## Frances J.R. Richmond

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## **Education/Nationality**

1973	BNSc, Queen's University, Kingston, Canada
1974	MSc, Physiology, Queen's University, Kingston, Canada
1976	PhD, Neurophysiology, Queen's University, Kingston, Canada
1988	ndc, National Defence College, Canada
	*born in Canada; currently holding US and Canadian citizenship

## Honours/Awards

1969	John Thorburn Scholarship; Ontario Scholarship; 100th Anniversary
	Scholarship
1970	Susan Near Scholarship in Psychology
1972	W.B. Saunders Book Prize
1973	Queen's University Medal in Nursing
	Studentship - Medical Research Council of Canada
1977-1978	Fellowship - Medical Research Council of Canada
1979	Scholarship - Medical Research Council of Canada
	Research Associateship - Killam Foundation, (awarded but declined)
1987	Basmajian Award for Excellence in Research
2012	TOPRA Award for Excellence in Education

## **Professional Experience/Academic Appointments**

1973-1976	Demonstrator, Physiology 210, 312, 510, 474/874, Department of Physiology, Queen's University, Kingston, Ontario
1976-1978	Lecturer, Department of Physiology, Queen's University, Kingston, Ontario MRC Postdoctoral Fellow, NIDR, National Institutes of Health, Bethesda, Maryland, U.S.A. MRC Postdoctoral Fellow, Département de Physiologie, Université de Montréal, Montréal, P.Q.
1979-1983	Assistant Professor and MRC Scholar, Department of Physiology Queen's University, Kingston, Ontario

1983-1984	Associate Professor and MRC Scholar
1984-1987	Associate Professor
1987-1999	Full Professor
1988-1990	Associate Dean, Life Sciences, Faculty of Medicine, Queen's University
1990-1995	Member, MRC Group in Sensory-Motor Physiology
1990-1996	Member, Networks of Centres of Excellence, Neural Regeneration and Functional Repair
1993-1994	Visiting Scholar seconded as Policy Analyst, Industry Canada
1994-1995	Clinical Research Scientist, Alfred E. Mann Foundation for Scientific Research, Sylmar, CA. (sabbatical appointment)
1995-2000	Director, MRC Group in Sensory-Motor Neuroscience; Preclinical and Clinical Trials Consultant, Advanced Bionics Corp., Sylmar, CA
2000-2008	Professor, School of Pharmacy; Director, Regulatory Science Master's Program, USC; Director, Regulatory and Clinical Science, Alfred E Mann Institute for Biomedical Engineering Cross-appointed as Professor, Cell and Neurobiology
2008-2017	Professor, Titus Family Department of Clinical Pharmacy and Pharmacoeconomics and Policy; Director, Regulatory Science Programs, USC School of Pharmacy
2010-2015	Director, Regulatory Knowledge and Support Group, LA Basin Clinical and Translational Science Institute
2011-2017	Director, International Center for Regulatory Science at USC Adjunct Professor of Health Economics and Policy, TJAB
2017-2020	Professor and Chair, Department of Regulatory and Quality Sciences USC School of Pharmacy
2020-present	Professor and Director, DK Kim International Center for Regulatory Science at USC Director, USC-APEC Center of Excellence, Medical Devices

## **Teaching Activities at Queen's University**

- Physiology 474/874 Mammalian Neurophysiology; course supervisor/lecturer 4th year honours life sciences and graduate students.
- Physiology 312/510 Vertebrate Physiology 3rd year honours life sciences and first year medical students.
- Phase I Medicine first year 5 lectures and 3 lab demonstrations
- Physiology 210 Mammalian Physiology –
  Primarily nursing, rehabilitation therapy and physical education students
- Physiology 320 Mammalian Neuroscience 3rd year life sciences students
- Human Pathophysiology Special lecture series; 10 lectures to engineering and research staff of A.E. Mann Foundation and Advanced Bionics Corporation, 1994-1995.
- Physiology 434 Advanced Physiology 1990, 1992, 1995, 1996 1 project student
- Physiology 884 Graduate Student lab course 1996, 1997
- Physiology 801 Beyond Academia (graduate course) (enrollment: 25) 1996, 1997, 1998

## Teaching Activities at USC - Outside Regulatory Science Program

- Pharmacy 309 Biological Sciences I- 4-6 hours either on sensorimotor neuroscience or embryology, 2000-2004
- Pharmacy 406 Pharmaceutics IV- 2 hours on regulatory management of new drugs 2000-2003
- Biomedical Engineering 416- Development and Regulation of Medical Products 1999-2004 – co-supervisor 2005-present, 3-4 afternoons
- Molecular Pharmacology and Toxicology 501- 2 hours, industrial toxicology 2000-2002
- Molecular Pharmacology and Toxicology 603- Signal Transduction 2000 course supervisor

- BISC 406L- Biotechnology 2001 lecturer, 2008 to present, 3 hours, FDA regulation
- Pharmacy 670 Marketing and Development in the Pharmaceutical Industry 2010 to 2015, coorganizer and speaker.
- Pharmacy 508 Pharmacy Literature Analysis and Drug Information 2010 to 2018, 2 hours X2
- Pharmacy 510 Pharmacy Practice and Experience II 2010 to 2018 2 hours
- CPTX 609 Preclinical Experimental Therapeutic Drug Development 2011 to 2018, 2 hours
- BME 201 Biomedical Engineering Practice 2013 to 2021 3 hours

## Teaching Activities at USC -In Regulatory Science Program

All of these courses are part of Regulatory Science offerings and are team taught; participation and role varies with year and need but I typically was involved with design and initial development in the early years of each course and participate in at least a lecturing capacity in most for reasons of continuity and quality assurance. Courses managed as primary instructor in 2020-21 are underlined, a few are given 2 or three times each year.

