal | 0.02 |
| 7. PH | 5.63 |
| 8. % Chloride | 0.1 |

Requesting Agent: Liyin City Jiangyin Active Carbon Facility Analyzed by:

Sample Description: 767 Type Active Carbon Approved by:

Sample Number:

February 16, 2017

Appendix 2.12 Ingredient Statement



A Perfect Blend of Science and Nature

October 3, 2018

INGREDIENT STATEMENT

Product: BC-DHQ ™ Dihydroquercetin 95%

Item Number: BC0107730

We hereby certify that all of the raw materials used in a manufacturing process of Dihydroquercetin (BC-DHQ™), are suitable food-grade materials, and are used in accordance with applicable US Federal Regulations and current Good Manufacturing Practices (cGMP).

We certify this to be true to the best of our knowledge.

Sincerely,

Hadi Ourani

Hadi Omrani

Manager, Technical and Regulatory Affairs

Appendix 3 Analytical Method and Representative Chromatograms

Please refer to the Appendix 3 report, provided as a separate file:

Appendix 3 Method Verification of DHQ by HPLC Report.pdf

Appendix 4 Certificates of Analyses for Multiple Production Lots of BC-DHQTM

Appendix 4.1 Certificate of Analysis BC-DHQ™ Lot 7730-160823



30111 Tomas Rancho Santa Margarita, CA 92688 Tel: 949.635.1990 Fax: 949.635.1988

CERTIFICATE OF ANALYSIS

Product: BC-DHQ ™ Dihydroquercetin 95% (Natural preservative)

Item# BC0107730

Lot No: 7730-160823 Original Manufacturer: Blue California Co.
Date of Manufacturing: August 19-2016 Expiration/Re-test date: August 19-2018
QC acceptance date: August 23-2016 Country of Origin: China

This product has NOT been treated by Irradiation or ETO

| ATTRIBUTES | SPECIFICATION | METHODS | RESULTS |
|-------------------|------------------------------|-----------|--------------------|
| APPEARANCE | Off white to white powder | VISUAL | PASS |
| FOREIGN MATTER | ABSENT | VISUAL | PASS |
| ODOR | CHARACTERISTIC | OLFACTORY | PASS |
| TASTE | CHARACTERISTIC | GUSTATORY | PASS |
| DIHYDROQUERCETIN | ≥95% | HPLC | 97.8% (dry base) |
| LOSS ON DRYING | ≤5% | USP 34 | 3.32% |
| HEAVY METALS | < 10 ppm | USP 34 | PASS |
| ARSENIC | < 0.5 ppm | ICP-MS | < 0.5 ppm |
| CADMIUM | < 0.5 ppm | ICP-MS | < 0.25 ppm |
| LEAD | < 0.5 ppm | ICP-MS | < 0.25 ppm |
| MERCURY | < 0.5 ppm | ICP-MS | < 0.1 ppm |
| ETHANOL | < 1,000 ppm | USP 34 | PASS |
| METHANOL | < 200 ppm | USP 34 | PASS |
| BULK DENSITY | ≥ 0.15 g/ml | USP 34 | 0.16 g/ml |
| TAP DENSITY | ≥ 0.30 g/ml | USP 34 | 0.32 g/ml |
| PARTICLE SIZE: | > 95% through Mesh #60 Sieve | USP 34 | 100% |
| TOTAL PLATE COUNT | < 5,000 cfu/gm | AOAC | < 1,000 cft/gm |
| TOTAL COLIFORM | < 100 cfu/gm | AOAC | <3 cfivgm |
| YEAST AND MOLDS | < 100 cfu/gm | AOAC | < 10 cfiv/gm |
| E. COLI: | NEGATIVE | AOAC | ND |
| SALMONELLA | NEGATIVE | AOAC | ND |
| SHELF LIFE | 2 YEARS | HPLC | PASS |

Approved by: J.H.Zhou (QC Manager) Revision date: 03-14-2018

Appendix 4.2 Certificate of Analysis BC-DHQ™ Lot 7730-161028



30111 Tomas Rancho Santa Margarita, CA 92688 Tel: 949.635.1990 Fax: 949.635.1988

CERTIFICATE OF ANALYSIS

Product: BC-DHQ ™ Dihydroquercetin 95% (Natural preservative)

Item# BC0107730

Lot No: 7730-161028 Original Manufacturer: Blue California
Date of Manufacturing: October 28-2016 Expiration/Re-test date: October 28-2018

QC acceptance date: November 15-2016 Country of Origin: China

This product has NOT been treated by Irradiation or ETO

| ATTRIBUTES | SPECIFICATION | METHODS | RESULTS |
|-------------------|-----------------------------|-----------|--------------------|
| APPEARANCE | Off white to white powder | VISUAL | PASS |
| FOREIGN MATTER | ABSENT | VISUAL | PASS |
| ODOR | CHARACTERISTIC | OLFACTORY | PASS |
| TASTE | CHARACTERISTIC | GUSTATORY | PASS |
| DIHYDROQUERCETIN | ≥95% | HPLC | 97.8% (dry base) |
| LOSS ON DRYING | ≤ 5% | USP 34 | 3.71% |
| HEAVY METALS | < 10 ppm | USP 34 | PASS |
| ARSENIC | < 0.5 ppm | ICP-MS | < 0.5 ppm |
| CADMIUM | < 0.5 ppm | ICP-MS | < 0.25 ppm |
| LEAD | < 0.5 ppm | ICP-MS | < 0.25 ppm |
| MERCURY | < 0.5 ppm | ICP-MS | < 0.1 ppm |
| ETHANOL | < 1,000 ppm | USP 34 | PASS |
| METHANOL | < 200 ppm | USP 34 | PASS |
| BULK DENSITY | $\geq 0.15 \text{ g/ml}$ | USP 34 | 0.15 g/ml |
| TAP DENSITY | ≥ 0.30 g/ml | USP 34 | 0.32 g/ml |
| PARTICLE SIZE: | >95% through Mesh #60 Sieve | USP 34 | 100% |
| TOTAL PLATE COUNT | < 5,000 cfu/gm | AOAC | < 1,000 cft/gm |
| TOTAL COLIFORM | < 100 cfu/gm | AOAC | < 10 cfit/gm |
| YEAST AND MOLDS | < 100 cfu/gm | AOAC | < 100 cfiv/gm |
| E. COLI: | NEGATIVE | AOAC | ND |
| SALMONELLA | NEGATIVE | AOAC | ND |
| SHELF LIFE | 2 YEARS | HPLC | PASS |

Approved by: J.H.Zhou (QC Manager) Revision date: 04-06-18

Appendix 4.3 Certificate of Analysis BC-DHQ™ Lot 7730-170425



30111 Tomas Rancho Santa Margarita, CA 92688 Tel: 949.635,1990 Fax: 949.635,1988

CERTIFICATE OF ANALYSIS

Product: BC-DHQ ™ Dihydroquercetin 95% (Natural preservative)

Item# BC0107730

Lot No: 7730-170425 Original Manufacturer: Blue California
Date of Manufacturing: April 25-2017
QC acceptance date: June 08-2017 Country of Origin of Raw Material: China

This product has NOT been treated by Irradiation or ETO

| ATTRIBUTES | SPECIFICATION | METHODS | RESULTS |
|-------------------|------------------------------|-----------|------------------|
| APPEARANCE | Off white to cream powder | VISUAL | PASS |
| FOREIGN MATTER | ABSENT | VISUAL | PASS |
| ODOR | CHARACTERISTIC | OLFACTORY | PASS |
| TASTE | CHARACTERISTIC | GUSTATORY | PASS |
| DIHYDROQUERCETIN | ≥95% | HPLC | 97.3% (dry base |
| LOSS ON DRYING | < 5% | USP 34 | 3.25% |
| HEAVY METALS | < 10 ppm | USP 34 | PASS |
| ARSENIC | < 0.5 ppm | ICP-MS | < 0.5 ppm |
| CADMIUM | < 0.5 ppm | ICP-MS | < 0.25 ppm |
| LEAD | < 0.5 ppm | ICP-MS | < 0.25 ppm |
| MERCURY | < 0.5 ppm | ICP-MS | < 0.10 ppm |
| ETHANOL | < 1,000 ppm | USP 34 | PASS |
| METHANOL | < 200 ppm | USP 34 | PASS |
| BULK DENSITY | $\geq 0.15 \text{ g/ml}$ | USP 34 | 0.16 g/ml |
| TAP DENSITY | ≥ 0.30 g/ml | USP 34 | 0.34 g/ml |
| PARTICLE SIZE: | > 95% through Mesh #60 Sieve | USP 34 | 100% |
| TOTAL PLATE COUNT | < 5,000 cfu/gm | AOAC | < 1,000 cft/gm |
| TOTAL COLIFORM | < 100 cfit/gm | AOAC | <3 cfi/gm |
| YEAST AND MOLDS | < 100 cfu/gm | AOAC | < 10 cfu/gm |
| E. COLI: | NEGATIVE | AOAC | ND |
| SALMONELLA | NEGATIVE | AOAC | ND |
| SHELF LIFE | 2 YEARS | HPLC | PASS |

Approved by: J.H.Zhou (QC Manager) Revision date: 04-06-2018

Appendix 4.4 Certificate of Analysis BC-DHQ™ Lot 7730-170525



30111 Tomas Rancho Santa Margarita, CA 92688 Tel: 949.635.1990 Fax: 949.635.1988

CERTIFICATE OF ANALYSIS

Product: BC-DHQ ™ Dihydroquercetin 95% (Natural preservative)

Item# BC0107730

Lot No: 7730-170525 Original Manufacturer: Blue California
Date of Manufacturing: May 25-2017 Expiration/Re-test date: May 25-2019
QC acceptance date: June 08-2017 Country of Origin: China

This product has NOT been treated by Irradiation or ETO

| SPECIFICATION | METHODS | RESULTS |
|------------------------------|---|--|
| Off white to cream powder | VISUAL | PASS |
| ABSENT | VISUAL | PASS |
| CHARACTERISTIC | OLFACTORY | PASS |
| CHARACTERISTIC | GUSTATORY | PASS |
| ≥95% | HPLC | 95.2% (dry base) |
| < 5% | USP 34 | 3.48% |
| < 10 ppm | USP 34 | PASS |
| < 0.5 ppm | ICP-MS | < 0.5 ppm |
| < 0.5 ppm | ICP-MS | < 0.25 ppm |
| < 0.5 ppm | ICP-MS | < 0.25 ppm |
| < 0.5 ppm | ICP-MS | < 0.1 ppm |
| < 1,000 ppm | USP 34 | PASS |
| < 200 ppm | USP 34 | PASS |
| $\geq 0.15 \text{ g/ml}$ | USP 34 | 0.17 g/ml |
| \geq 0.30 g/ml | USP 34 | 0.32 g/ml |
| > 95% through Mesh #60 Sieve | USP 34 | 100% |
| < 5,000 cfu/gm | AOAC | < 500 cfu/gm |
| < 100 cfu/gm | AOAC | < 3 cfu/gm |
| < 100 cfu/gm | AOAC | < 10 cfu/gm |
| NEGATIVE | AOAC | ND |
| NEGATIVE | AOAC | ND |
| 2 YEARS | HPLC | PASS |
| | Off white to cream powder ABSENT CHARACTERISTIC CHARACTERISTIC ≥ 95% ≤ 5% ≤ 10 ppm < 0.5 ppm < 1,000 ppm ≥ 0.15 g/ml ≥ 0.30 g/ml ≥ 95% through Mesh #60 Sieve < 5,000 cfu/gm < 100 cfiv/gm NEGATIVE NEGATIVE | Off white to cream powder ABSENT VISUAL VISUAL VISUAL OLFACTORY OLFACTORY GUSTATORY CHARACTERISTIC OLFACTORY GUSTATORY ≥ 95% HPLC ≤ 5% USP 34 < 10 ppm |

Approved by: X.Y. Mao (QC Manager) Revision date: 03-14-2018

Appendix 4.5 Certificate of Analysis BC-DHQ™ Lot 7730-170616



30111 Tomas Rancho Santa Margarita, CA 92688 Tel: 949.635.1990 Fax: 949.635.1988

CERTIFICATE OF ANALYSIS

Product: BC-DHQ ™ Dihydroquercetin 95% (Natural preservative)

Item# BC0107730

Lot No: 7730-170616 Original Manufacturer: Blue California
Date of Manufacturing: June 12-2017 Expiration/Re-test date: June 12-2019
QC acceptance date: June 26-2017 Country of Origin: China
This product has NOT been treated by Irradiation or ETO

ATTRIBUTES SPECIFICATION METHODS RESULTS APPEARANCE Off white to cream powder VISUAL PASS VISUAL PASS FOREIGN MATTER ABSENT ODOR CHARACTERISTIC OLFACTORY PASS TASTE CHARACTERISTIC GUSTATORY PASS DIHYDROQUERCETIN HPLC 97.7% (dry base) > 95% LOSS ON DRYING < 5% USP 34 3.82% < 10 ppm USP 34 HEAVY METALS PASS ARSENIC < 0.5 ppm ICP-MS < 0.5 ppm < 0.5 ppm < 0.5 ppm CADMIUM ICP-MS < 0.25 ppm < 0.25 ppm LEAD ICP-MS MERCURY < 0.5 ppmICP-MS < 0.1 ppm USP 34 ETHANOL < 1,000 ppm PASS USP 34 PASS METHANOL < 200 ppm BULK DENSITY $\geq 0.15 \text{ g/ml}$ USP 34 0.16 g/ml ≥ 0.30 g/ml USP 34 > 95% through Mesh #60 Sieve USP 34 USP 34 0.32 g/ml TAP DENSITY 100% PARTICLE SIZE: TOTAL PLATE COUNT < 5,000 cfu/gm < 1,000 cft/gm AOAC TOTAL COLIFORM < 100 cfu/gm AOAC < 3 cfu/gm YEAST AND MOLDS < 100 cfu/gm AOAC < 10 cfu/gm E. COLI: NEGATIVE AOAC ND SALMONELLA NEGATIVE AOAC ND

Approved by: J.H.Zhou (QC Manager) Revised date: 03-21-2018

2 YEARS

HPLC

SHELF LIFE

PASS

Appendix 5 Pesticide Analyses for Multiple Production Batches of BC-DHQTM

Appendix 5.1 Pesticide Analysis BC-DHQ™ Lot 7730-160823



Eurofins Scientific Inc. Supplement Analysis Center 1365 Redwood Way Petaluma, CA 94954 Tel.+1 707 792 7300 Fax:+1 707 792 7309

July 17, 2017

Cecilia Cecilia McCollum Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

CERTIFICATE OF ANALYSIS

AR-17-KK-008895-01 Batch #: EUCAPE-00091741

Sample Identification:

Sample #: 740-2017-07030094

Description: BC-DHQ, Powder, Lot #7730-160823

Condition: Beige powder in a double ziplock bag received at room temperature.

Date Received: July 03, 2017

| QA12C: Pesticides - USP 561 Screen (USP 39) Method Reference: USP 561 | | Theoretical |
|--|-------------|-------------|
| Completed: 07/17/2017 | Result | Level |
| Acephate | <0.10 mg/kg | |
| [Method performed by an outsource lab.] | | |
| Alachlor | <0.02 mg/kg | |
| Aldrin and Dieldrin (sum of) | <0.02 mg/kg | |
| Azinphos-ethyl | <0.02 mg/kg | |
| Azinphos-methyl | <0.05 mg/kg | |
| Bromophos-ethyl | <0.02 mg/kg | |
| Bromophos-methyl | <0.02 mg/kg | |
| Bromopropylate | <0.05 mg/kg | |
| Chlordane (sum of cis-, trans- and | <0.05 mg/kg | |
| Oxychlordane) | | |
| Chlorfenvinphos | <0.02 mg/kg | |
| Chlorpyrifos-ethyl | <0.02 mg/kg | |
| Chlorpyrifos-methyl | <0.02 mg/kg | |
| Chlorthal-dimethyl | <0.01 mg/kg | |
| Cyfluthrin (sum of) | <0.10 mg/kg | |
| Cyhalothrin, lambda- | <0.02 mg/kg | |
| Cypermethrin and isomers (sum of) | <0.1 mg/kg | |
| DDT (total) | <0.02 mg/kg | |
| Deltamethrin | <0.10 mg/kg | |
| Diazinon | <0.02 mg/kg | |
| Dichlofluanid | <0.02 mg/kg | |
| Dichlorvos | <0.02 mg/kg | |
| Dicofol | <0.02 mg/kg | |
| Dimethoate/Omethoate (sum) | <0.10 mg/kg | |
| Endosulfan (sum of isomers and endo. sulfate) | <0.02 mg/kg | |
| Endrin | <0.02 mg/kg | |
| Ethion | <0.02 mg/kg | |
| Etrimfos | <0.05 mg/kg | |
| Fenchlorphos (sum) | <0.10 mg/kg | |
| Fenitrothion | <0.02 mg/kg | |
| Fenpropathrin | <0.03 mg/kg | |

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Page 1 of 3



Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

| Method Reference: USP 561 Completed: 07/17/2017 Result Completed: 07/17/2017 Completed: 07/17/2017 Result Completed: 07/17/2017 Comple | OA43C: Destinides LIED ES4 Carson (LIED 20) | | 92000 |
|--|--|--------------|---|
| Completed: 07/17/2017 Result Fensultothion (sum of parent, -oxons and sulfones) Sulfones) Fenthion (sum of fenthion, -oxons, -sulfones) Fenthion (heptachlor+ cis-, trans-h. epoxide Fluvalinate, tu- Fonofos Fluvalinate, tu- Fluvalinate, | QA12C: Pesticides - USP 561 Screen (USP 39) | | Theoretical |
| Fensulfothion (sum of parent, -oxons and sulfones) Fenthion (sum of fenthion, -oxons, -sulfones) Fenvalerate Fenvalerate Fluvalerate Fluvalerate Fluvalinate, tau- Fonofos Heptachlor (heptachlor+ cis-, trans- h. epoxide Hexachlorocyclohexane isomers (other than gamma) Lindane (gamma-HCH) Malathion and malaoxon (sum of) Methacriphos Methacriphos Methacriphos Methacriphos Methocyclohex Methidathion Methocyclohex Monocrotophos Parathion-ethyl and Paraoxon-ethyl (sum of) Pararthion-methyl and Paraoxon-methyl (sum of) Pendimethalin Pentachloranisole Permethrin and isomers (sum of) Pendimethalin Pentachloranisole Permethrin and isomers (sum of) Pinimiphos-ethyl Pinimiphos-ethyl Pinimiphos-ethyl Pinimiphos-ethyl Pinimiphos-ethyl Pinimiphos-ethyl Pinimiphos-ethyl Pinimiphos-ethyl Pinimiphos-ethyl Procymidone Portachloranisole Portachlora | | Pegult | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| sulfones) Fenthion (sum of fenthion, -oxons, -sulfones) Fentylarerate Fentylarerate Flucythrinate Flucythrinate Flucythrinate Fonofos Fentachlor (heptachlor+ cis-, trans- h. epoxide Hexachlorobenzene Hexachlorobenzene Hexachlorobenzene Fentylarerate Fonofos Hexachlorocyclohexane isomers (other than gamma) Lindiane (gamma-HCH) Lindiane (gamma-HCH) Lindiane (gamma-HCH) Lindiane (gamma-HCH) Methacriphos Methacriphos Methacriphos Metharidophos Methamidophos Methamidophos Methoxychlor Methoxychlor Monocrotophos Parathion-ethyl and Paraoxon-ethyl (sum of) Parathion-methyl and Paraoxon-methyl (sum of) Parathion-methyl and Paraoxon-methyl (sum of) Parathion-methyl and Paraoxon-methyl (sum of) Pendimethalin Pentachloranisole Penmethrin and isomers (sum of) Phosent Phosent Phosent Piperonyl butoxide (PBO) Pininiphos-ethyl Pininiphos-ethyl Procymidone Profenofos Profenofos Profenofos Profenofos Profenofos Profenofos Profenofos Profenofos Profenofos Protenofos Profenofos Profe | | | Lovei |
| Fenthion (sum of fenthion, -oxons, -sulfones) | THE RESERVE OF THE PROPERTY OF | -0.05 mg/kg | |
| Fenvalerate Flucythrinate Flucythrinate Flucythrinate Flucythrinate Flucythrinate Flucythrinate Flucythrinate Flucythrinate Flucythrinate Fonofos Flucythrinate Fenofos Flucythrinate Hexachlorosienzene He | | <0.05 ma/ka | |
| Flucythrinate | The state of the s | | |
| Fluvalinate, tau- Fonofos | 1,71177777777 | | |
| Fonofos Heptachlor (heptachlor+ cis., trans- h. epoxide Hexachlorobenzene Hexachlorobenzene Hexachlorobenzene (a.0.3 mg/kg | | | |
| Heptachlor (heptachlor+ cis-, trans- h. epoxide Hexachlorobenzene Hexachlorocyclohexane isomers (other than gamma) Lindane (gamma-HCH) Malathion and malaoxon (sum of) Mecarbam Methacriphos Methariphos Methamidophos Methamidophos Methamidophos Methocyclor Methocyclor Methocyclor Mirex Monocrotophos Parathion-ethyl and Paraoxon-ethyl (sum of) Parathion-methyl and Paraoxon-methyl (sum of) Parathion-methyl and Paraoxon-methyl (sum of) Pendimethalin Penlachloranisole Permethrin and isomers (sum of) Phosalone Phosmet Phosmet Phosmet Piperonyl butoxide (PBO) Pinniphos-ethyl Pinniphos-methyl (incl. N-desethyl-) Procymidone Profenofos Pyrethrum (sum of cinerins, jasmolins, pyrethrins) Quintozene (sum quintozene, pentachloraniline, MPPS) S 421 Tecnazene Tetradifor Vinclozolin QA23Q: Bromide, inorganic (GC) Method Reference: Bromide 400 mg/kg Pioningles 400 mg/kg Punigans P | CONTRACTOR OF THE CONTRACTOR O | | |
| Hexachlorobenzene | | | |
| Hexachlorocyclohexane isomers (other than gamma) Lindane (gamma-HCH) Malathion and malaoxon (sum of) Methacriphos Methacriphos Methamidophos Methamidophos Methoxychlor Mirex Monocrotophos Parathion-ethyl and Paraoxon-ethyl (sum of) Parathion-methyl and Paraoxon-methyl (sum of) Pendimethalin Pentachloranisole Permethrin and isomers (sum of) Pendimethalin Pentachloranisole Piperonyl butoxide (PBO) Piriniphos-ethyl Piperonyl butoxide (PBO) Piriniphos-methyl (incl. N-desethyl-) Procymidone Profenofos Profenofos Profenofos Profenofos Pyrethrum (sum of cinerins, jasmolins, pyrethrins) Quinalphos Quintozene (sum quintozene, pentachloraniline, MPPS) S 421 Tecnazene Tecnazene QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: O7/17/2017 Result Result Result Result Result Result Promide < 0.00 mg/kg Presided: Q0.05 mg/kg Primigants Theoretical Completed: O7/17/2017 Result Result Result Result In mg/kg Theoretical Completed: O7/17/2017 Result Result In mg/kg Theoretical Level Promide Q.03 mg/kg Theoretical Completed: O7/17/2017 Result Result In mg/kg Theoretical Level Theoretical Completed: O7/17/2017 Result Result In mg/kg Theoretical Level | | | |
| gamma) Lindane (gamma-HCH) | | | |
| Lindane (gamma-HCH) | | and mighting | |
| Malathion and malaoxon (sum of) Mecarbam Methacriphos Methamidophos Methidathion Methodychlor Methodychlor Methodychlor Mirex Monocrotophos Parathion-ethyl and Paraoxon-ethyl (sum of) Pendimethalin Pentachloranisole Permethrin and isomers (sum of) Plosomet Piperonyl butoxide (PBO) Pirimiphos-ethyl (incl. N-desethyl-) Procymidone Profenofos Profenofos Profenofos Profenofos Profenofos Prothiofos Pyrethrum (sum of cinerins, jasmolins, pyrethrins) Quintozene (sum Quintozen | | <0.01 mg/kg | |
| Mecarbam Methacriphos Methamidophos Methidathion Methidathion Methoxychlor Methoxychlor Mirex Monocrotophos Parathion-ethyl and Paraoxon-ethyl (sum of) Parathion-methyl and Paraoxon-methyl (sum of) Parathion-methyl and Paraoxon-methyl (sum of) Pendimethalin Pentachloranisole Permethrin and isomers (sum of) Pendimethalin Pentachloranisole Permethrin and isomers (sum of) Phosalone Phosanet Phosanet Vo.2 mg/kg Piperonyl butoxide (PBO) Pirimiphos-ethyl Pirimiphos-methyl (incl. N-desethyl-) Procymidone Profenofos Prothiofos Pyrethrum (sum of cinerins, jasmolins, pyrethrins) Quinalphos Quintozene (sum quintozene, pentachloraniline, MPPS) S 421 Tecnazene Tetradifon Vinclozolin QAZ3Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Result Result Level Bromide O.05 mg/kg Theoretical Level Bromide In mg/kg Theoretical Level Fromg/kg Theoretical Level | | | |
| Methacriphos | | | |
| Methamidophos <0.05 mg/kg | Methacriphos | | |
| Methidathion | | | |
| Methoxychlor Mirex Monocrotophos Parathion-ethyl and Paraoxon-ethyl (sum of) Parathion-methyl and Paraoxon-methyl (sum of) Pendimethalin Pentachloranisole Permethrin and isomers (sum of) Phosalone Phosmet Piperonyl butoxide (PBO) Pirimiphos-ethyl Pirimiphos-methyl (incl. N-desethyl-) Procymidone Profenofos Profenofos Prothiofos Pyrethrum (sum of cinerins, jasmolins, pyrethrins) Quinalphos Quintozene (sum quintozene, pentachloraniline, MPPS) S 421 Tecnazene Tecnazene Tecnazene Tecnazene Tecnazene Tecnazene Tevel Bromide QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Result Vo.20 mg/kg Vo.20 mg/kg Vo.21 mg/kg Vo.21 mg/kg Vo.35 mg/k | 100 C C C C C C C C C C C C C C C C C C | | |
| Mirex | | | |
| Monocrotophos | | | |
| Parathion-ethyl and Paraoxon-ethyl (sum of) Parathion-methyl and Paraoxon-methyl (sum of) Pendimethalin Pentachloranisole Permethrin and isomers (sum of) Phosalone Phosalone Phosalone Piperonyl butoxide (PBO) Pirimiphos-ethyl Pirimiphos-methyl (incl. N-desethyl-) Procymidone Profenofos Porthiofos Pyrethrum (sum of cinerins, jasmolins, pyrethrum) Quintozene, pentachloraniline, MPPS) S 421 Tecnazene Tetradifon Vinclozolin QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Result Pollo mg/kg Ploud Reference: EURL-SRM, Bromine Containing Fumigants Result Level Promylkg Promitine Pollo mg/kg | | | |
| Parathion-methyl and Paraoxon-methyl (sum of) Pendimethalin Pentachloranisole Permethrin and isomers (sum of) Phosalone Phosmet Piperronyl butoxide (PBO) Pirimiphos-ethyl Procymidone Profenofos Profenofos Prothiofos Prothiofos Pyrethrum (sum of cinerins, jasmolins, pyrethrins) Quinalphos Quintozene,pentachloraniline,MPPS) S 421 Tecnazene Tetradifon Vinclozolin QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Result Vo.10 mg/kg Vo.20 mg/kg Vo. | | | |
| of) Pendimethalin | | | |
| Pentachloranisole | | | |
| Perntachloranisole | Pendimethalin | <0.10 mg/kg | |
| Phosalone | Pentachloranisole | | |
| Phosmet | Permethrin and isomers (sum of) | <0.2 mg/kg | |
| Piperonyl butoxide (PBO) | Phosalone | <0.04 mg/kg | |
| Pirimiphos-ethyl | Phosmet | <0.05 mg/kg | |
| Pirimiphos-methyl (incl. N-desethyl-) | Piperonyl butoxide (PBO) | <1.0 mg/kg | |
| Procymidone < 0.10 mg/kg Profenofos < 0.10 mg/kg Prothiofos < 0.05 mg/kg Pyrethrum (sum of cinerins, jasmolins, pyrethrins) Quinalphos < 0.05 mg/kg Quintozene (sum quintozene, pentachloraniline, MPPS) S 421 < 0.02 mg/kg Tecnazene < 0.05 mg/kg Tetradifon < 0.05 mg/kg Vinclozolin < 0.05 mg/kg QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Result Bromide <10 mg/kg | Pirimiphos-ethyl | <0.05 mg/kg | |
| Profenofos < 0.10 mg/kg Prothiofos < 0.05 mg/kg Pyrethrum (sum of cinerins, jasmolins, pyrethrins) Quinalphos < 0.05 mg/kg Quintozene (sum quintozene, pentachloraniline, MPPS) S 421 < 0.02 mg/kg Tecnazene < 0.05 mg/kg Tetradifon < 0.05 mg/kg Vinclozolin < 0.05 mg/kg QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Result Bromide <10 mg/kg | Pirimiphos-methyl (incl. N-desethyl-) | <0.10 mg/kg | |
| Prothiofos | Procymidone | <0.10 mg/kg | |
| Prothiofos | Profenofos | <0.10 mg/kg | |
| pyrethrins) Quinalphos | Prothiofos | | |
| Quinalphos < 0.05 mg/kg Quintozene (sum | Pyrethrum (sum of cinerins, jasmolins, | <3.0 mg/kg | |
| Quintozene (sum quintozene,pentachloraniline,MPPS) S 421 | pyrethrins) | | |
| quintozene,pentachloraniline,MPPS) S 421 <0.02 mg/kg Tecnazene <0.05 mg/kg Tetradifon <0.05 mg/kg Vinclozolin <0.05 mg/kg QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Result Bromide <10 mg/kg | Quinalphos | <0.05 mg/kg | |
| \$ 421 | Quintozene (sum | <0.1 mg/kg | |
| Tecnazene <0.05 mg/kg Tetradifon <0.05 mg/kg Vinclozolin <0.05 mg/kg QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Result Level Bromide <10 mg/kg | | | |
| Tetradifon <0.05 mg/kg Vinclozolin <0.05 mg/kg QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Result Bromide <10 mg/kg | S 421 | | |
| Vinclozolin <0.05 mg/kg QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Result Bromide <10 mg/kg | | | |
| QA23Q: Bromide, inorganic (GC) Method Reference: EURL-SRM, Bromine Containing Fumigants Completed: 07/17/2017 Bromide Theoretical Result Level | 7 3 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - | <0.05 mg/kg | |
| Method Reference: EURL-SRM, Bromine Containing Fumigants Theoretical Completed: 07/17/2017 Result Level Bromide <10 mg/kg | Vinclozolin | <0.05 mg/kg | |
| Completed: 07/17/2017 Result Level Bromide <10 mg/kg | | | |
| Bromide <10 mg/kg | | | Theoretical |
| | Completed: 07/17/2017 | Result | Level |
| | Bromide | <10 mg/kg | |
| | [Method performed by an outsource lab.] | | |

