

**FOOD AND DRUG ADMINISTRATION (FDA)
Center for Biologics Evaluation and Research (CBER)
169th Vaccines and Related Biological Products Advisory
Committee (VRBPAC) Meeting**

OPEN SESSION

**Web-Conference
Silver Spring, Maryland 20993**

October 14-15, 2021

This transcript appears as received from the commercial transcribing service after inclusion of minor corrections to typographical and factual errors recommended by the DFO.

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1 **OPENING REMARKS: CALL TO ORDER AND WELCOME**

2

3 **MR. MICHAEL KAWCZYNSKI:** All right. Good
4 morning and welcome to the 169th meeting of the
5 Vaccines and Related Biological Products Advisory
6 Committee. I'm Mike Kawczynski, and I will be managing
7 today's activities. You will see me pop in here and
8 there over time to assist some of our presenters just
9 in case they have any technical issues. Keep in mind
10 this is a live event, so we do anticipate that things
11 should go well. But every once in a while, if we do
12 hit a technical glitch, we may have an unexpected
13 temporary pause just to get that addressed, so with
14 that being said, I'm going to hand this meeting over to
15 our chair, Dr. Arnold Monto. Dr. Monto, are you ready?

16 **DR. ARNOLD MONTO:** I am ready.

17 **MR. MICHAEL KAWCZYNSKI:** All right. Take it
18 away.

19 **DR. ARNOLD MONTO:** I'd like to add my welcome,
20 Mike, to the 169th meeting of the Vaccines and Related
21 Biological Products Advisory Committee. This is a two-

1 day meeting, and the topic for today is to meet in open
2 session to discuss the EUA of the Moderna COVID-19 mRNA
3 vaccine for the administration of a booster dose
4 following completion of the primary series. So that is
5 our voting topic for the day. We are going to have
6 other discussion topics, so it's going to be a very
7 busy meeting. And I'm going to, as usual, try to keep
8 us on schedule because we need to get done because we
9 have another day awaiting us tomorrow. So, having
10 welcomed you -- do you hear me, Mike, because my
11 phone's been beeping?

12 **MR. MICHAEL KAWCZYNSKI:** Yeah, we hear you.

13 **DR. ARNOLD MONTA:** What I would like very much
14 now is to turn the meeting over to our designated
15 federal officer, Prabha Atreya, who will give the roll
16 call, go around for introductions of the Committee and
17 handle the housekeeping items that we always have to
18 start the meeting with. Over to you, Prabha.

19

1 **ADMINISTRATIVE ANNOUNCEMENTS, ROLL CALL, INTRODUCTION**
2 **OF COMMITTEE, CONFLICT OF INTEREST STATEMENT**

3

4 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Monto.
5 Mike, can you all hear me?

6 **MR. MICHAEL KAWCZYNSKI:** Yes, we can. You can
7 go ahead and turn your camera on too if you'd like.

8 **DR. PRABHAKARA ATREYA:** Yes. Okay. Thank
9 you, Dr. Monto. Thank you, Mike. Good morning,
10 everyone. This is Dr. Prabha Atreya, and it is my
11 great honor to serve as the Designated Federal Officer,
12 that is DFO, for today's 169th Vaccines and Related
13 Biological Products Advisory Committee meeting. On
14 behalf of the FDA, the Center for Biologics Evaluation
15 and Research, and the Committee I would like to welcome
16 everyone for today's virtual meeting.

17 As Dr. Monto mentioned before the topic for
18 today's meeting is to discuss in open session the
19 emergency use authorization of the Moderna Texas
20 Incorporation's COVID-19 mRNA vaccine for the
21 administration of a booster dose following completion

1 of the primary series to individuals 18 years of age
2 and older.

3 Today's meeting and the topic were announced
4 in the federal register notice on October 7th, 2021. I
5 would like to introduce and acknowledge the excellent
6 contributions of the staff in my division and the great
7 team that I had in preparing for this meeting. Ms.
8 Kathleen Hayes is my co-DFO, providing excellent
9 support in all aspects of preparing for and conducting
10 this meeting. Other staff who have been contributing
11 significantly are Ms. Monique Hill, Ms. Karen Thomas,
12 and Ms. Christina Vert who also provided excellent
13 administrative support.

14 I would also like to express our sincere
15 appreciation to Mike Kawczynski in facilitating today's
16 meeting. Also kudos to many FDA staff working hard
17 behind the scenes trying to ensure that today's virtual
18 meeting will also be a successful one like all the
19 previous VRBPAC meetings on the COVID topics. Please
20 direct any press or media questions for today's meeting
21 to the FDA Office of Media, which is at FDAOMA, one

1 word, @fda.hhs.gov. The transcriptionist for today's
2 meeting is Ms. Linda Giles and Erica Denham.

3 We will begin today's meeting by taking the
4 formal roll call for the Committee members and
5 temporary members. When it is your turn, please turn
6 on your video camera, unmute your phone, and then state
7 your first and last name. And then when finished, you
8 can turn off your camera so we can proceed to the next
9 person. Please see the member roster slides in which
10 we will begin with the chair. Dr. Arnold Monto, can we
11 please start with you? Thank you.

12 **DR. ARNOLD MONTO:** Yes, thank you, Prabha.
13 I'm Arnold Monto. I am a professor of epidemiology and
14 public health at the University of Michigan School of
15 Public Health, and I've had a long experience in
16 vaccines, respiratory disease prevention at the
17 University of Michigan. Back to you, Prabha.

18 **DR. PRABHAKARA ATREYA:** Thank you. Dr. Cohn.

19 **DR. AMANDA COHN:** Good morning, everyone. I'm
20 Dr. Amanda Cohn. I'm a pediatrician at the Centers for
21 Disease Control and Prevention with expertise in

1 vaccine-preventable disease and vaccine policy.

2 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.
3 Chatterjee.

4 **DR. ARCHANA CHATTERJEE:** Good morning,
5 everyone. My name is Archana Chatterjee. I am a
6 pediatric infectious diseases specialist. I'm also the
7 dean of Chicago Medical School and vice president for
8 Medical Affairs at Rosalind Franklin University. My
9 area of expertise is in vaccines.

10 **DR. PRABHAKARA ATREYA:** Thank you so much.
11 Next Dr. Meissner. Cody, we can't hear you.

12 **DR. CODY MEISSNER:** Thank you, Prabha. Thank
13 you, Mike. My name's Cody Meissner. I'm a professor
14 of pediatric infectious disease at Tufts Children's
15 Hospital in Boston.

16 **DR. PRABHAKARA ATREYA:** Thank you. Next
17 slide, please. Dr. Gans.

18 **DR. HAYLEY GANS:** Good morning, everybody.
19 I'm Dr. Hayley Gans, pediatric infectious disease at
20 Stanford University, and my area of expertise (audio
21 skip) vaccines of children and adults with normal

1 immune (audio skip).

2 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Gans.

3 Dr. Kurilla next.

4 **DR. MICHAEL KURILLA:** Good morning. Michael
5 Kurilla. I'm the director of the Division of Clinical
6 Innovation at the National Center for Advancing
7 Translational Sciences within the National Institutes
8 of Health. I'm a pathologist by training. My
9 expertise is in infectious diseases and vaccine
10 development.

11 **DR. PRABHAKARA ATREYA:** Thank you, Dr.
12 Kurilla. Next, Dr. Paul Offit.

13 **DR. PAUL OFFIT:** Hi. I'm Paul Offit. I am a
14 professor of pediatrics in the Division of Infectious
15 Disease at Children's Hospital of Philadelphia and the
16 University of Pennsylvania School of Medicine. And my
17 interest is in the area of vaccines and vaccine safety.
18 Thank you.

19 **DR. PRABHAKARA ATREYA:** Dr. Annunziato.

20 **DR. PAUL ANNUNZIATO:** Good morning. I'm Paula
21 Annunziato. I lead global critical vaccine development

1 at Merck, and I'm here today as the non-voting industry
2 representative.

3 **DR. PRABHAKARA ATREYA:** Thank you, Paula.
4 Next, Dr. Pergam.

5 **DR. STEVEN PERGAM:** Hello, everyone. I'm
6 Steve Pergam. I'm an associate professor at Fred
7 Hutchison Cancer Research Center in Seattle,
8 Washington, and the University of Washington. And my
9 expertise is in infectious disease in immunocompromised
10 patients.

11 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Pergam.
12 Next, Dr. Fuller. We're introducing our temporary
13 voting members. Dr. Fuller.

14 **DR. OVETA FULLER:** Good morning. I'm Dr.
15 Ovetta Fuller. I'm an associate professor of
16 microbiology and immunology at the University of
17 Michigan Medical School and also faculty in the STEM
18 initiative of the African Studies Center. And I'm a
19 virologist by training as well as implementation
20 science in the community.

21 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Fuller.

1 Next, Dr. Rubin.

2 **DR. ERIC RUBIN:** Hi. I'm Eric Rubin. I'm an
3 infectious disease physician. I'm at the Harvard TH
4 Chan School of Public Health, Brigham and Women's
5 Hospital, and the *Journal of Medicine*.

6 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.
7 Hildreth.

8 **DR. JAMES HILDRETH:** Good morning. I'm James
9 Hildreth. I'm the professor of medicine and president
10 and CEO of Meharry Medical College. I'm in immunology
11 by training, and I started out in neuro system respond
12 to virus infections. Thank you.

13 **DR. PRABHAKARA ATREYA:** Thank you, Dr.
14 Hildreth. Next Dr. Hawkins.

15 **DR. RANDY HAWKINS:** Good morning. Dr. Randy
16 Hawkins, position in private practice, internal
17 medicine and pulmonary medicine. Charles Drew
18 University. I'm the consumer representative.

19 **DR. PRABHAKARA ATREYA:** Thank you. Mike, can
20 we have the next slide, please?

21 **DR. JEANNETTE LEE:** Good morning. My name is

1 Jeannette Lee. I'm a professor of biostatistics and a
2 member of the Winthrop P. Rockefeller Cancer Institute
3 at the University Arkansas for Medical Sciences, and my
4 area is biostatistics in clinical trials. Thank you.

5 **DR. PRABHAKARA ATREYA:** I lost connection, so
6 can we go to the next slide, please?

7 **DR. MARK SAWYER:** Good morning. This is Mark
8 Sawyer. I'm a professor of pediatrics and a pediatric
9 infectious disease specialist at University of
10 California, San Diego, and Rady Children's Hospital San
11 Diego. My area of expertise is in vaccines.

12 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Sawyer.
13 Dr. Nelson.

14 **DR. MICHAEL NELSON:** Hello, I'm Dr. Michael
15 Nelson. I'm professor of medicine at the University of
16 Virginia and Chief of the Asthma, Allergy and
17 Immunology Division there. I'm also President of the
18 American Board of Allergy and Immunology. My interest
19 and work in vaccines centers on adverse effects and
20 originated during my military career at Walter Reed.

21 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Nelson.

1 Last but not least Dr. Melinda Wharton.

2 **DR. MELINDA WHARTON:** Good morning. I'm
3 Melinda Wharton. I'm an adult infectious disease
4 physician by training, and I serve as the Associate
5 Director for Vaccine Policy at the National Center for
6 Immunization and Respiratory Diseases at the Centers
7 for Disease Control and Prevention.

8 **DR. PRABHAKARA ATREYA:** Thank you, Dr.
9 Wharton. We have a total of 19 voting and 1 non-voting
10 members today, and I will now proceed with the reading
11 of the conflicts of interest statement for the public
12 record.

13 **MS. KATHLEEN HAYES:** Dr. Atreya, we have a
14 couple other people to introduce.

15 **DR. PRABHAKARA ATREYA:** I'm sorry. Okay.
16 Thank you. Dr. Levy. We can't hear you.

17 **MR. MICHAEL KAWCZYNSKI:** Dr. Levy, are you
18 muted on the top of the screen. Go ahead and --

19 **DR. OFER LEVY:** Good morning, everyone. My
20 name is Ofer Levy. I'm a physician scientist who
21 directs the Precision Vaccines Program at Boston

1 Children's Hospital, and I'm a professor of pediatrics
2 at Harvard Medical School.

3 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Levy.
4 Dr. Patrick Moore.

5 **DR. PATRICK MOORE:** Good morning. I'm Pat
6 Moore. I'm at the University of Pittsburgh Cancer
7 Center. I'm a professor here. My expertise is in
8 molecular biology and epidemiology, and I specifically
9 study epidemics as well as new human cancer viruses.

10 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.
11 Perlman.

12 **DR. STANLEY PERLMAN:** My camera's not turning
13 on, so I don't know why that is. But I'm Dr. Stanley
14 Perlman. I'm at the University of Iowa in the
15 Department of Microbiology and Immunology and a
16 pediatric infectious diseases specialist, and my
17 expertise is in coronaviruses.

18 **DR. PRABHAKARA ATREYA:** Thank you. All right.
19 Today we're going to be joined by Dr. Peter Marks who's
20 going to make a presentation also later after the FDA
21 introductions. Dr. Marks, do you want to introduce

1 yourself and thank the Committee?

2 **DR. PETER MARKS:** Hi, I'm Peter Marks,
3 Director of Center for Biologics. Thanks.

4 **DR. PRABHAKARA ATREYA:** Thank you. I think
5 now I will proceed to reading of the conflicts of
6 interest statement for the public record.

7 The Food and Drug Administration is convening
8 today virtually October 14, 2021, the 169th Meeting of
9 the Vaccines and Related Biological Products Advisory
10 Committee under the authority of the Federal Advisory
11 Committee Act of 1972. Dr. Arnold Monto is serving as
12 the acting chair for today's meeting.

13 Today on October 14th, 2021, under Topic I,
14 the Committee will meet in open session to discuss the
15 emergency use authorization, EUA, of Moderna Texas
16 Incorporation's COVID mRNA vaccine for the
17 administration of a booster dose following completion
18 of the primary series to individuals 18 years of age
19 and older. The topic is determined to be a particular
20 matter involving specific parties. With the exception
21 of the industry representative members, all standing

1 and temporary voting members of the VRBPAC are
2 appointed special government employees, SGEs, or
3 regular government employees, RGEs, from other agencies
4 and are subjected to federal Conflicts of Interest laws
5 and regulation.

6 The following information on the status of
7 this Committee's compliance with federal Ethics and
8 Conflict of Interest laws including, but not limited
9 to, 18 USC Section 208 is being provided to
10 participants in today's meeting and to the public.
11 Related to this discussion at this meeting, all
12 members, regular government employees and special
13 government employees, and consultants of this Committee
14 have been screened for potential financial conflicts of
15 interest of their own; as well as those imputed to them
16 including those of their spouse or minor children; and,
17 for the purposes of 18 U.S. Code 208, their employer.
18 These interests may include investments, consulting,
19 expert witness testimony, contracts and grants,
20 cooperative research and development agreements -- or
21 CRADAs -- teaching, speaking, writing, patents and

1 royalties, and their primary employment. These may
2 include interests that are current or under
3 negotiation.

4 FDA has determined that all members of this
5 Advisory Committee, both regular and temporary members,
6 are in compliance with the Federal Ethics and Conflict
7 of Interest laws. Under 18 U.S. Code 208, Congress has
8 authorized FDA to grant waivers to special government
9 employees and/or regular government employees who have
10 financial conflicts of interest when it is determined
11 that the Agency's need for a special government
12 employee's services outweighs the potential for a
13 conflict of interest created by the financial interest
14 involved or when the interest of a regular government
15 employee is not so substantial as to be deemed likely
16 to affect the integrity of services which the
17 government may expect from the employee.

18 Based on today's agenda and all financial
19 interests reported by the Committee members and
20 consultants, there have been one conflict of interest
21 waiver issued under 18 U.S. Code 208 in connection with

1 this meeting.

2 We have the following consultants serving as
3 temporary voting members: Dr. Oveta Fuller, Dr. Randy
4 Hawkins, Dr. James Hildreth, Dr. Jeannette Lee, Dr.
5 Ofer Levy, Dr. Arnold Monto, Dr. Patrick Moore, Dr.
6 Michael Nelson, Dr. Stanley Perlman, Dr. Eric Rubin,
7 Dr. Mark Sawyer, and Dr. Melinda Wharton. Among these
8 consultants, Dr. James Hildreth, a special government
9 employee, has been issued a waiver for his
10 participation in today's meeting. The waiver was
11 posted on the FDA website for public disclosure.

12 Dr. Paula Annunziato of Merck will serve as
13 our industry representative for today's meeting.
14 Industry representatives are not appointed as special
15 government employees and serve as only non-voting
16 members of the Committee. Industry representatives act
17 on the behalf of all regulated industry and bring
18 general industry perspective to the Committee.
19 Industry representative on this Committee is not
20 screened and does not participate in any closed
21 sessions we have and do not have voting privileges.

1 Dr. Randy Hawkins is serving as the temporary
2 consumer representative for this Committee. Consumer
3 representatives are appointed special government
4 employees and are screened and cleared prior to their
5 participation in the meeting. They are voting members
6 of the Committee.

7 The guest speakers for this meeting today are
8 Dr. Sharon Alroy-Preis, Director of Public Health
9 Services at the Ministry of Health located in
10 Jerusalem, Israel; Dr. Ron Milo, a professor of Plant
11 and Environmental Sciences Department at the Charles
12 and Louis Gartner and a professional chair at the
13 Weizmann Institute of Science in Rehovot, Israel.

14 Disclosure of conflicts of interest for
15 speakers and guest speakers follow applicable federal
16 laws, regulations, and FDA guidance. FDA encourages
17 all meeting participants, including open public hearing
18 speakers, to advise the Committee of any financial
19 relationships they may have with any affiliated firm,
20 its products and, if known, its direct competitors.

21 We would like to remind standing and temporary

1 members that if the discussions involve any of the
2 products or firms not already on the agenda for which
3 an FDA participant has a personal or imputed financial
4 interest, the participant needs to inform the DFO and
5 exclude themselves from the discussion, and their
6 exclusion will be noted for the record.

7 This concludes my reading of the Conflicts of
8 Interest statement for the public record. At this
9 time, I would like to hand over the meeting to our
10 chair, Dr. Arnold Monto. Dr. Monto, take it away.
11 Thank you.

12 **DR. ARNOLD MONTO:** Thank you, Prabha. We got
13 through this very promptly, so we're right on time. To
14 start the meeting and to tell us about the roadmap
15 today, I'd like to introduce again the director of the
16 center, Dr. Peter Marks, who will give us the
17 introduction of the topic. Dr. Marks.

18

19

WELCOME REMARKS

20

21 **DR. PETER MARKS:** Thanks very much, Dr. Monto.

1 Good day. I'd like to welcome you to this 169th
2 meeting of the Vaccines and Related Biologic Products
3 Advisory Committee meeting. First, I do want to take a
4 moment to thank our staff, the sponsors, and our
5 Advisory Committee members for devoting the time for
6 considering the important topics at hand today.

7 Our theme of today's meeting is focused on the
8 topic of the use of additional doses of the authorized
9 or approved COVID-19 vaccines to boost immunity in
10 order to prevent adverse outcomes from COVID-19. We'll
11 hear updates on the results on the effectiveness and
12 safety of the deployment of the booster vaccines in
13 Israel. We'll consider the issue of boosters for the
14 Moderna and Janssen or Johnson and Johnson vaccine, and
15 we'll discuss the results of a study in which a booster
16 from different manufacturers were given to individuals
17 who had received different primary series for their
18 initial vaccination. If I can have the next slide.

19 The spectrum of COVID-19 ranges for
20 asymptomatic infection to death, and in between these
21 is a range of infection ranging from mild to severe,

1 including severe disease requiring hospitalization.
2 Vaccination is most important for preventing severe
3 outcomes from SARS-coronavirus-2 infection, such as
4 hospitalization and death. However, in considering the
5 value of vaccination one may also need to consider the
6 potential comorbidity from mild to moderate infection
7 such as blood clots and long COVID-19. In this regard,
8 we now know from recently published studies that
9 vaccinated individuals can develop long COVID-19 if
10 they experience breakthrough COVID-19 infection of any
11 severity. These issues may need to be considered in
12 discussions of the value of booster vaccinations.

13 The next few slides -- if I can have the next
14 slide -- show the relative preservation of
15 effectiveness of the vaccine over time. Most of the
16 evidence is based on neutralizing antibody titers or
17 real-world evidence on symptomatic infection, and the
18 data I'll show you comes from real-world evidence. But
19 there are other data as well. Separating waning
20 effectiveness from reduced effectiveness against the
21 variants, such as the Delta variant, can be

1 challenging, and what you'll see on all of these slides
2 is that the vaccines are still very effective against
3 serious outcomes such as hospitalization. So, on the
4 right of each of these slides, you'll see the
5 hospitalizations, and, on the left, you'll see the
6 overall infections. If I can have the next slide.

7 So here for the Pfizer-BioNTech vaccine, you
8 can see that over time there was still relative
9 preservation of the effectiveness of the vaccine
10 against preventing hospitalization. Yet there seems to
11 be a decrease over the course of time against overall
12 COVID-19 that was observed, and that occurs across the
13 various age groups. There's a suggestion from some
14 studies that it may happen most in older individuals.
15 If I can have the next slide.

16 A similar trend is seen with the Moderna
17 vaccine. Here, things are reversed when you're looking
18 at this, but, on the right, you see, again, the flat
19 orange line at the bottom shows that hospitalization
20 remains an event that is well prevented by the vaccine,
21 whereas there is a somewhat trend of that orange line

1 upwards showing that there seems to be some waning of
2 protection against the overall observed COVID-19. If
3 we can go to the next slide.

4 Similarly, here -- now reversing again, you
5 can see here that on the right hospitalization from
6 COVID-19 with the Janssen vaccine is something that is
7 relatively prevented and that efficacy is relatively
8 preserved over time. And then, for overall infections
9 on the left, how you can see that the unvaccinated
10 curve in orange and the vaccinated in blue. And the
11 blue does seem to drop off some over time. So the
12 final slide.

13 Just to summarize here, we'll be talking about
14 booster vaccination today, but it's important to
15 remember that the vaccine still provides strong
16 protection against serious outcomes, especially for
17 younger age groups. I didn't show those data, but some
18 of that will be shown subsequently. The vaccine
19 effectiveness against mild and moderate disease does
20 appear to wane over time for the different vaccines,
21 and we do need to account for the fact that mild to

1 moderate COVID-19 can be associated with adverse
2 outcomes such as blood clots and long COVID-19, even in
3 those who have breakthrough infections after
4 vaccination.

5 But it's important not to forget as we move
6 forward that facilitating higher primary coverage of
7 the entire vaccine eligible population with the initial
8 series of vaccination should still be a key priority.

9 I just thank you today and for today and
10 tomorrow. We greatly appreciate the input that this
11 Advisory Committee will provide. Thank you again.

12 **DR. ARNOLD MONTO:** Thank you, Dr. Marks.
13 Next, we are going to be hearing from Dr. Sudhakar
14 Agnihothram -- excuse me for murdering your name -- who
15 is going to present from the Division of Vaccines and
16 Related Products Applications, from OVRP. He's going
17 to give us the background for the day's activities.

18

19 **MODERNA COVID-19 VACCINE APPLICATION FOR EMERGENCY USE**

20 **AUTHORIZATION OF A BOOSTER DOSE**

21

1 **DR. SUDHAKAR AGNIHOTHRAM:** Thanks, Dr. Monto.
2 Can you hear me, see me, and then see the slides?

3 **DR. ARNOLD MONTO:** We can see you and hear you
4 very well.

5 **DR. SUDHAKAR AGNIHOTHRAM:** Okay. Thanks very
6 much. Good morning, everyone. I'm Sudhakar
7 Agnihothram from Division of Vaccines and Related
8 Products Applications, OVR, CBER, FDA, and today I'll
9 be talking to you about Moderna COVID-19 vaccine
10 application for emergency use authorization of a
11 booster dose.

12 Here is the outline of my talk. I'll start
13 with the description of Moderna COVID-19 vaccine and
14 EUA request for a booster dose. Then, I'll discuss the
15 considerations for emergency use authorization of a
16 COVID-19 vaccine booster dose, and I'll be talking
17 about COVID-19 vaccines available for use in the United
18 States. Then, I'll be presenting the overview of
19 today's agenda. That will follow with my presentation
20 of the voting question and the discussion question for
21 the Committee.

1 Please note that the part of my presentation
2 pertaining to the second and the third bullet also
3 applies to the Advisory Committee discussion tomorrow
4 and is relevant for tomorrow's AC discussion.

5 The Phase 1 trial of Moderna COVID-19 vaccines
6 started in February of 2020, and Moderna COVID-19
7 vaccine was authorized for use under emergency use on
8 December 18, 2020. Moderna COVID-19 vaccine is
9 indicated for active administration to prevent COVID-19
10 caused by SARS-coronavirus-2 in individuals 18 years of
11 age and older. Regarding the dosing regimen, Moderna
12 COVID-19 vaccine is administered as two doses one month
13 apart. The third dose for administration appears one
14 month after the second dose, was authorized on August
15 12, 2021, for use in certain immunocompromised
16 individuals. Each 0.5 mL dose of Moderna COVID-19
17 vaccine contains 100 micrograms of the nucleoside-
18 modified mRNA encoding the viral spike glycoprotein of
19 SARS-CoV-2 (Wuhan strain) formulated in lipids.

20 Regarding the Moderna COVID-19 vaccine booster
21 dose amendment, the amendment was submitted to the EUA

1 on September 3rd, 2021. Moderna aligned their proposed
2 indication with the population that was authorized for
3 the Pfizer-BioNTech booster dose and the proposed use
4 of booster doses for Moderna COVID-19 vaccine under the
5 EUA is a 50-microgram dose, 0.25 mL volume, to be
6 administered at least six months after completing a
7 primary series to individuals 65 years of age and
8 older, 18 through 64 years of age at high risk of
9 severe COVID-19, and 18 through 64 years of age whose
10 frequent institutional or occupational exposure to
11 SARS-CoV-2 puts them at high risk of serious
12 complications of COVID-19, including severe COVID-19.
13 The clinical package in the amendment includes safety
14 and immunogenicity data from 171 clinical trial
15 participants who received 50-microgram booster dose of
16 Moderna COVID-19 vaccine approximately six months after
17 completing the Moderna COVID-19 vaccine two-dose
18 series, which is 100 micrograms each.

19 Pertaining to the rationale for the need of
20 COVID-19 booster dose, the emergence of the highly
21 transmissible Delta variant of SARS-CoV-2 has led to

1 considerations of the potential need for booster doses
2 for fully vaccinated individuals. Data from post-
3 authorization effectiveness studies conducted suggest
4 that the currently U.S. authorized or license vaccines
5 remain effective in protecting against severe disease.
6 However, some data suggest that effectiveness may be
7 waning against mild disease and against severe disease
8 in elderly individuals. Concerns have been raised that
9 declining neutralizing antibody titers or reduced
10 effectiveness against symptomatic disease may herald
11 significant declines in effectiveness against severe
12 disease.

13 Talking about the emergency use authorization,
14 FDA may issue an emergency use authorization of an
15 unapproved medical product following an EUA
16 declaration, if the following statutory requirements
17 are met: the agent referred to in the EUA declaration
18 can cause a serious or life-threatening disease or
19 condition; the medical product may be effective to
20 prevent, diagnose, or treat the serious or life-
21 threatening condition caused by the agent; the known

1 and potential benefits of the product outweigh the
2 known and potential risks of the protect; and then, if
3 no adequate, approved, and available alternative to the
4 product for diagnosing, preventing, or treating the
5 disease or condition pervades.

6 I will now be talking about the COVID-19
7 vaccines available for use in the U.S. Pfizer-BioNTech
8 COVID-19 vaccine, or COMIRNATY, is licensed for use as
9 a two-dose primary series in individuals greater than
10 or equal to 16 years of age. Pfizer-BioNTech COVID-19
11 vaccine is available under EUA as a two-dose primary
12 series in individuals greater than or equal to 12 years
13 of age, and a third primary series dose is available
14 under EUA for use in certain immunocompromised
15 individuals.

16 The booster dose of Pfizer-BioNTech COVID-19
17 vaccine is available for use at least six months after
18 completion of the primary series in individuals greater
19 than or equal to 65 years of age, individuals 18
20 through 64 years of age at high risk of severe COVID-
21 19, and individuals 18 through 64 years of age whose

1 frequent institutional or occupational exposure to
2 SARS-CoV-2 puts them at high risk of serious
3 complications of COVID-19, including severe COVID-19.

4 The Moderna COVID-19 vaccine is available
5 under the EUA as a two-dose series in individuals
6 greater than or equal to 18 years of age and for use as
7 a third dose in certain immunocompromised individuals.
8 The Janssen COVID-19 vaccine is available under the EUA
9 as a single dose in individuals greater than or equal
10 to 18 years of age.

11 Continuing to the benefit-risk considerations
12 for a booster dose. The available data should support
13 the effectiveness of the booster dose, specifically
14 against currently circulating SARS-CoV-2 variants.
15 That is benefit of the booster dose should be
16 considered relative to the benefit provided by previous
17 vaccination with the primary series.

18 Available data should at minimum characterize
19 the most common adverse reactions associated with the
20 booster dose. There are uncertainties regarding risks,
21 for example, myocarditis, that are also considered and

1 would be further evaluated during post-authorization
2 surveillance. FDA's evaluation of the safety and
3 effectiveness data of a booster dose of the Moderna
4 COVID-19 vaccine and additional input from the VRBPAC
5 is essential for weighing the known and potential
6 benefits and risks.

7 Digging into today's agenda, we are currently
8 in the FDA introduction, which will then have a five-
9 minute Q&A session, and that will be followed by a
10 presentation of data relevant to the need of the
11 booster dose from Dr. Alroy at the Ministry of Health
12 Israel and Dr. Milo from Weizmann Institute, Israel.
13 There will be a 15-minute break after that.

14 Then, there will be a sponsor presentation
15 titled "Safety and Immunogenicity of a 15-microgram
16 Booster Dose of mRNA-1273 (Moderna COVID-19 Vaccine)"
17 to be given by Dr. Jacqueline Miller from Moderna
18 Therapeutics. This will be followed by FDA
19 presentations from Dr. Tina Mongeau and Dr. Hui-Lee
20 Wong. There will be a 10-minute question and answer
21 session after that, followed by a 30-minute lunch break

1 and an open public hearing for 60 minutes and a 15-
2 minute break. There will be an additional Q&A session
3 regarding the sponsor and FDA presentations, followed
4 by the Committee discussion and voting.

5 Here is the voting question for the Committee
6 for today's AC. "Do available data support the safety
7 and effectiveness of Moderna COVID-19 vaccine for use
8 under EUA as a booster dose (50 microgram of mRNA-1273)
9 at least six months after completion of a primary
10 series in the following populations: individuals 65
11 years of age and older; individuals 18 through 64 years
12 of age at high risk of severe COVID-19; and individuals
13 18 through 64 years of age whose frequent institutional
14 or occupational exposure to SARS-CoV-2 puts them at
15 high risk of serious complications of COVID-19,
16 including severe COVID-19?"

17 We also have a non-voting discussion question
18 for the Committee. "Considering the information
19 presented today and at the meeting of the VRBPAC on 17
20 September 2021, including the updated information on
21 effectiveness of mRNA COVID-19 vaccine, please discuss

1 whether available data support use of a mRNA COVID-19
2 vaccine, that is Pfizer-BioNTech or Moderna booster
3 dose administered at least six months after completion
4 of the same mRNA COVID-19 vaccine primary series in the
5 general population of adults in an age group less than
6 65 years." For the purposes of this question, age
7 groups below 18 years should not be considered.

8 I'd like to thank the Advisory Committee,
9 supervisors, and management for providing the
10 opportunity to present here. Thanks and now it is open
11 for Q&A session.

12

13

Q&A SESSION

14

15 **DR. ARNOLD MONTO:** Thank you very much. We
16 have our first Q&A session, and we have a little more
17 time because we're ahead of schedule to discuss what
18 we're going to be doing today and to get going in terms
19 of our thoughts. And Dr. Kurilla has raised his hand.

20 **DR. MICHAEL KURILLA:** Thank you, Dr. Monto.
21 Yeah. One question, could you clarify the relationship

1 between the six-month booster EUA with regard -- does
2 it supersede the EUA that was issued for the
3 immunocompromised, or do both of those stay in effect?
4 It seems like there might be a little bit of confusion
5 because the immunocompromised would also be at risk for
6 serious COVID disease, but that's one month after
7 versus six months. I'm just wondering how those will
8 play out.

9 **DR. ARNOLD MONTO:** And what about the dose?

10 **DR. MICHAEL KURILLA:** Good point, Arnold.

11 **DR. SUDHAKAR AGNIHOTHRAM:** I can answer that
12 question. Yeah, thanks for the question. The third
13 dose for immunocompromised is actually 100-microgram
14 dose, and then the six-month EUA for the booster dose
15 is for 18 to 64 years in individuals who have
16 comorbidities, and then, above 64, it is for everyone.
17 For Moderna COVID-19 vaccine, the dose is 50 micrograms
18 for the booster dose -- that is the third dose. But
19 the dosage for immunocompromised for Moderna is 100
20 micrograms, which is the third dose, and the
21 immunocompromised may also opt to get another booster

1 dose that would be 50 micrograms. And, if anyone else
2 from FDA wants to jump in to answer that question,
3 they're welcome to.

4 **DR. PETER MARKS:** Dr. Kurilla, I take your
5 point, and I think we've gotten some feedback that,
6 when we reissue the fact sheets for the current
7 emergency use authorizations, we'll make it clearer
8 about the distinction between the third doses for the
9 immunocompromised and the issue of a booster for an
10 individual who's received three doses of the primary
11 series. And that's a very good point that we have to
12 just make sure we clarify. Thank you for that.

13 **DR. MICHAEL KURILLA:** And so, just to be
14 clear, for the immunocompromised population, you have
15 changed the primary vaccination sequence then to a
16 three dose?

17 **DR. PETER MARKS:** We have not changed it, but
18 we have allowed -- it's permissive if a third dose is
19 desired based on the considerations of that individual
20 such as an individual who has been through solid organ
21 transplant where there's good evidence that they often

1 don't make a good immune response to two doses that, at
2 the discretion of a provider, a third dose could be
3 administered. We note in the authorization that, even
4 then, the protection may not be perfect, and that's why
5 we recommend that people still continue to use
6 reasonable precautions such as mask wearing, et cetera.

7 **DR. MICHAEL KURILLA:** But the six-month boost,
8 then, for them would be a fourth dose? They would
9 still be -- you would still consider them eligible
10 under this EUA for a fourth dose?

11 **DR. PETER MARKS:** You know, I think this is
12 one where we probably need to discuss this. This is
13 far enough in the future that I don't want to make a
14 definitive statement here. It's something that we do,
15 though, have to cover when we reissue our fact sheets,
16 and I'd be very welcome to have the Advisory Committee,
17 Dr. Monto, later on have a conversation about that
18 because I think there is some dialogue that could be
19 had.

20 **DR. MICHAEL KURILLA:** And there's potential
21 for a lot of confusion of who needs what.

1 **DR. ARNOLD MONTO:** All right. Dr. Kurilla, I
2 don't know that we're going to be able to fine-tune the
3 whole national program in the next couple of days. I
4 think there are going to be -- we really need to think
5 of broad concepts, especially when we get into our
6 discussion after the vote later this afternoon. Dr.
7 Meissner.

8 **DR. CODY MEISSNER:** Thank you, Arnold, and
9 thank you both presenters. I think my question is
10 going to be a little bit easier than Dr. Kurilla's
11 question for you, and it's for you, Dr. Marks. You
12 showed three slides that demonstrated real-world
13 effectiveness for the three vaccines, and could you
14 just remind me? There were vaccinated and unvaccinated
15 curves that were demonstrated there. Who was in the
16 unvaccinated group? Did that group have the same
17 degree of risk factors, such as age, as the group who
18 were vaccinated? Because they probably weren't from
19 the original trials, right? Because didn't most of the
20 placebo recipients cross over?

21 **DR. PETER MARKS:** So both of those -- both for

1 Pfizer and Moderna, those were from Kaiser-Permanente
2 studies, and the papers are published in *The Lancet*.
3 The references are on the slides. They did match for
4 age, disease score. These were from their HMO
5 databases, so these were cohorts that were matched.
6 And our statisticians in looking these over, feel that
7 reasonable matching was done, but you know the
8 limitations of all of these. These were covered at the
9 last meeting, the limitations that are present with
10 these studies. Although, the one thing that is true is
11 that, in the studies, one might see differences in
12 magnitude. They do all seem to trend in the same
13 direction here.

14 **DR. CODY MEISSNER:** Thank you.

15 **DR. ARNOLD MONTTO:** Thank you. Dr. Sawyer.

16 **DR. MARK SAWYER:** Thanks. I just want to go
17 into the discussion today with a clear understanding of
18 whether the voting question that was presented is the
19 only question we would deal with. Last meeting, we
20 decided that the voting question -- we voted against
21 the overall question that was posed, and then a revised

1 version was presented. And we then voted again on
2 that, and would we follow the same process today?

3 **DR. PETER MARKS:** I'm happy to try and respond
4 to that. I mean, we anticipate having the vote on the
5 question that's there. Wanting to make best use of the
6 Advisory Committee's expertise, if the Advisory
7 Committee did not -- you know, if there was a problem
8 with that question that became apparent during this
9 meeting, we would potentially take it upon the
10 Committee, if acceptable, with revising it. But it is
11 our intention to vote on the one question that was
12 presented and to have the one discussion question.

13 **DR. ARNOLD MONTO:** Right. And just note that
14 the voting question derives from the sponsor's request
15 to the FDA, and that's the reason we did what we did at
16 our last meeting. Dr. Offit.

17 **DR. PAUL OFFIT:** Yes. Sudhakar, I had a
18 question that hopefully you could clarify -- one of the
19 statements that was on one of your slides. You cited
20 that, because there was a decrease in effectiveness
21 associated with the vaccines over time regarding

1 infection, that that likely presaged a decrease in
2 effectiveness against serious disease. But one could
3 argue that decrease in effectiveness against all
4 infection is more likely mediated by neutralizing
5 antibodies, which are going to erode over time, whereas
6 immunological memory is probably more likely associated
7 with protection against serious illness. So I'm not
8 sure why one would argue that one would presage the
9 other.

10 **DR. SUDHAKAR AGNIHOTHRAM:** Well, thanks for
11 the question, Dr. Offit. I can try to answer that
12 question. The decrease in effectiveness against mild
13 to moderate disease can apparently be also driven by a
14 decrease in quality of the neutralizing antibodies that
15 are present. And then that can eventually lead to
16 severe outcomes such as hospitalization, et cetera.

17 I mean, point well taken that the
18 immunological memory can also play a role in protection
19 against severe disease, but over time vaccinology and
20 immunology when the immune response declines over time,
21 then that can also eventually lead into severe disease.

1 So that is the explanation that I can give. But if
2 anyone else from EPA wants to jump in --

3 **DR. PAUL OFFIT:** So, Sudhakar, you're arguing
4 that arguably immunological memory would decline over
5 time. I mean, and I think that some of the Israeli
6 data show that in a 70- to 79-year-old, that's very
7 possibly true, but I just wonder whether in a younger
8 group that really would be true. But again --

9 **DR. PETER MARKS:** Dr. Offit, my suggestion is
10 let's see the Israeli data that they present today
11 because they may answer some of that question today, I
12 think. I'm sorry, I didn't mean to cut you off. I
13 just think that may be a -- I totally take your point,
14 and they may address that today.

15 **DR. PAUL OFFIT:** Okay. Thank you, Peter.
16 Thank you, Sudhakar.

17 **DR. SUDHAKAR AGNIHOTHRAM:** Thank you.

18 **DR. ARNOLD MONTTO:** Dr. Moore.

19 **DR. PATRICK MOORE:** I assume we don't have
20 anyone presenting from VAERS or CDC on giving us an
21 update on serious adverse events, particularly

1 comparing Pfizer to Moderna mRNA vaccines. Is there a
2 chance for us to get that information before we
3 evaluate this booster? This is on the primary series
4 of course. That is, is there a chance for us to get
5 that information before we evaluate Moderna's booster,
6 or how do we deal with that, especially with the issue
7 of myocarditis particularly in males that suggests that
8 may tailor our recommendation more?

9 **DR. SUDHAKAR AGNIHOTHRAM:** Thanks for the
10 question, Dr. Moore. We have a presentation from
11 Office of Biostatistics and Epidemiology from Dr. Hui-Lee
12 Wong who will be talking about that. That will be
13 followed by Dr. Tina Mongeau's presentation, so that
14 will address your question. Dr. Marks or anyone else,
15 if you want to jump in.

16 **DR. PATRICK MOORE:** Great. Thank you.

17 **DR. ARNOLD MONTTO:** And just to note that our
18 voting question actually is, for the most part, down to
19 65 years of age. The rest is going to be part of the
20 discussion afterwards in which we're going to be
21 looking at and can ask some questions about age groups

1 as such. We can unusually have a little more
2 discussion here because I've heard that Dr. Alroy-Preis
3 is not in place yet to give her presentation from
4 Jerusalem, so any of our Committee that wants to ask a
5 few more questions, we've got exactly seven minutes to
6 give her time to get in place. Dr. Meissner, is that
7 you from before or new?

8 **DR. CODY MEISSNER:** No, it's new, Arnold. Let
9 me try and position myself here. I have another
10 question.

11 **DR. ARNOLD MONTTO:** It's all very tricky when
12 you're virtual.

13 **DR. CODY MEISSNER:** Thank you. Another
14 question for Dr. Marks, so the question from the
15 sponsor relates to individuals 65 years of age and
16 older, people 18 through 64 who have underlying risk
17 factors. And then my question relates to the third
18 category. It seems to me there's some confusion
19 between people who are at risk of severe disease and
20 people who are perhaps at greater risk of being
21 exposed.

1 First, are there any data to say that people
2 at greater risk of being exposed are likely to get more
3 severe disease? And I worry because that's been
4 interpreted as, for example, a person who bags
5 groceries at a grocery store, and to me, that wasn't
6 quite the intention of what we discussed during the
7 last meeting. Could you comment on that -- your
8 perspective on that?

9 **DR. PETER MARKS:** Thanks for the question.
10 It's one where -- I take your point. We discussed it a
11 fair amount internally. The question is some people
12 are at greater risk of getting COVID-19. You're right
13 because they are just constantly exposed. If they get
14 it, you're right. The grocery store worker, for that
15 infection, there's nothing that says that they would be
16 -- because they're a grocery store worker does not mean
17 that they would get more severe infection than another
18 individual, but it was part of kind of the overall
19 consideration there. Again, if this Committee -- as
20 they discussed, that was the purpose of the second
21 question today, to allow the Committee to refine what

1 we have.

2 And we'll very much value that because we know
3 it's not perfect, and to the extent that I'll just say
4 this -- to the extent that we can try to harmonize
5 between the various vaccines to the greatest extent, we
6 greatly appreciate that because, in the practice of
7 rolling things out, we think that will make things
8 easiest and create the least confusion operationally.
9 But I really welcome that discussion. That was the
10 purpose of the second discussion question. Thank you,
11 Dr. Meissner.

12 **DR. CODY MEISSNER:** Thank you, Dr. Marks.

13 **DR. ARNOLD MONTA:** Dr. Rubin.

14 **DR. PAUL RUBIN:** Thanks, Dr. Monto. I have a
15 question about the FDA's view of what a reasonable
16 safety sample is for a third dose. The difference
17 between -- you know, Pfizer did a relatively small
18 trial, and Moderna is going to present the results of a
19 relatively small trial of third doses. Pfizer had all
20 those real-world data from Israel, a million people who
21 had received the vaccine. So how do you think about an

1 adequate sample size to view safety?

2 **DR. SUDHAKAR AGNIHOTHRAM:** Thanks for that
3 question, Dr. Rubin. I can attempt to answer that
4 question, but, as to Moderna, we have safety data from
5 171 participants and additional safety data from 173
6 participants as well, so approximately around 320
7 participants for a booster dose, which is being
8 reviewed for the emergency use authorization of the
9 booster dose. So we believe that for emergency use
10 authorization that is adequate for authorization of the
11 booster dose, but if there's anything that anyone else
12 wants to add from FDA, Dr. Marks or Dr. Fink.

13 **DR. PETER MARKS:** I think the most important
14 piece of this to understand is that I think we take the
15 totality of the evidence. I think some of this is
16 understanding what the most likely adverse events have
17 been from mRNA vaccines, and I think probably the major
18 thing we'll be looking at in post-deployment
19 surveillance would be myocarditis. Given the incidence
20 rate of that, I think this is one of those areas where
21 we will look at using our large databases to make sure

1 that the incidence as it's deployed is not excessive
2 compared to what we would expect. And I think Dr. Fink
3 looks like he wants to chime in here.

4 **DR. DORAN FINK:** Thank you. I just wanted to
5 add -- and this was discussed several weeks ago with
6 the Pfizer booster dose request -- that we did issue
7 guidance regarding emergency use authorization of
8 modified vaccine to increase protection against
9 variants. And even though we're not talking about
10 modified vaccines, the considerations that we outlined
11 in that guidance for booster doses of modified vaccines
12 we do think are very applicable to these homologous
13 booster doses that we're considering then and also
14 today.

15 In our guidance what we said is that based on
16 a well-characterized safety profile of a primary series
17 that a safety database of around 300 or so individuals
18 who received a booster dose would generally be
19 sufficient provided no signals are identified to
20 support emergency use authorization of a booster dose.
21 It was very nice that we heard about data from the

1 Israeli experience with the Pfizer vaccine last time.

2 Of course, FDA did not independently review
3 those data, and so they did not contribute in a major
4 way to our consideration of the risks and benefits for
5 the U.S. population, although we certainly did consider
6 those data in part. So I think what Moderna has
7 provided us today, which you'll hear more about today
8 later, does align with the principles outlined in our
9 guidance for the study of booster doses to support
10 emergency use authorization.

11 **DR. ARNOLD MONTO:** Thank you. Final question
12 from Dr. Fuller because Dr. Preis is now ready to go.
13 So Dr. Fuller.

14 **DR. OVETA FULLER:** Yes, thank you, Arnold. So
15 I just want to say to the question that Dr. Cody
16 Meissner asked about the third category of high risk
17 that at least some of us think that a person who's at a
18 grocery may not be -- we don't know if they're at
19 higher risk for disease, but they're certainly at
20 higher risk for exposure.

21 And I for one am grateful that we have that

1 allowance that someone who would like to get the
2 booster is able to do so, so if I understood him
3 correctly, he was concerned that that maybe wasn't what
4 the Committee meant. And, for one member of the
5 Committee, that is exactly what I meant. I would like
6 people like that to have the choice, so I just want to
7 clarify that for everyone or if that's something that
8 we need to talk about later.

9 **DR. ARNOLD MONTTO:** Okay. Well, thank you all.
10 We've had an unexpected and rather robust discussion of
11 the day's activities, and now I'd like to give over to
12 Drs. Sharon Alroy-Preis in Jerusalem who will give us
13 "Booster Protection across ages - data from Israel."

14

15 **BOOSTER PROTECTION ACROSS AGES - DATA FROM ISRAEL**

16

17 **DR. SHARON ALROY-PREIS:** Thank you. With me
18 is Professor Ron Milo, and I want to use this
19 opportunity again to thank the four leading academic
20 institutions in Israel who have helped us create this
21 data and analyze the data. And I am trying to move to

1 the next slide.

2 **MR. MICHAEL KAWCZYNSKI:** At the bottom of the
3 screen, you'll see the two arrows. There you go.

4 **DR. SHARON ALROY-PREIS:** Yeah. So we are
5 presenting Israeli data. We have no competing
6 financial interests. I do want to say again the Israel
7 MOH, Ministry of Health, and Pfizer have a data-sharing
8 agreement, and in relation to the booster
9 effectiveness, also this data that we're showing now,
10 only the final results of the analysis were shared with
11 Pfizer and was done by the four academic institutions
12 independently. And, again, I want to say, like we said
13 last time, we're coming here not to tell anyone what to
14 do.

15 We think every country has not just the right
16 but the obligation to do what is needed for their
17 citizens. This is the decision we've done for Israel
18 based on our data, and, if our data can help anyone
19 else in the world, we're happy to share it. But it's
20 not that we're telling anyone else what to do.

21 So what has happened since the last time we've

1 been here, which was about a month ago, a large
2 majority of the elderly population received a third
3 dose. You see in the blue line that over 95 percent of
4 the 60 plus have been vaccinated with the third dose.
5 And similarly, the other age groups that we've opened
6 gradually by steps is increasing, and so we have nearly
7 in all populations over 50 percent already with a
8 booster dose.

9 This is a slide we showed last time showing
10 that shortly after starting the booster dose in the age
11 group of 60 plus we saw a decrease in the number of
12 confirmed cases among that group, whereas the other
13 groups, age 60 and below, were continuing to rise.

14 And where we now are looking nationally at the
15 data, we're seeing now a decrease in the percentage of
16 positive tests, also in the reproductive number once
17 we're adding more and more age groups into the booster
18 protocol. And what we're seeing basically is a break
19 in the pandemic curve in Israel.

20 You see here a separation in the green line
21 the people who were vaccinated with a booster dose --

1 the adults who were vaccinated with a booster dose and
2 in the black line those who are unvaccinated. And you
3 see that, with the beginning of the booster dose, we
4 saw a decline in the infection rate. Here you see the
5 severe cases among those who were vaccinated, and those
6 decreased sharply. But you see at the end of the slide
7 on the right that now we are seeing a decrease also in
8 the unvaccinated population.

9 So the fact that we have high coverage of
10 vaccinated individuals with a booster dose is now
11 leading to a decrease in the overall severe -- in the
12 overall pandemic curve but also in the severe cases.
13 And I'll move it to Ron now.

14 **DR. RON MILO:** Okay. So I will be continuing
15 what Dr. Preis was suggesting, to look at the detailed
16 study that they did of those several million booster
17 shots that were given.

18 So the data which I'll be presenting is based
19 on those aged 16 and above who were fully vaccinated
20 before May 2021. These are the people who have been
21 vaccinated at least five months prior to the booster

1 dose and consists of all together 4.6 million people
2 who were vaccinated until that time, and this consists
3 during the study period of about 100,000 confirmed
4 infections, over 1,000 severe illness cases, and over
5 250 deaths.

6 These happened in the study period, which is
7 between August and the end of September and maybe even
8 beginning of October as you can see in the left-hand of
9 the slide. This is following the booster campaign.
10 And we'll be looking at a different age group as you'll
11 see in a minute.

12 Let me begin with ages 60 and above, and I'll
13 be talking first about the confirmed infection. This
14 is complementing the results that were already
15 published in the *New England Journal of Medicine* and
16 were presented last time, and all the results that I'll
17 be showing you are also shared online through the
18 medRxiv. We'll be looking at the time following the
19 third dose, so after getting the booster.

20 That's what you see on the X-axis, and on the
21 Y-axis you see the fold reduction in the rate compared

1 to those with two doses. Mainly we're taking people
2 that had the third dose, and we're comparing them to
3 people who had only two doses. And we're looking at
4 what happened to the rates of confirmed infection and
5 time progressed following the booster.

6 We expect in the beginning to have some
7 (inaudible) effect and some time delay until we start
8 to see the effect both because of the timed response of
9 the immune system which takes several days but then
10 also the inherent delay between the time between a
11 person gets infected and the time the infection is
12 confirmed, which in Israel is on the order of five
13 days, which is also consistent with the latent period.

14 I, therefore, want to look at the time window
15 from days 12 and beyond, and this is what I'll be
16 showing. I should say also that everything I'll be
17 showing you is based on a performed regression where
18 we're adjusting for age, for gender, demographic group,
19 second dose period and incidence, and area of
20 residence, meaning we're looking at each location where
21 the people live. And, at that given time, we're taking

1 as a confounder something to be adjusted for the rate
2 of incidence at that time point.

3 What I'll be showing you is based on an
4 observational study that will be very clear here. So
5 observational studies have their limits. We did
6 everything we could in order to adjust in the way that
7 I'll be showing you. And we thought it was best to try
8 and share with the community, as we do also receive,
9 but to share it for peer review as fast as we could and
10 to put it all publicly available online as I'm
11 suggesting. And I'm trying to put all the links to
12 enable everybody, including the general scientific
13 community, to be able to comment on our work.

14 When we take this data and we're looking at
15 what is the level of protection, meaning what decrease
16 in the rate of infection is being observed, we see on
17 the order about 10-fold or 12-fold -- somewhere about
18 10-fold -- overall protection when doing the analysis
19 based on the Poisson regression. You can see that the
20 confidence interval -- this is 95 percent confidence
21 interval is relatively small. That's also the fact

1 that we already have, you know, several tens of
2 millions of risk-days both in the non-booster -- those
3 who only had two doses -- and those at day 12 and over.
4 And overall, we're talking about somewhere about 1,400
5 cases of infection within this age group in the study
6 period, which is between July 30th, which is the time
7 when the booster campaign has begun for those age
8 groups, and October 4th.

9 This is the last update that we had for the
10 data. So this is presenting the results for the age
11 group of 60 and above. It continues and I think
12 enforces what we also presented last time about the
13 effectiveness of the Pfizer dose and the regiment of
14 three weeks between the first and the second dose after
15 five months to give a booster dose.

16 Let me move on now to present what we've been
17 observing when analyzing all the other age groups
18 where, as Dr. Preis was presenting, most of the Israeli
19 population has now taken that booster dose. So this is
20 a bit of a busy slide. Let me walk you through. I
21 think you also saw this, which is the ages 60 and

1 above. You can see with a similar format what happened
2 in ages 60 to 69, 40 to 49, 30 to 39, and 16 to 29.
3 Again, I'm sorry for the small font, but this is days
4 following the booster. And this is the fold reduction
5 in the rates.

6 I think you can see that the patterns are
7 relatively similar. You can see, by the way, for the
8 ages 60 and above, we have two months of follow up for
9 50 to 59. We have two weeks less reduction. We did
10 this in a serial manner such that there was some delay,
11 so if we waited two weeks, we could open it to ages 50
12 to 59 and then about a week for ages 40 to 49. And
13 therefore, we have a limited follow-up time for those
14 age groups, but we can see that the effect begins
15 similarly, about 12 days following that. And the
16 results are summarized here in terms of the rate ratio
17 for day 12 and over versus the non-booster, and you can
18 see they're on the order of 10-fold protection.

19 You can see the confidence interval, and you
20 can see we have quite a few cases in order to perform
21 the analysis within all age groups. And all the

1 results, as I said, are summarized and updated and are
2 now under revision for publication. I will also say
3 that we see similar patterns across the age groups in
4 terms of both the timing and the magnitude but not
5 completely identical, which probably would be of
6 interest for further analysis.

7 All the data that I've shown you is trying to
8 correct for those different confounders for which we
9 have data that we're able to do that, and in all cases,
10 we're doing the analysis from the time of booster
11 eligibility. That's for the different age groups
12 because one to the other change somewhat until the
13 first week of October.

14 Beyond looking at the fold rate of reduction,
15 we also looked at the absolute rate and what happened
16 to them. So what you see here is the confirmed
17 infection rate for 100,000 risk days, and we're
18 comparing between those who took the booster versus
19 those without the booster, only second dose. And you
20 can see in yellow the non-booster, which is on the
21 order of 100 confirmed infections per 100,000 risk days

1 in the prevalence that we had during the study period
2 in Israel. And in green, you can see what happened to
3 the absolute rate for confirmed infections for those
4 that took the booster 12 days and onward, everything
5 per risk day.

6 And it's important to try and look at it from
7 different perspectives. We were trying to be as
8 careful as we could, and beyond the approach, which is
9 often being used (inaudible) to study such analysis,
10 the Poisson regression, we also took a second approach
11 based on a different framework. And that is using
12 matching, so basically for every person who took the
13 third dose, we're matching a person who took only two
14 doses. And we're following them through time, and
15 we're making sure that the matching is such -- and you
16 can see also what is being done in the literature --
17 such that you're comparing properties as much as
18 possible, meaning the age, the demographic sector, look
19 also at some things as much as you can in order to
20 ensure that the comparison is as similar as possible.
21 And we find that the results also in terms of the rate

1 ratio between the two cohorts are such that we get a
2 similar protection as we show during the Poisson
3 regression.

4 We followed another approach, and that is
5 looking -- using a temporal comparison, such as your
6 control group. Instead of being the ones that only
7 took a second booster, we have an alternative control
8 where you're looking just at the people that also took
9 the third booster, but you're looking within the
10 timeframe of days, which is between three and seven
11 days post-vaccination. So this is the rationale for
12 that is that one expects little effect of the booster
13 on confirmed infection in days three to seven.