#### RXRS 416 - Medical Products from Idea to Market

- MPTX 511 Introduction to Regulation
- MPTX 512 Regulation of Drugs and Biologics
- MPTX 513 Regulation of Medical Devices and Diagnostics
- MPTX 514 Regulation of Foods and Dietary Supplements
- MPTX 515 Quality Systems and Standards
- MPTX 516 Medical Products and the Law
- MPTX 517 Structure and Management of Clinical trials
- MPTX 630 Internship
- MPTX 518 Writing Regulatory Submissions
- MPTX 519 International Regulation
- MPTX 521 Seminar program
- MPTX 522 Clinical Trial Design
- MPTX 602 Science, Research and Ethics
- RSCI 508 Quality systems for Drugs
- RSCI 509 Quality Systems for Devices
- RSCI 520 Risk Management Theory

RSCI 527 - Medical Product Safety

RSCI 529 - Risk Management Tools

RSCI 531 - Industrial Approaches to Drug Discovery

RSCI 532 - Drug Development

RSCI 541 – Drug Development, Reimbursement and Marketing

RSCI 601 - Biomedical Commerce

RSCI 603 - Managing Complex Projects

RSCI 604 - Regulation in Asia

RSCI 605 - Managing Human Resources

RSCI 606 – Emerging Technologies

RSCI 608 - Regulation in Europe and the Americas

RSCI 607 -Research Design

Diverse other commitments to courses in different departments including School of Business, Engineering and Pharmacy that total less than 4 hours per course may not be listed.

## **Supervision or Cosupervision**

### Master of Science Students (Queen's)

1.	G. Bakker	(1980)
2.	D. Bakker	(1980)
3.	J. Keane	(1981)
4.	J. Armstrong	(1983)
5.	C. Hammond	(1987)
6.	D. Gordon	(1987)
7.	D. Thomson	(1991)
8.	T. Liinamaa	(1995)
9.	K. Singh	(1999)

#### Ph.D. Students

Ph.	D. Students	(Queen's)
1.	D. Bakker	(1983)
2.	D. Gordon	(1990)
3.	E. Smits	(1993)
4.	D. Thomson	(1994)
5.	A. Dupont	(2001)

(transferred, 1998) 6. E. Melis

#### Post-doctoral Fellows (Queen's)

1 05	doctoral I chons	(Queen s)
1.	S. Selbie	(1990-1992)
2.	J. Runciman	(1994)
3.	L. Kamibayashi	(1994-1995)

### Master of Science (USC) (management of core program)

•	, ,
16+ enrolled students	(2001)
50 enrolled students	(2002)
45 enrolled students	(2003)
60 enrolled students	(2004)
75 enrolled students	(2005)
75 enrolled students	(2006)
80+enrolled students	(2007)
80+enrolled students	(2008)
90+ enrolled students	(2009-2020)

## Regulatory Science DRSc (USC)(supervisor)

Martin Solberg	(2011)
Michael Jamieson	(2011)
Susan Bain	(2011)
Duane Mauzey	(2012)
Tony Chan	(2012)
Taranjit Singh	(2012)
Ellen Whalen	(2013)

Valerie Ramsey	(2013)
Haven McCall	(2013)
Neal Storm	(2013)
JoAnn Pfeiffer	(2013)
Chin-Wei Soo	(2014)
Neal Storm	(2014)
Patrick Dimapindan	(2014)
Kwabena Dankwah	(2015)
Bill Leitner	(2015)
Cesar Medina	(2015)
Nancy Smerkanich	(2015)
David Locke	(2015)
Caroline Mosessian	(2015)
Simone Turnbull	(2016)
Clare Elser	(2016)
Darin Oppenheimer	(2016)
Ali Rejaei	(2017)
Grant Griffin	(2017)
Terry Church	(2017)
Grant Dagliyan	(2018)
John Hartigan	(2019)
Penny Ng	(2019)
Aimee Greco	(2020)
Martha Kamrow	(2020)
Alexa Smith	(2020)
Lori Alquier	(2021)
Carolyn Wright	(2021)
Curtis Truesdale	(2021)
Sunita Babbar	(2021)
Mary Wilhelm	(2021)
C (Supervisory committees)	
A1:-:- C-1:	(2010)

#### **DRSC**

Alicia Colarossi (2018) Jennifer Wiley (2018)Katherine St. Martin (2020)Lequina Myles (2021)

2O+ students in progress

## Other programs

1. Kathy Knodel Thesis committee, EDD (2009) USC

2. Josh Page Thesis supervisor, MS Regulatory Affairs and Health Policy(2015)

**MCPHS** 

## **University Committees: Queen's**

#### 1980-1989:

Member; Advisory Committee for Selection of Dean, Arts and Science (1980)