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Page 2 of 3



Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

QA602: EBDCs (Dithiocarbamates) (CS2 method, GC-MS)
Method Reference: J. Agric. Food Chem. Vol. 49 pp 2152, 2001
Completed: 07/17/2017
Result
Total Dithiocarbamates, as CS2
<0.01 mg/kg

Theoretical Level

Total Dithiocarbamates, as CS2 [Method performed by an outsource lab.]

Results pertain only to the items tested.

All results are reported on an as-is basis unless otherwise stated.

Estimation of uncertainty of measurement is available upon request.

Results shall not be reproduced except in full without written permission from Eurofins Scientific, Inc.

Kent Rader BU Manager

Appendix 5.2 Pesticide Analysis BC-DHQ™ Lot 7730-161028



Supplement Analysis Center

Eurofins Scientific Inc. Supplement Analysis Center 1365 Redwood Way Petaluma, CA 94954 Tel.+1 707 792 7300 Fax:+1 707 792 7309

July 17, 2017

Cecilia Cecilia McCollum Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

CERTIFICATE OF ANALYSIS

AR-17-KK-008897-01 Batch #: EUCAPE-00091741

Sample Identification:

Sample #: 740-2017-07030097

Description: BC-DHQ, Powder, Lot #7730-161028

Condition: Beige powder in a double ziplock bag received at room temperature.

Date Received: July 03, 2017

| QA12C: Pesticides - USP 561 Screen (USP 39) Method Reference: USP 561 Completed: 07/17/2017 | Result | Theoretical Level |
|---|--|----------------------|
| Acephate | <0.10 mg/kg | |
| [Method performed by an outsource lab.] | io. To mightig | |
| Alachlor | <0.02 mg/kg | |
| Aldrin and Dieldrin (sum of) | <0.02 mg/kg | |
| Azinphos-ethyl | <0.02 mg/kg | |
| Azinphos-methyl | <0.05 mg/kg | |
| Bromophos-ethyl | <0.02 mg/kg | |
| Bromophos-methyl | <0.02 mg/kg | |
| Bromopropylate | <0.05 mg/kg | |
| Chlordane (sum of cis-, trans- and | <0.05 mg/kg | |
| Oxychlordane) | So. 55 Highlig | |
| Chlorfenvinphos | <0.02 mg/kg | |
| Chlorpyrifos-ethyl | <0.02 mg/kg | |
| Chlorpyrifos-methyl | <0.02 mg/kg | |
| Chlorthal-dimethyl | <0.01 mg/kg | |
| Cyfluthrin (sum of) | <0.10 mg/kg | |
| Cyhalothrin, lambda- | <0.02 mg/kg | |
| Cypermethrin and isomers (sum of) | <0.1 mg/kg | |
| DDT (total) | <0.02 mg/kg | |
| Deltamethrin | <0.10 mg/kg | |
| Diazinon | <0.02 mg/kg | |
| Dichlofluanid | <0.02 mg/kg | |
| Dichlorvos | <0.02 mg/kg | |
| Dicofol | <0.02 mg/kg | |
| Dimethoate/Omethoate (sum) | <0.10 mg/kg | |
| Endosulfan (sum of isomers and endo. sulfate) | <0.02 mg/kg | |
| Endrin | <0.02 mg/kg | |
| Ethion | <0.02 mg/kg | |
| Etrimfos | <0.05 mg/kg | |
| Fenchlorphos (sum) | <0.10 mg/kg | |
| Fenitrothion | <0.02 mg/kg | |
| Fenpropathrin | <0.03 mg/kg | |
| | The state of the s | |

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Page 1 of 3



Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

| OAARO D. C. L. HED FOA C HIED POL | | 52000 |
|--|--------------|-------------|
| QA12C: Pesticides - USP 561 Screen (USP 39) Method Reference: USP 561 | | Theoretical |
| Completed: 07/17/2017 | Result | Level |
| Fensulfothion (sum of parent, -oxons and sulfones) | <0.05 mg/kg | 757.2 |
| Fenthion (sum of fenthion, -oxons, -sulfones) | <0.05 mg/kg | |
| Fenvalerate | <0.20 mg/kg | |
| Flucythrinate | <0.05 mg/kg | |
| Fluvalinate, tau- | <0.05 mg/kg | |
| Fonofos | <0.02 mg/kg | |
| Heptachlor (heptachlor+ cis-, trans- h. epoxide | <0.03 mg/kg | |
| Hexachlorobenzene | <0.03 mg/kg | |
| Hexachlorocyclohexane isomers (other than | <0.02 mg/kg | |
| gamma) | -0.04 | |
| Lindane (gamma-HCH) | <0.01 mg/kg | |
| Malathion and malaoxon (sum of) | <0.02 mg/kg | |
| Mecarbam | <0.05 mg/kg | |
| Methacriphos | <0.05 mg/kg | |
| Methamidophos | <0.05 mg/kg | |
| Methidathion | <0.02 mg/kg | |
| Methoxychlor | <0.05 mg/kg | |
| Mirex | <0.01 mg/kg | |
| Monocrotophos | <0.10 mg/kg | |
| Parathion-ethyl and Paraoxon-ethyl (sum of) | <0.20 mg/kg | |
| Parathion-methyl and Paraoxon-methyl (sum | <0.20 mg/kg | |
| of) | | |
| Pendimethalin | <0.10 mg/kg | |
| Pentachloranisole | <0.01 mg/kg | |
| Permethrin and isomers (sum of) | <0.2 mg/kg | |
| Phosalone | <0.04 mg/kg | |
| Phosmet | <0.05 mg/kg | |
| Piperonyl butoxide (PBO) | <1.0 mg/kg | |
| Pirimiphos-ethyl | <0.05 mg/kg | |
| Pirimiphos-methyl (incl. N-desethyl-) | <0.10 mg/kg | |
| Procymidone | <0.10 mg/kg | |
| Profenofos | <0.10 mg/kg | |
| Prothiofos | <0.05 mg/kg | |
| Pyrethrum (sum of cinerins, jasmolins, | <3.0 mg/kg | |
| pyrethrins) | | |
| Quinalphos | <0.05 mg/kg | |
| Quintozene (sum | <0.1 mg/kg | |
| quintozene,pentachloraniline,MPPS) | | |
| S 421 | <0.02 mg/kg | |
| Tecnazene | <0.05 mg/kg | |
| Tetradifon | <0.05 mg/kg | |
| Vinclozolin | <0.05 mg/kg | |
| QA23Q: Bromide, inorganic (GC) | | |
| Method Reference: EURL-SRM, Bromine Containing | no Fumigants | Theoretical |
| Completed: 07/17/2017 | Result | Level |
| A CONTRACTOR OF THE PROPERTY O | 7.7779 | Lover |
| Bromide | <10 mg/kg | |
| [Method performed by an outsource lab.] | | |

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Page 2 of 3



Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

QA602: EBDCs (Dithiocarbamates) (CS2 method, GC-MS)
Method Reference: J. Agric. Food Chem. Vol. 49 pp 2152, 2001
Completed: 07/17/2017 Result
Total Dithiocarbamates, as CS2 <0.01 mg/kg

Theoretical Level

Total Dithiocarbamates, as CS2 [Method performed by an outsource lab.]

Results pertain only to the items tested.

All results are reported on an as-is basis unless otherwise stated.

Estimation of uncertainty of measurement is available upon request.

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Kent Rader BU Manager

Appendix 5.3 Pesticide Analysis BC-DHQ™ Lot 7730-170425



Supplement Analysis Center

Eurofins Scientific Inc. Supplement Analysis Center 1365 Redwood Way Petaluma, CA 94954 Tel.+1 707 792 7300 Fax:+1 707 792 7309

July 11, 2017

Cecilia Cecilia McCollum Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

CERTIFICATE OF ANALYSIS

AR-17-KK-008606-01 Batch #: EUCAPE-00091741

Sample Identification:

Sample #: 740-2017-07030100

Description: BC-DHQ, Powder, Lot #7730-170425

Condition: Beige powder in a double ziplock bag received at room temperature.

Date Received: July 03, 2017

| QA12C: Pesticides - USP 561 Screen (USP 39) Method Reference: USP 561 | | Theoretica |
|--|-------------|------------|
| Completed: 07/11/2017 | Result | Level |
| Acephate | <0.10 mg/kg | |
| [Method performed by an outsource lab.] | | |
| Alachlor | <0.02 mg/kg | |
| Aldrin and Dieldrin (sum of) | <0.02 mg/kg | |
| Azinphos-ethyl | <0.02 mg/kg | |
| Azinphos-methyl | <0.05 mg/kg | |
| Bromophos-ethyl | <0.02 mg/kg | |
| Bromophos-methyl | <0.02 mg/kg | |
| Bromopropylate | <0.05 mg/kg | |
| Chlordane (sum of cis-, trans- and | <0.05 mg/kg | |
| Oxychlordane) | | |
| Chlorfenvinphos | <0.02 mg/kg | |
| Chlorpyrifos-ethyl | <0.02 mg/kg | |
| Chlorpyrifos-methyl | <0.02 mg/kg | |
| Chlorthal-dimethyl | <0.01 mg/kg | |
| Cyfluthrin (sum of) | <0.10 mg/kg | |
| Cyhalothrin, lambda- | <0.02 mg/kg | |
| Cypermethrin and isomers (sum of) | <0.1 mg/kg | |
| DDT (total) | <0.02 mg/kg | |
| Deltamethrin | <0.10 mg/kg | |
| Diazinon | <0.02 mg/kg | |
| Dichlofluanid | <0.02 mg/kg | |
| Dichloryos | <0.02 mg/kg | |
| Dicofol | <0.02 mg/kg | |
| Dimethoate/Omethoate (sum) | <0.10 mg/kg | |
| Endosulfan (sum of isomers and endo. sulfate) | <0.02 mg/kg | |
| Endrin | <0.02 mg/kg | |
| Ethion | <0.02 mg/kg | |
| Etrimfos | <0.05 mg/kg | |
| Fenchlorphos (sum) | <0.10 mg/kg | |
| Fenitrothion | <0.02 mg/kg | |
| Fenpropathrin | <0.03 mg/kg | |

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Page 1 of 3



Blue California Co. 30111 Tomas Rancho Santa Margarita, CA

| QA12C: Pesticides - USP 561 Screen (USP 39) | | 92000 |
|---|--------------|-------------|
| Method Reference: USP 561 | | Theoretical |
| Completed: 07/11/2017 | Result | Level |
| Fensulfothion (sum of parent, -oxons and | <0.05 mg/kg | 75.00 |
| sulfones) | -0 0E malka | |
| Fenthion (sum of fenthion, -oxons, -sulfones) | <0.05 mg/kg | |
| Fenvalerate | <0.20 mg/kg | |
| Flucythrinate | <0.05 mg/kg | |
| Fluvalinate, tau- | <0.05 mg/kg | |
| Fonofos | <0.02 mg/kg | |
| Heptachlor (heptachlor+ cis-, trans- h. epoxide | <0.03 mg/kg | |
| Hexachlorobenzene | <0.01 mg/kg | |
| Hexachlorocyclohexane isomers (other than gamma) | <0.02 mg/kg | |
| Lindane (gamma-HCH) | <0.01 mg/kg | |
| Malathion and malaoxon (sum of) | <0.02 mg/kg | |
| Mecarbam | <0.05 mg/kg | |
| Methacriphos | <0.05 mg/kg | |
| Methamidophos | <0.05 mg/kg | |
| Methidathion | <0.02 mg/kg | |
| Methoxychlor | <0.05 mg/kg | |
| Mirex | <0.01 mg/kg | |
| Monocrotophos | <0.10 mg/kg | |
| Parathion-ethyl and Paraoxon-ethyl (sum of) | <0.20 mg/kg | |
| Parathion-methyl and Paraoxon-methyl (sum | <0.20 mg/kg | |
| of) | | |
| Pendimethalin | <0.10 mg/kg | |
| Pentachloranisole | <0.01 mg/kg | |
| Permethrin and isomers (sum of) | <0.2 mg/kg | |
| Phosalone | <0.04 mg/kg | |
| Phosmet | <0.05 mg/kg | |
| Piperonyl butoxide (PBO) | <1.0 mg/kg | |
| Pirimiphos-ethyl | <0.05 mg/kg | |
| Pirimiphos-methyl (incl. N-desethyl-) | <0.10 mg/kg | |
| Procymidone | <0.10 mg/kg | |
| Profenofos | <0.10 mg/kg | |
| Prothiofos | <0.05 mg/kg | |
| Pyrethrum (sum of cinerins, jasmolins, | <3.0 mg/kg | |
| pyrethrins) | | |
| Quinalphos | <0.05 mg/kg | |
| Quintozene (sum | <0.1 mg/kg | |
| quintozene,pentachloraniline,MPPS) | | |
| S 421 | <0.02 mg/kg | |
| Tecnazene | <0.05 mg/kg | |
| Tetradifon | <0.05 mg/kg | |
| Vinclozolin | <0.05 mg/kg | |
| QA23Q: Bromide, inorganic (GC) | | |
| Method Reference: EURL-SRM, Bromine Containir | ng Fumigants | Theoretical |
| Completed: 07/11/2017 | Result | Level |
| Bromide | <10 mg/kg | 57.00 |
| [Method performed by an outsource lab.] | - To myrky | |

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Page 2 of 3



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QA602: EBDCs (Dithiocarbamates) (CS2 method, GC-MS) Method Reference: J. Agric. Food Chem. Vol. 49 pp 2152, 2001 Completed: 07/11/2017 Result <0.01 mg/kg

Theoretical Level

Total Dithiocarbamates, as CS2

[Method performed by an outsource lab.]

Results pertain only to the items tested. All results are reported on an as-is basis unless otherwise stated. Estimation of uncertainty of measurement is available upon request. Results shall not be reproduced except in full without written permission from Eurofins Scientific, Inc.

> Kent Rader **BU Manager**

Appendix 5.4 Pesticide Analysis BC-DHQ™ Lot 7730-170525



Supplement Analysis Center

Eurofins Scientific Inc. Supplement Analysis Center 1365 Redwood Way Petaluma, CA 94954 Tel.+1 707 792 7300 Fax:+1 707 792 7309

July 11, 2017

Cecilia Cecilia McCollum Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

CERTIFICATE OF ANALYSIS AR-17-KK-008605-01 Batch #: EUCAPE-00091741

Sample Identification:

Sample #: 740-2017-07030103

Description: BC-DHQ, Powder, Lot #7730-170525

Condition: Beige powder in a double ziplock bag received at room temperature.

Date Received: July 03, 2017

| Method Reference: USP 561 | | Theoretical |
|---|-------------|-------------|
| Completed: 07/11/2017 | Result | Level |
| Acephate | <0.10 mg/kg | |
| [Method performed by an outsource lab.] | | |
| Alachlor | <0.02 mg/kg | |
| Aldrin and Dieldrin (sum of) | <0.02 mg/kg | |
| Azinphos-ethyl | <0.02 mg/kg | |
| Azinphos-methyl | <0.05 mg/kg | |
| Bromophos-ethyl | <0.02 mg/kg | |
| Bromophos-methyl | <0.02 mg/kg | |
| Bromopropylate | <0.05 mg/kg | |
| Chlordane (sum of cis-, trans- and | <0.05 mg/kg | |
| Oxychlordane) | | |
| Chlorfenyinphos | <0.02 mg/kg | |
| Chlorpyrifos-ethyl | <0.02 mg/kg | |
| Chlorpyrifos-methyl | <0.02 mg/kg | |
| Chlorthal-dimethyl | <0.01 mg/kg | |
| Cyfluthrin (sum of) | <0.10 mg/kg | |
| Cyhalothrin, lambda- | <0.02 mg/kg | |
| Cypermethrin and isomers (sum of) | <0.1 mg/kg | |
| DDT (total) | <0.02 mg/kg | |
| Deltamethrin | <0.10 mg/kg | |
| Diazinon | <0.02 mg/kg | |
| Dichlofluanid | <0.02 mg/kg | |
| Dichlorvos | <0.02 mg/kg | |
| Dicofol | <0.02 mg/kg | |
| Dimethoate/Omethoate (sum) | <0.10 mg/kg | |
| Endosulfan (sum of isomers and endo. sulfate) | <0.02 mg/kg | |
| Endrin | <0.02 mg/kg | |
| Ethion | <0.02 mg/kg | |
| Etrimfos | <0.05 mg/kg | |
| Fenchlorphos (sum) | <0.10 mg/kg | |
| Fenitrothion | <0.02 mg/kg | |
| Fenpropathrin | <0.03 mg/kg | |

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Page 1 of 3



Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

| QA12C: Pesticides - USP 561 Screen (USP 39) | | 32000 |
|---|---------------|-------------|
| Method Reference: USP 561 | | Theoretical |
| Completed: 07/11/2017 | Result | Level |
| Fensulfothion (sum of parent, -oxons and sulfones) | <0.05 mg/kg | |
| Fenthion (sum of fenthion, -oxons, -sulfones) | <0.05 mg/kg | |
| Fenvalerate | <0.20 mg/kg | |
| Flucythrinate | <0.05 mg/kg | |
| Fluvalinate, tau- | <0.05 mg/kg | |
| Fonofos | <0.02 mg/kg | |
| Heptachlor (heptachlor+ cis-, trans- h. epoxide | <0.03 mg/kg | |
| Hexachlorobenzene | <0.01 mg/kg | |
| Hexachlorocyclohexane isomers (other than gamma) | <0.02 mg/kg | |
| Lindane (gamma-HCH) | <0.01 mg/kg | |
| Malathion and malaoxon (sum of) | <0.02 mg/kg | |
| Mecarbam | <0.05 mg/kg | |
| Methacriphos | <0.05 mg/kg | |
| Methamidophos | <0.05 mg/kg | |
| Methidathion | <0.02 mg/kg | |
| Methoxychlor | <0.05 mg/kg | |
| Mirex | <0.01 mg/kg | |
| Monocrotophos | <0.10 mg/kg | |
| Parathion-ethyl and Paraoxon-ethyl (sum of) | <0.20 mg/kg | |
| Parathion-methyl and Paraoxon-methyl (sum | <0.20 mg/kg | |
| of) | 0.22 (119.119 | |
| Pendimethalin | <0.10 mg/kg | |
| Pentachloranisole | <0.01 mg/kg | |
| Permethrin and isomers (sum of) | <0.2 mg/kg | |
| Phosalone | <0.04 mg/kg | |
| Phosmet | <0.05 mg/kg | |
| Piperonyl butoxide (PBO) | <1.0 mg/kg | |
| Pirimiphos-ethyl | <0.05 mg/kg | |
| Pirimiphos-methyl (incl. N-desethyl-) | <0.10 mg/kg | |
| Procymidone | <0.10 mg/kg | |
| Profenofos | <0.10 mg/kg | |
| Prothiofos | <0.05 mg/kg | |
| Pyrethrum (sum of cinerins, jasmolins, pyrethrins) | <3.0 mg/kg | |
| Quinalphos | <0.05 mg/kg | |
| Quintozene (sum | <0.1 mg/kg | |
| quintozene,pentachloraniline,MPPS) S 421 | <0.02 mg/kg | |
| Tecnazene | <0.05 mg/kg | |
| Tetradifon | <0.05 mg/kg | |
| Vinclozolin | <0.05 mg/kg | |
| QA23Q: Bromide, inorganic (GC) | | |
| Method Reference: EURL-SRM, Bromine Containir | | Theoretical |
| Completed: 07/11/2017 | Result | Level |
| Bromide [Method performed by an outsource lab.] | <10 mg/kg | |

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Page 2 of 3



Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

QA602: EBDCs (Dithiocarbamates) (CS2 method, GC-MS)
Method Reference: J. Agric. Food Chem. Vol. 49 pp 2152, 2001
Completed: 07/11/2017
Result
Total Dithiocarbamates. as CS2
<0.01 mg/kg

Theoretical Level

Total Dithiocarbamates, as CS2 [Method performed by an outsource lab.]