14 The reason for that is the combined effect of
15 the delay until the effect of the vaccination with the
16 booster -- the other with the delay for being
17 confirmed. So even though there is some response
18 already in days three to seven of the immune system,
19 you would not expect that you would already get the
20 symptoms to be confirmed. Therefore, it's another way
21 to perform the analysis.

1 I would say that it's also confirmative in the
2 sense that, even if there is some effect, there may be
3 small (inaudible), there is some effect. There are
4 also other effects which is now known as the healthy
5 vaccinee bias which relates to the fact that the people
6 that take the booster are those that feel better
7 because those that do not feel well tend to not come
8 and take the booster although would be some seemingly
9 protection level which is you might even be seeing it
10 here and that would make it such that, when you take
11 the ratio from the control group, it means that you get
12 the lower protection than the actual one. But we
13 thought it's important to try and use as many
14 alternative and optional ways, and this approach -- let
15 me show you the results.

16 You can see them compared here for the
17 different age groups. Again, this is using the
18 alternative control group where the control group is
19 three to seven days post-vaccination when the booster
20 has little effect. And we see on the order of indeed
21 somewhat lower levels between 4.8 to 11.2, where I just

1 want to point out when we're talking around 5-fold
2 protection, that means 80 percent lower rates of
3 infections. Okay? So that's not something -- I would
4 say in absolute terms, it's still 80 percent decrease
5 in the rates for those age groups in terms of confirmed
6 infections.

7 Following our analysis of confirmed
8 infections, we wanted to look further at what happens
9 in terms of severe disease, so let me move on to that.
10 What you see here are results for severe disease, and
11 we've been following the definition of the NIH
12 regarding the resting respiratory rate and the oxygen
13 saturation for the definition of what is severe
14 disease. And we're looking across the age groups. You
15 can see that the numbers are generally -- number of
16 outcomes is obviously lower, but still we find that we
17 had -- at least in the age group of 60 and above and
18 even in the ages of 40 to 60 -- unfortunately, we had
19 quite a few cases in Israel.

20 And you can see here what happened in some of
21 the rate ratio, and we can see very significant

1 decrease in the rates of severe cases in those age
2 groups of 60 and above and 40 to 60.

3 In the age group of 16 to 39, the number of
4 cases for the booster group is very low, and therefore,
5 there are too few cases to estimate reliably the rate
6 ratio, even though you can see the raw numbers here
7 where you can see the number of cases and the risk
8 days, the number of cases and the risk days at risk.
9 And all of this is, again, done in the same approach of
10 using -- trying to control for all of these confounders
11 as much as possible. This is the analysis of the
12 severe disease of those age groups using the Poisson
13 regression.

14 Here is the same analysis but now using the
15 alternative control group where you're looking -- or
16 you're comparing the people 12 days over and days three
17 to seven as your control. And you can see here's the
18 level of protection that we're finding, so 6.5 for this
19 age group, 3.2 for this age group, and too few cases to
20 estimate reliably in the lower age group. Just to
21 clarify again, 3.2, that means roughly 60 percent lower

1 rate or somewhere above that -- actually 70 percent
2 lower rate of severe disease.

3 These are the changes in terms of absolute
4 rates of severe disease, again, for 100,000 risk days.
5 You can see that for the non-booster -- this is the
6 booster and the non-booster. This is the booster.
7 There is some fine line here -- thin line here, sorry.
8 And you can see that the numbers, obviously, they will
9 be dependent on age, but we see that there is quite a
10 dependence on whether a person takes the third dose or
11 does not take the third dose.

12 Finally, I want to present our results we got
13 in the amounts of death as an outcome in the ages 60
14 and above in both approaches, both with the day 12 and
15 over versus those with non-booster and only two doses
16 and the comparison for those with the alternative
17 control for days three to seven. We see a very
18 significant protection where about 4.8 -- that's about
19 80 percent decrease in the rate of death. For the ages
20 40 to 59, there are two few cases to be able to
21 estimate those values.

1 So, in summary, on this analysis, we find that
2 the booster dose improved the protection over the
3 second dose and also regarding both in terms of
4 confirmed infection and in terms of severe COVID-19
5 where the exact values of reduction depend on the age
6 group. But I would say overall, we see high levels of
7 protection and the decrease in the rates. In terms of
8 severe disease, over 80 percent decrease in rate ratio
9 over the second dose for the ages 60 and above and in
10 ages 40 to 60 over 60 percent decrease in the rate
11 ratio over the second dose. And finally, we see that
12 the booster dose decreases the COVID-19 associated
13 death rate around three to 10-fold among the elderly.

14 With that, I want to go back for two minutes
15 to the nationwide observations following the booster
16 dose before Dr. Preis presents our results regarding
17 the safety of the vaccine across the different ages.
18 So just going back to here, I remind you that in Israel
19 we're doing the confirmed infection based on PCR
20 testing, so it's both following symptoms and without
21 symptoms as far as contact tracing and for other

1 reasons. And looking at the number of daily cases, we
2 saw them rapidly increasing, which was the rationale
3 for beginning the booster dose administration for the
4 ages 60 and above. And then we had a delay of about
5 two weeks, which is roughly what one would expect given
6 what we just talked about.

7 We saw a specific decrease, whereas the below
8 60 continued to increase rapidly. And we also checked
9 within this assay the ages of 50 to 59, 40 to 49. They
10 also continued to increase until later on where a
11 booster was administered. I'm showing here values
12 until September where -- in September you already
13 started to see the effect of the other booster doses.
14 And, if anybody's interested, we could afterwards talk
15 about it further.

16 This is looking at the positivity --
17 percentage of positive testing as well. So what I
18 think is of interest to note is that when we started
19 the booster dose, even though I showed you that the
20 overall number of infections within the group of the
21 ages 60 and above started to drastically decline, the

1 other age groups continued to rise.

2 And as a result, we also opened to ages 50 and
3 above and 40 and above also in order to protect them.
4 And what we find is that, only after opening to more
5 age groups, the absolute percentage over all the
6 population has started to decrease, and now this is
7 from 7th of October to 2.6. Now, it's actually at
8 about 1.5, much continued to decrease following the
9 booster dose for more age groups and not just as a
10 result of the age of 60 and above.

11 By the way, we're looking here at the
12 percentage of positive tests and not just based on the
13 number of cases, which we could also show you. And
14 that is because there are effects from the high
15 holidays that are taking part in Israel during
16 September, and, therefore, this is a more robust way to
17 analyze this.

18 Finally, looking at what's happened in terms
19 of severe disease -- severe cases in Israel during that
20 time period, we saw that following the administration
21 within the time -- this was when the booster campaign

1 began -- you can see that in green here are the
2 vaccinated people. And you can see that they were the
3 majority, about two-thirds of the severe cases. The
4 very severe cases that we had were those that were
5 vaccinated. That was a combination of the waning and
6 of the Delta variant. And we saw that it began after a
7 delay. Roughly at two weeks, we started to see a
8 stabilization and then a decrease as a result. As Dr.
9 Preis was saying, about 90 something percent of those
10 within those age groups had been taking the booster.

11 And there was a continued rise in the number
12 of cases for the unvaccinated such that, even though
13 they're only a small population of the people at risk
14 from the adults -- less than 20 percent -- they were in
15 charge of the vast majority of the severe cases in
16 Israel ever since.

17 And we started to see a decrease of that in
18 the same time that we started to see overall incidence
19 in Israel declining after wide booster adoption in the
20 ages 60 and above, which can be interpreted by the fact
21 that, whilst you had the booster adopted by many age

1 groups, the overall incidence in Israel declined
2 significantly, which is what I've just been talking
3 about. It is now over 5-fold less than it used to be
4 in terms of overall incidence in Israel.

5 And that also started to decrease the number
6 of severe cases also among the unvaccinated in all age
7 groups, including the elderly, as a result of the fact
8 that the incidence is now much lower. And with that,
9 I'll give it to Sharon.

10 **DR. SHARON ALROY-PREIS:** I'll take it from
11 here. I just want to emphasize something that Ron
12 said, but it was a question last time. And so I want
13 to put a notice on it. The severe definition is the
14 NIH definition. It's not something that is specific
15 Israeli construction. It's something that we're using
16 -- the NIH definition for severe case, and we have been
17 using the same definition since July '20. So the
18 change in the numbers that you're seeing is not because
19 there was some change in definition midway.

20 The booster is important to see the vaccine
21 effectiveness of the booster, but as important is to

1 see the safety data. And so now we have more data on
2 the safety of the booster in younger age groups, and I
3 will show you the data -- the rates of adverse events
4 per million doses within 30 days post-vaccination.
5 It's updated until four days ago. And for the youngest
6 age groups, which is 16 and above, we have for 50
7 percent of them more than 30 days of follow up. So for
8 about 50 percent of them, all the adverse events
9 following vaccination would have happened by now.

10 There is a limitation to note. As we said
11 last time, the reporting is based on passive
12 surveillance, so we are looking for healthcare
13 providers to report to us. But the myocarditis data
14 we're proactively looking for, so we are calling
15 hospitals and asking for the data. And so this is
16 something that is more hands-on with myocarditis
17 knowing that this is an adverse event that is
18 connecting usually to the second dose of the vaccine in
19 younger males.

20 So the data that you are seeing here is the
21 rate of adverse events by category and age groups.

1 You're seeing on the left the first dose, the middle
2 the second dose, and on the right the third dose. And
3 you're seeing that at least we have the same amounts of
4 adverse events, not more. Again, we are aware of the
5 fact of the limitation that could be underreporting,
6 but it's the same system for all three doses that we
7 provided.

8 This is the rate of systemic adverse events by
9 dose. Again, the third dose on the right is not higher
10 rates of adverse events.

11 This is the rate of local adverse events,
12 again, similar if not lower.

13 Neurologic adverse events in gray is the third
14 dose, and I should have mentioned the number of cases.
15 So, for the first dose, we have more than 6 million
16 people -- 6 million vaccinees, for the second dose 5.6
17 million, and for the third dose 3.7 million. So it's
18 big numbers, and you see on the gray the rate for
19 neurologic adverse events.

20 Allergic adverse events, similar. We have to
21 mention that between the first and the second, if

1 someone developed an allergic adverse event, usually
2 they will not be given an additional dose, so part of
3 it is those who were allergic to the medication were
4 not given another one. We're not seeing huge amounts
5 of allergic adverse events post the third dose. And
6 what is more important to us is the serious adverse
7 events. You can see here the definition of serious
8 adverse events that result in death; is life-
9 threatening; requires hospitalization or prolongation
10 of existing hospitalization; result in a persistent or
11 significant disability, incapacity, congenital
12 abnormality; and other important medical events that
13 required intervention.

14 This is a common serious adverse event
15 definition, so we're not defining this in any other
16 nationally accepted way. For 3.7 million booster doses
17 administered, we had 44 serious adverse events
18 reported. And, for those adverse events, we have a
19 special committee that looks into each and every case,
20 looks at the clinical data, and defines whether it's
21 connected or possibly connected to the vaccine.

1 And here you have the results of that group.
2 You see here for ages 16 to 59 on the green on the
3 left, out of 2.5 million vaccinees, we had nine cases
4 of myocarditis and eight cases of perimyocarditis, so
5 altogether 17 cases of either myo- or perimyocarditis.
6 And, in smaller rates other adverse events, some of
7 them related like the allergic reactions, and some of
8 them like the DVT that were deemed not connected to the
9 vaccine.

10 And, on the left [sic], you see for age 60 and
11 above, out of 1.2 million vaccinees, the adverse events
12 that are seen here were deemed not connected to the
13 vaccines. One of the cases is still under
14 investigation, and, in one case, the causality is
15 possible.

16 So myocarditis, which is the one adverse event
17 that we found connected in Israel and other countries
18 to the Pfizer vaccine usually after the second dose,
19 what you see here in this table is the data for the
20 first dose, the second dose, and the third dose. And
21 it splits to female and male and splits by age groups.

1 So what we saw before is really a high number,
2 increased rates of myocarditis among 16 to 19 and 20 to
3 29 males. This is from the prior vaccination campaign.

4 What we're seeing now with the third dose, you
5 see here the number of cases. This is what I mentioned
6 before. We have 17 cases of either myocarditis or
7 perimyocarditis, and so we're not seeing an increased
8 risk of those events following the booster dose. Same
9 again for about half of the younger population. We
10 don't have the full follow-up observation time. We do
11 have them for roughly 50 percent.

12 So, in summary, the booster dose in Israel was
13 effective and so far had a safety profile similar to
14 the other doses. We have improved protection against
15 confirmed infection for all ages, 16 and above. We
16 have improved protection against severe disease in ages
17 40 and above, and I have to mention we are always
18 talking about the fact that younger people have less
19 tendency to go into severe and critical conditions and
20 to die. But, as you saw in the slides that Ron showed
21 before, we didn't have mortality and severe cases among

1 the younger age groups who were doubly vaccinated but
2 did not get the booster dose. So it could impact even
3 younger than 40 years old for severe and critical
4 disease and mortality.

5 The booster dose adverse events are not more
6 acute than the first or second dose, and their rates of
7 occurrence is not higher. And I think that we can say
8 when we're looking at all the data -- the
9 epidemiological data in Israel so far is that the
10 administration of the booster dose helped Israel dampen
11 the infections and the severe cases in the fourth wave.

12 So we are now coming out of a fourth wave
13 that, I believe, without the booster, would have dose
14 put us in a worse place with really high burden on
15 hospitals with severe and critical patients. And we
16 were able to get out of this wave due to the booster
17 dose. Thank you and we are more than happy to answer
18 any questions that you might have.

19

20

Q&A SESSION

21

1 **DR. ARNOLD MONTO:** Thank you so very much, Dr.
2 Preis, Professor Milo, for the presentations. A very
3 good tag team of the two of you going over the
4 material. Dr. Preis, you were very careful to say that
5 the side effects of the third dose were no higher than
6 that of the second dose, although some of your data
7 suggested that they might be lower. Not going on
8 record but just giving your personal opinion about this
9 given the short time and selection that might have gone
10 on, what do you really think about this?

11 **DR. SHARON ALROY-PREIS:** I think it's lower,
12 but I want to be very careful about how I present this
13 because there could be underreporting. And there could
14 be a difference between the underreporting of a third
15 dose compared to the first and second. With the new
16 vaccination campaign, the awareness may be higher, and
17 with the third dose may be lower, so I'm trying to be
18 very careful about that. But I am very confident about
19 the serious events. I think that the serious events
20 are being reported to the Ministry of Health and
21 especially the myocarditis cases, which we are actively

1 looking for. We're going out and doing active
2 surveillance on, so we're very confident on those
3 numbers.

4 **DR. ARNOLD MONTO:** Do you have a feeling that
5 young males are holding back from getting vaccinated?

6 **DR. SHARON ALROY-PREIS:** I think that there is
7 some concerns among younger males, even though the fact
8 that the publication in the *New England Journal of*
9 *Medicine* of both our data and (Inaudible) data that
10 showed that most of the cases are mild and are resolved
11 completely without sequela was important. So I think
12 there could be some concern, but I think we are showing
13 in the data that it's a really rare occurrence and mild
14 in most cases.

15 **DR. ARNOLD MONTO:** Thank you. Dr. Gans.

16 **DR. HAYLEY GANS:** Thank you so much. I really
17 appreciate you coming and sharing your data with us,
18 and I just want to say it's really beautifully
19 presented and very accessible.

20 I did have a couple of questions if that's
21 okay. One question is in catching these, quote, cases,

1 I'm wondering if you have any mandatory testing? So is
2 there a difference in the way that people are getting
3 tested now? So, for instance, we have some businesses
4 that require biweekly testing, and are we just catching
5 people who aren't symptomatic? Or are most people just
6 getting these tests because they're symptomatic?

7 So that's one question just to understand the
8 data, but I think my overarching question -- because I
9 think your data is very compelling in the lens that you
10 bring to it. So we aborted this wave. I'm wondering
11 if you could overlay -- because I'm sure you thought
12 about this -- the idea that many countries show a
13 similar pattern regardless of what they do with
14 vaccination. So there's sort of this wave of epidemics
15 that come and go, so I'm wondering if you could overlay
16 what would have been the natural history of the disease
17 with your data because it's very compelling?

18 And then lastly just so that I can throw these
19 three out, do you have any immunologic data that you
20 did sort of side by side with this so that you can
21 start to understand these are the breakthroughs, here's

1 the immune part -- you know here's the immunity that we
2 saw at that point so we can start understanding any
3 immune correlates of protection? I realize that's sort
4 of a side study.

5 **DR. SHARON ALROY-PREIS:** I'll start from the
6 end and see if I can remember all the way through.

7 **DR. ARNOLD MONTO:** A lot of questions to
8 answer. Go ahead, please.

9 **DR. SHARON ALROY-PREIS:** So first about the
10 study, we are completing hopefully in the very really
11 few weeks a family study in which we enrolled
12 vaccinated family members of confirmed cases. We took
13 at the beginning of the study serology and neutralizing
14 antibodies and, for some of them, cell immunity tests
15 and PCR at the beginning and PCR at the end. And the
16 purpose of that study -- the goal -- is to try to see
17 if there is some protection level. What is the
18 correlate of protection?

19 We don't have the data yet. I can say that we
20 are seeing breakthrough infections even when we have
21 hundreds of titer -- a titer of hundred, 300, 400, 500

1 and people got infected. So we are completing the data
2 now, and hopefully, that will be available soon
3 because, for some people, it will be really important
4 to know what is that correlate. So that was the second
5 question.

6 The first question -- and I don't remember the
7 middle one. But the first question was about the
8 policy of testing. So, in Israel, the testing policy
9 was -- after the vaccination campaign is that if you --
10 everyone who traveled abroad, when they come back, they
11 needed to be tested when they enter Israel. So that's
12 everyone, vaccinated and not vaccinated. So, in that
13 population, which is not representative of all Israel
14 obviously -- it's a very unique population, but many
15 people in Israel travel -- we have everyone.

16 For the rest, the recommendation is to be
17 tested when you are in contact of a confirmed case.
18 You have to be tested. Again, it's not really
19 mandatory. There's no mandatory except for travel
20 abroad testing, but it's highly recommended to be
21 tested when you are a contact of a confirmed case. And

1 if you are tested, it shortens your isolation period.
2 So without testing, you need to be in quarantine for 14
3 days, and you can shorten this to seven days if you
4 test at the beginning when you've just learned about
5 the contact being the contact. And on day seven, if
6 both tests are negative, you go out of quarantine. So
7 that is the main reasons where people would be tested
8 if they're asymptomatic.

9 Another specific population is the long-term
10 care facility workers where we do constant testing
11 every week. And, for that group of employees we're
12 doing this for everyone, vaccinated and not vaccinated.

13 So what we saw is really a decrease in
14 positive case. Especially what we can compare really
15 nicely is when we are testing everyone in that
16 population. So, for example, the testing when you come
17 back from abroad or the testing among the long-term
18 care facility employees, you can see the drop in
19 confirmed cases with the booster dose.

20 So, before we implemented the booster dose
21 coming from abroad, we had hundreds, up to 200 a day,

1 confirmed cases coming back and either being tested
2 positive at the airport or in the seven days following
3 their return, and this has dropped significantly with
4 the booster dose. I saw Ron waving his hand.

5 **DR. RON MILO:** So maybe just to add a sentence
6 on the answer regarding the issue of testing. So I
7 think in that respect very informative is the
8 alternative control group where you're looking at the
9 same people but at days 3 to 7 versus days 12 and
10 onward because this means it's the same people.

11 And I would also say that if they tend to do
12 less -- just after the booster for some reason or
13 another, that will just give you an underestimate.
14 Okay. So together, I think that was a very good way to
15 think about this, think about the same people which
16 you're comparing in terms of the tendency to go and be
17 tested.

18 **DR. ARNOLD MONTTO:** Okay. Thank you.

19 **DR. SHARON ALROY-PREIS:** And if you can remind
20 me the second question, I'll try to answer.

21 **DR. ARNOLD MONTTO:** Let's move on so we --

1 let's move on so we can get some other people. We have
2 a lot of hands raised and a limited period of time.
3 I'm sure we'll get back to the same topics. Dr. Levy,
4 one part question only, please, from now on.

5 **DR. OFER LEVY:** Okay. Thank you to the team
6 in Israel at the Ministry of Health. This was a very
7 informative and important presentation. We need to be
8 mindful, of course, that Israel's a very different
9 country in population than the U.S. and that we're
10 talking about a vaccine that's different from the
11 vaccine we're considering today. But nevertheless, it
12 is a similar mRNA platform, so there is relevance
13 there.

14 I had a question for Dr. Milo regarding his
15 graph depicting the fold reduction in rates of COVID by
16 age. The alternative control group was selected at, I
17 forget, day three or so, but why not at day zero?

18 And I didn't understand why the day zero group
19 already had a 5-fold reduction in risk. The data are
20 very convincing in general, but that aspect I didn't
21 understand. And the question to Dr. Alroy is simply

1 regarding the myocarditis, if I understand correctly,
2 there is some additional risk after the third dose --
3 the booster dose, but the rate of risk isn't higher
4 than the second dose if I understood that correctly?
5 Thank you.

6 **DR. SHARON ALROY-PREIS:** So I'll let Ron
7 answer.

8 **DR. RON MILO:** Thanks for pointing that out,
9 and we also explained about that in detail in the *New*
10 *England Journal* paper and in the medRxiv. But just
11 briefly what we observed is that on day zero and day
12 one, meaning just after you took the booster, it is
13 very rare to also do a test on that day. There's a
14 behavioral effect with people just as they're taking
15 the booster, they usually don't go and perform the test
16 as well. And therefore, you get an artificial
17 protection. This is just assuming protection, and we
18 observed that. And we have it in the supplementary
19 material exactly the numbers, et cetera, is the reason.

20 **DR. OFER LEVY:** Thank you.

21 **DR. ARNOLD MONTTO:** Dr. Preis, the myocarditis.

1 **DR. SHARON ALROY-PREIS:** As for the
2 myocarditis, we've seen -- we've shown that myocarditis
3 could be an adverse event following Pfizer vaccine, so
4 we're not trying to say that after the third dose it's
5 not connected. I'm sure it's connected, but the rate
6 is really, really low compared to what you would have
7 expected if it was the same rate as after the second
8 dose.

9 And perhaps it's because we're giving this
10 dose five months or more later, and so it doesn't have
11 the same response as giving one dose and then after
12 three weeks the second dose. In our workgroup, what we
13 saw in Israel is that most cases were in a few days --
14 three to five days after the second dose among the
15 younger males, and so maybe the fact that we're giving
16 it months after is causing this rate to be actually
17 lower.

18 **DR. OFER LEVY:** Thank you.

19 **DR. ARNOLD MONTTO:** Thank you. Dr. Hildreth.

20 **DR. RON MILO:** I just have a reminder that the
21 second question was what would happen if there wouldn't

1 be a booster, and I would just mention in brief that
2 our modeling analysis shows that the number of
3 hospitalizations, severe cases, et cetera, would have
4 continued to rise very significantly according to all
5 the data that we have. We didn't get very detailed
6 into it, but I just wanted to mention it briefly.

7 **DR. ARNOLD MONTO:** Thank you. Dr. Hildreth.

8 **DR. JAMES HILDRETH:** Good morning. Thank you,
9 Dr. Monto, and thank you, Dr. Alroy-Preis and Dr. Milo,
10 for presenting the compelling data from Israel.

11 The most interesting part of your presentation
12 for me was the fact that the cases began to drop among
13 the unvaccinated once you achieved a large percentage
14 of the population getting the third dose. So do you
15 think that you've achieved herd immunity by getting so
16 many of the people there boosted with a third shot?
17 And part of my question is, what percent of those
18 unvaccinated individuals had had COVID-19 and
19 recovered? So could natural immunity be contributing
20 to that group as well? Thank you.

21 **DR. SHARON ALROY-PREIS:** I'm sure the people

1 who have been infected with COVID-19 and recovered, we
2 don't even know about them -- like the silent recovered
3 individuals are there. When we're doing serology
4 testing, among kids we find between 5 to 12 percent of
5 the kids that did not know that they had COVID-19 were
6 tested positive by serology depending on the sector.
7 So we do know that there is this population of people
8 who have been infected and don't know that, and they
9 are definitely contributing to the population of the
10 protected that leads us to herd immunity. For me, it's
11 hard to actually say if we're in a herd immunity place
12 at the moment. It's easier to say it when you look
13 back in retrospect.

14 So, when I look back in retrospect on our
15 third wave, we see that we got to herd immunity with
16 the Alpha variant when we still had about a third of
17 our population not vaccinated, mainly kids, and still
18 the wave went down. And this for me is the answer --
19 like the perfect depiction of herd immunity, that you
20 still have a third of your population not protected.
21 And, if I go beyond my way and say some of them were

1 probably protected and we didn't know about that, 20
2 percent of the population is not protected, and still
3 the wave is coming down.

4 So we're starting to see this trend now. I'm
5 hoping we're in herd immunity now for the Delta strain,
6 but I'm not sure we know it yet. But it seems like
7 it's going in that direction.

8 **DR. JAMES HILDRETH:** Thank you very much.

9 **DR. ARNOLD MONTA:** Thank you. Dr. Kurilla.

10 **DR. MICHAEL KURILLA:** Thank you, Arnold. What
11 I'm curious about is obviously the rationale for the
12 booster because at least with regard to the
13 breakthrough infections is the declining -- the rather
14 brisk antibody decay rate for neutralizing titer. And
15 I'm wondering if you have any evidence that the third
16 boost -- the third dose -- the boost that you've
17 provided, which some people have suggested may actually
18 serve as a true boost in a prime-boost strategy -- is
19 that actually impacting the antibody decay rate? Or do
20 you have any evidence that the antibody drop off in
21 neutralization titers is the same after the third dose

1 as it is after the second and you'll be back in another
2 six months needing the boost again?

3 The other aspect of this is, do you know
4 whether or not people who have suffered a breakthrough
5 infection, do they need to be boosted?

6 **DR. ARNOLD MONTO:** Lots of speculation there.

7 **DR. SHARON ALROY-PREIS:** I'll get Ron to
8 answer the second one because there was a lot of work
9 done showing this. There was a lot of work done about
10 the recurrent infection among recovered individuals and
11 what is their risk, but I think what we're seeing in
12 serology is that, when you give a third boost -- the
13 booster dose, you see a rapid increase in the serology
14 in the titers. And, to some extent, it's even higher
15 in some studies than the highest level that people got
16 from the second dose. I think what you asked is the
17 million-dollar question that unfortunately I don't have
18 the answer to. We're hoping that it's not a setting of
19 every six months we need to be vaccinated.

20 We know from other diseases that sometimes you
21 need in the protocols two doses a month apart and then

1 after six months another booster dose, and you're
2 protected for years. I'm not yet sure what will be the
3 answer here, but we'll definitely look carefully into
4 that and hopefully see the decline or identify the
5 decline earlier this time than we identified in the
6 third wave.

7 **DR. RON MILO:** Regarding your other question,
8 I will just say briefly that we're doing the analysis
9 around the clock about the recovered, and where we're
10 talking about breakthrough, meaning that they had at
11 least one dose and then also got infected and then
12 recovered, we see that they have a very good protection
13 overall if they have this combination of being
14 recovered and a single dose, similar to what they have
15 if they have -- versus people who have a booster. And
16 we hope to wrap all this up and put it on the medRxiv
17 as soon as possible.

18 **DR. ARNOLD MONTA:** Dr. Pergam, please.

19 **DR. STEVEN PERGAM:** Thanks, Dr. Monto. Just a
20 question about the pediatric population, since you
21 present all adult data, 16 and older, I'm curious about

1 patterns you saw within the pediatric population with
2 the booster and declining rates of disease within those
3 under 16. And did you look at timing of when that
4 occurred based on the ages of when boosters were given
5 to the adult population? Thinking of course as parents
6 and children in those typical age ranges, did you see
7 shifts in those declines during the periods when those
8 were given?

9 **DR. RON MILO:** So let me say the following.
10 It's a bit complicated in the sense that we had our
11 school year open in parallel. I mean they're not very
12 different timing when the booster shots were
13 administered. Therefore, it's not easy to disentangle
14 what happened in the pediatrics in terms of this
15 indirect effect of the protection that they got from
16 the decreasing say from the booster to the parent and
17 the fact that now they started to meet in classes.

18 So it's a complicated picture, but what I can
19 say for certain is that we see that the overall
20 incidence in Israel, as I said, declined about 4- to 5-
21 fold and continued to decline 2-fold every ten days.

1 So it seems like this is also pertaining to the younger
2 age groups -- the pediatric age groups, that they also
3 see a reproduction number lower than one right now.

4 **DR. ARNOLD MONTO:** Thank you. Dr. Nelson.

5 **DR. MICHAEL NELSON:** Thank you, Dr. Monto and
6 Dr. Alroy and Dr. Milo. Congratulations on your active
7 surveillance for myocarditis and pericarditis,
8 certainly a topic that will impact our deliberations
9 today. The value of active surveillance was seen as we
10 rolled out the smallpox vaccine to a large number of
11 vaccine naïve individuals here in the U.S.

12 I wonder if you would expand a little bit on
13 your surveillance itself and the ability specifically
14 to detect pre-hospitalization myopericarditis and
15 pericarditis as well as perhaps subclinical myocarditis
16 and pericarditis. The outcomes of those individuals
17 with less severe disease as well as those with severe
18 disease in the long-term basis still is not yet settled
19 upon, and I'm very interested in what case definitions
20 you used for myocarditis and pericarditis as you call
21 your hospitals and your ability to detect these milder

1 forms.

2 **DR. SHARON ALROY-PREIS:** I hope you can hear
3 me because I lost the connection, but I'm still online
4 with you.

5 **DR. MICHAEL NELSON:** I can.

6 **DR. ARNOLD MONTO:** We can hear you.

7 **DR. SHARON ALROY-PREIS:** Great. So we used a
8 definition that is common based on suspected probable
9 cases. It's in our *New England Journal of Medicine*
10 publication. We have there two ways of defining
11 criteria, and so we're classifying. We have a group of
12 cardiologists and a rheumatologist who are defining
13 each and every case based on pain, troponin level, EKG
14 changes, ECHO findings, MRI findings, or biopsy
15 findings. And so the combination of those four
16 categories would lead to someone being defined as
17 probable, suspected case, and most cases are probable
18 in our group.

19 What we're doing is all healthcare providers
20 know about the active surveillance that we have for
21 hospitals which is where we would assume the severe

1 cases would go into. In Israel, myocarditis is a
2 diagnosis that is recommended to be sent to the
3 hospitals, sent for hospitalization for observation.
4 So for the most part, if not all, cases should be in
5 our hospitals, and we have communications with all
6 hospitals in Israel in getting their results of
7 hospitalization each and every week for myocarditis
8 cases.

9 We also have IDF -- Israel Defense Force, our
10 army -- cases that we reach out to them and make sure
11 that we're not missing that young group that might
12 develop myocarditis as well. And so the data from
13 Israel is actually -- has the cases from the army as
14 well in the total representation of myocarditis cases.

15 **DR. ARNOLD MONTO:** Thank you. Dr. Chatterjee.

16 **DR. ARCHANA CHATTERJEE:** Yes, thank you very
17 much for your presentations and the answers to the
18 questions so far.

19 My question is around what impact behaviors in
20 terms of the mitigation measures might have had on the
21 epi curve that you showed. In other words, were there

1 mask mandates? Were there other mitigation measures,
2 and was there a way to evaluate those and their impact?
3 Because we know even pre-vaccination, we had these
4 waves, and, as the cases would start to go up in the
5 community, people would sort of self-quarantine or not
6 be going out into big groups. And there was increased
7 mask use, and that definitely had an impact on curbing
8 some of those earlier waves. So are you able to
9 disentangle that, the behavioral aspects, with the
10 impact of the booster dose?

11 **DR. SHARON ALROY-PREIS:** So there are mask
12 mandates since the beginning of our fourth wave. We
13 reimplemented the mask mandates, and, except for the
14 mask mandates, we started using a green pass, which
15 means you need to go into certain places using your
16 green pass that shows you're either vaccinated or
17 recovered individual or has a negative corona test.

18 I have to say that there was no correlation
19 between implementing mitigation steps and the decline
20 in confirmed cases. So we started using the green pass
21 at least a month and a half before we started to show a

1 decline. We would expect numbers to go down if that
2 mitigation step would have worked efficiently.

3 We would have seen a drop in the reproductive
4 number about two weeks after, and so I have to say
5 that, shortly thereafter we implemented booster doses,
6 we didn't see a huge decline with the implementation of
7 the booster dose. But there was some mitigation steps
8 that we took. There was no curfew that was put in
9 place, and, until we started the booster regimen, we
10 saw an exponential rise in cases.

11 Now, I remember the second question from
12 before, whether this was some normal decline -- I think
13 Ron answered this along the way -- in the pandemic
14 wave. We don't think so. This was an exponential rise
15 that continued to go up and up and up. Fifty to 60
16 percent of those infected in the fourth wave were
17 actually doubly vaccinated. The effectiveness of their
18 vaccine went down to 40 percent. And so they were part
19 of this wave, some of them getting severely ill and
20 dying.

21 And so there is no question in my mind that

1 the break of the curve now was due to the booster dose.
2 There was nothing implemented at that period of time
3 that got the curve to break. I don't know if there is
4 a way to bring back Mike.

5 **DR. ARNOLD MONTTO:** Let's move on to a final
6 question from Dr. Meissner, and then we're done with
7 this session.

8 **DR. CODY MEISSNER:** Thank you, Dr. Monto, and
9 thank you for the presentations. The data that's come
10 from Israel has been so interesting and I think helpful
11 for other countries, particularly thinking about the
12 two articles in the most recent *New England Journal*
13 regarding myocarditis have been very, very interesting.

14 The question I have is this. In Israel,
15 you've used just the Pfizer, I believe, and not the
16 Moderna vaccine. So one question is how applicable --
17 would you have had a similar result you think if you
18 had used the Moderna vaccine instead of the Pfizer
19 vaccine? Because that's really the question we're
20 thinking about today. And so along those lines, was
21 there any attempt to measure cellular immunity? You

1 showed us a lot of data about antibodies. Do you have
2 any sense of the role of cellular immunity and waning
3 immunity? Thank you.

4 **DR. SHARON ALROY-PREIS:** So for the cellular
5 immunity, we have this research that we hope to
6 finalize shortly, and, in that group of family members
7 with confirmed cases, we will have data on cell
8 immunity. We don't have it on a national level. The
9 data that we showed here -- or that Ron showed here --
10 is public health surveillance data. It's not connected
11 to serology because we're not doing serology testing
12 for all of the citizens. We do have a lot of research
13 work from Israel by different groups showing the
14 decline in serology and the effect of the booster dose.
15 We are trying to get the data on cell immunity as well
16 hopefully finalized soon so we'll have that answer.

17 **DR. ARNOLD MONTO:** And I'm going to park the
18 question about how relevant --

19 **DR. SHARON ALROY-PREIS:** And the question
20 about Moderna.

21 **DR. ARNOLD MONTO:** Yeah. I'm going to park

1 that question to later discussion because that's going
2 to be something that we're going to have to discuss in
3 terms of data that we get about the way the Moderna
4 vaccine has behaved elsewhere.

5 So now we've got a break. I've eaten into the
6 time for the break a bit, so we are going to come back
7 in approximately 10 minutes. That will be at 10:45.

8

9

[BREAK]

10

11 **SPONSOR PRESENTATION - SAFETY AND IMMUNOGENICITY OF A**
12 **50 MG BOOSTER DOSE OF mRNA-1273 (MODERNA COVID-19**
13 **VACCINE)**

14

15 **MR. MICHAEL KAWCZYNSKI:** All right. It's
16 still good morning or depending upon where you are in
17 the country or the world. But welcome back to the FDA
18 Center for Biologics Evaluation and Research meeting.
19 This is the 169th VRBPAC meeting. We just had a quick
20 break, and now I'd like to get it back to our chair,
21 Dr. Monto. Dr. Monto, are you ready?

1 **DR. ARNOLD MONTO:** I am. It's my pleasure to
2 introduce the sponsor presentation from Moderna, going
3 to be given by Dr. Jacqueline Miller, ID Therapeutics
4 Area Head. Dr. Miller?

5 **DR. JACQUELINE MILLER:** Yes. Thank you, Dr.
6 Monto. Good morning. My name is Jacqueline Miller, as
7 Dr. Monto just said, and I am the therapeutic area head
8 for Infectious Diseases at Moderna. Thank you to the
9 FDA and the VRBPAC for the opportunity to present our
10 safety and immunogenicity data for a 50-microgram
11 booster dose of mRNA-1273, our COVID-19 vaccine. Thank
12 you for everything you're doing to help fight the
13 pandemic.

14 Moderna has submitted a data package to the
15 FDA for supporting use of a 50-microgram booster dose
16 of mRNA-1273 for individuals 18 years of age and older.
17 In alignment with recent FDA and CDC recommendations,
18 we're (audio skip) emergency use authorization for all
19 individuals 65 years of age and older and individuals
20 aged 18 to 64 years at high risk of severe COVID-19, or
21 with frequent institutional or occupational exposure to

1 SARS-CoV-2. This is aligned with the recommendations
2 evaluated a few weeks ago.

3 How does the 50-microgram booster dose fit
4 into the mRNA-1273 vaccination schedule? The first two
5 doses are administered as a 100-microgram dose
6 separated by one month. This was the emergency use
7 authorization granted last December, and for which
8 Moderna has filed a BLA, which is currently under
9 review. Today, we're seeking your endorsement for a
10 50-microgram booster dose for the individuals I just
11 described.

12 A second schedule is depicted on the bottom
13 row. For significantly immunocompromised individuals,
14 who do not always develop neutralizing antibody titers
15 after two doses. A third 100-microgram dose
16 administered at least one month after the second dose
17 is needed to complete the primary series. This
18 indication already has emergency use authorization and
19 is not the focus of today's presentation.

20 This slide outlines the agenda for my
21 presentation. I will start with why booster doses are

1 needed. This rationale is supported by the ongoing
2 vaccine efficacy analysis and the pivotal Phase 3 Study
3 301, long-term evaluation of antibody persistence, and
4 observations of breakthrough disease observed in
5 vaccinated individuals which occurred in July and
6 August of this year.

7 I'll then present data from Study 201B, which
8 evaluated the 50-microgram booster dose, including the
9 rationale for dose selection, study design, the safety
10 profile, and immunogenicity data against both the
11 original virus and the Delta variant.

12 So let's begin with a recap of the Phase 3
13 data and the use of mRNA-1273 since the EUA. When we
14 met last year, I presented the primary analysis results
15 from the Phase 3 Study 301, the pivotal safety,
16 efficacy, and immunogenicity study. The study enrolled
17 30,375 subjects who were randomized one to one to
18 receive the vaccine or saline placebo.

19 The two-dose primary series of mRNA-1273 was
20 observed to have an acceptable safety profile and a
21 vaccine efficacy of 94.1 percent after nine weeks of

1 median follow-up time. Based on these data, the
2 emergency use authorization was granted on December
3 18th, 2020. Since that time, more than 190 million
4 doses of mRNA-1273 have been distributed in the U.S.,
5 with nearly 70 million Americans being fully
6 vaccinated. Additionally, according to the CDC, nearly
7 1.5 million Americans have received a third 100-
8 microgram dose.

9 Now, I'd like to update the Committee on
10 additional longer-term data from our Phase 3 Study 301.
11 After Study 301 participants were unblinded, those
12 randomized to the placebo group were offered the
13 opportunity to receive mRNA-1273. We then continued to
14 follow all subjects for signs of COVID-19 through
15 weekly e-diary contacts and monthly phone calls.

16 If a subject reported disease symptoms, the
17 site conducted a physical examination and PCR testing.
18 At the end of the blinded phase of the study, an
19 updated efficacy analysis was performed. This was the
20 basis of Moderna's BLA submission.

21 This slide shows the Kaplan Meier Curve for

1 COVID-19 disease occurring at least 14 days after dose
2 2. This is after a median of 5.3 months of follow-up,
3 and vaccine efficacy remained high and durable at 93.2
4 percent in the per-protocol cohort. Then this is the
5 Kaplan Meier Curve for severe COVID-19 disease where
6 vaccine efficacy also remained high at 98.2 percent.

7 So during this period of time, through the end
8 of March 2021, primary SARS-CoV-2 strains detected in
9 the study were the original virus with a D614G mutation
10 and the Alpha variant. However, while the team was
11 preparing the BLA submission, the Delta variant had
12 emerged as a variant of concern in the United States.
13 So, the team constructed an exploratory analysis in
14 subjects who previously received two 100-microgram
15 doses of mRNA-1273 in the Phase 2 Safety and
16 Immunogenicity Study 201.

17 These were 20 subjects boosted with 50
18 micrograms of mRNA-1273 and neutralizing antibodies are
19 measured against the original virus as well as the
20 Beta, Gama, and Delta variants. Immunogenicity was
21 first evaluated one-month post-dose 2 with a research

1 neutralization assay.

2 In this graph, the bars represent neutralizing
3 antibody titers for the various strains, and the
4 circles represent the individual subjects. The dotted
5 line represents the limit of quantification of the
6 assay. Subjects above the dotted line have antibody,
7 which can be reliably quantified while subjects below
8 the dotted line do not. All subjects evaluated at one-
9 month post-dose 2 had neutralizing antibodies against
10 the original virus. And most also had antibodies
11 against the Beta and Gama variant, although at lower
12 titers.

13 Six to eight months later, see that antibody
14 titers have waned. Nonetheless, all but one subject
15 retained quantifiable neutralizing antibody titers
16 against the original virus. In contrast, approximately
17 half of the subjects had lost neutralizing antibodies
18 for the Beta, Gama, and Delta variants.

19 Now, as seen on the right, 14 days after the
20 50-microgram boost, all subjects had neutralizing
21 antibodies stored to the original virus as well as to

1 the three variants of concern, including Delta. The
2 increases for the pre-boost to post-booster ranged from
3 23-fold for the original strain, the 44-fold for Gama,
4 and in particular, neutralizing antibody titers to
5 Delta increased by 42-fold. This was the proof of
6 concept that a fractional booster dose could restore
7 neutralizing antibody even to variants not contained
8 within the vaccine.

9 So, as we were learning more about the
10 variants of concern, the Delta variant became the
11 dominant circulating strain in the U.S. And we
12 continue to follow the subjects enrolled in the Phase 3
13 Study 301 for breakthrough COVID disease.

14 In the slides that follow, you will see the
15 incidence traced in the subjects who were originally
16 randomized to receive mRNA-1273 compared to those
17 originally randomized to receive placebo. For brevity,
18 I will refer to these groups as the early group and the
19 latter group respectively reflecting the time frame of
20 their mRNA-1273 vaccination.

21 Now, this slide illustrates the time frames in

1 which the early and later groups were vaccinated. We
2 performed an updated analysis of COVID-19 incidence
3 rates in August of this year because we had observed an
4 increase in the number of breakthrough cases of COVID-
5 19 in the study population during July and August of
6 2021.

7 Prior to July, the maximum number of cases
8 reported in mRNA-1273 recipients in a single month was
9 23. This increased to 81 cases in July and 169 cases
10 in August with 97 percent of these cases due to the
11 Delta variant. At the time of this analysis, subjects
12 in the early group had a median of 13 months of follow-
13 up after their first dose, while the latter group had
14 only eight months. This enabled us to compare
15 incidence rates in subjects who were vaccinated earlier
16 versus subjects vaccinated later.

17 So, this is the comparison of incidence rates
18 of COVID-19 in the July to August time frame. In light
19 blue, you see the incidence rate in the early group,
20 which was 77 per-thousand person-years as compared to
21 the latter group in dark blue, which was 49 per-

1 thousand person-years. Therefore, we observed a 36.4
2 percent decrease in the incidence of cases in those who
3 were vaccinated more recently as compared to those who
4 were vaccinated at an earlier time.

5 Similar trends are seen when the data are
6 stratified by age. In the younger cohort, 18 to 64
7 years of age, there was an observed reduction in rates
8 of approximately 40 percent. The reduction was lower
9 in people over 65 at approximately 17 percent.

10 Incidence rates overall were, therefore,
11 higher in the group vaccinated earlier, and these
12 findings are consistent with the waning antibodies I
13 previously showed, particularly to the variants of
14 concern. They're also consistent with the findings of
15 several real-world evidence studies, which have
16 documented reduced vaccine effectiveness to the Delta
17 variant.

18 One way to increase antibody titers to the
19 Delta variant could be to administer a booster dose of
20 mRNA-1273. As part of the Phase 2 development program,
21 we had evaluated the safety and immunogenicity of a 50-

1 microgram booster dose in the subjects who originally
2 received active vaccine in Study 201. These data
3 support our 50-microgram booster dose application.

4 We chose the 50-microgram dose for the booster
5 because we believe we should vaccinate with the lowest
6 amount of antigen needed to induce an immune response
7 at least equal to that in Study 301, which was linked
8 to vaccine efficacy of 93 percent, which was durable
9 for a median of (audio skip) six months. This has
10 become a successful strategy for other booster
11 vaccines, such as Tdap because immune memory is
12 reactivated. Reducing the booster dose to 50
13 micrograms would also increase the worldwide vaccine
14 supply of mRNA-1273.

15 This study was an extension of the original
16 Phase 2 Study 201, which investigated 50- and 100-
17 microgram doses as a primary series. When this study
18 was unblinded to allow cross-over vaccination of
19 placebo recipients, subjects originally randomized to
20 the two mRNA-1273 groups were offered a 50-microgram
21 dose booster at least six months after their primary

1 series.

2 A total of 344 subjects received the 50-
3 microgram booster dose, 173 after a 50-microgram
4 primary series, and 171 after the 100-microgram primary
5 series, which is the authorized series currently being
6 administered. The co-primary endpoints were evaluated
7 on the pooled dataset, which included both groups. We
8 also analyzed the 50-microgram booster dose after the
9 100-microgram primary series because this reflects the
10 schedule that people will receive under the EUA.

11 The 100-microgram primary series group is a
12 subset of the pooled primary series group. This slide
13 gives the demographic characteristics of the 100-
14 microgram prime subgroup as well as the pooled primary
15 series group. Demographics were similar between the
16 subgroup and the pooled group. There were more females
17 than males enrolled, and the mean age was 52 years.
18 Most subjects were white and not Hispanic or Latin X.

19 Now, let's review the safety data. The total
20 safety database for the 50-microgram booster dose is
21 344 subjects. In the slides that follow, I will focus

1 primarily on the 171 subjects who received the 100-
2 microgram primary series, and I will compare these
3 results to the safety data from Study 301, which is
4 important to investigate potential increases in
5 reactogenicity. Although the data are not shown for
6 the 50-microgram primary series group, please note that
7 the reported rates were numerically similar between the
8 two primary series groups.

9 Safety data were captured similarly to Study
10 301. Subjects reported local and systemic adverse
11 reactions for 7 days and unsolicited reactions for 28
12 days after booster vaccination. SAEs, medically
13 attended AEs, subject deaths, and adverse events
14 leading to discontinuation are being recorded for six
15 months after booster vaccination.

16 This slide compares the reported rates of
17 solicited local reactions within 7 days after the 50-
18 microgram booster in Study 201B to those reported after
19 the second 100-microgram primary series dose in Study
20 301. On the left-hand side of each panel is the
21 booster dose. On the right-hand side is the second

1 dose of Study 301. Grade 1 events are in blue. Grade
2 2 are in green. Grade 3 are in orange. The reported
3 rates of pain, erythema, and swelling were numerically
4 similar between the groups with no increases in
5 severity after the booster.

6 Axillary swelling and tenderness was the only
7 solicited symptom reported more frequently after the
8 booster. As with the primary series, the majority of
9 events are mild to moderate in severity and lasted a
10 median of three days or less. Overall, the rates of
11 local reactions were generally similar between the
12 booster dose and dose 2 of the primary series.

13 This slide shows the systemic solicited
14 reactions. For all systemic reactions, reported rates
15 after the booster dose were numerically lower than
16 after dose 2 of the primary series of Study 301.
17 Again, these reactions were mostly mild to moderate in
18 severity with a median duration of two days or less.

19 So now let's review the safety data by age
20 group in Study 201B. Here, the bars on the left
21 represent individuals 18 to 64 years of age and on the

1 right, those over 65. Overall, subjects in the older
2 age group tended to report lower rates in severity of
3 local reactions. The sole exception was Grade 3
4 injection site swelling, which represented one subject
5 reporting in the over 65 age group.

6 Now, we see a similar pattern by age for the
7 solicited systemic symptoms. Most symptoms were mild
8 to moderate in severity, and they were reported less
9 frequently in the older adults.

10 Now, this slide, "Unsolicited Adverse Events,"
11 in Study 201B compared to those reported in Study 301.
12 The first column shows the group boosted after the 100-
13 microgram primary series, and the second column is the
14 pooled groups after both doses of the primary series.
15 The third column represents the data from Study 301.
16 Reported rates in Study 201B were similar to those in
17 Study 301. To date, there have been no vaccine-related
18 SAEs or deaths in Study 201B. Overall, the observed
19 safety profile of the 50-microgram booster dose is
20 acceptable.

21 So now, let's review the immunogenicity of the

1 50-microgram booster dose, first against the original
2 virus. We pre-specified two co-primary hypotheses to
3 demonstrate the noninferiority of immune response
4 against the original virus strain in Study 201B versus
5 Study 301. The pre-specified cohorts for the primary
6 endpoints was the pool's primary series group, which
7 includes subjects who received either 50- or 100-
8 microgram dose for their primary series. Post-booster
9 immunogenicity was compared to post-dose 2 responses
10 from a subset of the subject in Study 301.

11 The first hypothesis was based on the
12 geometric mean ratio, or GMR, which was pre-specified
13 to have a lower limit of the 95 percent confidence
14 interval greater than 0.67 and a point estimate of 1 or
15 greater.

16 The second hypothesis was based on group
17 differences and seroresponse rates, or SRR, in a pre-
18 specified lower limit of at least minus 10 percent.
19 These criteria were selected to align with FDA
20 guidance, and immunogenicity was also evaluated against
21 the Delta variant.

1 Vaccine effectiveness of the 50-microgram
2 booster was inferred by immunobridging of the pooled
3 primary series groups in Study 301 data. This was done
4 to ensure sufficient study power where evaluation of
5 the statistical criteria recommended by the FDA since
6 we had a fixed number of subjects originally enrolled
7 in the Phase 2 study to boost and could not increase
8 further at that time.

9 Our briefing book presented the pooled
10 analysis as this was the pre-defined primary subset.
11 Additional analyses were also performed on the 100-
12 microgram primary series group, and I will also share
13 these data in the following slides.

14 The first co-primary immunogenicity hypothesis
15 regarding the geometric mean ratio of neutralizing
16 antibodies to the original virus strain was met for the
17 pooled dataset. The GMR was 1.7, and the lower limit
18 of the 95 percent confidence interval was 1.5. Because
19 the 95 percent confidence interval excluded the value
20 1, we conclude that the GMTs post-booster are
21 statistically significantly higher than the GMT post-

1 primary series.

2 Now, this slide shows the same analysis for
3 the GMR evaluated in the groups that received the 100-
4 microgram primary series. The results were very
5 similar. The GMR was 1.8 with a lower bound of 1.5,
6 and therefore, the first co-primary immunogenicity
7 hypothesis was also met for the 100-microgram primary
8 series group. The post-booster neutralizing antibody
9 titers were statistically significantly higher as
10 compared to the post-dose 2 titers in the Phase 3 Study
11 301.

12 Our second pre-specified hypothesis compared
13 seroresponse rates, which we initially defined as a
14 3.3-fold rise from pre-booster titers. This definition
15 was based on the variability characteristics of this
16 specific neutralization assay. Using this definition,
17 the seroresponse rate was 94 percent in the Study 201B
18 group, compared to 99 percent in the Study 301 group
19 with a lower limit for the group difference of minus
20 8.8 percent each point, which exceeded minus 10.

21 Thus, the second pre-specified endpoint

1 against the original virus strain was met for the
2 pooled study group using the original seroresponse
3 definition. And this is notable especially because the
4 pre-booster GMTs in the 201B group were so much higher
5 at 126 than the pre-dose 1 titers in the Study 301
6 subjects, who were seronegative at the time of
7 enrollment. The higher pre-booster titers make it much
8 harder to reach the same fold rise as in the
9 seronegative subjects.

10 The FDA requested that we evaluate a different
11 definition for seroresponse, which I will evaluate and
12 then present in the slides that follow. This panel
13 contains the data we just reviewed. So the light blue
14 bar represents the seroresponse rate defined by a 3.3-
15 fold rise in Study 201B in the pooled group, and the
16 dark blue bar represents Study 301. These bars
17 represent the same study populations using a 4-fold
18 rise as the seroresponse definition. Because a higher
19 fold rise is required, the seroresponse rates are lower
20 for both groups than with the first definition.

21 We also noted that the VRBPAC Committee

1 reviewed a third definition at the prior meeting. This
2 last analysis is a within subject comparison of those
3 who achieved a 4-fold rise increase in titers from pre-
4 dose 1 at either the post-booster time point or the
5 post-dose 2 time point in Study 201.

6 Using this definition, numerically higher
7 response rates are observed after the booster dose than
8 after the dose 2 primary series in the same subjects.
9 Importantly, regardless of the definition used, at
10 least 90 percent of the subjects in the pooled groups
11 achieved a seroresponse rate post-booster.

12 So, the FDA also asked us to evaluate a
13 seroresponse rate definition of a 4-fold rise only in
14 the population that received a 100-microgram primary
15 series. This is the inferential analysis highlighted
16 in the FDA briefing book. In this instance, the
17 statistical criterion was not met.

18 Nonetheless, the seroresponse rate was 88
19 percent, like the fact that pre-booster titers were
20 150, which were 15 times higher than the pre-dose 1
21 titers in Study 301. It should be noted that the post-

1 booster GMTs at 1,952 were nearly twice as high as the
2 post-dose 2 titers of 1,081 in Study 301 which were
3 associated with vaccine efficacy.

4 Now, let's further examine the subjects in
5 Study 201B who did not achieve a 4-fold rise. In
6 subjects who failed to achieve a 4-fold rise, pre-
7 booster GMTs were 492, which was more than 4 times
8 higher than subjects who met the definition with
9 baseline titers of 108. Subjects in both categories
10 achieved post-booster titers well above the level of
11 Study 301 at 1,081. Therefore, subjects who did not
12 meet the 4-fold rise definition are still deriving
13 substantial benefit from the 50-microgram booster dose.

14 One of the key populations proposed for
15 booster vaccination are adults over the age of 65
16 because of their increased risk from severe
17 complications of COVID-19. Therefore, we performed an
18 analysis comparing GMTs by age group. This slide
19 presents the pre-booster and post-booster GMTs in
20 subjects 18 to 64 years of age, those over 65, and the
21 overall population who received the 100-microgram

1 primary series, so the subgroup. Again, all post-
2 booster GMTs are above the level at Study 301 with
3 adults over 65 achieving an 18-fold rise.

4 Similarly, we performed an evaluation of
5 seroresponse rates by age based on the 4-fold rise
6 definition in the 100-microgram primary series group.
7 Post-booster vaccination, 88 percent of younger adults
8 and 89 percent of older adults achieved a 4-fold rise
9 indicating no reduction in the over 65 age group. We
10 also tested the serum samples from Study 201B for
11 neutralizing antibodies to the Delta variant as this is
12 currently the variant of greatest concern.

13 This slide shows the pre- and post-booster
14 titers against the Delta variant in subjects 18 to 64
15 years of age, over 65, and overall, for the group that
16 received the 100-microgram primary series. In the
17 younger cohort, antibodies increased 16-fold after the
18 booster dose, and they increased 22-fold in the older
19 cohorts.

20 These data suggest that the neutralizing
21 capacity against the Delta variant can be substantially

1 enhanced by administration of a 50-microgram booster of
2 mRNA-1273, which would help address the current
3 breakthrough cases due to the highly transmissible
4 Delta variant.

5 So here, we see the seroresponse rates to
6 Delta variant by age group and overall, in the 100-
7 microgram primary series group. The younger age cohort
8 had an 88 percent response rate, which increased to 95
9 percent in the older cohort. This analysis supports
10 the robust immunogenicity to the Delta variant of the
11 50-microgram booster.

12 Now, I'd like to summarize our safety and
13 immunogenicity data of the 50-microgram booster dose of
14 mRNA-1273. The safety profile of the 50-microgram
15 booster was comparable to dose 2 of the 100-microgram
16 primary series in Study 301. Injection site pain was
17 the most common local solicited reaction and headache,
18 fatigue, and myalgia were the most commonly reported
19 systemic adverse reactions.

