

### FY 2020 CI 483 OBSERVATION TRENDS

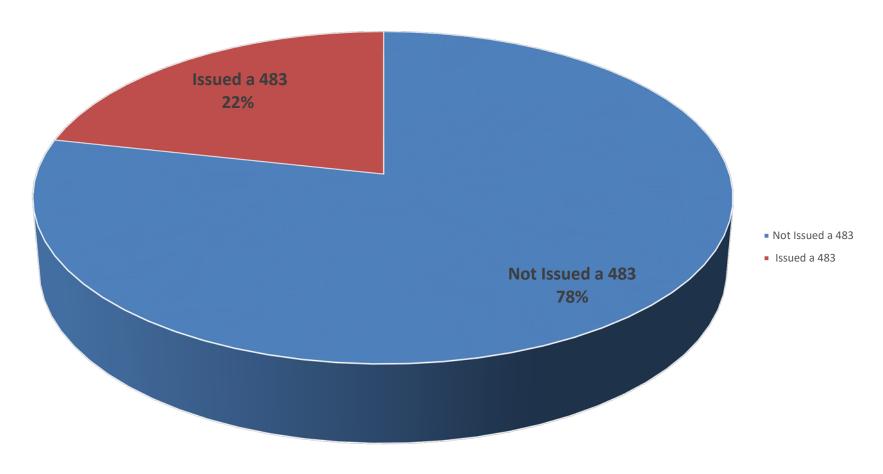


## Acronyms

**AE (Adverse Event)** CI (Clinical Investigator) FDA (Food and Drug Administration) **ICF (Informed Consent Form) IP (Investigational Product) IRB (Institutional Review Board) OOW (Out of Window) SAE (Serious Adverse Event)** 

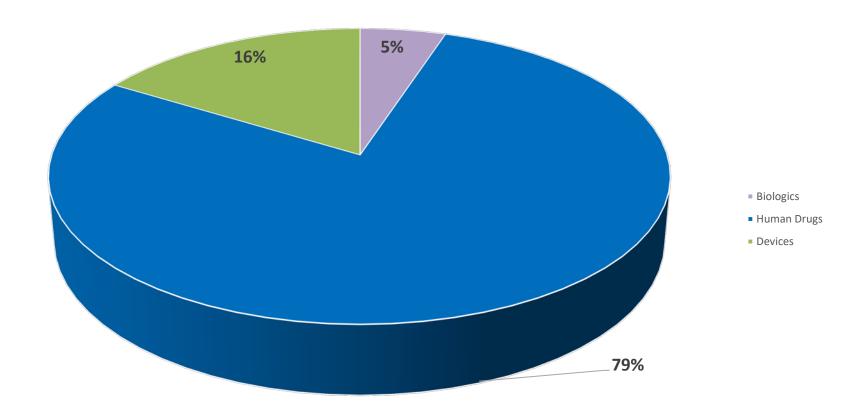
#### FY 2020 Percentage of CIs Issued a 483





#### FY 2020 Percent of CIs Issued a 483 by Product Area





FY 20 data from ORA's Online Reporting Analysis Decision Support System Query, Last updated 10/19/2020

## **Themes**



#### **Themes Identified in FY 2020**

Protocol Compliance (312.60 / 812.100)

Accurate/Adequate Case Histories (312.62(b)/812.140(a)(3))

Accountability Records (312.62(a) / 812.140(a)(2))

Institutional Review Board (312.66) (812.150(a)(3))