Departmental Committee on Selection, Renewal and Tenure (1985)

Member; Advisory Committee, Queen's National Scholars Program (1985-1987)

Member and Scientific Officer; Graduate Research Committee, Faculty of Medicine (1985-1987)

Member; Steering Committee, Conference on Teaching and Learning (1986)

Member; Advisory Committee for Selection of Dean, Faculty of Medicine (1986-1987)

#### 1990-1999:

Chairman; Queen's National Scholarship Program (1988-1991)

Member; Committee to Design the "First Year Experience" (1990-1991)

Member and Co-chair; Committee to Evaluate Policies Re. Teaching Assistants

Member; Faculty of Medicine Education Awards Committee (1990-1992)

Member; Search Committee for Headship in Urology (1992)

Member; Search Committee for Headship in Pharmacology (1992)

Member, Search Committee for Directorship of Animal Care (1996)

Chair, Grievance Panel re: Authorship and Intellectual Property (1996)

Member, Faculty of Medicine Promotions Committee (1996-1999)

Member, Neuromuscular Search Committee (1997-1998)

Member, Selection Committee for Headship of Department of Physiology (1997-1998)

Member, Appointments Committee, Department of Physiology (1998-1999)

## **University Committees: USC**

Member, Advanced Technology Committee, 2002-2005

Member, ad hoc Committee on Animal Facilities, 2001-2002

Member, Financial Disclosure Committee, 2004-2012

Chair, HSC Conflict of Interest in Research Committee, 2012-present

Member, University Advanced Technology Committee, 2006-2008

Co-chair, Education Section. Clinical and Translational Research Steering Committee, 2006-2008

Member, Human Subjects Steering Subcommittee, 2005-present

Member, Continuing Education Faculty Advisory Committee, 2008-2012

Chair, Technology-enhanced Learning and Distance Education Committee for Prizes and Grants, 2007

Member, USC School of Pharmacy Committee on Distance Learning Initiatives, 2009 to 2011

Member, USC School of Pharmacy Committee on Strategic Planning, 2009-present

Member, Steering Committee, Center for Technology and Innovation in Pediatrics 2010-2014

Member, Committee on Clinical Coordinator Training, 2011-2014

Member, COI Compliance Process Improvement Workgroup, 2011-2015 Member, University Corporate Research Group, 2011-2020 Chair, Conflicts of Interest in Research Committee at Health Sciences, 2012-present

## **Past/ Present Professional Memberships**

Canadian Physiological Society (Councillor, 1987-1989; Secretary, 1991-1994; President, 1997-1998)

Canadian Federation of Biological Societies (Member, Board of Directors, 1991 – 1999)

Canadian Association for Neuroscience

Society for Neuroscience

American Association of Anatomists

American Association of Colleges of Pharmacy

American Association of Pharmaceutical Scientists

**Drug Information Association** 

Regulatory Affairs Professionals Society

Orange County Regulatory Affairs Professionals Society

American Society for Quality

Society for Quality Assurance

Association of Graduate Regulatory Educators

National Academy of Inventors

Registered as a Nurse in Ontario #73-4338 7 and California # 575628

## **Scholarly and Professional Activities**

Symposium Organizer; Receptors in Skeletal Muscle: Changing Perspectives. Canadian Federation of Biological Societies, Toronto, Canada Co-chairman Organizing Committee; The Control of Head Movement Satellite Symposium of the International Congress of Biological Societies, Vancouver, July, 1986 Member; Nominating Committee, Canadian Physiological Society 1986 Session Chairman, Winter Meeting, Canadian Physiological Society. Organizer; IUPS Satellite Symposium, "The Control of Head Movement" Co-editor of textbook: "The Control of Head Movement" published by Oxford University Press Councillor; Canadian Physiological Society Member; Grants Study Section, NIH, "Neurologic, Muscular, Perceptual and Cardiovascular Aspects of Falls and Gait Disorders in Elderly People" 1987 Member; Fellowships Committee (Ph.D.), Medical Research Council of Canada Session chairman and member of organizing committee; Engineering Foundation Conferences, "The Development and Roles of Models" Participant; National Defence College Course 1988 Associate Chairman, Fellowships Committees (Ph.D. and Health Sciences Professionals), Medical Research Council of Canada 1989-1993 Chairman; Fellowships Committee (Ph.D.) Medical Research Council of Canada 1990-1993 Member; Polanyi Prize Committee Member; Canadian Federation of Biological Societies Committee on Equal 1990-1992 Opportunities (1991-1994) 1991-1994 Secretary; Canadian Physiological Society 1991 Rapporteur - Workshop - Canada's Participation in the HFSP and Our