20 As with the primary series, most adverse
21 reactions were mild to moderate in severity. Axillary

1 swelling and tenderness was the only solicited symptom
2 reported more frequently after the booster dose and in
3 Study 301. And all other symptoms were numerically
4 lower post-booster. No vaccine-related SAEs or deaths
5 were reported during this study period.

6 So, to summarize immunogenicity, the co-
7 primary hypothesis on the GMR was met for both the
8 pooled dataset, as well as the 100-microgram primary
9 series. The pre-specified hypothesis on seroresponse
10 rate in terms of a 3.3-fold rise on the pooled dataset
11 was met. This criterion was not met for the 4-fold
12 rise analysis in either the pooled or 100-microgram
13 primary series population.

14 Nonetheless, 88 percent of subjects achieved a
15 4-fold rise. The subjects who did not meet the 4-fold
16 rise had pre-booster antibody titers more than four
17 times higher than those who did have a seroresponse. A
18 13-fold rise from pre-booster titers was observed to
19 the original virus, and the Delta variant antibody
20 titers increased by 17-fold overall.

21 A substantial increase in neutralizing

1 antibody titers against both strains in both the
2 younger and the older age group. Taken together, these
3 data suggest that a 50-microgram booster of mRNA-1273
4 will result in higher antibody responses and observed
5 after dose 2 in Study 301 in which efficacy was
6 demonstrated at 93 percent.

7 This booster has the potential to address
8 waning antibody titers and to reduce breakthrough
9 disease due to the highly transmissible Delta variant.
10 And the data that I have now presented for the 50-
11 microgram booster dose and at least 6 months after
12 completion of the primary series.

13 The proposed use is for individuals who are 65
14 years of age and older, 18 to 64 years of age at high
15 risk of severe COVID-19, and those who are at increased
16 risk because of institutional or occupational exposure
17 to SARS-CoV-2 aligned with the Committee's previous
18 vote.

19 We would like to thank our collaborators at
20 the NIH, the COVID-19 Prevention Network, BARDA, the
21 Montefiori Laboratory at Duke University, and the

1 investigators and site personnel, and most especially,
2 we would like to thank the study participants. This
3 concludes my presentation. Thank you.

4

5

Q&A SESSION

6

7

DR. ARNOLD MONTO: Thank you, Dr. Miller.

8

Given the fact that you have finished a bit early, we

9

have time for a few questions from the members. I see

10

Dr. Pergam.

11

DR. STEVEN PERGAM: Thanks for that

12

presentation. I appreciate Moderna's efforts in

13

putting that together.

14

I had a question about how the drug is going

15

to be put together and labeled specifically for the

16

differing booster versus the primary vaccine,

17

particularly when addressing the different populations

18

who are getting boosters.

19

Since the immunosuppressed population will be

20

getting the 100 milligram and the rest of the

21

population will be getting 50, how is Moderna putting

1 that together to make it clear? Because I could see
2 issues coming with inappropriate dosing being given to
3 specific populations. Can you discuss how Moderna is
4 going to be organizing that specifically?

5 **DR. JACQUELINE MILLER:** Yes, absolutely. So
6 the current presentation is a multidose vial. So
7 healthcare providers pull a 0.5 mL dose, which is the
8 100-microgram dose from a multidose vial to administer.
9 That same vial can be utilized to administer a 0.25 mL
10 dose, and that 0.25 mL dose being lower is actually
11 consistent with some other vaccines, particularly
12 during the H1N1 pandemic where lower doses of a
13 multidose vial were administered to some populations.

14 We recognized that this will require some
15 education and enforcement, and so we are preparing to
16 send a "dear healthcare provider" letter explaining how
17 the doses are to be administered. In addition, our
18 fact sheet is going to contain detailed information,
19 and we have a 24-hour call center to support healthcare
20 providers in their administration efforts.

21 There are additional resources that will be

1 available on the Moderna website, and then finally, our
2 team that engages with primary care physicians is going
3 to be going out and doing additional training to make
4 sure that people understand the differences between the
5 two doses.

6 I think the important emphasis is that the 50
7 microgram is a booster. The 100 microgram that
8 immunocompromised subjects are receiving is really a
9 different indication. These are subjects, who in
10 multiple studies, did not respond as well to the second
11 dose and really need that third dose to reliably induce
12 neutralizing antibody titers.

13 **DR. ARNOLD MONTO:** Thank you. Dr. Lee?

14 **DR. JEANNETTE LEE:** So one question I have is
15 you noted, obviously, that with the criteria for
16 immunobridging success, which included a seroresponse
17 defined by a 4-fold increase entire was not met and
18 that was in the report. In your presentation, you
19 looked at a different threshold with 3.3. Can you sort
20 of indicate why you chose that particular level as
21 opposed to -- I mean, we see what you had before, but

1 where does a 3.3 come from?

2 **DR. JACQUELINE MILLER:** Yes. Thank you. The
3 3.3-fold rise is actually based on the inherent
4 variability of the assay. So, the assay itself has
5 discriminating capabilities and the statistical
6 analysis you see in both booster titers during the
7 validation of that assay indicated that you could
8 reliably discriminate between levels of titers at the
9 3.3 threshold.

10 I'll point out that there are some other
11 vaccines particularly the meningococcal B vaccine that
12 also uses a different definition for fold rises, so,
13 the 5-fold rise in that case. But we accept the
14 feedback that the 4-fold rise is going to be applied
15 across companies, which is why we have also calculated
16 using the 4-fold rise.

17 **DR. JEANNETTE LEE:** Thank you.

18 **DR. ARNOLD MONTA:** Dr. Gans? Muted. Can't
19 hear you.

20 **MR. MICHAEL KAWCZYNSKI:** You're muted on your
21 phone, Dr. Gans.

1 **DR. HAYLEY GANS:** Okay.

2 **MR. MICHAEL KAWCZYNSKI:** There you go.

3 **DR. HAYLEY GANS:** Can you hear me now? Okay.

4 **DR. ARNOLD MONTTO:** Yes.

5 **DR. HAYLEY GANS:** Thank you. Thank you, Dr.

6 Miller, for that, for you and your team putting that

7 together for us.

8 I have a real question about really trying to
9 identify the 18 to 64 age group because we're trying to
10 really parse out their susceptibility for needing a
11 booster.

12 So, you talk a lot about -- you showed the
13 breakthrough disease within that cohort, and it's
14 actually quite high. We didn't see any outcomes for
15 those breakthrough diseases, so hospitalizations or
16 severe disease, which is what we're trying to parse
17 out.

18 You also show the geometric mean titers pre-
19 booster. They're pretty much the same as they are for
20 that age group as they are for the greater than 65 age
21 group. So, I'm really trying to understand what we

1 should be thinking about in terms of that age group and
2 whether or not we really need to think about their also
3 waning immunity.

4 You don't seem to parse out the age groups
5 when you're looking at the overall wane and antibody.
6 I think that was Slide 14, that you show against all
7 the different variants. So, we don't really know that
8 per age group. And so I'm wondering if you could parse
9 that out a little bit more for us and talk about what
10 those levels actually mean for that particular age
11 group.

12 **DR. JACQUELINE MILLER:** Yes. I am actually
13 going to show some additional data from that
14 breakthrough analysis. So, Panel B, please. I would
15 like to show you first the cases of severe COVID-19
16 between the more recently vaccinated participants and
17 the later vaccinated participants by age groups.

18 So what you see on this slide is that all
19 subjects are on the left-hand side of the panel. In
20 the middle are the 18 to 64 years of age. On the right
21 are the greater than 65 years of age. And so what you

1 can see here is that amongst all subjects, there was a
2 46 percentage point difference between the earlier and
3 later group overall, with 30.9 percent in the 18 to 64
4 group with only 11 cases.

5 So, this is the severe cases. And then over
6 65, a 64-percentage point difference. Then can we go
7 to Panel A, please, because Dr. Gans also asked about
8 the characteristics of severe cases and
9 hospitalizations. Just to show you that the severe
10 cases comprised 7.6 percent of the breakthrough cases.
11 There were 19 of them overall.

12 Notably, three hospitalizations and two
13 subject deaths occurred in the earlier vaccinated
14 group. Both of those deaths occurred in males over 70
15 years of age. Both of them had underlying COPD and
16 other medical complications.

17 Then, Dr. Gans, your second question was with
18 respect to antibody titers by age after the primary
19 series, and I'm going to show you the original strain.
20 So, can we put up Panel B, please? This is going to be
21 after the 50-microgram booster for the 100-microgram

1 series. What you see -- this time I'm going to reverse
2 it a bit and move over to the right-hand side first.

3 You see most to the right are GMTs from Study
4 301, and overall, the GMTs for the pooled age group.
5 Then on the left-hand panel, you see 18 to 64 years of
6 age and 65 years of age. The antibody persistence --
7 can we please pull up a slide that shows the GMT ratios
8 by age group, please? The comparison of GMT ratios is
9 actually higher in the older age group and the antibody
10 persistence was higher in the younger age group. I'm
11 just going to wait for the slide to come up to show
12 you.

13 You know what? I will show that slide at the
14 next Q&A and provide you with those stats.

15 **DR. ARNOLD MONTO:** Right. Which helps me move
16 to say that the next Q&A is going to be after lunch, so
17 we will have some additional time to ask questions of
18 Dr. Miller.

19 We'll move now to the FDA presentation of the
20 data, and we're going to have two speakers. Tina
21 Morgan Mongeau and Hui-Lee Wong with Dr. Richard

1 Forshee ready in the background to answer additional
2 questions. Let's move ahead to the FDA presentations.

3

4 **FDA PRESENTATION - FDA REVIEW OF EFFECTIVENESS AND**
5 **SAFETY OF MODERNA COVID-19 VACCINE (mRNA-1273) BOOSTER**
6 **DOSE EMERGENCY USE AUTHORIZATION AMENDMENT**

7

8 **DR. TINA MONGEAU:** Good morning. My name is
9 Dr. Tina Mongeau. I am the medical officer in the
10 Office of Vaccines Research and Review within the
11 Division of Vaccines and Related Products Applications
12 at the FDA. I will present FDA's review of the
13 effectiveness and safety data following a booster dose
14 of Moderna COVID-19 vaccine as submitted by Moderna
15 under an emergency use authorization amendment.

16 I'd like to start off by acknowledging the
17 contributions of many of my colleagues within the
18 Center for Biologics Evaluation and Research. My
19 presentation is a reflection of all of their
20 contributions.

21 So my presentation will begin with background

1 information, followed by an overview of the booster
2 dose and two-dose series studies, the immunogenicity
3 and safety results, and then I'll conclude with an
4 overall summary.

5 So Moderna COVID-19 vaccine, also known as
6 mRNA-1273, has been available under emergency use
7 authorization since December 18th, 2020. It is
8 authorized for active immunization to prevent COVID-19
9 due to SARS-CoV-2 in individuals 18 years of age and
10 older. The authorized regimen is a two-dose series
11 administered one month apart with each 0.5 mL dose
12 containing 100 micrograms of mRNA.

13 A third 0.5 mL dose is authorized for
14 administration at least 28 days following the second
15 dose in individuals with certain immunocompromising
16 conditions. Moderna has submitted an amendment to
17 their EUA to support authorization for booster
18 administration of Moderna COVID-19 vaccine at 50
19 micrograms, 0.25 mL dose, at least six months following
20 a two-dose series for the following populations:
21 individuals 65 years of age and older, individuals 18

1 through 64 years of age at high risk of severe COVID-
2 19, and individuals 18 through 64 years of age whose
3 frequent institutional or occupational exposure to
4 SARS-CoV-2 puts them at high risk of serious
5 complications of COVID-19, including severe COVID-19.

6 Regulatory background for this submission
7 dates back to May 28th, 2020, with initiation of Phase
8 2 Study P201 Part A, which I'll refer to as P201A,
9 evaluating two dose levels of the two-dose series of
10 mRNA-1273.

11 On July 27th, 2020, the Phase 3 randomized
12 placebo-controlled safety and efficacy study, P301, was
13 initiated.

14 On December 18th, 2020, FDA issued an EUA for
15 a two-dose series of Moderna COVID-19 vaccine in
16 individuals 18 years of age and older.

17 On January 28th, 2021, the booster dose phase
18 of Study P201, which I'll refer to as P201B, was
19 initiated.

20 On August 12th, 2021, the Moderna COVID-19
21 vaccine EUA was reissued to include a third dose for

1 immunocompromised individuals 18 years of age and
2 older.

3 The next part of my presentation will provide
4 an overview of the design of the booster dose and two-
5 dose series. So, Study P301 is an ongoing randomized
6 observer-blinded, placebo-controlled study conducted in
7 over 30,000 participants 18 years of age and older.
8 Participants were stratified by both age and risk for
9 progression to severe COVID-19 into one of three groups
10 shown on this slide here and randomized one to one to
11 receive two injections 28 days apart either mRNA-1273
12 at 100 micrograms or a placebo-controlled.

13 Data from Study P301 supported the EUA for the
14 two-dose series of mRNA-1273 at the 100-microgram dose
15 in adults 18 years of age and older. The 15,184
16 recipients of the 100-microgram mRNA two-dose series
17 were used as a comparator group for overall safety
18 following the booster dose. The 1,080 participants who
19 were randomly selected as an immunogenicity sub cohort
20 in P301 were used as a comparator group in booster dose
21 immunogenicity analyses.

1 In the context of this EUA submission, Study
2 P201 is an ongoing two-part study. Part A is the
3 observer-blinded randomized placebo-controlled two-dose
4 series phase, and Part B is the open-label booster dose
5 phase of the study.

6 Part A was conducted in a total of 600
7 participants without preexisting conditions that would
8 place them at risk of severe COVID-19. Participants
9 were stratified by age into two cohorts and randomized
10 according to a one to one to one ratio to receive two
11 injections 28 days apart of mRNA-1273 at either a 50-
12 microgram dose or a 100-microgram dose or a placebo-
13 control.

14 At the conclusion of Part A, all participants
15 were offered a 50-microgram booster dose at least six
16 months after completion of the two-dose series during
17 the booster phase of the study. Of the participants
18 who completed Part A, 344 agreed to and actually
19 received an open-label booster dose in Part B of the
20 study.

21 This included 173 participants in the 50-

1 microgram primed group and 171 participants in the 100-
2 microgram group. Only the 171 booster dose
3 participants primed with the 100-microgram series,
4 shown in bolded text on the slide, contributed to our
5 analyses of the immunogenicity analyses.

6 In addition, these participants contributed
7 the main safety data for the booster dose safety
8 analyses. Median interval between completion of the
9 100-microgram two-dose series and the booster dose was
10 approximately 7.2 months, ranging between 5.9 and 8.6
11 months.

12 Booster dose effectiveness is being inferred
13 by immunobridging analyses comparing two immunogenicity
14 endpoints. Geometric mean neutralizing antibody
15 titers, or GMTs, and seroresponse rate against a
16 pseudovirus expressing the SARS-CoV-2 spike protein
17 from a USA_WA1/2020 isolate carrying the D614G
18 mutation, which I'll refer to from this point forward
19 as the D614G strain.

20 Immunogenicity analyses compared each co-
21 primary endpoint at 28 days after the booster dose in

1 study P201B to the corresponding endpoint 28 days after
2 dose 2, which would be Study Day 57 in the P301 random
3 immunogenicity subset is the reference study population
4 in whom vaccine efficacy was demonstrated. Just to
5 note, neutralizing antibody titers were 50 percent
6 inhibitory dose ID50 titers measured with a validated
7 pseudovirus neutralization assay against the D614G
8 strain by Duke University Medical Center.

9 This slide summarizes the immunogenicity
10 analysis of the GMT co-primary endpoint against the
11 D614G strain. The primary analysis evaluated the ratio
12 of GMTs after the booster dose in Study P201B to the
13 corresponding GMTs after dose 2 in Study P301. The
14 immunobridging success criteria required that for the
15 GMT ratio, a lower limit of the 95 percent confidence
16 interval not to be greater than 0.67, a 1.5-fold
17 margin, and that the point estimate of the GMT ratio
18 not to be greater than 1.0.

19 This slide summarizes the immunogenicity
20 analysis of the seroresponse co-primary endpoint
21 against the D614G strain. Seroresponse for an

1 individual participant is defined as the 4-fold or
2 greater rise of neutralizing antibody titers from
3 baseline to 28 days post-vaccination against the D614G
4 strain where baseline titers that were less than the
5 assay's lower limit of quantitation or LLOQ, were set
6 to the LLOQ.

7 For P201B booster dose recipients, baseline
8 was defined as the titers prior to the booster dose on
9 the day of booster vaccination. For P301 two-dose
10 recipients, baseline was defined as prior to dose 1.
11 For the immunobridging analysis, the percentage
12 difference was calculated between the seroresponse rate
13 at 28 days post-booster dose in P201 and the
14 seroresponse rate 28 days after dose 2 in P301.

15 The immunobridging success criterion required
16 a lower limit of the 95 percent confidence interval for
17 the difference in seroresponse rates to be greater than
18 or equal to negative 10 percent.

19 P201B statistical analysis plan also pre-
20 specified immunobridging analyses with hypothesis
21 testing for the B.1.617.2 or Delta variant. These

1 analyses are not yet available because the assay for
2 the Delta variant is not yet validated. We will,
3 however, present descriptive analyses submitted by
4 Moderna using an exploratory assay for the Delta
5 variant.

6 At this point, I'll move on to review the
7 booster dose study results starting with immunogenicity
8 data. In Study P201B, of the 171 participants who were
9 administered a booster dose, 149 were included in the
10 per-protocol set, which is the primary analysis
11 population for immunobridging comparisons. A total of
12 15 participants were excluded from the full analysis
13 set due to the lack of baseline or post-baseline
14 immunogenicity data.

15 An additional seven subjects were excluded
16 from the per protocol set due to SARS-CoV-2 infection
17 or a major protocol violation involving incorrect
18 dosing at the booster dose visit. Of note, one 100-
19 microgram prime booster dose participant who did not
20 receive dose 2 was included in the per-protocol
21 population as P201B participants were not required to

1 receive both doses of the two-dose series to be
2 included in the per-protocol set.

3 In Study P301, of the 1,080 participants
4 randomly selected for inclusion in the immunogenicity
5 sub cohort, a total of 1,055 participants were included
6 in the per-protocol set for the primary immunobridging
7 analyses. Exclusion from the P301 per-protocol set was
8 most commonly due to HIV infection followed by errors
9 in the administration of dose 2 and one participant
10 with other protocol deviation.

11 This slide presents the demographics of the
12 per-protocol immunogenicity subset for Studies P201B
13 and P301. Compared to Study P301, participants in
14 Study P201B were less racially and ethnically diverse,
15 had a lower percentage of males, a lower median BMI,
16 and a lower percentage of participants who were in the
17 category of obese with a BMI 30 or greater.

18 **MR. MICHAEL KAWCZYNSKI:** Your audio feed
19 (audio skip). I just want to make sure we're good.
20 All right. You can continue.

21 **DR. TINA MONGEAU:** Thank you very much. So

1 this slide shows the results for the GMT co-primary
2 endpoints, again, for the D614G strain. And we see
3 neutralizing antibody titers against the D614G strain
4 at 28 days after the booster dose in P201B -- that's in
5 this column here -- and 28 days after completion of the
6 two-dose series in P301.

7 The GMT ratio of Study P201B over P301 was 1.8
8 with a 95 percent confidence interval ranging from 1.5
9 to 2.1, which met the pre-specified success criteria of
10 a lower limit of the 95 percent confidence interval
11 being greater than 0.67 and the GMT ratio point
12 estimate being greater than 1.

13 This slide presents the results for the
14 seroresponse co-primary endpoint for the D614G strain.
15 The difference in seroresponse rates between the
16 booster dose recipients in P201B and two-dose series
17 recipients in P301 was negative 10.5 with a lower limit
18 of 16.7 percent. I'm missing the pre-specified
19 immunobridging success criterion of a lower limit of
20 the 95 percent confidence interval greater than or
21 equal to 10 percent.

1 In post hoc analyses, participants with lower
2 pre-booster neutralizing antibody titers appear to be
3 more likely to achieve a 4-fold or greater rise in
4 titers after the booster dose compared to participants
5 with higher pre-booster titers. For instance, P201B
6 participants who met the 4-fold rise in titers had a
7 baseline GMT of 109, whereas those who did not meet the
8 4-fold rise in titers had a baseline GMT of 492.

9 Seroresponse rates in baseline GMTs and P201B
10 participants by age subgroups also appear to be
11 consistent with this observation. Participants who
12 were 65 years of age and older had a lower baseline GMT
13 but a higher seroresponse rate compared to participants
14 18 through 64, less than 65 years of age.

15 This slide shows the exploratory descriptive
16 analyses of neutralizing GMTs against the Delta variant
17 after the booster dose in Study P201B among the 100-
18 microgram prime booster dose participants and after
19 dose 2 in Study P301 participants who received 100-
20 microgram two-dose series. These data suggest
21 numerically higher GMTs were achieved one month after

1 the booster dose (audio skip) data with some caution
2 because they are limited by the use of a non-validated
3 assay against the Delta variant.

4 Assessment of the incidence of SARS-CoV-2
5 infection in Study P201B was an exploratory endpoint.
6 SARS-CoV-2 infection was detected by virologic or
7 serologic evidence at scheduled visits or for potential
8 SARS-CoV-2 exposure and/or symptoms. Through the
9 August 16, 2021, cut-off date, a total of 38 booster
10 dose participants had positive tests, 20 in the 50-
11 microgram primed group, and 18 in the 100-microgram
12 primed group.

13 All participants who tested positive did so at
14 pre-planned study visits. Of the 18 booster dose
15 participants who were primed with the 100-microgram
16 two-dose series and who tested positive, two occurred
17 prior to when a maximum antibody response would have
18 been anticipated after the booster dose, both being
19 positive on day 8 after (audio skip). The remaining 16
20 infections were identified at day 29 or later. Only
21 one of the 18 participants was symptomatic, and no

1 SARS-CoV-2 infections were reported as severe.

2 Limitations of this analysis include the
3 exploratory nature and the lack of a controlled group.
4 Case definitions for COVID-19 were not pre-specified
5 and were not provided to study sites, nor used in the
6 analyses, and information related to COVID-19 cases was
7 not really collected systematically.

8 Responding to an FDA request, Moderna
9 performed a post hoc analysis of protocol-specified
10 COVID-19 cases in the ongoing P301 efficacy study,
11 which accrued during the period between July 1st and
12 August 27th, 2021, corresponding to the Delta variant
13 surge. The analysis compared rates of COVID-19 among
14 participants originally randomized to mRNA-1273 and
15 those who completed the two-dose series early in the
16 study versus those who were originally randomized to
17 placebo and then crossed over to mRNA-1273, and thus,
18 completed the two-dose series later in the study.

19 Study participants who were included in the
20 analyses were those who remained at risk for first
21 occurrence of COVID-19 following receipt of the two-

1 dose series. Although not independently verified by
2 FDA, the post hoc analyses appeared to indicate that
3 the incidence of SARS-CoV-2 during the analysis period
4 among participants who completed the two-dose series
5 early in the study was 77.1 cases per 1,000 person-
6 years versus 49 cases per 1,000 person-years among
7 participants who completed the two-dose series study.

8 The median duration of follow-up was 13 months
9 post-dose 2 among those who completed the two-dose
10 series early in the study, and 7.9 months post-dose 2
11 among those who completed the two-dose series later in
12 the study. Nineteen severe COVID-19 cases were
13 reported during the analysis period; 13 of which
14 occurred among participants originally randomized to
15 mRNA-1273 giving an incidence of 6.2 per 1,000 person-
16 years, and six occurred among participants originally
17 randomized to placebo with an incidence of 3.3 per
18 1,000 person-years.

19 Overall, 15 of these 19 severe cases occurred
20 among participants who were 65 years of age or older
21 and/or who had a risk factor for severe COVID-19. The

1 four remaining cases occurred in participants between
2 42 and 64 years of age and who were not at risk of
3 severe disease. Of those four, three out of the four
4 were originally randomized to the mRNA-1273 group.

5 We'll now move on to review safety results.
6 This slide shows the median length of safety follow-up
7 after the booster dose and all P201B participants
8 through an August 16, 2021, cut-off date. Among the
9 100-microgram prime booster dose participants in the
10 middle column here, we see that the median duration of
11 follow-up was 5.7 months ranging from 3.1 to 6.4.

12 So our review of safety results, we'll start
13 with the immediate reactogenicity defined as reactions
14 occurring within approximately 30 minutes after (audio
15 skip) injection. Results are shown for P201A and P301
16 participants who received a 100-microgram two-dose
17 series of mRNA-1273 and 100-microgram prime booster
18 dose participants in Study P201B.

19 Overall, immediate reactions were reported by
20 a numerically higher proportion of P201B participants
21 at 13.2 percent compared to P201A participants at 5.1

1 percent. The rate in P201B is notably similar to that
2 in P301, which had a rate of 9.9 percent. A total of
3 22 participants in the P201 group reported any
4 immediate adverse reaction. Of these, one was reported
5 as severe. One case of severe injection site pain.

6 Breaking down these reactions by local versus
7 systemic, 10.2 percent of participants reported
8 immediate local reactions, which consisted mostly of
9 injection site pain followed by erythema and axillary
10 (audio skip) and 4.8 percent of participants reported
11 immediate systemic reactions, which consisted of
12 headache, fatigue, arthralgia, myalgia, (audio skip).

13 This slide shows the rates of solicited local
14 reactogenicity by age group within seven days after
15 dose 2, among the 100-microgram two-dose series
16 recipients in P201A, and within seven days after a
17 booster dose, following a 100-microgram two-dose series
18 in P201B.

19 The most frequent local adverse reaction
20 reported in both age groups was injection site pain in
21 which this was reported by a similar proportion after

1 the booster dose versus (audio skip) dose 2. Among
2 participants 18 to less than 65 years of age, rates of
3 axillary swelling or tenderness of the vaccination arm,
4 which were mostly mild in severity and transient, were
5 higher after the booster dose at 24.8 percent compared
6 to dose 2, 11.6 percent.

7 When comparing the rate of axillary swelling
8 or tenderness after the booster dose, for the
9 corresponding rate after dose 2 in the larger P301
10 population of 18- to less than 65-years-old, the rates
11 were more similar, 24.8 percent versus 16 (audio skip).

12 In participants 65 years of age and older,
13 there were no notable trends in the frequency of local
14 reactogenicity after the booster dose compared to after
15 dose 2. Rates of local reactogenicity were generally
16 lower in participants 65 years of age and older
17 compared to those 18 through 64. Across both age
18 groups, severe local reactions after the booster dose
19 were reported by 0 to 5.3 percent. No Grade 4
20 solicited local reactions were reported in either group
21 after the booster dose in either age group. Are you

1 still able to see my slide?

2 **MR. MICHAEL KAWCZYNSKI:** Yeah. Hold on a
3 second. Somebody moved the slides here. I'll put it
4 back on yours. Give me a second here. There you go,
5 Dr. Mongeau. There you go.

6 **DR. TINA MONGEAU:** So yeah. Rates of local
7 reactogenicity were generally lower in those 65 years
8 and older compared to (audio skip). I think I was
9 going over the -- yeah, the severe reactions -- and
10 overall, the median day of onset of local reactions was
11 generally between day 1 and day 3, and the median
12 duration of local reactions was generally no longer
13 than three days in both age groups.

14 We'll move on to review this slide, which
15 shows the rates of solicited systemic reactogenicity.
16 Again, shown by age group within seven days after dose
17 2 among those who got the 100-microgram two-dose series
18 in Study P201A, and within seven days after a booster
19 dose among those who received the 100-microgram two-
20 dose series in P201B.

21 The most frequent systemic adverse reaction

1 reported in both age groups was fatigue followed by
2 either headache or myalgia and then arthralgia and
3 chills. In participants 65 years of age and older,
4 which had a relatively small denominator, the rates of
5 myalgia and arthralgia were numerically higher after
6 the booster dose compared to after dose 2.

7 However, the rates of myalgia and arthralgia
8 after the booster dose were notably similar to the
9 corresponding rates after dose 2 in the larger P301
10 population 65 years of age and older. Across both age
11 groups, severe reactions were reported by 0 to 7.9
12 percent, and there were no Grade 4 reactions reported
13 after the booster dose. Overall, the median day of
14 onset for systemic reactions was day 2, and the
15 duration of these reactions was generally no longer
16 than two days in both (audio skip).

17 This slide provides an overview of the
18 unsolicited adverse events and serious adverse events
19 reported in Study P201B. Through the August 16, 2021,
20 cut-off date, there were no unsolicited adverse events
21 that were not already captured as solicited local and

1 systemic reactions and which were not considered
2 causally related to Moderna COVID-19 vaccine.

3 A total of 20 subjects or 11.7 percent
4 reported unsolicited adverse events through 28 days
5 after the booster dose. The most common unsolicited
6 adverse events included headache and fatigue. One case
7 of Bell's palsy was reported and considered unlikely to
8 be related based on temporal implausibility that that
9 occurred five hours after booster dose.

10 There were no serious adverse events reported
11 within 28 days after booster vaccination. As of the
12 August 16, 2021, cut-off date, five SAEs were reported
13 in four participants with time to onset more than 30
14 days following the booster dose. That included one
15 case of tendon rupture, one case of spontaneous
16 abortion, one case involving deep vein thrombosis and
17 pulmonary embolism, and one case of pericarditis.

18 None of these SAEs were considered likely to
19 be related to the vaccine because the timing of the
20 events in relation to the vaccination did not suggest a
21 causal relationship and/or a more likely alternative

1 etiology was identified, and no participants were
2 withdrawn due to adverse events.

3 So, I will now conclude with a summary of P201
4 immunogenicity and safety data. In terms of
5 immunogenicity, immunobridging analyses against the
6 D614G strain met the pre-specified success criteria for
7 the GMT ratio but not for seroresponse rates.

8 In post hoc analyses, participants with lower
9 pre-booster neutralizing antibody titers were more
10 likely to achieve a 4-fold or greater rise in
11 neutralizing antibody titers after booster vaccination
12 compared to participants with higher pre-booster
13 neutralizing antibody titers.

14 Immunogenicity data to support effectiveness
15 of the booster dose against the Delta variant are
16 limited to exploratory analyses using a non-validated
17 assay. In terms of safety, there was no evidence of
18 increased reactogenicity following a booster dose
19 relative to dose 2, with the exception of axillary
20 swelling or tenderness of the vaccination arm in
21 participants 18 to less than 65 years of age.

1 Unsolicited adverse events did not reflect any
2 new safety concerns.

3 Through the August 16, 2021, cut-off date,
4 there were no death or SAEs considered causally related
5 to Moderna COVID-19 vaccine. That concludes my
6 presentation. Thank you.

7

8 **FDA PRESENTATION - SURVEILLANCE UPDATES OF**
9 **MYOCARDITIS/PERICARDITIS AND mRNA COVID-19 VACCINATION**
10 **IN THE FDA BEST SYSTEM**

11

12 **DR. HUI-LEE WONG:** Good morning. I'm Hui-Lee
13 Wong, Associate Director for Innovation Development,
14 Office of Biostatistics and Epidemiology at the Center
15 for Biologics Evaluation and Research. On behalf of
16 our multiple collaborators in the FDA BEST system,
17 today I'll present the preliminary results on post-
18 market data of myocarditis and pericarditis following
19 mRNA COVID-19 vaccination in the FDA BEST system.

20

21 Information on myocarditis and pericarditis
has an update to the fact sheet for COVID-19 vaccines

1 for Moderna. Post marketing adverse reports have
2 indicated and suggested risk around within seven days
3 following the second dose, highest in males 18 through
4 24 years of age. We evaluated this in the FDA active
5 surveillance system, the Biologics and Effectiveness
6 Safety System, or BEST.

7 The FDA CBER active surveillance program
8 through multiple partners as illustrated here on this
9 slide where it actively monitors the safety and
10 effectiveness of biologics, including COVID-19
11 vaccines. The (inaudible) surveillance of COVID-19
12 vaccines, the BEST system works with the -- in this
13 case, the four large nationwide health plans seen here
14 in the yellow circles.

15 So collectively, the four BEST medical claims
16 databases here contain data from every state in the
17 United States, in this case, claims databases and
18 covering approximate around 80 million enrollees per
19 year. For analysis that I'll be showing here today,
20 that is around 21 million vaccine doses; that's 12.6
21 million doses for Pfizer and 8.5 million for Moderna.

1 In this presentation, when I state myocarditis
2 and pericarditis, we do find that BEST myocarditis
3 and/or pericarditis identifies that using diagnosis
4 codes for reimbursement and the risk interval is one to
5 seven days after each dose. We estimated the incidence
6 rates and compared incidence rates between Moderna and
7 Pfizer.

8 So, for incidence rates, we estimated this in
9 the Moderna and in Pfizer vaccine brand, by groups, by
10 sex, and by dose. In dose, that will be any dose post-
11 dose 1, post-dose 2, on post-on regression. It
12 adjusted for age, sex, and by sample size permits, week
13 of vaccination, history of prior COVID-19, and
14 urban/rural status.

15 This slide shows you the number of events,
16 seen here, the first one through seven days of receipt
17 of any dose in the FDA BEST system. You can actually
18 see here that it's actually the highest in the younger
19 age group at 18 to 25. It's also the highest in males
20 and not shown here is actually also the highest after
21 the second dose. So that would be males 18 to 25 years

1 of age.

2 This slide here illustrates, graphically
3 summarizes the incidence rates of myocarditis and
4 pericarditis in the first one to seven days of receipt
5 of any dose of mRNA COVID-19 vaccines for the four
6 databases. So, the vertical axis here is by age, so
7 the upper most there is the youngest age group, 18 to
8 25. The horizontal axis here is the incidence rate,
9 and that it's per-million person per days.

10 Overall, as you can see here, you see colored
11 dots and whiskers and that denotes the incidence rate
12 and the corresponding 95 percent confidence interval
13 for each of the databases here. In general, we can see
14 that the incidence rates vary across the four
15 databases, a wide confidence interval.

16 As you can also see, the highest actually is
17 in 18 to 25 years of age. In our analysis, we saw that
18 the highest risk is actually in the 18 to 25 years,
19 male, post-dose 2. With that, one thing also to note
20 that these events here are not -- have not yet been
21 confirmed with medical charts and medical chart

1 confirmation is underway.

2 The highest risk of -- sorry, for highest
3 incidence rates of myocarditis and pericarditis, we're
4 looking at the age group of 18 to 25 males after dose 2
5 (inaudible) that the dose here actually -- for this
6 post-dose 2, the incidence rates here vary across these
7 four databases, and this actually went from 5 to 37
8 per-million person-days.

9 We compared between Moderna and Pfizer this
10 incidence rate. We used a retrospective comparative
11 cohort study design, and what we did was that we
12 compared the post-vaccination rates in the first one to
13 seven days of each dose. We also adjusted for the
14 (inaudible) that the BEST sample size permits that we
15 used in the incidence rates.

16 Among the males 18 to 25, there's a total of
17 1.16 million mRNA vaccine doses of which 750,000
18 Pfizer, 410,000 is Moderna. For this analysis, there's
19 a total of 68 events that we see here. As you can
20 tell, most of the events are actually in dose 2
21 (inaudible) analysis by dose. The conclusions are

1 somewhat actually similar for any dose in dose 2, so,
2 in my next slide, I'll be showing you results for any
3 dose. In this case, this will be comparing between
4 Pfizer and Moderna incidence rates.

5 This slide shows you the incidence rates ratio
6 of myocarditis and pericarditis comparing Moderna
7 versus Pfizer and that will be the reference. This is
8 for as much and the highest group. The group at the
9 highest risk is male, 18 to 25 years, any dose. What
10 you see here actually on the horizontal axis is the
11 incidence rate ratio, and that once again is -- that
12 compares between Moderna and Pfizer.

13 The dotted line here actually denotes the rate
14 ratio of one that indicates that that's no difference
15 in risk between Pfizer and Moderna. So, the incidence
16 rate ratio is on the right of this dotted line, then
17 represents a high incidence rate ratio for Moderna and,
18 on the left, a high incidence rate ratio for Pfizer.

19 As you can see here, the top -- well,
20 actually, the first top four is incidence rate ratio
21 estimates in our four databases here that (inaudible)

1 among those. In three of these, actually, the
2 (inaudible) now. So there was no (inaudible) elevated
3 risk here. One of these here, the data pack number 4,
4 there's an elevated risk and it's based on 20 events.

5 BEST also evaluated a data system, which means
6 that we were able to take advantage of (inaudible)
7 protocol and common data elements combined these
8 estimates, and this is particularly helpful for rare
9 outcomes in -- for example in myocarditis/pericarditis.

10 So, we summarized these incidence rate ratios
11 and this is represented in the rate or dot with the
12 whiskers here in random-effects meta-analysis. Here,
13 we see that there isn't a significant elevated risk.
14 However, this could be as low as 0.56 and as high as
15 2.6.

16 In summary, in our year-study of four large
17 client databases covering 18 million persons annually
18 with 21 million mRNA vaccine doses, our preliminary
19 results have shown that incidence rates is highest in
20 males at 18 to 25 post-dose 2. However, as you can
21 tell there is a wide range of incidence rates among

1 these four databases with wide confidence intervals.

2 For incidence rate ratios, estimates this
3 compares between the Moderna and Pfizer, the current
4 preliminary results do not support a significant
5 difference for males 18 to 25 years. We do want to
6 note that these estimates have very large uncertainty.
7 As you can tell, this is due to small numbers of
8 observed events for this rare outcome, and we also
9 partially adjust -- well, we adjusted for some
10 potential confounders. So we cannot exclude that these
11 estimates may actually be biased.

12 It has come to our attention and we -- and our
13 understanding that maybe some results are from other
14 surveillance systems. As of this meeting, we are
15 involved in communication with some of them, we have
16 not actually independently reviewed, verified the
17 underlying data for the conclusions.

18 We do want to note that our understanding is
19 that the results that we just shared with you, it
20 probably comes from the largest studies in terms of --
21 for this very rare outcome, actually. Also, the

1 (inaudible) just shared with you, it takes into context
2 of the various limitations that I actually summarized
3 during this result interpretation.

4 I'd like to thank all the multiple and various
5 collaborators who contribute to this work and who has
6 worked with us: the FDA BEST coordinating center Acumen
7 and our data partners who contributed to the analysis,
8 CVSHealth, Optum, IQVIA/HealthCore, Blue Health
9 Intelligence, all of our FDA colleagues and federal
10 partners. This concludes my presentation. Thank you.

11

12

Q&A SESSION

13

14 **DR. ARNOLD MONTO:** Thank you both very much.
15 The presentations were very clear and helpful. We have
16 a very few minutes now for questions, but we have a
17 much longer time after lunch for more broad questions
18 of both the sponsor and the FDA presentations. I'd
19 like to restrict the few minutes we have now for
20 questions concerning the most recent presentation, the
21 myocarditis/pericarditis presentation. So, if you

1 aren't asking about those, please lower your hands.
2 Keep them up if you want to ask about this most recent
3 presentation, and then we'll get back to it later.

4 Dr. Moore?

5 **DR. PATRICK MOORE:** I believe this is about
6 the myocarditis issue because the data is being
7 presented on the 206 study is really quite complicated
8 to me.

9 First, I want to say thank you so much to the
10 FDA for their analysis of the Moderna data. I think it
11 may be just me, but perhaps other members of the
12 Committee are confused as well.

13 I found that the FDA's clarification made a
14 great deal of sense of the data that's being presented,
15 but much of the data that was presented was on a
16 vaccine that we have not authorized, and no one is
17 actually receiving and will not receive a booster, and
18 that is two 50-milligram doses followed by a 50-
19 milligram booster. That's not EUA approved.

20 What is approved is two 100-milligram doses
21 followed by a 50-milligram dose. The reason why I say

1 that that may be related to the myocarditis issue is
2 because, if we're looking at any serious adverse
3 effects and we're mixing all those people together,
4 we're going to be underestimating because, if there's a
5 dose-response effect on myocarditis, who's going to be
6 less if you're mixing a lower-dose vaccine that is not
7 being used together with the remaining data.

8 Similarly, for immunogenicity, with a lower
9 dose vaccine, you are going to have a lower, one
10 assumes, basal reactivity and a boost will obviously
11 increase the relative amount of immunogenicity compared
12 to the vaccine that's currently being given.

13 While the FDA personnel are here, I just want
14 to know, am I confused, or did I more or less describe
15 the data as it was presented and what is being seen?
16 We should just be looking at the 149 people in the 206
17 study that had 100 milligrams of vaccine for their
18 primary series.

19 **DR. ARNOLD MONTO:** Yeah. Could we have some
20 clarification? Dr. Fink?

21 **DR. DORAN FINK:** I can clarify that the

1 primary analyses that FDA considered in its review of
2 the Moderna submission was the cohort of study
3 participants who were vaccinated with the two-dose
4 series of 100 micrograms each followed by a 50-
5 microgram booster dose, which is what Moderna is
6 requesting for emergency use authorization.

7 We additionally considered safety data namely
8 in terms of serious adverse events for the additional
9 cohort of subjects who received a 50-microgram two-dose
10 series prior to a 50-microgram booster. I would
11 mention that really the sample size is sufficient for
12 characterizing common adverse reactions, but in order
13 to assess for rare adverse reactions such as
14 myocarditis, one would really need a significantly
15 larger safety database by orders of magnitude and that
16 is really for post-authorization surveillance to
17 address.

18 **DR. ARNOLD MONTO:** Dr. Fink, the materials
19 that Dr. Wong presented was the authorized dose,
20 correct?

21 **DR. DORAN FINK:** That is correct. The

1 material that Dr. Wong presented was from BEST analyses
2 of the 100-microgram two-dose series as used in the
3 U.S. under emergency use authorization.

4 **DR. ARNOLD MONTO:** Thank you. Final question
5 before lunch break from Dr. Rubin.

6 **DR. ERIC RUBIN:** Thanks for the nice
7 presentation. Just a quick question. Do you have an
8 idea of the specificity of the diagnosis from the
9 diagnostic codes? In previous work, we're looked at
10 diagnostic codes.

11 **DR. HUI-LEE WONG:** Thank you. Currently,
12 we're doing chart review for that, but we do not have
13 that currently right now.

14 **DR. ARNOLD MONTO:** Okay. We have a full 45
15 minutes after lunch and the public presentations to get
16 back to all these. So, note your questions, and we'll
17 take them on the 45 minutes for robust discussion. So
18 we break now for lunch, and also for the open public
19 hearing. The full meeting, other than the open public
20 hearing, resumes at 2:00 p.m. Eastern.

21 **MR. MICHAEL KAWCZYNSKI:** All right. I'm going

1 to take us to lunch. So, thank you while we get set
2 for lunch.

3

4

[LUNCH BREAK]

5

6

OPEN PUBLIC HEARING

7

8

MR. MICHAEL KAWCZYNSKI: Okay. Welcome back

9

from our little lunch break to the 169th VRBPAC

10

meeting. Dr. Monto, if you're ready, take it away.

11

Hold on second. Somebody unmuted somebody. All right.

12

Dr. Monto, take it away.

13

DR. ARNOLD MONTO: Okay. Welcome to the open

14

public hearing session. Please note that both the Food

15

and Drug Administration and the public believe in a

16

transparent process for information gathering and

17

decision-making. To ensure such transparency at the

18

open public hearing session of the Advisory Committee

19

meeting, FDA believes that it is important to

20

understand the context of an individual's presentation.

21

For this reason, FDA encourages you, the open

1 public hearing speaker, who at the beginning of your
2 written or oral statement advise the Committee of any
3 financial relationship that you may have with the
4 sponsor, its product, and if known, its direct
5 competitors. For example, this financial information
6 may include the sponsor's payment of expenses in
7 connection with your participation in this meeting.

8 Likewise, FDA encourages you at the beginning
9 of your statement to advise the Committee if you do not
10 have any such financial relationship. If you choose
11 not to address this issue of financial relationships at
12 the beginning of your statement, it will not preclude
13 you from speaking. Over to you, Prabha.

14 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Monto.
15 Can you all hear me?

16 **MR. MICHAEL KAWCZYNSKI:** Yes, we can.

17 **DR. PRABHAKARA ATREYA:** Okay. Thank you.
18 Before I begin calling upon the registered speakers, I
19 would like to add the following additional information
20 for the record.

21 FDA encourages participation from all public

1 stakeholders in the decision-making process. The FDA
2 Advisory Committee meeting includes an open public
3 hearing session, during which interested persons may
4 present relevant information or their views.

5 Participants during the OPH session are not
6 FDA employees or members of this Advisory Committee.
7 FDA recognizes that the speakers may present a range of
8 viewpoints. These statements made during this open
9 public hearing session reflect the viewpoints of
10 individual speakers or their organizations and are not
11 meant to indicate Agency agreement with the statements
12 made.

13 With this guidance, I would like to now state
14 that each speaker has five minutes to make his or her
15 remarks. The first two speakers will utilize
16 PowerPoint slides, while others simply make oral
17 remarks. Thank you and the first speaker is Benjamin
18 Newton. Can we have his slides and his presentation,
19 please?

20 **MR. BENJAMIN NEWTON:** Hi. Thank you. My name
21 is Ben Newton. I'm here to speak today about how we

1 can save the most lives. We should approve boosters,
2 heterologous boosters, and vaccines for children.

3 Slide 2. What could we have done? We
4 could've authorized tests as soon as they were
5 developed. Instead, we sent cease and desist letters
6 to the first people detecting community spread. We
7 could have authorized vaccines in July of 2020 based
8 upon safety data in Phase 1 and 2 studies and animal
9 trials. Instead, we waited months after 90 percent
10 efficacy was demonstrated.

11 We could've authorized micro doses so that 100
12 times as many people could've been protected at any
13 given time. Instead, even though we knew that a 50-
14 microgram dose of mRNA-1273 elicited the same antibody
15 response with fewer side effects, we insisted on a 100-
16 microgram dose, killing tens or hundreds of thousands
17 who couldn't be vaccinated. We could've lived in an
18 alternate universe where Delta never developed, but we
19 chose to be precisely wrong instead of approximately
20 correct.

21 Slide 3. As you all know, the FDA has an

1 animal rule. It is possible to approve vaccines
2 without full-scale human testing of efficacy by using
3 human safety data and animal efficacy data. We chose
4 not to use this rule for COVID-19, which cost tens or
5 hundreds of thousands of American lives.

6 Slide 4. In July of 2020, animal challenge
7 trials had already made its way to *New England*
8 *Journal's Medical*. It was widely known that vaccines
9 equaled faster viral clearance.

10 Slide 5. In August of 2020, we saw a nature
11 that micro doses protected animals. So, one 100 dose
12 would provide significant protection against severe
13 disease. There was no risk of vaccine-enhanced
14 respiratory disease. We could significantly decrease
15 dosing safely for children because there was not a
16 Goldilocks zone. Any tiny dose was better than no
17 dose.

18 Slide 6. We looked at the Moderna and Pfizer
19 data from their original EUA filings and saw a 90
20 percent efficacy 14 days after the first dose. Once
21 the DSMB had this data, they likely contacted the FDA

1 to ask for a pause of the trial and the FDA said no.
2 How many additional people died because of that single
3 decision?

4 Slide 7. When was 90 percent efficacy
5 reached? About August of 2020, you can see from trial
6 enrollment. To know for sure, you would have to FOIA
7 the underlying data. So the FDA refused my request for
8 the data.

9 Slide 8. Merck developed an antiviral drug,
10 and the FDA paused the trial once 50 percent efficacy
11 was reached. Vaccines reached 50 percent efficacy in
12 Phase 1 or 2 trials by matching participants to the
13 general population. In endemic respiratory disease,
14 there was a 100 percent chance of catching it, which
15 means that the standards for treatments and vaccines
16 approval should be identical.

17 Slide 9. Adenovirus vaccines require
18 heterologous boosting. All the regulators knew this
19 and encouraged heterologous boosting months ago, even
20 for heads of state.

21 Slide 10. Since April, we have had a very

1 helpful rubric. Once you know the amount of antibody
2 increase from a boost, you can accurately predict the
3 change in efficacy.

4 Slide 11. Chinese regulators on June 4th
5 approved vaccines for children aged 3 and older. The
6 American Academy of Pediatrics on August 5th
7 recommended that we approve pediatric vaccines, based
8 upon sero-bridging data. Pfizer, on September 20th,
9 released data suggesting vaccines for children were
10 safe and effective. DSMBs have already seen everything
11 necessary to prove children's vaccines. Just because
12 we have not seen the data, doesn't mean the data
13 doesn't exist. Pulling less hard on a syringe does not
14 require anything complex from an approval standpoint.

15 Slide 12. Everyone here went into medicine to
16 save lives, but today, we are killing people. Not by
17 our actions, but by our inactions. If you withhold
18 care from someone who needs it, that is no different
19 than providing bad care. We falsely believe that it is
20 safe to wait when waiting kills and maims thousands of
21 people each day. Is there any potential that vaccines

1 could be more dangerous than COVID? No.

2 In fact, the most significant risk associated
3 with vaccinations not even acknowledged by the FDA is
4 the risk of driving your car to get vaccinated. Today,
5 the FDA is preventing J&J recipients from receiving
6 heterologous boosts. The people who took that vaccine
7 acted in good faith and took whatever was available
8 when we all knew that the Moderna vaccine was the best
9 one from Phase 1 data alone.

10 The FDA is preventing many Moderna and Pfizer
11 recipients from receiving boosts, and the FDA is
12 preventing children from being vaccinated. We are
13 failing to protect those too weak to protect
14 themselves. Today, a child died because the FDA
15 prevented her from being vaccinated. One father, just
16 like me or you, lost his daughter because he wanted to
17 send her to school. I thank you for your time.

18 **DR. PRABHAKARA ATREYA:** Thank you. The next
19 speaker is James Rios.

20 **MR. JAMES RIOS:** Hi, my name is James Rios. I
21 have no financial relationships to disclose. I'm

1 pursuing my master's at --

2 **DR. PRABHAKARA ATREYA:** Go ahead, James.

3 **MR. JAMES RIOS:** Okay. I'm pursuing my
4 Master's in Economics at Florida International
5 University, and I'm currently in the midst of an
6 internship with the Vaccine Considerations Project.
7 While the Vaccine Considerations Project has encouraged
8 and supported me, in applying for and preparing for
9 this presentation opportunity, all the assessments and
10 recommendations I'll be sharing are my own and may be
11 different from the neutral stance of the Vaccine
12 Considerations Project.

13 All the peer-reviewed research papers and
14 other reference materials that I used to create this
15 presentation are available live on
16 vaccineconsiderations.com right now. If you have the
17 ability, I encourage you to follow along on
18 vaccineconsiderations.com right now.

19 Next slide, please. My intention is to open a
20 discussion that will address the need to increase trust
21 in new vaccines. States across the country are

1 encountering hesitancy and resistance to getting
2 vaccinated among their populous. The overall success
3 of new vaccines will rely on more than the public fully
4 accepting these vaccines into their everyday lives. It
5 is critical that the FDA and these new vaccines
6 producers create communication guidelines in order to
7 identify, clarify, and explain potential risks.

8 Here are a few of the suggestions from the
9 2019 CDRH communication guides. One, further expand
10 the reach of communications. Two, clarify the FDA's
11 role. Three, constant outreach and accurate
12 information to promote understanding, trust, and
13 adaptation. I encourage a full mechanism to be
14 developed moving forward.

15 Focusing on increasing trust and credibility
16 through mechanisms and systems that produce consistent
17 and scientifically accurate information regarding the
18 vaccine will reduce uncertainty regarding the vaccine.
19 This will hold long-term implications as people learn
20 to trust the information they consume through these
21 systems. Next slide, please.

1 Even before the pandemic, it was common for
2 patients to seek information about health conditions
3 and treatment options from health-related sites and
4 sources of information on the internet. As the
5 pandemic began to spread, individuals once again turned
6 to the internet for information. According to many
7 experts, including Dr. Akpan, the effect of the lagging
8 responses by government and public health agencies to
9 prioritize the dissemination of information about the
10 coronavirus outbreak drove many back to the sources
11 they were familiar with.

12 The vacuum and the supply of information
13 regarding COVID-19 was then filled by popular media
14 producers, on social network platforms, news platforms,
15 websites, and blogs with unsubstantiated, incorrect
16 data, or misinformation. To understand consumer
17 perspectives, recent studies have employed an
18 epidemiology approach or method, which is designed to
19 measure and track health information, demand, and
20 supply by analyzing search queries, or social network
21 communications.

1 Other studies have focused directly on patient
2 education and intervention or internet technology
3 application. The overarching conclusion in these
4 studies is the individual is becoming a savvy patient
5 consumer. A savvy consumer is a consumer who is media
6 literate, knowledgeable about marketing and targeting,
7 as well as cynical about advertising, and can see
8 through the traditional sales pitch. Next slide,
9 please.

10 In trying times, some have come to expect
11 extreme solutions is the only methods for progress.
12 However, I do not believe we're at such a point. This
13 Committee and others like it are charged with putting
14 the patient consumer first above all else. I implore
15 you to continue to do so by making it a priority to
16 build trust and credibility parallel to addressing
17 efficacy and safety and concerns.

18 Increasing levels of trust and credibility
19 should become an iterative process at every level
20 through business development, regulatory approval, and
21 finally communications with the patient consumer. This

1 is why I encourage the Committee to continue these
2 events and increase its focus on the mechanisms and
3 systems most efficient at taking on the tremendous task
4 of organizing consistent and scientifically accurate
5 information regarding the vaccine.

6 Taking these steps now could prevent future
7 hesitancy with new medical technology as patient
8 consumers begin to trust these reputable sources. Next
9 slide, please.

10 As a reminder, all the peer-reviewed research
11 papers and other reference material that I used to
12 create this presentation are available live on
13 vaccineconsiderations.com right now. I encourage you
14 to dig deeper. Thank you to the Committee and thank
15 you all for your time.

16 **DR. PRABHAKARA ATREYA:** Thank you, Mr. Rios.
17 The next speaker is Karen Azarian.

18 **MS. KAREN AZARIAN:** Hello. My name is Karen
19 Azarian. I have no financial relationships with the
20 sponsor, its products, or any competitors.

21 The Committee's decision whether to recommend

1 the Moderna booster for each of the three populations
2 and your question today will require making a single
3 risk-benefit assessment for different groups of people
4 within each population.

5 One of those groups is a community of people
6 who have intellectual and/or developmental
7 disabilities. I'd like to highlight the high risk of
8 severe COVID, the high exposure, low vaccination rates,
9 and current lack of requirements for vaccines and rapid
10 testing among people with IDD and the people who
11 support them -- the factors that should be considered
12 when weighing the risks and benefits of a booster.

13 I respectfully ask the Committee to consider
14 the public health impact of your decision, specifically
15 for people with IDD, and, if you decide not to
16 recommend emergency authorization for the broader
17 populations at this time, to recommend it for people
18 with IDD who received the Moderna vaccine more than six
19 months ago and for those who support them.

20 People with IDD are at high risk of being
21 infected with and dying from COVID and are often

1 included in high-risk groups as a result. As Jonathan
2 Gleason and others wrote in the *New England Journal of*
3 *Medicine Catalyst*, March 5th, 2021, a cross-sectional
4 study of over 64 million patients across 547 healthcare
5 organizations, quote, "Reveals that having an
6 intellectual disability was the strongest independent
7 risk factor for presenting with the COVID-19 diagnosis,
8 and the strongest independent risk factor other than
9 age for COVID-19 mortality."

10 A person with IDD, who's been fully vaccinated
11 and who lives in a certified group home in New York,
12 for example, is supported by staff who have a statewide
13 vaccination rate with at least one dose of 36.3
14 percent. They may attend a day program where the staff
15 have a vaccination rate of 34.4 percent, and where they
16 interact with peers who have a vaccination rate of 47.7
17 percent.

18 There are currently no vaccine or rapid
19 testing requirements that apply to either staff or
20 individuals with IDD in New York, other than in state-
21 run homes. All figures are from New York's Office of

1 People with Developmental Disabilities as of September
2 10th, 2021.

3 As you decide whether to wait for more data or
4 how to balance competing public health interests, I ask
5 you to consider that in New York, the case fatality
6 rate for people with IDD for COVID is 7.7 percent.
7 Even mild cases can have a disproportionate impact on
8 the system of supports. And, as the pandemic takes its
9 course, a person who has IDD often can't avoid exposure
10 or maintain social distancing in their home.

11 Many of the people with IDD in New York who
12 completed the Moderna series did so in January and
13 February, more than eight months ago. The Committee
14 may question whether the data sufficiently demonstrates
15 the need for, or the effectiveness, of a Moderna
16 booster. Nevertheless, I ask the Committee to consider
17 the factors I outlined for people with IDD and those
18 who support them.