Results pertain only to the items tested.

All results are reported on an as-is basis unless otherwise stated.

Estimation of uncertainty of measurement is available upon request.

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Kent Rader BU Manager

Appendix 5.5 Pesticide Analysis BC-DHQ™ Lot 7730-170616



Supplement Analysis Center

Eurofins Scientific Inc. Supplement Analysis Center 1365 Redwood Way Petaluma, CA 94954 Tel.+1 707 792 7300 Fax:+1 707 792 7309

July 17, 2017

Cecilia Cecilia McCollum Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

CERTIFICATE OF ANALYSIS

AR-17-KK-008896-01 Batch #: EUCAPE-00091741

Sample Identification:

Sample #: 740-2017-07030091

Description: BC-DHQ, Powder, Lot #7730-170616

Condition: Beige powder in a double ziplock bag received at room temperature.

Date Received: July 03, 2017

QA12C: Pesticides - USP 561 Screen (USP 39) Method Reference: USP 561 Theoretical Completed: 07/17/2017 Result Level Acephate <0.10 mg/kg [Method performed by an outsource lab.] Alachlor <0.02 mg/kg Aldrin and Dieldrin (sum of) <0.02 mg/kg <0.02 mg/kg Azinphos-ethyl Azinphos-methyl <0.05 mg/kg Bromophos-ethyl <0.02 mg/kg Bromophos-methyl <0.02 mg/kg Bromopropylate <0.05 mg/kg Chlordane (sum of cis-, trans- and <0.05 mg/kg Oxychlordane) Chlorfenvinphos <0.02 mg/kg Chlorpyrifos-ethyl <0.02 mg/kg Chlorpyrifos-methyl <0.02 mg/kg Chlorthal-dimethyl <0.01 mg/kg Cyfluthrin (sum of) <0.10 mg/kg Cyhalothrin, lambda-<0.02 mg/kg Cypermethrin and isomers (sum of) <0.1 mg/kg DDT (total) <0.02 mg/kg Deltamethrin <0.10 mg/kg Diazinon <0.02 mg/kg Dichlofluanid <0.02 mg/kg Dichloryos <0.02 mg/kg <0.02 mg/kg Dicofol Dimethoate/Omethoate (sum) <0.10 mg/kg Endosulfan (sum of isomers and endo. sulfate) <0.02 mg/kg Endrin <0.02 mg/kg Ethion <0.02 mg/kg Etrimfos <0.05 mg/kg Fenchlorphos (sum) <0.10 mg/kg Fenitrothion <0.02 mg/kg <0.03 mg/kg Fenpropathrin

Page 1 of 3



Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

| AAOC, Destinides HED FOA Corres (HED 20) | | 9200 |
|---|---|---------------------|
| A12C: Pesticides - USP 561 Screen (USP 39) lethod Reference: USP 561 | | Theoretical |
| completed: 07/17/2017 | Result | Level |
| Fensulfothion (sum of parent, -oxons and | <0.05 mg/kg | 7110 |
| sulfones) Fenthion (sum of fenthion, -oxons, -sulfones) | <0.05 mg/kg | |
| Fenvalerate | <0.20 mg/kg | |
| Flucythrinate | <0.05 mg/kg | |
| Fluvalinate, tau- | <0.05 mg/kg | |
| Fonofos | <0.02 mg/kg | |
| Heptachlor (heptachlor+ cis-, trans- h. epoxide | <0.02 mg/kg <0.03 mg/kg | |
| Hexachlorobenzene | <0.03 mg/kg | |
| Hexachlorocyclohexane isomers (other than gamma) | <0.02 mg/kg | |
| Lindane (gamma-HCH) | <0.01 mg/kg | |
| Malathion and malaoxon (sum of) | <0.02 mg/kg | |
| Mecarbam | <0.05 mg/kg | |
| Methacriphos | <0.05 mg/kg | |
| Methamidophos | <0.05 mg/kg | |
| Methidathion | <0.02 mg/kg | |
| Methoxychlor | <0.05 mg/kg | |
| Mirex | <0.03 mg/kg | |
| Monocrotophos | <0.10 mg/kg | |
| Parathion-ethyl and Paraoxon-ethyl (sum of) | <0.10 mg/kg <0.20 mg/kg | |
| Parathion-methyl and Paraoxon-methyl (sum | <0.20 mg/kg | |
| of) | | |
| Pendimethalin | <0.10 mg/kg | |
| Pentachloranisole | <0.01 mg/kg | |
| Permethrin and isomers (sum of) | <0.2 mg/kg | |
| Phosalone | <0.04 mg/kg | |
| Phosmet | <0.05 mg/kg | |
| Piperonyl butoxide (PBO) | <1.0 mg/kg | |
| Pirimiphos-ethyl | <0.05 mg/kg | |
| Pirimiphos-methyl (incl. N-desethyl-) | <0.10 mg/kg | |
| Procymidone | <0.10 mg/kg | |
| Profenofos | <0.10 mg/kg | |
| Prothiofos | <0.05 mg/kg | |
| Pyrethrum (sum of cinerins, jasmolins, | <3.0 mg/kg | |
| pyrethrins) | 0.00 | |
| Quinalphos | <0.05 mg/kg | |
| Quintozene (sum | <0.1 mg/kg | |
| quintozene,pentachloraniline,MPPS) S 421 | -0.02 malka | |
| Tecnazene | <0.02 mg/kg <0.05 mg/kg | |
| Tetradifon | 3.3 | |
| Vinclozolin | <0.05 mg/kg <0.05 mg/kg | |
| Vinciozolin | <u.us kg<="" mg="" td=""><td></td></u.us> | |
| A23Q: Bromide, inorganic (GC) | | The states |
| lethod Reference: EURL-SRM, Bromine Containing completed: 07/17/2017 | Result | Theoretica Level |
| Bromide [Method performed by an outsource lab.] | <10 mg/kg | |

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Page 2 of 3



Blue California Co. 30111 Tomas Rancho Santa Margarita, CA 92688

QA602: EBDCs (Dithiocarbamates) (CS2 method, GC-MS)
Method Reference: J. Agric. Food Chem. Vol. 49 pp 2152, 2001
Completed: 07/17/2017 Result
Total Dithiocarbamates, as CS2 <0.01 mg/kg

Theoretical Level

[Method performed by an outsource lab.]

Results pertain only to the items tested.

All results are reported on an as-is basis unless otherwise stated.

Estimation of uncertainty of measurement is available upon request.

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Kent Rader BU Manager

Appendix 6 GRAS Associates Expert Panel Report

The Generally Recognized as Safe (GRAS) Status of the Proposed Uses of BC-DHQ™

February 5, 2020

Foreword

An independent panel of experts ("Expert Panel") was convened by GRAS Associates, LLC, on behalf of their client, Blue California, to evaluate the safety and Generally Recognized as Safe (GRAS) status of BC-DHQ™ high purity dihydroquercetin. The members of this Expert Panel[†] are qualified to serve in this capacity by their scientific training and experience in the safety of food and food ingredients.

The Expert Panel, having reviewed the information summarized in Blue California's dossier, the available published studies, and the EFSA expert committee evaluation on taxifolin rich extract concludes that Blue California's DHQ preparation is generally recognized as safe in foods at the usage levels described herein.

Blue California's DHQTM is substantially chemically equivalent to Ametis JSC's dihydroquercetin preparation already in commercial use. The Expert Panel considered the following evidence as evidence for the safety of Blue California's DHQTM:

- BC-DHQ[™] is produced from eriodictyol using an enzymatic bioconversion reaction. This
 reaction utilizes a nonpathogenic and nontoxigenic stain of wild type *Escherichia coli*, K12
 W3110. The manufacturing process also uses suitable food-grade materials that are used
 in accordance with applicable US Federal Regulations. The substance was confirmed to
 be in the (2R,3R)-*trans* form. BC-DHQ[™] is shown to be stable in a six-month accelerated
 stability study.
- ADME studies in animals and humans indicate that, following absorption, DHQ is conjugated in the liver by glucuronidation, sulfation, or methylation or is metabolized to smaller phenolic compounds.

GRAS ASSOCIATES, LLC

[†] Dr. Dziwenka holds a Doctor of Veterinary Medicine degree from the University of Saskatchewan and is a Diplomat with the American Board of Toxicology. She has over 22 years' experience as a practicing veterinarian and 19 years of experience in research, preclinical regulatory toxicology, and safety evaluation in food and animal feed additives and GRAS dossier preparation. Dr. Lewis is a biologist with more than 10 years of experience preparing GRAS dossiers. Dr. Omaye is a nutritionist, toxicologist, and professor in the department of Agriculture, Nutrition, and Veterinary Sciences at the University of Nevada, Reno. He is a Fellow of the Academy of Toxicological Sciences, a Certified Nutrition Specialist, and a Certified Food Scientist. All three panelists have extensive technical backgrounds in the evaluation of food ingredient safety and in participating in the deliberations of GRAS Expert Panels. Dr. Lewis served as Chair of the Panel.

- Acute and subacute animal toxicity studies show that DHQ is well tolerated in rats at a single dose of 1,500 mg per kg (at 91-98% DHQ) as well as following seven days of exposure at up to 15,000 mg per kg bw (90.94% DHQ) in a GLP-compliant study.
- **Subchronic animal studies** revealed no changes in mortality or body weights, no clinical signs of toxicity or changes in feed consumption, and no treatment-related histopathological findings and other toxicity endpoints following 90 days of exposure by gavage in rats at doses up to 1,500 mg per kg bw (92.20% DHQ).
- Chronic animal studies showed that dietary levels up to 1% DHQ for up to 650 days are well tolerated in male and female rats. The Expert Panel recognized that this is a study from 1958 and was non-GLP but considered it useful to support the safety conclusion.
- Reproductive and/or developmental toxicity was not observed in a GLP-compliant study when given daily to pregnant female rats via gavage at doses of up to 1,500 mg per kg bw per day from gestational day 6 to 16 or up to 75 mg per kg bw in pregnant female rats from gestational day 1 to 19.
- Genotoxicity and mutagenicity studies have shown no in vitro or in vivo genotoxicity or
 in vivo mutagenesis. Studies conducted included a GLP-compliant single-cell gel
 electrophoresis Comet assay and a DNA-comet assay, a GLP-compliant micronucleus
 assay in human lymphocytes, and a mutagenicity study in S. typhimurium and E. coli
 strains.
- Clinical studies show that DHQ is well-tolerated in humans with various disease
 conditions. No adverse effects were observed in these studies, which included some welldesigned clinical studies in patients with various disease conditions. The Expert Panel
 noted that some of the studies cited in the Ametis (2010) novel food application were
 obscure and difficult to find. EFSA has previously reviewed these studies in the Ametis
 application.
- The European Food Safety Authority (EFSA) released a scientific opinion on a novel food, taxifolin-rich extract from Dahurian Larch in December of 2016 containing a minimum of 90% taxifolin (Turck et al., 2017). The novel food was intended to be added to non-alcoholic beverages at concentrations up to 0.02 g per L, to yogurt up to 0.02 g per kg, and chocolate confectionery up to 0.07 g per kg with the target population from nine years and older. It was also intended to be added to food supplements at 100 mg per day for the general population ages 14 years and above. The Panel concluded that the taxifolin-rich extract was safe under these proposed conditions of use. In late 2017, EFSA put out a statement on the safety of the same extract but was asked to take into account all population groups for this review (Turck et al., 2017). The Panel concluded that the highest intake estimate per kg bw per day from fortified foods would be in toddlers and

children at approximately 1.5 mg per kg bw per day and that the extract would be safe under the proposed conditions of use.

• The estimated daily mean intake of DHQ for the US population using the 90th percentile estimated daily intake of DHQ for the US population, 33.72 mg per day, is less than the 97.5th percentile estimated daily intake for the European population, 58.0 mg per day, which was considered safe by EFSA.

In summary, a compelling case can be made that scientific consensus exists regarding the safety of Blue California's DHQ™ in support of a GRAS conclusion under the conditions of its intended use.

Conclusion

The Expert Panel critically reviewed the data provided by Blue California for their DHQ, as well as publicly available published information obtained from peer reviewed journals and other safety assessments prepared by well-respected international regulatory bodies.

The ingestion of Blue California's DHQ from the intended uses results in intakes that are safe within the limits of established historical use, those evaluated by EFSA, and published safety studies. The levels at which Blue California intends to use its DHQ are the same as those authorized by Commission Regulation (EU) 2018/431.

The Expert Panel unanimously concluded that the proposed uses of Blue California's DHQ, as described in their dossier, and when manufactured using suitable food-grade materials which are used in accordance with applicable US Federal Regulations, is generally recognized as safe (GRAS) when added to the specified human food categories at the proposed levels.

This declaration is made in accordance with FDA's food ingredient safety standard, i.e., reasonable certainty of no harm under the intended conditions of use.



Panel Chair

END



Eurofins Scientific, Inc. 1365 Redwood Way Petaluma, Ca 94951

Summary Report

Method Verification of the Determination of Dihydroquercetin (BC-DHQ[™]) by High Performance Liquid Chromatography (HPLC) and Purity Analysis of Five Production Samples

| Prepared by: _ | Hong You, Ph.D., Principal Scientist Eurofins Scientific, Inc. |
|----------------|---|
| | Darlene Enriquez, QA Manager Eurofins Scientific, Inc. |
| | Kent Rader, Business Unit Manager Eurofins Scientific, Inc. |
| Approved by: | Cecilia McCollum, Executive Vice President Blue California. |

Date Issued: July 26th, 2017



I. Study Identification

1. Study Title:

Method Verification of the Determination of Dihydroquercetin (BC-DHO™) by High Performance Liquid Chromatography (HPLC) and Purity Analysis of Five Production Samples

2. Study Objective:

The objective of this study was to verify the assay for dihydroquercetin by High Performance Liquid Chromatography (HPLC) and purity analysis of five production samples using a method modified based on a fully validated ISO-accredited Eurofins inhouse method.

3. Study Coordinator/Performing Laboratory:

Hong You, Ph.D., Principal Scientist Eurofins Scientific, Inc.

Timothy Sit, Analyst Eurofins Scientific, Inc.

Darlene Enriquez, QA Manager Eurofins Scientific, Inc.

Kent Rader, Business Unit Manager Eurofins Scientific, Inc

4. Study Monitors:

Cecilia McCollum, Executive Vice President Blue California

5. Method References:

K0195 Determination of Dihydroquercetin LC-K0023 HPLC Determination of Bioflavonoids (Eurofins ISO-accredited method)

II. Study Description

1. Scope:

This method is applicable to the determination and quantification of dihydroquercetin, in raw materials and BC-DHQTM products. Dihydroquercetin quantitation was determined using the Sigma standard. HPLC-DAD (HPLC with Diode Array Detector) was used as the analytical instrument.



2. Test Materials:

Dihydroquercetin dietary supplement finished product

(1) Eurofins sample 740-2017-07030039 BC-DHQ, Powder, Lot #7730-170616

(2) Eurofins sample 740-2017-07030040 BC-DHQ, Powder, Lot #7730-160823

(3) Eurofins sample 740-2017-07030041 BC-DHQ, Powder, Lot #7730-161028

(4) Eurofins sample 740-2017-07030042 BC-DHQ, Powder, Lot #7730-170425

(5) Eurofins sample 740-2017-07030043 BC-DHQ, Powder, Lot #7730-170525

3. Test Reagents:

(1) Acetonitrile (HPLC Grade), Fisher Catalog #: A998-4, C.A.S #: 75-05-8

(2) Methanol (HPLC Grade), Fisher Catalog #: A452-4, C.A.S #: 67-56-1

(3) O-Phosphoric acid (HPLC Grade), Fisher Catalog #: A260-500, C.A.S #: 7664-38-2

(4) Taxifolin (dihydroquercetin), Sigma Catalog #: 78666, C.A.S #: 480-18-2

(5) Milli-Q water, fresh daily

4. Mobile Phase Preparation:

Mobile phase A: 0.2% phosphoric acid in Milli-Q water

Mobile phase B: 100% acetonitrile

Mobile phase C: 100% methanol

5. Reference Standards:

A. Stock standards.

1. Adjust standard concentration for purity and moisture levels (Sigma). Corrections were made based on supplier's Certificate of Analysis.

- 2. On a microbalance, accurately weighed about 12 mg of dihydroquercetin Sigma standard; quantitatively added 40 mL methanol. This is stock solution.
- B. Calibration working standards were prepared by diluting standard stock solution with methanol. The range of quantitation was approximately between 10 ug/mL and 280 ug/mL in solution. A 5 point curve was utilized for determination of linearity for this study. A minimum of 3 point curve will be used for routine quantitation for the current and future samples. The sample test concentration was approximately 75 ug/mL dihydroquercetin, based on the expected test sample



concentration. The adjusted dihydroquercetin standard curve covered the targeting dihydroquercetin sample concentration.

- C. Accuracy test was performed by testing routine samples that were spiked with three different levels of the standard stock solution.
- D. Sigma dihydroquercetin standard was utilized for system suitability test and as calibration standards. See results section for concentrations.

6. Single Lab Verification Study Results:

A. Primary method: See provided method.

B. System Suitability:

- 1. Minimum of 5 injections of an approximately 145 ug/ml standard solution were injected during the analysis sequence for dihydroquercetin.
- 2. Acceptance criteria: The system is considered suitable if USP tailing factor of the standard peak must be $T \le 2.0$ Critical resolution must be > 1.5 Standard peak area %RSD ≤ 2.0 Standard retention time %RSD ≤ 2.0

Standard peak area and retention time results are as follows:

| | Dihydroquercetin | PASS/FAIL |
|--|------------------|-----------|
| Retention time (RT) Range (minutes) | 7.61 – 7.71 | - 5 |
| RT % RSD | 0.568 | PASS |
| Peak area range | 1642 | - |
| Peak area RSD | 1.87 | PASS |
| Number of Data Points | 5 | |

Dihydroquercetin standard retention time %RSD passed the criteria of less than 2%.

Dihydroquercetin standard peak area %RSD passed the criteria of less than 2%.

3. A Peak Performance Evaluation report was generated using Agilent Chem Station software to include the resolution and USP tailing for dihydroquercetin. Results are as follows:

> Resolution to Next Peak Dihydroquercetin = 3.66 PASS USP Tailing Dihydroquercetin = 1.00 PASS

4. The retention time and identity for dihydroquercetin in samples were confirmed using the Sigma dihydroquercetin commercial standards.



C. Linearity:

1. A 5 point calibration curve for dihydroquercetin was developed. The stock standard was diluted into working solutions and then injected. The 5 point calibration curve for this project with relative concentrations for dihydroquercetin was as follows (adjusted for standard purity):

| Stock used (mL) | Final working solution (mL) | Relative Concentration (mg/mL) |
|-----------------|-----------------------------|--------------------------------|
| 5 | 5 | 0.278 |
| 3.75 | -5 | 0.208 |
| 2.5 | 5 | 0.139 |
| 1.25 | 5 | 0.0695 |
| 0.167 | 5 | 0.00928 |

Linearity Results Dihydroquercetin:

| Correlation Coefficient | Criteria | PASS/FAIL |
|-------------------------|----------|-----------|
| 0.99944 | > 0.999 | PASS |

2. The relative standard deviation (RSD) for the response factor ((amount/area) mg/mL/mAU) was determined between calibration levels. The RSD expressed as a percent is to achieve a specification of <5%. The %RSDs achieved between calibration levels was acceptable at 2.58% for dihydroquercetin.

D. Specificity: For purposes of this study, selectivity is specificity

- 1. Perform selectivity procedures:
 - a. Analyze at least one prep solvent blank.
- 2. Results:
 - a. Three preparation solvent blanks were tested. The chromatograms were free of interfering peaks. Dihydroquercetin was also shown to not interfere (baseline resolution) with other flavonoids that have similar chemical structures including eriocitrin, rutin, narirutin, naringin, hesperidin, neohesperidin, quercetin, naringenin, and hesperitin.

E. Accuracy (Recovery):

Accuracy was determined by spiking a sample of known value (740-2017-07030039) with different levels of standard stock solution at the beginning of the study. The analyzed final results were used to compare to their theoretical results for the percentage recovery result. This test was used to determine if the method can accurately determine the analyte results without significant matrix interference.

| Spiked stock(mL) | Recovery% | Acceptance criteria | PASS/FAIL |
|------------------|-----------|---------------------|-----------|
| 3 (low level) | 99.0 | 95-102% | PASS |
| 5 (mid level) | 97.5 | 95-102% | PASS |
| 10 (high level) | 96.3 | 95-102% | PASS |



F. Precision (Repeatability):

Five lots of BC-DHQ[™] testing samples were analyzed for purity concentration. Dihydroquercetin stock standard was prepared at about 0.278 mg/mL (5 mL, 3.75 mL, 2.5 mL, 1.25 mL, and 0.167 mL stock solution were used to prepare 5 levels of working calibration standard solution). The range of dihydroquercetin quantitation was approximately between 10 ug/mL and 280 ug/mL. The testing purity samples were prepared at approximately 75 ug/mL with 95% as their expected concentration level. Based on Eurofins' in-house criteria, % RSD for precision measurements shall be less than 5.

Only one dihydroquercetin signal was found in corresponding chromatograms.