Future Role in International Scientific Research

## Scholarly and Professional Activities Continued:

1992-1993	Panel Member; Ontario Graduate Studentships
1992-1995	Member, Fellowships Committee - International Human Frontiers in Science Program
1993-1996	Member; Ontario Council of Graduate Studies Appraisals Panel
1993-1994	Policy Analyst; Industry Canada
1994-1995	Sabbatical experience as clinical research scientist, A.E. Mann Foundation for Scientific Research
1995	Symposium organizer and co-chair: Sensorimotor Transformations in the Nervous System, held at Queen's University, Kingston
1995	Workshop organizer and chair: Looking Ahead in Sensorimotor Control Big Questions for 2001, Workshop supported by Human Frontiers Science Program, held in Kingston, Canada
1996-1997	Invited Member, Biomedical Engineering Review Panel, Medical Research Council
1997-1998	Vice-President, Canadian Physiological Society
1998	Organizer and Chair - Conference for Research in Action and Perception, held in Kingston, Canada
1997-1998	President, Canadian Physiological Society
1998-2005	Member, Scientific Advisory Committee of the International Doctoral School in Biomedical Sciences and Engineering, Aalborg, Denmark
2000	Co-organizer, Mann Institute Symposium "Can We Make the Blind See?," Los Angeles California
2000	Co-organizer, Mann Institute Symposium "Putting the Brain in Command", Los Angeles, California
2000	Short-course Organizer and Program Chair, "Clearing Roadblocks in the New-Product Path" Irvine, CA , October 2000
2000	Short-course Organizer, "RA101", with Regulatory Affairs Professionals Society, Pasadena, CA, November 2000
2000	Short-course Organizer and Program Chair "The Regulation of Dietary

	Supplements and Functional Foods," Pasadena, CA, November 2000
2000-2003	Advisory board- UCLA Extension Program
2000-2010	Advisory Board- School of Policy Planning and Development
2007-2010	Southern California Biomedical Council Subcommittee on Education/Training
2008, 2011	Referee and Site Reviewer, Arizona Science Foundation
2008-2011	Editorial Board of Medical Product Outsourcing
2009-2012	Medical Management Systems Council, (unpaid, arms length board for ethics oversight at Underwriters Laboratory)
2011-2014	Board of Directors, Drug Information Association
2013-2017	CTSI Regulatory Science Workgroup
2013- 2017	Board of Directors, Association of Gra duate Regulatory Educators.
2013-2015	USP Regulatory and Compendial Science Consortium
2014-2015	Chair, Audit Committee, Drug Information Association, Board of Directors
2015	Secretary, Board of Directors, Association of Graduate Regulatory Educators
2015-2017	President, Association of Graduate Regulatory Educators
2018	Cofounder, Committee for International Pharmaceutical Regulatory Science Education Promotion
2018-present	Member, FDA Pharmaceutical Science and Clinical Pharmacology Advisory Committee
2018-present	Advisory Board/Steering Committee member, West Coast Consortium for Technology and Innovation in Pediatrics
2019-present	Center of Excellence Directors' Board, APEC-RHSC
2019-present	Director, USC-APEC Center of Training Excellence in Medical Devices
2020	European Commission Expert Evaluator
2020	Member, Program Committee and Moderator, APEC Pilot Center of Excellence,

Regulatory Training Program, Shoonchunhyang University, Korea

2020- present Partner, Next Generation Global Health Supply Chain - Comprehensive Technical Assistance, USAID IDIQ with JSI Research & Training Institute.

2021 Member, Program Committee and Moderator, APEC Center of Excellence, Regulatory Training Program, Shoonchunhyang University, Korea

## Past Referee/Consultant for Journals and Agencies:

Journal of Neurophysiology, Experimental Brain Research, Canadian Journal of

Physiology and Pharmacology, Journal of Comparative Neurology, Journal of Morphology, Journal of Neuroscience, Journal of Biomechanics, Regulatory Focus; Medical Product Outsourcing (editorial board), Drug Information Journal, Therapeutic Innovation and Regulatory Science, Journal of Neural Engineering,

Pharmaceutical Medicine, Frontiers in Regulatory Science

Agencies: Medical Research Council of Canada; National Institutes of Health; National Science

Foundation; American Osteopathic Association; British Columbia Health Foundation; Glaxo Canada; Ontario Ministry of Health; ISC; Arizona Science Foundation; Society for Quality Assurance; European Commission; Advisory Committee member for US Food

and Drug Administration

# Invited Presentations and Lectures (1984 – Present, selection only):

April	1984	Organization of Primary Afferent Projections from Neck Muscles. University of Washington, Seattle, U.S.A.
October	1984	Unusual Forms of Mammalian Skeletal Muscle Architecture. Society for Neuroscience Satellite Conference, Tucson, U.S.A.
January	1985	Neck Muscle Architecture, Receptors and Movement. Neurosciences Institute, Rockefeller University, New York, U.S.A.
May	1985	Discussion Leader: Tensorial Approaches to Neck Muscles. National Institutes of Health, Washington, U.S.A.
June	1985	Chairman: Receptors in Skeletal Muscle: Changing perspectives. Canadian Federation for Biological Sciences, Toronto, Canada
July	1985	Structure and Function of Skeletal Muscle Receptors. Engineering Foundation Conference, Henniker, N.H., U.S.A.
October	1985	Biventer Cervicis: A Complex Muscle for a Complex Motor Task. Northwestern University, Chicago, U.S.A.
January	1986	Biological and Career Pressures on Women in Science. Forum on Women's Issues, Ontario Advisory Council of Women's Issues, Kingston, Canada.
May	1986	Achieving Excellence: Challenges for Faculty. Panel Presentation, Queen's University Council, Kingston, Canada.
May	1986	Moving Muscles: Studies in Neurophysiology. Canadian Science Writers' Association, Kingston, Canada.
July	1986	Structure and Function of Neck Muscles. IUPS Satellite Symposium, "The Control of Head Movement", Whistler, B.C.
April	1987	Basmajian Revisited: Muscle Physiology is Motor Physiology. Basmajian Award Lecture, Queen's University, Kingston.
May	1987	Problems for Women in Science. Panel Presentation. Science Writer's Association, Toronto, Canada.
July	1987	Architectural Specializations in Skeletal Muscle. Engineering Foundation Conference, Henniker, N.H., U.S.A.