19 I believe they support recommending an
20 emergency authorization of a booster for this Committee
21 whether homologous or heterologous as was done last

1 month for people who are immunocompromised, if not for
2 each of the broader populations. Thank you for the
3 opportunity to speak and for your work.

4 **DR. PRABHAKARA ATREYA:** Thank you, Ms.
5 Azarian. The next speaker is Mr. Burton Eller.

6 **MR. BURTON ELLER:** Good afternoon. My name is
7 Burton Eller, and I am the (audio skip) from the
8 National Grange in the advocacy arena. I'm the
9 director of policy and advocacy. The National Grange
10 is America's oldest agricultural, rural life, and small
11 citizen advocacy organization. An important factor
12 impacting the health of rural Americans is a
13 significant number of disparities that increase our
14 vulnerability to certain conditions and, at the same
15 time, impede our access to care and treatment.

16 Here are a few examples. Since 2015, 181
17 rural hospitals have permanently closed depriving
18 surrounding populations with timely access to
19 everything from emergency care to disease management
20 and prevention. Despite recent advances, 20 percent of
21 our population still cannot access high-speed

1 broadband, which essentially eliminates their access to
2 virtual clinical care.

3 In comparison to urban and suburban areas,
4 there are far fewer providers of rural health and their
5 resources. Moreover, rural patients often have to
6 drive significant distances to reach those that are
7 available. The COVID-19 pandemic has brought new
8 challenges to the importance and urgency of addressing
9 these disparities.

10 Throughout its existence, the National Grange
11 and its state and local chapters have advocated for
12 educational outreach, sound public policy, and adequate
13 resources to protect in advance of rural health. That
14 has not changed, nor will it. Today, as the expansion
15 of protection through boosters is being considered, we
16 want to thank the FDA for its work and leadership.

17 We respectfully ask that the Committee keep in
18 mind during its deliberations the access challenges
19 that face the population we are proud to serve and the
20 frontline healthcare workers who care for us. As we
21 represent rural Americans across all generations, we

1 look forward to FDA's upcoming assessment of COVID-19
2 vaccines for our younger children as well.

3 We welcome the actions of FDA in this and all
4 matters so important to our health. Thank you for the
5 ongoing commitment to protecting Americans against
6 COVID-19.

7 **DR. PRABHAKARA ATREYA:** Thank you, Mr. Eller.
8 The next speaker is Thair Phillips.

9 **MR. THAIR PHILLIPS:** Thank you. Good
10 afternoon. My name is Thair Phillips of Seniors Speak
11 Out. I have no financial relationship to disclose.

12 For the 20 years before I became eligible for
13 Medicare and the eight years since, I have been an
14 advocate for the concerns of older American. As a
15 military veteran, I have a special interest in all our
16 veterans. I want to start by thanking this Committee
17 for your unending commitment to ensuring the COVID-19
18 vaccines are safe and effective for as broad a
19 population of Americans as possible.

20 From the early days of the pandemic, it was
21 clear that the threat of COVID-19 was particularly high

1 for people 65 years and older due to our weakened
2 immune responses and increased likelihood of chronic
3 conditions. Ensuring these most vulnerable members of
4 our society had access to effective and safe vaccines
5 to prevent the onset of serious respiratory illness was
6 a critical first step toward slowing the spread and
7 impact of this deadly virus.

8 While some chose to ignore the science-based
9 recommendations, it quickly became apparent that these
10 vaccines were the right medicine to concur this deadly
11 virus. For our part, older Americans have stepped up
12 to the plate and take an action to protect both
13 ourselves and our families from COVID-19. Older
14 Americans leave the country in protecting ourselves
15 from COVID as those 65 and older have the highest rate
16 of vaccination among all age groups with 89 percent
17 having received at least one dose compared with 68
18 percent for people ages 18 to 64.

19 Now, we once again look to the FDA for
20 guidance on how to continue to take the appropriate
21 steps to provide ourselves with the strongest

1 protection possible against COVID-19 with the booster
2 vaccine.

3 We are encouraged by the current vaccine's
4 ability to greatly reduce the risk of hospitalization
5 and deaths from COVID-19 and know the lives of
6 thousands of seniors have been saved as a result. As
7 the science continues to evolve, we believe ensuring
8 broad access to the booster dose will provide an added
9 layer of protection so that we as a nation can continue
10 to watch the rate of COVID cases declining.

11 We know that we are not only taking this
12 action to protect ourselves, but also to help stop the
13 spread and impact on younger generations who are not
14 yet eligible for the vaccines. We are grandparents,
15 aunts, uncles, teachers, mentors, and friends who are
16 eager to see all generations obtain protection from
17 this virus.

18 Just as you have worked diligently to ensure
19 safe and effective vaccines are available to a broad
20 population of Americans, we look forward to seeing the
21 youngest generation have access to needed protections

1 as well. I thank you for this opportunity to speak on
2 this important issue.

3 **DR. PRABHAKARA ATREYA:** Thank you, Mr.
4 Phillips. The next speaker is Ms. Lynda Dee.

5 **MS. LYNDA DEE:** Hi, yeah. Good afternoon. My
6 name is Lynda Dee. I have been an AIDS activist for 35
7 years and have served as the community representative
8 on many feeder antiviral advisory committee hearings.
9 I have no conflicts.

10 I usually address scientific and regulatory
11 issues at VRBPAC meetings. Today, I intend to shine a
12 light on Moderna's failure to provide mRNA-1273
13 vaccines to low- and middle-income countries with few
14 exceptions. Unless we begin vaccinating the entire
15 world in earnest, SARS-CoV-2 mutations will continue to
16 develop. We will continue to need boosters and the
17 pandemic will never end. It will certainly not be over
18 by next year.

19 International variants have continued to
20 plague us, including variants from the United Kingdom,
21 Brazil, South Africa, and now the Delta variant from

1 India, which is the most transmissible and virulent to
2 date. If anything, international travel has steadily
3 been increasing with no signs of decreasing.

4 Messenger RNA vaccine technology was developed
5 by U.S. government researchers. According to *The New*
6 *York Times*, our government contributed \$300 billion to
7 Moderna in research and clinical trial support and
8 another 1.5 billion for pre-ordered, unproven vaccines.
9 Taxpayer dollars also pay Moderna 15 to 16.50 per U.S.
10 dose. Moderna's 2019 revenue was 60 billion. Their
11 projected income for 2021 is 20 billion with
12 approximately 14 billion in profits. Moderna's market
13 value has tripled and is now about 120 billion.

14 Forbes lists two Moderna founders among the
15 400 richest people in the United States. Yet, Moderna
16 has provided its vaccine to wealthy countries to the
17 exclusion of low- and middle-income countries more than
18 any other vaccine manufacturer. Moderna has provided
19 eight times less vaccines than Pfizer and 25 times less
20 than Johnson & Johnson to World Bank classified low-
21 income countries.

1 The few middle-income countries that do have
2 contracts with Moderna are paying more per dose than
3 both the United States and the European Union. The
4 Biden Administration has expressed dismay about
5 Moderna's international vaccine allocations and has
6 called for Moderna to produce more vaccine for
7 international donation and to license their
8 technologies to overseas manufacturers that are able to
9 produce the vaccine for international use.

10 Licensing their technology would be the
11 quickest way to begin vaccinating the rest of the
12 world, but it would also mean Moderna might lose
13 potential profits from the development of mRNA vaccines
14 for other diseases such as cancer and HIV. VRBPAC
15 recommending the authorization of a 50-microgram
16 booster dose of 1273 will also increase the
17 availability of vaccine doses.

18 While Pfizer has agreed to sell low-cost
19 vaccine doses to the U.S. for overseas donation,
20 Moderna has not. Meanwhile, only 10 percent of people
21 in Africa and the Middle East have been vaccinated.

1 Moderna has stated that low- and middle-income
2 countries will receive vaccines after its commitment to
3 developed countries have been fulfilled. Moderna has
4 not delivered any of the 34 million vaccine doses
5 promised to the United Nation's COVAX program or the
6 500,000 doses promised to Botswana.

7 Other international shipments are not slated
8 until next year. If we are going to successfully
9 combat COVID-19 and prevent the possibility of our
10 current vaccines from eventually being overtaken by
11 even more virulent variants, we must ensure that the
12 entire world has vaccine access.

13 It is not only the right thing to do; it is
14 also the scientifically sound thing to do to end the
15 pandemic by reducing continuous viral replication and
16 possibly even reducing the necessity of continuous
17 administration of boosters in the future. Thank you
18 for the opportunity to comment and for your dedicated
19 service.

20 **DR. PRABHAKARA ATREYA:** Thank you, Ms. Dee.

21 The next speaker is Dr. Michael Carome.

1 **DR. MICHAEL CAROME:** Good afternoon. I'm Dr.
2 Michael Carome, Director of Public Citizen's Health
3 Research Group. I have no financial conflicts of
4 interest.

5 Public Citizen's supported the initial
6 emergency use authorization of the primary two-dose
7 series of the Moderna COVID-19 vaccine because clinical
8 trial data demonstrated that the vaccine was highly
9 effective and safe. Importantly, data from
10 observational studies summarized by the CDC at the
11 September 2021 meeting of VRBPAC indicated that the
12 primary series of the Moderna COVID-19 vaccine
13 continued to afford robust protection against severe
14 COVID-19 disease and death in the U.S.

15 Although there may be a role for a booster or
16 a third dose of the Moderna vaccine in certain
17 populations, such as individuals 65 years of age or
18 older, who are at least six months post-completion of
19 the primary series, we want to highlight three
20 limitations regarding the data submitted in support of
21 Moderna's request for an EUA for such booster doses.

1 First, the efficacy of booster doses of a
2 vaccine against symptomatic or severe COVID-19 disease
3 was not evaluated in the Phase 2 clinical trials of the
4 booster. Second, the subject population enrolled in
5 the Phase 2 clinical trial was not representative of
6 the racial and ethnic diversity of the U.S. population.
7 Specifically, with regards to race, the subject
8 population was 95.3 percent white, only 2.3 percent
9 black or African American, 0.9 percent Asian, 0.6
10 percent American Indian or Alaska native, and 0.3
11 percent native Hawaiian or other pacific islander.
12 Then with regards to ethnicity with 93.8 percent not
13 Hispanic or Latino and only 7.6 percent Hispanic or
14 Latino.

15 In contrast, the U.S. population, according to
16 the 2020 U.S. Census is 61.6 percent white, 12.4
17 percent black or African American, 6 percent Asian, 1.1
18 percent American Indian or Alaska native, and 0.2
19 percent Native Hawaiian or other pacific islander, and
20 any 1.3 percent not Hispanic or Latino versus 18.7
21 percent Hispanic or Latino.

1 So, significant overrepresentation of white
2 and not Hispanic or Latino populations and
3 underrepresentation of black or African Americans,
4 Asians, American Indians, and Hispanic or Latino
5 populations raises concerns about the generalizability
6 of the clinical trial findings to a large proportion of
7 the U.S. population.

8 Moreover, the lack of diversity in the
9 enrolled subject population indicates a failure of
10 Moderna and the trial investigators to ensure that
11 selection of subjects was equitable and satisfied the
12 basic ethical principle of justice articulated in the
13 1979 Belmont report that upon which human subject
14 protection regulations are founded.

15 Third, although no serious safety signals were
16 identified during the clinical trial of the proposed
17 50-microgram booster dose of the Moderna vaccine, the
18 safety database for this booster dose is very small,
19 and including only 171 subjects who received a 50-
20 microgram booster dose administered at least six months
21 after completion of a primary series of two 100-

1 microgram doses, the authorized doses under the initial
2 EUA granted by the FDA, and 173 subjects who received a
3 50-microgram booster dose administered at least six
4 months after completion of a primary series of two 50-
5 microgram doses, a dose not authorized under the EUA.
6 For the former subject group, median follow-up was just
7 5.7 months and a range of 3.1 to 6.4 months.

8 Finally, while the U.S. already is
9 implementing widespread distribution of COVID-19
10 vaccine boosters, the vast majority of people and low-
11 and middle-income countries have had no access to any
12 COVID-19 vaccine, let alone the highly effective mRNA
13 vaccines.

14 The world continues to suffer from an
15 artificial scarcity of high-quality COVID-19 vaccines
16 because governments are permitting drug corporations to
17 maintain monopolies. It is ethically imperative that
18 the U.S. government move to rapidly ramp up global
19 vaccine manufacturing so that every person on our
20 planet can be vaccinated. Thank you for your
21 attention.

1 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Carome.
2 Thank you all for your comments, and this concludes the
3 OPH session, open public hearing session. Now, I hand
4 the meeting back over to Dr. Monto. Dr. Monto, take it
5 away.

6 **DR. ARNOLD MONTO:** We are at the end of the
7 open public hearing. It would be great if we could
8 start the question and answer session at 1:45 Eastern.
9 Prabha and Kathleen, do you think that's going to be
10 feasible?

11 **DR. PRABHAKARA ATREYA:** Dr. Monto, it is now
12 1:20 p.m. in Eastern time. So, if you take a ten-
13 minute break, we could start earlier, then, maybe
14 around 1:30.

15 **DR. ARNOLD MONTO:** And the Committee members
16 are online?

17 **DR. PRABHAKARA ATREYA:** They are.

18 **DR. ARNOLD MONTO:** They know to start?

19 **MR. MICHAEL KAWCZYNSKI:** They are.

20 **DR. ARNOLD MONTO:** That's the thing that
21 worries me.

1 Yeah, I have a question for Moderna. I don't know if
2 Jacqueline is back in the hot seat. She is. Okay.
3 Thank you. Yes, so with regard to your immunobridging
4 analysis, it seems that that is predicated on the
5 assumption that the protection is mediated exclusively
6 by antibody response, specifically neutralizing titer.
7 And it's clear that, even when your neutralizing titer
8 levels drop, you're still seeing some degree of
9 protection. And that's not surprising, particularly
10 for severe disease because we would expect that there
11 would be hopefully some good cellular memory responses
12 that would be kicking in.

13 And so my question really gets to the heart of
14 -- at a lower dose, what is the impact on all of those
15 other protective effects? You're predicating
16 everything just on the neutralizing titer dose. So one
17 aspect is, are you actually going to be impacting the
18 decay kinetics of the antibody response, which seems to
19 be why you get breakthrough infections in the six to
20 eight months? So is it going to come sooner?

21 Secondly, what's the potential impact on the

1 waning immunity with regards to more severe disease,
2 hospitalizations, and death?

3 **DR. TINA MONGEAU:** Yes. Dr, Kurilla, that is
4 a very interesting and relevant question. If I implied
5 that neutralizing antibody, that I believe that's the
6 only element of protection that the vaccine's inducing,
7 then I apologize. I misspoke. We have Phase 1 data
8 demonstrating the induction of both CD4 and CD8 cells.
9 There clearly is some T cell work that is induced. The
10 other point, in collaboration with the CoVPN, where we
11 looked at correlates of risk, there was an estimate
12 that at least 40 percent or so of protection in our
13 recent publication is likely due to T cells.

14 There's one final line of evidence that
15 there's T cell immunity, and it comes a bit from the
16 exploratory analysis I showed you in the core deck
17 where you saw the increase in neutralizing responses
18 not only to the original strain but also to Beta, Gamma
19 and Delta. Those samples were actually taken at day
20 15. In the CoV study, we really didn't see full
21 neutralizing antibody titer until two weeks after the

1 second dose. After the first dose, even one month
2 afterwards did not see neutralizing antibody titers in
3 about half of the subjects.

4 That brisk return is certainly an indication
5 that immune memory has been established. That said, we
6 are still concerned about the breakthrough disease that
7 we've been observing in the participants in the CoV
8 trial and particularly the breakthrough cases that
9 we're starting to see in severe disease in the older
10 adults, which is why these data that we've investigated
11 earlier in the year we now have submitted for emergency
12 use to enable booster vaccination. We are going to
13 investigate immune memory further. We have an ongoing
14 collaboration with Washington University.

15 And as we continue to study the impact of
16 booster doses and the possibility in the future of
17 variant booster doses, one of our ongoing studies is
18 actually going to be looking at germinal centers,
19 memory B and memory T cells.

20 In summary, I think you're right, that T cell
21 immunity is contributing here. But nonetheless, we

1 continue to see breakthrough cases.

2 **DR. MICHAEL KURILLA:** One follow on, do you
3 have any evidence or experience with, perhaps, other
4 mRNA-based vaccines that you've worked with that would
5 suggest that a six-month boost is likely to lead to
6 better durability than what you've seen with what is
7 likely a suboptimal dosing interval of one month?

8 **DR. TINA MONGEAU:** We have ongoing vaccine
9 programs in CMV. CMV is the most advanced program
10 that's in a multidose usage. Subjects in Phase 1 and
11 Phase 2 clinical trials have been vaccinated at dose 1,
12 then two months later for dose 2, and then six months
13 after dose 1, four months after dose 2 for dose 3. In
14 CMV, we have also observed the induction of T cells.
15 We have antibody persistence data out to six months
16 after that third dose. We see persistence, but again
17 this is smaller sample sizes. I think that question
18 will probably be answered better in the Phase 3 trial
19 that we're about to launch.

20 **DR. MICHAEL KURILLA:** Thank you. Dr. Gans?

21 **DR. HAYLEY GANS:** Thank you very much. It's

1 wonderful for this opportunity to ask a question. I
2 did have a question about breakthrough disease.
3 Arnold, one question now, and then I'll come back
4 around if I have another question. Is that a good
5 idea?

6 **DR. ARNOLD MONTO:** Thank you. Appreciate
7 that.

8 **DR. HAYLEY GANS:** I guess my question, then,
9 right now will relate to safety. We've seen a lot of
10 data on the original safety for the two dose, but there
11 has been 1.5 million doses of the Moderna. We've seen
12 other data related to Pfizer. I'm wondering if someone
13 can give us any follow-up on safety data in the
14 (inaudible) people (inaudible). I realize they're
15 immunocompromised or whatever I know are not
16 necessarily relevant by the group (audio skip) hearing
17 today, but I'd like (audio skip).

18 **DR. ARNOLD MONTO:** Dr. Miller, can you answer
19 that, or should we refer that also to FDA?

20 **DR. JACQUELINE MILLER:** No, I'll be happy at
21 least start. I'll share with you the data that we're

1 aware of. So we have had the emergency use
2 authorization for the third dose in immunocompromised
3 population since about the middle of August. In that
4 subset, we have been reported to our pharmacovigilance
5 database 355 total events. The most commonly reported
6 adverse events that we have heard about really aligned
7 with the symptoms that we solicit as part of the
8 clinical trial process.

9 Fever was the most commonly reported event,
10 and it followed by headache, arthralgia, chills, and
11 myalgia. Overall, I think it's been a bit of a short
12 time period for us to really have data in that regard.
13 We are generating additional data in immunocompromised
14 patients, so we have an ongoing study in 240 renal and
15 liver transplant patients. We are offering all of
16 those patients a third dose, so we will be reporting
17 the safety data from that clinical trial as well.

18 Dr. Gans, if I may, you had asked me a
19 question before the break, and I was able to pull up
20 the slide showing the geometric mean ratios by age with
21 the immuno-persistence.

1 Panel 8 please. Thank you. What you see in
2 the top row of the table are the antibody persistence
3 results in the 18- to 64-year-olds in the left column
4 and the greater than 65-year-olds in the right column.
5 The Study 301 is pre-vaccination, and that's why the
6 titers are so low at 9 and 10. But in the older
7 adults, the pre-booster titers were 91. In the younger
8 cohort, they were 177. You see the post-vaccination
9 titers on the slide. It resulted actually in very
10 comparable geometric mean increases, so 1.7 for the
11 younger cohort, 1.9 for the older cohort. Thank you.

12 **DR. ARNOLD MONTO:** Dr. Hawkins.

13 **DR. RANDY HAWKINS:** Thank you very much, Dr.
14 Miller and to all the presenters. I'm a consumer
15 representative and a physician, private practice.

16 Can you respond to the criticism often levied
17 against Moderna, include today in the open public
18 hearing section? What is Moderna's commitment to CoVAX
19 and other steps it will take to help control the
20 pandemic in countries suffering disproportionately, and
21 can you give specifics?

1 **DR. JACQUELINE MILLER:** Yes, I'd be happy to
2 address that question. I'm actually going to refer you
3 to an open public letter that was published by our CEO
4 where he laid out a five-pronged strategy to address
5 COVID-19 disease in the developing world. The first
6 element refers to our announcement in October 2020 that
7 we were not going to pursue patent enforcement of our
8 mRNA technology for the duration of the pandemic. The
9 second has to do with the 50 million doses of a vaccine
10 that we've delivered to CoVAX through September of
11 2021. That was made possible by our pursuit of the
12 emergency use authorization letter from the WHO.

13 We've been meeting with the WHO and the SAGE
14 working group throughout our development. We have an
15 agreement to supply doses to CoVAX, 500 million doses
16 to CoVAX, in 2022. We have just announced that we will
17 be building a manufacturing facility in Africa. This
18 is important because it will be a localized
19 manufacturing facility in Africa for Africans.

20 We also have plans to distribute one billion
21 doses to low-income countries in 2022. Even though it

1 includes greater complexity, we're reducing the dose to
2 50 micrograms in order to try to make more vaccine
3 available for the world, so that frees up a billion
4 extra doses if we can have a booster dose.

5 **DR. RANDY HAWKINS:** Thank you very much for
6 that. Do you have a timeline on that manufacturing
7 plant in Africa?

8 **DR. JACQUELINE MILLER:** My sincere apologies.
9 I'm in the R&D group, so I'd have to check back with
10 other colleagues to be able to answer to that.

11 **DR. RANDY HAWKINS:** Thank you very much.

12 **DR. ARNOLD MONTTO:** Thank you. Dr. Perlman.

13 **DR. STANLEY PERLMAN:** I just had a question
14 about the myocarditis. I don't think we understand why
15 that occurs and the fact that it seemed like it might
16 have been occurring less after the third dose and the
17 second dose. I don't know if that's true, but it
18 seemed like that was the case. Does that give you any
19 insight into possible mechanisms because, of course,
20 the concern is, if you had immune response to the
21 vehicle or the product of the RNA, that that would get

1 worse potentially with repeated immunizations. But it
2 seems like it's not. Does that tell us anything? Does
3 Moderna know anything about possible mechanisms there?

4 **DR. JACQUELINE MILLER:** The mechanism of
5 action in question is one that's really important to us
6 as well because patient safety is of the utmost
7 importance. After the third dose, I think you
8 mentioned we don't have a lot of cases yet. I would
9 say we also don't have a lot of exposure yet. I wanted
10 to mention that, for that reason, we actually are
11 offering the 50-microgram booster to all of those
12 subjects in CoV or the Phase 3 Study 301. The reason
13 to do that is to investigate the vaccine in a larger
14 safety database as well as to generate additional
15 immunogenicity.

16 As part of that effort, we have enhanced the
17 clinical trial procedures to detect myocarditis. For
18 example, we're now screening subjects for myocarditis-
19 specific symptoms after vaccination. We are collecting
20 serum samples that we're banking in case a subject
21 should develop symptoms later and we need to test

1 troponins and compare to a baseline. We've convened an
2 adjudication committee composed of cardiologists
3 independent from the company who will be evaluating
4 these patients and advising us on what we should be
5 doing to investigate further.

6 The part of your question about the mechanism
7 is action though because in 25,000 subjects we are
8 probably not going to be able to tell too much about
9 myocarditis since this is such a rare event. We
10 believe that understanding the immune response that's
11 actually induced by the vaccine is really a critical
12 component. In addition to the mechanistic study that I
13 described in collaboration with Washington University,
14 we're also looking to do a mechanism of action study
15 comparing multiple antigens in our mRNA technology and
16 then looking at system serology afterwards. Hopefully,
17 as we continue to generate these data, we'll be able to
18 elucidate a greater understanding.

19 **DR. STANLEY PERLMAN:** Okay. Thank you.

20 **DR. ARNOLD MONTO:** Thank you. Dr. Levy.

21 **DR. OFER LEVY:** Thank you. I have a question

1 that's actually both for FDA and for Moderna. It has
2 to do with the data that's being presented today on
3 antibody responses to the mRNA vaccine, to the booster
4 dose. That makes a lot of sense to look at because we
5 have a lot of evidence in animal models and some
6 evidence emerging in humans that an antibody response
7 is relevant to protecting us against Coronavirus
8 infection and disease. That said, what's being
9 presented is very specific types of analysis, 4-fold
10 rises and other types of cutoffs to judge a quote
11 seroresponse.

12 All of this kind of begs the question of do we
13 know the correlative protection. There was already a
14 question about antibody responses versus cell-mediated
15 responses. I appreciated the response from Moderna on
16 that. I'm taking a step back and asking both FDA and
17 Moderna what is their best estimate of the antibody
18 response level that protects against infection and
19 against severe disease? I know research is ongoing,
20 but we're talking about a lot of very specific data on
21 antibody responses. We need a context to contextualize

1 those data. I'm wondering if FDA and Moderna could
2 comment on that. Thanks.

3 **DR. JACQUELINE MILLER:** I'm happy to start,
4 but then I will hand over to the FDA. Dr. Levy, your
5 question I think allows me maybe to expand a bit
6 further on the publication I spoke about earlier. As
7 part of the Study 301 and in our collaboration with the
8 COVID-19 Prevention Network, we utilize the
9 immunogenicity subset and examined, actually, correlate
10 of protection. We had baseline results in all
11 subjects. We made sure to sample subjects once they
12 had a case of COVID-19.

13 And we had a subset of immunogenicity in
14 patients that were non-cases and were able to analyze
15 antibody titers looking at individuals who received
16 placebo that got infected, individuals who had placebo
17 that did not get infected, and importantly mRNA
18 recipients who had breakthrough disease versus the rest
19 of the pool of mRNA recipients. We've published that
20 work on the medRx (phonetic) server, and it has been
21 submitted for peer-review publication.

1 But what we found was that for 50.8 percent of
2 the subjects the vaccine efficacy compared to
3 individuals that were vaccinated and unvaccinated with
4 messenger RNA was 50.8 percent if the antibody titer in
5 the breakthrough case was undetectable.

6 It was 90.7 percent for an antibody titer of
7 100. It was 96.1 percent for a titer of 1,000. While
8 this is not at all a validated correlate of protection
9 -- the data would need to be submitted to FDA and
10 undergo additional statistical review -- we believe
11 that that thousand benchmark really represents a
12 reasonable threshold that we should be targeting. It
13 also aligns nicely with the GMT that we saw post-
14 vaccination in the CoV study.

15 **DR. OFER LEVY:** Also to the comments from Dr.
16 Alroy in Israel, so that's a different product; it's a
17 Pfizer product. Again, they're not there yet to
18 announce an exact correlate. She talked about
19 breakthrough when the titers were in the hundreds.
20 Does FDA have a comment on this?

21 **DR. DORAN FINK:** I can comment. I wish I

1 could tell you what FDA thinks is the correlate of
2 protection. That would make all of our lives so much
3 easier, wouldn't it? But at this point, FDA's position
4 is that we don't have enough information to understand
5 what specific threshold of any immune response is fully
6 predictive of protection. In the meantime, we're
7 tasked with evaluating data and taking action to
8 address public health needs.

9 To do that, we are relying upon established
10 regulatory science and precedent, in which we use an
11 immunobridging approach based on an immune marker
12 which, although it may not be scientifically
13 established to predict protection at a given threshold,
14 we have reasonable enough confidence in the clinical
15 relevance. We use that immune marker to bridge back to
16 a dosing regimen in the population in which efficacy
17 has been demonstrated.

18 **DR. OFER LEVY:** Has the FDA made an estimate
19 of this number and is not free to talk about it? Is
20 that the situation?

21 **DR. DORAN FINK:** No. We are continuing to

1 await traditional data that are both from vaccine
2 manufacturers as well as U.S. government partners and
3 elsewhere.

4 **DR. OFER LEVY:** Okay. To recap, this 4-fold -
5 -

6 **DR. ARNOLD MONTO:** Thank you. Let's go on to
7 some other questioners. Dr. Chatterjee.

8 **DR. ARCHANA CHATTERJEE:** My question is
9 actually for Dr. Miller. I believe that you presented
10 data that the booster dose is less prominent in those
11 participants who had a higher pre-booster antibody
12 level compared to those had lower pre-booster antibody
13 levels. Do you have any kind of an explanation for
14 that because, when I think about those data, I think
15 about, okay, this is not the live virus. This doesn't
16 need to replicate. So why are we seeing this
17 difference in people who had higher pre-boost antibody
18 levels versus those who had lower pre-boost antibody
19 levels?

20 **DR. JACQUELINE MILLER:** Yes, Dr. Chatterjee.
21 Thanks for that question. I think it might help if we

1 put the slide back up. Could we please put up Panel 8?
2 Thank you. I believe this is the slide you were
3 referring to, where we showed that the subjects who did
4 not achieve the 4-fold rise had a pre-booster titer of
5 492. With respect to the reason why subjects may not
6 have responded as well, I'm going to start, but I'm
7 also going to ask for my colleague, Dr. Darin Edwards
8 in the research group, to contribute as well to the
9 response.

10 Overall, when there are preexisting
11 antibodies, our technology works through expression of
12 the protein antigen on the cell surface. Preexisting
13 antibodies can, I believe, bind to that cell surface
14 protein. I'm going to ask Dr. Edwards to come up and
15 explain further.

16 **DR. DARIN EDWARDS:** Thank you, Dr. Miller. My
17 name is Darin Edwards. I'm the director of immunology
18 within the Infectious Disease group at Moderna. As Dr.
19 Miller alluded to, the mechanism of action of our
20 vaccine is to deliver the spike protein mRNA to cells
21 where it is translated into protein and inserted into

1 the cell membrane of the expressing cell. That
2 protein, while present not only in the injection site
3 but also in the draining lymph node, is able to
4 activate the immune system.

5 However, it can be impacted by the presence of
6 preexisting antibody. That is a potential reason why
7 in the group that had a high baseline we see a lower
8 neutralizing antibody level after the booster.

9 **DR. ARCHANA CHATTERJEE:** Thank you.

10 **DR. ARNOLD MONTTO:** As we go forward, I just
11 want to remind the Committee that the discussion
12 question we're going to be asked later on -- and we are
13 going to have a chance to do a question and answer with
14 the sponsor at that point -- about other ages going
15 down in the discussion topic to 18. Let's keep that in
16 mind as we ask our questions. Dr. Moore.

17 **DR. PATRICK MOORE:** Hi. Clearly, this is not
18 an amazing new thing -- is that this epidemic won't end
19 until we end transmission, regardless of how effective
20 on an individual basis a vaccine is. What we saw was
21 that the FDA reported that Moderna had 18 cases post-

1 booster that were PCR or antigen positive. We don't
2 have a control group, so we don't have a vaccine
3 efficacy for asymptomatic or pre-symptomatic infection
4 and the protection against that. That's a really,
5 really, really critical thing for the ending of this
6 epidemic.

7 Do we have an idea of what it would take to be
8 able to shift to a Delta booster because people have
9 already had two, if I understand it correctly, Wuhan-1
10 sequence injections. Now they're getting a third
11 Wuhan-1 sequence. If you did shift to a variant of
12 concern booster, would you anticipate that you would
13 have increased protection against asymptomatic
14 infection or pre-symptomatic infection since those are
15 our best guess of inhibiting transmission?

16 **DR. JACQUELINE MILLER:** Yes. I think your
17 question maybe gives me the opportunity to review some
18 data first from an ongoing vaccine effectiveness study
19 because we take your point that, because all of the
20 placebo subjects have received vaccine, it's not a true
21 efficacy study anymore. But we are currently working

1 with Kaiser Southern California in a large-scale
2 vaccine effectiveness study where we're able to compare
3 vaccinated versus unvaccinated individuals. As was
4 noted earlier this morning, this kind of analysis has
5 some limitations because unvaccinated individuals don't
6 necessarily have the same behaviors as vaccinated
7 individuals. But it still, I think, provides at least
8 a value in understanding the data that we're seeing.

9 May we please show Panel 8? While we're
10 waiting for the slide to show up, I'll just say that we
11 have been following vaccine effectiveness in
12 approximately 1.1 million Kaiser numbers. The
13 effectiveness has been estimated not only overall but
14 also by variants of concern. So the slide that you see
15 now in the orange includes vaccine effectiveness
16 against all PCR samples that have been detected that
17 were not of the Delta variant.

18 I guess I should note here that, unlike most
19 effectiveness studies, we actually are sequencing every
20 subject that is a case in this observational study and
21 will be continuing this study into the period should

1 the booster dose be authorized. In green, you see the
2 vaccine effectiveness against the Delta variant. As
3 you can see, the vaccine effectiveness is still high,
4 but the Delta variant is clearly lower.

5 The other, I think, important point about the
6 Delta variant is, after initial vaccination, the
7 vaccine effectiveness actually was much higher. Delta
8 effectiveness was 94.1 percent between 14 and 60 days
9 after vaccination.

10 This declined to 80 percent 151 to 180 days
11 after vaccination. The waning of that effectiveness
12 was less pronounced for the other variants, indicating
13 that as the antibody titers wane, we are seeing also a
14 concurrent waning in vaccine effectiveness.

15 I'm sorry. Could you please remind me of the
16 second part of your question?

17 **DR. PATRICK MOORE:** The question is that,
18 obviously, if you have -- right now we're in the middle
19 of a Delta epidemic. So, if you have a better
20 antigenically fit booster, people were not really -- at
21 least I'm not terribly worried that we're shaping the

1 immune response such that it will not recognize earlier
2 variants because people have already seen those earlier
3 variants spike proteins because they've had two doses
4 of the Wuhan vaccine that has roughly 95 percent
5 vaccine efficacy. So, if they get a new booster with a
6 new antigen that is shaped towards Delta, then it seems
7 like your efficacy will be much better.

8 Now, the Kaiser study, if remember correctly,
9 had a 72 percent estimated vaccine efficacy against
10 asymptomatic infection. You got 18 people out of 149
11 that are point positive at some point after booster.
12 Maybe it was 16. I'm sorry. There may have been two
13 people that were early on that have not really reached
14 full antibody response after booster. But nonetheless,
15 it's about 10, 12 percent of those people are (audio
16 skip) positive for SARS-CoV-2. (Audio skip) group.
17 I'm sorry.

18 If you don't have a comparison group (audio
19 skip), but if you invert a ratio -- if we had a
20 hypothetical comparison group, then that would be an
21 attack rate in that group of 30, 40 percent during a

1 comparable period I would think. That seems just
2 really, really high. And that's the reason why I think
3 the efficacy looks somewhat low in protecting from
4 asymptomatic carriage.

5 **DR. JACQUELINE MILLER:** Yeah, thank you so
6 much for reminding me of the question. You're correct,
7 but I want to emphasize that the 18 cases that were
8 detected, these were primarily cases that were found
9 from the nasal swabs that we conduct routinely at dose
10 1 and dose 29. You're absolutely right that they were
11 contributing to asymptomatic infection.

12 The other part of your question was with
13 respect to variant-specific boosters. We actually are
14 investigating the possibility to further boost
15 individuals with variant sequences. We think that this
16 is really important, even if we don't administer
17 booster doses for quite some time, to understand
18 whether the messenger RNA sequence can be replaced out
19 with a comparable profile to what was observed in the
20 large-scale study. Can you put up Panel B, please,
21 because it gives me a chance to speak a bit about the

1 ongoing work we have with boosters.

2 **DR. ARNOLD MONTO:** Let's not spend too much
3 time on it, though. We're getting short. Go ahead,
4 please.

5 **DR. JACQUELINE MILLER:** Okay. Well, Dr.
6 Monto, I'll summarize by saying that we agree that it's
7 absolutely important to understand if a Beta or a Delta
8 sequence could better protect against the variants of
9 concern. That's why we've committed to studying it.

10 **DR. ARNOLD MONTO:** Thank you very much. Dr.
11 Offit.

12 **DR. PAUL OFFIT:** Thank you. A question for
13 Dr. Miller. Jacky, Tony Fauci has said that, were this
14 not a pandemic, this would have been a three-dose
15 vaccine. The reason he said that is that he likens
16 this vaccine to the inactivated viral vaccines, like
17 the inactivated polio vaccine, the Hepatitis B vaccine,
18 or Hepatitis A vaccine, where you need to have an
19 interval of four months plus in order to get decent
20 frequencies of memory cells because that's going to
21 allow you to have protection against serious illness

1 and to have durable protection. The question is, is
2 this that vaccine? Because, as you said, it's not
3 quite an inactivated viral vaccine.

4 You have viral proteins that are being made in
5 the cytoplasm, which likens, more frankly, to a live
6 attenuated viral vaccine where a single dose can induce
7 long-lived memory responses. The thing you said
8 earlier that I think is really important is that, when
9 you do this third dose and you're looking at the effect
10 of the third dose, I think it's really important to
11 look at the memory B cell response to answer the
12 question, do you really boost memory B cells? Because,
13 if you look at the data by John Wherry and Shane Crotty
14 in La Jolla, John Wherry at Penn, what they find is
15 that, six months after your two-dose vaccine, you have
16 reasonably high frequencies of memory B cell, which if
17 anything increase over time suggesting long-lived
18 immunity induced by two doses.

19 So it may never have really been a three-dose
20 vaccine. If the goal is to try and protect against the
21 unfortunately-named breakthrough infections of

1 asymptomatic infection and mildly symptomatic infection
2 -- which I wish we'd never use that term because it
3 implies failure, and that's not a failure -- then we're
4 going to be talking about giving frequent boosters,
5 which I don't think is a reasonable strategy for this
6 vaccine. I think it's really important to look at can
7 you boost memory with that third dose?

8 **DR. JACQUELINE MILLER:** Thank you for that,
9 Dr. Offit. We agree, which is why we are engaging in
10 that particular mechanism of action study. I'll just
11 mention that we're also utilizing a bivalent vaccine in
12 that study. So we are looking at the Beta-Delta in a
13 combination vaccine to also understand, if you give a
14 different antigen, what does the memory B cell look
15 like to that variant of concern. I think to your
16 question about what we call the schedule, I mean, I
17 take your point that one person's primary series and
18 another person's booster series I suspect that there's
19 a continuum of improvement and protection and
20 immunogenicity with every dose.

21 I guess what I would say about longer-term

1 boosters is that I'm not sure that a booster that you
2 give in the middle of a continuing pandemic that's due
3 to a lot of different factors necessarily will
4 determine what will happen in the future. The dataset
5 we're bringing here today is really to address a
6 specific problem, which is the breakthrough severe
7 disease that we're beginning to see in the patients
8 that have been (audio skip).

9 **DR. ARNOLD MONTO:** Thank you. Dr. Lee.

10 **DR. JEANNETTE LEE:** Dr. Miller, this is
11 something of a follow on to Dr. Chatterjee's comment
12 about the fact that your seroresponse seems to be
13 greatest among those that had the lowest pre-booster
14 levels. I guess one of the questions I have is whether
15 you actually looked at the association between time
16 from their last second dose to when that happened.

17 What I'm leading up to is the fact that maybe
18 six months -- we've drawn a line in the sand of six
19 months which is completely arbitrary -- whether or not
20 it would be optimal for people to wait longer to get
21 the boosters, et cetera, because the waning hasn't

1 occurred as much in some and they don't benefit that
2 much about it. I'm interested in your comments on that
3 observation. Thank you.

4 **DR. JACQUELINE MILLER:** Yeah, that's a great
5 question. Unfortunately, in this Phase 2 trial,
6 subjects were really vaccinated in a relatively narrow
7 time window, so six to eight months. That particular
8 analysis will not be as helpful.

9 What I would say is that's why we think that
10 investigating the booster dose in the Phase 3 study,
11 CoV, is so important because, by that time, subjects
12 will have been in the earlier group. Now, it's even
13 later than July and August, so closer to 14 and 15
14 months past their initial vaccination, while subjects
15 who were originally in the latter group, originally
16 allocated to placebo group, are going to be about 9 to
17 10 months after vaccination.

18 I think all of those data together may build a
19 picture. I think you'll see some data tomorrow
20 presented by colleagues at DMID regarding a booster
21 dose within the 4- to 12-week window. Hopefully, that

1 will also help inform the discussion.

2 **DR. JEANNETTE LEE:** Thank you.

3 **DR. ARNOLD MONTO:** Thank you. Dr. Meissner.

4 **DR. CODY MEISSNER:** Thank you, Dr. Monto. Dr.
5 Miller, I would like to ask you a question about
6 sterilizing immunity. I think, as you just said, it's
7 so important to look at breakthrough disease or disease
8 that occurs in people who are fully vaccinated rather
9 than just an infection from whom one can get a positive
10 PCR or recover virus. It seems to me that it's going
11 to be very difficult with the mRNA vaccines to achieve
12 that objective, that is asymptomatic infections in
13 someone who had preexisting immunity because these
14 viruses are mostly simulating IgG and circulating
15 immunity. Have you looked at IgA?

16 I guess there's no reason to think that there
17 would be secretory IgA made, but is it reasonable to
18 expect that these vaccines would prevent essentially
19 colonization that results in asymptomatic disease in
20 someone who's immune?

21 **DR. JACQUELINE MILLER:** Dr. Meissner, I'm

1 going to turn your question back over to Dr. Edwards in
2 just a minute. But I will tell you that, in the Phase
3 3 study -- and again, this is a different moment in
4 time. So it was when the original Wuhan strain and the
5 Alpha variant were circulating. But, at the very end
6 of the placebo-controlled period, so when subjects were
7 in the process of crossing over, they had a final
8 visit. That was the final efficacy that I described to
9 you and, in the interest of time, did not speak to the
10 asymptomatic infection rates.

11 We had an efficacy of about 60 percent against
12 asymptomatic infection. I think that question about
13 sterilizing immunity and IgA is best addressed by Dr.
14 Edwards. Thank you.

15 **DR. DARIN EDWARDS:** Thank you, Dr. Miller. I
16 think some of the best evidence that we have on the
17 ability of our vaccines to elicit secretory IgA and the
18 mucosal tissues is from our nonhuman primate studies
19 that we have run with our wonderful collaborators at
20 the NIH.

21 Several of those studies have been published.

1 Amongst the observations that we've made is the
2 presence of IgA in the nose and in the BAL in the lung
3 samples that we've collected. Now, more recently we
4 are now looking at nonhuman primates over the course of
5 an entire year to look at the durability of protection
6 during that time period and the immunogenicity that's
7 observed during that time period.

8 We don't yet have specific IgA measurements
9 over that time period, but the results should be
10 available in the near future, at which time, it will be
11 published. It will be interesting to look not only
12 acutely after vaccination the presence of IgA but what
13 levels are present over a long period of time.

14 **DR. CODY MEISSNER:** Thank you.

15 **DR. ARNOLD MONTO:** Thank you. Dr. Gans.

16 **DR. HAYLEY GANS:** Thanks for allowing me to
17 come back on. It's so great to hear from my colleagues
18 because they had a lot of questions answered. Anyway,
19 I think there's a lot of evidence that we're now seeing
20 that, despite our desire to see this memory response, I
21 think we are starting to see a signal that is

1 suggesting to us that despite what we (audio skip) what
2 might be the role of this virus (audio skip)
3 breakthrough of serious disease. I take Dr. Offit's
4 point, that we're not trying to (audio skip) disease
5 that we can see by PCR. However, that is important for
6 role of transmission.

7 Anyway, I did want to understand more because
8 we are starting to see a signal in (audio skip)
9 individuals, and it is different from what we were
10 seeing previously. I think, unfortunately, for the
11 Moderna, I know that the breakthrough is only 19 cases,
12 so (audio skip) have a low number. But the pool of
13 people we were looking at was very low too. So the 4
14 individuals who were not accounted for by age greater
15 than 65 or those under 65 who had preexisting
16 conditions, which I think would be taken care of by the
17 people that you've listed for your extended EUA.

18 The four individuals who don't fall into any
19 of those categories, would they actually perhaps fall
20 into a category (audio skip)? I'm wondering if you
21 know anything more about those individuals that could

1 help us under- (audio skip) they too would have been
2 protected by being provided (audio skip) considering
3 occupations that (audio skip) high exposure (audio
4 skip) we do know (audio skip) correlate of (audio
5 skip).

6 **DR. JACQUELINE MILLER:** Yes, Dr. Gans. I
7 think you're right that we don't have a sufficient
8 number of cases in this particular analysis to be able
9 to refine our analysis to that level of degree. I
10 think the Phase 3 study has larger sample sizes of
11 those kinds of populations.

12 I think I'll clarify that our intention in our
13 labeling information is to say that the booster dose is
14 indicated for those 18 years of age and above. There's
15 no reason to necessarily exclude someone that either
16 FDA or particularly CDC, who make the vaccine
17 recommendations for which population should be
18 vaccinated -- we want to give them the ability to
19 recommend the vaccine booster for who they think needs
20 it.

21 **DR. ARNOLD MONTTO:** Thank you. Let's go on to

1 Dr. Nelson.

2 **DR. MICHAEL NELSON:** Thank you. This
3 question's also for the sponsor.

4 **DR. ARNOLD MONTTO:** There will be two more
5 questioners before we move on, so Dr. Nelson and then
6 two more.

7 **DR. MICHAEL NELSON:** Great. This is indeed a
8 question for the sponsor. Dr. Miller, thank you again
9 for enlightening us this afternoon.

10 This has to do with the relationship between
11 preexisting immunity and the risk for adverse events by
12 a booster dose. My understanding of the data presented
13 earlier was the reactogenicity is measured by common
14 adverse events, and the combined data set for the 300
15 recipients of the 50-microgram dose doesn't appear to
16 be significantly different than after dose 2 compared
17 to the primary series. So what was found was that the
18 risk of myocarditis and pericarditis does appear to be
19 increased after dose.

20 It's unclear to me probably most whether the
21 level of current humoral and cellular immunity at the

1 time of boosting is directly related to this risk or
2 the risk of any other (audio skip).

3 What I didn't see in the briefing material --
4 this isn't a criticism; it's a question -- is there
5 data that stratify the risk for systemic adverse events
6 by pre-event titer? Data of this type will help us do
7 the risk-benefit analysis for broader populations who
8 are largely immunocompetent, such as the third
9 population will fit as being we're asked to address
10 today, that is the 18 to 64 at higher risk for
11 institutional occupational exposure.

12 The premise being, with the immunocompetent
13 possibly having a higher baseline cellular and humoral
14 memory response from the two doses, are they at
15 significantly higher risk for a booster dose?

16 **DR. JACQUELINE MILLER:** Yes, thank you for
17 that question. Unfortunately, we don't have that
18 analysis. It's a really excellent suggestion. Again,
19 thanks.

20 **DR. ARNOLD MONTO:** Thank you.

21 **MR. MICHAEL KAWCZYNSKI:** Dr. Fuller, are you

1 there? I unmuted you. There we go. Go ahead.

2 **DR. OVETA FULLER:** Yes, I am. Something
3 happened to my phone. Yes, thank you.

4 Dr. Miller, this question has to do with
5 messaging for vaccine boost. I remember, I believe,
6 that in your first application for EUA, that those
7 people who had recovered from COVID had slightly more
8 robust side effects. I have heard from a number of
9 people who'd gotten the Pfizer third dose that those
10 who had had COVID have a bit more severe side effects.

11 In terms of messaging for people to know what
12 to expect, can you tease out or have you any evidence
13 that folks who'd had COVID and now are in the third
14 boost or in the boost for Moderna have slightly more
15 severe side effects? If so, is there a plan for
16 messaging about that so people know what to expect? I
17 think it's relevant to uptake and what gets said to
18 other people.

19 **DR. JACQUELINE MILLER:** Yes, Dr. Fuller.
20 Thanks for that question. Maybe just a clarification.
21 I think in our Phase 3 dataset, overall, we saw a lower

1 reported rate in people who were initially
2 seropositive. I need to qualify that because we did
3 enroll people. Again, this was initially an efficacy
4 study, so we wanted seronegative people to be able to
5 follow breakthrough cases that would be captured. But,
6 in people whose baseline swabs or who had baseline
7 evidence of previous infection, they actually tended to
8 report overall that they had lower reactogenicity,
9 although some specific elicited symptoms. So the
10 individual symptoms, some of them were higher.

11 I think we will learn a lot more about the
12 third dose and lot more than we did in the original
13 iterations of Phase 3 when we give this 50-microgram
14 booster because there certainly was a lot of
15 breakthrough disease in the original placebo group.
16 They've actually now continued, potentially, in the
17 study, and we'll be vaccinating them with this
18 additional dose.

19 In terms of education of people, though, I
20 think regardless of whether they had COVID before or
21 they did not, it's important that patients understand

1 what to expect before they get the vaccine. That's why
2 we really invested in looking in the comparison to the
3 Phase 3 data. The Phase 3 data are the data that are
4 currently represented in our vaccine fact sheet. I
5 think going through that fact sheet, letting people
6 know what they might experience, let them know that, at
7 least in our initial studies, has been similar to what
8 they saw after dose 2 is probably the best guidance we
9 can give them.

10 **DR. ARNOLD MONTO:** Thank you.

11 **DR. OVETA FULLER:** Thank you.

12 **DR. ARNOLD MONTO:** Dr. Rubin.

13 **DR. ERIC RUBIN:** Thanks, Dr. Monto. I'm
14 honored to get the last question if that's really the
15 case.

16 **DR. ARNOLD MONTO:** It is before we have more
17 comments.

18 **DR. ERIC RUBIN:** The presentation today
19 included presentations from our Israeli colleagues
20 about their Pfizer vax results. In fact, when Pfizer's
21 vaccine came up for consideration, the fact that there

1 was widespread news and some efficacy data from Israel,
2 I think, influenced many of us to think that this was a
3 reasonable idea. Now we have more of those data, but
4 they're Pfizer data.

5 So I want to ask Dr. Miller a totally unfair
6 question. Do you think we can generalize from data
7 from this other vaccine to what you might see in
8 Moderna? Because I will say that the safety data, in
9 particular, are very dim.

10 As was pointed out in the public comments,
11 there are really only 170-ish people who got the same
12 dose that we will be giving if we approve a third dose.

13 **DR. JACQUELINE MILLER:** Yes. Dr. Rubin, we
14 don't have real-world data similar to those that were
15 generated in Israel. I will say, I guess, we're
16 indebted that Israel decided to be the frontrunner so
17 that we have those data to review today.

18 What I will say is I think the 1.5 million
19 Americans who have already been vaccinated with 100
20 micrograms as a third dose -- and these are admittedly
21 immunocompromised but also medically vulnerable

1 individuals -- contributes to at least some of the
2 understanding of the safety profile.

3 That safety profile is in a different
4 population but reasonably conservative given that they
5 got twice the dose. We're going to continue to follow
6 the subjects that I described in the Kaiser study if
7 they are offered their third dose, and that will be
8 another way in which we can continue to evaluate what
9 happens in terms of vaccine effectiveness. Then,
10 certainly from a safety perspective, all of the ongoing
11 pharmacovigilance activities that are currently
12 underway will continue and include subjects who have
13 received a third dose.

14 I would say I think the data, much as they did
15 with the original messenger RNA submission, where we
16 had 30,000 subjects' worth of data but now we have over
17 190 million doses worth, will grow the database in the
18 similar fashion.

19 **DR. ARNOLD MONTTO:** Thank you very much. We
20 are going to terminate the question and answer session
21 right now because, in reality, we do not have only our

1 voting topic. We will have after our voting topic a
2 discussion which may be a rather robust discussion of
3 steps forward for all of the vaccine. We will go into
4 our Committee discussion. This discussion will be
5 focused on our voting question. So can we get the
6 voting question up so that we can at least focus our
7 discussion on this?

8

9

COMMITTEE DISCUSSION AND VOTING

10

11 **MR. MICHAEL KAWCZYNSKI:** There you go.

12 **DR. ARNOLD MONTTO:** There is our voting
13 question. What we're going to do now is discuss this,
14 have the vote, have any explanations of votes
15 afterwards by those who want to explain their vote, and
16 then go onto the discussion topic which is not going to
17 have a vote. And that's going to be trying to
18 harmonize any recommendations across the board in terms
19 of different age groups and things of this sort. So
20 reserve your broad thinking to the discussion, and
21 let's focus now on the question that we've got in front

1 of us, which we will vote on. Okay. Dr. Nelson.

2 **MR. MICHAEL KAWCZYNSKI:** He put his hand down.

3 **DR. ARNOLD MONTO:** Okay. Dr. Meissner.

4 **DR. CODY MEISSNER:** Thank you, Dr. Monto. I
5 would like to ask a question about the third bullet,
6 going back to something I mentioned earlier. Are there
7 data to indicate that individuals who have occupational
8 exposure to SARS-CoV-2 are at a high risk of severe
9 COVID-19? For example, for healthcare workers, are
10 they at increased risk of severe infection? My only
11 point being, I think we have to be sure that we can
12 justify everything we're saying. I'm not aware of data
13 to support that. I need to be educated.

14 **DR. ARNOLD MONTO:** This mirrors the approval
15 that we gave for the Pfizer vaccine.

16 **DR. CODY MEISSNER:** I understand.

17 **DR. ARNOLD MONTO:** So anybody at FDA or
18 elsewhere ready to answer that question? Dr. Fink.

19 **DR. DORAN FINK:** Let me try to explain a
20 little bit about how FDA arrived at this authorization
21 statement for Pfizer. You're right that, when we held

1 the VRBPAC on September 17th and, when we constructed
2 this authorization statement, there were not specific
3 data nor do I think there are specific data now that
4 speak to the risk of severe COVID among individuals
5 with increased exposure in institutional or
6 occupational settings. But I think it's important to
7 highlight a couple of principles.

8 First of all, this third bullet includes the
9 words "severe COVID," but it also includes "serious
10 complications of COVID." As Peter Marks explained
11 earlier in the day, there are sequelae of COVID,
12 including long COVID, thromboembolic events, and other
13 sequelae that may not meet someone's definition of
14 severe COVID and yet would be considered serious
15 conditions that would be applicable to the statutory
16 criteria for emergency use authorization. I think it's
17 also worth mentioning that, at the time (audio skip)
18 COVID following primary vaccination, one can
19 hypothesize that it might be the same group as would be
20 at high risk of severe COVID prior to the primary
21 series. But we don't know this for sure. We didn't

1 have data, yet such groups may exist.

2 And so really, the intent of structuring the
3 authorization in this way is to provide a regulatory
4 allowance for groups that could reasonably be
5 considered at risk of serious complications of COVID
6 for which there would be benefit to a booster dose
7 being made available under emergency use authorization.

8 The point of emergency use authorization is
9 that it is intended to address a current emergency
10 situation. It can be changed as circumstances evolve.
11 And, furthermore, ACIP can evaluate data to make
12 recommendations for use of the vaccine that had been
13 made available under EUA, and those recommendations can
14 change as circumstances evolve.

15 And so really, this authorization was designed
16 to allow for flexibility in making the vaccine
17 available under EUA to individuals for whom it could
18 provide a benefit and where the benefit would outweigh
19 the risks.

20 **DR. CODY MEISSNER:** Thank you very much.

21 **DR. ARNOLD MONTTO:** Thank you.

1 **DR. CODY MEISSNER:** Can I have a follow-up?

2 **DR. ARNOLD MONTA:** Yeah. Go ahead.

3 **DR. CODY MEISSNER:** Thank you, Doctor, and
4 thank you Dr. Fink for that thoughtful answer. I
5 appreciate it.

6 The only point I'd like to say is that I think
7 it's so important that these recommendations are
8 evidence-based. And I agree it's the ACIP which will
9 make this decision. It's so important because this is
10 such a controversial issue. If we can't defend these
11 recommendations based on evidence, I think it's going
12 to further complicate getting this vaccine into every
13 single adult American, and that's really what we want
14 to do.

15 **DR. ARNOLD MONTA:** Thank you, Dr. Meissner.
16 Dr. Lee.

17 **DR. JEANNETTE LEE:** I think one of the
18 questions I'm a little bit troubled by is that, as Dr.
19 Moore pointed out, the data we have that have the
20 individuals that have the full dose of Moderna followed
21 by the booster is really only limited to about 149

1 patients, which is a fairly limited group, and also
2 only meets one out of the two criteria that were
3 prespecified for the emergency use. So I guess one of
4 my questions I have -- as you can see, I have a little
5 bit of hesitation -- maybe for Dr. Fink is would the
6 requirements for full authorization of the booster
7 mimic the ones that we have now for the EUA?

8 Or would they be more stringent? Have they
9 been formulated, or what is sort of the thought at FDA?
10 Were we to grab that EUA, what would be the requirement
11 for them to get a full authorization for the booster?

12 **DR. DORAN FINK:** I had to unmute myself
13 there. Thank you for that question.