Sample results are as follows:

| 740-2017-07030039 | Run 1 | Run 2 | Run 3 | | |
|-------------------|------------------|------------------|------------------|-------------------|-------------------------------------|
| Compound | Result (%w/w) | Result (%w/w) | Result (%w/w) | Average (%w/w) | % Relative Standard Deviation |
| Dihydroquercetin | 93.7 | 93.7 | 94.5 | 94.0 | 0.492 |
| 740 2017 07070040 | Don 1 | Down 2 | Days 2 | | |
| 740-2017-07030040 | Run 1 | Run 2 | Run 3 | | |
| Compound | Result (%w/w) | Result (%w/w) | Result (%w/w) | Average (%w/w) | % Relative Standard Deviation |
| Dihydroquercetin | 94.5 | 94.9 | 94.1 | 94.5 | 0.423 |
| | | | | | |
| 740-2017-07030041 | Run 1 | Run 2 | Run 3 | | |
| Compound | Result (%w/w) | Result (%w/w) | Result (%w/w) | Average (%w/w) | % Relative Standard Deviation |
| Dihydroquercetin | 93.5 | 94.8 | 94.1 | 94.1 | 0.691 |
| 740-2017-07030042 | Run 1 | Run 2 | Run 3 | | |
| Compound | Result (%w/w) | Result (%w/w) | Result (%w/w) | Average (%w/w) | % Relative Standard Deviation |
| Dihydroquercetin | 94 | 94 | 94.4 | 94.1 | 0.245 |
| 740-2017-07030043 | Run 1 | Run 2 | Run 3 | | |
| Compound | Result (%w/w) | Result (%w/w) | Result (%w/w) | Average (%w/w) | % Relative Standard Deviation |
| Dihydroquercetin | 92.2 | 91.5 | 92 | 91.9 | 0.392 |



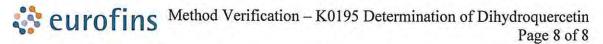
G. Moisture Correction:

Moisture determination tests were conducted. Sample results after moisture correction are listed below:

| 740 2017 07020020 | | Run 1 | Run 2 | Run 3 | | |
|-------------------|---------------|----------------------------------|----------------------------------|----------------------------------|---------|-------------------------------------|
| 740-2017-07030039 | | 1 - 30 WAV - 4 | | 70000 | | |
| Compound | Moisture % | Result (%w/w) on dry-basis | Result (%w/w) on dry-basis | Result (%w/w) on dry-basis | Average | % Relative Standard Deviation |
| Dihydroquercetin | 3.82 | 97.4 | 97.4 | 98.3 | 97.7 | 0.492 |
| 740-2017-07030040 | 1000 | Run 1 | Run 2 | Run 3 | | |
| Compound | Moisture % | Result (%w/w) on dry-basis | Result (%w/w) on dry-basis | Result (%w/w) on dry-basis | Average | % Relative Standard Deviation |
| Dihydroquercetin | 3.32 | 97.7 | 98.2 | 97.3 | 97.8 | 0.423 |
| 740-2017-07030041 | | Run 1 | Run 2 | Run 3 | | |
| Compound | Moisture % | Result (%w/w) on dry-basis | Result (%w/w) on dry-basis | Result (%w/w) on dry-basis | Average | % Relative Standard Deviation |
| Dihydroquercetin | 3.71 | 97.1 | 98.5 | 97.7 | 97.8 | 0.69 |
| 740-2017-07030042 | | Run 1 | Run 2 | Run 3 | | |
| Compound | Moisture % | Result (%w/w) on dry-basis | Result (%w/w) on dry-basis | Result (%w/w) on dry-basis | Average | % Relative Standard Deviation |
| Dihydroquercetin | 3.25 | 97.2 | 97.2 | 97.6 | 97.3 | 0.24 |
| 740-2017-07030043 | | Run 1 | Run 2 | Run 3 | | |
| Compound | Moisture % | Result (%w/w) on dry-basis | Result (%w/w) on dry-basis | Result (%w/w) on dry-basis | Average | % Relative Standard Deviation |
| Dihydroquercetin | 3.48 | 95.5 | 94.8 | 95.3 | 95.2 | 0.39 |

7. Conclusions:

The results generated met and exceed the acceptance criteria as established in the method verification proposal. All analyses were performed on an Agilent 1100 series HPLC-DAD (HPLC with diode array detector) and processed using Agilent ChemStation software. The primary objective of the study was to accurately determine the concentration of dihydroquercetin in BC-DHQ™ products without significant matrix interference.



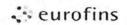
Quantitation of dihydroquercetin was accomplished against Sigma's dihydroquercetin reference material (standard) as described in Eurofins K0195 Determination of Dihydroquercetin.

Limit of detection and limit of quantitation were beyond the scope of this project and considered not necessary because of the high purity of target samples (dihydroquercetin raw material).

Five lots of BC-DHQTM samples were tested by this method. All testing results have met client's expected level after moisture corrections. The results showed that the method is linear, specific, suitable, precise and accurate for dihydroquercetin determination in BC-DHQTM product matrix.

LINEARITY & PRECISION (REPEATABILITY)

PREP SHEETS



Supplement Analysis Center

FRM-476.04 HPLC Sample Preparation Sheet

Replace: FRM-476.03 Effective Date: 05/25/2017

QA Approval: 05/24/2017

| | | | | Earliest Sample Due Date: | | |
|-------------------|------------------|------------|--------------|----------------------------------|-------------------|------------|
| Date Entered into | e-LIMS: 7/17/17 | | Analyst: 7 5 | Log#: 17-1360 | | |
| Date Started | 7/11/17 | | | Method: Kb145 | Sequence: LCICOO | 23-17-1360 |
| Prepped By | | | | Column Type: 513-C18 | Instrument: 14000 | 2-7 |
| Method Name | DHQ | | | Column ID: 4086 | CI#/Lot # | Exp. |
| Balance | XP26#2 BP2110# | 2 | | Eluent A: 2Phos | 1956 | 7/25/17 |
| Vol. Device | Dispenseite | | 1 | Eluent B: ACA | 17823 | 1/11/18 |
| Prep Solvent | MeOH ACN Milli-Q | Lot# 18154 | Exp. 9/27/17 | Eluent C: Me OH | 18131 | 12/7/17 |
| Prep Solvent | | Lot# | Exp. | Other Chemicals: | | |
| Prep Solvent | | Lot# | Exp. | | | |

*Note: Mark "X" or "V" if sample was Ground. Mark "-" if sample was NOT Ground.

**Final Dilution to be entered into ChemStation.

| | | | Sample Preparati | on | | | | Notes. |
|----------------|---|---------------|------------------|-----------------------|--------------------------|------------------------|--------------------------|--------|
| Val/Rep Ground | | | | | Volu | ıme | | |
| Use Only | * | Sample ID | Amount (mg) | Dilution Vol. (mL) | 2º Dilution Vol. (mL) | Injection Vol. (μL) | Final Dilution (mL)** | |
| 1 | ſ | Control Total | 62.75 | 40 | | 5 | 40 | |
| - | | Control RR | 45.020 | 40 | J | | | |
| - | | Control Hasp | 3.488 | 40 | - | | | |
| Δ | | 07030039A | 3.031 | 40 | _ | | | |
| | | 070300348 | 3.076 | 40 | - | | | |
| | | 070300346 | 3.294 | 40 | - | | | |
| | | 07030040 A | 3.139 | 40 | _ | | | |
| | | 67 030 040 B | 3.416 | 40 | _ | | | 1 |
| | | 07030040C | 3.201 | 40 | - | | | 1 |
| | | 07030041 A | 3.072 | 40 | - | | | |
| | | " B | 3.494 | 40 | - | | | 1 |
| | | 11 /1 / | 3.696 | 40 | | | | 1 |
| | | 07030042 A | 3,271 | 40 | - | | | |
| V | V | 11 14 B | 3, 433 | 40 | - | | | 1 |
| Λ | - | 11 (| 3.904 | 40 | _ | 1 | | 1 |

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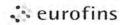
Reviewed By:

Validated By:

Date: 7(1)17

Date: 7(1)17

Page ____ of ____



Supplement Analysis Center

FRM-476.04 HPLC Sample Preparation Sheet

Replace: FRM-476.03 Effective Date: 05/25/2017

QA Approval: 05/24/2017

| | | | | Earliest Sample Due Date: | | |
|-------------------|------------------|-------|------------|---------------------------|-------------|------|
| Date Entered into | e-LIMS: | | Analyst: | Log #: | | |
| Date Started | | | | Method: | Sequence: | |
| Prepped By | | | | Column Type: | Instrument: | |
| Method Name | | | | Column ID: | CI#/Lot # | Exp. |
| Balance | | | | Eluent A: | | |
| Vol. Device | | | 75 7/17/17 | Eluent B: | | |
| Prep Solvent | MeOH ACN Milli-Q | Lot # | Exp. | Eluent C: | | |
| Prep Solvent | | Lot # | Exp | Other Chemicals: | 75 7/17/17 | |
| Prep Solvent | | Lot # | Exp. | | . 11.111 | |

| 7 - | 2 2 10 30 30 00 | | Sample Preparation | on | | | | Notes: |
|----------------|-----------------|------------|--------------------|-----------------------|--------------------------|------------------------|--------------------------|--------|
| Val/Rep Ground | | | | | Volu | ıme | | |
| Use Only | Ground * | Sample ID | Amount (mg) | Dilution Vol. (mL) | 2º Dilution Vol. (mL) | Injection Vol. (μL) | Final Dilution (mL)** | |
| b | - | 07030043 A | 2.947 | 40 | | 5 | 40 | |
| b | - | " B | 3.087 | 40 | _ | 5 | 40 | 1 |
| À | _ | " " C | 2.986 | 40 | - | 5 | 40 | |
| | | | | | | | | |
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| Δ Note: R (Reported), OOS (Out of Specification), INC (Incomplete) | |
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| Reviewed By: | D |

Validated By:

Date: 1(17)(*

Page $\frac{Q}{}$ of $\frac{3}{}$

| eurofins | | | | |
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FRM-474.02 HPLC Multiple Reference Material Preparation Sheet

Replace: FRM-474.01 Effective date: 03/06/2017 QA Approval: AKO3 03/02/2017

| Date Prepared | 7/11/17 | | |
|---------------|------------------|------------|--------------|
| Prepared by | | | |
| Method Name | DHR | | |
| Method # | K0(45 | | |
| Balance | 7P26#3 | | |
| Vol. Device | Cluss A | | |
| Prep Solvent | MeOH ACN Milli-Q | Lot# 18154 | Exp. 9/27/17 |
| Prep Solvent | | Lot# — | Exp. |
| ATT# 1 | | | |

| Lot Number*: | Expires*: |
|-----------------------------|-----------|
| Other Chemicals or Notes: | |
| stilet chemicals of riotes. | |
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| | |

^{*}When reusing previously made material, annotate the Lot number, attach a copy of the prep sheet to the data packet, and record the expiration date.

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| Taxitolia 13354 12/18 85.6 3.137 10 0.2685272 0.2013954 | - 7 - 5 |
| 1,75 / 16701 | 0.1342636 |
| | |
| al Turitolin 18299 7/20 95.4 2.913 10 0.2774002 0.20842515 | 0.1389501 |
| 0.06947505 0.0042818667 | |
| 2/0 | |
| -70 | |
| 75 | |
| 7/17/17 | |

| Reviewed By | Date 7/17/17 |
|--------------|--------------|
| TCVICVICUIDY | Date |

ACCURACY

PREP SHEETS



Supplement Analysis Center

FRM-476.04 **HPLC Sample Preparation Sheet**

Replace: FRM-476.03 Effective Date: 05/25/2017

QA Approval: 05/24/2017

| | | | Earliest Sample Due Date: MA | | |
|--------------------|---|--|---|----------------------|----------------------|
| o e-LIMS: 7 7 7 17 | | Log #: 17-1425 | | | |
| 7/21/17 | | | Method: 100 203 | Sequence: | |
| | | Column Type: 5B-CI8 Instrument: HPLC- | | 6-7 | |
| DHQ | | Column ID: 4086 | CI#/Lot # | Exp. | |
| XP26#2 | | | Eluent A: 0.2 1 - Phosphone And y Mills | 2005-1962 | 8/4/17 |
| class A | | | | 17823 | 1/11/18 |
| MeOH ACN Milli-Q | Lot # 18164 | | | 18/31 | 12/1/17 |
| | Lot# | Exp. — | Other Chemicals: | | |
| | Lot# | Exp. — | | | |
| | 7/21/17 DHB XP26#2 Cluss A [MeOH] ACN Milli-Q | 7 21 17 D H A XP26# 2 Cluss A [MeOH ACN Milli-Q Lot # 18164 Lot # — | 7 21 17 D H Q XP26# 2 Class A [MeOH] ACN Milli-Q Lot # 18164 Exp. 9/27/17 Lot # — Exp. — | De-LIMS: 7 25 17 | De-LIMS: 7 25 17 |

*Note: Mark "X" or "v" if sample was Ground. Mark "-" if sample was NOT Ground.

**Final Dilution to be entered into ChemStation.

| 4 | | | Sample Preparation | on | | | | Notes: |
|----------|--------|---------------|--------------------|-----------------------|--------------------------|------------------------|--------------------------|--------|
| Val/Rep | Ground | | | | Volu | ume | | |
| Use Only | * | Sample ID | Amount (mg) | Dilution Vol. (mL) | 2º Dilution Vol. (mL) | Injection Vol. (μL) | Final Dilution (mL)** | |
| | 1 | Control Hesp | 4.305 | 40 | - | 5 | 40 | |
| | - | Control total | 50.757 | 40 | - | | 1 | |
| |) | control RQ | 46.040 | 40 | - | | | |
| | 1 | 07030039 | 3.034 | 40 | - | | | j= |
| | 1 | 07030039 d | 3.272 | 40 | - | | | |
| | 1 | 07030039 51 | 3,030 | 40 | _ | | | |
| | 1 | 07030031 52 | 3.031 | 40 | - | 1:15 | | |
| | | 0703 0039 53 | 3.022 | 40 | - | 1 | 1 | |
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| | | | | 75 | . , | | | |
| | | | | 1 | 25/17 | | | |
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| Δ Note: R (Reported), OOS (Out of Specification) | , INC (Incomplete) |
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Reviewed By:

Validated By:

Date: 7/28/17

Date: 8(9/17 (Validated previous)5.

NK assent) 11-0/9/17

FRM-474.02 HPLC Multiple Reference Material Preparation Sheet

Replace: FRM-474.01 Effective date: 03/06/2017 QA Approval: AKO3 03/02/2017

| Date Prepared | 7/20/17 | | |
|---------------|------------------|------------|--------------|
| Prepared by | | | |
| Method Name | DHQ | | |
| Method # | 10023 | | |
| Balance | X658#5 | | |
| Vol. Device | cluss A | | |
| Prep Solvent | MeOH ACN Milli-Q | Lot# 18/54 | Exp. 9/27/17 |
| Prep Solvent | - | Lot# | Exp |

| Log #: 17~1425 Lot Number*: | Expires*: — |
|--------------------------------|-------------|
| Other Chemicals or Notes: | |
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| | |
| | _ |

^{*}When reusing previously made material, annotate the Lot number, attach a copy of the prep sheet to the data packet, and record the expiration date.

| eference Materia | l Preparation | n* | truckies; | 1 1 1 2 CB 11 10 | 14.3 | Copyright April | Concentration (mg/1 | |
|------------------|---------------|------|-----------|------------------|----------|--------------------|---------------------------|------------|
| Analyte | CI# | Exp. | Purity | Amt (mg) | Vol (mL) | Donot use below po | Dilutions/Injection Volum | 7 |
| axitolin | 18294 | | 95.4 | 12.034 | 40 | 0.2870109 | 3.75-75 ml 0.215258175 | 0.14350545 |
| | | | | | | 0.071752725 | 0.0095861641 | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | 73 | | | |
| | | | | | 7/25/17 | | | |
| | | | | | | | | |
| | | | | | | | | |

REFERENCE MATERIAL CERTIFICATION OF ANALYSIS

TAXIFOLIN (dihydroquercetin)

8294

3050 Spruce Street, Saint Louis, MO 63103 USA Email USA: techserv@sial.com Outside USA: eurtechserv@sial.com

Hillcare of Analysis ;

Product Name:

TAXIFOLIN analytical standard

Product Number: 78666

Batch Number: BCBQ3955V

Brand:Sigma-AldrichCAS Number:480-18-2Formula: $C_{15}H_{12}O_7$ Formula Weight:304.25

Quality Release Date: 07 JUL 2015

TEST SPECIFICATION RESULT

APPEARANCE (COLOR) WHITE TO LIGHT BROWN
APPEARANCE (FORM) POWDER POWDER

PURITY (HPLC AREA %) ≥ 85.0 % 95.4 %

INFRARED SPECTRUM CONFORMS TO STRUCTURE CONFORMS



Dr. Claudia Geitner

Manager Quality Control

Buchs, Switzerland



Sigma-Aldrich warrants that at the time of the quality release or subsequent retest date this product conformed to the information contained in this publication. The current specification sheet may be available at Sigma-Aldrich.com. For further inquiries, please contact Technical Service. Purchaser must determine the suitability of the product for its particular use. See reverse side of invoice or packing slip for additional terms and conditions of sale.

PRECISION (REPEATABILITY)

SAMPLE CHROMATOGRAMS

PERCENT WEIGHT RESULTS

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\015-7-07030039a.D Sample Name: 07030039a

Acq. Operator : Seg. Line: 15 Acq. Instrument: HPLC-07 Location : Injection Date : 7/14/2017 11:24:37 PM Inj : 1

Inj Volume : 5.000 ul

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by Timothy Sit

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 10:15:22 AM by Timothy Sit

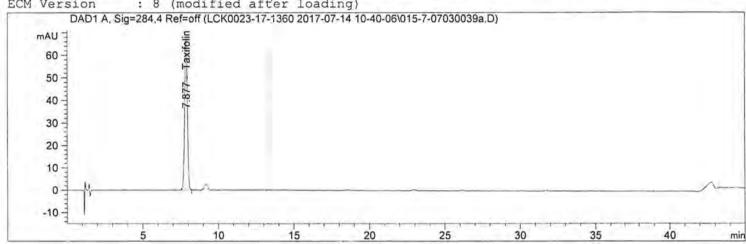
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator

: \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip ECM Path

ECM Version : 8 (modified after loading)



___________ ESTD Percent Report

Sorted By Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier 1.0000 Dilution 40.0000

Sample Amount: : 3.03100 [mg/mL] Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount % | Grp Name |
|---------------|------|-----------------|-------------------|-----------|---------------|
| | | | | | |
| 6.414 | | 93.35 | - | _ | Eriocitrin |
| 7.877 | BB | 845.34656 | 8.40135e-5 | 93.725505 | Taxifolin |
| 9.420 | | 4 | - | - | Rutin |
| 11.667 | | - | - | - | Narirutin |
| 14.472 | | - | · /- - | 90 | Naringin |
| 16.574 | | - | - | - | Hesperidin |
| 18.801 | | + | = | - | Neohesperidin |
| 25.952 | | | - | T . | Quercetin |
| 29.084 | | - | - | - | Naringenin |
| 31,605 | | - | - 1 | - | Hesperitin |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\015-/-0/030039a.u Sample Name: 07030039a

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\016-8-07030039b.D

Sample Name: 07030039b

Acq. Operator : Seq. Line : 16
Acq. Instrument : HPLC-07 Location : 8
Injection Date : 7/15/2017 12:19:08 AM Inj : 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by Timothy Sit

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 10:15:22 AM by Timothy Sit

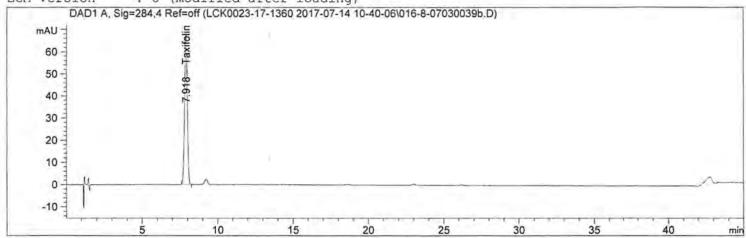
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.07600 [mg/mL]Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount % | Grp Name |
|---------------|------|-----------------|------------|-----------|---------------|
| | | | | | |
| 6.414 | | - | | - | Eriocitrin |
| 7.918 | BB | 857.26685 | 8.40763e-5 | 93.726650 | Taxifolin |
| 9.420 | | - | | - | Rutin |
| 11.667 | | - | - | - | Narirutin |
| 14.472 | | - | - | _ | Naringin |
| 16.574 | | | - | - | Hesperidin |
| 18.801 | | 0-0 | - | - | Neohesperidin |
| 25.952 | | - | - | 4 | Quercetin |
| 29.084 | | - | - | - | Naringenin |
| 31.605 | | - | - | | Hesperitin |
| | | | | | 10112 |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\016-8-07030039b.U Sample Name: 07030039b

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\017-9-07030039c.U Sample Name: 07030039c

Acq. Operator : Seq. Line : 17
Acq. Instrument : HPLC-07 Location : 9
Injection Date : 7/15/2017 1:13:40 AM Inj : 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by Timothy Sit

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 10:15:22 AM by Timothy Sit

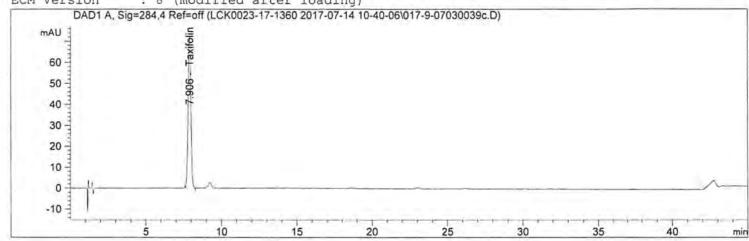
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.29400 [mg/mL]
Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount | Grp Name |
|---------------|------|-----------------|------------|-----------|---------------|
| 6.414 | , | | - | - | Eriocitrin |
| 7.906 | BB | 921.91217 | 8.43885e-5 | 94.473329 | Taxifolin |
| 9.420 | | - | - | - | Rutin |
| 11.667 | | 4 | 4 | - | Narirutin |
| 14.472 | | - | - | - | Naringin |
| 16.574 | | 1. 5 | - | - | Hesperidin |
| 18.801 | | - | - | - | Neohesperidin |
| 25.952 | | - | | - | Quercetin |
| 29.084 | | - | 8.0 | | Naringenin |
| 31.605 | | (- | | - | Hesperitin |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\017-9-07030039c.D Sample Name: 07030039c

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\019-10-07030040a.D Sample Name: 07030040a

Acg. Operator Seg. Line: 19 Acq. Instrument: HPLC-07 Location: 10 Injection Date : 7/15/2017 3:02:38 AM Inj: 1

Inj Volume : 5.000 ul

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

: 7/14/2017 6:42:32 PM by Timothy Sit Last changed

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

: 7/17/2017 10:15:22 AM by Last changed

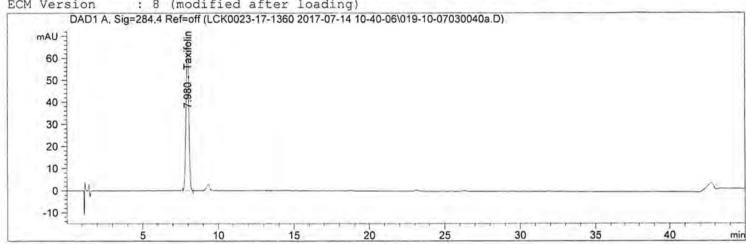
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

: \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip ECM Path

ECM Version : 8 (modified after loading)



ESTD Percent Report

Sorted By Signal

Calib. Data Modified Monday, July 17, 2017 9:49:42 AM

Multiplier 1.0000 Dilution 40.0000

Sample Amount: : 3.13900 [mg/mL] Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount % | Grp Name |
|---------------|------|-----------------|------------|-----------|---------------|
| | | | | | |
| 6.414 | | | - | - | Eriocitrin |
| 7.980 | BB | 880.84595 | 8.41955e-5 | 94.505550 | Taxifolin |
| 9.420 | | 4 | - | 2 | Rutin |
| 11.667 | | 64.1 | + | 16. | Narirutin |
| 14.472 | | - | (4) | 75 | Naringin |
| 16.574 | | - | | - | Hesperidin |
| 18.801 | | - | - 6 | 4 | Neohesperidin |
| 25.952 | | - | · · | - | Quercetin |
| 29.084 | | - | - | - | Naringenin |
| 31.605 | | C=0 | - | 8 | Hesperitin |

*** End of Report ***

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\019-10-07030040a.D

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\020-11-07030040b.D

Sample Name: 07030040b

Acq. Operator : Seq. Line : 20
Acq. Instrument : HPLC-07 Location : 11
Injection Date : 7/15/2017 3:57:06 AM Inj : 1
Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by Timothy Sit

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 10:15:22 AM by

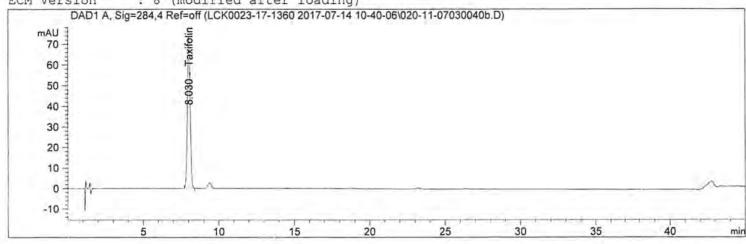
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.41600 [mg/mL]
Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount % | Grp Name |
|---------------|------|-----------------|--------------------|-------------|--|
| | | | | | |
| 6.414 | | - | - | - | Eriocitrin |
| 8.030 | BB | 958.33020 | 8.45459e-5 | 94.874522 | Taxifolin |
| 9.420 | | 4 | - | - | Rutin |
| 11.667 | | - | - | - | Narirutin |
| 14.472 | | | 8 | - | Naringin |
| 16.574 | | - | | - | Hesperidin |
| 18.801 | | (- | 9. | - | Neohesperidin |
| 25,952 | | - | × . | - | Quercetin |
| 29.084 | | · + | o = > 1 | - | Naringenin |
| 31.605 | | - | - | - | Hesperitin |
| | | | | | Control of the Contro |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\020-11-07030040b.D Sample Name: 07030040b