August	1988	Do Excitatory Reflexes Exist in the Neck of the Alert Cat? ENA Symposium on Posture and Locomotion. Rheinfelden, Switzerland.
August	1990	Organization of Natural Head and Neck Movements in the Cat. First World Congress of Biomechanics. San Diego, U.S.A.
November	1990	Neurophysiology of Pain. Symposium on "Dealing with the Patient in Pain". Kingston, Canada.
December	1990	Control of Head Movement: Is the Neck Another Limb? Dept. of Kinesiology, UCLA, Los Angeles, U.S.A.
January	1991	Architectural and Functional Specializations Muscles: Complex Rules for Complex Muscles. Dept. of Zoology: Ohio State University, U.S.A.
April	1991	Specializations of Fiber Architecture. Symposium on Functional Architecture of Skeletal Muscle; Forum in Cellular and Organ Biology, Chicago, U.S.A.
September	1991	Sensory-Motor Organization of the Head Movement System. Symposium on "Muscle Afferents and the Spinal Control of Movement" to honour Y. Laporte, Paris, France.
August	1993	Neuromuscular Control of the Two-Part Neck IUPS Symposium on Head Movement, Glasgow, U.K.
April	1994	Muscles: Why Can't They be as Simple as the Textbooks Suggest? Southwestern University Medical School. Dallas, Texas.
May	1994	The Anatomy of Muscle Architecture. Implications for Motor Control. 2nd International Symposium, The Medical Research Council Group in Sensory-Motor Physiology, Queen's University. Kingston, Ontario.
July	1994	Mechanical Stability in Complex Muscles-Neural and Architectural Considerations. 2nd World Congress on Biomechanics Symposium on Muscle Mechanics. Amsterdam, Holland.
July	1994	Skeletal Muscle: Structural Engineering Nightmare. Wright State University, Dayton, Ohio.
December	1994	Postural Modifications of Neck-Muscle Synergies during Head Movement. Dept. of Kinesiology, UCLA, Los Angeles, U.S.A.

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July	1995	1995 Structural and Biomechanical Factors Underlying Head-Neck Motor Function. Third International Symposium on the Head/Neck System, Vail, Colorado.
December	1996	Elements of Style in Muscle Architecture. Invited Lecture. Society for Comparative and Integrative Biology, Albuquerque, NM.
March	1998	Multiarticular Motor Systems: Lessons from the Head and Neck. Invited Lecture. Department of Electrical Engineering, University of Arizona, Tempe, Arizona.
November	1998	Animal Models of Motor Systems: Cautionary Tales from Studies of Head Movement. Invited Speaker. Peripheral and Spinal Mechanisms in the Neural Control of Movement, University of Arizona, Tucson, Arizona.
March	1999	Head Movement from Many Different Angles, Institute of Movement, University College London.
April	1999	Controlling the Multiarticulated Neck. Department of Biokinesiology and Physical Therapy, University of Southern California.
January	2000	Regulation of Dietary Supplements Moving Forward with Complementary Therapeutics, Continuing Education Program, USC
February	2000	Recruitment of Subjects: Similarities and Differences in Drug and Device Trials Foundations of Clinical research Trials, Advanced Series University of Southern California Short-course
March	2000	The Evolving Regulatory Climate for Dietary Supplements in the US. Hong Kong Business Mission on Herbal Products and Dietary Supplements, Los Angeles
November	2000	Health Claims on Supplements: Enforcement Actions of Federal Authorities. The Regulation of Dietary Supplements and Functional Foods, Pasadena CA
January	2001	Managing Biocompatibility Projects: Practical Applications MD&M West 2001 Conference, Anaheim, CA
December	2001	Ethics of Clinical Research: When does NIH and OHRP become involved? OCRA conference "Conducting Successful Clinical Trials under Good Clinical Practice Regulations to Facilitate the Product Approval Process"
December	2001	Preclinical Testing and Clinical Trials of BION Injectable Stimulators to