14 I would really like the Committee to focus on
15 the question as it pertains to emergency use
16 authorization. It is an entirely valid question to
17 ask, where we are ultimately going. We've heard
18 discussion today about what the appropriate regimen
19 would ultimately be, perhaps, under different
20 circumstances when we're not in the middle of an active
21 pandemic. I really would like the Committee to focus

1 on considerations for emergency use authorization right
2 at this moment in time.

3 **DR. ARNOLD MONTO:** Thank you.

4 **DR. JEANNETTE LEE:** But it's actually
5 (inaudible). That's what I'm getting at. Thanks.

6 **DR. ARNOLD MONTO:** Thank you. Dr. Hildreth.

7 **DR. JAMES HILDRETH:** Okay. Thank you, Dr.
8 Monto. I want to go back to Dr. Meissner's comment
9 about bullet number three and that is that, oftentimes,
10 individuals who have occupational exposure are brown
11 and black people who work under conditions where
12 they're exposed. And as we know, they're more likely
13 to have underlying conditions that predispose them to
14 severe COVID-19. So, as far as I'm concerned, that's
15 the only justification needed for bullet number three,
16 the higher percentage of people with underlying
17 conditions who have occupational exposure. So, for me,
18 bullet number three is very important and should remain
19 a part of this voting question. Thank you.

20 **DR. ARNOLD MONTO:** Dr. Sawyer.

21 **DR. MARK SAWYER:** Mine is more of a comment.

1 I don't really have a question. I've been listening to
2 all of the discussion and the excellent questions that
3 have been raised. I'm of the opinion that we need
4 boosters.

5 I find the Israeli data compelling as well as
6 the breakthrough cases we're identifying in the United
7 States. I agree that the amount of safety data
8 presented specifically from the company was very
9 minimal, but I do think that we can take some
10 reassurance from the 1.5 million U.S. citizens who have
11 already received this vaccine at a higher dose and
12 without -- and we have good surveillance systems in
13 place to have detected any new or unusual side effects.

14 I also think we can probably extrapolate from
15 the Pfizer data in Israel and the experience in Israel
16 in that, in all other ways, these two vaccines are
17 quite similar.

18 Lastly, I think that, since I'm of the opinion
19 that we need these boosters to be available for use in
20 some populations, I think it's best to put it in the
21 hands of ACIP to determine exactly who should get it

1 and under what circumstances. I'm not wild about a
2 bunch of 20-year-olds running out and getting a booster
3 dose unless they're at increased risk of either
4 exposure or severe outcome.

5 **DR. ARNOLD MONTTO:** Thank you. Dr. Gans.

6 **DR. HAYLEY GANS:** Hi. Thank you. I just
7 wanted to make the comment alongside of my colleagues
8 how important I think it is to act. We use vaccines
9 protective. I'm not sure that we want to allow (audio
10 skip) signals to be (audio skip). I couldn't agree
11 more that the Israeli data that related to a messenger
12 RNA vaccine that we're also considering here today is
13 very compelling. They've done a really good job of
14 showing us that it (audio skip) are in fact (audio
15 skip) and actually impacts severe disease.

16 Their hospitalizations did fill up with (audio
17 skip) were outside of ones that were considered
18 necessarily in the first round to be at risk for
19 hospitalization and severe disease. So I think we need
20 to be careful about that.

21 I couldn't agree more with my other

1 colleagues, also, about exposure and really protecting
2 those people who are on our frontlines as well as those
3 who are in industries that are bringing them at higher
4 risk. I think that Dr. Fink's comment about what was
5 happening pre-vaccine is very important.

6 There were healthcare providers who were
7 getting sick outside of those age groups and without
8 underlying conditions probably because of, again, an
9 inoculum effect and how much they were being exposed.
10 We do have PPE now, and we do have masks. However,
11 some individuals are just in situations where the
12 conditions are such that these are (audio skip). I
13 also find it very important, the need to include this
14 in recommendations (audio skip) way.

15 I couldn't also agree more with Dr. Fink to
16 say we are in the middle of a pandemic (audio skip)
17 better so stopping this virus from (audio skip) is also
18 important. We're starting to see, once again, our
19 hospitals filling up with children who've been exposed
20 through community transmission. Another way of
21 protecting them (audio skip) this (audio skip).

1 There's a lot of evidence that the level of action,
2 whatever it's going to be, is not being met over time
3 with the regimen. There's also a lot of data to
4 suggest that two doses without a boost is not really a
5 regimen that (audio skip) us. I'm in favor of this,
6 and probably the broader discussion (audio skip).

7 **DR. ARNOLD MONTO:** Thank you, Dr. Gans. Dr.
8 Marks, I see you have your hand up.

9 **DR. ARNOLD MONTO:** You're muted.

10 **DR. PETER MARKS:** Sorry about that. I just
11 wanted to remind the Committee that, for emergency use
12 authorization, ideally this Committee will try to be
13 relatively specific about what they would like to see
14 so that we can put into place the correct wording on
15 our authorization. And that has to do with some of the
16 legal liability issues and how that works. It helps
17 avoid some of the issues that can come up, then, when
18 CDC, if they were a need to, to change that language.
19 Bottom line is, what I'm saying is that some of the
20 deference that we are able to give to the ACIP when we
21 do biologics license application approvals is a little

1 more complicated here.

2 It's not to say that ACIP will not decide to
3 further manipulate these recommendations, but to the
4 extent that we can try to come to a place that we think
5 will be acceptable for ACIP, that will be appreciated.

6 **DR. ARNOLD MONTO:** Thank you, Dr. Marks. Dr.
7 Chatterjee.

8 **DR. ARCHANA CHATTERJEE:** Thanks, Dr. Monto.
9 I'd like to make three points. The first is I agree
10 with several of my colleagues with that bullet number
11 three on the vote in question. I do think that,
12 besides the individual risk, which is what we are
13 assessing here obviously, but there is also the
14 societal risk, particularly for healthcare workers, for
15 frontline essential workers, who, as Dr. Hildreth
16 pointed out, have individual risks as well.

17 I think this was part of our discussion a
18 month ago, that having a lot of these folks come down
19 with disease, whether it is mild or more severe, is
20 still a problem because, even if they were still
21 asymptomatic but they were detected, that could take

1 them out of the workforce. That certainly is a concern
2 for us, as well.

3 The second point I want to make is about the
4 inclusion of racial and ethnic minorities in these
5 studies. This was a point made by, I believe, one of
6 the open public hearing speakers, that Moderna should
7 look at those populations and their risk and their
8 safety with regard to the booster doses because there
9 are very, very limited data. There are limited data
10 overall, but particularly in those populations, the
11 data are very, very small.

12 Then the final point I'd like to make is about
13 the Israeli data. I, too, am impressed with the work
14 that they're doing. The point I'd like to make is that
15 what they're seeing in Israel isn't necessarily what
16 we're seeing here in the United States. They have
17 shown very compelling data that the booster dose
18 clearly disrupted the third wave of their pandemic.
19 Our numbers are going down before very large
20 proportions of our population have received the booster
21 dose. I think when we extrapolate data, we have to be

1 very mindful of what the epidemiology is in individual
2 countries and even in local areas.

3 **DR. ARNOLD MONTO:** Thank you. Dr. Kurilla.

4 **DR. MICHAEL KURILLA:** Thank you, Arnold. Just
5 a couple of comments. One is that I certainly
6 recognize the desire for the FDA to put out an EUA for
7 the Moderna boost that essentially mirrors what was
8 done for the Pfizer. And I'm certainly comfortable
9 with that. I think that the same reasons with the
10 waning of immunity, particularly the antibody decay
11 rates that these people are experiencing, place
12 particularly those populations -- especially the
13 elderly and the high risk of severe COVID disease are
14 the ones who are most at risk. They're relying
15 extensively on their neutralizing titers to really
16 prevent infections. They have much more limited
17 capacity to prevent the severe disease complications.

18 That being said, I have some degree of
19 reservation about the Moderna booster, the 50 microgram
20 because, as was demonstrated by Dr. Miller, even in the
21 absence of neutralizing titer, they are still

1 manifesting more than 50 percent protection, which
2 means there's things other than neutralizing titers
3 that are doing something. I don't know if the FDA has
4 any sense of how that will change going from 100 to 50.
5 So that is a little bit of an unknown, and that may
6 actually have a tremendous impact on the durability.

7 The other thing I would say, both with regards
8 to the mRNA vaccine, is that the durability of both of
9 these has been adequately demonstrated in terms of very
10 limited durability, anywhere from four to six months or
11 six to eight months. Whether that is a consequence of
12 a suboptimal dosing interval, whether that is a dose of
13 the vaccine itself, or whether that is a fundamental
14 inherent issue with the mRNA platform, I think is
15 unknown. It's going to be very critical to understand
16 whether or not a six-month boost actually does change
17 the trajectory of the antibody response and provides
18 some better durability than simply anywhere from about
19 four to eight months of the antibody responses. That's
20 all we tend to see.

21 I think it's going to be very critical going

1 forward to be monitoring this ever so closely because
2 I'm not convinced that we have actually identified the
3 optimal primary vaccination regimens for these
4 vaccines. Thank you.

5 **DR. ARNOLD MONTO:** Thank you, Dr. Kurilla.
6 Dr. Moore.

7 **DR. PATRICK MOORE:** There's one point that I'd
8 like to make and that's the beauty of the mRNA vaccine
9 is obviously because you change based as you make
10 vaccines. So you could, in theory, with making a new
11 50 milligram, which there's no formulation right now
12 ready for public distribution presumably, at least
13 theoretically -- I haven't done it, obviously, but
14 theoretically, you could change the sequence.

15 The real question that I have is to Drs. Marks
16 and Fink -- is that, approving this EUA, does that give
17 you more flexibility administratively to be able to
18 request or demand that booster doses are addressing the
19 variant of concern? That's one thing.

20 Two, I don't quite understand why this is not
21 Delta because that's what we're facing right now.

1 And three, we've got to remember that Israeli
2 does really, really quite clear. I was unconvinced by
3 the data, including the early and late vaccination. I
4 can talk about that more, but I don't want to waste the
5 Committee's time. I'll talk individually about that,
6 why that's not convincing to me. But the Pfizer data
7 is quite convincing in Israel, but they're different
8 vaccines since, as Dr. Perlman reminded me, there's
9 about three times as much mRNA in the Moderna vaccine
10 as there is in the Pfizer vaccine.

11 So the question is to Dr. Fink and Dr. Marks.
12 Approving this EUA, does this somehow give you value
13 added in terms of the public health response to be able
14 to quickly respond to variants of concern with a
15 booster?

16 **DR. ARNOLD MONTO:** Dr. Fink, Dr. Marks?

17 **DR. PETER MARKS:** Thank you. So I think we
18 have -- in our guidance for emergency use
19 authorization, Appendix 2 discusses how we would deal
20 with variants of concern. Additionally, the World
21 Health Organization is now convening on how to try to

1 decide globally how we'll deal with variants of
2 concern. I think that I would make the decision on
3 this based on what you think the benefit to the patient
4 would be and not our ability to move forward with
5 further variants because I think we do have a
6 reasonable procedure in place for moving through to
7 variants.

8 Some of the sponsors, in fact, I think all the
9 ones I can think of, are working with one or the other
10 of the variants of concern to show that they can make a
11 vaccine that will generate an immune response.

12 Now, I think the other question you asked,
13 which somebody else can chime in if they think I've
14 gotten it wrong -- the reason for going with the
15 prototype vaccine here rather than moving to Delta was
16 that the neutralization with these prototype vaccines
17 against Delta are quite good. The feeling was not to
18 move to a new vaccine if you could neutralize equally
19 well with the response to this variant.

20 Again, it's less churn and burn on the
21 manufacturing also less exposure of people to

1 potentially antigens that they may not need to see. It
2 looks like someone from Moderna might also want to
3 speak up here.

4 **DR. ARNOLD MONTO:** Yes. Dr. Miller.

5 **DR. JACQUELINE MILLER:** Yes. Dr. Marks, you
6 actually do have it right, but I just wanted to add
7 some other historical context to how we got here. We
8 actually made the decision in February of 2021 to begin
9 manufacturing and studying variants of concern. That
10 was really based on data that we observed with the Beta
11 variant, actually some of the data that you saw in one
12 of the slides I presented where we noted a 6.9-fold
13 decrease in neutralizing antibody titers relative to
14 the Wuhan strain. But it takes some time to swap out
15 the sequence, make GMP manufacture, move forward with
16 clinical trials.

17 The exploratory analysis was actually a Phase
18 1 to then be able to move into Phase 2. The data
19 you're reviewing today really came from the population
20 that we had available at that time to vaccinate, and
21 that was the Phase 2 study. So they really are the

1 only population, other than the much smaller cohort in
2 Phase 1, that were available to be boosted. The mRNA-
3 1273 vaccine was the only one that we had available for
4 use in clinical trials. We're pleased to see that
5 there is cross-protection to the other variants.

6 To the question that's been asked, yes, I
7 mean, I think we need to see what happens in terms of
8 the epidemiology and constantly reflect on what the
9 next steps need to be. That's why we are investigating
10 variants of concern. This submission is really the
11 start of our evaluation. Maybe, if you'll indulge me
12 since I have the floor, I'll just say completely agree
13 that we need additional data. Completely agree that we
14 need data in more diverse populations. That is why we
15 are continuing to vaccinate individuals from the CoV
16 study who are now further out from their primary
17 vaccination. And CoV, if you'll recall, had a much
18 greater degree of diversity.

19 The final point I want to make is that, for
20 these variant vaccines that we're investigating, we
21 also are boosting subjects from CoV and moving forward

1 employing the same diversity and inclusion of
2 initiatives that we did in the Phase 3 study. Thank
3 you for the opportunity to comment.

4 **DR. ARNOLD MONTO:** Dr. Marks, to close off
5 this part of the discussion.

6 **DR. PETER MARKS:** Dr. Moore, one other thing,
7 and you might know this already, but Israel's data were
8 obtained pretty much in the setting of 99 percent Delta
9 variant over this past summer. The real-world evidence
10 study there from their boosters is largely from a Delta
11 variant that was boosted with their prototype vaccine.

12 **DR. ARNOLD MONTO:** Okay. Dr. Perlman.

13 **MR. MICHAEL KAWCZYNSKI:** Dr. Perlman, you
14 there?

15 **DR. STANLEY PERLMAN:** Yeah. I just wanted to
16 make a couple of points. One is I think that it would
17 be great if Moderna actually could do investigations of
18 dosing intervals and mucosal vaccine. That's what we
19 talked a lot about in the last bit of time. I don't
20 know what they're doing with that, but that's just a
21 small comment.

1 The second thing is in support of the notion
2 of this 18- to 64-year-olds vaccination for people who
3 have institutional or occupational exposure. I think
4 another issue that we were thinking about when we
5 approved this for Pfizer was that we can't afford to
6 have healthcare workers, even if not sick, be positive
7 and infected and have to stay home from work because
8 there's parts of the country where there's just a
9 shortage of healthcare workers and there's burnout
10 everywhere. That was, I think, another part that's not
11 quite in the statement but I think within the thinking
12 of some of us anyway.

13 The other thing was that one thing I have had
14 trouble trying to put together is the Moderna vaccine
15 was actually a little more efficacious than the Pfizer
16 vaccine, yet we're talking about the same six-month
17 interval. I'm not sure that that's really necessary
18 because the vaccine does seem to be a little more
19 efficacious. It's hard for me to put that together
20 mathematically to know what the best way to do that.

21 The final thing was, I think from the

1 pragmatic point of view, even with what I just said,
2 some ways I support this EUA because we've already
3 approved it for Pfizer. And I don't see how we can
4 possibly not approve it for Moderna and not have most
5 U.S. folks be completely confused. I know that's not
6 really part of what we're supposed to think about, but
7 I think it's a pragmatic issue. That's all.

8 **DR. ARNOLD MONTTO:** Thank you. Dr. Nelson.

9 **DR. MICHAEL NELSON:** Thank you, Dr. Monto.

10 Just a few comments and one technical question
11 regarding this vote. I'm, one, very reassured that
12 it's not a new preparation, actually half a dose of an
13 existing formulation. I know it'll be very reassuring
14 to the public. Two, I agree with our colleagues about
15 the many unknowns regarding the durability of response
16 and specifically, Dr. Kurilla's comments: does the
17 lower dose have an implication for durability after
18 this booster dose?

19 Next, I do remain concerned about the
20 sluggishness with which we are acquiring knowledge
21 about the risk factors for some of these adverse

1 events, the systemic adverse events. Communicating
2 with the NIH and sponsors to assist in rapidly
3 identifying these risk factors will make these
4 decisions a lot easier in the future.

5 Finally, very supportive of this EUA intent of
6 making the vaccine available to these very three valued
7 and determined at-risk populations. And, with respect
8 to the wording, I'm very happy to see the specific
9 wording of at least six months. It allows some
10 discretionary use with respect to the timing of this
11 booster dose given some of the issues we've discussed
12 today.

13 Then my last comment, or really a question, is
14 a technical one. Before any EUA was authorized last
15 year as a part of this Committee, we were informed that
16 the data that we were to review to provide that EUA was
17 to be based on individuals who were studied. So I was
18 struck by the lack of under-represented minorities in
19 the dataset of these 300 plus for this specific
20 vaccine. I just wanted confirmation from the FDA that
21 we're allowed to use the bridge data from the initial

1 primary series as part of our deliberations and not
2 have to factor in the absence of these under-
3 represented minorities. I appreciate the sponsor's
4 commitment to acquire that data going forward.

5 **DR. DORAN FINK:** Thank you, for that question.
6 I, of course, agree that ideally, we would have more
7 diverse representation in all of the data that we have
8 available to evaluate to make regulatory decisions.
9 That being said, we do have fairly robust data from the
10 primary series that does not suggest any significant
11 differences between racial and ethnic groups or genders
12 with regard to vaccine efficacy or vaccine safety. I do
13 think it's fair, and it is the FDA's viewpoint as well,
14 to rely heavily on those observations from the studies
15 with the two-dose series in understanding how a booster
16 dose would be effective and also safety across diverse
17 populations.

18 **DR. MICHAEL NELSON:** Thank you.

19 **DR. ARNOLD MONTO:** Thank you. Dr. Hawkins.

20 **DR. RANDY HAWKINS:** Thank you very much, and I
21 appreciate all the comments before.

1 I'm a physician caring for adults that are
2 primarily African American and Hispanics in Los
3 Angeles, California. I've been in practice for 35
4 years. I believe the results presented today will be
5 encouraging for the many patients who have received
6 available vaccines. They look forward to recommended
7 boosters.

8 I also hope the presentation will result in
9 and will be encouraging and instill more trust in
10 including areas of safety and efficacy in hesitant
11 citizens. I still have a substantial number of those.
12 Physicians and medical groups are following CDC
13 vaccination strategies, and overall acceptance has
14 improved. However, challenges still persist. I think
15 that approval will help us along the way. Thank you.

16 **DR. ARNOLD MONTO:** Thank you. Dr. Rubin.
17 You're muted.

18 **MS. KATHLEEN HAYES:** It's your individual
19 phone, Dr. Rubin.

20 **MR. MICHAEL KAWCZYNSKI:** Got it, sir? Dr.
21 Rubin, just unmute you're regular phone, sir. Okay.

1 Let's go to someone else.

2 **DR. ARNOLD MONTO:** Okay. Let's go on to Dr.
3 Hawkins.

4 **DR. RANDY HAWKINS:** I've already spoken.

5 **DR. ARNOLD MONTO:** Okay. Dr. Pergam.

6 **DR. STEVEN PERGAM:** Thanks, Arnold. I think
7 one thing that everybody's been talking about is this
8 third group. I want to reiterate that I'm very
9 supportive of that third group being part of this.
10 Specifically, to Dr. Perlman's comment that the
11 healthcare workers -- I think it's critical that we
12 prevent infection as much as we can. If there is a
13 benefit to that booster in preventing primary
14 infection, then that will be critical at protecting
15 healthcare institutions from outbreaks, et cetera.

16 I also want to comment as a side note that
17 there was some concern that the number of groups here
18 would suggest a large population of the United States
19 would be eligible for boosters. One difference between
20 the Israeli data and the United States data, so far at
21 least, has been the uptick of boosters. At least what

1 I've seen that's been published by the CDC so far, only
2 about 10 percent of those 65 and older have received
3 boosters to date, and only about 4 percent in the
4 United States have received boosters. It has not been
5 as some had expected that large numbers would be going
6 to go get boosters. I think one thing that I think
7 would be important is really, if we are going to be
8 making boosters available, to increase efforts to get
9 these to specific communities at risk.

10 **DR. ARNOLD MONTTO:** Thank you, Dr. Pergam. Dr.
11 Meissner.

12 **DR. CODY MEISSNER:** Thank you, Dr. Monto. I
13 just wanted to clarify my comments because I'm not sure
14 I was clear. I certainly agree that healthcare workers
15 and institutionalized individuals should be eligible
16 for a booster. My issue was that the statement says
17 their employment or their living situation puts them at
18 high risk of serious complications. I was just asking.
19 I don't think there are any data that say that, for
20 example, a healthcare worker has a higher risk of
21 serious complications just because of his or her

1 employment. So it's the wording that troubles me, not
2 the intent. I think it puts them at increased risk of
3 COVID infection. I think that's fine.

4 The second point is I agree with the comment
5 that people are getting Moderna's booster in a number
6 of different places. I have a little bit of trouble
7 with saying, yes, you can get it if you got the Pfizer
8 the first time for the first primary series, but you
9 can't get it if you got the Moderna for the primary
10 series. I don't think that's really fair.

11 **DR. ARNOLD MONTO:** Thank you. Any comments
12 from FDA about Dr. Meissner's concern about the
13 wording? The problem is that's the wording we approved
14 last time, correct?

15 **DR. CODY MEISSNER:** Yes.

16 **DR. ARNOLD MONTO:** In terms of amending --

17 **DR. PETER MARKS:** Dr. Monto, that's correct.
18 I think when you come to your next question, we'd like
19 to give you lots of latitude to make comments on how we
20 could improve that.

21 **DR. ARNOLD MONTO:** Thank you. Dr. Rubin.

1 **DR. ERIC RUBIN:** Check and try. Working this
2 time?

3 **DR. ARNOLD MONTO:** It is.

4 **DR. ERIC RUBIN:** Excellent. Thank you. I
5 would echo what many people said and I'm not going to
6 repeat. The data are not perfect, but these are
7 extraordinary times, and we have to work with imperfect
8 data.

9 I just want it to be said once here as it was
10 said in the public meeting that the effect of the
11 booster is much less than the effect of vaccinating
12 unvaccinated individuals. That means both here and
13 abroad. So I think that we want to clearly send the
14 message or include the message that, if we're going to
15 get out of this thing, we have to be vaccinating the
16 unvaccinated.

17 **DR. ARNOLD MONTO:** Thank you. I think that
18 message has been reiterated. Whether they're listening
19 is the problem. Okay. We do not have any more hands
20 raised. Are we ready to call the question, Kathleen?

21 **MS. KATHLEEN HAYES:** I believe so. Let me

1 just provide some instruction. Mike, are you back and
2 able to pull up the questions? Okay. Great. Thank
3 you, Dr. Monto. We have 19 voting members and 1
4 nonvoting industry representative attending today's
5 meeting. Only these 19 voting members, excluding the
6 industry representative as seen on this slide, should
7 vote in today's meeting. If you are not an official
8 voting member, please refrain from voting as your vote
9 will not be counted.

10 In regard to the voting process, Dr. Monto
11 will read the final question aloud for the record.
12 Afterwards, all members and temporary voting members
13 will cast their votes by selecting yes, no, or abstain.
14 You'll have two minutes to cast your vote. After the
15 question is read, we will broadcast the results and
16 read the votes aloud for the record. Please note that,
17 once you've cast your vote, you may change it within
18 the two-minute timeframe. However, once the poll has
19 closed, all votes are considered final. So unless
20 anyone has any questions related to the voting process,
21 we'll have Dr. Monto read the voting question aloud for

1 the record.

2 **DR. ARNOLD MONTO:** Okay. "Do available data
3 support the safety and effectiveness of Moderna COVID-
4 19 vaccine for use under EUA as a booster dose, 50
5 micrograms mRNA-1273, at least 6 months after
6 completion of a primary series in the following
7 populations: individuals 65 years of age and older,
8 individuals 18 through 64 years of age at high risk of
9 severe COVID-19, and individuals 18 through 64 years of
10 age whose frequent institutional or occupational
11 exposure to SARS-CoV-2 puts them at high risk of
12 serious complications of COVID-19 including severe
13 COVID-19?"

14 **MS. KATHLEEN HAYES:** Thank you, Dr. Monto.
15 Mike, if we could pull up the voting pod. Great. Go
16 ahead and cast your vote if you are an official voting
17 member at this time.

18 **DR. JEANNETTE LEE:** Is the voting pod up?

19 **DR. ARNOLD MONTO:** It is.

20 **MS. KATHLEEN HAYES:** The voting pod is up. It
21 should say Voting Question One, Yes, No, or Abstain.

1 Let me just look at the results here. Okay.
2 I believe that we have all of the results in for all 19
3 voting members, and I will read them aloud for the
4 record. Dr. Randy Hawkins voted yes. Dr. Cohn voted
5 yes. Dr. Pergam voted yes. Dr. Nelson voted yes. Dr.
6 Moore voted yes. Dr. Fuller voted yes. Dr. Levy voted
7 yes. Dr. Wharton voted yes. Dr. Hildreth voted yes.
8 Dr. Sawyer voted yes. Dr. Kurilla voted yes. Dr.
9 Monto voted yes. Dr. Perlman voted yes. Dr. Lee voted
10 yes. Dr. Meissner voted yes. Dr. Gans voted yes. Dr.
11 Offit voted yes. Dr. Chatterjee voted yes. Dr. Rubin
12 voted yes.

13 So we do have a unanimous 19 out of 19 yes
14 votes. That concludes the voting portion. We can
15 close this out, and I will hand it back to Dr. Monto.
16 Thank you.

17 **DR. ARNOLD MONTO:** Thank you very much. If
18 anybody wants to explain their vote, raise their hands.
19 What we're going to do after that is we're going to
20 take a merciful five-minute break before we go on to
21 the discussion topic. We'll have a few minutes to

1 stretch between any explanation of votes and the
2 discussion topic. Dr. Moore.

3 **DR. PATRICK MOORE:** I think that it's kind of
4 clear that I've got some real issues with this vote.
5 But nonetheless, I just want to explain. Why I voted
6 yes on it is more gut feeling rather than based on
7 really, truly serious data. I think that it's very
8 important for companies that are coming to VRBPAC on
9 dealing with this EUA that they really take seriously
10 the idea that we need to see good solid data. And it
11 needs to be explained well, which to be honest with you
12 this submission was, to me at least -- and perhaps it's
13 just because I'm old and befuddled -- but it was not
14 explained well until I read the FDA review, the second
15 half.

16 That, on the other hand, had a clarity and a
17 crystal precision to it that really made it clear what
18 the issues are. The data itself is not strong, but it
19 is certainly going in a direction that is supportive of
20 this vote.

21 **DR. ARNOLD MONTTO:** Thank you, Dr. Moore.

1 We're going to break until 3:20 Eastern. Then, at that
2 point, Mike, you'll put up the discussion question.
3 Break for about six or seven minutes.

4 **MR. MICHAEL KAWCZYNSKI:** All right. Just a
5 short break for seven minutes. Let me put the timer
6 up.

7

8 **BREAK**

9

10 **MR. MICHAEL KAWCZYNSKI:** Welcome back from
11 that quick break. Dr. Monto, you ready to take us into
12 the discussion topic and get towards the end of the
13 day?

14 **DR. ARNOLD MONTO:** I am. Remember this is not
15 a voting topic. As Dr. Marks told us, we have free
16 reign to say whatever we want to. We can be a little
17 less focused than we were during the discussion of the
18 voting questions. I won't just read this to you
19 because you all can read the PowerPoint. What we're
20 going to be doing is talking about how comfortable we
21 would be in extending some of these booster

1 recommendations to age groups down to 18, not including
2 anyone at this point under 18 years of age. This
3 reflects some of the requests that have actually been
4 made to FDA from the manufacturer. Dr. Chatterjee's
5 got her hand raised. Dr. Chatterjee.

6 **DR. ARCHANA CHATTERJEE:** Thank you. We
7 discussed this a little bit at the last meeting when
8 Pfizer's vaccine was up for discussion. I think the
9 concern I have -- there were a couple of concerns I
10 had. One is that I am not convinced that the
11 epidemiology of the pandemic at the moment in the U.S.
12 supports this request. We are seeing cases going down
13 without booster doses. Yet, in this population, the
14 people who are vaccinated appear to be protected.

15 The disease primarily seems to be occurring,
16 especially in its more severe form, in those who are
17 unvaccinated. The comment was made earlier today that
18 that is really the group that we need to focus on
19 getting them vaccinated. That's the first point I want
20 to make.

21 The second point is with regard to the

1 robustness of the data. The numbers of participants in
2 the booster trial, the booster study, are very, very
3 small. We're talking about basing a decision that will
4 impact tens if not hundreds of millions of people based
5 on data that have been provided by both the companies.
6 If you add them together, they don't come up to 500
7 people. So I am very concerned about the paucity of
8 data on which this decision will be made.

9 **DR. ARNOLD MONTO:** Thank you, Dr. Chatterjee.
10 Dr. Offit.

11 **DR. PAUL OFFIT:** Yeah, I'd just like to agree
12 completely with Dr. Chatterjee. I feel like we're sort
13 of going down the line here of booster dosing based
14 largely on data generated from Israel. Although I
15 think the data generated in Israel certainly was clear
16 of the 70- to 79-year-olds, I am just less impressed
17 with who I'd put, frankly, in the same category as an
18 immune incompetent host.

19 I am less impressed with the data regarding
20 the younger person. There's just too many variables in
21 there that I think may not have been considered, not

1 the least of which, as Dr. Chatterjee said, we're
2 seeing a decline in this country right now, too, and
3 it's certainly not because of booster dosing. We can
4 claim that. I do worry about this broad use now of
5 boosters. Certainly, I don't agree with doing this
6 down to 18 years of age at all. Maybe at 30, I would
7 feel a little better because the 18- to 29-year-old is
8 at higher risk of myocarditis with any clear evidence
9 of benefit.

10 I'm impressed by the fact that we continue to
11 have excellent protection against moderate to severe
12 disease in this country through Delta and for all age
13 groups. I just think that we continue to send wrong
14 messages out there by using terms like "breakthrough"
15 and by making people feel that they're not protected
16 unless they've gotten a third dose.

17 As Dr. Rubin said so accurately, the problem
18 in this country is vaccinating the unvaccinated. I can
19 tell you at the HUP, the Hospital of the University of
20 Pennsylvania, CHOP and those over 12, the people who
21 are in the ICU aren't there because they haven't gotten

1 a third dose. They're there because they haven't
2 gotten any dose. I just worry that we haven't clearly
3 defined what the goal of this vaccine is because, if
4 the goal of this vaccine is to prevent asymptomatic or
5 mildly symptomatic infection, that is a goal for which
6 we have set no other vaccine.

7 If we're trying to prevent what is inevitable,
8 which is a decline in neutralizing antibodies and an
9 erosion of protection against mild or asymptomatic
10 infections, that is a high bar to which we hold no
11 other vaccine. I understand we're in a pandemic. I
12 understand that we may need somewhat less shedding. I
13 think if you really want to control shedding, we just
14 have to vaccinate the unvaccinated. I'm uncomfortable
15 with how we sort of trip down the line here regarding,
16 now, the thought of universal booster dosing, which I
17 just think is wrong. Thank you.

18 **DR. ARNOLD MONTO:** Thank you. Dr. Rubin.

19 **DR. ERIC RUBIN:** Thank you. Am I on?

20 **DR. ARNOLD MONTO:** You are.

21 **DR. ERIC RUBIN:** Oh, thanks. Sometimes it

1 talks to me, and sometimes it doesn't.

2 So I agree entirely with Dr. Offit. I guess
3 I'd phrase it slightly differently which is -- and Dr.
4 Chatterjee. I think that I'd phrase it slightly
5 differently which is that, in order to demonstrate, we
6 should be giving vaccine to much younger patients who
7 are not otherwise at risk. We need to have some sort
8 of risk-benefit analysis done. That risk-benefit
9 analysis could include the fact that the vaccine
10 inhibits transmission and therefore can break the cycle
11 of transmission. That would be at least one factor to
12 consider.

13 We don't have that. We don't really have a
14 good idea of the benefit of boosters for this group.
15 There's a good reason to think that there isn't much
16 benefit. We know that there are some (audio skip)
17 signal, and I'm not sure that we want to just explore
18 it willy nilly by giving it to a lot of people.

19 **DR. ARNOLD MONTTO:** Thank you. Dr. Gans.

20 **DR. HAYLEY GANS:** I want to thank my
21 colleagues for bringing forward some really great

1 thoughts about (audio skip). I would argue that I
2 don't think that we have to do (audio skip) talking
3 about (audio skip) --

4 **DR. ARNOLD MONTO:** Dr. Gans, you're breaking
5 up.

6 **MR. MICHAEL KAWCZYNSKI:** Dr. Gans, we're not
7 hearing you right now. Yeah, Dr. Gans, we're not
8 hearing you. So let's go to somebody else. I think
9 her headset unplugged.

10 **DR. ARNOLD MONTO:** Dr. Kurilla.

11 **DR. MICHAEL KURILLA:** Thank you, Arnold.
12 Yeah, I agree with my colleagues. As I've expressed
13 previously, I think that, in my mind, the need for the
14 booster is primarily in those individuals who are at
15 high risk for serious disease, which overlaps pretty
16 well with individuals who don't respond very well with
17 adequate cellular immune responses, which I think is
18 most important for protecting against severe disease.
19 For the younger population, they seem to be responding
20 not only quite well to these vaccines, but they're
21 actually holding up. So I don't necessarily see the

1 need for a sort of "let it rip" campaign for boosters
2 for everyone who's ever been vaccinated.

3 I'll respectfully disagree with several of my
4 colleagues. I was not as impressed with the Israeli
5 data as a justification. They may be attributing their
6 profile of their third wave to the introduction of
7 boosters, but I think, if you look at their first and
8 second waves, which was pre-vaccine, they qualitatively
9 looked very similar. In fact, if you look at the Delta
10 wave that went through India, which had less than 20
11 percent of fully vaccinated people and was very similar
12 to what we're seeing here, the Delta wave seems to have
13 entered into a population. It goes through and then it
14 moves on. It's just been a wave moving throughout the
15 country.

16 So I don't think that the boosters really
17 should be the -- I guess the question I'm really
18 getting at is, what do we want the boosters to do? As
19 Dr. Offit was saying, if the intention here is to
20 actually have an impact on the transmission with some
21 sort of aspirational sterilizing immunity-type of

1 function, I don't think these vaccines are really
2 demonstrating that. What they are very good at is
3 preventing severe disease.

4 I think that if we can actually migrate the
5 pandemic down from being a very severe case situation
6 to something that is more akin to influenza, I think
7 that the vaccines will have done what we really need
8 for them to do which is to prevent the overwhelming of
9 the healthcare system and to protect the people who are
10 most at risk of serious disease.

11 The younger populations don't seem to have as
12 much of a problem, and I'm not as really worried even
13 if they are not boosted from the standpoint of -- the
14 other factor we're not paying attention to is, as this
15 pandemic evolves, we are looking currently as if people
16 are vaccinated or unvaccinated.

17 But there's also people who have been
18 infected. No one has really talked about whether
19 breakthrough infections -- I know that some people
20 don't like that term. But having been vaccinated and
21 then having experienced an infection because of waning

1 immunity, what sort of immunological responses does
2 that manifest and is that the equivalent of being
3 boosted?

4 Those are questions that I think are going to
5 become more critical because, eventually, everyone is
6 either going to have been vaccinated or had been
7 priorly infected or both. Really understanding what
8 their immunological status is across the age spectrum
9 and across the healthcare spectrum, I think, is going
10 to be very important. We can't just look at this as
11 boost people every six months. It's not going to work.

12 **DR. ARNOLD MONTO:** Dr. Meissner.

13 **DR. CODY MEISSNER:** Thank you, Dr. Monto. I
14 completely concur with everything that's been stated up
15 to this point in terms of younger adolescents and
16 children. If we look at the CDC hospitalization rate
17 for COVID-19 associated hospitalization in children
18 under 18 years, it's less than 1 per 100,000. The
19 rates of myocarditis are variable depending on the
20 study but probably at least 5 to 10 per 100,000. So,
21 before we recommend a vaccine for young children and

1 adolescents, I think we really need to know exactly
2 what Dr. Rubin said, what is the risk-benefit ratio?

3 I think giving a booster without a large
4 number of participants and subjects I think may not be
5 the best thing to do. Thank you.

6 **DR. ARNOLD MONTO:** Dr. Levy.

7 **DR. OFER LEVY:** Thank you. I think there are
8 four elements here I'd want to know more about before a
9 decision on recommending boosters all the way down to
10 18 years of age. We've talked a lot about risks to
11 young individuals, particularly young males, vis-à-vis
12 myocarditis, in relation to the risk of COVID symptoms.
13 What we haven't said too much about is if a vaccine
14 helps reduce transmission of coronavirus from a young
15 individual to their parents or grandparents. There are
16 both indirect and maybe direct benefits to that
17 individual as well. That calculus gets more
18 complicated and should be considered and analyzed.

19 Is it possible that boosters in the right
20 context could help us get to herd immunity? Several of
21 the other Committee members brought that up. The

1 Israeli data spoke to that possibility. That's
2 intriguing. Another unknown in my mind is solid
3 studies about long COVID in children. Does it exist?
4 What is it like? How frequent is it? Do we have
5 phenomena where children initially don't have many
6 symptoms, but then there are longer-term effects? To
7 my knowledge, the literature is still muddled on this,
8 and there's a lack of rigorous studies. We would look
9 forward to information from CDC and FDA for their
10 national analysis on that.

11 Finally, we're asked to consider these
12 questions without regard often to whether recommending
13 something would become making it available to a
14 particular age group versus its turning into a mandate.
15 That's not really the purview of our Committee because
16 that goes to CDC, and then states in our federal system
17 implement their approach to all of this. But
18 nevertheless, it would impact my view of it in terms of
19 the public health impact.

20 So those are four areas I think should be
21 considered and explicitly analyzed and discussed ahead

1 of any such vote by this Committee. Thank you.

2 **DR. ARNOLD MONTO:** Thank you. Dr. Gans.

3 **DR. HAYLEY GANS:** Apologies for being booted
4 off last time. I don't know how much you got, but I
5 really agree and want to thank my colleagues for this
6 discussion.

7 As the question is stated, it's really asking
8 if we have the current data. I think we need more, but
9 I would add to the amount of data that we need because
10 I think it's very important to get this question right.
11 The fact that other vaccines are used. We don't call
12 it a boost; just say a series. We really have to get
13 right, what is a series for this? And so we really
14 have to understand these breakthroughs to really
15 understand the disease long-term ramifications.

16 We need immunologic data on these
17 breakthroughs that we keep hearing we're going to get.
18 We've been actually hearing that for quite some time.
19 So it sounded like there were some preprint
20 information. We need that to move forward. We need
21 both the information not only around humoral immunity.

1 Everyone has brought up that we have to understand what
2 actually is humoral immunity. We Really need to appeal
3 to our colleagues looking at this to really understand
4 it.

5 The other piece of information that I think is
6 going to be really important, again, as the Delta
7 variant is actually causing a different distribution as
8 well as different severity of (audio skip) and we need
9 to understand -- we're not going to have long-term
10 data, but we need to understand the indications. Even
11 if you have mild disease, whatever that is, what does
12 that actually do? (audio skip) because allowing people
13 to get infected because we can't achieve sterilization
14 is different than affording them the ability not to
15 have damaged tissues from infection, as mild as it is.

16 I think we need several points that we're all
17 asking for and battling with so that we can make sure
18 that we understand this. I think it's very important
19 for us not to ignore signals that are out there. It's
20 true that Delta's dropping, but it's also true that
21 there's a different disease form and we are seeing

1 people hospitalized who don't necessarily meet the risk
2 factors that we understood with the original. I think
3 it's very important not to ignore signals early so that
4 we can cause prevention.

5 I think that's what this question is asking.
6 Did we hit it right first, and (audio skip) end it?
7 That's what I think we need. But I also would say --
8 and I don't know if this was something that I said
9 before and was heard -- but this question does not need
10 to be answered in an and-or question. We can immunize
11 people who are not vaccinated and still (audio skip).
12 And then we need to also consider the protection of the
13 very youngest people in our study who (audio skip).

14 **DR. ARNOLD MONTTO:** Thank you, Dr. Gans. As we
15 go forward in our discussion, I think we should not
16 think about this as one enormous population group down
17 to age 18. The risk-benefit may vary in some of the
18 older -- still young but let's say down to age 40 -- as
19 compared to the 40- to 18-year-olds. We are seeing
20 breakthrough, to use an unwelcome term. We are seeing
21 infections with hospitalization in those age groups.

1 We will be getting data as the boosters are rolled out
2 in the older populations. Let's keep that in mind and
3 not look at this as a single question but perhaps a
4 question that can be broken into stages. Going further
5 to Dr. Cohn.

6 **CAPT. AMANDA COHN:** Thanks, Dr. Monto.
7 That is actually one of the points I was going to bring
8 up as well. I'd really like to bring up the age group
9 of 50 and older. One of the topics that came up during
10 the ACIP meeting where this was discussed is that 65 is
11 really a construct for being older or not. Given the
12 incredible impact that COVID has had on many older
13 communities of color, it's even especially important
14 that we protect older persons of color who may not
15 actually meet that 65-age cutoff.

16 I would like to consider, at least, moving
17 down to age 50, where the risk for myocarditis after
18 one dose and two dose and in the third dose from
19 Israel, is back to baseline.

20 **DR. ARNOLD MONTO:** Dr. Hildreth.

21 **DR. JAMES HILDRETH:** Thank you, Dr. Monto. I

1 want to reference a point made by Dr. Gans. I said
2 this last time. What would be really helpful would be
3 to have some objective measure to know when boosters
4 are needed, an immune correlate. It could be a certain
5 neutralizing antibody titer or a certain T cell
6 response. That way we could know when boosters are
7 needed regardless of the risk factors because, after
8 all, the first problem to be solved is keeping people
9 protected from infection. To know when the antibody
10 levels are high enough to protect them would be very
11 helpful.

12 I don't understand how after hundreds of
13 millions of people infected and almost a thousand
14 trials that we don't have that information yet. I
15 think an immune correlate would be really helpful in
16 all of this. Thank you.

17 **DR. ARNOLD MONTO:** Dr. Moore.

18 **DR. PATRICK MOORE:** (Audio gap) change an
19 adenovirus vaccine where something like 1 out of 50,000
20 to 100,000 young men will be affected apparently by the
21 RNA vaccines. One way to approach that, of course, is

1 to restrict or at least suggest restricting the use of
2 each class of vaccine to those that have the highest
3 risk of severe adverse effects from it.

4 **DR. ARNOLD MONTO:** Thank you. We don't have
5 any hands raised. Dr. Marks, would you like to make
6 some comments before I try to summarize the discussion?

7 **DR. PETER MARKS:** Thanks very much to the
8 Committee members. I think we heard pretty loud and
9 clearly that there was not a lot of appetite for moving
10 down the age range very significantly if at all. I
11 think we'll go back and try to understand what might
12 make the most sense, if anything, based on your
13 feedback. If anyone wants to chime in on anything else
14 in that regard, we're happy to hear that. I think
15 that's the summary that we would take from this. We do
16 hear very loud and clear this need for benefit-risk
17 considerations here.

18 It is a very challenging pandemic. Having
19 been doing this now for about two years, the problem
20 here is that we don't know what we don't know. And
21 making any predictions about what's going to happen in

1 the next month is very challenging. There are models
2 that predict that we could potentially have another
3 wave of COVID-19 as people go inside this winter, and
4 we have either the current variants or one other one
5 come up. That is part of what is going into our minds
6 here about being prepared. I think we can't simply
7 look right now at what's going on with the pandemic's
8 curve and just call it a day.

9 We have to be able to think about what might
10 happen. I would encourage people to look at anyone --
11 there were several very good modeling groups, academic
12 as well as from the CDC, which are concerned that we
13 could see another wave. That's part of what's going
14 into our thinking here is that we do have to think
15 ahead. But we're very, very grateful for the
16 Committees. I think it seems pretty uniformed feedback
17 here.

18 **DR. ARNOLD MONTO:** Dr. Pergam, I see that you
19 have your hand raised. I may have missed it.

20 **DR. STEVEN PERGAM:** That's okay, Dr. Monto.
21 This is more just a question of how the process works.

1 Maybe this is for Dr. Marks. Currently, the FDA
2 guidance is that it's these particular groups that
3 would be eligible. If the ACIP decided to change the
4 age range, would that be a decision that they would
5 make independently, or did they need our group to vote
6 to make those changes first to allow them to drop to
7 those lower levels? Are they only allowed to vote on
8 sort of what we've approved from this Committee? I
9 just wanted clarification on that.

10 **DR. PETER MARKS:** I'm going to actually defer
11 part of this to Dr. Cohn. It's nice to have her on the
12 line to be able to -- but, in general, the idea here is
13 that ACIP for these emergency use authorizations could
14 potentially -- there are a lot of options. They could
15 potentially narrow. There's another vehicle they could
16 use called "Emergency Use Instructions," which could
17 work differently. Ideally, what we have would be
18 something that would be broad, and they would
19 potentially narrow or refine further. Dr. Cohn, do you
20 want to try to refine what I said a little bit?

21 **CAPT. AMANDA COHN:** Sure. I'll confirm that

1 ACIP -- under the constrictions of the EUA, unlike a
2 BLA, ACIP really can't expand or be broader than FDA
3 conditions of use. However, we can be more narrow.
4 For example, FDA could go down on age, and ACIP would
5 not have to. But, if FDA does not change and go down
6 on age, ACIP could not address it.

7 **DR. ARNOLD MONTTO:** Thank you. I think that's
8 pretty clear. What I would suggest, Dr. Marks, as we
9 go forward -- and I'm not looking for more meetings.
10 These are quite tiring and time consuming for all of
11 us. I think we need to develop some rationale for
12 going down in age groups. As we gain experience with
13 the booster doses in an older and other populations at
14 high risk, which will include younger individuals, I
15 think part of the problem is, basically, one of risk-
16 benefit. And I don't know that the benefit has been
17 sufficiently defined.

18 As we go down in age and gain experience in
19 terms of the risk and the, to a lesser extent, benefit
20 because we may not see that if in fact the wave that
21 we're currently getting out of does not return, then we

1 can revisit the topic and try to refine it in terms of
2 different age groups and what might happen in the older
3 of the young and the younger of the young, not going
4 below 18 years of age. I think that would be my
5 summary.

6 The concern that I have is that we don't want
7 to wait until we see more severe infections in the
8 under 65-year-old general population because getting
9 this vaccine out takes time and requires extreme
10 logistic efforts.

11 That's my summary. At this point, thank you
12 all. Thank you to the staff of FDA. Thanks to members
13 of the Committee. I'll turn this over to Prabha for the
14 official closing, until tomorrow, that is.

15 **MS. PRABHAKARA ATREYA:** Thank you, Dr. Monto.
16 Thank you, everyone, all the members and consultants
17 and the meeting participants and speakers. Thank you
18 for a very productive meeting. We are actually closing
19 earlier than anticipated. We will be ready for our
20 (inaudible) tomorrow morning on another topic. Thank
21 you and the meeting is adjourned now at 3:50 p.m.

1 Eastern time. Thank you.

2

3

[MEETING ADJOURNED FOR THE DAY]

4

5

OPENING REMARKS: CALL TO ORDER AND WELCOME

6

7

MR. MICHAEL KAWCZYNSKI: Good morning and

8

welcome to the 169th meeting of the Vaccines and

9

Related Biological Products Advisory Committee Meeting.

10

I am Mike Kawczynski, and I will be moderating today's

11

activities throughout the day. That means you may see

12

me pop in every once in a while to address any

13

technical issues or -- so if that does happen, we may

14

have to take an unscheduled break, but not to worry, we

15

will get it back up and running really quickly after

16

that.

17

So this is day two, so, with that being said,

18

of the 169th meeting, so Dr. Monto, are you there?

19

I'll have you turn your camera on. Dr. Monto is our

20

chair for today. Dr. Monto, did you mute your -- there

21

we go. That's all right, we'll wait for you. Can't

1 start the meeting without you.

2 **DR. ARNOLD MONTO:** I'm trying to get the
3 camera to work.

4 **MR. MICHAEL KAWCZYNSKI:** All right, we'll wait
5 a second.

6 **DR. ARNOLD MONTO:** It's behaving -- you're
7 going to have to deal with me for the introductions
8 without my picture for a moment.

9 **MR. MICHAEL KAWCZYNSKI:** All right.

10 **DR. ARNOLD MONTO:** I'd like to welcome you all
11 to the continuation of the 169th Meeting of the
12 Vaccines and Related Biologics Products Advisory
13 Committee. This is day two, and the major topic for
14 today, not the only topic, is the Committee will meet
15 in open session to discuss the EUA of the Janssen
16 Biotech, Incorporated COVID-19 vaccine for the
17 administration of a booster dose to individuals 18
18 years of age and older.

19 Prabha Atreya, our Designated Federal Officer,
20 will be introducing the members of the Committee and
21 going over housekeeping details as usual, and read all

1 the appropriate statements that need to be handled.

2 So, over to you, Prabha. Good luck with your camera.

3 **MR. MICHAEL KAWCZYNSKI:** There she is. All
4 right, Prabha, you ready?

5

6 **ADMINISTRATIVE ANNOUNCEMENTS, ROLL CALL, INTRODUCTION**
7 **OF COMMITTEE, CONFLICT OF INTEREST STATEMENT**

8

9 **DR. PRABHAKARA ATREYA:** Yes, I am ready.

10 Thank you so much, Dr. Monto. Good morning everyone.

11 This is Dr. Prabha Atreya, and it is my great honor to
12 serve as the designated federal officer. That is the
13 DFO for today's 169th Vaccines and Related Biological
14 Products Advisory Committee meeting.

15 On behalf of the FDA, the Center for Biologics
16 Evaluations and Research, and the VRBPAC Committee, I
17 would like to welcome everyone for today's virtual
18 meeting. As Dr. Monto mentioned, the topic for today's
19 meeting is to discuss in open session the emergency use
20 authorization, EUA, of the Janssen Biotech,
21 Incorporation's COVID vaccine for the administration of

1 a booster dose to individuals 18 years of age and
2 older. Today's meeting and the topic were announced in
3 the Federal Register Notice that was published on
4 October 7, 2021.

5 I would like to introduce and acknowledge the
6 excellent contributions of the staff in my division and
7 the great teams we have in preparing for this meeting.
8 Can we have the slide, please? So, Ms. Kathleen Hayes
9 is my co-DFO providing excellent support in all aspects
10 of preparing for and conducting this meeting. The
11 other staff who contributed significantly are Ms.
12 Monique Hill, Ms. Karen Thomas, and Ms. Christina Vert
13 who also provided excellent administrative support. I
14 would also like to express our sincere appreciation to
15 Mr. Mike Kawczynski, who is facilitating the meeting
16 today. Also, our kudos to many FDA staff working hard
17 behind the scenes, trying to ensure that today's
18 virtual meeting will also be a successful one, like all
19 the previous VRBPAC meetings on the COVID topic.

20 Please direct any press or media questions to
21 the FDA's Office of the Media Affairs at FDAOMA@fed.hhs.gov.

1 The transcriptionist for today's meeting are Ms. Linda
2 Giles and Ms. Erica Denham.

3 We will begin today's meeting by taking a
4 formal roll call for the Committee members and
5 temporary voting members. When it is your turn, please
6 turn on your camera and unmute your phone and then
7 state your first and last name. And, when finished,
8 you can turn your camera off so we can proceed to the
9 next person. Please see the member roster slide, which
10 will begin with the chair. Dr. Monto? Can you start?

11 **DR. ARNOLD MONTO:** Yes, I can, and my webcam
12 is working now. I'm Arnold Monto, I'm professor of
13 epidemiology and public health and global public health
14 at the University of Michigan School of Public Health.
15 And I've worked for many, many years on vaccines,
16 particularly flu and have been involved in pandemic
17 response on several occasions. Back to you, Prabha.

18 **DR. PRABHAKARA ATREYA:** Great, thank you. Dr.
19 Amanda Cohn.

20 **DR. AMANDA COHN:** Good morning, I'm Amanda
21 Cohn, a pediatrician with experience in vaccine-

1 preventable diseases at the Centers for Disease Control
2 and Prevention.

3 **DR. PRABHAKARA ATREYA:** Thank you. Dr.
4 Chatterjee.

5 **DR. ARCHANA CHATTERJEE:** Good morning,
6 everyone, my name is Archana Chatterjee, I'm a
7 pediatric infectious diseases specialist with expertise
8 in vaccines. I'm also the Dean of Chicago Medical
9 School at Rosalind Franklin University of Medicine and
10 Science in North Chicago.

11 **DR. PRABHAKARA ATREYA:** Thank you, Dr.
12 Chatterjee. Next is Dr. Meissner, Cody Meissner. We
13 can't hear you, Dr. Meissner.

14 **MR. MICHAEL KAWCZYNSKI:** Give us a second, let
15 me unmute Dr. Meissner. Sorry, there you go, Cody.

16 **DR. CODY MEISSNER:** Thank you. My name's Cody
17 Meissner. I'm a professor of pediatric infectious
18 disease at Tufts University School of Medicine at Tufts
19 Medical Center in Boston. Thank you.

20 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.
21 Gans.

1 **DR. HAYLEY GANS:** Good morning and thank you.
2 I'm a professor of pediatric infectious diseases at
3 Stanford University (audio skip) director of our
4 pediatric infection program for (audio skip) research
5 focus is on (audio skip).

6 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Gans,
7 next, Dr. Michael Kurilla.

8 **DR. MICHAEL KURILLA:** Good morning. Mike
9 Kurilla, I'm the director of the division of clinical
10 innovation at the National Center for Advancing
11 Translational Sciences within the National Institutes
12 of Health. I'm a pathologist by training with a
13 background in infectious diseases and vaccine
14 development.

15 **DR. PRABHAKARA ATREYA:** Thank you, Dr.
16 Kurilla. Next is Dr. Paula Annunziato.

17 **DR. PAULA ANNUNZIATO:** Good morning. I'm
18 Paula Annunziato. I lead global clinical development
19 for vaccines at Merck, and I'm here today serving as
20 the non-voting industry representative.

21 **DR. PRABHAKARA ATREYA:** Thank you, Dr.

1 Annuziato. Next, Dr. Pergam.

2 **DR. STEVEN PERGAM:** Thanks, Dr. Atreya, I'm
3 Steve Pergam. I'm an adult infectious disease
4 physician and an associate professor at Fred Hutchinson
5 Cancer Research Center and University of Washington in
6 Seattle.

7 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.
8 Fuller.

9 **DR. OVETA FULLER:** Good morning, Dr. Atreya,
10 I'm Dr. Oveta Fuller. I'm an associate professor of
11 microbiology and immunology at the University of
12 Michigan in the medical school and a member of the STEM
13 initiative in the African Studies Center. I'm a
14 virologist by training, and I work in community
15 implementation.

16 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.
17 Rubin.

18 **DR. ERIC RUBIN:** (Audio skip) editor in chief
19 (audio skip).

20 **MR. MICHAEL KAWCZYNSKI:** Start again, Dr.
21 Rubin.

1 **DR. PRABHAKARA ATREYA:** We can't hear you, Dr.
2 Rubin.

3 **MR. MICHAEL KAWCZYNSKI:** You were muted.

4 **DR. ERIC RUBIN:** Oh, wow, okay. I'm Eric
5 Rubin, again. I'm a microbiologist at the Harvard T.H.
6 Chan School of Public Health, an infectious disease
7 physician at the Brigham and Women's Hospital, and
8 editor in chief with *The New England Journal of*
9 *Medicine*.

10 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.
11 James Hildreth.

12 **DR. JAMES HILDRETH:** Good morning. I'm James
13 Hildreth, the president and CEO of Meharry Medical
14 College and professor of medicine. And I'm a viral
15 immunologist by training, thank you. Good morning.

16 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.
17 Hawkins.

18 **DR. RANDY HAWKINS:** Hi, good morning,
19 everyone, Dr. Randy Hawkins, physician in private
20 practice internal and pulmonary medicine, Charles Drew
21 University. I'm a temporary consumer representative.

1 **DR. PRABHAKARA ATREYA:** Thank you, Dr.
2 Hawkins. Next, Dr. Jeannette Lee.