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\021-12-07030040c.D Sample Name: 07030040c

 Acq. Operator :
 Seq. Line : 21

 Acq. Instrument :
 HPLC-07

 Injection Date :
 7/15/2017 4:51:34 AM

 Inj :
 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

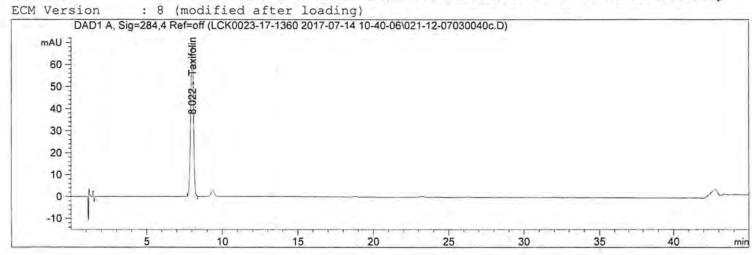
Last changed : 7/17/2017 10:15:22 AM by

Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.20100 [mg/mL]
Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount § | Grp Name |
|---------------|------|-----------------|------------|-----------|---------------|
| | | | | | |
| 6.414 | | | . I B. I | - | Eriocitrin |
| 8.022 | BB | 894.12799 | 8.42598e-5 | 94.144427 | Taxifolin |
| 9.420 | | | | - | Rutin |
| 11.667 | | - | - | - | Narirutin |
| 14.472 | | - | 9. | - | Naringin |
| 16.574 | | + | | 0-0 | Hesperidin |
| 18.801 | | - | - | | Neohesperidin |
| 25.952 | | | <u> </u> | - | Quercetin |
| 29.084 | | - | 4 | - | Naringenin |
| 31.605 | | - | - | - | Hesperitin |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\021-12-07030040c.D Sample Name: 07030040c

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\022-13-07030041a.D Sample Name: 07030041a

Acq. Operator : Seq. Line : 22
Acq. Instrument : HPLC-07 Location : 13
Injection Date : 7/15/2017 5:46:02 AM Inj : 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by

Analysis Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

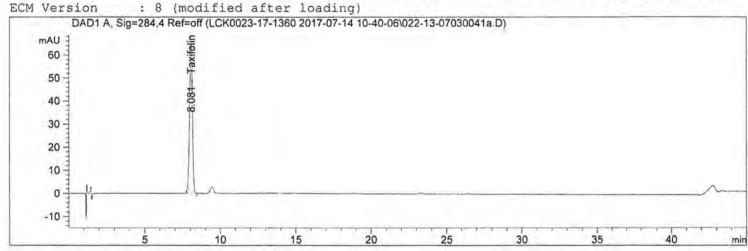
Last changed : 7/17/2017 10:15:22 AM by

Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.07200 [mg/mL]
Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount % | Grp Name |
|---------------|------|-----------------|------------|----------------|---------------|
| | | | | | |
| 6.414 | | - | - | (2) | Eriocitrin |
| 8.081 | BB | 854.61871 | 8.40625e-5 | 93.543433 | Taxifolin |
| 9.420 | | 2 | 200 | - | Rutin |
| 11.667 | | - | - | H-1 | Narirutin |
| 14.472 | | = | - | - - | Naringin |
| 16.574 | | - | ~ | 4 | Hesperidin |
| 18.801 | | 7 | - | (Sec.) | Neohesperidin |
| 25.952 | | - | - | 0=0.1 | Quercetin |
| 29.084 | | ÷ 1 | 100 | (-1) | Naringenin |
| 31.605 | | 2 | 4 | 2 | Hesperitin |
| | | | | | |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\022-13-07030041a.D Sample Name: 07030041a

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\024-14-07030041b.D Sample Name: 07030041b

Acq. Operator : Seq. Line : 24
Acq. Instrument : HPLC-07 Location : 14
Injection Date : 7/15/2017 7:34:55 AM Inj : 1
Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by Timothy Sit

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method;

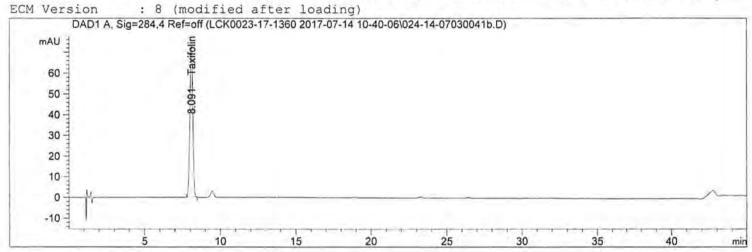
Last changed : 7/17/2017 10:15:22 AM by

Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified: Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.49400 [mg/mL]
Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount | Grp Name |
|---------------|------|--------------|-----------------|-----------|---------------|
| | | | | | |
| 6.414 | | 7 | - | - | Eriocitrin |
| 8.091 | BB | 978.23102 | 8.46269e-5 | 94.773490 | Taxifolin |
| 9.420 | | - | And the second | - | Rutin |
| 11.667 | | 4 | - 2 | - | Narirutin |
| 14.472 | | | - 6 | · = | Naringin |
| 16.574 | | 4 | - | - | Hesperidin |
| 18.801 | | | ** | ~ | Neohesperidin |
| 25.952 | | + | 7 | - | Quercetin |
| 29.084 | | | - | - | Naringenin |
| 31.605 | | | - (- | - | Hesperitin |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\024-14-07030041b.D Sample Name: 07030041b

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\025-15-07030041c,D Sample Name: 07030041c

Acq. Operator : Seq. Line: 25 Acq. Instrument: HPLC-07 Location: 15 Injection Date : 7/15/2017 8:29:28 AM Inj : 1 Inj Volume : 5.000 µl

: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M Acq. Method

Last changed : 7/14/2017 6:42:32 PM by

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 10:15:22 AM by

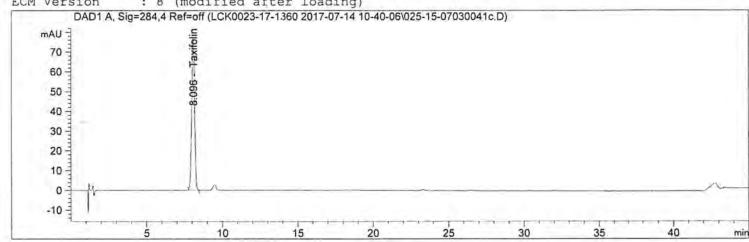
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator . .

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)



ESTD Percent Report

Sorted By Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution 40.0000

Sample Amount: 3.69600 [mg/mL] Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount % | Grp Name |
|------------------|------|-----------------|--------------------|-----------|---------------|
| 6.414 | | 1.5555555 | | - | Eriocitrin |
| 8.096 | ВВ | 1024.93567 | 8.48047e-5 | 94.068576 | Taxifolin |
| 9.420 | | -0 | - | - | Rutin |
| 11.667 | | - | - | - | Narirutin |
| 14.472 | | - | 4 | 2 | Naringin |
| 16.574 | | - | 7 . 5 . | - | Hesperidin |
| 18.801 | | - | - | - | Neohesperidin |
| 25.952 | | - | - | - | Quercetin |
| 29.084 | | (,-) | 1.91 | 9 | Naringenin |
| 31.605 | | - | - | * | Hesperitin |
| | | | | | |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\025-15-07030041c.D Sample Name: 07030041c

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\026-16-07030042a.D

Sample Name: 07030042a

Acq. Operator Seq. Line: 26 Acq. Instrument: HPLC-07 Location: 16 Injection Date : 7/15/2017 9:24:04 AM Inj : Inj Volume : 5.000 µl

: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M Acq. Method

: 7/14/2017 6:42:32 PM by Timothy Sit Last changed

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

: 7/17/2017 10:15:22 AM by Last changed

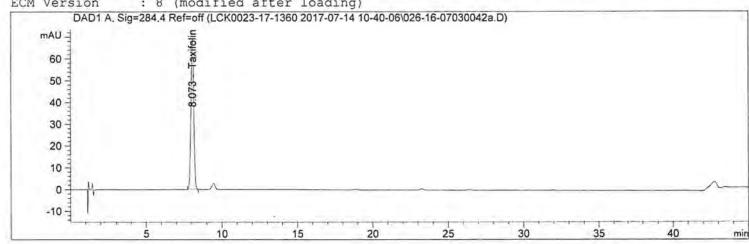
Method Info : Bioflavonoids

: http://us05apvp001/ecmwg ECM Server

ECM Operator : Timothy Sit

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)



ESTD Percent Report

Sorted By Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution 40.0000

Sample Amount: 3.27100 [mg/mL] Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount % | Grp Name |
|---------------|------|-----------------|------------|-----------|--|
| | | | | | |
| 6.414 | | - | ±. | - | Eriocitrin |
| 8.073 | BB | 911.09961 | 8.43394e-5 | 93.967056 | Taxifolin |
| 9.420 | | | - | - | Rutin |
| 11.667 | | - | - | 14 | Narirutin |
| 14.472 | | 8 | (=) | | Naringin |
| 16.574 | | - | - | ~ | Hesperidin |
| 18.801 | | 8 | (-) | - | Neohesperidin |
| 25.952 | | - | - | - | Quercetin |
| 29.084 | | - | | - | Naringenin |
| 31.605 | | ÷ | | | Hesperitin |
| | | | | | and the second s |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\026-16-07030042a.D Sample Name: 07030042a

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\027-1/-07030042b.D Sample Name: 07030042b

Acq. Operator Seq. Line: 27 Acq. Instrument: HPLC-07 Location: 17 Injection Date : 7/15/2017 10:18:31 AM Inj :

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by Timothy Sit

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 10:15:22 AM by

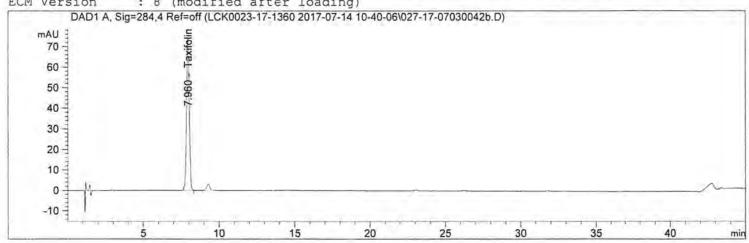
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator : Timothy Sit

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)



ESTD Percent Report

Sorted By Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier 1.0000 Dilution 40.0000

Sample Amount: 3.43300 [mg/mL] Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount % | Grp Name |
|---------------|------|-----------------|------------|----------------|---------------|
| 6 414 | | | | | |
| 6.414 | | - A - 5 | 7 | Marine Control | Eriocitrin |
| 7.960 | BB | 954.15601 | 8.45284e-5 | 93.974138 | Taxifolin |
| 9.420 | | 2 | 4 | - | Rutin |
| 11.667 | | - | (-) | : | Narirutin |
| 14.472 | | - | - | - | Naringin |
| 16.574 | | - | 140 | - | Hesperidin |
| 18.801 | | 79 | · · | ~ | Neohesperidin |
| 25.952 | | ₹ | - | - | Quercetin |
| 29.084 | | - | - | 0-3 | Naringenin |
| 31.605 | | - | - | - | Hesperitin |
| | | | | | |

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\028-18-07030042c.D Sample Name: 07030042c

Acq. Operator Seq. Line: 28 Acq. Instrument: HPLC-07 Location : 18 Injection Date : 7/15/2017 11:13:01 AM Inj:

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by

Analysis Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 10:15:22 AM by

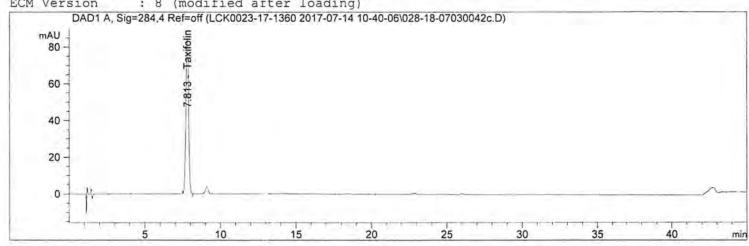
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator

: \retaluma\Lc\HFLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip ECM Path

ECM Version : 8 (modified after loading)



ESTD Percent Report

Sorted By Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier 1.0000 Dilution 40.0000

Sample Amount: : 3.80400 [mg/mL] Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount % | Grp Name |
|---------------|------|------------------------|-------------------|-------------------------|---------------|
| 6.414 | | 1 | | | Put intents |
| | | Water Table | W. Vallage of the | Personal Section of the | Eriocitrin |
| 7.813 | BB | 1056.75745 | 8.49169€-5 | 94.360166 | Taxifolin |
| 9.420 | | (2) - (1) | - 1 | + | Rutin |
| 11.667 | | 9 | - | - | Narirutin |
| 14.472 | | - | | C+ | Naringin |
| 16.574 | | - | - | - | Hesperidin |
| 18.801 | | 14 | - 1 | 2.1 | Neohesperidin |
| 25.952 | | (4.7) | - | - | Quercetin |
| 29.084 | | - | - | 18 | Naringenin |
| 31.605 | | 121 | - | U-F | Hesperitin |
| | | | | | |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\028-18-07030042c.D Sample Name: 07030042c

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\030-19-07030043a.D Sample Name: 07030043a

Acq. Operator : Seq. Line : 30
Acq. Instrument : HPLC-07 Location : 19
Injection Date : 7/15/2017 1:02:01 PM Inj : 1
Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by Timothy Sit

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 10:15:22 AM by Timothy Sit

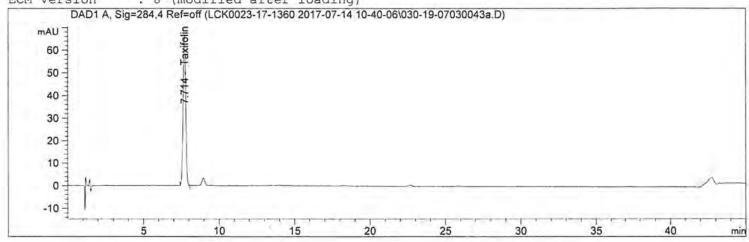
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator : Timothy Sit

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)



Sorted By : Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

ESTD Percent Report

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 2.94700 [mg/mL]
Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount % | Grp Name |
|---------------|------|-----------------|------------------|-----------|---------------|
| | | | | | |
| 6.414 | | | - | - | Eriocitrin |
| 7.714 | BB | 810.14398 | 8.38173e-5 | 92.167016 | Taxifolin |
| 9.420 | | - | (m) | - | Rutin |
| 11.667 | | - | (-) | 18 | Narirutin |
| 14.472 | | - | | 17 | Naringin |
| 16.574 | | - | - | - | Hesperidin |
| 18.801 | | 75 | - | - | Neohesperidin |
| 25.952 | | | | - | Quercetin |
| 29.084 | | - | - | · · | Naringenin |
| 31.605 | | 04. | 14 | 4.71 | Hesperitin |
| | | | | | |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\030-19-07030043a.D Sample Name: 07030043a

 RetTime
 Type
 Area
 Amt/Area
 Amount
 Grp
 Name

 [min]
 [mAU*s]
 %

 -----|
 -----|
 ------|
 ------|

 Totals:
 92.167016

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\031-20-07030043b.D Sample Name: 07030043b

Acq. Operator : Timothy Sit Seq. Line: 31 Acq. Instrument: HPLC-07 Location: 20 Inj: 1 Injection Date : 7/15/2017 1:56:35 PM Inj Volume : 5.000 µl

: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M Acq. Method

: 7/14/2017 6:42:32 PM by Timothy Sit Last changed

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 10:15:22 AM by

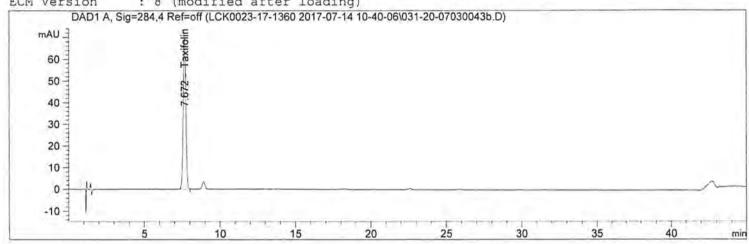
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator 100

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)



ESTD Percent Report

Sorted By Signal .

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier 1.0000 : Dilution 40.COOO

Sample Amount: 3.08700 [mg/mL] :

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount | Grp Name |
|---------------|------|-----------------|------------|-----------|---------------|
| | | | | ~~~~~~~ | |
| 6.414 | | 0.000.000.00 | - | - | Eriocitrin |
| 7.672 | BB | 840.58362 | 8.39879e-5 | 91.478902 | Taxifolin |
| 9.420 | | - | - | 3 | Rutin |
| 11.667 | | ÷. | - | - | Narirutin |
| 14.472 | | - | - | 1.50 | Naringin |
| 16.574 | | - 1 | - | 100 | Hesperidin |
| 18.801 | | 2 | - | 1.4 | Neohesperidin |
| 25.952 | | 3.1 | T | 1.4 | Quercetin |
| 29.084 | | 2 | - | ()=) | Naringenin |
| 31.605 | | - | - | 9 | Hesperitin |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\031-20-07030043b.D Sample Name: 07030043b

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount % | Grp | Name |
|---------------|------|-----------------|----------|-----------|-----|------|
| | | | | | 11 | |
| Totals | | | | 91.478902 | | |

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\032-21-07030043c.D Sample Name: 07030043c

Acq. Operator Seq. Line: 32 Acq. Instrument: HPLC-07 Location : 21 Injection Date : 7/15/2017 2:51:06 PM Inj: 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by Timothy Sit

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 10:15:22 AM by

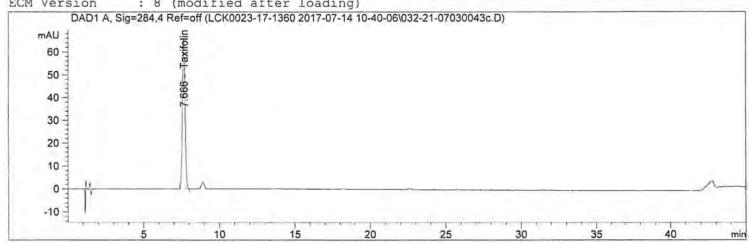
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator : 1

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)



ESTD Percent Report

Sorted By Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier 1.0000 : Dilution 40,0000

Sample Amount: 2.88600 [mg/mL] Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount | Grp Name |
|---------------|------|-----------------|------------|-----------|---------------|
| 6.414 | | <u> -</u> | | - | Eriocitrin |
| 7.666 | BB | 792.86896 | 8.37146e-5 | 91.995435 | Taxifolin |
| 9.420 | | - | - | - | Rutin |
| 11.667 | | - | - | - | Narirutin |
| 14.472 | | · - | - | 0-0 | Naringin |
| 16.574 | | - | - | 0=0 | Hesperidin |
| 18.801 | | | - | 0-1 | Neohesperidin |
| 25.952 | | - | - | - | Quercetin |
| 29.084 | | - | 7 | - | Naringenin |
| 31.605 | | - | | - | Hesperitin |
| | | | | | |

Warning : Calibrated compound(s) not found

SYSTEM SUITABILITY

CHROMATOGRAMS

Sample Name: Taxifolin 3

Acq. Operator : Seq. Line : 4
Acq. Instrument : HPLC-07 Location : 24
Injection Date : 7/24/2017 5:00:46 PM Inj : 1
Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1425b 2017-07-24 14-00-40\LCK0023-7.M

Last changed : 7/24/2017 2:00:42 PM by

Analysis Method : D:\Chem32\4\Data\LCK0023-17-1425b 2017-07-24 14-00-40\LCK0023-7.M (

Sequence Method)

Last changed : 7/26/2017 4:48:06 PM by

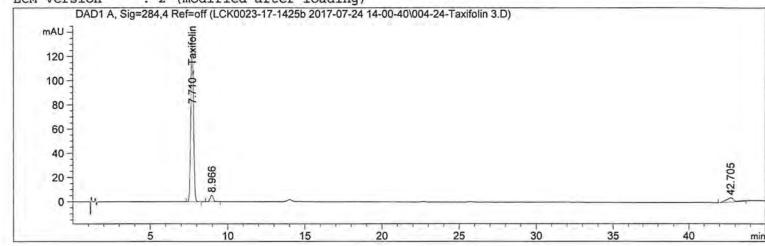
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425b 2017-07-24 14-00-40.SC.SSIzip

ECM Version : 2 (modified after loading)



External Standard Report (Sample Amount is 0!)

Sorted By : Signal

Calib. Data Modified : 7/26/2017 4:47:54 PM

Multiplier : 1.0000 Dilution : 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|---------------|------|--------------------------|------------|----------------|---------------|
| | | | | | |
| 6.500 | | - | - | · | Eriocitrin |
| 7.710 | BB | 1675.05542 | 8.61369e-5 | 1.44284e-1 | Taxifolin |
| 9.800 | | the Paris and Advantages | 4 | 2 | Rutin |
| 12.100 | | - | 2.1 | - | Narirutin |
| 15.000 | | - | - | 0-47 | Naringin |
| 16.700 | | - | - | 64 | Hesperidin |
| 18.492 | | - | 4-1 | - | Neohesperidin |
| 25.588 | | - | - | - | Quercetin |
| 28.707 | | | 5.0 | | Naringenin |
| 31.267 | | 4 | 2.1 | - | Hesperitin |
| | | | | | 4.7 |

Totals: 1.44284e-1

Data File D:\Cnem32\4\Data\LCKUU23-1/-1425D 201/-07-24 14-00-40\004-24-Taxitolin 3.D Sample Name: Taxifolin 3

2 Warnings or Errors :

Warning: Calibration warnings (see calibration table listing)

Warning : Calibrated compound(s) not found

Acq. Operator : Seq. Line : 5 Acq. Instrument: HPLC-07 Location : 24 Injection Date : 7/24/2017 5:55:18 PM Inj: 1 Inj Volume : 5.000 µl

: D:\Chem32\3\Data\LCK0023-17-1425b 2017-07-24 14-00-40\LCK0023-7.M Acq. Method

Last changed : 7/24/2017 5:45:50 PM by '

Analysis Method : D:\Chem32\4\Data\LCK0023-17-1425b 2017-07-24 14-00-40\LCK0023-7.M (

Sequence Method)

: 7/26/2017 4:48:06 PM by Last changed

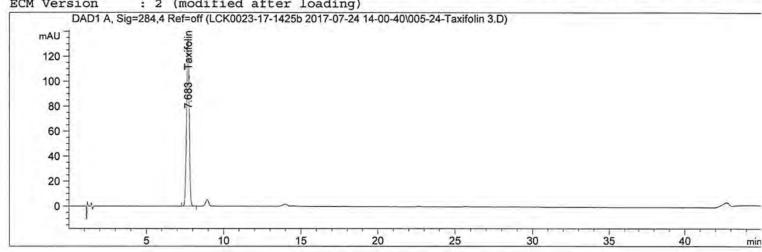
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator : Hong You

: \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425b 2017-07-24 14-00-40.SC.SSIzip ECM Path

ECM Version : 2 (modified after loading)



External Standard Report (Sample Amount is 0!)