ivovember, 2021	L	
		Treat Disuse Atrophy, ITRI, Taiwan
November	2002	Clinical Trials in 2002: Trends and Issues, Novartis, NW Regional Meeting, Jackson Hole, Wyoming
October	2003	Theomics Revolution. AOAC/FDA Joint Meeting, Irvine CA.
March		2004 Bioethics Biomedical Education Symposium. USC Marshall School of Business
August	2005	Overview of Drug Development. Amgen, Thousand Oaks
January	2005	Ephedra: What It Tells Us about Regulatory Systems. QSAD Annual meeting, Ojai ,CA
March		2006 Beyond the Codes: Practical Ethical Principles and Considerations for the Regulatory Professional, RAPS Horizons Conference, San Diego, 2006
April	2006	US Regulation of Generic Drugs and Dietary Supplements. Tiansin
July	2006	Training Methods and Outcomes in Regulatory Science. World Congress of Pharmacology, Beijing, China
October	2006	Graduate Training in Regulatory Affairs, Regulatory Affairs Career Forum, Minneapolis, MN
March	2007	Advanced Training and Recruitment in Regulatory Affairs. Horizons RAPS meeting, San Francisco, CA
April	2007	Tools for Assessment in TEL and Distance Learning, TEL_DL Faculty Forum, University of Southern California
August	2007	Good Laboratory Practices, City of Hope invited presentation
September	2007	New Regulations for US Dietary Supplements. China Life Sciences Summit, Beijing
February	2008	Moderator and speaker: Filling the Life-Sciences Industry's Pipeline, Human resources Conference, SCBC, Los Angeles, CA
July	2008	Participant, webinar, "The European Medical Device Directive: Upcoming Changes" FOI Teleconferences
July	2008	Speaker, "Risk management" American Society for Quality, Northridge CA
September	2008	Speaker, "Analysis of New Policies of American FDA" Fourth Annual China International Life Science Summit, Hangzhou, China
November	2008	Speaker, "Clinical trials for Generic Pharmaceuticals" and "505b(20 Applications for Novel Drugs and Novel Formulations, Xpo Pharm, Seoul,

Korea.

January	2009	Panel Organizer and Speaker, "Regulatory Strategies in Stem-cell and Nano Technology" Beckman Initiative For Macular Research/National Academies Conference. Irvine, CA
February	2009	Speaker, "Dealing with Multiple Constituencies: A View from the Trenches" AHRPP Annual Meeting, Los Angeles, CA
June	2009	Speaker, "Doctoral Education in Regulatory Science", Orange Country Regulatory Affairs Annual meeting, Irvine CA
October	2009	Speaker and Moderator, "US Regulatory System Update", Beijing International Healthcare Industry Forum, Beijing, China
November	2009	Speaker, "FDA Device Primer" Wonju Medical Technovalley Forum, Wonju, South Korea
July	2009	Speaker, "US Regulation Landscape" Overcoming Commercial Barriers and Exploring Collaborative Opportunities in Regenerative Medicine. Scripps Research institute, La Jolla, July 27
June	2010	Speaker, "Regulation of BCI Devices", Telluride Neuromorphic CognitionWorkshop, Telluride, June 28
July	2010	Speaker, "Stem Cells: From Bench to Clinic", Scripps Research Institute, San Diego, July 27
October	2010	Speaker, "Regulatory Science: a Key to Medical Device Commercialization". Invited presentation at Yonsei University, October 6,
	2010	Wonju, Korea Speaker, "Research & Development of Medical Devices in US: Regulatory and Quality Assurance", Yonsei Medical Device Development and Promotion Center, Wonju, Korea
March	2011	Speaker, "Regulatory Training and Coursework in Higher Education" Society for Quality Assurance, San Antonio, Texas, March 29-31, 2011
September	2011	Speaker, "The US Regulatory System: An Update", Development of Sino- American Collaboration in the Healthcare Industry, Sept 19, 2011
		Speaker, "FDA Regulations; An Update", International Cooperation and Development Forum of China Bio-Pharmaceutical Industry, Hangzhou, Sept 20, 2011
		Speaker, "FDA Regulations for Medical Devices", 2011 International Symposium, Challenges in Global medical Device Development, Wonju, South Korea, Sept 27, 2011
November	2011	Speaker, "Recent Trends in FDA Regulation" 7 <sup>th</sup> "FangYuan" Forum of the School of the International Pharmaceutical Business, China Pharmaceutical University, Nanjing, China, December 3, 2011

December	2011	Speaker, "FDA Regulation of Over the Counter Drugs," China International Summit of OTC Industry Innovation and Development 2011 Boao Forum, Boao, China, December 4, 2011
February	2012	Moderator, CDRH Top Headquarter Officials Discuss Product Clearances and Quality System Compliance for 2012, MD&M Show
October	2012	Keynote Speaker, Today's Compliance and Regulatory Challenges OCRA-SDRAN Annual Joint Meeting, San Diego CA
December	2012	Speaker, Generic Drugs; FDA Approval Process; Regulatory Science Invited Speaker, San Kyon Kwang University, Suwan, South Korea
September	2013	Invited Speaker, Regulatory Trends and Education, Keio University, Tokyo Japan Invited speaker, The US Regulatory System: An Update, SKKU, Seoul, Korea Invited speaker, Global regulatory Environment and Market Access, Tianjin International Joint Academy of Biotechnology and Medicine/Tianjin FDA, Tianjin, China Invited speaker, US Role in Emerging Markets, China Pharmaceutical University, Nanjing, China
December	2013	Invited lecturer, Regulations of Drugs and Devices (14 hours), Tianjin University, Tianjin, China Invited lecturer, International Regulations of Drugs: Non-CTD Countries, Peking University, Beijing, China
June	2014	Invited speaker, OCRA, Regulatory Science and You, OCRA, Irvine, California
September	2014	Course series, Quality and Audits, Tianjin-Binhai FDA headquarters, Binhai, China
May	2015	Supervision Measures of Biopharmaceutical Innovation in China and US, China-US Biopharmaceutical Innovation Collaboration Forum , Los Angeles, CA