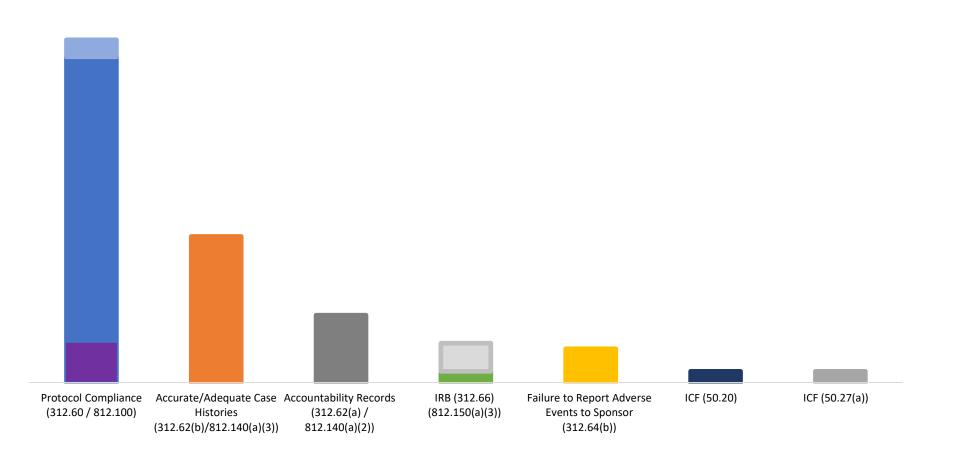
Failure to Report Adverse Events to Sponsor (312.64(b))

Informed Consent Form (50.20)

Informed Consent Form (50.27(a))

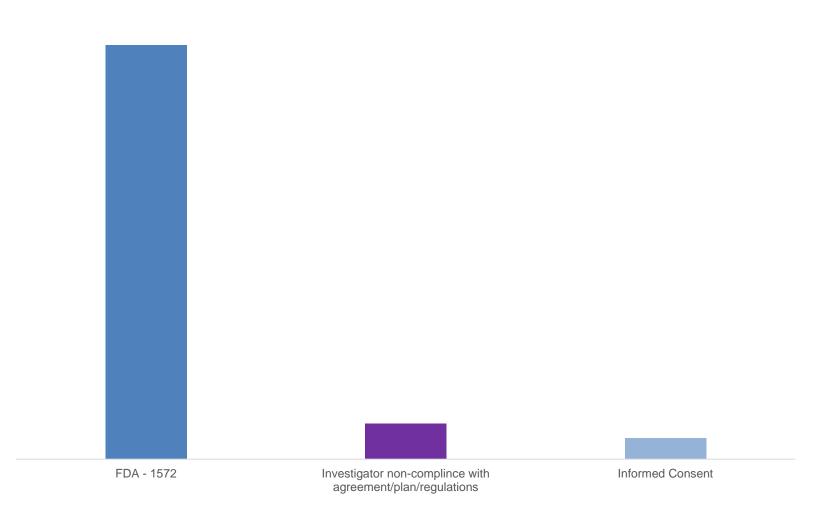
#### FY 2020 Clinical Investigator Short Cites by Reference Number and Theme