Sorted By Signal

Calib. Data Modified : 7/26/2017 4:47:54 PM

Multiplier 1.0000 Dilution 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|---------------|------|-----------------|------------------|----------------------|---------------|
| | | | | | |
| 6.500 | | - | <u> </u> | - | Eriocitrin |
| 7.683 | BB | 1621.12231 | 8.61369e-5 | 1.39638e-1 | Taxifolin |
| 9.800 | | - | 111-11 | - | Rutin |
| 12.100 | | - | - | - | Narirutin |
| 15.000 | | - | | | Naringin |
| 16.700 | | 20 | | | Hesperidin |
| 18.492 | | - | 4 | 4 | Neohesperidin |
| 25.588 | | - | ÷ | 14 | Quercetin |
| 28.707 | | 1. E | 0 0 . | , , , , , | Naringenin |
| 31.267 | | - | - | | Hesperitin |
| | | | | | |

1.39638e-1 Totals :

Data File D:\Cnem32\4\Data\LCKUU23-1/-1425D 2017-07-24 14-00-40\005-24-Taxifolin 3.D Sample Name: Taxifolin 3

2 Warnings or Errors :

Warning: Calibration warnings (see calibration table listing)

Warning : Calibrated compound(s) not found

. Acq. Operator Seq. Line : 6 Acq. Instrument : HPLC-07 Location : 24 Injection Date : 7/24/2017 6:49:50 PM Inj : 2 Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1425b 2017-07-24 14-00-40\LCK0023-7.M

Last changed : 7/24/2017 6:40:26 PM by

Analysis Method : D:\Chem32\4\Data\LCK0023-17-1425b 2017-07-24 14-00-40\LCK0023-7.M (

Sequence Method)

: 7/26/2017 4:48:06 PM by Last changed

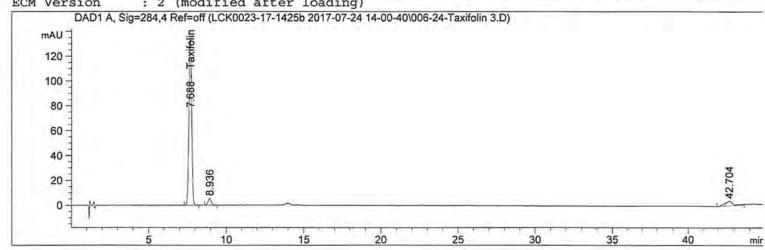
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

: Hong You ECM Operator

: \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425b 2017-07-24 14-00-40.SC.SSIzip ECM Path

ECM Version : 2 (modified after loading)



External Standard Report (Sample Amount is 0!) ______

Sorted By Signal

Calib. Data Modified : 7/26/2017 4:47:54 PM

Multiplier 1.0000 Dilution 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|---------------|------|--------------|------------|----------------|---------------|
| | | | | | |
| 6.500 | | | - | - | Eriocitrin |
| 7.688 | BB | 1626.20020 | 8.61369e-5 | 1.40076e-1 | Taxifolin |
| 9.800 | | - | - | - | Rutin |
| 12.100 | | | 120 | 0 4 | Narirutin |
| 15.000 | | 4 | 2 | - | Naringin |
| 16.700 | | | - | - | Hesperidin |
| 18.492 | | | 8.1 | | Neohesperidin |
| 25.588 | | - | - | - | Quercetin |
| 28.707 | | - | 9.1 | - | Naringenin |
| 31.267 | | - | 9.1 | ~ | Hesperitin |
| | | | | | |

1.40076e-1 Totals :

Data File D:\Cnem32\4\Data\LCKUU23-17-1425b 2017-07-24 14-00-40\006-24-Taxifolin 3.D Sample Name: Taxifolin 3

2 Warnings or Errors :

Warning: Calibration warnings (see calibration table listing)

Warning : Calibrated compound(s) not found

Sample Name: Taxifolin 3

Acq. Operator : Seq. Line : 7
Acq. Instrument : HPLC-07 Location : 24
Injection Date : 7/24/2017 7:44:25 PM Inj : 3

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1425b 2017-07-24 14-00-40\LCK0023-7.M

Last changed : 7/24/2017 7:34:54 PM by Timothy Sit

Analysis Method: D:\Chem32\4\Data\LCK0023-17-1425b 2017-07-24 14-00-40\LCK0023-7.M (

Sequence Method)

Last changed : 7/26/2017 4:48:06 PM by Hong You

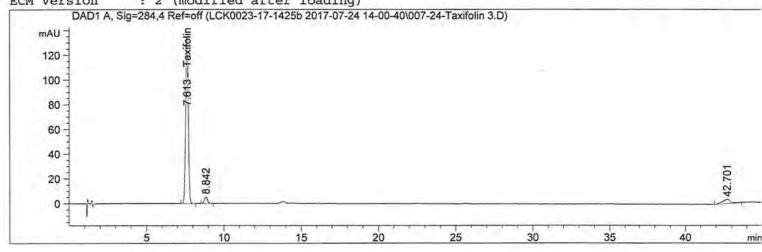
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425b 2017-07-24 14-00-40.SC.SSIzip

ECM Version : 2 (modified after loading)



External Standard Report (Sample Amount is 0!)

Sorted By : Signal

Calib. Data Modified : 7/26/2017 4:47:54 PM

Multiplier : 1.0000 Dilution : 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|---------------|------|-----------------|------------|----------------|---------------|
| | | | | | |
| 6.500 | | - | - | - | Eriocitrin |
| 7.613 | BB | 1612.90723 | 8.61369e-5 | 1.38931e-1 | Taxifolin |
| 9.800 | | - | | 7-1-1 | Rutin |
| 12.100 | | - | - | - | Narirutin |
| 15.000 | | - | - | | Naringin |
| 16.700 | | 9 | | - | Hesperidin |
| 18.492 | | - | Le I | - | Neohesperidin |
| 25.588 | | - 6 | (⊕) | 2 | Quercetin |
| 28.707 | | - | 0+0 | - | Naringenin |
| 31.267 | | 2 | ш. | 4 | Hesperitin |
| | | | | | |

Totals: 1.38931e-1

Data File D:\Cnem32\4\Data\LCKUU23-17-1425b 2017-07-24 14-00-40\007-24-Taxifolin 3.D Sample Name: Taxifolin 3

2 Warnings or Errors :

Warning: Calibration warnings (see calibration table listing)

Warning : Calibrated compound(s) not found

Acq. Operator Seq. Line : Location: 24 Acq. Instrument : HPLC-07 Injection Date : 7/24/2017 8:39:01 PM Inj : Inj Volume : 5.000 µl

: D:\Chem32\3\Data\LCK0023-17-1425b 2017-07-24 14-00-40\LCK0023-7.M Acq. Method

: 7/24/2017 8:29:31 PM by Last changed

Analysis Method: D:\Chem32\4\Data\LCK0023-17-1425b 2017-07-24 14-00-40\LCK0023-7.M (

Sequence Method)

: 7/26/2017 4:48:06 PM by Last changed

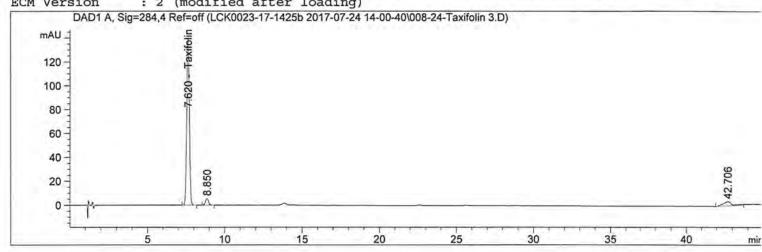
: Bioflavonoids Method Info

: http://us05apvp001/ecmwg ECM Server

ECM Operator : Hong You

: \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425b 2017-07-24 14-00-40.SC.SSIzip ECM Path

ECM Version : 2 (modified after loading)



External Standard Report (Sample Amount is 0!)

Sorted By Signal

7/26/2017 4:47:54 PM Calib. Data Modified :

Multiplier 1.0000 Dilution 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|---------------|------|-----------------|------------|----------------|---------------|
| | | | | | |
| 6.500 | | | - | - | Eriocitrin |
| 7.620 | BB | 1676.10657 | 8.61369e-5 | 1.44375e-1 | Taxifolin |
| 9.800 | | - | E E | | Rutin |
| 12.100 | | - | - | 2 | Narirutin |
| 15.000 | | - | - | 2 | Naringin |
| 16.700 | | - | - | (40) | Hesperidin |
| 18.492 | | - | - | e0 | Neohesperidin |
| 25.588 | | - | - | 9 | Quercetin |
| 28.707 | | 19 | - | - | Naringenin |
| 31.267 | | | - | - | Hesperitin |
| | | | | | |

1.44375e-1 Totals :

Data File D. (Chemsz (* Data (DCROUZS-I/-1425D ZUI/-U/-24 14-00-40 (008-24-1ax10011h 3.D Sample Name: Taxifolin 3

2 Warnings or Errors :

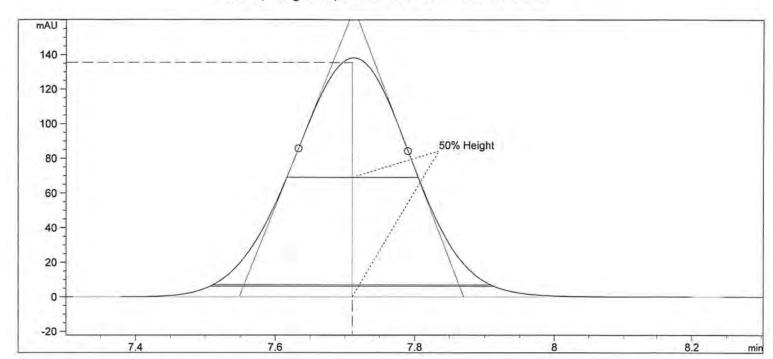
Warning: Calibration warnings (see calibration table listing)

Warning : Calibrated compound(s) not found

SYSTEM SUITABILITY

PEAK PERFORMANCE REPORT

Peak Performance Evaluation DAD1 A, Sig=284,4 Ref=off RT 7.71042 min



| Ret.Time [min] t (integrator) | 7.71042 | |
|--|------------------|--|
| Ret.Time [min] t (peak model) | 7.70917 | |
| Void time [min] (Column) t0 | - | |
| k' | | |
| Height [mAU] (integrator) | 135.43806 | |
| Height [mAU] (peak model) | 138.17695 | |
| Area [mAU*s] | 1675.0554 | |
| Peakwidth method | Half height (EP) | |
| Peakwidth [min] | 0.18838 | |
| Peak Start [min] | 7.31042 | |
| Peak End [min] | 8.29250 | |
| Skew | 0.05173 | |
| Excess | 0.18116 | |
| Symmetry (integrator) | 0.97427 | |
| Symmetry (Foley Dorsey at 10% height) | 0.99939 | |
| Symmetry (USP at 10% height) | 1.00030 | |
| USP Tailing (at 5% height) | 1.00400 | |
| Noise of classic noise range [mAU] | - | |
| Signal to noise ratio(classic range) | 1298.903244 | |
| Integration Type | BB | |
| Time Increment [ms] | 400.00000 | |
| Data Points | 180 | |
| Moment 0 | 1673.5133 | |
| Moment1 | 7.712033 | |
| Moment2 | 0.006679 | |
| Moment3 | 0.000028 | |
| Moment4 | 0.000142 | |
| Efficiency [Plates/Column] | 9278 | |
| Efficiency [Plates/Meter] | - | |
| Foley Dorsey [Plates/Column] | 9106 | |
| Foley Dorsey [Plates/Meter] | - | |
| Selectivity to prev peak | 7 | |
| Selectivity to next peak | 1.16286 | |
| Resolution to prev peak | - | |
| Resolution to next peak | 3.66611 | |
| | | |

2

Data Path D:\Chem32\4\Data\LCK0023-17-1425D 2017-07-24 14-00-40\004-24-Taxifolin 3.D Sample Name Taxifolin 3

Configuration settings

Void time and Column Configured : From Data File

Void Time(min) : -Column Length(cm) : -

Peak Width method selected : Half height (EP)

SPECIFICITY

CHROMATOGRAMS

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\004-2-Bioflavonoids stk.D Sample Name: Bioflavonoids stk

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 10:40:08 AM by Timothy Sit

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 9:35:12 AM by Timothy Sit

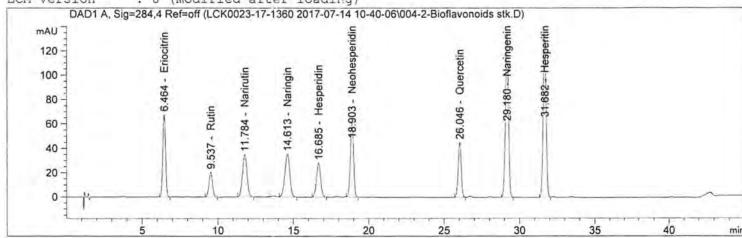
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)



External Standard Report (Sample Amount is 0!)

Sorted By : Signal

Calib. Data Modified: Monday, July 17, 2017 9:35:01 AM

Multiplier : 1.0000 Dilution : 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|--|----------------|--------------------------------------|--|--|--|
| 6.464 7.836 9.537 11.784 14.613 | BB BB | - 336.36642 744.35327 | 1.43785e-4 | 1.23349e-1 1.12667e-1 1.07027e-1 1.14444e-1 | Rutin Narirutin Taxifolin * laxifolin is well-separated from ther common flavoroids |
| 16.685 18.903 26.046 29.180 31.682 | BB BB BB | 932.78711 616.32721 1904.35022 | 1.32791e-4 1.90232e-4 7.41449e-5 | 7.96627e-2 1.23866e-1 1.17245e-1 1.41198e-1 1.36676e-1 | Hesperidin Neohesperidin Quercetin Naringenin |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\004-2-Bioflavonoids stk.D Sample Name: Bioflavonoids stk

Totals: 1.05613

1 Warnings or Errors:
Warning: Calibrated compound(s) not found

*** End of Report ***

Data File D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\001-1-blank.D

Sample Name: blank

Acq. Operator : Seq. Line : 1
Acq. Instrument : HPLC-07 Location : 1
Injection Date : 7/14/2017 10:41:42 AM Inj : 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 10:40:08 AM by

Analysis Method: D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (Sequence

Method)

Last changed : 7/17/2017 4:40:26 PM by "

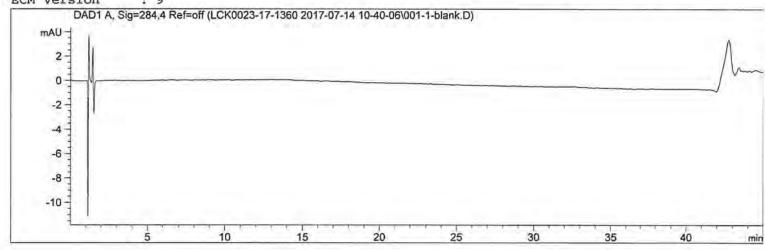
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 9



External Standard Report (Sample Amount is 0!)

Sorted By : Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|---------------|------|--------------|----------|----------------|---------------|
| | | | | | |
| 6.414 | | | - | + | Eriocitrin |
| 7.836 | | | - | ÷ | Taxifolin |
| 9.420 | | 0.00 | | - | Rutin |
| 11.667 | | 9 | 4 | 100 | Narirutin |
| 14.472 | | 199 | . 2 | | Naringin |
| 16.574 | | 3 | - | 14 | Hesperidin |
| 18.801 | | - 6 | - | | Neohesperidin |
| 25.952 | | ~ | - | | Quercetin |
| 29.084 | | 5-7 | ~ | 34 | Naringenin |
| 31.605 | | - | - | - | Hesperitin |
| | | | | | |

Totals: 0.00000

Data File D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\001-1-blank.D Sample Name: blank

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Area Percent Report

Sorted By : Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| Peak # | RetTime [min] | Type | Width [min] | Area [mAU*s] | Area % | Name |
|-----------|---------------|------|-------------|-----------------|-----------|---------------|
| | | | | | | |
| 1 | 6.414 | | 0.0000 | 0.00000 | 0.0000 | Eriocitrin |
| 2 | 7.836 | | 0.0000 | 0.00000 | 0.0000 | Taxifolin |
| 3 | 9.420 | | 0.0000 | 0.00000 | 0.0000 | Rutin |
| 4 | 11.667 | | 0.0000 | 0.00000 | 0.0000 | Narirutin |
| 5 | 14.472 | | 0.0000 | 0.00000 | 0.0000 | Naringin |
| 6 | 16.574 | | 0.0000 | 0.00000 | 0.0000 | Hesperidin |
| 7 | 18.801 | | 0.0000 | 0.00000 | 0.0000 | Neohesperidin |
| 8 | 25,952 | | 0.0000 | 0.00000 | 0.0000 | Quercetin |
| 9 | 29.084 | | 0.0000 | 0.00000 | 0.0000 | Naringenin |
| 10 | 31.605 | | 0.0000 | 0.00000 | 0.0000 | Hesperitin |
| | | | | | | |

Totals : 0.00000

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Data File D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\002-1-blank.D

Sample Name: blank

Acq. Operator Seq. Line : Acq. Instrument : HPLC-07 Location : 1 Injection Date : 7/14/2017 11:36:07 AM Inj :

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 10:40:08 AM by

Analysis Method : D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (Sequence

Method)

: 7/17/2017 4:40:26 PM by Last changed

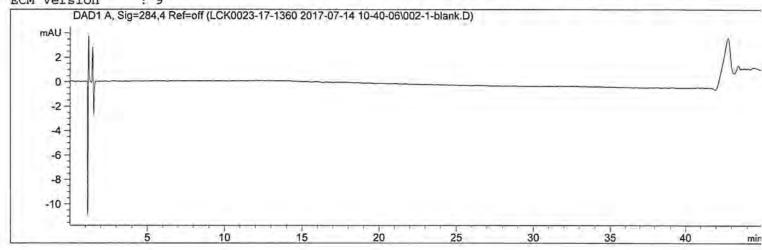
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

: Hong You ECM Operator

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version



External Standard Report (Sample Amount is 0!)

Sorted By Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier 1.0000 Dilution 1.0000 :

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|---------------|------|-----------------|----------|----------------|---------------|
| | | | | | |
| 6.414 | | | | - | Eriocitrin |
| 7.836 | | 1.0 | - | Co. | Taxifolin |
| 9.420 | | | - | - | Rutin |
| 11.667 | | -75 | 95 | - | Narirutin |
| 14.472 | | 1.5 | 199 | 17 | Naringin |
| 16.574 | | | - | - | Hesperidin |
| 18.801 | | - | - | 1.2 | Neohesperidin |
| 25.952 | | 9 | - | - | Quercetin |
| 29.084 | | 1.3 | - | - | Naringenin |
| 31.605 | | - | - | - | Hesperitin |
| | | | | | |

Totals : 0.00000 Data File D:\Chem32\4\Data\LCK0023-17-1360 2017-07-14 10-40-06\002-1-blank.D

Sample Name: blank

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

Area Percent Report

Sorted By : Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| Peak # | RetTime [min] | Туре | Width [min] | Area [mAU*s] | Area % | Name |
|-----------|---------------|------|-------------|-----------------|-----------|---------------|
| | | | | | | |
| 1 | 6.414 | | 0.0000 | 0.00000 | 0.0000 | Eriocitrin |
| 2 | 7.836 | | 0.0000 | 0.00000 | 0.0000 | Taxifolin |
| 3 | 9.420 | | 0.0000 | 0.00000 | 0.0000 | Rutin |
| 4 | 11.667 | | 0.0000 | 0.00000 | 0.0000 | Narirutin |
| 5 | 14.472 | | 0.0000 | 0.00000 | 0.0000 | Naringin |
| 6 | 16.574 | | 0.0000 | 0.00000 | 0.0000 | Hesperidin |
| 7 | 18.801 | | 0.0000 | 0.00000 | 0.0000 | Neohesperidin |
| 8 | 25.952 | | 0.0000 | 0.00000 | 0.0000 | Quercetin |
| 9 | 29.084 | | 0.0000 | 0.00000 | 0.0000 | Naringenin |
| 10 | 31.605 | | 0.0000 | 0.00000 | 0.0000 | Hesperitin |
| | | | | | | |

Totals: 0.00000

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

ACCURACY

CHROMATOGRAMS

Data File D:\Cnem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\016-32-07030039s1.D

Sample Name: 07030039s1

Acq. Operator : Seq. Line : 16
Acq. Instrument : HPLC-07 Location : 32
Injection Date : 7/23/2017 3:33:24 PM Inj : 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M

Last changed : 7/23/2017 9:02:18 AM by

Analysis Method: D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M (Sequence

Method)

Last changed : 7/28/2017 1:49:49 PM by

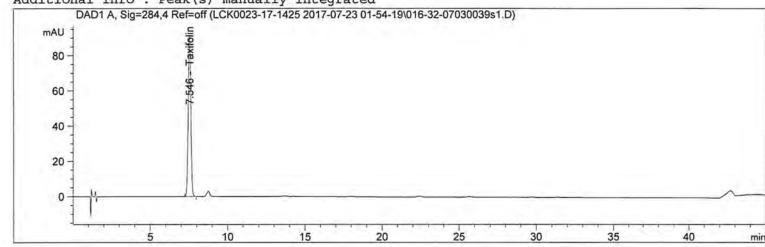
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator : Hong You

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425 2017-07-23 01-54-19.SC.SSIzip

ECM Version : 5 (modified after loading)
Additional Info : Peak(s) manually integrated



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified : 7/28/2017 1:48:52 PM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.03000 [mg/mL]Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount % | Grp Name | | | | | |
|----------------|------|-----------------|------------|------------|-----------------------------|---|-----|-------|-------|------------|
| 6.570 7.546 | вв | 1079.22522 | 8.40351e-5 | 119.726468 | Eriocitrin Taxifolin | * | low | level | spike | Waholis |
| 9.730 | | | - | - | Rutin | | | | 1 | H1 1/68/11 |
| 12.001 | | - | - | - | Narirutin | | | | | |
| 14.866 | | - | - | - | Naringin | | | | | |
| 16.864 | | | 91 | Y | Hesperidin | | | | | |
| 19.037 | | - | - | - | Neohesperidir | 1 | | | | |
| 26.218 | | 1.4 | - | - | Quercetin | | | | | |
| 29.353 | | - | 4 | - | Naringenin | | | | | |
| 31.840 | | - | | - | Hesperitin | | | | | |

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\016-32-07030039s1.D Sample Name: 07030039s1

2 Warnings or Errors :

Warning: Calibration warnings (see calibration table listing)

Warning : Calibrated compound(s) not found

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\017-33-07030039s2.D

Sample Name: 07030039s2

Acq. Operator : Seq. Line : 17
Acq. Instrument : HPLC-07 Location : 33
Injection Date : 7/23/2017 4:28:13 PM Inj : 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M

Last changed : 7/23/2017 9:02:18 AM by

Analysis Method: D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M (Sequence

Method)

Last changed : 7/28/2017 1:49:49 PM by Hong You

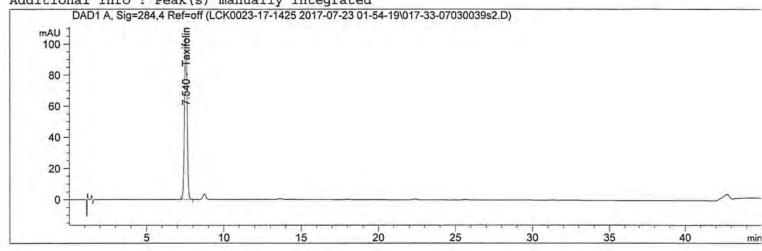
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425 2017-07-23 01-54-19.SC.SSIzip

ECM Version : 5 (modified after loading)
Additional Info : Peak(s) manually integrated



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified : 7/28/2017 1:48:52 PM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.03100 [mg/mL]
Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount % | Grp Name | | | |
|---------------|------|-----------------|------------|------------|---------------|-------|-------------|--|
| | | | | | [] | | | |
| 6.570 | | - | - | - | Eriocitrin | | | |
| 7.540 | BB | 1230.38611 | 8.39427e-5 | 136.300774 | Taxifolin | J. 11 | 1 1 1 | |
| 9.730 | | | - | - | Rutin | X mid | level spike | |
| 12.001 | | - | - | - | Narirutin | | 12 / 2/ | |
| 14.866 | | - | - | - | Naringin | | HY 7/28/17 | |
| 16.864 | | - | - | | Hesperidin | | / | |
| 19.037 | | - | - | + | Neohesperidin | | | |
| 26.218 | | 2 | 2 | 4 | Quercetin | | | |
| 29.353 | | 4. | 4.7 | - | Naringenin | | | |
| 31.840 | | - | - | - | Hesperitin | | | |
| | | | | | | | | |

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\017-33-07030039s2.D Sample Name: 07030039s2

2 Warnings or Errors :

Warning: Calibration warnings (see calibration table listing)

Warning : Calibrated compound(s) not found

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\018-34-07030039s3.D

Sample Name: 07030039s3

Acq. Operator : Seq. Line : 18
Acq. Instrument : HPLC-07 Location : 34
Injection Date : 7/23/2017 5:22:43 PM Inj : 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M

Last changed : 7/23/2017 9:02:18 AM by

Analysis Method : D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M (Sequence

Method)