3 **DR. JEANNETTE LEE:** Yes, good morning. My
4 name is Jeannette Lee. I'm a professor of
5 biostatistics and a member of the Winthrop P.
6 Rockefeller Cancer Institute at the University of
7 Arkansas for Medical Sciences. Thank you.

8 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Lee.
9 Next, Dr. Sawyer.

10 **DR. MARK SAWYER:** Good morning, this is Mark
11 Sawyer. I'm a professor of pediatrics and pediatric
12 infectious disease specialist at the University of
13 California, San Diego, and Rady Children's Hospital,
14 San Diego. My area of focus is in vaccine policy.

15 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Sawyer.
16 Dr. Melinda Wharton.

17 **DR. MELINDA WHARTON:** Good morning, I'm
18 Melinda Wharton. I'm an adult infectious disease
19 physician at the Centers for Disease Control and
20 Prevention.

21 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.

1 Ofer Levy.

2 **DR. OFER LEVY:** Good morning, everyone. My
3 name is Ofer Levy, and I'm a physician scientist and
4 director of the Precision Vaccines Program at Boston
5 Children's Hospital, where we use cutting-edge
6 approaches to optimize vaccine safety and efficacy
7 towards vulnerable populations. And I welcome
8 everybody here today, good morning.

9 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.
10 Moore.

11 **DR. PATRICK MOORE:** Good morning. I'm Pat
12 Moore. I'm a professor in the department of
13 microbiology and molecular genetics at the University
14 of Pittsburgh Hillman Cancer Center, and my interest is
15 in (audio skip) viruses.

16 **DR. PRABHAKARA ATREYA:** Thank you. Next, Dr.
17 Stanley Perlman.

18 **DR. STANLEY PERLMAN:** Good morning. I'm Dr.
19 Stanley Perlman from the University of Iowa Department
20 of Microbiology and Immunology and a pediatric
21 infectious diseases specialist. And I have a long-term

1 interest in coronaviruses.

2 **DR. PRABHAKARA ATREYA:** Thank you. Last, but
3 not least, we are joined by Dr. Paul Offit.

4 **DR. PAUL OFFIT:** Yes, good morning. I'm Paul
5 Offit. I am a professor of pediatrics in the division
6 of infectious diseases at Children's Hospital
7 Philadelphia and the Perelman School of Medicine at the
8 University of Pennsylvania. And my area of expertise
9 is vaccines. Thank you.

10 **DR. PRABHAKARA ATREYA:** Thank you. We also
11 will be joined by Dr. Michael Nelson soon, and then
12 we'll introduce when he comes in. So, next, I will
13 proceed with the reading of the conflicts of interest
14 statement for the public record.

15 The Food and Drug Administration, FDA, is
16 convening virtually today, October 15, 2021, the 169th
17 Meeting of the Vaccines and Related Biological Products
18 Advisory Committee, VRBPAC, under the authority of the
19 Federal Advisory Committee Act of 1972. Dr. Arnold
20 Monto is serving as the acting voting chair for today's
21 meeting.

1 Today, on October 15, 2021, on the topic to
2 the Committee will meet in open session to discuss the
3 emergency use authorization, EUA, of the Janssen
4 Biotech, Incorporation's COVID-19 vaccine for the
5 administration of a booster dose to individuals 18
6 years of age and older.

7 The topic is determined to be a particular
8 matter involving specific parties. With the exception
9 of industry representative members, all standing and
10 temporary voting members of the VRBPAC are appointed
11 special government employees, or SGEs, or regular
12 government employees, RGEs, from other agencies and are
13 subjected to federal Conflicts of Interest laws and
14 regulations.

15 The following information on the status of
16 this Committee's compliance with Federal Ethics and
17 Conflict of Interest laws including, but not limited
18 to, 18 U.S. Code Section 208 is being provided to
19 participants today and to the public. Related to the
20 discussions at the meeting, all members, RGEs and SGEs
21 consultants of this Committee have been screened for

1 their potential financial conflicts of their own; as
2 well as those imputed to them including those of their
3 spouse or minor children; and, for the purposes of 18
4 U.S. Code 208, their employers.

5 These interests may include investments,
6 consulting, expert witness testimony, contracts and
7 grants, cooperative research and development agreements
8 or CRADAs, teaching, speaking engagements, writing,
9 patents, royalties, and their primary employment.

10 These interests may include that are current interests
11 or under negotiation.

12 FDA has determined that all members of this
13 Advisory Committee, both regular and temporary members,
14 are in compliance with the Federal Ethics and Conflicts
15 of Interest laws.

16 Under 18 U.S. Code Section 208, Congress has
17 authorized the FDA to grant waivers to special
18 government employees and also to regular government
19 employees who have financial conflicts of interest when
20 it is determined that the Agency's need for a special
21 government employee's services outweighs the potential

1 for the conflict of interest created by the financial
2 interest involved or when the interest of the regular
3 government employee is not so substantial as to be
4 deemed likely to affect the integrity of the services
5 which the government may expect from the employee.

6 Based on today's agenda, and all financial
7 interests reported by the Committee members and
8 consultants, there have been one Conflict of Interest
9 waiver issued under 18 U.S. Code 208 in connection with
10 this meeting.

11 We have been following consulting serving as
12 temporary voting members, Dr. Fuller, Dr. Hawkins, Dr.
13 Hildreth, Dr. Lee, Dr. Levy, Dr. Monto, Dr. Moore, Dr.
14 Perlman, Dr. Rubin, Dr. Nelson, Dr. Sawyer, and Dr.
15 Wharton. Among all these consultants, Dr. James
16 Hildreth, a special government employee, has been
17 issued a waiver for his participation in today's
18 meeting. The waiver was posted on the FDA website for
19 public disclosure.

20 Dr. Paula Annunziato of Merck will serve as
21 the industry representative for today's meeting.

1 Industry representatives are not appointed as special
2 government employees and will serve as a non-voting
3 member of the Committee. They act on the behalf of all
4 regulated industry and bring general industry
5 perspective to the Committee deliberations. The
6 industry representative on this Committee is not
7 screened and does not participate in any closed
8 sessions we have and do not have voting privileges.

9 Dr. Randy Hawkins is serving as the temporary
10 consumer representative for this Committee today.
11 Consumer Representatives are appointed as special
12 government employees and are screened and cleared prior
13 to their participation in the meeting. They are voting
14 members of the Committee.

15 The guest speaker for today's meeting is Dr.
16 Kirsten Lyke, a professor of medicine at the University
17 of Maryland. Disclosure of conflicts of interest for
18 speakers and guest speakers follows applicable federal
19 laws, regulations, and FDA compliance.

20 FDA encourages all meeting participants,
21 including open public hearing speakers, to advise the

1 Committee of any financial relationships that they may
2 have with any affected firms, its products, and if
3 known, its direct competitors. We would like to remind
4 the standing and temporary members that if the
5 discussions involve any of the products or firms not
6 already on the agenda for which an FDA participant has
7 a personal or imputed financial interest, the
8 participant needs to inform the DFO and exclude
9 themselves from the discussions and that their
10 exclusion will be noted for the record.

11 This concludes my reading of the Conflicts of
12 Interest statement for the public record. At this
13 time, I would like to hand the meeting back to Dr.
14 Monto, our chair for the day. Thank you so much. Dr.
15 Monto, take it away.

16 **DR. ARNOLD MONTO:** Thank you very much,
17 Prabha. A few points of information before we go into
18 the beginning of the meeting with Dr. Marks. The first
19 is that, because we have a limited number of speakers
20 who have requested to participate in the open public
21 hearing, we will probably start the question and answer

1 sessions, in terms of the presentations, the sponsor
2 and the FDA presentations, before lunch rather than
3 after lunch. This is to inform you about something
4 which we did yesterday as well.

5 And, speaking about yesterday, I just want to
6 remind the Committee this is a two-day meeting, so we
7 may be discussing things today which were also
8 discussed yesterday. This is a continuing meeting.
9 Having said that, I'd like to turn it over to Dr.
10 Marks, who is the head of CBER and will be telling us
11 what our instructions or action are today. He will
12 introduce the topic, Dr. Marks.

13

14

INTRODUCTION OF THE TOPIC

15

16 **DR. PETER MARKS:** Thanks very much, Dr. Monto.
17 Greetings to all. I want to thank all the members of
18 the Committee for a very productive discussion
19 yesterday. I also want to thank our staff, the
20 sponsors, and our open public hearing speakers. I also
21 want to recognize and thank those who submitted some

1 very thoughtful comments and even some data to the
2 public docket. Now I'd like to take a few minutes to
3 briefly review where we came to yesterday and preview
4 our agenda for today.

5 Yesterday morning, we heard a presentation
6 from our Israeli colleagues on the use of a third dose
7 of the Pfizer BioNTech mRNA vaccine to try to address
8 the Delta wave of COVID-19 that occurred in Israel over
9 this past summer. Our colleagues presented data
10 indicating the potential efficacy and the safety of
11 this intervention, which appeared to reduce the
12 incidence of severe COVID-19 in individuals down to the
13 age of 40 years. Following that, we heard
14 presentations by Moderna and FDA colleagues regarding
15 the use of third doses of the Moderna COVID-19 mRNA
16 vaccine. There was some discussion regarding concerns
17 about the studies size there, but, ultimately, the
18 Committee voted unanimously to recommend authorizing
19 the Moderna COVID-19 mRNA vaccine for a similar
20 population as the Pfizer BioNTech mRNA vaccine.

21 Following that, there was a discussion of

1 whether there should be an expansion of the population
2 eligible for third doses of the mRNA vaccines. And,
3 although some members noticed they might be comfortable
4 with moving the age eligibility for mRNA vaccine
5 boosters for the general population down to between 30
6 and 50 years of age, the consensus of the Committee
7 appeared to be that there was no urgency to do so at
8 this time.

9 So, for today, we'll continue the discussion
10 of boosters, first with consideration of Janssen's
11 request to authorize a second dose of their human
12 adenoviral 26 vectored COVID-19 vaccine, and that will
13 be a voting topic. And, following that, we'll hear a
14 presentation of the heterologous booster, or "Mix and
15 Match" Study that's being conducted by the National
16 Institute of Allergy and Infectious Diseases. And that
17 will then be open for discussion. We'll very much look
18 forward to the Committee's deliberations, and I want to
19 thank you once again for your engagement and
20 contributions to this process. Thanks very much and I
21 wish you a great meeting.

1 **DR. ARNOLD MONTO:** Thanks, Dr. Marks. First,
2 we are going to have some background about the day's
3 activities and to present this, including some added
4 information I think, we are going to be hearing from
5 Dr. Sudhakar, who is from the Division of Vaccines and
6 Related Products Applications from CBER. Please, Dr.
7 Sudhakar.

8

9 **FDA INTRODUCTION - JANSSEN COVID-19 VACCINE APPLICATION**
10 **FOR EMERGENCY USE AUTHORIZATION OF A BOOSTER DOSE**

11

12 **DR. SUDHAKAR AGNIHOTHRAM:** Thanks, Dr. Monto.
13 Good morning, everyone, and can you hear me okay? And,
14 then, is my camera working well?

15 **MR. MICHAEL KAWCZYNSKI:** Yeah, you're good.
16 Take it away, sir.

17 **DR. SUDHAKAR AGNIHOTHRAM:** Thanks, Mike. Good
18 morning, everyone, and welcome to the second day of the
19 Advisory Committee meeting discussing the boosters.
20 And, again, I'm Sudhakar Agnihothram, Division of
21 Vaccines and Related Product Applications, OVRP, CBER.

1 And I'm going to talk to you today about the Janssen
2 COVID-19 application for emergency use authorization of
3 the booster dose.

4 Here is the outline of my talk, I'll start
5 with the description of the Janssen COVID-19 vaccine
6 and their EUA request for the booster dose. And I'll
7 do an overview of today's agenda following presentation
8 of the voting and discussion questions for the
9 Committee.

10 Janssen COVID-19 vaccine was authorized for
11 use under emergency use on February 27, 2021. The
12 indication and usage, Janssen COVID-19 vaccine is
13 indicated for active immunization to prevent COVID-19
14 caused by SARS-CoV-2 in individuals 18 years of age and
15 older. Janssen COVID-19 vaccine is administered as a
16 single dose of volume 0.5 mL and each dose of Janssen
17 COVID-19 vaccine contains five times ten to the tenth
18 viral particles for replication-incompetent recombinant
19 adenovirus type 26, which is abbreviated as Ad26 vector
20 expressing the SARS-CoV-2 spike protein from the
21 isolate Wuhan-Hu-1 in a stabilized confirmation.

1 The amendment for booster dose for the
2 emergency use authorization came in on October 4, 2021.
3 And the proposed use of booster dose of five times ten
4 to the tenth viral particles under the emergency use is
5 as follows: "A booster dose is recommended at six
6 months or later, based on the strength of the immune
7 responses, although a booster dose may be administered
8 as early as two months. The need for a booster dose
9 and/or its timing will depend on the local and
10 epidemiological situation and the needs of
11 individuals/specific populations."

12 The clinical package in this amendment
13 includes information from Phase 1/2 studies evaluating
14 safety and immunogenicity of a second dose, or a
15 booster dose, of five times ten to the tenth viral
16 particles administered at various intervals starting
17 from two to six months following primary vaccination.

18 There's also information from Phase 3 studies
19 evaluating safety and efficacy of a single dose of five
20 times ten to the tenth viral particles and a two-dose
21 regimen of five times ten to the tenth of each dose

1 that is administered two months apart. Data has also
2 been submitted from observational effectiveness studies
3 of Janssen COVID-19 vaccine in the U.S.

4 Overview of today's agenda. FDA introduction
5 will be followed by a brief question and answer session
6 for five minutes. That'll be then followed by a
7 sponsor presentation from Janssen titled "Efficacy,
8 Safety and Immunogenicity Data for a Booster Dose of
9 Janssen COVID-19 Vaccine." And there will be five
10 speakers from Janssen: Dr. Heaton, Dr. Van Hoof, Dan
11 Barouch from Harvard, Dr. Schneeweiss, and Dr. Macaya
12 Douoguih.

13 This will be followed by an FDA presentation
14 from Dr. Rachel Zhang and Dr. Timothy Brennan from OVR
15 CBER, and Dr. Artur Belov from OBE CBER, and Dr.
16 Narayan Nair from Division of Epidemiology, CBER.
17 There will be a question and answer session for ten
18 minutes. There will be a break of ten minutes after
19 that and there will be an open public hearing, and we
20 just heard that because of a low number of public
21 hearing speakers, that additional question and answer

1 sessions may be preponed prior to the lunch.

2 And, after that, there will be Committee
3 discussion and voting. This will be followed by a
4 break, and we will have a presentation from NIH on the
5 Mix and Match Booster Study from Dr. Kirsten Lyke,
6 Professor of Medicine University of Maryland. And
7 there will be a Q&A session for ten minutes that is
8 followed by Committee discussion, FDA questions for 45
9 minutes.

10 Here is the voting question for the Committee
11 for today's meeting: "Do the available data support the
12 safety and effectiveness of Janssen COVID-19 vaccine
13 for use under EUA as a booster dose in individuals 18
14 years and older at least two months after a single dose
15 primary vaccination? If yes to this number one, do
16 available data support that an interval of at least six
17 months between a single primary dose and a booster dose
18 may result in a more robust booster response? If no to
19 number one, then do available data support the safety
20 and effectiveness of Janssen COVID-19 vaccine for use
21 under EUA as a booster dose in individuals 18 years and

1 older at least six months after a single dose primary
2 vaccination?"

3 There is also a non-voting discussion question
4 that is related to the NIH presentation on the Mix and
5 Match Booster Study. And that discussion question is
6 as follows: "Taking into consideration the limitations
7 of the study design and sample size, please discuss any
8 general observations that can be made regarding the
9 data on heterologous boosters presented by NIH from
10 their Mix and Match Booster Study."

11 Again, I would like to thank the Advisory
12 Committee members and my supervisors and management for
13 the opportunity to present here. Thank you very much.

14

15 **Q&A SESSION**

16

17 **DR. ARNOLD MONTA:** Thank you, and before we go
18 on to a couple of questions for clarity, I'd like to
19 review with you the two voting questions and the
20 distinction between them because it's very subtle.

21 **MR. MICHAEL KAWCZYNSKI:** Did you want me to

1 pull them on screen for you so you can see them?

2 **DR. ARNOLD MONTO:** That would be helpful. Put
3 them on screen. I think we need some clarity about
4 this before we start deliberating.

5 **MR. MICHAEL KAWCZYNSKI:** Hold on one second.

6 **DR. PETER MARKS:** Dr. Monto, Committee
7 members, the sponsor will be presenting data from
8 studies looking at their vaccine where it was used at a
9 six-month interval to boost individuals and other
10 studies, looking at other intervals including two
11 months or two or three months. And, because of those
12 different intervals, there could be different outcomes
13 of what the Committee feels is most supported.

14 If the Committee feels that the two-month
15 interval is supported, it could be then you'll also
16 feel that a six-month interval might be supported by
17 those data. On the other hand, if you do not feel that
18 a two-month interval is supported by the data, it's
19 possible that you'll feel that a six-month interval is
20 supported by the data. Alternatively, you might feel
21 neither of that is the case, but the way this question

1 is worded is so that you could either choose a two
2 month, a six month, or a two month and six month. And
3 the two month and six months would be that it's a two-
4 month interval with this idea that the six month could
5 provide a more robust booster response. Does that make
6 a little bit more sense here?

7 **DR. ARNOLD MONTO:** If we like the two months,
8 then we vote yes to the A?

9 **DR. PETER MARKS:** Correct. Well, if you like
10 the two months --

11 **DR. ARNOLD MONTO:** Because the two months
12 (inaudible).

13 **DR. PETER MARKS:** -- if you like the two
14 months (inaudible). I think, just to make it clear,
15 first, we'll vote on the main question at the top. And
16 then we'll have a vote on that, and, based on that, if
17 the vote on that is yes, then we move to question 1A,
18 if the vote on that is no, we move to 1B.

19 **DR. ARNOLD MONTO:** Okay, so there are three
20 votes. So there are potentially three votes. Or it's
21 A and B depending on the vote on the major question

1 that's up there.

2 **DR. PETER MARKS:** Correct, there should be two
3 votes. It would be the main question and A, and the
4 main question and B.

5 **DR. ARNOLD MONTO:** Okay then, A or B.

6 **DR. PETER MARKS:** Right.

7 **DR. ARNOLD MONTO:** Do I have that right?

8 **DR. PETER MARKS:** Yes, I think I have that
9 right now, yes.

10 **DR. ARNOLD MONTO:** That helps. Okay. Thank
11 you very much.

12

13 **SPONSOR PRESENTATION - EMERGENCY USE AUTHORIZATION**

14 **(EUA) AMENDMENT FOR A BOOSTER DOSE FOR THE JANSSEN**

15 **COVID-19 VACCINE (AD26.COV2.S)**

16

17 **DR. ARNOLD MONTO:** Okay, we're moving on to
18 the sponsor presentations, which are being led by Dr.
19 Penny Heaton, Global Therapeutic Area Head, Vaccines at
20 Janssen. Dr. Heaton.

21 **DR. PENNY HEATON:** Thank you, Dr. Monto, and

1 good morning, everyone. My name is Penny Heaton and
2 I'm the Global Therapeutics area head for vaccines at
3 Janssen.

4 We want to thank the Committee today and the
5 FDA for this opportunity to present the data from our
6 recently submitted EUA amendment. And I also want to
7 thank you for your enduring commitment and your hard
8 work throughout the course of this pandemic.

9 Today, we are seeking authorization for use of
10 Janssen's Ad26 COVID vaccine as a homologous booster in
11 those individuals who were previously vaccinated with
12 the single dose. More than 14 million individuals in
13 the U.S. have received Janssen's vaccine, and, while
14 the efficacy has been stable, it's been consistent, but
15 we think that the data we're going to share today will
16 highlight the opportunity that we have to further
17 increase the efficacy and the protection with the
18 booster dose.

19 So, before we share the data, I think it's
20 worthwhile to note the differences in the Ad26 vaccine
21 and our development strategy as compared with that of

1 other COVID vaccines. First, our initial Phase 3 study
2 evaluated the safety and efficacy of a single-dose
3 regimen for pandemic response globally.

4 Second is the durable efficacy. The single
5 dose had 74 percent efficacy against severe disease and
6 70 percent efficacy against all symptomatic disease.
7 And that efficacy has persisted for six months with no
8 drop off, as you will see today in our data from the
9 randomized clinical trials and the real-world evidence
10 studies.

11 Third, is we have a unique immuno-profile as
12 compared to the other vaccines. Antibody titers, they
13 peak later, they're broadly reactive against multiple
14 strains, the variants, that we tested. And they
15 persist; we have data now out to eight to nine months
16 post-vaccination.

17 Further, our cell-mediated immune responses
18 are strong with robust CD8 and CD4 positive T cell
19 responses that are likewise persistent. These
20 findings, I think, really underscore the opportunity
21 that we have with the Ad26 booster to further increase

1 protection against COVID.

2 Now, in total, over 9,000 participants have
3 received a booster dose of Janssen's vaccine in our
4 randomized clinical trials. Shortly after we initiated
5 the single-dose study, we started a second Phase 3
6 study: the safety and efficacy of two doses of the
7 vaccine, a booster that follows the first dose by two
8 months. And that study showed that a booster is safe
9 and efficacious against COVID. In terms of safety,
10 when compared to the single-dose regimen, the
11 reactogenicity profile of the booster was similar.
12 There was no increase in unsolicited adverse events and
13 no new trends in any AEs of special interest.

14 The vaccine was also efficacious against
15 symptomatic disease. It was 94 percent; that was up
16 from 70 percent, of course, in the single-dose study.
17 And we have complete protection against severe disease
18 caused by COVID-19 globally.

19 Now, in a separate study, we looked at a
20 booster that was administered six months after the
21 single dose, and what we saw there is the booster

1 induced an immune response, a 12-fold rise in titers as
2 compared to the baseline. Further, regardless of the
3 timing when you give the booster response, we see
4 increased antibodies against all the variants that we
5 have tested.

6 So, given all of these data, we are seeking
7 emergency use authorization for a homologous booster
8 for all individuals in the U.S. who receive the single-
9 dose Janssen vaccine. We want to provide optimal
10 protection against COVID, and we know that a booster
11 dose will do that. It will increase efficacy against
12 severe disease, it will increase efficacy against all
13 symptomatic COVID, and it will increase the breadth of
14 the immune response against variants. The booster may
15 be given at least two months after the initial
16 vaccination, but our data suggest that boosting at six
17 months will induce an even stronger immune response.

18 So this is what we're going to present to you
19 today. First, we'll share the final analysis of the
20 Phase 3 study of the single dose showing durable
21 protection against COVID-19. We're then going to

1 present data from the randomized control study showing
2 that a homologous booster with the Janssen vaccine
3 further increases protection against COVID-19. We will
4 show additional immunogenicity data from other studies
5 of boosters that were given at different intervals
6 after the single dose, and then, finally, we will share
7 a safety update.

8 We'll confirm the favorable benefit/risk
9 profile of the Ad26 vaccine. We're also going to
10 provide you with a short summary of our post-
11 authorization safety experience as well, of course, as
12 showing you the safety data and reactogenicity profile
13 after the boost.

14 So let me now please pass the microphone to my
15 colleague, Dr. Johan Van Hoof, my predecessor who'll be
16 retiring next year and who has led the development of
17 Janssen's COVID-19 vaccine. Johan?

18 **DR. JOHAN VAN HOOF:** Thank you, Dr. Heaton.
19 Good morning, my name is Johan Van Hoof. Since we
20 presented to you in February, we have accumulated
21 additional data from the single-dose (audio skip)

1 trial. Following emergency use authorization, this
2 study allowed post-COVID participants on placebo. This
3 took place at different timepoints depending on the
4 country resulting in regional differences in duration
5 of the double-blind follow-up period.

6 The median follow-up was four months, while 23
7 percent of participants had a follow-up of six months
8 or more in the double-blind period. The incidence of
9 SARS-CoV-2 infection was highly variable in time in
10 between regions.

11 Also, importantly, new lineages of virus
12 emerged becoming dominant in most of the study
13 countries. In this study, we saw persistent efficacy
14 of 75 percent against severe COVID-19 after a single
15 dose over the duration of the observation period.

16 The vaccine efficacy plotted over time on this
17 slide shows no evidence of waning protection through at
18 least six months. As the number of time participants
19 decreased over time, the confidence intervals around
20 the point has been widened, indicating a higher level
21 of uncertainty. In addition, protection against severe

1 disease, also in context of the variants, remains
2 strong.

3 When we look at vaccine efficacy against
4 symptomatic disease, we see a trend that vaccine
5 efficacy decreases over time. Although there are
6 several common factors for any vaccine that could drive
7 these trends, we believe a reduction in global vaccine
8 efficacy for symptomatic COVID-19 is mostly driven by
9 the emergence of particular variants rather than
10 declining immune responses. Especially three variants
11 with vaccine efficacy below 50 percent: Gamma, Lambda,
12 and Mu became prevalent in regions, or countries,
13 outside of the United States during the period of
14 analysis. Important to note that protection against
15 severe COVID caused by these variants was still strong.

16 The variant picture inside the U.S. is a bit
17 different. In the United States, there is persistent
18 vaccine efficacy of a single dose against symptomatic
19 disease over time. This data set essentially removes
20 Gamma, Lambda, and Mu as they were not prevalent
21 strains in the U.S. As to the Delta variant, there

1 were a few cases observed not allowing a conclusion,
2 and therefore, as Delta cases became dominant, the
3 crossover occurred.

4 I would like to invite Dr. Schneeweiss to
5 share some real-world evidence that includes analysis
6 of the Ad26 vaccines that begins pre-Delta and goes
7 through its peak in the U.S.

8 **DR. SEBASTIAN SCHNEEWEISS:** Thank you, Dr. Van
9 Hoof. Good morning. My name is Sebastian Schneeweiss,
10 and I am a Professor of Medicine and Epidemiology at
11 Harvard Medical School and the Science Lead of Aetion.

12 Today I will share findings from multiple
13 real-world evidence studies with a focus on the
14 Janssen-Aetion cohort study with the single-dose
15 Janssen vaccine in the United States.

16 Now several published real-world evidence
17 studies independent of Janssen have reported the
18 effectiveness of the Janssen vaccine, including studies
19 reported by the CDC, where the estimate for vaccine
20 effectiveness for COVID-19-related hospitalizations and
21 ER visits range from 60 to 84 percent. While other

1 studies from multiple geographies, such as South Africa
2 as well as a study from the Dutch Ministry of Health,
3 reported vaccine effectiveness ranging from 67 to 91
4 percent for hospitalizations. Just this week, a cohort
5 study from the New York Department of Public Health
6 reported estimates for vaccine effectiveness ranging
7 from 81 to 96 percent for hospitalizations across
8 different age groups. A Janssen-Aetion real-world
9 evidence study showed 81 percent vaccine effectiveness
10 or hospitalization.

11 So the objective of this real-world evidence
12 study was to assess the vaccine effectiveness of the
13 Janssen vaccine in the United States in a large cohort
14 of Janssen vaccinated individuals, with a particular
15 focus on the time period when the Delta variant was
16 dominant in the United States. This longitudinal
17 cohort study identified about 422,000 individuals
18 vaccinated with a single dose of the Janssen vaccine
19 and about 1.6 million classified as unvaccinated but
20 otherwise similar individuals and followed them for the
21 occurrence of COVID-19 infections as recorded by

1 physicians and COVID-19-related hospitalizations.

2 We used data from HealthVerity covering the
3 entire United States that would de-identify patient-
4 level longitudinal complaints and laboratory data,
5 including commercial insurance, Medicare and Medicaid
6 beneficiaries. To ensure balance between the Janssen
7 vaccinated individuals and the unvaccinated comparator
8 cohort, we matched groups exactly on dates and
9 location, age, sex, and propensity score matched 17
10 COVID severity-related predictors to further minimize
11 confounding.

12 The under-recording of vaccination status of
13 those classified as unvaccinated in claims data could
14 lead to an underestimation of our vaccine effectiveness
15 estimates. We, therefore, corrected for 40 percent
16 under-recording of vaccinations in our analysis, which
17 is based on CDC national data and data from the
18 Louisiana State Registry.

19 Now, on the left-hand side, you see month-
20 over-month vaccine effectiveness for COVID-19
21 infections as recorded by physicians, as well as COVID-

1 19-related hospitalizations. The plot shows that the
2 vaccine effectiveness was consistently stable month
3 over month across the entire study period, including in
4 the pre-Delta timeframe as well as the time period when
5 the Delta variant emerged and became dominant in the
6 United States, as is highlighted in the red box for the
7 months of June, July, and August.

8 The same stability was found in younger and
9 older adults. Note that the uncorrected estimates also
10 show stable response month over month and are about ten
11 percentage points lower.

12 On the right-hand side, the Kaplan-Meier
13 curves for the time-to-event analysis for COVID-19
14 infections, along with the Schoenfeld residuals,
15 demonstrate stable vaccine effectiveness during the six
16 months after vaccination. The same was shown for
17 COVID-19-related hospitalizations.

18 In summary, the results from this real-world
19 evidence study complement the Phase 3 randomized
20 control trial and show that the single dose of the
21 Janssen vaccine is effective against the Delta variant

1 in clinical practice in the United States and is stable
2 over time during the six months post-vaccination.
3 Given current vaccine effectiveness levels against
4 hospitalization and infection, we all note that there
5 is an opportunity to improve the protection via a
6 booster. Thank you, and I will now hand over to Dr.
7 Barouch.

8 **DR. DAN BAROUCH:** Thank you, Dr. Schneeweiss,
9 my name is Dan Barouch. Good morning. I'm a Professor
10 of Medicine at Harvard Medical School and the Director
11 of the Center for Virology and Vaccine Research at Beth
12 Israel Deaconess Medical Center.

13 The durability of immunity is one of the most
14 important characteristics of COVID-19 vaccines to
15 control the pandemic. Data from Janssen has shown
16 excellent durability of antibody responses elicited by
17 the Ad26.COV2.S vaccine in two cohorts. In individuals
18 18 to 55 years old, shown on the left, and in
19 individuals over 65 years old, shown on the right,
20 neutralizing antibody responses were stable for up to
21 eight months. There was very good stability in the

1 younger age cohort and approximately a 2-fold decline
2 of antibody titers in the older age cohort. We then
3 studied the durability of humoral and cellular immune
4 responses in greater immunologic detail in a smaller
5 cohort of individuals.

6 In a study published this morning in *The New*
7 *England Journal of Medicine*, we compared the kinetics
8 and durability of humoral and cellular immune responses
9 elicited by the two-shot Pfizer, the two-shot Moderna,
10 and the one-shot J&J vaccines in 61 individuals. In
11 these graphs, blue represents BNT162b2, green
12 represents mRNA-1273, and black represents Ad26.COV2.S.

13 Live virus-neutralizing antibody titers were
14 measured by Ralph Baric's lab at University of North
15 Carolina. And we measured pseudovirus neutralizing
16 antibody titers and RBD-specific binding antibody
17 titers by ELISA. The BNT162b2 and the mRNA-1273
18 vaccines induced very high peak antibody responses by
19 all three assays. But these titers declined sharply by
20 month six and then declined even further by month
21 eight. In fact, live virus-neutralizing antibody

1 titers following mRNA vaccination declined by 34- to
2 44-fold at month eight as compared with peak titers.
3 These findings are similar to data reported by other
4 investigators.

5 In contrast, the single-shot Ad26.COV2.S
6 vaccine induced initial antibody titers that were
7 substantially lower. However, these responses then
8 remained durable over time with little evidence of
9 decline for over eight months for all three assays.

10 Neutralizing antibody responses against SARS-
11 CoV-2 variants of concern followed similar trends.
12 Antibody titers to the Delta, Alpha, and Beta variants
13 showed substantial decline over time for the mRNA
14 vaccines, whereas, antibody titers to these variants
15 were generally stable for the Ad26.COV2.S vaccine.
16 And, as you focus on the upper right panel,
17 neutralizing antibody titers against the Delta variant
18 at month eight were comparable for all three vaccines
19 in this study.

20 Cell-mediated immune responses are also likely
21 important for vaccine protection against severe disease

1 and for immune memory. By intracellular cytokine
2 staining assays, CD4 and CD8 T cell responses were
3 relatively stable over eight months for all three
4 vaccines. CD8 T cell responses, which are critical for
5 antiviral defense, were higher for the Ad26.COVS.S
6 vaccine than the mRNA vaccines in this cohort.

7 These data, together with other published
8 data, demonstrate that Ad26.COVS.S induces a distinct
9 and complex immunologic profile with robust durability.
10 Ad26.COVS.S elicits a diversity of immune responses
11 including neutralizing of Fc functional antibodies and
12 CD4 and CD8 T cell responses.

13 Humoral and cellular immune responses are
14 remarkably durable for at least eight months.
15 Consistent with the observed durability of protective
16 efficacy.

17 Immune correlates of protection are not yet
18 known for this vaccine, but multiple immune responses,
19 including both antibodies and CD8 T cells, likely
20 contribute to protection with Ad26.COVS.S. The
21 potential importance of CD8 T cells is supported by

1 several observations including there is robust
2 protection against the Beta variant in South Africa
3 despite minimal neutralizing antibody responses to the
4 Beta variant. And, in studies in nonhuman primates,
5 CD8 depletion partially abrogated protection of natural
6 immunity against SARS-CoV-2 challenge. Thank you.
7 I'll hand it back to Dr. Van Hoof.

8 **DR. JOHAN VAN HOOFF:** Thank you. Let's now
9 turn to the data from Study 3009 that supported the
10 administration of a booster dose of the single-dose
11 primary regimen of the Janssen vaccine. In this study,
12 we will refer to a second dose of Ad26 as a booster
13 dose in view of the robust immune response to the
14 single-dose regimen in all vaccinees and the anamnestic
15 responses observed in all vaccinees after the second
16 dose similar to what was observed on other intervals
17 studied.

18 Our Phase 3 Study, 3009, allowed us to
19 evaluate the efficacy of Ad26 when a booster dose was
20 given two months after the single-dose regimen. This
21 large, global, randomized placebo-controlled trial was

1 conducted in nine different countries across three
2 continents. Once our vaccine was authorized for
3 emergency use, the study allowed unblinding and offered
4 any participants on placebo to receive our vaccine.

5 Of the more than 31,000 participants who
6 received the single dose, 53 percent received the
7 booster dose before the placebo was completed and thus,
8 are part of the double-blind analysis being presented
9 today. Twenty-five percent of participants evaluated
10 for efficacy were at least 60 years of age.

11 The median follow-up after the booster does in
12 the double-blind phase was 36 days. Twenty-nine
13 percent of participants had at least two months follow-
14 up after receiving the booster dose.

15 The availability of 3001 and 3009 Study allows
16 us to compare vaccine efficacy between the single-dose
17 primary regimen and the booster dose administered at
18 two months.

19 Let's first look at U.S. data. As you can see
20 in Study 3001, vaccine efficacy against symptomatic
21 infection was 70 percent after the single dose. In

1 3009, vaccine efficacy reached 94 percent after the
2 booster.

3 Looking at the global data from the study, the
4 vaccine efficacy against symptomatic infection was 53
5 percent after the single-dose regimen and 75 percent
6 post-booster, thus meeting the primary objectives of
7 the trial. The lower vaccine efficacy of the overall
8 population compared to that observed in the United
9 States can be attributed to the differences in vaccine
10 efficacy for particular variants, Lambda, Gamma, and
11 Mu, that emerged later in the study and became
12 prevalent outside of the U.S. Let's now have a look at
13 those variants.

14 Vaccine efficacy for the Alpha and Mu
15 variants, which were the most prevalent variant strains
16 across both trials, were substantially higher with the
17 booster than with the single-dose regimen. These data
18 support that the booster dose administered at least two
19 months after the primary single dose increased
20 protection against symptomatic infection across the
21 variants.

1 In this study, we also observed complete
2 protection against severe infection, hospitalization,
3 and death as of two weeks after the booster. However,
4 due to the limited follow-up time after the booster,
5 the number of cases occurring during the observation
6 period in the double-blind part of the study was
7 irrelevant.

8 Next, let's look at the immunogenicity data
9 following a booster dose at least two months after the
10 primary regimen, and then we'll review data that
11 suggests that boosting at six months provides an even
12 stronger immunologic response.

13 The data package on immune responses after
14 boosting includes several independent studies with
15 consistent lines of evidence. Depending on the study,
16 booster doses have been applied at two and three months
17 both in younger and older adults and six months after
18 initial vaccination in younger adults.

19 It is important to emphasize that humoral
20 immune results from different assays are highly
21 correlated for ELISA versus the live virus

1 neutralization assay shown on the left, and versus the
2 pseudovirus neutralization assay on the right. Note
3 that not all assays have been completed for all
4 different samples, but these correlations emphasize
5 that these assays, for a very large part, measure
6 different features of the same antibodies. Hence, we
7 are comfortable interpreting trends across the
8 different sets.

9 The immunogenicity of the homologous booster
10 dose of Janssen vaccine administered two months after
11 the first dose was studied. For the younger cohort on
12 the left, we see a 4.9-fold increase in titers two
13 weeks after the booster compared to 28 days after the
14 primary vaccination and a 3.5-fold boost as compared to
15 the pre-boost levels at the day of boosting.

16 On the right, we see an even slightly higher
17 increase after the boost in people of 65 and older. In
18 this older cohort, all vaccinees showed an anamnestic
19 response, including the subjects who no longer had the
20 detectable neutralizing antibody levels at the time the
21 booster dose was given. This indicates that the first

1 dose had installed a robust immune memory.

2 Although not predefined, the humoral immune
3 responses after the booster dose at two months meet the
4 non-inferiority criteria as described in FDA guidance
5 on the immunological boost requirements. And this was
6 also the case with the Beta variant, for which the
7 highest neutralization resistance has been reported
8 based on pseudovirus neutralization data.

9 Finally, the immune response after the booster
10 dose was durable in both cohorts with antibody levels
11 at six months still well above the antibody levels in
12 people who had not received a booster.

13 In Study 1001, a substantial increase in
14 immune response was evident following the booster dose
15 given at six months. Notably, at 7 days and 28 days
16 post-boost, the binding antibodies grows in all
17 participants with a 4.2- and 5.4-fold increase
18 respectively as compared to the immediate pre-boost
19 levels. All participants had antibodies detectable
20 before administration of the booster dose supporting
21 the durability of humoral immunity after a single dose.

1 And, compared to 28 days following the primary
2 single dose, binding antibody levels were 9- and 12-
3 fold higher at 7 and 28 days respectively, following
4 the booster dose. The booster-induced antibody levels
5 -- it also meets and post hoc analyzes the criteria for
6 non-inferiority as described in FDA's guidance. As
7 already mentioned, it was also, in this case, the case
8 has better strength.

9 Thus, administration six months after the
10 primary dose in 18 to 55 years old results in
11 substantially higher antibody levels than when given at
12 two or three months. Similar increases were observed
13 in those 65 years and older. In Study 1001, we saw
14 similar increases for several variants.

15 Let's take a look at the immunogenicity of the
16 booster against variants of concern. Importantly,
17 using an internally developed fit-for-purpose
18 pseudovirus neutralization assay specific to the
19 original strain and four variants, a proportional
20 increase in variant-specific neutralizing antibodies
21 was observed after a booster at six months, including

1 for the Delta variant, as compared to immediate pre-
2 boost titers.

3 Overall, other clinical studies demonstrate
4 that a booster dose of Ad26 enhances the immune
5 responses and individual-level protection against
6 COVID-19. The benefit of a booster dose may be higher
7 when given at six months or later. This finding,
8 combined with the durability profile, is reassuring for
9 the many people in the U.S. who received their Janssen
10 vaccine more than two months ago and could benefit from
11 a great immune response at this later time period.

12 The data also show increased levels of
13 neutralizing antibodies against the variant strains.
14 Importantly, enhanced immune responses with the booster
15 dose are congruent with a higher level of vaccine
16 efficacy observed in Study 3009.

17 Thank you. I'll turn now the presentation
18 over to Dr. Douoguih.

19 **DR. MACAYA DOUGUIH:** Thank you, Dr. Van Hoof.
20 Good morning. My name is Macaya Douoguih. I'm the
21 Head of Clinical Development and Medical Affairs for

1 Vaccines at Janssen.

2 Today I'll be presenting our safety experience
3 with the Ad26 booster dose. First, I'll describe the
4 cumulative exposure we have to date for the booster
5 dose, followed by the reactogenicity profile
6 administered at two- and six-month intervals. And then
7 I'll cover the safety profile of the booster at two
8 months from the same large, randomized, placebo-
9 controlled trial. I'll also review adverse events of
10 interest and special interest, and I'll close with a
11 review of post-authorization safety.

12 This slide presents the cumulative exposure to
13 a booster dose of Ad26 following a single-dose primary
14 regimen. Our safety database includes 9,222
15 participants across five clinical studies. Our
16 exposure data for the six-month and three-month
17 intervals between the primary vaccination and booster
18 dose come from safety and immunogenicity Studies 1001
19 and 2001. We'll also present preliminary information
20 from Study 2008, which remains blinded to dose level,
21 and where approximately 127 participants have received

1 a booster at the five times ten to the tenth dose
2 level. I'll elaborate more on the design of Study 2008
3 later in the presentation. The preponderance of data
4 comes from Study 3009, where the second dose was
5 administered two months after the primary vaccination.

6 Approximately 15,500 participants were
7 randomized to receive two doses of Ad26 or placebo and
8 received at least the first injection. So this is the
9 full analysis set which comprises this primary safety
10 population. Solicited and unsolicited adverse events
11 were collected in a planned subset of approximately
12 3,000 individuals per group, referred to as the safety
13 subset.

14 Study 3009 was ongoing when the EUA was issued
15 for the single-dose regimen. The study was unblinded
16 at that point to allow placebo participants to cross
17 over to Ad26 or to receive another vaccine outside of
18 the study. So not all participants received their
19 second injection during the double-blind period. More
20 than 8,000 participants per group received the second
21 injection. The number of participants within the

1 safety subset that received a second dose was
2 correspondingly smaller.

3 So, now, I'll review the reactogenicity for
4 the booster dose administered at two months in Study
5 3009. Since our briefing document includes the data
6 showing local reactogenicity was quite similar between
7 the primary and booster dose, I'm only going to review
8 the systemic reactogenicity here.

9 On the next slide, systemic reactogenicity for
10 individuals 18 to 59 years old is displayed on the
11 left. And the data for those who are 60 years and
12 older is on the right. So, within each column, the
13 left bar shows the reactogenicity profile for the
14 primary dose and the right bar shows the booster dose.
15 The orange number above each bar is the percentage of
16 Grade 3 events.

17 The data show that solicited systemic adverse
18 events were less common and generally of lower severity
19 with the booster dose as compared to the primary dose
20 in both younger and older age cohorts. You'll note
21 that the frequency of fever following the booster is

1 approximately half of what it was after the primary
2 regimen in the younger cohort. The frequency of events
3 was lower among the older cohort and Grade 3 events
4 were low overall. And there were no Grade 3 fevers in
5 the elderly after either the primary dose or booster
6 dose.

7 Next, I'll cover the six-month reactogenicity
8 profile from Study 1001, which was our first in human
9 study, and preliminary blinded data from Study 2008,
10 which is ongoing. In Study 1001, a subset of
11 participants were boosted at six months following the
12 primary dose. The frequency of solicited systemic
13 adverse events was lower with the six-month booster
14 than the primary dose, and although the numbers are
15 limited, it appears that systemic events were milder in
16 severity for the booster dose than for the primary
17 dose, a trend similar to what we just saw in Study
18 3009.

19 Study 2008 is an ongoing randomized double-
20 blind trial of participants originally enrolled in
21 Study 3001, the single-dose pivotal trial, and this

1 study is evaluating three dose levels of an Ad26
2 booster at least six months after the primary
3 vaccination.

4 One hundred twenty-seven participants are
5 estimated to have received the dose level being
6 considered as a booster today. Blinded safety data are
7 available in 83 participants, 32 of whom are 60 years
8 or older. And, while the dose level data remains
9 blinded, we did observe that no systemic Grade 3
10 reactogenicity events were reported.

11 Overall, a booster, when given at both two or
12 six months, did not result in any increase in solicited
13 reactogenicity compared to the primary dose, and in
14 some cases showed a trend towards decreased
15 reactogenicity.

16 Next, I'll present the unsolicited adverse
17 events from the safety subset of 3009. Overall, the
18 frequency of unsolicited AEs was similar between groups
19 and was similar to the frequencies observed in the
20 single-dose Study 3001. The rate of unsolicited
21 adverse events was 15 percent in the Ad26 group,

1 compared to 10.9 percent in the placebo group after the
2 first dose. This imbalance was driven by vaccine-
3 associated events such as fatigue, injection site
4 reactions, and headache captured outside of the safety
5 subset.

6 The rate of unsolicited AEs was also similar
7 between the groups after the second dose. The rates
8 were balanced as well in the full analysis set for
9 medically attended adverse events, any SAE, any SAE not
10 due to COVID, and death. The number of deaths was
11 numerically higher in the placebo group, 13 versus 4.
12 Among those participants who died, none in the Ad26
13 group tested positive for COVID and none were
14 considered related to the vaccine. Six of the 13
15 deaths in the placebo group were attributable to COVID-
16 19 or COVID-19 pneumonia.

17 I'll now review the 3009 data on adverse
18 events of interest and adverse events of special
19 interest, or AESI. Following the identification of the
20 safety signal for very rare events of thrombosis with
21 thrombocytopenia syndrome, or TTS, in post-

1 authorization data, TTS was considered an AESI in our
2 clinical studies. The CDC Tier 1 definition requires
3 thrombosis to be in an unusual location, such as the
4 brain or splenic bed. CDCs Tier 2 is defined as the
5 thrombosis being associated with low platelets, but
6 occurring in a more common place, such as deep vein
7 thrombosis, but then requires a positive anti-platelet
8 factor 4 antibody result to be considered a case.

9 In Study 3009, one case of thrombosis with
10 thrombocytopenia occurred in each group. One
11 participant in the Ad26 group experienced
12 thrombocytopenia 86 days following vaccination followed
13 by cellulitis and DVT approximately 100 days post-
14 vaccination and also was diagnosed with COVID-19 during
15 the event. The anti-PF4 results were not reported.
16 One participant in the placebo group had deep vein
17 thrombosis on day 27 during a double-blind phase and
18 subsequently a pulmonary embolism two days later in
19 combination with thrombocytopenia. Neither case met
20 CDC criteria for definitive TTS based on available
21 information.

1 Because we didn't see any confirmed events in
2 the Study 3009, and because these events were extremely
3 rare, we looked into post-marketing data for another
4 viral vector COVID-19 vaccine, the AstraZeneca two-dose
5 regimen administered at an interval of one to three
6 months. (Audio skip) considered a potential for TTS
7 after a second dose. Although the vectors in spike
8 antigen are not entirely the same, the data may provide
9 some insight into potential risk.

10 The Medicines and Healthcare Products
11 Regulatory Agency conducts post-marketing surveillance
12 of COVID-19 vaccines in the United Kingdom using a
13 system for recording adverse incidents with medicines,
14 which is referred to as the Yellow Card scheme. The
15 number of AstraZeneca COVID-19 vaccines administered as
16 of September 29th was 24.9 million for dose 1 and 24
17 million for dose 2. The estimated rate of blood clots
18 with concurrent low platelets was 15.1 cases per
19 million following the first or unknown doses, and 1.9
20 cases per million with the second dose.

21 Overall, the case fatality rate was 17

1 percent, 66 deaths occurred after the dose and 6
2 occurred after the second dose. The MHRAs current
3 interpretation of these data is that there's no
4 indication of an increased risk of these events after a
5 second dose in any age group.

6 So, moving back to Study 3009, this slide
7 shows the adverse events of interest for Study 3009.
8 The first three listed were selected due to imbalances
9 observed in our single-dose pivotal study, specifically
10 embolic and thrombotic events, convulsions or seizures,
11 and tinnitus. In Study 3009, we saw no imbalances for
12 thrombotic events or seizures, however, although the
13 numbers are small, an imbalance of tinnitus was also
14 observed in this study following the first vaccination.

15 Guillain-Barre Syndrome and facial paralysis
16 are events of interest for COVID-19 vaccines in
17 general, and, for these, we saw no imbalances in the
18 study. A numerical imbalance between the Ad26 placebo
19 group was observed for arthritis, which is not observed
20 in our single-dose pivotal study of 40,000
21 participants. In Study 3009, the observed imbalance

1 was due to events occurring within 28 days of the
2 primary dose. There was no clear pattern of
3 differences on the level of preferred terms between
4 Ad26 and placebo. And a large proportion of the cases
5 were apparent exacerbations of existing conditions.
6 The majority of events were non-serious, and no
7 imbalance in the 28-day period following the booster
8 dose were observed.

9 Finally, let me provide a summary of our post-
10 authorization safety data. As of August 31st, the
11 total number of Ad26 vaccines administered worldwide
12 was just over 33.5 million. More than 14 million of
13 these were in the U.S., 13.5 million in the European
14 economic area, and 5.6 million in the rest of the
15 world.

16 Since the EUA, the following events have been
17 added as an important adverse drug reaction to the U.S.
18 fact sheet and product information based on primarily
19 post-authorization safety reports. Thrombosis with
20 thrombocytopenia, Guillain-Barre Syndrome, and
21 Capillary Leak Syndrome. Let me walk you through a

1 summary of the data that we have on each of these
2 events. Where possible the background rate is included
3 for context.

4 With more than 33.5 million vaccines
5 administered to date, there have been 193 post-
6 authorization reports of potential TTS worldwide for a
7 rate of 5.7 cases per million doses. Following
8 Janssen's review of the available information of these
9 reported cases of thrombosis with concomitant
10 thrombocytopenia, 73 met the Tier 1 or 2 criteria per
11 the standardized CDC case definition for a reported
12 rate of 2.1 cases per million doses.

13 The demographics are provided in the table.
14 The mean and median age of individuals with cases was
15 approximately 45 with a range of 18 to 87. Most cases
16 have occurred among women aged 36 to 64. The median
17 time to onset of events were 15 and 12 days from
18 administration respectively. And, of the 73 cases
19 meeting CDC Tier 1 or 2 criteria, 12 reported a fatal
20 outcome.

21 There have been 252 post-authorization reports

1 of Guillain-Barre Syndrome for a reported rate of 7.5
2 cases per million doses. Most of the cases have
3 occurred in males. The average age of individuals was
4 53 with a range from 22 to 87. Most of the reports
5 have been among those aged 51 to 64 years. The mean
6 time to onset was 36 days, and the median was about
7 half that, 14 days.

8 There have been seven spontaneous post-
9 authorization reports of Capillary Leak Syndrome, or
10 CLS, two in the U.S., five in Europe, and some of these
11 cases had a prior history of CLS. Four events occurred
12 in females and three in males, and all cases occurred
13 in people between the ages of 50 and 92. The mean time
14 to onset was 1.3 days and the median was one day. The
15 outcome was reported in six of these seven cases, four
16 individuals died, one case was not resolved, and one
17 was resolving.

18 Venous thromboembolism and immune
19 thrombocytopenia have been added as an important
20 potential risk to our Pharmacovigilance Plan. In
21 addition, there are other events listed here that are

1 being evaluated by the sponsor as part of our ongoing
2 pharmacovigilance activities. Summaries of the
3 available data for these events are provided in the
4 briefing document.

5 In summary, safety events that have been
6 linked to our vaccine, while serious, do remain very
7 rare. And the cumulative data continue to support a
8 positive benefit/risk for the Ad26 vaccine, which has
9 also been endorsed by several health authorities and
10 recommending bodies.

11 In the context of greater vaccine efficacy
12 with the booster dose, the studies showed that the
13 reactogenicity and safety profile of the booster dose
14 at two or six months was similar to the single-dose
15 primary regimen. The incidence and severity of local
16 events was also similar regardless of the timing of the
17 booster and systemic AEs appeared to be of lower and
18 milder severity at six months relative to two months.

19 Our large, randomized placebo-controlled Study
20 3009 did not identify any new safety signals for AEs,
21 SAEs, or AEs of special interest with the booster dose.

1 In contrast, we currently have no data on the safety
2 profile of boosting Ad26 with different COVID-19
3 vaccines.

4 Global post-marketing surveillance of the two-
5 dose AstraZeneca COVID-19 vaccine suggests that rare
6 TTS events are less frequent with a second dose than
7 the first. No TTS cases following the booster dose
8 have been observed for Ad26. And, finally, Janssen
9 will revise our ongoing and planned post-approval
10 studies to incorporate follow-up for the booster doses
11 in addition to the primary doses.

12 Thank you. I'll turn it back to Dr. Van Hoof.

13 **DR. JOHAN VAN HOOFF:** Thank you. I'll offer a
14 brief conclusion before we take your questions and
15 also, I'll spend a moment discussing heterologous
16 boosting.

17 It is encouraging to see studies aligned to
18 NAIAD booster study, which adds to the body of
19 knowledge on COVID-19 vaccines, as we work together to
20 fight the pandemic. At the same time, it is difficult
21 to be conclusive about the benefits and risks of a

1 heterologous boost as important open questions remain
2 on efficacy, durability, and safety of heterologous
3 boosting. Also, this study reports short-term
4 antibodies at present and there are still no reports on
5 the T cell responses. These findings are important,
6 but they're only a piece of the puzzle and they don't
7 give the complete picture.

8 Janssen's randomized placebo-controlled trial
9 offers data on homologous boost of Ad26 and
10 demonstrates strong evidence of efficacy and safety.
11 The Ad26 vaccine kinetics are distinct and differ from
12 the messenger RNA vaccines. The initial homologous
13 response of the Ad26 vaccine, although lower than after
14 two doses of an mRNA vaccine, persisted and even
15 increased after four weeks.

16 These immune responses were associated with
17 efficacy and durability for at least eight months.
18 This kinetics is in sharp contrast with the rapid decay
19 of antibodies reports for mRNA vaccines. It is also
20 very likely that cell-mediated immune responses,
21 including CD8 cells and CD4 T cells, are important

1 contributors to protection.

2 The homologous Ad26 boost results in greater
3 protection against COVID-19. Evidence from this Study
4 2009 demonstrated a high point estimate of efficacy of
5 94 percent post-boost in the United States, which is
6 similar to the peak efficacy reported for the mRNA
7 vaccines. The efficacy of a heterologous boost of an
8 mRNA vaccine has not yet been determined.

9 More than 9,000 participants have received the
10 homologous booster providing a large safety database,
11 which is currently not available for heterologous
12 boosting of an mRNA vaccine.

13 For these reasons, when considering a booster
14 dose for the Janssen vaccinated individual, a
15 homologous booster is preferred.

16 In closing, we have shown how the Janssen
17 COVID-19 vaccine could help U.S. further protect
18 individuals from COVID-19 by optimizing immune
19 responses, increasing protection from symptomatic
20 infection, preparing for any future variants of
21 concern, and potentially helping to reduce

1 transmission.

2 Thus, we are proposing the following dosing
3 schedule: a booster dose recommended at six months or
4 later based on the strength of the immune responses,
5 although, the boosters may be administered as early as
6 two months. The need for a booster dose and for its
7 timing will depend on the local immunological situation
8 and the needs of individuals and specific populations.

9 And, finally, I want to take a moment to say a
10 few special thanks. Certainly to our collaborators at
11 U.S. Departments of Health and Human Services,
12 particularly the FDA, CDC, and National Institute of
13 Allergies and Infectious Diseases, and the team at
14 BARDA. A special thanks also to all trial sites and to
15 the many trial participants. Our work would not have
16 been possible without their involvement. We are happy
17 to take your questions.

18

19

Q&A SESSION

20

21

DR. ARNOLD MONTO: Thank you, Dr. Hoof. We

1 have a few minutes here before the FDA presentation for
2 a couple, or three or four, questions on clarity, to
3 clarify some of the issues that have been brought up.
4 And, then, we'll go straight into the FDA presentation.
5 Dr. Gans.

6 **DR. HAYLEY GANS:** Thank you very much. Thank
7 you for those wonderful presentations, and I appreciate
8 the very up-to-date information regarding THE immune
9 responses for the different vaccines that we're
10 considering.

11 I guess one of my questions for you is, we're
12 getting two messages and I think the data's speaking
13 two different messages, so the very, what is being
14 considered, robust and then (audio skip) immune
15 response is the idea of needing the booster. So I
16 guess my real question is the sense that, because
17 vaccine efficacy has sort of been very stable at around
18 the 70 mark, whatever it is, with a slight decrease in
19 some of the variants, is the idea that we really want
20 to get the vaccine efficacy up in the 90 range?