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January	2016	Regulatory Science: Are we Coming of Age? San Diego Regulatory Affairs Network, Torrey Pines, CA.
July	2016	Regulatory Management of Neuroadaptive Technologies National Center for Adaptive Neurotechnologies, Albany NY
August	2016	Regulatory Science and Quantitative Drug Development Tools World Conference on Pharmacometrics, Brisbane, Australia
November	2016	Herbal products in the US: Food, Drug, or Dietary supplement? China Pharmaceutical University, Nanjing, China
April	2017	Overview of Medical Device Regulations Pathway to market Postmarket Regulations and Inspections IIT Madras
		Five-day course on Quality Systems University of Addis Ababa, Ethiopia
June	2017	Medical Products to Market Biotech Leaders Academy, Los Angeles
July	2017	Regulatory Management of Neuroadaptive Technologies National Center for Adaptive Neurotechnologies, Albany NY
October	2017	2017 Updates: Real World Evidence and Pharmacometrics SKKU-USC Joint Seminar Program, SKKU, Suwon South Korea
October	2017	2017 FDA Updates: Quality Initiatives Keio-USC Symposium, "Regulatory Update in the US and Japan" Keio University, Tokyo, Japan
October	2017	Evidence for Product Commercialization: How do we introduce real-world data? SHFDA CMDEC-USC Symposium, Shanghai, China
October	2017	USC Regulatory System updates: 2017: Generic Drugs CPU-USC Symposium, China Pharmaceutical University, Nanjing, China
November	2017	US Regulatory System Updates:2017 Peking University-USC Symposium, Peking University, Beijing, China

2017 Compounding Drugs for Clinical Trials. IND Workgroup Webinar

November

April	2018	through UTSW Evolution of Regulatory Science: US Academic Perspective. Utrecht University Symposium, Utrecht
July	2018	Regulatory Writing Short-course, Zimmer, Warsaw, IN.
August	2018	Regulatory Science at USC, APEC RHSC meeting, Brisbane Australia
September	2018	Crossing the Valley of Death: Moving Products toward Commercialization and Clinical Practice. CLINAM Summit, Basel Switzerland
		The Great Divide: Meeting Need for Regulatory Competence in Academia versus Industry. CLINAM Summit, Basel Switzerland
November	2018	Regulation versus Reimbursement: Can these be divorced? 2018 Asia Pacific Workshop on Social and Administrative Pharmacy. Taipei Taiwan
		Harmonization of Regulatory Science. Lecture to students and faculty at China Medical University Taichung, Taiwan
		US FDA: Changes and Issues. Lecture to students and faculty at China Medical University, Taichung, Taiwan
		Unique Device Identification. Lecture to 30 members of Shanghai FDA
January	2019	APEC Initiatives in Regulatory Training. Webinar, AGRE
May	2019	FDA Regulatory Priorities. University of Copenhagen, Denmark FDA Structure and Roles, University of Copenhagen, Denmark
October	2019	2019 US Regulatory Updates, Keio University, Japan
October	2019	Recent changes of medical device regulations in the US, and perspectives from academia and industry. PMDA, Tokyo, Japan
October	2019	The USC Regulatory Science Program . Taiwan Center for Drug Evaluation Taipei, Taiwan
October	2019	US Regulatory Updates. TsRAP and National Taiwan University, Taipei, Taiwan
October	2019	US Regulatory Updates. NMPA, Beijing, China

Frances J. R. Richmond November, 2021			Curriculum Vitae-
	February	2020	From Bench to market: An overview; Classifying devices and choosing indications; Regulatory requirements for devices and IVDs; Premarket notifications: the 510(k) options; Logistical management of clinical trials; Quality management; Promoting product before and after launch; What are codes and why it matters. National Cheng Kung University, Tainan, Taiwan.
	October	2020	Combination Products. Tsinghua University, Beijing, China
	March	2021	Emerging Trends in medical Device Regulation. Nirma University, India

## Patents: Issued and Pending As Inventor Or Co-inventor

Method for conditioning pelvic musculature using an implanted microstimulator, Advanced Bionics Ref. No. AB-20, filed November 20, 1996, application number 96941429.1-2305; issued on May 9, 2000 as US Patent No 6,061,596

Implantable Electrode Arrays, Advanced Bionics Ref. No. AB-016C, filed 10/16/97 - Serial Number: 08/951,893 – approved September 18, 1999 as U.S. Patent No. 5,957,958. In continuation-in-part of patent number 5,833,714 issued 11/10/98. 08/784,209

Implantable microstimulator and systems employing the same. Advanced Bionics Reference No. AB-023A- serial no. 09/077,662. Filed 5/29/98, Approved May 18, 2000 as US Patent No. 6,051,017

Implantable microstimulators for the prevention of disorders. Approved, January 30, 2001 as US Patent No. 6181965

Implantable systems for producing repeatable patterns of stimulation. Approved January 16, 2001 as US Patent No. 6175764

Methods of reducing the incidence of medical complications using implantable stimulators Approved, February 16, 2001 as US Patent No. 6,185,455

System for implanting a microstimulator. Approved April 10, 2001 as US Patent No. 6214032

Implantable Stimulator Systems and Methods for Treatment of Incontinence and Pain, Advanced Bionics, Continuation In Part application of AB-047U1, Docket Number AB-047U2. August 03, 2001, Approved as US Patent No. 6,735,474.