Last changed : 7/28/2017 1:49:49 PM by

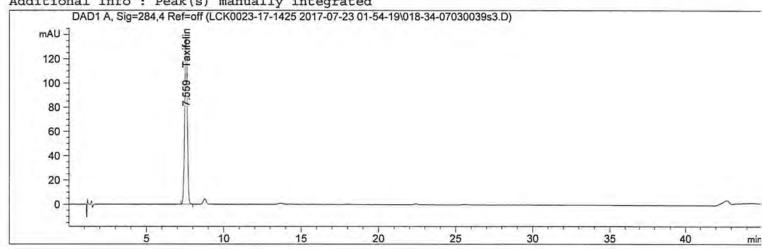
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator : Hong You

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425 2017-07-23 01-54-19.SC.SSIzip

ECM Version : 5 (modified after loading)
Additional Info : Peak(s) manually integrated



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified : 7/28/2017 1:48:52 PM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.02200 [mg/mL]
Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount % | Grp Name | | |
|---------------|------|-----------------|------------|------------|---------------|--------------|----------|
| | | | | | | | |
| 6.570 | | 2 | - | - | Eriocitrin | | |
| 7.559 | BB | 1628.23657 | 8.37815e-5 | 180.563915 | Taxifolin | | |
| 9.730 | | - | | - | Rutin | | 1 |
| 12.001 | | - | - | - | Narirutin | * high level | spike |
| 14.866 | | - | - | - | Naringin | A land | 1 / 1 |
| 16.864 | | | - | 4 | Hesperidin | H | 17/28/17 |
| 19.037 | | - | - | - | Neohesperidin | | |
| 26.218 | | 1.60 | - | - | Quercetin | | |
| 29.353 | | 1.5 | 7 ÷ | - | Naringenin | | |
| 31.840 | | | | - | Hesperitin | | |
| | | | | | | | |

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\018-34-07030039s3.D

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\014-30-07030039.D

Sample Name: 07030039

Acq. Operator : Seq. Line : 14
Acq. Instrument : HPLC-07 Location : 30
Injection Date : 7/23/2017 1:44:23 PM Inj : 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M

Last changed : 7/23/2017 9:02:18 AM by

Analysis Method : D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M (Sequence

Method)

Last changed : 7/28/2017 1:49:49 PM by

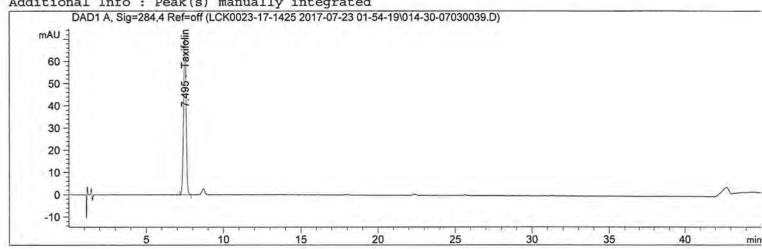
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator : Hong You

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425 2017-07-23 01-54-19.SC.SSIzip

ECM Version : 5 (modified after loading)
Additional Info : Peak(s) manually integrated



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified : 7/28/2017 1:48:52 PM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.03400 [mg/mL]
Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount % | Grp Name | | | | | |
|---------------|------|-----------------|------------|-----------|---------------|---|---|-------|-------|------------|
| | | | | | | | - | | | |
| 6.570 | | - | - | - | Eriocitrin | | | 7 3 | - | |
| 7.495 | BB | 824.30042 | 8.42677e-5 | 91.578025 | Taxifolin | 4 | 0 | level | 50,20 | 141 |
| 9.730 | | + | | - | Rutin | 5 | | 1000 | Mile | HX 7/28/17 |
| 12.001 | | - | - | 1.0 | Narirutin | | | | | 1 4 - 5/1/ |
| 14.866 | | · · | - | 0.00 | Naringin | | | | | |
| 16.864 | | 1,41 | | 0.0 | Hesperidin | | | | | |
| 19.037 | | 1.2 | ~ | - | Neohesperidin | | | | | |
| 26.218 | | - | - | | Quercetin | | | | | |
| 29.353 | | 4.5 | - | - | Naringenin | | | | | |
| 31.840 | | 4- | - | - | Hesperitin | | | | | |
| | | | | | | | | | | |

Data File D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\014-30-07030039.D Sample Name: 07030039

2 Warnings or Errors :

Warning : Calibration warnings (see calibration table listing)

Warning : Calibrated compound(s) not found

*** End of Report ***

Sample Name: 07030039d

Acq. Operator : Seq. Line : 15
Acq. Instrument : HPLC-07 Location : 31
Injection Date : 7/23/2017 2:38:54 PM Inj : 1

Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M

Last changed : 7/23/2017 9:02:18 AM by

Analysis Method : D:\Chem32\4\Data\LCK0023-17-1425 2017-07-23 01-54-19\LCK0023-7.M (Sequence

Method)

Last changed : 7/28/2017 1:49:49 PM by

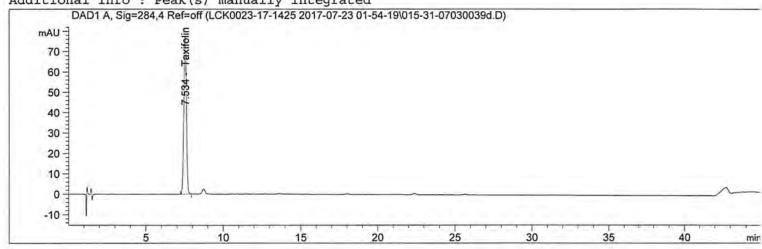
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1425 2017-07-23 01-54-19.SC.SSIzip

ECM Version : 5 (modified after loading)
Additional Info : Peak(s) manually integrated



ESTD Percent Report

Sorted By : Signal

Calib. Data Modified : 7/28/2017 1:48:52 PM

Multiplier : 1.0000 Dilution : 40.0000

Sample Amount: : 3.27200 [mg/mL]Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount % | Grp Name | | | | |
|---------------|------|--------------------|------------|-----------|---------------|-----|---|-------------|------------|
| | | | | | [] | | | | |
| 6.570 | | - | - | - | Eriocitrin | 1 - | ~ | 1000 1000 | |
| 7.534 | BB | 908.13861 | 8.41768e-5 | 93.452588 | Taxifolin | X | 0 | level spike | HY7/2/- |
| 9.730 | | - | | 4 | Rutin | | | 1 | 11/1/18/17 |
| 12.001 | | · + | - | - | Narirutin | | | | |
| 14.866 | | - | - | -0 | Naringin | | | | |
| 16.864 | | + | | + | Hesperidin | | | | |
| 19.037 | | - | 8.7 | - | Neohesperidin | | | | |
| 26.218 | | - - | | - | Quercetin | | | | |
| 29.353 | | (-2 -1 | - | -0 | Naringenin | | | | |
| 31.840 | | - | | - | Hesperitin | | | | |

Data Fire D: \Chem32\4\Data\LCKU023-1/-1425 2017-07-23 01-54-19\015-31-07030039Q.D Sample Name: 07030039d

2 Warnings or Errors :

Warning : Calibration warnings (see calibration table listing)

Warning : Calibrated compound(s) not found

*** End of Report ***

LINEARITY

CHROMATOGRAMS

DIHYDROQUERCETIN 5 POINT CALIBRATION FOR PURITY DETERMINATION

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\007-22-Taxifolin Stk.D Sample Name: Taxifolin Stk

Acq. Operator : Seq. Line : 7
Acq. Instrument : HPLC-07 Location : 22
Injection Date : 7/14/2017 4:08:25 PM Inj : 1
Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 3:59:03 PM by

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method!

Last changed : 7/17/2017 9:53:52 AM by

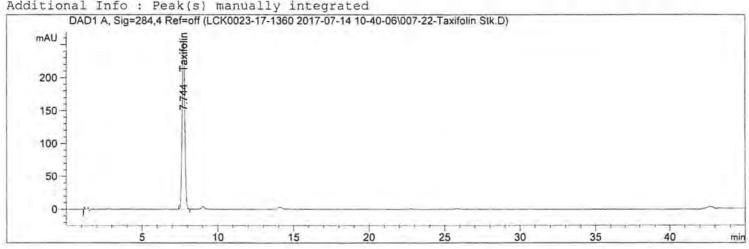
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)
Additional Info : Peak(s) manually integrated



External Standard Report (Sample Amount is 0!)

Sorted By : Signal

Calib. Data Modified: Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime T [min] | ype Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|-----------------|------------------|----------------|----------------|---------------|
| | | | | |
| 6.414 | | - | | Eriocitrin |
| 7.744 BB | 3136.09863 | 8.73119e-5 | 2.73819e-1 | Taxifolin |
| 9.420 | | and the second | | Rutin |
| 11.667 | - | 20 | - | Narirutin |
| 14.472 | - | 12 | - | Naringin |
| 16.574 | | 2 | - | Hesperidin |
| 18.801 | | - | - | Neohesperidin |
| 25.952 | 6 | - | - | Quercetin |
| 29.084 | 1.9 | - | (=) | Naringenin |
| 31.605 | - | - | - 1 | Hesperitin |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\007-22-Taxifolin Stk.D Sample Name: Taxifolin Stk

| RetTime [min] | Type | Area [mAU*s] | Amt/Ar | ea | Amount [mg/mL] | Grp | Name | |
|---------------|---------|-----------------|---|-------|----------------|--|-------|--|
| Totals : | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | 2 | .73819e- | 1 | 40000 | |
| 1 Warnin | gs or E | rrors : | | | | | | |
| Warning | : Calib | rated comp | ound(s) | not f | ound | | | |
| ******* | | | *** End | of R | eport ** | ************************************** | | |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\008-23-Taxifolin 2.D Sample Name: Taxifolin 2

Acq. Operator : Seq. Line : 8
Acq. Instrument : HPLC-07 Location : 23
Injection Date : 7/14/2017 5:02:57 PM Inj : 1
Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 4:53:29 PM by

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 9:53:52 AM by

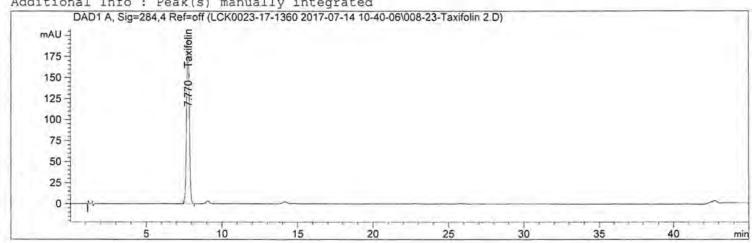
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator : Timothy Sit

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)
Additional Info : Peak(s) manually integrated



External Standard Report (Sample Amount is 0!)

Sorted By : Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|---------------|------|--------------|-------------------|----------------|--|
| | | | | | |
| 6.414 | | - | - | | Eriocitrin |
| 7.770 | BB | 2428.68335 | 8.69574€-5 | 2.11192e-1 | Taxifolin |
| 9.420 | | | | | Rutin |
| 11.667 | | - | - | - | Narirutin |
| 14.472 | | - | 10 - 0 | | Naringin |
| 16.574 | | 12 | - | - | Hesperidin |
| 18.801 | | - | - | × . | Neohesperidin |
| 25.952 | | - | - | - | Quercetin |
| 29.084 | | | - | 197 | Naringenin |
| 31.605 | | - | - | ~ | Hesperitin |
| | | | | | The state of the s |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\008-23-Taxifolin 2.D Sample Name: Taxifolin 2

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

*** End of Report ***

Acq. Operator : Seq. Line : 9
Acq. Instrument : HPLC-07 Location : 24
Injection Date : 7/14/2017 5:57:28 PM Inj : 1
Inj Volume : 5.000 µ1

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 5:48:00 PM by

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 9:53:52 AM by

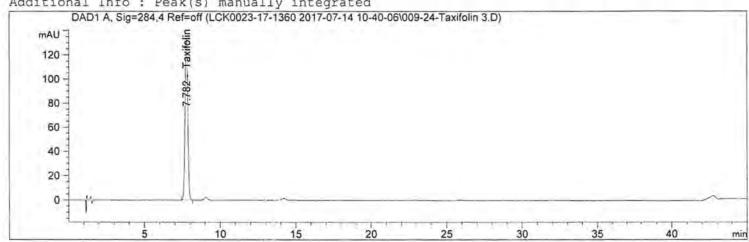
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)
Additional Info : Peak(s) manually integrated



External Standard Report (Sample Amount is 0!)

Sorted By : Signal

Calib. Data Modified: Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Туре | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|---------------|------|-----------------|------------|----------------|---|
| | | | | | |
| 6.414 | | | | 10 | Eriocitrin |
| 7.782 | BB | 1655.01001 | 8.62226e-5 | 1.42699e-1 | Taxifolin |
| 9.420 | | 11 D = 1 F 1 F | | - 1 | Rutin |
| 11.667 | | - | | - | Narirutin |
| 14.472 | | - | | - | Naringin |
| 16.574 | | - | 1,2 | le l | Hesperidin |
| 18.801 | | - | - | - | Neohesperidin |
| 25.952 | | - | 54 | 1-6 | Quercetin |
| 29.084 | | - 1 | 1.2 | - | Naringenin |
| 31.605 | | - | - | 9 | Hesperitin |
| | | | | | F. T. |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\009-24-Taxifolin 3.D Sample Name: Taxifolin 3

1 Warnings or Errors :

Warning : Calibrated compound(s) not found

*** End of Report ***

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\010-25-Taxifolin 4.D Sample Name: Taxifolin 4

Acq. Operator : Seq. Line : 10
Acq. Instrument : HPLC-07
Injection Date : 7/14/2017 6:52:00 PM
Inj : 1
Inj Volume : 5.000 µl

Acq. Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M

Last changed : 7/14/2017 6:42:32 PM by

Analysis Method : D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 9:53:52 AM by

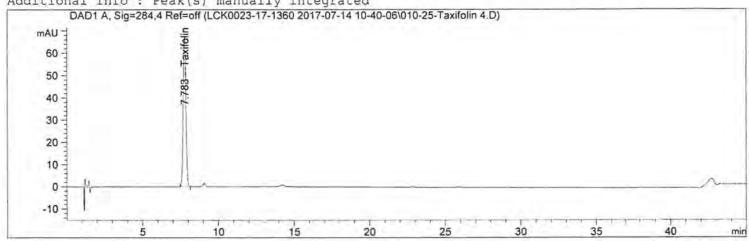
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator :

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

ECM Version : 8 (modified after loading)
Additional Info : Peak(s) manually integrated



External Standard Report (Sample Amount is 0!)

Sorted By : Signal

Calib. Data Modified: Monday, July 17, 2017 9:49:42 AM

Multiplier : 1.0000 Dilution : 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime Typ [min] | De Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp Name |
|----------------------|-----------------|------------|----------------|---------------|
| | | | | |
| 6.414 | - | - | | Eriocitrin |
| 7.783 BB | 839.00482 | 8.39793e-5 | 7.04591e-2 | Taxifolin |
| 9.420 | · · | _ | | Rutin |
| 11.667 | - | - | - | Narirutin |
| 14.472 | .0 | - | - | Naringin |
| 16.574 | 0.8 | - | 14.1 | Hesperidin |
| 18.801 | 45 | - | 1 = | Neohesperidin |
| 25.952 | - | - | - | Quercetin |
| 29.084 | ~ | - | - | Naringenin |
| 31.605 | ~ | | - | Hesperitin |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\010-25-Taxifolin 4.D Sample Name: Taxifolin 4

| RetTime T | ype Are [mAU* | S. C. | | mL] | p Name |
|------------|------------------|---|------------|-------|--|
| Totals : | 7710000 | 2000)000000 | 7.045 | 91e-2 | (20-22-40-40-40-40-40-40-40-40-40-40-40-40-40- |
| 1 Warnings | or Errors | | | | |
| Warning : | Calibrated | compound(s) | not found | i | |
| | | *** En | d of Repor | t *** | |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\011-26-Taxitolin 5.0 Sample Name: Taxifolin 5

Seq. Line: 11 Acq. Operator Acq. Instrument: HPLC-07 Location: 26 Injection Date : 7/14/2017 7:46:34 PM Inj : 1

Inj Volume : 5.000 µl

: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M Acq. Method

: 7/14/2017 6:42:32 PM by Last changed

Analysis Method: D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\LCK0023-7.M (

Sequence Method)

Last changed : 7/17/2017 9:53:52 AM by

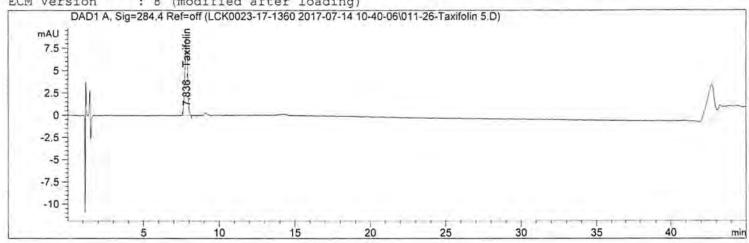
Method Info : Bioflavonoids

ECM Server : http://us05apvp001/ecmwg

ECM Operator : Timothy Sit

ECM Path : \Petaluma\LC\HPLC-07\Data\LCK0023-17-1360 2017-07-14 10-40-06.SC.SSIzip

: 8 (modified after loading) ECM Version



External Standard Report (Sample Amount is 0!)

Sorted By Signal

Calib. Data Modified : Monday, July 17, 2017 9:49:42 AM

Multiplier 1.0000 Dilution 1.0000

Do not use Multiplier & Dilution Factor with ISTDs

Signal 1: DAD1 A, Sig=284,4 Ref=off

| RetTime [min] | Type | Area [mAU*s] | Amt/Area | Amount [mg/mL] | Grp | Name |
|---------------|------|-----------------|------------|----------------|-----|---|
| | | | | | | |
| 6.414 | | - | _ | - | Er | riocitrin |
| 7.836 | BB | 109.35014 | 5.36204e-5 | 5.86340e-3 | Ta | axifolin |
| 9.420 | | May 2 | - | - | Ru | itin |
| 11.667 | | - | - | 7-0 | Na | arirutin |
| 14.472 | | - | - | 7 | Na | aringin |
| 16.574 | | 1.5 | - | - | He | esperidin |
| 18.801 | | - | - | | Ne | eohesperidin |
| 25.952 | | - | - | - | Qt | ercetin |
| 29.084 | | - | - | - | Na | aringenin |
| 31.605 | | - | C=3 | - | Не | esperitin |
| | | | | | | - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 |

Data File D:\Chem32\3\Data\LCK0023-17-1360 2017-07-14 10-40-06\011-26-Taxifolin 5.U
Sample Name: Taxifolin 5