21 And, if that is really the goal, then it would

1 seem that that would be most available by having a
2 series that boost up into that range more quickly than
3 the eight-month (audio skip) at the 70. I'm not seeing
4 the rationale for waiting for boosting if our goal is
5 to make this as efficacious as can be.

6 **DR. ARNOLD MONTO:** Dr. Hoof.

7 **DR. JOHAN VAN HOOFF:** Thank you for the
8 question. It certainly, as we have indicated, we do
9 think it depends really on the local circumstances.
10 Let us come back to the efficacy that we see with the
11 single-dose regimen. Where, indeed, as you indicated,
12 we do have the 75 percent protection that was
13 consistent across all countries. And that indeed gives
14 a high level of reassurance. At the same time, it
15 indicated there was some room to eventually improve it.
16 And I'm talking 75 percent around severe disease.

17 When we look to the variants that actually had
18 lower protection against symptomatic infection, we
19 still see robust protection against severe disease, but
20 we do see that those point estimates tend to lower.
21 The lowest one is 63 percent there for that particular

1 variant, always with a wide confidence interval.

2 Although the fact that we deflect from giving
3 a booster dose is really related to stay ahead of the
4 game, make sure we prepare for if those variants, like
5 Mu and Delta, would move into the U.S., we certainly
6 would have more symptomatic breakthrough infections.
7 And from that perspective, we are really in favor of
8 there's always headroom to improve it, to give that
9 booster dose.

10 With regard to the timing for the booster, we
11 also have to consider the population level and the
12 individual level. But certainly, when you look to the
13 increased antibody rise that you observe when the
14 vaccine is given six months after the first dose,
15 versus two months, your titers also really are
16 potentially much higher than when you give that two
17 dose.

18 So, even on individual level, it looks like at
19 least immunologically, the return on investment for
20 your second dose is higher because your post-boost
21 responses are higher, so you will actually, post-boost,

1 it can be anticipated that you will be better
2 protected. And that is actually somewhat the trade-off
3 where we see that in general population, we would look
4 into giving it all the six months to have optimal
5 benefit immunologically from that booster.

6 If we see specific situations, like people in
7 an environment where it's an extremely high
8 transmission rate of new variants, healthcare workers,
9 or where people, especially people like elderly with
10 comorbidities, there we might think that it might be
11 beneficial to also give that booster earlier.

12 One observation that we didn't share is that
13 when you look to the protection against death was 82
14 percent. When you focus on who were those deaths, then
15 we don't see anyone younger than 60 years in active
16 group being protected, having a breakthrough infection.
17 So it looks like there are perhaps some populations
18 that might benefit more than others, which we would
19 look more at those individuals to be considered for
20 early boosting.

21 **DR. ARNOLD MONTO:** Okay, thank you. Only one

1 more question at this point but keep your questions
2 ready for the later discussion. Dr. Kurilla.

3 **DR. MICHAEL KURILLA:** Thank you, Arnold.
4 Yeah, I agree, with the time constraints, we'll have
5 more time to talk about the specific data this
6 afternoon.

7 The question I wanted to ask you though is,
8 given the large Phase 3 two-dose regimen, do you intend
9 at some point to actually submit that for approval for
10 a primary vaccination scheme rather than a single-dose
11 primary vaccination followed by some booster at a later
12 time?

13 **DR. JOHAN VAN HOOFF:** We actually are
14 considering to file with BLA in its current form with
15 the single-dose regimen being supplemented with a
16 booster dose, with the flexibility that we are looking
17 for today. That would be the thinking, but of course,
18 it will also be subject to interactions with FDA what
19 the final outcome is.

20 **DR. MICHAEL KURILLA:** All right. Thank you.

21

1 **FDA PRESENTATION - FDA REVIEW OF EFFECTIVENESS AND**
2 **SAFETY OF JANSSEN COVID-19 VACCINE (AD26.COV2.S)**
3 **BOOSTER DOSE EMERGENCY USE AUTHORIZATION AMENDMENT**

4

5 **DR. ARNOLD MONTO:** Thank you, and we are going
6 to move on now to the FDA presentation, which is going
7 to be in three parts. Rachel Zhang and Artur Belov and
8 Narayan Nair are going to be talking to us. They're
9 all from different parts of CBER. So I assume, Dr.
10 Zhang, you're starting first.

11 **DR. RACHEL ZHANG:** Thank you, Dr. Monto, and
12 good morning, everyone. I'll just make sure I have my
13 screen correctly. All right. Just jumping right into
14 the data.

15 **MR. MICHAEL KAWCZYNSKI:** And, Dr. Zhang, you
16 should be able to see. Do you see them in the side
17 now? Where you can see the notes and everything?

18 **DR. RACHEL ZHANG:** Oh, yeah, I do now. Thank
19 you for that.

20 **MR. MICHAEL KAWCZYNSKI:** Okay.

21 **DR. RACHEL ZHANG:** All right. So this is an

1 outline of what will be presented today. I will first
2 start with a quick overview of the background and the
3 studies to be discussed. Then go over the available
4 efficacy results from the single-dose and two-dose
5 efficacy studies. Next, we will look at the
6 immunogenicity followed by the safety data from studies
7 evaluating an additional dose of the vaccine given at
8 different dosing intervals, before concluding with an
9 overall summary of the data presented.

10 Okay. All right, and just as a background,
11 the Janssen COVID-19 vaccine is a recombinant,
12 replication-incompetent adenovirus type 26 vectored
13 vaccine, which encodes the SARS-CoV-2 spike protein.
14 The vaccine is administered intramuscularly as a
15 single-dose regimen at the dose of five times ten to
16 the tenth viral particles.

17 On February 27, 2021, the Janssen COVID-19
18 vaccine was authorized under EUA for active
19 immunizations to prevent COVID-19 caused by SARS-CoV-2
20 in individuals 18 years of age and older. On October
21 4, 2021, Janssen submitted a request to amend their EUA

1 to include the use of a booster dose at five times ten
2 to the tenth viral particles in individuals 18 years of
3 age and older. Janssens proposed interval is a booster
4 dose is recommended at six months or later based on the
5 strength of the immune responses, although a booster
6 dose may be administered as early as two months.

7 This slide summarizes the studies with
8 relevant data on an additional dose given at varying
9 intervals. Study 1001 is a Phase 1 study, which
10 evaluated the safety and immunogenicity of two doses of
11 the Janssen COVID-19 vaccine given at two-, three-, or
12 six-month intervals. Studies 1002 and 2001 both
13 evaluated the safety and immunogenicity of two doses of
14 the vaccine given two to three months apart. Finally,
15 Study 3009 was a Phase 3 study to evaluate the efficacy
16 and safety of two doses of the vaccine given two months
17 apart.

18 For comparative purposes, safety and efficacy
19 data from the final analysis from 3001, the Phase 3
20 efficacy study, used to support the current emergency
21 use authorization for the single-dose regimen, will

1 also be presented.

2 Please note that when we discuss results from
3 these studies, except for immunogenicity assessments of
4 the six-month booster dose interval in Study 1001, data
5 sets for the studies were not submitted in sufficient
6 time for FDA to conduct an independent review to verify
7 the sponsor's analyses.

8 A graphical depiction of the studies
9 mentioned, and their dosing intervals is shown in this
10 slide. The numbers inside the circles represent the
11 number of months after the first dose when a second or
12 booster dose was administered. As you can see, the
13 only study with currently available immunogenicity data
14 on a booster dose at six months is Study 1001.

15 Next, we will look at the vaccine efficacy
16 results from the two Phase 3 studies, starting first
17 with Study 3001. COV3001 is an ongoing Phase 3
18 efficacy study of a single-dose regimen of the Janssen
19 COVID-19 vaccine in participants 18 years of age and
20 older with and without comorbidities. More than 44,000
21 subjects were randomized one to one to one dose of the

1 Janssen COVID-19 vaccine or placebo. The co-primary
2 endpoints of the study were vaccine efficacy against
3 protocol-defined moderate and severe critical COVID-19
4 with onset at least 14 or 28 days after vaccination.

5 Summarized here are the vaccine efficacy
6 results for both the primary and final analysis. On
7 the left-hand column are the results for the primary
8 analysis with a data cutoff of January 22, 2021, and a
9 median follow-up of two months, which was used to
10 support the initial EUA in February.

11 On the right-hand column are results from the
12 final analysis of efficacy for the double-blinded phase
13 with the data cutoff of July 9, 2021, and a median
14 follow-up of four months. Please note that for this
15 and for subsequent slides with efficacy FDA has not
16 independently verified the data from the July 9th data
17 cutoff.

18 For ease of comparison, only the co-primary
19 endpoint of onset of cases starting 14 days after
20 vaccination is shown. The vaccine efficacy point
21 estimate decreased from 66.9 percent based on the

1 January 22nd cutoff, to 56.3 percent at the July 9th
2 cutoff. This decrease was also seen when assessing
3 vaccine efficacy for each of the two protocol-specified
4 age cohorts. However, it's important to note that the
5 confidence intervals for the primary analysis and the
6 final analysis estimates overlapped.

7 When looking at the more severe endpoints of
8 efficacy against severe critical COVID-19 -- COVID-19
9 requiring medical intervention or COVID-19-related
10 deaths -- the vaccine efficacy point estimate appears
11 to be similar between the primary and final analyses.

12 Analysis of vaccine efficacy stratified by
13 time since vaccination was conducted based on data from
14 the final analysis. Results show a trend in decreasing
15 efficacy against moderate and severe/critical COVID-19
16 with increasing time since vaccination, as shown in the
17 left-hand column. However, this trend was not observed
18 when only including severe/critical COVID-19 cases, as
19 shown in the right-hand column.

20 In an exploratory analysis of vaccine efficacy
21 against moderate and severe/critical COVID-19,

1 including only those cases which occurred in the U.S.,
2 vaccine efficacy appears to be similar between the
3 primary and final analysis in contrast to the more
4 notable decrease in vaccine efficacy point estimate
5 observed in the overall study population. Due to
6 differences in availability and approvals or
7 authorizations of COVID-19 vaccines in the country's
8 where this study took place, the progression of un-
9 blinding varied among the study sites.

10 In the U.S., the last available primary
11 endpoint that contributed to the final efficacy
12 analysis occurred on April 16, 2021. The majority of
13 cases from the U.S. were sequenced to be D614G with
14 some cases due to the Alpha variant between February
15 and April.

16 Multiple variants of SARS-CoV-2 were
17 circulating during the conduct of this study. These
18 variants differed by country and changed over time.
19 Sequencing data at the time of the final analysis was
20 available from 77 percent of subjects with molecularly
21 confirmed COVID-19 cases. Of the sequenced cases, the

1 most prevalent variants were Gamma and Zeta.

2 As shown in the table on the slide, analysis
3 of vaccine efficacy by variants suggest a decrease in
4 efficacy against many of the variants of concern or
5 interest as compared with a reference strain. However,
6 for many variants, the case numbers were small with
7 wide confidence intervals around the efficacy point
8 estimates. Only about two percent of cases sequenced
9 were attributable to the Delta variant. The number of
10 Delta cases accrued in the study was insufficient to
11 enable a precise determination of vaccine efficacy
12 specifically against Delta.

13 Now I will present the results from Study
14 3009, which is the Phase 3 efficacy study evaluating a
15 two-dose regimen of the vaccine given two months apart
16 in individuals 18 years of age and older with and
17 without comorbidities.

18 More than 31,000 participants were randomized
19 one to one to receive two doses of the Janssen COVID-19
20 vaccine, or two doses of placebo. However, due to the
21 EUA for the single-dose regimen, which occurred in

1 February, while this study was ongoing, only 54 percent
2 of participants received two doses of the study vaccine
3 or placebo prior to unblinding. This also resulted in
4 a limited duration of follow-up for the double-blind
5 placebo-controlled phase of the study, with a median
6 follow-up of 36 days at the time of the data cutoff for
7 the primary analysis.

8 The primary efficacy endpoint was vaccine
9 efficacy against protocol defined moderate and
10 severe/critical COVID-19 with onset at least 14 days
11 after dose 2.

12 Results from the primary analysis are
13 displayed in the table shown. Again, the analysis for
14 the study have not been independently verified by the
15 FDA.

16 Vaccine efficacy against moderate and
17 severe/critical COVID-19 was estimated to be 75 percent
18 overall across the entire study population, and 94
19 percent when only including cases which accrued in the
20 U.S. There was a lower efficacy point estimate
21 observed for participants 60 years of age and older,

1 but the confidence intervals are wide due to the small
2 number of cases. There were very few cases accrued for
3 the more severe disease endpoints and the confidence
4 intervals are wide or unable to be calculated for these
5 endpoints. The short follow-up time for this analysis
6 also limits the interpretation of the results of this
7 study.

8 Similar to Study 3001, multiple variants of
9 SARS-CoV-2 were circulating during the conduct of Study
10 3009. Sequencing data was developed over approximately
11 68 percent of COVID-19 cases at the time of the primary
12 analysis. Of the sequenced cases, the most prevalent
13 variants were Alpha and Mu. And the efficacy analysis
14 by variant was only able to be performed against these
15 two strains. There was an insufficient number of cases
16 from Delta to conclude on vaccine efficacy specifically
17 against Delta.

18 This slide shows a side-by-side comparison of
19 the key efficacy analysis presented from the two Phase
20 3 efficacy studies. The blue bars show results from
21 the primary analysis of the two-dose efficacy Study

1 3009 with a data cutoff in June, and a median follow-up
2 of 36 days.

3 And the red bars are results from the primary
4 analysis of the single-dose efficacy Study 3001 with a
5 data cutoff in January, and a median follow-up of two
6 months.

7 Finally, in the green bars are the results
8 from the final analysis of the single-dose study with a
9 data cutoff in July, and a median follow-up of four
10 months. You can see that, for the majority of these
11 analyses, the efficacy point estimate was higher for
12 the two-dose study compared to the primary analysis and
13 final analysis for the one-dose study. However, note
14 that for all these analyses there is substantial
15 overlap in confidence intervals among all three
16 analyses.

17 Due to the small number of cases accrued,
18 there was much greater uncertainty around the point
19 estimate for the two-dose study compared to those from
20 the one-dose study, which is especially apparent when
21 looking at the analysis for efficacy in participants

1 over 60 years of age and for the severe/critical only
2 endpoint.

3 Next, we will look at the data available from
4 the Phase 1 and 2 studies, examining the immune
5 response after an additional dose of the vaccine given
6 two to three months after the primary dose. As we look
7 at data from each of these studies, please note the
8 relatively small sample sizes which contributed to
9 these analyses. For all of these studies with a two-
10 to three-month interval, the immunogenicity data has
11 not been independently verified by the FDA.

12 In Study 1001, Cohort 1a Group 1,
13 immunogenicity data was available from 25 adults
14 between the ages of 18 and 55 who are administered two
15 doses of the Janssen COVID-19 vaccine two months apart.
16 Immune response was measured by a qualified, wild-type
17 virus neutralization assay against VICTORIA/1/2020
18 reference strain. The same assay was used for all the
19 groups assessing two- to three-month intervals, which
20 will be presented in a subsequent slide. There was an
21 increase in immune response observed at 28 days post-

1 dose 2 with a geometric mean increase in titers of 2.9-
2 fold compared to pre-dose 2 titers on day 57. By six-
3 month post-dose 2, there is a suggested decrease in
4 neutralizing antibody titers, but still 1.6-fold higher
5 compared to the levels observed pre-dose 2.

6 In COV1002, Cohort 2 Group 1, immunogenicity
7 data was available from 50 adults 65 years of age and
8 older who were administered two doses of the vaccine
9 two months apart. There was an increase in immune
10 response observed at 28 days post-dose 2, with a 1.5-
11 fold rise in GMT titers compared to pre-dose 2 titers
12 on day 57.

13 In COV2001, Group 1, immunogenicity data was
14 available from 38 participants 18 years of age and
15 older who were administered two doses of the vaccine
16 two months apart. There was an increase in immune
17 response observed at 28 days post-dose 2 with a 1.8-
18 fold rise in GMT titers compared to pre-dose 2 titers
19 on day 57.

20 In COV1001, Cohort 3 Group 1, immunogenicity
21 data was available from 25 adults 65 years of age and

1 older who received two doses of vaccine three months
2 apart. The initial study protocol specified a dosing
3 interval of two months, however, due to a study pause
4 triggered by an SAE in the Phase 3 study, the actual
5 timing of the dose 2 for participants in this cohort
6 ranged from 86 to 107 days with a median of 87 days.
7 There was an increase in the immune response observed
8 at 28 days post-dose 2, with a 4.3-fold rise in GMT
9 titers compared to pre-dose 2 titers on day 87.

10 In COV1002, Cohort 1 Group 1, immunogenicity
11 data was available for 51 adults 20 through 55 years of
12 age who received two doses of the vaccine three months
13 apart. The initial study protocol specified a dosing
14 interval of two months, however, due to the study pause
15 as mentioned previously, the actual timing of dose 2
16 for participants in this cohort ranged from 73 to 88
17 days with a median of 78 days. There was an increase
18 in immune response observed at 28 days post-dose 2,
19 with a 2.3-fold rise in GMT titers compared to pre-dose
20 2 tiers on day 78.

21 In COV2001, Group 9, immunogenicity data was

1 available from 37 adults 18 years of age and older who
2 received two doses of the vaccine three months apart.
3 There was an increase in immune response observed at 28
4 days post-dose 2 with a 2.9-fold rise in GMT titers
5 compared to pre-dose 2 titers on day 99.

6 Next, we will look at the immunogenicity data
7 in participants who received a booster dose at six
8 months after the primary dose. In Study 1001, Cohort
9 2a Group 2, participants 18 through 55 years of age
10 were enrolled to receive a booster dose of the Janssen
11 COVID-19 vaccine six months after primary vaccination
12 at the same dose level. Immunogenicity data after a
13 booster dose are available from 17 participants.

14 SARS-CoV-2 neutralizing titers were assessed
15 using a non-validated, non-qualified, pseudovirus
16 neutralization assay against WASHINGTON/1/2020 with
17 D614G mutation. Note that this assay is different from
18 the wild-type DNA used for the other study cohorts
19 which we just looked at.

20 When looking at the results observed at 28
21 days post-primary dose, the GMT in this group of

1 healthy, non-elderly adult subjects was below the limit
2 of detection, which is in contrast to the
3 immunogenicity results observed at the same time point
4 in the other study cohorts, and previously when using
5 the wild-type DNA, indicating that the pseudovirus
6 assay used for this study likely has low sensitivity.

7 Looking at the right-hand column, an increase
8 in the neutralizing antibody response is observed after
9 a booster dose at six months with a 4.5-fold rise in
10 GMT at 28 days post-booster compared to pre-booster.

11 Study 1001 did not include pre (inaudible) a
12 post hoc analysis was conducted by Janssen to evaluate
13 the ratio of GMT of neutralizing antibodies against a
14 reference strain at 7 days and 28 days post-booster
15 compared to 28 days post-primary vaccination in this
16 group of participants who received the booster dose at
17 six months.

18 Although this analysis showed that the GMT
19 ratios are above the conventional, non-inferiority
20 criteria of a lower bound of 95 percent confidence
21 interval greater than 0.67. This analysis only

1 included a small sample size of 17 participants.
2 Furthermore, interpretation of GMT ratios may be
3 confounded by the low sensitivity of the assay,
4 resulting in titers below the limit of detection post-
5 primary vaccination. No analysis of different zero
6 response rates was provided.

7 A descriptive analysis on neutralizing
8 antibody response against the Delta variant was
9 conducted for the same 17 participants. For this
10 analysis, a non-qualified, non-validated pseudovirus
11 DNA against the Delta strain was used. Results from
12 this analysis are shaded in green in the table shown
13 next to the analysis at the same time point against a
14 reference strain for comparison.

15 At 28 days post-booster there was a 3-fold
16 rise in GMT against the Delta variant compared to pre-
17 booster. At all time points evaluated, the GMT against
18 the Delta variant and the fold rises were lower than
19 those observed against the reference strain.

20 Next, I will turn it over to Dr. Brennan to
21 take you through the safety data.

1 **DR. TIMOTHY BRENNAN:** Hi, good morning. My
2 name is Dr. Timothy Brennan. I'm a medical officer in
3 the Office of Vaccines, Research, and Review at the
4 Center for Biologic Evaluation and Research.

5 I will be discussing the safety data summaries
6 reviewed for this emergency use authorization
7 amendment. First, I will discuss the safety data
8 available after a second dose is administered within a
9 two- to three-month interval.

10 I want to start off by going over the safety
11 monitoring in Study COV3009, which represents the bulk
12 of the safety data following a second dose of the
13 Janssen COVID-19 vaccine. The primary safety objective
14 of this study was to describe the safety in terms of
15 serious adverse events and medically attended adverse
16 events leaving the study discontinuation for the
17 duration of study. Medically attended adverse events
18 not leading to study discontinuation will be monitored
19 through six months after the last double-blind
20 vaccination.

21 Out of 15,708 participants who were randomized

1 and vaccinated in the full analysis set, 8,655 received
2 a second dose of the Janssen COVID-19 vaccine during
3 the double-blind phase. A safety subset was used to
4 evaluate safety and reactogenicity in terms of
5 solicited local and systemic adverse events during
6 seven days after each vaccination and in terms of
7 unsolicited adverse events during 28 days after each
8 vaccination.

9 Out of 1,559 participants in this safety
10 subset, 1,032 completed a one-month post-dose 2 follow-
11 up. Here you can see a summary of the solicited local
12 and systemic adverse events for both vaccinated and
13 placebo groups, partitioned by age group and occurrence
14 after the first or second dose.

15 Overall, the frequency of solicited adverse
16 events was similar post-dose 1 versus post-dose 2.
17 There was a trend towards decreasing frequencies of
18 solicited systemic adverse events following dose 1
19 relative to dose 2. There were small numbers in Grade
20 3 local solicited adverse events, which were similar in
21 frequency post-dose 1 relative to post-dose 2.

1 This slide presents a summary of the solicited
2 local adverse events recorded in the safety subset. As
3 you can see in this table, pain represents the majority
4 of reported solicited local adverse events post-dose 1
5 and post-dose 2. Erythema is the next most common
6 followed by swelling. Rates of pain are similar post-
7 dose 1 relative to post-dose 2 for both the 18 to 59
8 years of age group as well as the greater than or equal
9 to 60 years of age group. There were small numbers of
10 Grade 3 local adverse events with similar frequencies
11 between age groups and number of doses.

12 Overall, as has been seen in other studies,
13 there appears to be a trend towards decreased
14 reactogenicity in the greater than or equal to 60 years
15 of age group. There are small numbers of Grade 3 local
16 adverse reactions with similar frequencies between age
17 groups and number of doses.

18 Overall, as has been seen in other studies,
19 there appears to be a trend towards the increased
20 reactogenicity in the greater than or equal to 60 years
21 of age group.

1 Here you can see the most commonly reported
2 solicited systemic adverse events in the safety subset.
3 As you can see in the table, fatigue represents the
4 majority of events followed by headache and myalgia.
5 This pattern was similar across age groups and number
6 of doses as well as the grade of severity. As with
7 solicited local adverse events there is a pattern of
8 decreased reactogenicity in the greater than or equal
9 to 60 years of age group relative to the 18 to 59 years
10 of age group. There is also a trend towards decreased
11 reactogenicity post-dose 2 relative to post-dose 1.

12 This table represents an overview of the
13 unsolicited adverse events reported in the safety
14 subset within 28 days following dose 1 and dose 2
15 categorized by grade and age cohort. As you can see,
16 there are small numbers of Grade 3 and Grade 4
17 unsolicited adverse events reported with similar
18 frequency across age groups and between doses.

19 Overall, the rates of unsolicited adverse
20 events were higher in the vaccinated group versus
21 placebo group post-dose 1 as well as post-dose 2. And,

1 as we've seen previously with the solicited adverse
2 events, there remained a trend towards decreased
3 frequencies of unsolicited adverse events post-dose 2
4 relative to post-dose 1.

5 This table represents the unsolicited adverse
6 events reported in the safety subset within 28 days
7 following dose 1 and dose 2 by system organ class and
8 preferred terms. The events that occurred in at least
9 one percent of vaccine recipients are included. As you
10 can see, the most common unsolicited adverse events
11 post-dose 1 were fatigue at 3.5 percent and headache at
12 3.5 percent. These rates were similar to those in the
13 placebo group, the fatigue at 3.1 percent and headache
14 at 3.2 percent. This was also the case post-dose 2.
15 The numbers of Grade 3 unsolicited adverse events are
16 small and similar between groups.

17 **MR. MICHAEL KAWCZYNSKI:** Dr. Brennan? Yeah,
18 is Dr. Brennan disconnected?

19 **DR. ARNOLD MONTTO:** We see him, but we don't
20 hear him.

21 **DR. TIMOTHY BRENNAN:** Can everyone hear me?

1 **MR. MICHAEL KAWCZYNSKI:** There we go, thank
2 you, Dr. Brennan. Go ahead.

3 **DR. TIMOTHY BRENNAN:** Okay, thanks. Sorry
4 about that; I don't know what happened. Okay. Here
5 we're looking -- this table summarizes the serious
6 adverse events reported in the blinded and open-label
7 phases of Study COV3009 and were considered related by
8 the investigator. Eight participants reported SAEs
9 considered by the investigator to be related in the
10 vaccinated group compared to three in the placebo
11 group. Additionally, a total of four participants
12 reported SAEs considered related by the investigator
13 after unblinding in the open-label phase. All of which
14 were thrombotic events or potential thrombotic events.

15 Overall, there were small numbers of serious
16 adverse events reported and no significant imbalances
17 identified between groups that received study vaccine
18 compared with that received placebo. However, it is
19 important to note that the FDA has not had the
20 opportunity to verify safety datasets or review
21 narrative summaries of reported serious adverse events.

1 Additionally, although no significant
2 imbalances were identified in Janssen's summary of
3 adverse events of special interest between vaccinated
4 and placebo groups, the FDA likewise has not had the
5 opportunity to independently conduct standard MedDRA
6 queries to evaluate for constellations of unsolicited
7 adverse events.

8 This slide presents some additional safety
9 data in the form of adverse events of special interests
10 from Studies COV1002 and COV2001. One SAE was reported
11 as of the cutoff date of December 28, 2020, in Cohort 1
12 Group 1 of Study COV1002, which corresponded to a male
13 participant 18 to 59 years of age, who experienced
14 sudden hearing loss in one ear starting 34 days after
15 dose 1. Two thrombotic events were reported in Study
16 COV2001. One participant had thrombophlebitis one day
17 after a single five times ten to the tenth dose of the
18 Janssen COVID-19 vaccine, and one participant had a
19 Grade 3 ischemic stroke eight days after the 1.25 times
20 ten to the tenth dose on month six.

21 Now we'll focus on safety data we have

1 available after a second dose is administered with a
2 six-month interval. This slide shows the solicited
3 local and systemic adverse events for Study COV1001,
4 Cohort 2a Group 2, which included 19 participants who
5 received the five times ten to the tenth booster dose
6 of the Janssen COVID-19 vaccine with a six-month
7 interval following a five times ten to the tenth
8 primary dose of the Janssen COVID-19 vaccine.

9 The tables show the frequencies of solicited
10 local and systemic adverse reactions within seven days
11 of a primary vaccination and within seven days of a
12 booster dose of the Janssen COVID-19 vaccine. The most
13 frequently reported solicited local reaction after a
14 booster dose was injection site pain at 78.9 percent.
15 The overall rate and severity of injection site pain
16 was similar post-booster dose compared to post-primary
17 vaccination. The most frequently reported solicited
18 systemic adverse reactions after a booster dose were
19 headache at 47.4 percent followed by fatigue at 26.3
20 percent and myalgia at 21.1 percent and nausea at 10.5.

21 As seen previously, there is a trend towards

1 lower rates of adverse reactions post-dose 2 relative
2 to post-dose 1 though the small numbers preclude a
3 reliable conclusion.

4 This table presents an overview of unsolicited
5 adverse events within 28 days after each dose, and it
6 has a data cutoff of July 21, 2021. There were no SAEs
7 or AEs leading to discontinuation of Cohort 2a Group 2.

8 And, finally, I will summarize the data
9 reviewed in consideration of this emergency use
10 authorization amendment. This slide presents a summary
11 of the Janssen efficacy data analyses considered in the
12 evaluation of an additional dose of the Janssen COVID-
13 19 vaccine.

14 In Study COV3001, the final placebo-controlled
15 efficacy analyses for a single dose suggest a stable
16 efficacy over time against severe and critical COVID-
17 19. However, there is some evidence of decreasing
18 efficacy over time against moderate cases, which may be
19 due in part to vaccine-resistant strains in study
20 regions outside of the U.S.

21 From Study COV3009, a placebo-controlled

1 efficacy analyses for two doses administered two months
2 apart suggests higher efficacy estimates relative to a
3 single-dose study in COV3001. However, any conclusions
4 regarding improved efficacy are limited by small
5 numbers of COVID-19 cases, particularly cases of the
6 Delta variant, as well as wide confidence intervals
7 around the efficacy point estimates, which overlap
8 those from the one-dose study, COV3001. An additional
9 limitation is the median follow-up of 36 days after the
10 second dose.

11 Finally, this slide presents a summary of the
12 Janssen immunogenicity and safety data analyses
13 considered in the evaluation of an additional dose of
14 the Janssen COVID-19 vaccine. A second dose of the
15 Janssen COVID-19 vaccine administered at two to six
16 months after the first dose elicits geometric mean
17 titer increases in neutralizing antibodies of
18 approximately 1.5- to 4.5-fold above a pre-booster
19 baseline. However, the interpretation of this data is
20 limited by the small sample sizes, including only 17
21 participants for the six-month interval, as well as the

1 exploratory non-validated pseudovirus neutralization
2 assay used in the assessment of neutralizing antibody
3 titers.

4 There were no new safety signals identified
5 following a second dose of the Janssen COVID-19
6 vaccine. However, the interpretation of this data is
7 also limited by low sample sizes. Particularly for the
8 six-month interval, as well as the limited duration of
9 safety follow-up after the second dose, including Study
10 COV3009, which is the main source of safety data for
11 participants exposed to two doses. Thank you very
12 much.

13 **DR. ARNOLD MONTO:** Dr. Belov? You go ahead
14 and review the real-world evidence.

15

16 **FDA PRESENTATION - REVIEW OF RWE TO ASSESS THE**
17 **EFFECTIVENESS OF A SINGLE DOSE OF JANSSEN COVID-19**
18 **VACCINE (AD26.COV2.S)**

19

20 **DR. ARTUR BELOV:** Hi there, can people see and
21 hear me?

1 **MR. MICHAEL KAWCZYNSKI:** We can hear you. We
2 don't see you yet. There we go, now we see you. All
3 right, Artur.

4 **DR. ARTUR BELOV:** Yeah, sorry, my computer had
5 just crashed, and I was frantically restarting.

6 **MR. MICHAEL KAWCZYNSKI:** That's okay. It
7 happens. It's a great example. All right, take it
8 away.

9 **DR. ARTUR BELOV:** All right. Great. All
10 right, good morning, everyone. My name is Artur Belov,
11 and I work in the Office of Biostatistics and
12 Epidemiology in the Center for Biologics Evaluations
13 and Research.

14 Today I'll give a brief overview of the real-
15 world evidence study that assessed the effectiveness of
16 Janssen's COVID-19 vaccine. The purpose of this study
17 was to gather supportive evidence for effectiveness of
18 the Janssen single-dose COVID-19 vaccine and the real
19 world using observational data. Here's the outline of
20 my summary, and we'll start by discussing the data
21 sources and study design.

1 Janssen used HealthVerity as its real-world
2 data source, which is a collection of around 75
3 healthcare-related data sets. These data include
4 medical and pharmacy insurance claims, laboratory data
5 from select service providers, as well as hospital
6 transaction records for inpatient and outpatient
7 medical encounters.

8 Depending on which of these data sources are
9 considered, the expected data lag is between two to six
10 weeks. All data that was generated between March 1st
11 and August 31, 2021, was eligible for inclusion in this
12 study. While HealthVerity is by no means a
13 comprehensive resource for capturing all health-related
14 claims and populations in the United States, it
15 generally shows good agreement with the U.S. Census
16 populations as listed in the table to the right of the
17 slide.

18 Individuals were included in the study as long
19 as they had no documentation of any COVID-19 vaccine
20 product administered prior to their start date, which
21 would be their vaccination date or at least one medical

1 claim or record in the prior 12 months from their start
2 date and, also, continual enrollment in the medical
3 insurance in the prior 12 months. In order to
4 calculate vaccine effectiveness, the identified
5 vaccinated individuals are matched to those with a
6 health encounter plus or minus four days of the
7 vaccination date of their matched pair. And follow-ups
8 started 14 days after cohort entry.

9 This matching was initially performed using
10 exact approaches for age in four-year bins starting
11 from age 18 and older, sex, a combined comorbidity
12 index, and three-digit zip codes. Upon initial exact
13 matching, pairs were refined to only include
14 individuals that were within a specific propensity
15 score caliper distance which was based on a number of
16 other patient characteristics and comorbidities, such
17 as diabetes, hypertension, heart disease, among others.

18 The endpoints of the study included any
19 observed COVID-19, which was identified by an ICB10
20 code related to COVID-19 diagnosis or a laboratory-
21 confirmed PCR result and COVID-19 related

1 hospitalizations assessed as an inpatient stay in the
2 medical claims.

3 The final analytic cohort was constructed
4 based on the exposure to Janssen's COVID-19 vaccine or
5 no documentation of vaccination and matching to those
6 who are vaccinated. The cohort included just under
7 397,000 vaccinated individuals which were an exact
8 match to close to four million unvaccinated
9 individuals. Upon the further refinement using
10 propensity score, a final ratio of one vaccinated
11 individual to up to four unvaccinated individuals was
12 achieved. And it was for this cohort that vaccine
13 effectiveness was estimated. Median follow-up time was
14 129 days.

15 As I mentioned briefly and the sponsor alluded
16 to before, the HealthVerity claims, and hospital
17 encounter data sets are not comprehensive and will not
18 capture all of the potential exposures to vaccination.
19 This is in large part due to vaccination at places of
20 employment, vaccination clinics across the country, as
21 well as general missingness to exposure to the vaccine.

1 This will result in an overall under-
2 ascertainment of the total vaccinated population in the
3 analytic cohort described a slide earlier. This is
4 somewhat verified by the fact that CDC reported that
5 just about 57 percent of individuals aged 12 years and
6 older were vaccinated while HealthVerity only showed
7 vaccination for 34 percent of eligible individuals in
8 this collection of data sets, which is roughly about 60
9 percent of the CDC number.

10 To explore the effects of vaccination under-
11 ascertainment, the sponsor proposed to perform a
12 sensitivity analysis that would explore various levels
13 of vaccine, vaccinations that may go undocumented in
14 the referent cohort and compare the impact that vaccine
15 effectiveness estimates to unadjusted effectiveness
16 estimates.

17 For the remainder of the presentation,
18 adjusted vaccine effectiveness numbers will be
19 referring to adjusting for under-ascertainment based on
20 the vaccination numbers seen in CDC versus
21 HealthVerity, 40 percent was used as the primary

1 correction factor for adjusted vaccine effectiveness
2 estimates.

3 Here is the overall and cohort subsets for
4 corrected and uncorrected vaccine effectiveness
5 estimates. In general, uncorrected vaccine
6 effectiveness estimates were 10 to 13 percent lower
7 than the corrected estimates for any observed COVID-19
8 endpoint, and 7 to 13 percent lower than the corrected
9 estimates for COVID-19-related hospitalizations.

10 That's in the national cohort.

11 Those aged less than 65 showed 7 percent and
12 14 percent improved vaccine effectiveness for both
13 endpoints compared to those aged 65 or greater.

14 Immunocompromised individuals were estimated to have 16
15 percent and 19 percent less vaccine effectiveness for
16 documented COVID-19 and COVID-19-related
17 hospitalizations respectively.

18 To examine the potential effects of waning
19 immunity and the potential impact of variants of
20 concern circulating in the U.S. when estimating vaccine
21 effectiveness, the sponsor performed a month-over-month

1 analysis of vaccine effectiveness. In general, vaccine
2 effectiveness remained stable over the study period of
3 March to August, with corrected vaccine effectiveness
4 ranging from 75 to 78 percent for any observed COVID-19
5 and between 78 to 82 percent for hospitalizations
6 related to COVID-19.

7 Observational studies come with inherent
8 difficulties and limitations. As mentioned throughout
9 the discussion, the unknown vaccination status among
10 the referent cohort remains difficult to fully account
11 for with a sensitivity analysis. Linking the patient
12 claims to state registry vaccination data may be
13 helpful to explore as this would not require
14 assumptions and adjustments to vaccine effectiveness
15 estimates due to vaccination exposure.

16 Additionally, the sponsor was unable to
17 perform matching for geography with more than three-
18 digit zip codes, which did not fully adjust for factors
19 that are known to vary by more granular, such as five-
20 digit or more zip codes, such as socio-economic status,
21 race, and other factors that are not otherwise

1 accounted for in this analysis.

2 Finally, there were only just under 400,000
3 individuals with a documented Janssen vaccine, which is
4 well under the CDCs recording of just over 15 million
5 in the United States. This leads to general
6 realizability concerns as the available data and/or
7 enrichment strategies via inclusion criteria or other
8 study factors may have selected a cohort that is not a
9 random sample of the Janssen vaccinated individuals in
10 the U.S.

11 So, in summary, Study 4002 showed similar
12 vaccine effectiveness to what was reported in 3001
13 using real-world data. Vaccine effectiveness remains
14 stable between March and August 2021, showing
15 supportive evidence for effectiveness during months
16 when Delta variant was the dominant strain in the
17 United States. The real-world effectiveness data
18 provides supportive information but has important
19 limitations. I'll now hand it off to Dr. Narayan.

20

1 **FDA PRESENTATION - REVIEW OF POST AUTHORIZATION SAFETY**
2 **DATA FOR JANSSEN COVID-19 VACCINE**

3

4 **DR. NARAYAN NAIR:** Can people see and hear me?

5 **MR. MICHAEL KAWCZYNSKI:** Yes, we can, sir,
6 take it away.

7 **DR. NARAYAN NAIR:** Great. Good morning. I'm
8 Dr. Naryan Nair, the Division Director for the Division
9 of Epidemiology in the Office of Biostatistics and
10 Epidemiology, and I'll be presenting a review of post-
11 authorization safety data for the Janssen COVID-19
12 vaccine.

13 This is an overview of my talk. I'll be
14 discussing the passive surveillance safety data from
15 the Vaccine Adverse Event Reporting System, or VAERS.
16 I'll be discussing existing safety concerns and
17 potential emerging safety concerns. And I'll conclude
18 with a summary of FDA active surveillance.

19 This slide illustrates the adverse event
20 reporting under EUA. For vaccine recipients, there's
21 voluntary reporting. For vaccine providers, there are

1 mandatory reporting requirements listed here. And for
2 the vaccine EUA sponsor, there's mandatory reporting
3 requirements as well as a requirement for a monthly
4 periodic safety report.

5 The passive surveillance data is submitted to
6 VAERS. CDC and FDA coordinate and share data. At FDA,
7 we screen all incoming serious adverse event reports.
8 We conduct literature reviews, data mining, and
9 potential safety signals are further evaluated for
10 possible regulator action.

11 I wanted to touch upon VAERS, as Vaccine
12 Adverse Event Reporting System. This is our passive
13 surveillance system for vaccines. It's the nation's
14 early warning system for vaccine safety. VAERS accepts
15 all reports regardless of the plausibility of the
16 vaccine causing the event or the clinical seriousness
17 of the event.

18 The strengths of VAERS are that it can rapidly
19 detect potential safety problems. There's potential to
20 detect rare adverse events, it's open-ended for
21 hypothesis generation, it allows for geographic

1 diversity, and there's the capability to monitor
2 production logs.

3 The limitations of VAERS are that there may be
4 missing or inaccurate data, reported diagnoses are not
5 verified, there could be under-reporting, there could
6 be reporting bias or stimulated reporting, there's an
7 absence of unvaccinated control group, and inability to
8 assess causation. And it's not likely to detect long
9 latency events.

10 This slide shows the reports to VAERS after
11 the Janssen COVID-19 vaccine. As of October 7th, there
12 were 14.6 million doses of vaccine administered. There
13 were 12,699 serious non-fatal reports submitted to
14 VAERS, and you can see the breakdown between U.S. and
15 foreign reports here. For deaths, there were 1,367
16 reports submitted.

17 I would emphasize, as I said in the previous
18 slide, there is a mandatory reporting requirement for
19 deaths to be submitted to VAERS for vaccine providers
20 and the manufacturer. So this number doesn't represent
21 deaths attributed to the vaccine.

1 For non-serious reports, there was 48,778, and
2 you can see the breakdown between U.S. and foreign.
3 And the total number of reports submitted to VAERS was
4 62,844 as of October 7th for the Janssen COVID-19
5 vaccine.

6 This slide shows the most commonly reported
7 adverse events to VAERS after the Janssen COVID-19
8 vaccine, again, the denominator is 14.6 million doses
9 and this data as of October 7th. The most commonly
10 reported adverse event was headache followed by
11 pyrexia, chills, fatigue, pain, nausea, dizziness, pain
12 in the extremity, myalgia, dyspnoea. And you can see
13 the numbers as well as the percentages listed here in
14 the right side of this table. And these terms are not
15 mutually exclusive.

16 I'm now going to summarize some of the
17 existing safety concerns. Starting with thrombosis
18 with thrombocytopenia syndrome. Post-authorization
19 surveillance in VAERS identified reports of cerebral
20 venous sinus thrombosis, or CVST, with thrombosis with
21 thrombocytopenia syndrome after the Janssen COVID-19

1 vaccine. On April 13th, use of the vaccine in the U.S.
2 was paused because of concerns about a potential
3 association with the vaccine.

4 On April 23rd, the fact sheets were updated to
5 include a warning about TTS and the pause was lifted.
6 As of October 5th, there are 47 U.S. cases of TTS that
7 have been confirmed after the Janssen COVID-19 vaccine.
8 An evaluation of this safety issue is ongoing. I
9 provided here at the bottom of this slide a reference
10 that describes some of the cases of CVST that occurred
11 following the Janssen COVID-19 vaccine.

12 Now I wanted to summarize another existing
13 safety concern, Guillain-Barre Syndrome, or GBS. Post-
14 authorization surveillance of VAERS identified 130
15 reports of GBS after the Janssen vaccine as of July 24,
16 2021. The number of observed reports exceeded the
17 number expected across multiple age groups without
18 respect to the Brighton Collaboration criteria. The
19 reporting rate for GBS was higher for Janssen than for
20 the mRNA vaccines and the estimated observed-to-
21 expected ratio was 4.18.

1 On July 12th, the EUA fact sheets were updated
2 to include new information about GBS. And the bottom
3 of this slide provides a reference to a published
4 article that describes the cases of GBS that occurred
5 after the Janssen vaccine.

6 I now wanted to discuss the summary of
7 potential emerging safety concerns, starting with
8 myocarditis and pericarditis. Our post-authorization
9 surveillance of VAERS has identified this as a
10 potential emerging safety concern. As of August 27th,
11 there were 93 reports of myocarditis/pericarditis in
12 VAERS following the Janssen COVID-19 vaccine. And these
13 reports have not been adjudicated.

14 Based on a preliminary review, the number of
15 observed to expected values were elevated for all
16 adults 18 and older, with significant elevations in
17 both sexes and various age strata with different risk
18 windows and different background rates, with the
19 reporting rate ratio of 4.14 with the confidence
20 intervals listed here. There were five death reports,
21 all in people 30 years or older, and three in women.

1 Evaluation of myocarditis is still ongoing.

2 Post-authorization surveillance of VAERS have
3 identified a potential emerging safety issue concerning
4 thromboembolic events, or TEE. As described in the
5 fact sheets, section 6.1, Clinical Trials Experience,
6 there was a numerical imbalance with more events in the
7 vaccine than placebo recipients observed for TEE
8 including deep vein thrombosis, pulmonary embolism, and
9 transverse sinus thrombosis with thrombocytopenia.

10 As of October 4th, there were 2,792 reports of
11 TEE in VAERS following the Janssen COVID-19 vaccine.
12 These reports are non-adjudicated and may include the
13 aforementioned TTS cases. At their meeting that was
14 held September 27th, the European Medicines Agency
15 Pharmacovigilance Risk Assessment Committee, PRAC,
16 concluded that there is a reasonable possibility that
17 rare cases of venous thromboembolism are associated
18 with the Janssen COVID-19 vaccine. An evaluation of
19 TEE is ongoing.

20 Post-authorization surveillance in VAERS has
21 identified a potential emerging safety concern

1 regarding ITP, or immune thrombocytopenia. As of
2 October 4th, we have 185 reports of ITP in VAERS
3 following the Janssen COVID-19 vaccine. These cases
4 have not been adjudicated. Our preliminary analysis
5 found the number of observed exceeded the number
6 expected with a reporting rate ratio of 1.37 with the
7 confidence interval shown here.

8 At their meeting September 27th, the EMA PRAC
9 assessed cases of ITP following the Janssen COVID-19
10 vaccine and AstraZeneca COVID-19 vaccine and
11 recommended updating the product information for both
12 vaccines to include ITP. Our evaluation of ITP reports
13 is ongoing.

14 The FDA is currently monitoring the safety of
15 the Janssen vaccine in three large health insurance
16 reimbursement databases. This slide shows the active
17 surveillance in the FDA BEST system with near real-time
18 surveillance of the Janssen COVID-19 vaccine. As the
19 vaccine data accrues in the databases, we test for
20 statistically elevated rates compared to historical
21 rates prior to vaccination on a biweekly or monthly

1 basis.

2 On the left-hand side of this table, you can
3 see the adverse event of special interest listed. The
4 next column shows the risk window, which is the
5 interval in days, which the occurrence of AESI will be
6 included in the analysis. And then you can see the
7 number of AESI post-vaccination events, and in
8 parenthesis, the number of Janssen vaccine doses for
9 the three large health insurance reimbursement
10 databases, including the Centers for Medicare Services,
11 CMS; Optum; and Health Core, listed here as HCI. And,
12 again, in parenthesis, is the number of Janssen vaccine
13 doses.

14 And, as you can see, we did not detect any
15 safety signals for any of these AESIs following the
16 Janssen COVID-19 vaccine. However, the number of doses
17 in events are relatively low and FDA is continuing to
18 monitor the safety of these vaccines.

19 The applicant submitted a Pharmacovigilance
20 Plan to monitor safety concerns associated with the
21 Janssen vaccine, utilizing active and passive

1 surveillance. The safety specifications of the
2 Pharmacovigilance Plan are shown here. The important
3 identified risks are anaphylaxis, TTS, and GBS. And
4 the important potential risks are vaccine-associated
5 enhanced disease, venous thromboembolism, and immune
6 thrombocytopenia. The important missing information is
7 listed here.

8 So, to summarize, FDA and CDC continue to
9 follow cases of GBS and TTS reported to VAERS following
10 the Janssen COVID-19 vaccine. Information regarding
11 these adverse events is currently communicated in the
12 fact sheets. FDA and CDC continue to assess cases of
13 myocarditis, pericarditis, ITP, TEE, that are reported
14 to VAERS following the COVID-19 vaccination.

15 Preliminary analysis of unadjudicated cases in
16 VAERS reveal an increased observed-to-expected ratio
17 for myocarditis and pericarditis as well as ITP. And
18 with regard to active surveillance, FDA near real-time
19 surveillance of 16 potential outcomes does not reveal
20 any safety signals for these adverse events at this
21 time.

1 I'm presenting on behalf of a team that's been
2 working tirelessly to monitor the safety of these
3 vaccines. You can see my colleagues at CBER listed
4 here, as well as leadership in OBE. And I wanted to
5 acknowledge them for their contributions to this
6 presentation, as well as our non-federal and our
7 federal partners at CDC Immunization Safety Office.
8 And that concludes my remarks. Thank you.

9 **DR. ARNOLD MONTO:** Thank you to the whole team
10 at FDA for this comprehensive report.

11 We have just a few minutes before the open
12 public hearing for a couple of questions related to,
13 again, the detail that has been presented to us. Dr.
14 Levy, do you have -- is your hand raised for this one
15 or -- okay. Dr. Hawkins.

16 **DR. RANDY HAWKINS:** Thank you very much. This
17 is a question, I think, for our sponsor's slides,
18 adverse events, and I thought that there was a label of
19 arthritis with a spike in incidents, but --

20 **DR. ARNOLD MONTO:** If it's sponsor, let's go -
21 - let's park that and we'll have another session later

1 on.

2 **DR. RANDY HAWKINS:** Okay. Okay. Thank you.

3 **DR. ARNOLD MONTO:** Dr. Marks? You're muted.

4 **DR. PETER MARKS:** Hi, Dr. Monto, just a
5 reminder. We need to take a break before the open
6 public hearing, I think, so that they can get the
7 speakers ready. Unless Michael tells us otherwise.

8 **DR. ARNOLD MONTO:** Okay, we'll just -- taking
9 that into advice, we will take a break. Let me give
10 you some time for our return. We will resume after the
11 open public hearing, which should give people time to
12 get organized, for the question and answer session at
13 11:30 Eastern. That's a little more than half an hour
14 from now.

15 We will have the question and answer session
16 going through 12:15, and the lunch will be 12:15 to
17 12:45 with the Committee discussion and voting session
18 beginning at 12:45. So the question and answer
19 session, which can include questions for both the
20 sponsor and the FDA, will resume at 11:30 after the
21 open public hearing. And I'll let the technical staff

1 get ready for the open public hearing and the rest of
2 the session will resume, again, at 11:30.

3 **MR. MICHAEL KAWCZYNSKI:** All right, thank you,
4 Arnold. All right, we're going to go to break.

5

6

[BREAK]

7

8

OPEN PUBLIC HEARING

9

10 **MR. MICHAEL KAWCZYNSKI:** -- Vaccines and
11 Related Biological Products Advisory Committee meeting.
12 We will now be entering into our Open Public Hearing
13 session. With that being said, I'd like to hand this
14 off to our chair Dr. Arnold Monto. Dr. Monto, are you
15 ready?

16 **DR. ARNOLD MONTO:** I am ready. I'd like to
17 welcome everybody to the Open Public Hearing session.
18 Please note that both the Food and Drug Administration,
19 FDA, and the public believe in a transparent process
20 for information gathering and decision making. To
21 ensure such transparency at the Open Public Hearing

1 session of the advisory committee meeting. FDA
2 believes that it is important to understand the context
3 of an individual's presentation. For this reason, FDA
4 encourages you the Open Public Hearing speaker at the
5 beginning of your written or oral statement to advise
6 the committee of any financial relationship that you
7 may have with the sponsor, its product, and if known,
8 its direct competitors.

9 For example, this financial information may
10 include the sponsors' payment of expenses in connection
11 with your participation in this meeting. Likewise, FDA
12 encourages you at the beginning of your statement to
13 advise the committee if you do not have any such
14 financial relationship. If you choose not to address
15 the issue of financial relationships at the beginning
16 of your statement, it will not preclude you from
17 speaking. Over to Prabha.

18 **DR. PRABHAKARA ATREYA:** Thank you, Dr. Monto.
19 Before I begin calling on the registered speakers, I
20 would like to add the following additional guidance.
21 FDA encourages participation from all public

1 stakeholders in its decision-making processes. Every
2 advisory committee meeting includes an open public
3 hearing session during which interested persons may
4 present relevant information or view, and participants
5 during the OPH are not FDA employees or members of the
6 committee. FDA recognizes that the speakers may
7 present a range of viewpoints.

8 The statements made during this open public
9 hearing session reflect the viewpoint of the individual
10 speakers of the organization are not meant to indicate
11 the Agency's agreement with the statements made. With
12 that guidance, I would like to state we have two
13 registered speakers today with PowerPoint
14 presentations, and I'll first call upon the first
15 speaker Mr. Jared Krupnick. Mr. Krupnick.

16 **MR. JARED KRUPNICK:** (Audio skip) project.

17 **MR. MICHAEL KAWCZYNSKI:** Jared, can you hear
18 us now?

19 **MR. JARED KRUPNICK:** Yes, yes, I can hear you
20 now. (inaudible).

21 **MR. MICHAEL KAWCZYNSKI:** All right go ahead.

1 (inaudible). Yup, we hear you now. Go ahead and take
2 it away.

3 **MR. JARED KRUPNICK:** Perfect, thank you. Yes,
4 hi, I have no financial relationships to disclose. Hi,
5 I'm Jared Krupnick. I'm the President of Uniting for
6 Action and Founder of the Vaccine Considerations
7 Project. We help people make informed decisions and
8 take effective actions by providing science-based
9 expert COVID-19 vaccine information.

10 Thank you very much for this opportunity. We
11 were unable to put our slides together before the FDA
12 submission deadline, so all of our articles and other
13 reference materials used to create this presentation
14 are available live on vaccineconsiderations.com right
15 now.

16 If you have the ability, I encourage you to
17 follow along on vaccineconsiderations.com right now. I
18 want to begin by introducing one of our student interns
19 doing her practicum with us this fall, Katie MacQueen
20 (phonetic), and then I will be back to wrap up our
21 presentation.

1 All of the assessments and recommendations
2 that Katie and I will be sharing are our own personal
3 viewpoints and may be different from the neutral stance
4 of the Vaccine Considerations Project. Thank you and
5 take it away Katie.

6 **MS. KATIE MACQUEEN:** I have no financial
7 relationships to disclose. Hi, I'm Katie MacQueen.
8 I'm a masters of Public Health candidate at the
9 Colorado School of Public Health. Thank you very much
10 for this opportunity, please turn your attention to
11 Slide 2.

12 A major concern is the WHO's moratorium and
13 their critique that booster doses would be better
14 served going towards lower-income countries vaccinating
15 their populations. This is especially vital as we have
16 seen that unvaccinated populations have the potential
17 to develop variants.

18 That is patient supply also further aggravates
19 health inequities and disparities that these
20 communities face. Please pay attention to Slide 3.

21 We must consider that not only the U.S.

1 responsibility to the worldwide community but also to
2 our own communities. We continue to have significant
3 portions of our population unvaccinated and at risk.
4 Many experts have pointed out that the way to end the
5 pandemic is to address hesitancy.

6 These expert opinions support the U.S.
7 focusing our resources on the vaccine-hesitant
8 population. The concerns discussed in the WHO
9 moratorium are mirrored in low-income versus high-
10 income areas in the U.S. with vaccinations in rural
11 areas lagging behind their urban counterparts. Large
12 (inaudible) in rural areas is, in fact, vaccine
13 hesitancy. People in rural areas who already face
14 health disparities require assistance and resources to
15 address the hesitancy of their community members.

16 To quote Director-General Dr. Ghebreyesus,
17 economically, epidemiologically, and morally, it is in
18 all country's best interests to use the latest
19 available data to make life-saving vaccines available
20 to all. This includes the U.S. as well. Please pay
21 attention to Slide 4.

1 The data that the CDC has collected on
2 vaccinations reveal that the rate of people (inaudible)
3 their booster vaccinations has already overtaken the
4 rate of people getting their first dose or getting
5 fully vaccinated.

6 This information is important for us to
7 understand since the COVID-19 death toll took over a
8 year to surpass 2.5 million globally. While with the
9 new variant Delta, a 2.5 million death toll was
10 recorded in under eight months. As mentioned
11 previously, lower-income people are more susceptible to
12 variants. Turn your attention to Slide 5.

13 Thus, the focus should be on improving
14 vaccinations for people all around the world to protect
15 the young and old as well as the rich and poor.

16 Thank you very much for this opportunity, over
17 to you, Jared.

18 **MR. JARED KRUPNICK:** Thank you, Katie. Slide
19 number 6. I'm quoting the *New York Times* from two days
20 ago. "People who received the Johnson & Johnson
21 coronavirus vaccine may be better off with a booster

1 shot from Moderna or Pfizer BioNTech according to
2 preliminary data from a federal clinical trial
3 published on Wednesday. That finding, along with a
4 mixed review by the Food and Drug Administration of the
5 case made by the Johnson & Johnson for an authorization
6 of its booster could lead to a heated debate about how
7 and when to offer additional shots to the 15 million
8 Americans who have received the single-dose vaccine.”

9 So, is this topic worthy of thoughtful
10 consideration and discussion? Slide number 7.

11 So, the deadline to apply to present today was
12 one week ago. And the notice of that deadline was one
13 day before that. And the deadline for slides and
14 written comments was just three days ago. And the
15 public release of most of the data being considered
16 today was two to three days ago. So, my question to
17 the committee is, are any of you troubled by the fact
18 that thousands of your colleagues across the country
19 have been systematically and procedurally excluded from
20 providing their meaningful input?