Implantable electrode systems for treatment of sleep apnea. Advanced Bionics. Ref. No. AB-40, disclosure filed November 25,1997- approved May 29, 2001 as US Patent No. 6240316

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#### Multimedia Learning Modules or Movies

Health-related agencies from Federal Agencies: the DEA, FWS, EPA and FTC Quality Systems Regulations
Risk Management and Control
Sterilization
Failure Analysis
Good Laboratory Practices
Laboratory Notebooks
Good Clinical Practices
Good Manufacturing Practices

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## **Grants**

# Until 1999 (Canada):

In Canada, I was funded continuously by the Medical Research Council of Canada since I began my career as an Assistant Professor in the late 1970s. Most recently, in the period from 1994-1999, I was the Director of the MRC Research Group in Sensory-Motor Physiology, funded at a level of about 5 million dollars (direct costs, no investigator salaries) over a 5 year period.

## 1999-2008 (USC):

My position until July 2008 was split between directing the regulatory and clinical activities of the Alfred E Mann Institute and directing the Regulatory Science Program in the School of Pharmacy. This unusual position did not require that I apply for grant funds in the usual way, as a Principal Investigator of a single-investigator grant. Our experimental work was funded in a group configuration—either as a part of the core activities of the Mann Institute, or as part of larger group grants for the development of major medical device projects. Most of the writing that I did was contained in confidential business development and regulatory dossiers. Fifty percent of my salary was paid by the Alfred E Mann Institute and 45% of that 50% was covered by grants, below.

#### 2009-2020 (USC):

I directed the Regulatory Science programs in the School of Pharmacy and was the founding Chair of the Department of Regulatory and Quality Sciences. This group of programs includes a doctoral program, three master's programs, an undergraduate major and minor, and 5 certificate programs, principally funded through tuition revenue rather than grants.

## Recent Activities related to Grant-funded Research

Recent Mettrics retailed to Grant-junaeu R	leseur er	16		
Injectable sensors for control of FES	NIH	\$917,595	6-1-01 to 5-31-08	
Coinvestigator, Director of Regulatory Core (funded)				
Bion Rx of neuromuscular dysfunction	NIH	\$9,322,983	5-1-02 to 30-07-08	
Scientist, Interface Technology thrust (funded)				
Engineering Research Consortium	NSF	\$10,000,000	8-1-03 to 30-07-10	
Scientist, Animal Trials				
Clinical and Translational Science Initiative	, NIH, S	\$67,113,902	7-1-10 to 6-30-15	
Director, Regulatory Knowledge and Support Group				
USC Tobacco Center of Regulatory Science for Vulnerable Populations				
	NIHP:	50 \$3,977,518	7-1-13 to 6-30-18	

Coinvestigator, Research Training and Education Core Consortium for Technology Innovation in Pediatrics

FDA \$6,600,000 2018-2023

Member Advisory Board and Regulatory Expert

#### 2020-present (USC)

I currently direct the DK Kim International Center for Regulatory Science and the USC-APEC Center of Training Excellence in Medical Devices. Goals for these activities include developing opportunities for international students in graduate studies to take part in regulatory training opportunities at USC; bringing international scholars and fellows to the university as scholars-in-residence; fostering outreach activities to underserved economies and communities; and to participate in global educational programs to advance capacity in regulatory, clinical and quality sciences; conducting research related to international regulatory policy and harmonization; educating regulators and others as an APEC Center of Training Excellence.

# Activities Related to Teaching

The MS (Regulatory Science) has grown over a decade and has graduated more than 700 students. Some of these educational activities have recently been brought under the wing of the International Center for Regulatory Science and others are part of the normal training opportunities of the department. At least forty courses are offered each year, some across all three terms.

USC Regulatory Science is also an APEC LSIF Center of Training Excellence in Medical Devices.

# Advisory Boards

Editorial Board of Medical Product Outsourcing	2008-2010
Medical Management Systems Council	2009-2012
AACP Graduate Education SIG Curriculum Task Force	2013-2015
ASQ TAG 176 Subcommittee	2012-2014
USP Knowledge, Education and Training on Regulatory	2013-2015
and Compendial Science Advisory Group	
Drug Information Association Board of Directors	2012 to 2015
AGRE Board of Directors, President	2015 to 2017
FDA Advisory Committee, Pharm. Science and Clinical Pharmacy	2018 to present
West Coast Consortium for Technology and Innovation in Pediatrics	2019 to present