Totals : 5.86340e-3

1 Warnings or Errors :
Warning : Calibrated compound(s) not found

*** End of Report ***

LINEARITY

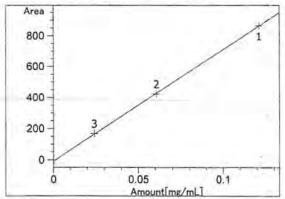
CALIBRATION TABLE

```
Calibration Table
General Calibration Setting
Calib. Data Modified :
                    Monday, July 17, 2017 9:49:42 AM
Signals calculated separately :
                          No
Rel. Reference Window:
                    5.000 %
Abs. Reference Window:
                    0.000 min
Rel. Non-ref. Window :
                    5.000 %
Abs. Non-ref. Window :
                    1.000 min
                  not reported
Uncalibrated Peaks :
Partial Calibration : Yes, identified peaks are reconstructed All Ret. Times: No, only for identified peaks
                    Yes, identified peaks are recalibrated
Curve Type
                  Linear
Origin
               .
                     Ignored
Weight
                    Equal
Recalibration Settings:
Average Response : Average all calibrations
Average Retention Time: Floating Average New 75%
Calibration Report Options :
   Printout of recalibrations within a sequence:
      Calibration Table after Recalibration
      Normal Report after Recalibration
   If the sequence is done with bracketing:
      Results of first cycle (ending previous bracket)
_______
______
                   Signal Details
Signal 1: DAD1 A, Sig=284,4 Ref=off
Overview Table
RT Sig Lvl Amount Area Rsp.Factor Ref ISTD # Compound
           [mg/mL]
6.414 1 3 2.42183e-2 167.28256 1.44775e-4 No No Eriocitrin
        2 6.05457e-2 421.37521 1.43686e-4
        1 1.21091e-1 863.27692 1.40270e-4
 7.836 1 5 9.28187e-3 109.35014 8.48821e-5 No No Taxifolin
```

```
RT Sig Lvl Amount Area Rsp.Factor Ref ISTD # Compound
             [mg/mL]
~~~~~|~|~|~~|~~~~~~|~~~~~|~~~~|~~~~|~~~|~~~|~~~|~~
          4 6.94750e-2 839.00482 8.28065e-5
          3 1.38950e-1 1655.01001/8.39573e-5
          2 2.08425e-1 2428.68335 8.58182e-5
          1 2.77900e-1 3136.09863'8.86133e-5
 9.420 1 3 2.19478e-2
                       64.23846 3.41662e-4 No No
                                                    Rutin
          2 5.48695e-2 162.65974 3.37327e-4
          1 1.09739e-1 336.36642 3.26249e-4
11.667 1 3 2.09837e-2 144.18347 1.45535e-4 No No
                                                    Narirutin
          2 5.24592e-2 361.90015 1.44955e-4
          1 1.04918e-1 744.35327 1.40953e-4
14.472 1 3 2.23087e-2 153.40550 1.45423e-4 No No
                                                    Naringin
          2 5.57716e-2 394.00327 1.41551e-4
          1 1.11543e-1 808.25098 1.38006e-4
16.574 1 3 1.55800e-2 104.24678 1.49453e-4 No No
                                                     Hesperidin
          2 3.89500e-2 264.00577 1.47535e-4
          1 7.79000e-2 542.63300 1.43559e-4
                                                    Neohesperidin
18.801 1 3 2.42648e-2 180.12000 1.34715e-4
                                           No No
          2 6.06620e-2 453.77130 1.33684e-4
          1 1.21324e-1 932.78711 1.30066e-4
25.952 1 3 2.29516e-2 118,93430 1.92977e-4 No No
                                                    Quercetin
          2 5.73790e-2 299.37958 1.91660e-4
          1 1.14758e-1 616.32721 1.86197e-4
29.084 1 3 2.77049e-2 369.43033 7.49935e-5 No No
                                                    Naringenin
          2 6.92622e-2 926.69012 7.47415e-5
          1 1.38524e-1 1904.35022 7.27410e-5
 31.605 1 3 2.68380e-2 353.78403 7.58598e-5 No No
                                                   Hesperitin
          2 6.70950e-2 889.21442 7.54542e-5
          1 1.34190e-1 1823.41907 7.35925e-5
                         Peak Sum Table
```

No Entries in table

Calibration Curves



Eriocitrin at exp. RT: 6.414 DAD1 A, Sig=284,4 Ref=off

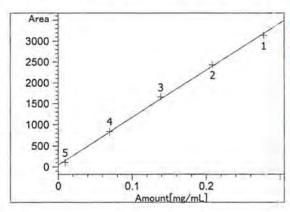
Correlation: 0.99994

Residual Std. Dev.: 5.5802Formula: y = mx + b

m: 7196.22908 b: -9.81633

x: Amount[mg/mL]

y: Area

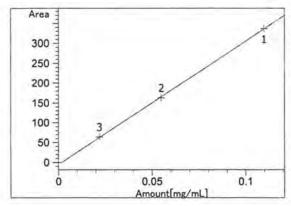


Taxifolin at exp. RT: 7.836 DAD1 A, Sig=284,4 Ref=off Correlation: 0.99944 46.58433

Residual Std. Dev.: Formula: y = mx + b

m: 11295.72044 43.11883 b: x: Amount[mg/mL]

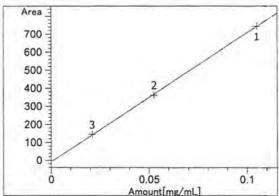
y: Area



Rutin at exp. RT: 9.420 DAD1 A, Sig=284,4 Ref=off Correlation: 0.99989 2.93082 Residual Std. Dev.:

Formula: y = mx + b3106.46104 m: b: -5.42185 x: Amount[mg/mL]

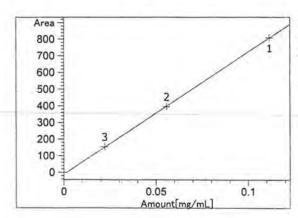
y: Area



Narirutin at exp. RT: 11.667 DAD1 A, Sig=284,4 Ref=off Correlation: Residual Std. Dev.: 5.93728

Formula: y = mx + b7164.72135 m: -9.15763 x: Amount [mg/mL]

y: Area

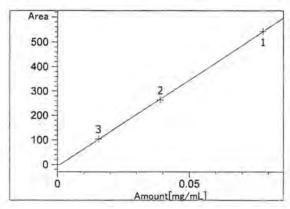


Naringin at exp. RT: 14.472 DAD1 A, Sig=284,4 Ref=off Correlation: 0.99996

4.01579 Residual Std. Dev.:

Formula: y = mx + b7347.55905 m: -12.53698 b: x: Amount [mg/mL]

y: Area

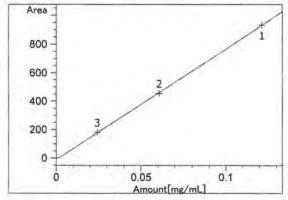


Hesperidin at exp. RT: 16.574
DAD1 A, Sig=284,4 Ref=off
Correlation: 0.99993

Residual Std. Dev.: 3.74633

Formula: y = mx + b m: 7046.58356 b: -7.43117 x: Amount[mg/mL]

y: Area

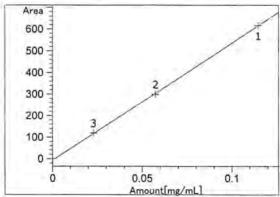


Neohesperidin at exp. RT: 18.801 DAD1 A, Sig=284,4 Ref=off Correlation: 0,99992

Residual Std. Dev.: 6.94893

Formula: y = mx + b m: 7769.18633 b: -11.90750 x: Amount[mg/mL]

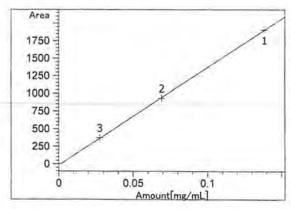
y: Area



Quercetin at exp. RT: 25.952
DAD1 A, Sig=284,4 Ref=off
Correlation: 0.99990
Residual Std. Dev.: 4.91101

Formula: y = mx + b m: 5428.65298 b: -8.14241 x: Amount[mg/mL]

y: Area

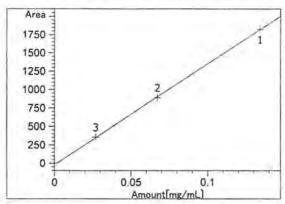


Naringenin at exp. RT: 29.084 DAD1 A, Sig=284,4 Ref=off Correlation: 0.99991 Residual Std. Dev.: 14.81705

Formula: y = mx + b m: 13877.63985 b: -22.53176

x: Amount[mg/mL]

y: Area



Hesperitin at exp. RT: 31.605 DAD1 A, Sig=284,4 Ref=off Correlation: 0.99993

Residual Std. Dev.: 12.67358

Formula: y = mx + b m: 13713.72205 b: -20.66597 x: Amount[mg/mL]

y: Area

MOISTURE DETERMINATION

NOTEBOOK PAGE

| TITLE L | osson Dr ed from Page 54 | ying | | PROJEC BOO | t no. PT-005 | enty: 719 113 |
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| eurofins | Always check on-line for validity Determinatio | n of Dihydroquercetin by HPLC | Test Method |
|---|---|-------------------------------|---------------------------------------|
| O-TC-MET16243 | | | |
| Old Reference: | | | |
| Version: | Ĭ. | | Organisation level: 4-Laboratory Site |
| Approved by: U6HR Effective Date 09-AUG-2017 | Document users: 6_SA_HPLC | CONFIDENTIAL | Responsible: EUCAPE_QA |



- 1) Procedure
- 2) Definitions
- 3) Responsibility
- 4) Safety
- 5) Environmental Conditions
- 6) Equipment
- 7) Reference Materials/Reagents
- 8) Quality Control Plan
- 9) Procedure
- 10) Calculations
- 11) References

1) Procedure

This method is for the determination of dihydroquercetin (taxifolin) by high performance liquid chromatography (HPLC). This method has been verified for use on raw material (purities).

2) Definitions

N/A

3) Responsibility

Senior operations will implement this method. Only properly trained personnel may perform this method. The revision of, or any deviation from, this method requires written approval of supervisory personnel prior to initiation of work.

4) Safety

Follow all applicable safety, health, and environmental programs.

5) Environmental Conditions

N/A

6) Equipment

HPLC, Agilent 1100 HPLC or equivalent

Column, Agilent Zorbax SB-C18 Column, 4.6 X 150 mm, 3.5 micron or equivalent

Analytical balance, 0.00001 g resolution

Microbalance, 0.000001 g resolution

Sonicator

Serological pipets, various sizes

Class A pipettes, various sizes

Disposable glass pipets, various sizes

0.45 µm PTFE filter

Graduated cylinder, 1000-mL

Glass eluent bottles, 1000-mL

VOA vials, 20-mL and 40-mL sizes

| 🔅 eurofins |
|------------------|
| Document number: |
| O-TC-MET16243 |
| Old Reference: |
| Version: |
| 4 |

Approved by: U6HR

Always check on-line for validity

Document users:

Determination of Dihydroquercetin by HPLC

Level:

COMEDENTIAL

Organisation level:
4-Laboratory Site
Responsible:
EUCAPE QA

Amber autosampler vials Disposable syringes, 5-mL

Effective Date 09-AUG-2017 6_SA_HPLC

7) Reference Materials/Reagents

Taxifolin (dihydroquercetin), Sigma #78666, CAS# 480-18-2 Methanol, HPLC grade Phosphoric Acid ($\rm H_3PO_4$), HPLC grade Acetonitrile, HPLC grade Milli-Q water, fresh daily

8) Quality Control Plan

- A preparation solvent blank must be free of interfering peaks, and is analyzed every ten samples.
- Linearity must be demonstrated by a 3-point calibration reference material or other means. Correlation coefficients of reference material curves must be greater than 0.999.
- 3. Response factors of reference material calibration levels must agree within 10% of the average of the response factors for the complete calibration curve.
- 4. Bracket each run with reference material injections, and include an additional reference material injection after every five sample injections.
- 5. Every tenth sample in a set must be prepared and analyzed in duplicate. If the set is fewer than ten samples, one sample in the set must be run in duplicate. The percent difference between duplicate results must be less than ten for finished products and less than two for purity samples.
- 6. If estimated levels or specifications have been provided, the sample area count must not fall more than 10% above the area counts of the reference material curve.
- 7. Beer's Law must be met.

9) Procedure

Mobile Phase Preparation:

0.2% phosphoric acid in Milli-Q water:

- Using a graduated cylinder, measure 1000 mL of Milli-Q water and transfer to a fresh 1000-mL eluent bottle.
- Transfer 2.0 mL of phosphoric acid, via a 1.0-mL serological pipet to the eluent bottle.
- 3. Swirl to mix and label appropriately.

Note: This solution may be stored at room temperature for up to two weeks.

Reference Material Preparation:

- 1. Using commercially available reference materials, on a microbalance, accurately weigh 1.0 \pm 0.1 mg of taxifolin and transfer to a 20-mL VOA vial.
- 2. Dilute with 10.0 mL of methanol via a 10.0-mL class A volumetric pipet.
- 3. Sonicate for 15 ± 2 minutes to dissolve.
- 4. If warming during sonication has occurred, allow the solution to cool to room temperature.
- 5. Prepare the following two dilutions of this stock solution for use as calibration standards along with the stock solution to create a 3-point calibration curve:
 - a. Using a class A pipet, transfer 2.5 mL into a 5-mL volumetric flask, fill to volume with

| eurofins | Always check on-line for validity Determination of Dihydr | oquercetin by HPLC | Level: |
|--|--|--------------------|--|
| Document number: O-TC-MET16243 | | | Test Method |
| Old Reference: | | | |
| Version: | | AUTOMINAL | Organisation level: 4-Laboratory Site |
| Approved by: U6HR Effective Date 09-AUG-2017 | Document users: 6_SA_HPLC | VATUELIAL | Responsible: EUCAPE_QA |

methanol, and invert to mix several times.

- Using a class A pipet, transfer 1.0 mL into a 5-mL volumetric flask, fill to volume with methanol, and invert to mix several times.
- 6. Transfer the reference material solutions to separate amber autosampler vials and cap.

Note: Correct the reference material concentration using the following calculation:

[reference material_{mg/mL}]_{corrected} = [reference material_{mg/mL}] × % purity

Sample Preparation:

- Sample size should be based on client specifications or estimates and prepared according to the calibration reference material levels. Weigh an accurate amount into a 40-mL VOA vial.
- 2. Dilute with 40.0 mL of methanol via a 40.0-mL class A volumetric pipet.
- 3. Sonicate for 20 ± 2 minutes.
- 4. If warming during sonication has occurred, allow the solution to cool to room temperature.
- 5. Filter through a 0.45-µm PTFE filter into an amber autosampler vial, cap, and analyze.

Instrument Conditions:

Column Temperature: 35°C

Detection: UV 284 nm Flow Rate: 1.25 mL/minute

Injection Volume: 5.0 µL Gradient Program:

| | % H ₃ PO ₄ (0.2% in Milli-Q | | |
|------------|--|----------------|------------|
| Time (min) | Water) | % Acetonitrile | % Methanol |
| 1.0 | 74.0 | 11.0 | 15.0 |
| 12.0 | 74.0 | 11.0 | 15.0 |
| 31.0 | 60.0 | 25.0 | 15.0 |
| 40.0 | 45.0 | 40.0 | 15.0 |
| 41.0 | 3.0 | 82.0 | 15.0 |
| 44.0 | 2.0 | 96.0 | 2.0 |
| 45.0 | 74.0 | 11.0 | 15.0 |

Run Time: 45.0 minutes
Post Time: 8.0 minutes

Retention Times: Taxifolin (Dihydroquercetin) ~7.7 minutes

10) Calculations

% dihydroquercetin (taxifolin)

= (Area (sample)-Calibration intercept)×100

Calibration slope x [sample]

Where,

[] sample concentration is in mg/mL

| eurofins | Always check on-line for validity Determination of Dihydroquercetin by HPLC | Level: |
|--|--|---------------------------------------|
| O-TC-MET16243 | | Test Method |
| Old Reference: | | |
| Version: | AAUSINELISIA | Organisation level: 4-Laboratory Site |
| Approved by: U6HR Effective Date 09-AUG-2017 | Document users: 6_SA_HPLC | Responsible: EUCAPE_QA |

Calibration curve settings:

Type: Linear Origin: Ignore Weight: Equal

11) References

1. HPLC Determination of Bioflavonoids, LC-K0023.01, Effective 03/23/2012.

End of document

Version history

| Version | Approval | Revision information |
|---------|-------------|----------------------|
| 1 | 09.AUG.2017 | |



GRAS Associates, LLC
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North Bethesda, MD 20852
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www.gras-associates.com

July 15, 2020

Food and Drug Administration
Center for Food Safety & Applied Nutrition
Office of Food Additive Safety
Division of Petition Review
5001 Campus Drive
College Park, MD 20740-3835

Attention: Dr. Stephen DiFranco

Re: GRN 916 – Dihydroquercetin – Response to Questions Posed in an Email Dated 6/30/2020

Dear Dr. DiFranco:

Per your request, GRAS Associates, LLC, acting as the agent for Blue California, is providing a response to complete FDA's request for additional clarification as denoted in your email dated June 30, 2020, as follows:

Administrative:

1. In Appendix 3, pages 100-103 are stamped confidential. As per 21 CFR 170.225, please state your view as to whether the data an information on these pages of your GRAS notice are exempt from disclosure under the Freedom of Information Act, 5 U.S.C. 552 (e.g., as trade secret or as commercial or financial information that is privileged or confidential).

Blue California and GRAS Associates, LLC certify that no data or information contained in GRN 916 are exempt from disclosure under FOIA. Furthermore, Blue California does not view the information contained on pages 100-103 of Appendix 3 as confidential.

Intended Uses:

1. The intended uses for dihydroquercetin described in your notice includes use in "flavored fermented milk and dairy products" and for the purpose of determining probable dietary exposure, data on consumption of yogurt is used to represent this intended category. Please clarify if the intended use in "flavored fermented milk and dairy products" is limited to yogurt products or includes other types of foods.

. .

Blue California confirms that "flavored fermented milk and dairy products" is limited to yogurt products.

Specifications:

1. We note that some [of] the methodologies listed in Table 1 of your notice are from USP and AOAC or refer to an instrument type (e.g., ICP-MS). Please provide a confirmatory statement that all methods used are validated and appropriate for the analytes tested.

All methods used to analyze Blue California's dihydroquercetin are validated and appropriate for the analytes tested.

Enzyme identity question[s]:

1. Please provide the Enzyme Commission Number for the flavanone 3β -hydroxylase (F3H) used in the manufacture of dihydroquercetin.

The Enzyme Commission Number for the F3H used in the manufacture of dihydroquercetin is EC 1.14.11.9.

2. On page 7 of your notice, you state that the GRAS status of the E. coli K12 microorganism that produces the enzyme used in the manufacture of dihydroquercetin is established as GRAS under 21 CFR 170.36. We note that this is not an existing regulation, please clarify this statement with the correct citation.

Blue California concurs that this is not an existing regulation with regard to *E. coli* K12. Furthermore, Blue California is not aware of an existing regulation under which *E. coli* K12 has been established as GRAS.

Enzyme Production questions:

1. It is unclear how the wild type E. coli W3110 produced the F3H enzyme that is used in the manufacture of dihydroxyquercetin. You state in your notice that the f3h gene is present in higher order plants. On page 8 of the notice, you also state that the f3h gene is from an apple species. Please provide a narrative of the construction of the E. coli W3110 production strain that carries the f3h gene to produce the F3H enzyme.

Blue California did not use wild type *E. coli* W3110, as it does not have the F3H gene. Blue California used our engineered W3110 strain to produce the F3H enzyme. The strain harbors

• • •

an apple F3H gene. The F3H gene ubiquitously exists in higher plants (not higher order plants) including apple.

Manufacturing:

1. Please indicate whether the F3H enzyme is secreted extra- or intracellularly during the fermentation process.

The engineered W3110 cells produce the F3H enzyme in the cells during the fermentation process. The enzyme is released from the cell by cell homogenization in the extraction step.

2. Please provide a narrative to support the absence of potential allergens that maybe [sic] used in the fermentation medium during the production of the F3H enzyme, in the final dihydroxyquercetin product.

LB culture seed media used for the production of the F3H enzyme contains tryptone (derived from casein), which is consumed by the microorganism. Subsequent steps of harvesting the cells by centrifugation, followed by homogenization, and additional centrifugation and purification of dihydroxyquercetin would likely result in the removal of any residual tryptone peptides. While tryptone is derived from a milk product, there is no evidence in the published literature of a food allergy associated with tryptone.

- 3. Please indicate in Figure 3 of your notice where 2-oxoglutarate is utilized. We note in Appendix 2 of the notice, specifications and certificates of analysis are provided for materials used, however, this information is not provided for 2-oxoglutarate.
- 2-Oxoglutarate is used together with eriodictyol, F3H enzyme, and reaction buffer for the bioconversion reacted. An updated manufacturing flow chart and representative certificate of analysis for 2-oxoglutarate (syn. 2-oxo-pentanedioic acid) is provided in Attachments A and B of this letter, respectively.

Toxicology:

1. Is the test article. Used in the Schauss et al (2015) studies comparable to the subject of this notice? Please provide a clear explanation discussing the similarities and any differences present.

As the test article studied in the Schauss et al. (2015) was prepared from Larch and Blue California's dihydroquercetin is a fermentation product, we expect that there will be some small differences in composition. The table below compares the composition of Lavitol as

. . .

described by Schauss et al. with Blue California's dihydroquercetin preparation. As shown, both materials are high purity preparations of dihydroquercetin.

| Component | Lavitola | Blue California's DHQ |
|----------------------------------|--------------|-----------------------|
| DHQ | 90.94-97.51% | 95.2-97.8% |
| Aromadendrin (dihydrokaempferol) | 1.6-3.6% | 0.07% |
| Quercetin | 0-0.7% | Not detected |
| Naringenin | 0-0.2% | 0.06% |
| Eriodictyol | 0.1-1.1% | 2.0% |
| Pinocembrin | 0-0.17% | Not detected |

^a Based on results of batches tested in Schauss et al. (2015).

2. You state that the maximum concentration of dihydroquercetin that could be present in plasma is 260.84 ng per ml or 0.86 μM, based on the 90th % intake of 33.72 mg/d of Blue California's dihydroquercetin. Please clarify what the maximum plasma level from the 90th cumulative dihydroquercetin exposure (i.e. 217.72 mg/d) would be. Please confirm that even at the cumulative exposure level, the predicted plasma concentration of dihydroquercetin is still below the concentrations that demonstrated toxicity, antiandrogenic activity, and effects on drug metabolizing enzymes in the corresponding in vitro studies cited in the notice (Matsuo et al. 2005; Ge et al. 2018; and Jin et al. 2018, respectively).

Assuming linear extrapolation from the Grimm et al. (2016) paper, the maximum plasma level from the 90th percentile cumulative dihydroquercetin intake (i.e., 217.72 mg per d) is 5.5 μ M. This concentration is lower than concentrations that appeared to cause toxicity (by visual interpretations of graphical results) to either cell type tested in the Matsuo et al. (2005) study (\geq 50 μ M), concentrations that appeared to cause inhibition of human testosterone biosynthetic enzyme activities (by visual interpretations of graphical results) in the Ge et al. (2018) study (\geq 10 μ M), and effects on drug metabolizing enzymes in the Jin et al. (2018) study (\geq 50 μ M). It is important to note that all data in the Matsuo et al. (2006) study and data for concentrations other than 100 μ M in the Ge et al. (2018) study were not analyzed statistically.

If additional information or clarification is needed as you and your colleagues proceed with the review, please feel free to contact me via email.

We look forward to your feedback.

Sincerely,

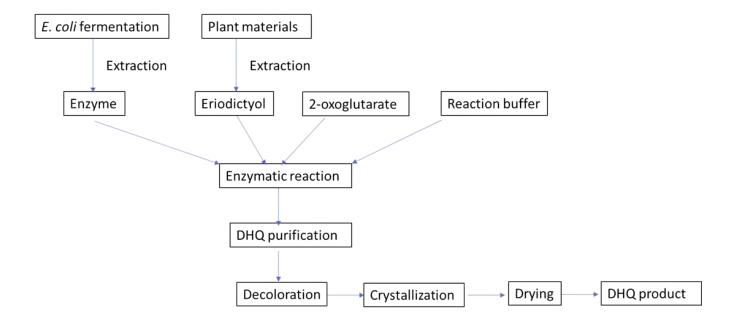
• • •

Katrina V. Emmel, Ph.D. Senior Scientist/Project Manager/Associate GRAS Associates, LLC

11810 Grand Park Ave Suite 500 North Bethesda, MD 20852 emmel@gras-associates.com

• • •

Attachment A Manufacturing Flow Chart for Blue California's Dihydroquercetin



Attachment B

Certificate of Analysis for 2-Oxoglutarate



苏州亚科科技股份有限公司

地址: 苏州工业园区金海路 17号

邮编: 215021

电话: 0512-87182055 传真: 0512-87182056

网址:http://www.yacoo.com.cn 电子邮件: sales@yacoo.com.cn

质量检验报告

CERTIFICATE OF ANALYSIS

货号 (Product number): T0007

中文名称(Chinese Name): α-酮戊二酸

英文名称(English Name): 2-oxo-Pentanedioic acid

分子式(Molecular Formula): C₅H₆O₅ 分子量(Molecular Wt): 146.10

CAS: 328-50-7 有效期: 两年 Shelf life: two years

| 分析项目 | 技术指标 | 实测结果 |
|--------------------------|--|-------------|
| SPECIFICATION PROPERTIES | STANDARD | RESULTS |
| 外观 | 白色至微黄色结晶性粉末 | 符合 |
| Appearance | White to micro-yellow crystalline powder | Conforms |
| 含量(T) | 00.0 101.0 | 99.25 |
| Assay,% | 99.0-101.0 | |
| 熔点 | 113.0-115.0 | 113.8-115.0 |
| Melting point,°C | 113.0-113.0 | |
| 干燥失重 | -05 | 0.36 |
| Loss on drying,% | ≤0.5 | |
| 重金属 | <10 | 符合 |
| Heavy Metals ,ppm | ≤10 | Conforms |

储存条件: 2-8℃ Storage: 2-8℃

生产日期(Product Date): 2020-05-29

生产批次(Batch No.): YK2020052901

检验日期(Test Date): 2020-07-02元 检验员(Checker): 009

END



GRAS Associates, LLC
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Suite 500
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July 15, 2020

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^a Based on results of batches tested in Schauss et al. (2015).

2. You state that the maximum concentration of dihydroquercetin that could be present in plasma is 260.84 ng per ml or 0.86 μM, based on the 90th % intake of 33.72 mg/d of Blue California's dihydroquercetin. Please clarify what the maximum plasma level from the 90th cumulative dihydroquercetin exposure (i.e. 217.72 mg/d) would be. Please confirm that even at the cumulative exposure level, the predicted plasma concentration of dihydroquercetin is still below the concentrations that demonstrated toxicity, antiandrogenic activity, and effects on drug metabolizing enzymes in the corresponding in vitro studies cited in the notice (Matsuo et al. 2005; Ge et al. 2018; and Jin et al. 2018, respectively).

Assuming linear extrapolation from the Grimm et al. (2016) paper, the maximum plasma level from the 90th percentile cumulative dihydroquercetin intake (i.e., 217.72 mg per d) is 5.5 μ M. This concentration is lower than concentrations that appeared to cause toxicity (by visual interpretations of graphical results) to either cell type tested in the Matsuo et al. (2005) study (\geq 50 μ M), concentrations that appeared to cause inhibition of human testosterone biosynthetic enzyme activities (by visual interpretations of graphical results) in the Ge et al. (2018) study (\geq 10 μ M), and effects on drug metabolizing enzymes in the Jin et al. (2018) study (\geq 50 μ M). It is important to note that all data in the Matsuo et al. (2006) study and data for concentrations other than 100 μ M in the Ge et al. (2018) study were not analyzed statistically.

If additional information or clarification is needed as you and your colleagues proceed with the review, please feel free to contact me via email.

We look forward to your feedback.

Sincerely,

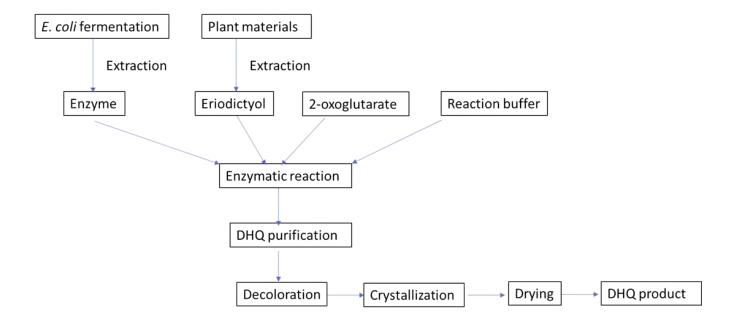
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Attachment A Manufacturing Flow Chart for Blue California's Dihydroquercetin



Attachment B Certi

Certificate of Analysis for 2-Oxoglutarate



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质量检验报告

CERTIFICATE OF ANALYSIS

货号 (Product number): T0007

中文名称(Chinese Name): α-酮戊二酸

英文名称(English Name): 2-oxo-Pentanedioic acid

分子式(Molecular Formula): C₅H₆O₅ 分子量(Molecular Wt): 146.10

CAS: 328-50-7 有效期: 两年 Shelf life: two years

| 分析项目 | 技术指标 | 实测结果 |
|--------------------------|--|-------------|
| SPECIFICATION PROPERTIES | STANDARD | RESULTS |
| 外观 | 白色至微黄色结晶性粉末 | 符合 |
| Appearance | White to micro-yellow crystalline powder | Conforms |
| 含量(T) | 00.0 101.0 | 99.25 |
| Assay,% | 99.0-101.0 | |
| 熔点 | 113.0-115.0 | 113.8-115.0 |
| Melting point,°C | 113.0-113.0 | |
| 干燥失重 | -05 | 0.36 |
| Loss on drying,% | ≤0.5 | |
| 重金属 | <10 | 符合 |
| Heavy Metals ,ppm | ≤10 | Conforms |

储存条件: 2-8℃ Storage: 2-8℃

生产日期(Product Date): 2020-05-29

生产批次(Batch No.): YK2020052901

检验日期(Test Date): 2020-07-022 检验员(Checker): 009

END