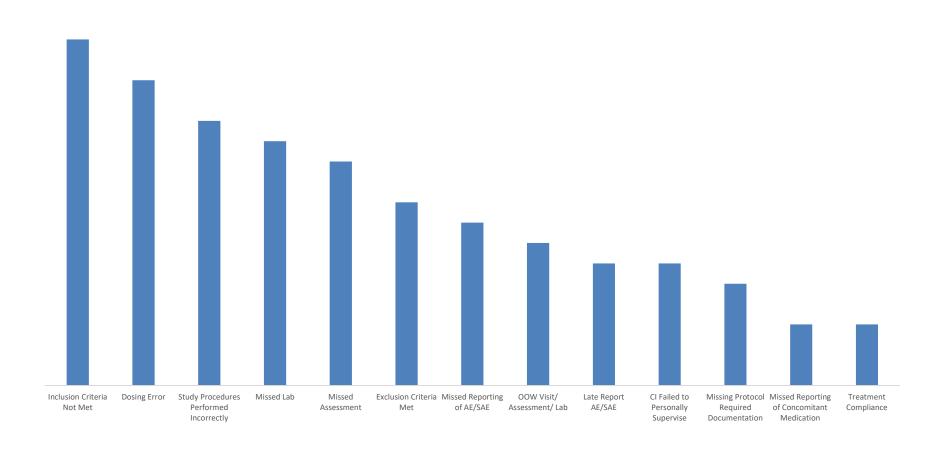






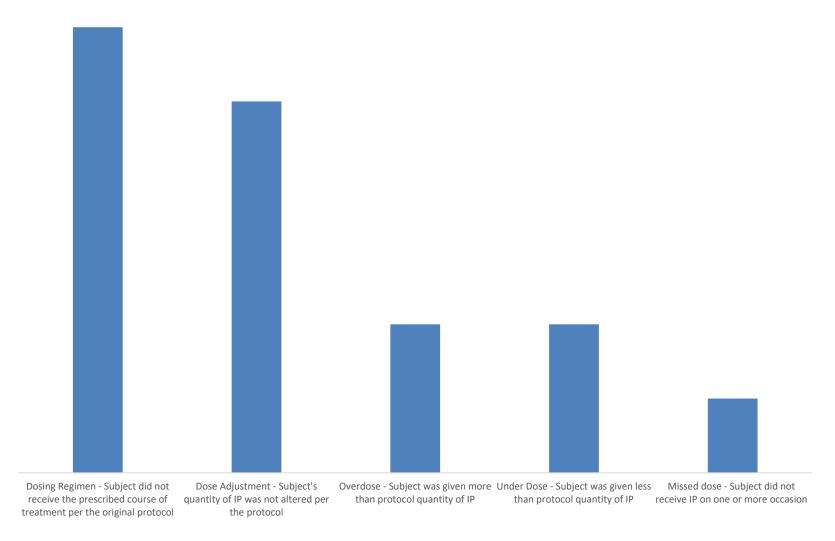






#### FY 2020 Breakdown of Dosing Error Type (312.60 / 812.100)





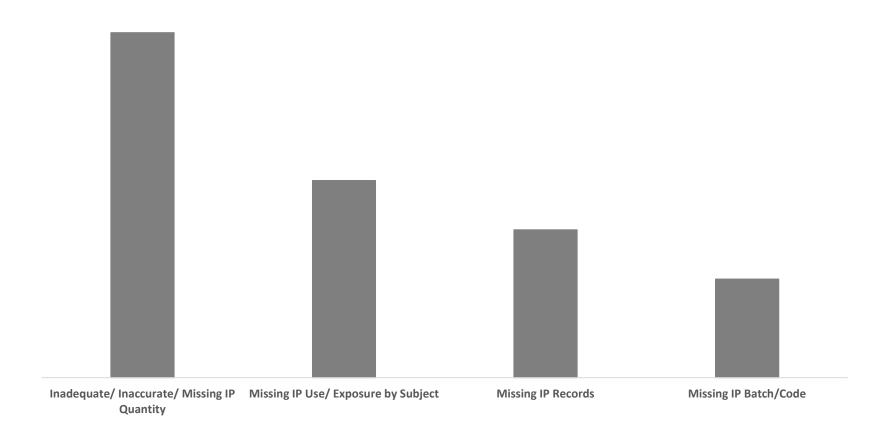
# FY 2020 Breakdown of Accurate/Adequate Case Histories Under (312.62(b)/812.140(a)(3))





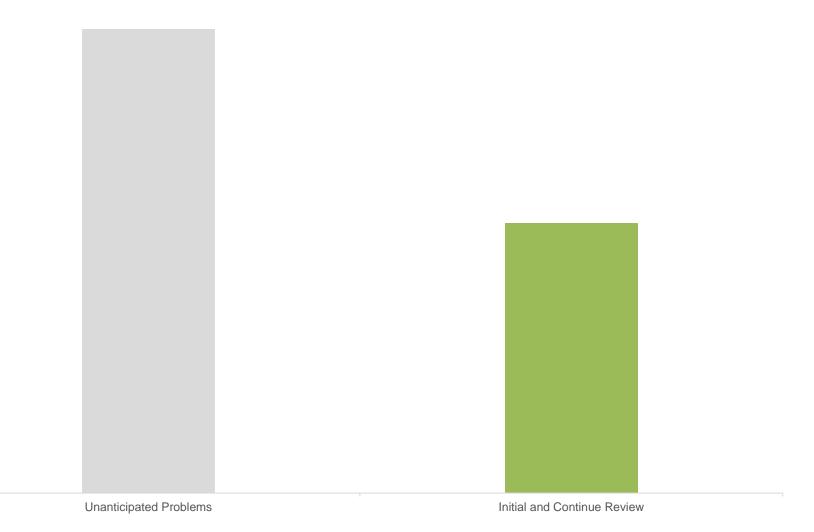






#### FY 2020 Cite Trends Under IRB (312.66) (812.150(a)(3))





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