21 Not just for this meeting, but for meeting

1 after meeting for a year now by unnecessarily tight
2 scheduling that consistently has feedback deadlines
3 nearly simultaneous to, if not before data is released.
4 So, trust is not just an external problem out there
5 that needs to be overcome. It's a problem internally
6 within the FDA and frankly within this committee, as
7 long as you're all willing to go along for the ride
8 without speaking out on behalf of your peers that are
9 being excluded from this process, not because of their
10 lack of interest, but by a process designed to provide
11 no opportunity for meaningful public input.

12 So, quite frankly, if each one of you had the
13 personal and professional integrity that Dr. Gruber and
14 Dr. Krause have demonstrated, you would all refuse to
15 participate in a process that looks more and more like
16 a rubber stamp than a thoughtful scientific
17 consideration.

18 I encourage each one of you to consider your
19 own reputation amongst your colleagues before you agree
20 to participate in one more meeting that makes a mockery
21 of the idea of peer review. How long do you think your

1 colleagues' voices can be systematically excluded
2 before they see you as part of the problem?

3 Please go to vaccineconsiderations.com to dig
4 deeper. Thank you for the opportunity to present
5 today.

6 **DR. PRABHAKARA ATREYA:** Thank you, Mr.
7 Krupnick. The next speaker is Dr. Robert Edmonds.

8 **DR. ROBERT EDMONDS:** Hello, I do not have any
9 financial conflicts of interest to disclose. So, I
10 will now begin.

11 Dear Committee, my name is Robert Edmonds, I
12 will now read from my pre-written remarks. Today I
13 will speak about tinnitus in the Johnson & Johnson
14 vaccine. COVID-19 vaccines including Johnson &
15 Johnson's vaccine have saved many lives.
16 Identification, though, of low-frequency adverse events
17 connected to vaccination are important. Not to
18 discourage vaccinations, but to encourage patient
19 education to seek timely care and for provider
20 education to apply the appropriate treatment should
21 these low-frequency events occur.

1 Peer-reviewed case studies of tinnitus
2 following vaccination potentially suggest a small
3 window of time for treatment of tinnitus after onset
4 utilizing corticosteroids. After this limited window
5 though, minimal treatments exist which are primarily
6 management in nature. On the following slide, I
7 discuss the numerical imbalances observed within
8 Johnson & Johnson's trial data. In February, John- --
9 (audio skip).

10 **MR. MICHAEL KAWCZYNSKI:** Like I said, we just
11 had to momentarily reconnect your audio break, so we're
12 going to restart with Dr. Robert Edmonds. Dr. Robert
13 Edmonds, are you there?

14 **DR. ROBERT EDMONDS:** Yes, I am here.

15 **MR. MICHAEL KAWCZYNSKI:** All right, take it
16 away.

17 **DR. ROBERT EDMONDS:** Okay, so I apologize if
18 this is a slight repeated due to the connection issues.
19 Again, I have no financial conflicts of interest to
20 disclose. Okay, dear Committee, my name is Robert
21 Edmonds, I will now read from my pre-written remarks.

1 Today I will speak about tinnitus in the
2 Johnson & Johnson vaccine. COVID-19 vaccines,
3 including Johnson & Johnson's vaccine have saved many
4 lives. Identification, though, of low-frequency
5 adverse events connected to vaccination are important.

6 Not to discourage vaccination, but to
7 encourage patient education to seek timely care and for
8 provider education to apply the appropriate treatment
9 should these low-frequency events occur. Peer-reviewed
10 case studies of tinnitus following vaccination
11 potentially suggest a small window of time for
12 treatment of tinnitus after onset utilizing
13 corticosteroids. After this limited window, though,
14 minimal treatments exist which are primarily management
15 in nature.

16 On the following slide, I discuss the
17 numerical imbalances observed within Johnson &
18 Johnson's trial data. In February, Johnson & Johnson's
19 preliminary review and subsequent peer-review
20 publication described a numerical imbalance of six
21 tinnitus cases in the vaccine group and zero in the

1 placebo group. While discussion of the preconditions
2 in the six cases was discussed, follow-up discussion of
3 the distribution of preconditions in the placebo group
4 was not provided.

5 Without this information, we can only surmise
6 the six versus zero imbalance results in this being a 1
7 in 64 chance of being a coincidental signal and their,
8 perhaps, preconditions in combination with Johnson &
9 Johnson vaccination could increase a risk for tinnitus.
10 If real, still something that should be communicated
11 for that subset of the population. Today, Johnson &
12 Johnson has provided data that indicates a combined
13 imbalance from all Phase 3 trials of 24 versus 9 for
14 tinnitus.

15 The chances of a coincidental signal is
16 approximately 1 in 143 for this scenario. That is the
17 confidence in tinnitus as a real signal has increased.
18 The 95 percent confidence lower bound to the signal
19 already above zero, increased away from zero with this
20 update as well. The predicted average rate a 95
21 percent upper confidence both increased as well. Note

1 the confidence intervals, nor the confidence estimates
2 have not been provided for these adverse events in any
3 documentation.

4 Note the confidence in the signal also
5 increases, even more, when you consider the additional
6 case of tinnitus in Phase 1. The resulting chance of a
7 coincidental signal is approximately 1 in 156 when you
8 consider all trial phases of Johnson & Johnson's
9 vaccine development. I urge the committee to recognize
10 tinnitus as being a related low-frequency adverse event
11 to Johnson & Johnson vaccination so that individuals
12 know to seek timely care and that providers know to
13 provide appropriate treatment.

14 Should the committee not recognize tinnitus,
15 unlike the European Medicines Agency, please conduct
16 follow-up investigations beyond passive monitoring.
17 Investigations of this nature would probably first
18 indicate what tinnitus background to compare to. Like
19 what comparisons should be conducted against what was
20 include and assumed non-bothersome tinnitus background
21 or a smaller more severe extremely bothersome tinnitus

1 background. Without investigation of this nature, it
2 would be difficult to detect a tens of percent rise in
3 an assumed large background without consideration of
4 severity as suggested in the trial data here.

5 Additionally, more careful examination of
6 cases may or may not identify an innate unique nature
7 to the cases to include or exclude any potential
8 causes, include identifying unique cases hard to
9 explain without a causal relationship. I would be
10 happy to expand upon these last three points with the
11 committee members after these remarks since I cannot
12 due to time limitations.

13 In my closing remarks, I would repeat combined
14 trial data here presently indicates a 1 in 156 chance
15 of there being a coincidental signal. If you agree
16 these events are unlikely to be coincidental as the
17 trial data statistics suggest, I urge meaningful
18 patient-provider education to occur. Thank you for
19 your time.

20 **DR. PRABHAKARA ATREYA:** Thank you, Dr.
21 Edmonds. This concludes the Open Public Hearing

1 session for today. I will hand over the meeting to the
2 chair, Dr. Monto. Dr. Monto, please take it away from
3 here. Are we going to have a Q&A session now or are we
4 going to take a lunch?

5 **DR. ARNOLD MONTO:** We are going to have a
6 short break until 11:30 when the Q&A will begin.
7 That's what we announced before we went to the Open
8 Public Hearing, so a short break until 11:30.

9 **MR. MICHAEL KAWCZYNSKI:** All right, so just an
10 eight-minute break. All right, so no problem, I'll put
11 up our break slide.

12

13

BREAK

14

15 **MR. MICHAEL KAWCZYNSKI:** All right, hi, again
16 I'm Mike Kawczynski, and welcome back from that short
17 little break. We're now going to go into our Q&A
18 session. Dr. Monto, it looks like you're ready, take
19 us away.

20

1 **ADDITIONAL Q&A REGARDING SPONSOR AND FDA PRESENTATIONS**

2

3 **DR. ARNOLD MONTO:** Okay, well Dr. Hawkins has
4 been waiting patiently since before the open public
5 hearing to ask a question of the sponsor. Dr. Hawkins.

6 **DR. RANDY HAWKINS:** Thank you, Dr. Monto and
7 sponsors. So, this is a question on the adverse events
8 slide. I may have misread it. The error was entitled
9 "arthritis" and the FDA does not mention it, so I'm not
10 sure if there's an error in how it's titled. So were
11 there truly arthritis flares in Study 3009? And, if so
12 tell us about the duration, severity, and whether you
13 (audio skip) affect the quality of life, and, if the
14 survey was done is in fact is truly arthritis, thank
15 you.

16 **MR. MICHAEL KAWCZYNSKI:** I want to make sure
17 we have his (inaudible). Go ahead (inaudible).

18 **DR. MACAYA DOUGUIH:** Thank you for the
19 question. Well, so it's difficult to know if these are
20 true arthritis cases in some of these events because
21 the majority of these -- all but four -- were non-

1 serious, so sometimes you just get the code and there's
2 not a lot of detail. What we did see was in terms of
3 arthritis is the reports of arthritis. There were six
4 in the active groups, six in the placebo. In terms of
5 osteoarthritis, it was also balanced two versus two.
6 We had four SAEs, two were in active and two in
7 placebo.

8 One was in subacromial clavicular -- the
9 arthritis -- which was deemed to be due to poor
10 injection site technique. And then worsening of
11 osteoarthritis and, again, there were two in the
12 placebo. So, the only real imbalance where we could
13 say it's probably a flare was with respect to gout.
14 So, there were 8 cases Ad26 group and 1 in the placebo.
15 I don't have at hand the duration of those events, but
16 they were reported as flares of existing gout in all
17 but one case.

18 **DR. ARNOLD MONTA:** Thank you. Dr. Pergam.

19 **DR. STEVEN PERGAM:** This is a question for the
20 FDA speakers about the cases -- the breakthrough cases
21 that occurred after the Janssen/Johnson & Johnson

1 vaccine. Could they discuss a little bit about the age
2 ranges of these if they have that data? I wasn't clear
3 in their discussion whether that was discussed.

4 I'm curious about the vaccine efficacy waning
5 specifically in the older adults and was curious if, in
6 that sort of large epidemiologic data, they looked at
7 that they could clarify specifically age range
8 differences. (Inaudible). This is for the FDA
9 specifically.

10 **DR. ARNOLD MONTO:** FDA on breakthrough cases'
11 ages.

12 **MR. MICHAEL KAWCZYNSKI:** Dr. Van, do you want
13 to try to respond to that? Or is it Dr. Fink? There
14 you go.

15 **DR. DORAN FINK:** I'm sorry I think the FDA
16 might need some clarification to understand. Is this
17 question with regard to the real-world evidence study
18 that was presented by Dr. Belov?

19 **DR. STEVEN PERGAM:** Yes, Dr. Fink, that's
20 correct. The real-world evidence data would probably
21 be the most relevant.

1 **DR. DORAN FINK:** Okay.

2 **DR. ARTUR BELOV:** Hi there, the breakthrough
3 cases this was for the real-world evidence following a
4 single dose of the Janssen vaccine. So those were
5 coded with specific IPV-10 (phonetic) codes, the user
6 7.1 (phonetic) in any position or a positive PCR test
7 that was provided by a laboratory. Was there anything
8 more detailed there? I don't have the exact age ranges
9 of those outcomes as we don't have access to the data
10 and we're not able to look at it independently. So,
11 Janssen might be able to provide additional information
12 for the age ranges.

13 **DR. ARNOLD MONTO:** Dr. Van Hoof.

14 **DR. JOHAN VAN HOOFF:** Yeah, thank you. I would
15 ask Dr. Schneeweiss to comment on this one because we
16 have, indeed, analyzed more in detail some of these by
17 ages and perhaps we can give more insight from that
18 perspective. Dr. Schneeweiss.

19 **DR. SEBASTIAN SCHNEEWEISS:** Yeah, happy to
20 comment. We actually stratified our analysis by age
21 group, and we demonstrate the vaccine effectiveness for

1 those younger than 65 and older than 65. And we see
2 the same stability during the six months after
3 vaccination and the same durability across the time
4 period where Delta was highly prevalent in those
5 younger as well as older adults. Does that answer the
6 question?

7 **DR. STEVEN PERGAM:** Yes. Thank you.

8 **DR. ARNOLD MONTO:** Thank you. Dr. Chatterjee.

9 **DR. ARCHANA CHATTERJEE:** Thanks, Dr. Monto.

10 My question actually is for the FDA folks. I've been
11 bothered by this by reading the briefing documents and
12 wanted to get some clarification from them about how
13 the FDA verifies data. What puzzled me was, in the
14 briefing documents and in their presentations today,
15 they spoke repeatedly about data not being verified by
16 the FDA. And the question I had around that is the
17 reason for bringing this before VRBPAC without being
18 able to verify the data. So, if they could address
19 those two questions, please.

20 **DR. ARNOLD MONTO:** And, in doing so I think a
21 more general discussion of the complications and the

1 challenges that the timing provided.

2 **DR. DORAN FINK:** Thank you, so I'll try to
3 address that question. The FDA recognized that there
4 was intense public interest and a sense of urgency in
5 providing options for a second dose should the data
6 support those options amongst individuals who had
7 received a single dose Janssen vaccination was made
8 available previously under eWay (phonetic).

9 An advisory committee meeting was scheduled to
10 discuss the data that are available and Janssen was
11 asked to submit available data to the FDA for review.
12 It was a very large package of information. The
13 datasets were not submitted to FDA until just recently.
14 Specifically, when FDA reviews a sponsor's submission,
15 we review the analyses that the sponsor has conducted
16 themselves. We also do our own independent analyses of
17 the dataset in order to both verify the sponsor's
18 analyses and to conduct our own analyses as well to
19 address questions that come up during the review.

20 As a consequence of the review time, at
21 specific VRBPAC meetings, we were not able to conduct

1 an independent verification of the sponsor's analyses
2 or to conduct our own analyses on the data sets.
3 Instead, we noted those limitations (audio skip)
4 briefing document and our presentation.

5 **DR. ARNOLD MONTO:** Thank you. Dr. Marks,
6 would you like to continue?

7 **DR. PETER MARKS:** Yeah, I'd just like to add -
8 - I think Dr. Fink got most of this -- but just so we
9 understand that, when we have these booster
10 submissions, we would generally be expecting data on an
11 immunogenicity study of a few hundred subjects. And
12 instead, we have studies here which involve thousands
13 of patients which would've taken the review team
14 literally probably months to go through our normal
15 process for.

16 As it is, they did a rather remarkable job and
17 are to be incredibly commended for going through a
18 tremendous amount of data and making sense of it in a
19 way that is more acceptable.

20 But it's for you to decide here based on the
21 key issues presented, and I think we're just trying to

1 be transparent here about what we were able to do in
2 the time that we had. Thank you.

3 **DR. ARCHANA CHATTERJEE:** Just a quick point of
4 clarification. If I could ask, Dr. Monto.

5 **DR. ARNOLD MONTO:** Go ahead, Dr. Chatterjee.
6 Go ahead.

7 **DR. ARCHANA CHATTERJEE:** I'm just trying to
8 understand the process. Was it -- from Dr. Fink's
9 comments -- was this review requested by the FDA of the
10 sponsor to submit these data or did the sponsor do so
11 spontaneously on their own?

12 **DR. PETER MARKS:** So, this was a case where
13 there was a discussion with Janssen. Janssen
14 ultimately submitted a request. We did not undertake
15 this on our own. I think there was a thought that
16 there was some solution needed potentially for boosting
17 people with Janssen because some data was provided
18 today in this regard but there are other data out there
19 that also suggest waning efficacy or effectiveness of
20 the vaccine. Particularly in certain populations such
21 as diabetics and other subsets of patients in the trial

1 who may not have had the best responses to begin with.

2 **DR. ARCHANA CHATTERJEE:** Okay, thank you.

3 **DR. ARNOLD MONTO:** And, Dr. Marks, does this
4 relate to the whole issue of two months and six months
5 and what's a booster?

6 **DR. PETER MARKS:** That is correct. I think we
7 would say -- I mean, this is the issue of whether we're
8 dealing with two doses as part of a primary series
9 versus a booster. I think what we're considering today
10 is the use of a booster. I think we are not on the
11 table today talking about changing a primary series to
12 a two-dose primary series.

13 **DR. ARNOLD MONTO:** Thank you. Dr. Kurilla.
14 Excuse me, Dr. Meissner, you're next.

15 **DR. CODY MEISSNER:** Thank you, Dr. Monto. Can
16 you hear me?

17 **DR. ARNOLD MONTO:** I can.

18 **DR. CODY MEISSNER:** Yes, and I also have a
19 question for Dr. Fink and Dr. Marks, and it's really a
20 follow-up to Dr. Chatterjee's question. So, is the
21 only option that we have today a binary decision? Yes

1 or no? Because, one, looking at the data, some of it
2 sounds promising but also the numbers are pretty small
3 on which to base a recommendation.

4 And is there an option of saying it's a little
5 early? There are a number of issues that are still
6 outstanding such as the issues that you just discussed.
7 Or, for example, I'm a little confused about the
8 neutralization titers using a pseudovirus assay. I
9 wish somehow we could get a better feeling of really
10 what is a neutralizing. I mean, can the FDA ask for a
11 plaque production assay, for example? I realize that's
12 more dangerous than doing a pseudovirus, but it seems
13 like there are a lot of uncertainties at this point
14 making it hard to vote for or against this.

15 Do we have any maneuvering room?

16 **DR. ARNOLD MONTO:** Well, and I'm going to add
17 another comment and that is there is a public health
18 imperative here because what we're seeing is that this
19 is a group with overall lower efficacy than we have
20 seen with the mRNA vaccines. So there is some urgency
21 here to do something. Does FDA want to comment?

1 **DR. PETER MARKS:** Hi, so thanks, Dr. Monto and
2 Dr. Meissner. So, I think, I would suggest we work our
3 way through the process, go through the questions, and,
4 if at the end of the day, the feeling of the committee
5 is that this is not ready, then I think we can have
6 some comments after that would go along the lines of
7 what could be done to make this acceptable in the
8 future.

9 So, I hear you and I think let's just work
10 through the process, and then, at the end, we can
11 certainly formulate recommendations if it does not make
12 it on the merits right now.

13 **DR. CODY MEISSNER:** Thank you.

14 **DR. ARNOLD MONTO:** Thank you all. Dr.
15 Kurilla, finally.

16 **DR. MICHAEL KURILLA:** Thank you, Arnold.
17 Yeah, I have a question for the sponsor, for Janssen.
18 This is not an easy discussion topic as we've seen.
19 The reality is that your vaccine does seem to be
20 holding up actually quite well in terms of durability.
21 So, the immediate need for a booster is not apparent

1 other than the fact that it's been sort of placed in
2 front of the public that neutralizing titer is the only
3 thing that matters, and the higher it is, the better it
4 is for everything.

5 But that being said, the two aspects where I
6 think a booster may have some benefit which your
7 vaccines -- the work you've done does seem to indicate
8 something in this direction. And that is because of
9 the international focus -- which actually makes your
10 vaccine look a little worse relative to the U.S. data -
11 - is that you're seeing less efficacy against some of
12 the variants that are considered more in the vein of
13 vaccine escape mutants. However, even there, you're
14 seeing relatively good efficacy holding up in terms of
15 protection against serious disease.

16 And so, one aspect there is that might
17 actually indicate that disconnect between the lower
18 efficacy against symptomatic disease versus better
19 efficacy against serious disease would suggest the
20 population that might actually indicate some better
21 correlative protection at least of the serious disease

1 which I think we should be concerned with.

2 And, for the U.S. at least, what variants may
3 come down the road, the question I would have for
4 boosters is, does that actually enhance the broadening
5 of the overall immune response that might be better
6 informed in terms of protection against variants either
7 what we've seen right now or what may be coming down
8 the road? Any comments?

9 **DR. JOHAN VAN HOOFF:** Yeah, this is Janssen,
10 Johan. I apologize, my camera isn't working. But so
11 certainly the data that we have suggested by boosting
12 the immune responses you do get (inaudible) of the
13 breadth of protection, and we do see that we have these
14 increasing neutralizing titers against the different
15 variants which would indeed help us to allow us to
16 predict that protection against dose variants would
17 also be better.

18 Actually, I think we are in a rather unique
19 situation where we have been able to do an efficacy
20 study -- a real efficacy study -- to observe the
21 benefit of the effect of that booster dose and to see

1 how an increase in immunogenicity turns (inaudible) or
2 no in protection. And there we do see that these point
3 estimates for (audio skip) vary to the variants do rise
4 substantially. So, I think that that observation is in
5 line with what you just have been mentioning.

6 I also would like to take the opportunity, if
7 that's okay, to comment on the questions that have been
8 raised around the assays and which ones have been
9 neutralized or not because it's not that none of the
10 assay work that was presented was validated.

11 Several of them have been validated, and I
12 would like to give the floor if the chair allows that.
13 I would like our person in charge of that to give you
14 an overview of how the validations of different assays
15 are such that you have a better view on what are the
16 liabilities of the data that you're looking at.

17 **DR. ARNOLD MONTO:** That's okay, if you can
18 keep it relatively brief.

19 **DR. JOHAN VAN HOOF:** Dr. Schuitemaker, can you
20 comment?

21 **DR. HANNEKE SCHUIITEMAKER:** Yes, thank you Dr.

1 Van Hoof. Indeed, we are using multiple assays to
2 measure the immune responses against our vaccine. The
3 assay ELISA that we are using is fully validated and
4 the wild-type DNA that we are using is qualified. And
5 we have a pseudovirus DNA that, as Dr. Van Hoof
6 mentioned in the presentation, is fit for purpose, but,
7 for this assay, we have expansively tested the optimal
8 conditions. And we have done specificity, sensitivity,
9 and LOD analysis and all other features, and we are
10 moving to additional qualification of the assay. And
11 more importantly, we do also have now access to
12 pseudovirus DNA that is undergoing validation. So that
13 is, of course, for near future.

14 But the correlation that we see between the
15 assay ELISA and also the what we call fit-for-purpose
16 pseudovirus DNA and the ELISA and the wild-type DNA
17 that bridging should give, I hope, also the Committee
18 some confidence in the value of the pseudovirus DNA
19 data. Thank you.

20 **DR. ARNOLD MONTTO:** Thank you. Dr. Perlman,
21 please.

1 **DR. STANLEY PERLMAN:** Yeah, I just had a
2 general question about some of the results. So, there
3 were lots of little trials presented, and I think
4 that's been commented on. But the question I have is
5 it seems like there's almost a disconnect between how
6 good the vaccine is and how the vaccine efficacy is all
7 over the mRNA vaccines. It seems like the numbers --
8 other than the initial antibodies titers -- it seems
9 like the numbers are at least as good as the other
10 vaccines. So, is there an obvious explanation?

11 I'm sure people at Janssen have thought about
12 this question. And also I don't know if Dan has run
13 any assays yet, but what do we know anything about T-
14 cell responses after boosting?

15 **DR. JOHAN VAN HOOFF:** Thank you for that
16 question. We certainly do consider the some
17 (inaudible) immune responses from our platform as an
18 important attribute and we strongly believe that it
19 does contribute to the protection. There are also some
20 recent articles that suggest that the disease or the
21 features of low respiratory (inaudible) severe

1 infection might be a clinical picture where some
2 suggest immunity to all of that is even more important
3 than of neutralizing antibodies. But I would like to
4 ask Dr. Schuitemaker also to comment on some of the key
5 characteristics that we have now identified of
6 (inaudible) immunities particularly with regards to the
7 CD4 and CD8 and the effect on cells. Hanneke?

8 **DR. HANNEKE SCHUITEMAKER:** Yes. Hi. So,
9 specifically to your question on the booster dose we
10 have very limited data because also the cellular
11 responses were very stable, and, in the younger
12 population, the booster did not have inferred
13 increases. But, in the elderly population, we do see
14 that both the CD4 and CD8 compartment response to a
15 second dose after a two-months interval.

16 And I think the characteristics of the
17 cellular immunity really point to a very strong
18 cellular effect and central memory build so that in
19 addition to remediate effective cell functions that
20 there's also strong memory not only in the cellular
21 effective compartment but also in support of the

1 humoral immune responses.

2 **DR. ARNOLD MONTO:** Thank you, doctor. Would
3 FDA like to give us a comment about the disconnect that
4 Dr. Perlman referred to?

5 **DR. PETER MARKS:** So, this is Peter Marks. I
6 think one of the issues here that we have to deal with
7 is that there is more data that is out there than what
8 we're seeing, and I think I might ask our CDC colleague
9 perhaps, Dr. Cohn, to mention this. But there are data
10 that suggest the effectiveness of this vaccine is
11 actually less robust than the company's presentation
12 here. And that is a finding of concern, particularly
13 because that's been seen in minority communities
14 potentially and others.

15 So, I think there is some concern that -- and
16 I think Dr. Belov's presentation hinted to this -- that
17 the idea of the Janssen vaccine as one dose is it was
18 used as an outreach vaccine. Many of the people who
19 got that may not have been a part of the health
20 maintenance organization or an organized healthcare
21 system, so tracking that may have been challenging.

1 So, there are some real challenges here, and all of the
2 data do not fully align with this being a vaccine that
3 retains excellent activity over time against all forms
4 of disease or even against severe forms of disease.

5 And there was an MNWR that was published in
6 this regard so might I ask, Dr. Cohn, do you mind
7 saying a few words?

8 **DR. ARNOLD MONTO:** Please, Dr. Cohn. You're
9 muted.

10 **CAPT. AMANDA COHN:** Hi. I can talk a little
11 bit about the data that has been published both in the
12 MNWR and some of this data was presented at the
13 September 22nd ACIP meeting. Dr. Ruth Link-Gelles
14 presented this. But, in our hospitalization networks -
15 - so, in our active surveillance that looks at vaccine
16 effectiveness in hospitalized individuals, we
17 demonstrated that the Janssen vaccine was only 68
18 percent effective against hospitalization, and this was
19 in adults greater than 18 years of age without
20 immunocompromising conditions, which is both lower than
21 what we saw from that real-world effectiveness

1 presentation.

2 And it's also substantially lower than the
3 mRNA vaccines' effectiveness against hospitalization
4 even with the waning. Additionally, there was some
5 other data to suggest that real-world effectiveness is
6 hovering more in the 50 to 60 percent, and this is from
7 some data from a different surveillance system.

8 But I think that the overall perspective is
9 that regardless of whether or not there's been waning
10 or if this was the true effectiveness after a single
11 dose, the effectiveness or protection with a single
12 dose of the J&J vaccine is not equivalent to protection
13 at this time with either two doses of an mRNA vaccine
14 and certainly not in those groups who have now been
15 authorized to receive a booster dose of an mRNA
16 vaccine.

17 **DR. ARNOLD MONTO:** Thank you. Dr. Perlman,
18 have we answered some of the questions?

19 **DR. STANLEY PERLMAN:** Yeah. The answers have
20 been very good. I've just been curious though since
21 the immune parameters seem to be good. Does Dr. Cohn

1 or anyone else have any idea why there is this
2 disconnect? Is there anything that people are thinking
3 about?

4 **DR. ARNOLD MONTO:** Well Dr. Heaton is going to
5 reply from the company.

6 **DR. PENNY HEATON:** Yes, and so thank you and
7 thanks for the question and thanks, Dr. Cohn, for this
8 summary.

9 I think when you look at the efficacy across
10 the different effectiveness study -- or the
11 effectiveness, I should say, across the different
12 studies, there is a wide range as Dr. Cohn discussed.
13 And there's been several done ranging from 50 percent
14 (audio skip) commented on all the way up to 90 percent.
15 But what we're seeing is whether or not the magnitude
16 of the efficacy, wherever that falls, it is consistent
17 and it is durable.

18 However, because the magnitude is lower than I
19 think what would be desired, the estimates that have
20 been seen with the RNA vaccines there is headroom to
21 improve the efficacy. If we have seen in our

1 randomized controlled trials, efficacy against severe
2 disease is 74 percent, efficacy against any disease is
3 70 percent. There's clearly room to improve that.

4 Now we have not done a head-to-head study
5 looking at the differences in the efficacy of one
6 versus two doses, but that means we do have a very
7 large placebo-controlled randomized trial looking at
8 the efficacy of two doses.

9 And the point estimates from that study, so
10 numbers very similar to the RNA, the 94 percent
11 efficacy against symptomatic disease and then the 100
12 percent efficacy against severe disease. So, I think
13 that actually there isn't a disconnect between all of
14 these pieces of data.

15 **DR. ARNOLD MONTO:** Is that with boosters or
16 without boosters?

17 **DR. PENNY HEATON:** Yeah, with boosters. The
18 two-dose study showed the 94 percent efficacy against
19 symptomatic disease -- any symptomatic disease -- 100
20 percent against severe disease with that second dose.

21 So, the bottom line is, single-dose you get a

1 lower efficacy, but it is durable, it is aligned with
2 the immune responses, the consistency of the
3 neutralizing antibodies, the consistency of that cell-
4 mediated immune responses.

5 When you give that second dose, you get higher
6 efficacy, and, based on the limited immunogenicity data
7 we have, again, we see a boost in those neutralizing
8 antibody titers. We see increased CD4 and CD8
9 responses as well and, again, on to the time points
10 that we have, it's very durable. So, what we're trying
11 to do --

12 **DR. ARNOLD MONTO:** Okay, I think we're going
13 to have to move on because we've got a number of hands
14 raised. Dr. Gans, next.

15 **DR. HALEY GANS:** No, that's perfect timing
16 because I think I would like to follow up on Dr.
17 Perlman. I think one of the struggles we're all having
18 is of course because this is a new virus and also
19 (audio skip) because respiratory and GI passages (audio
20 skip) are dealt for us to determine in general.

21 I do think that it is important. There is a

1 lot of data so very clearly the only efficacy data we
2 have between doses is 3001 versus (audio skip)3009
3 which is a two month, what we're calling a booster
4 dose, but I think we're all seeing that it gets us in a
5 primary series up to the other two dose regimens.

6 And there's clear differences between severity
7 of disease it looks like in all (audio skip) so I think
8 that's very important. I'm just wondering why we don't
9 have efficacy data, and it might be a timing thing on
10 the several other cohort studies that were presented
11 where we have immunogenicity data. Even (audio skip)
12 out today 239 so we must actually have some efficacy
13 data along the lines of all the other COV1. I mean,
14 there are several studies that I think would be
15 relevant to the discussion today, and we have not been
16 provided efficacy data except for that one evaluation.
17 And there's six other studies that were presented.

18 There are parts of, I mean, there are parts of
19 001, 002, 2001. Three months of 001 --

20 **DR. ARNOLD MONTO:** Dr. Van Hoof would like to
21 reply.

1 **DR. HALEY GANS:** That would be awesome.

2 **MR. MICHAEL KAWCZYNSKI:** Dr. Van Hoof, let me
3 unmute you, but also, Dr. Van Hoof, if you want to fix
4 your camera after you answer this question just log
5 out, and we'll bring you back in and that will fix your
6 camera.

7 **DR. ARNOLD MONTO:** But just let us hear your
8 reply, please, Dr. Van Hoof.

9 **DR. JOHAN VAN HOOFF:** Yeah, thank you for that
10 question. So actually indeed the study numbers that
11 you were mentioning, all are studies who have as an
12 objective to evaluate the safety and the immunogenicity
13 initially and over time. While the studies that are
14 actually focusing on efficacy which are large-scale
15 studies are Study 3001 where we have used the single-
16 dose and Study 3009 where we have boosters after two
17 months.

18 When we look at the data package, we really
19 look at it holistically because we really do feel that
20 the immunogenicity data should be very supportive and
21 informative of what we observe in the efficacy studies.

1 And so, they often (audio skip) perspective
2 indeed in line with our findings, and that is why when
3 we reflect on the data package that we present today,
4 when you look through all the pieces of the puzzle, you
5 really clearly see that all makes sense. That we have
6 the PNMU (phonetic) profile after the single-dose
7 injection. That actually correlates with solid or
8 burst and sustained protection against severe
9 infection, but there is room for improvement as Dr.
10 Heaton has said.

11 However, we see for that single dose that
12 there was lower efficacy against symptomatic infection
13 linked to certain strains was not observed in the U.S.
14 While we do see that when you give a second dose and a
15 second dose being given at two months, three months, or
16 six months, every time we do see that it does induce
17 anamnestic response, so we had to have that single-dose
18 primed and inducive (inaudible) memory. But we have do
19 see that with increasing that interval similar to with
20 the other vaccines, the post-boost results do increase.
21 And that (inaudible) combination of facts of link

1 immunology with the observations that make us come to
2 the conclusion.

3 There are limitations to the Study 3009.
4 Limitations are actually there beyond our will. It is
5 led to the uniqueness of the pandemic situation where
6 once emergency use approval was there, we actively
7 could not justify to continue to expose the
8 subjects/participants to placebo. We have to cross
9 them over, and that is why the follow-up period in
10 these double-blind appeared as limited, and, as a
11 result, the number of cases is limited.

12 What we should not forget is that these
13 subjects do not leave the study. These subjects are
14 still in the study; they are crossed over now. And so
15 it means that, over the weeks to come, we can still and
16 do plan to do analyzers that allows us to evaluate the
17 efficacy of late vaccination versus an early
18 vaccination or in 3009 of a single dose against two
19 doses. This being said, we do feel that -- sorry.

20 **DR. ARNOLD MONTO:** Yes, let's move on. I
21 think we've got the basic gist of the question that was

1 asked. Dr. Rubin.

2 **DR. ERIC RUBIN:** So, I'm going to echo a lot
3 of my colleagues, and I think that Dr. Marks's comment
4 does kind of change the tenor of the conversation. But
5 it does seem as if what you're asking for should be a
6 two-month booster. If the vaccine isn't adequate, then
7 it should be boosted in everybody. I can't (audio
8 skip). I'm not sure who doesn't get a second dose.

9 And then in six-month data, which is very
10 thin, it's only been 17 patients in the immunology
11 study is really asking the question: what about all
12 those people who already got vaccines?

13 Should we be boosting them this far out, and
14 will that help? But it becomes a very secondary
15 question here. But I will say, and I'd love to hear
16 from the sponsor. I'm not sure why you're asking for
17 an indication that would apply to millions of patients
18 with a dataset that includes 17 patients.

19 **DR. ARNOLD MONTTO:** Dr. Van Hoof.

20 **MR. MICHAEL KAWCZYNSKI:** You're muted, sir.

21 Go ahead and unmute yourself, Dr. Van Hoof.

1 **DR. JOHAN VAN HOOFF:** So, I would like to
2 address this question in two stages, or actually in
3 three stages. And the first one is linked to that low
4 number of subjects, what could be concerns? Concern
5 could be related to immunogenicity, and the concern
6 could be related to safety and efficacy.

7 Let's look at the immunogenicity. We have,
8 even if it's only with 17 subjects, with those subjects
9 we actually in a post hoc analysis have demonstrated
10 that these immune responses are so robust that they do
11 meet the non-inferiority criteria both for the ELISA
12 and the functional antibodies.

13 What's also in your briefing book is that we
14 have another 70 people -- 7-0 people -- who have
15 received the booster dose six months after vaccination,
16 but in that case with a quarter of a dose. That was
17 done to evaluate the robustness of the immune memory
18 that is installed similar to what is done to other
19 vaccines whereby exposure to a low dose of antigen, we
20 want to check that immune memory is solid and responses
21 are induced. It is actually a figure that's in the

1 briefing book, and there you see that was even a
2 quarter dose, still very solid immune responses, and
3 also those responses do meet non-inferiority criteria.

4 When you look at that curve, you do see that
5 anamnestic response was equally robust in all the
6 population, and is actually, after the booster, all
7 subjects in young or old in all the cohort had
8 responded. That combined with the increase in antibody
9 titers, we see after two months and after three months,
10 from our perspective, it really addresses the question
11 around if immunologically that booster doing what we
12 expect it to do. We feel that indeed we recognize the
13 limitations.

14 We do feel that this data are quite
15 compelling, and it is very difficult to anticipate that
16 in the study that is ongoing where we will see this in
17 a few hundred people that the immunogenicity result
18 would change. Next question I would like to say --

19 **DR. ARNOLD MONTTO:** Okay, we're going to have
20 to move on. We have two more -- we have time for two
21 more questions before we break for lunch. Dr.

1 Chatterjee.

2 **DR. ARCHANA CHATTERJEE:** Yes, my question is
3 for the sponsor with regard to the adverse events,
4 specifically with the tinnitus adverse events that were
5 reported, is how long did those last? And also for the
6 TTS, which was more prominent in women, was there an
7 attempt to determine if these women were at risk for
8 this because of other risk factors such as the use of
9 oral contraceptives?

10 **DR. MACAYA DOUGUIH:** Hi. This is Macaya
11 Douguih, give me one second, trying to find my camera.
12 Yep. So, in terms of the duration, we don't have
13 information on all of them. Some of the cases are
14 still ongoing and some have resolved, so it's difficult
15 to comment on an exact timeframe in terms of the
16 events. But the majority --

17 **DR. ARCHANA CHATTERJEE:** But, excuse me, I'm
18 sorry to interrupt you but, when you say they're
19 ongoing, how long is it since these folks were
20 vaccinated?

21 **DR. MACAYA DOUGUIH:** Yeah, we would have to

1 go back and look at the individual reports. I think
2 the ones that are ongoing are from the more recent,
3 from the 3009 study.

4 **DR. ARCHANA CHATTERJEE:** So, are we talking
5 weeks, months? How long are we talking?

6 **DR. MACAYA DOUGUIH:** Well, yeah, so and, of
7 course, the updates on information -- particularly when
8 the cases are non-serious -- are not always
9 forthcoming. So, we don't have specific updates today
10 that we can report.

11 **DR. ARCHANA CHATTERJEE:** Okay.

12 **DR. MACAYA DOUGUIH:** And with respect -- oh,
13 sorry, go ahead.

14 **DR. ARCHANA CHATTERJEE:** Yeah, go ahead.

15 **DR. MACAYA DOUGUIH:** Oh sorry, it covers TTS,
16 so I'll ask Dr. Maree to comment because, as you know,
17 we have one -- two confirmed cases in our 3001 study of
18 TTS, and that occurred in a male subject. And so the
19 majority of cases are coming from the post-
20 authorization reports. So, Dr. Maree, would you like
21 to comment further?

1 **DR. ARAN MAREE:** Thank you, Dr. Douoguih.
2 Aran Maree, Chief Medical Officer of Janssen. So, we
3 have been tracking the TTS cases and have a total case
4 break with CDC tier 1 and tier 2 in the U.S. up to 3.6
5 per million doses administered which is consistent
6 through time. We do see that we have a slightly higher
7 preponderance of those cases in women, but over time as
8 we've accumulated the data, the age and gender balance
9 has become more balanced, more spread. So, we do see a
10 slightly higher preponderance in women between the ages
11 of 20 and 49, but that's no longer the primary focus
12 for those very rare events.

13 **DR. ARCHANA CHATTERJEE:** Thank you.

14 **DR. ARNOLD MONTA:** Thank you. Dr. Kurilla.

15 **DR. MICHAEL KURILLA:** Thank you, Arnold. You
16 know the discussion, I think, this afternoon is
17 probably going to focus on the two-month versus six-
18 month and the rationale for the difference. One other
19 aspect, while the antibody responses seem to be fairly
20 durable, that seems to be a real distinction with the
21 mRNA vaccines which have a relatively rapid decay rate,

1 half-life on the order of two months. So, the J&J
2 vaccine does look like it offers better durability in
3 that regard. What I'm curious about is, do you know if
4 the boost studies are two, three, or six months?

5 Does that -- you probably don't know for the
6 six month -- but does that impact the antibody decay
7 rate? Does it actually improve durability of the
8 antibodies?

9 **DR. JOHAN VAN HOOFF:** The experience we have is
10 preliminary. We don't have it for the six months, but
11 we have it for the two months. And there we do see
12 there's a slight decay, but that slope is certainly not
13 very steep on the contrary. And so after six months,
14 perhaps we can put up the slide that we had in the
15 presentation.

16 **DR. ARNOLD MONTO:** Why don't we skip the
17 slide. We really don't have time (inaudible).

18 **DR. JOHAN VAN HOOFF:** Okay. Basically, we do
19 see that the titers are pretty well persistent all
20 throughout for the booster. (Inaudible).

21 **DR. MICHAEL KURILLA:** Does the booster

1 actually improve the durability -- does the booster
2 lower the decay rate, reduce the decay rate?

3 **DR. JOHAN VAN HOOFF:** That's difficult to judge
4 because there was almost no decay between -- after the
5 first dose, but so you just bring it up and it stays
6 (inaudible).

7 **DR. ARNOLD MONTO:** It's lower but stable.

8 **DR. JOHAN VAN HOOFF:** It was low, but unstable;
9 you bring it up it remains stable.

10 **DR. MICHAEL KURILLA:** All right, thank you.

11 **DR. ARNOLD MONTO:** Final question from Dr.
12 Moore before lunch. I think you're muted.

13 **DR. PATRICK MOORE:** Thank you, sorry. My
14 apologies. My question is a follow up to Dr.
15 Meissner's question, and if Dr. Barouch is still online
16 perhaps you could address this very quickly before we
17 go to lunch, and it has to do with immunogenicity and
18 how we're thinking about it and it's quite important
19 for us to be able to think about it this way.

20 So just to frame the argument for people who
21 are not directly involved with measurements in virology

1 and immunology is that the pseudovirus assays and
2 artificial virus that we can make that we can safely
3 deal with. For instance, we can do those tests in our
4 laboratory here whereas live virus assay has to be done
5 under VSL3 and, of course, has inherent dangers with
6 it.

7 It looked like the data comparing the
8 different vaccines and particularly the durability over
9 time for the neutralization titers were qualitatively
10 different between the live virus and the pseudo-virus,
11 particularly from the mRNA vaccines to me.

12 I'm just wondering if that's true or, am I
13 misinterpreting your slides? It has to do with, do we
14 have to -- is the pseudovirus a good measure for us of
15 what we think the neutralizing titer should be, or do
16 we have to worry that the live virus is better?
17 Finally, is this telling us something about an immune
18 escape, particularly the longer the duration after
19 vaccination?

20 **DR. DAN BAROUCH:** Hi, yes, thank you Dr. Moore
21 for that question. In the data that we presented, the

1 full decline was greater for the live virus
2 neutralization assay compared to the pseudovirus
3 neutralization assay. However, those two assays --
4 it's actually in our manuscript that's published today.
5 -- I didn't present it today. But those assays are
6 highly correlated similar to the data that Janssen
7 showed that those assays are highly correlated.

8 There is a little bit of discordance at the
9 lower end of the spectrum, and so I think some of those
10 differences really are the individuals that have very
11 low responses that might score in one assay but not
12 another.

13 So, there might be a sensitivity difference
14 but overall, those assays are highly correlated, both
15 the research-grade assays in our lab as well as the
16 developed assays and the validated assays in the
17 Janssen lab.

18 **DR. PATRICK MOORE:** So just to finish
19 following up (inaudible) --

20 **DR. ARNOLD MONTO:** Why don't you take this
21 discussion offline? We're going to have to move to

1 lunch. We've got a tight schedule.

2 **DR. PATRICK MOORE:** Arnold, (inaudible).

3 **DR. ARNOLD MONTTO:** Go ahead, Dr. Moore, since
4 you want -- get your clarification.

5 **DR. PATRICK MOORE:** So in your professional --
6 your best guess is that the two assays are essentially
7 telling us the same thing?

8 **DR. DAN BAROUCH:** Yes, in our paper -- I can
9 send it to you by email. In our paper, we actually
10 have a correlation plot that shows a very strong R-
11 value of the correlation.

12 **DR. PATRICK MOORE:** Thank you.

13 **DR. ARNOLD MONTTO:** Okay, lunch until 12:45
14 Eastern.

15 **MR. MICHAEL KAWCZYNSKI:** 12:45. So, everybody
16 give me a second here. Everybody, stay muted, let me
17 put the time up, and then studio you can put us on
18 clear. So, you said 12:45 Eastern so that would be 25
19 minutes from now, correct?

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[LUNCH BREAK]

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COMMITTEE DISCUSSION AND VOTING

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MR. MICHAEL KAWCZYNSKI: All right. Welcome back from that lunch. I'm Mike Kawczynski, and we'll get started here with our 169th VRBPAC meeting. We're now going to be entering into the Committee discussion. So, Dr. Monto, if you're there, please turn on your camera. How are you doing, sir?

DR. ARNOLD MONTO: Doing well.

MR. MICHAEL KAWCZYNSKI: All right. You're ready?

DR. ARNOLD MONTO: I didn't have time for the luxurious lunch. I think we need a little more clarification about the FDA conclusions about the submission. We've had a brief presentation and question and answer session. Dr. Marks, would you like to continue to present FDA views?

MR. MICHAEL KAWCZYNSKI: Make sure Dr. Marks is there.

DR. ARNOLD MONTO: And there's the voting

1 question. Good timing.

2 **MR. MICHAEL KAWCZYNSKI:** All right. You're
3 unmuted now, Dr. Marks. And you can turn your camera
4 on when you're ready. There we go take. It away.

5 **DR. ARNOLD MONTO:** I mentioned, Dr. Marks,
6 that you were going to give us some more of the FDA
7 views of this submission.

8 **DR. PETER MARKS:** Yeah, so I thank everyone.
9 I think it's obvious that the Committee is carefully
10 considering here and trying to do their best here to
11 work through what is a complicated submission. I think
12 one of the things that may be helpful perhaps is trying
13 to put in perspective exactly why there is enough
14 concern with this vaccine that one might need a booster
15 given that there does seem to have been some
16 conflicting push/pull shown.

17 I provided Kathleen with a slide. I'd like to
18 try to bring that up right now. And I'm going to ask
19 I'm going to beg indulgence from Dr. Rubin because this
20 does come from the *New England Journal* from the past
21 week or so. But just to give people an idea, in the

1 real world, there is a difference in effectiveness of
2 the one-dose regimen versus the two-dose regimen of the
3 mRNA vaccines that appears present.

4 Now, this is a study in adults greater than 50
5 years of age or at least 50 years of age, and it's only
6 one of a number of representative studies that does
7 seem to show that there is a difference in
8 effectiveness including against hospitalization. So
9 let's just leave aside the moderate COVID-19 where we
10 can have a discussion about whether it's important to
11 prevent that some other time later on. But right now
12 in terms of hospitalization, you can see at least here
13 that it's roughly 20, 25 percent difference there in
14 rate for hospitalization. And so that I think is one
15 of the things in that change over time that is leading
16 this question.

17 I agree with Dr. Rubin that it is perfectly
18 reasonable for the Committee to discuss whether a
19 second dose after two months for those who haven't
20 received a vaccine previously or a second dose whenever
21 possible for those who have received the vaccine more

1 than two to three months ago is appropriate.

2 So I hope that provides some clarification of
3 this. In retrospect, probably we should have presented
4 a broader review of the real-world evidence. But I
5 hope that this at least provides kind of a start of
6 where the FDA's thoughts are coming from.

7 **DR. ARNOLD MONTO:** Yes, and I just wanted to
8 add to what Dr. Cohn has said because we're one of the
9 sites in the study that she referred to in terms of
10 prevention of hospitalization. You're seeing
11 differences in prevention of hospitalization of the
12 Janssen vaccine compared to the mRNA Vaccine. So
13 that's another real-world bit of information that we
14 really need to consider.

15 Dr. Levy had a question he wanted to direct to
16 you, Dr. Marks.

17 **DR. OFER LEVY:** Good afternoon and thank you,
18 Dr. Marks, for that important clarification.

19 Before the lunch break, you took us through
20 the reasons that the briefing document did not include
21 FDA review of all the pertinent data, and it really was

1 framed as a public health urgency and the timeline it
2 takes to review very large data sets, and we certainly
3 understand that.

4 Just to drill down a little bit more on that.
5 Do you have a rough estimate of how long it would take
6 your team to do the independent analysis of the data?
7 And if so, could it be something that's done between
8 today's vote -- not prejudging the vote -- and any
9 potential ultimate authorization by FDA? I mean, what
10 kind of timeline are we looking at?

11 **DR. PETER MARKS:** So thanks for that question,
12 and I'll ask Dr. Fink to also join me perhaps to answer
13 this. But I think part of the issue here is that, for
14 the 30,000 patient study, that is incredibly complex
15 because of one dose versus two dose. Having done some
16 review myself in the past, that could take a team of
17 reviewers a month to get through. Now some of the
18 smaller studies, that is something that could be on the
19 order of weeks. But, Dr. Fink, do you want to make any
20 comments on that?

21 **DR. DORAN FINK:** No, I really don't know what

1 to add to that.

2 **DR. OFER LEVY:** Yeah, and the question is not
3 meant to pressure anyone, but I think it's educational
4 to the public. So it's not just the matter, had you
5 had another day or two, you would've had this done.
6 This is really something that takes weeks, and
7 therefore, in the context of the urgency and the kind
8 of real-world data you're showing us here, the decision
9 was made, let's move forward with this Committee
10 meeting.

11 **DR. PETER MARKS:** Yes, Dr. Levy, we were
12 expecting -- if one goes back to the type of data
13 submitted, for instance for the submission yesterday,
14 that was a different magnitude of review than having --
15 reviewing an immunogenicity study on a few hundred
16 patients is still a very large undertaking. But it's
17 not the same order of magnitude as 30,000 patients,
18 especially in one where there's complicated crossover
19 safety events over a period of time, et cetera.

20 **DR. OFER LEVY:** Right. I had a safety
21 question. Is it okay, Dr. Monto, to ask the safety

1 question?

2 **DR. ARNOLD MONTO:** Yeah, go ahead.

3 **DR. OFER LEVY:** It's okay?

4 **DR. ARNOLD MONTO:** You've got the floor. I
5 won't bring you back for a while. Go ahead.

6 **DR. OFER LEVY:** Okay, thank you, Dr. Monto.
7 My safety question was, there was a presentation I
8 believe from FDA that indicated that by VAERS certain
9 adverse events may be increased in frequency relative
10 to expected with the J&J vaccine. But, by other
11 measures, there was not a signal. And I'm wondering if
12 the individual who gave that presentation can take us
13 through that distinction a little bit because obviously
14 safety is an important dimension here. Thank you.

15 **DR. PETER MARKS:** That was Dr. Nair, I
16 believe.

17 **DR. OFER LEVY:** Yep, that's right.

18 **DR. NARAYAN NAIR:** Yeah, can people hear me
19 and see me?

20 **DR. ARNOLD MONTO:** Yes.

21 **DR. OFER LEVY:** Yes.

1 **DR. NARAYAN NAIR:** Yeah, so the two sources of
2 data -- the path of surveillance from VAERS, we did
3 find for the adverse events that the potential emerging
4 safety concerns that I mentioned, we did find in our
5 preliminary analysis the number of observed exceeded
6 the number of expected when we used the kind of
7 background rates from the literature. The active
8 surveillance that I showed was the three large
9 healthcare insurance databases. So that's the active
10 surveillance where they look at the -- they do
11 sequential statistical testing and look at the
12 historical background rates.

13 In that for 16 adverse events of special
14 interest, they did not find a statistical signal. So
15 you know that is sort of -- the limitation each of
16 those, the VAERS has the limitations I mentioned. The
17 active surveillance, the limitation would be that, in
18 the vaccine uptake, the numbers were relatively small,
19 I think, on the order of 400,000 for some of the
20 healthcare databases. So each of those systems have
21 limitations, but that sort of summarizes the findings.

1 **DR. OFER LEVY:** And what does FDA conclude
2 looking at the overall picture? Do you make any
3 conclusions?

4 **DR. NARAYAN NAIR:** Our analysis is ongoing so
5 we don't have any firm conclusions. For the existing
6 safety concerns TTS and TBF that is in the label for
7 thromboembolic events, there are a number of those
8 events that occurred, and we're continuing to evaluate
9 those. And our plan is -- those cases have not been
10 adjudicated. Our plan is to go through those cases and
11 assess them and then do another analysis to see whether
12 the observed is greater than the expected.

13 Similarly for ITP in myocarditis and
14 pericarditis, right now in VAERS are a number of cases
15 that we've observed is greater than expected. And we
16 want to do further adjudication of those cases, and
17 then we'll have discussions and discuss our findings
18 with OVRP and then any kind of decision on potential
19 regulatory action will be made by them.

20 **DR. OFER LEVY:** Thank you.

21 **DR. ARNOLD MONTO:** Thank you. Dr. Offit.

1 **DR. PAUL OFFIT:** Yeah, thank you, Dr. Monto.
2 So here's how this strikes me. I'll be curious to hear
3 what others think. In the end of February when we met
4 to discuss J&J's one-dose vaccine, at that time, they
5 had already published data showing that in preclinical
6 studies in nonhuman primates with a second dose given
7 two months later at a two-and-a-half- to 3-fold
8 increase in neutralizing antibodies. They'd also found
9 the same thing in their Phase 1 studies for people.

10 So I think we're in the midst of doing a two-
11 dose trial, a trial that they would finish a few months
12 later. So I think this frankly was always a two-dose
13 vaccine. I think it's better as a two-dose vaccine.
14 It'll be hard to recommend this as a single-dose
15 vaccine at this point given those two months' data.

16 The issue for me -- and this is what Dr. Rubin
17 brought up -- that I think is hard is that is regarding
18 giving this at six months after the first dose, you
19 have 17 participants. I mean, with the Pfizer, you had
20 306. With Moderna, you had about 171. And although I
21 think it's likely to be fine, it's really hard to make

1 a decision for thousands and tens of thousands of
2 millions of people based on 17 people.

3 However practically, if you say, okay, we're
4 fine with two months but not beyond that because we
5 don't have data beyond that, most people who have
6 gotten a dose of J&J's vaccine got it more than two
7 months ago. So we're not recommending a booster dose
8 with them, just for those who got it recently which
9 practically is really difficult. So it just seems to
10 be the most logical thing to do at this point would be
11 to say that a second dose is recommended for at least
12 two months later. But again that's just the way I see
13 it. I'll be curious to hear what my colleagues think.

14 **DR. ARNOLD MONTO:** I think you've summarized
15 very succinctly, Dr. Offit. Dr. Rubin.

16 **DR. ERIC RUBIN:** I'm kind of upset with Dr.
17 Offit for saying exactly what I was going to say.

18 **DR. ARNOLD MONTO:** Yeah.

19 **DR. ERIC RUBIN:** The only thing I'd add, which
20 is totally consistent with what he said, is that, if
21 they had presented us that two-dose data and the one-

1 dose and two-dose data together back several months
2 ago, we would have said two doses. It seemed safe. It
3 could likely be more effective despite the large
4 confidence intervals. But that part's actually not
5 that difficult. It's clearly the six-month data that
6 add only a minimal amount to this.

7 **DR. ARNOLD MONTTO:** Okay. Dr. Hildreth.

8 **DR. JAMES HILDRETH:** Thank you, Dr. Monto.
9 When we first reviewed the Janssen vaccine back in
10 February, I expressed the viewpoint that prior to
11 November or December of 2019, the human species was all
12 immunologically naive to this virus. So that any
13 single shot Vaccine was likely to induce a primary
14 response and a second shot would be necessary.

15 I even suggested that a single shot to those
16 who've recovered from COVID-19 might be a great use for
17 their vaccine. So, as far as I'm concerned, it was
18 always going to be necessary for J&J recipients to get
19 a second shot.

20 And, as for the voting question, with all due
21 respect to the folks at FDA, it is way too convoluted.

1 I think we should vote on Question Number 1 and leave
2 1A and 1B to the ACIP at CDC. That would be my
3 recommendation. Thank you, Dr. Monto.

4 **DR. ARNOLD MONTO:** Thank you, Dr. Hildreth.
5 I'll park that question and ask Dr. Marks a little
6 later in the discussion. Let's see. Dr. Kurilla.

7 **DR. MICHAEL KURILLA:** Thank you, Arnold.
8 Yeah, I'm in agreement with many of my colleagues here
9 that this more than likely is a two-dose vaccine and
10 should be done. I think there was likely some degree
11 of interest in the possibility of pursuing a single
12 dose for a lot of obvious downstream reasons in terms
13 of implementation, distribution, needs of
14 administration, those sorts of things. So there's
15 clearly advantages in the single dose. The single-dose
16 data -- hello, can people hear me?

17 **DR. ARNOLD MONTO:** Yeah, we're getting some
18 feedback.

19 **DR. MICHAEL KURILLA:** Okay.

20 **DR. ARNOLD MONTO:** We can hear you.

21 **DR. MICHAEL KURILLA:** My camera seems to be

1 frozen. So I think that, if there had not been the
2 two-month data for EUA in terms of the mRNA vaccines
3 which looked exceedingly so good with the caveat that
4 we've never looked two months post-vaccination before
5 for efficacy data, I think we'd be sitting here really
6 struggling to think, why does this vaccine need to be
7 boosted?

8 But I think that what they've demonstrated so
9 far in terms of -- I think there's more than adequate
10 safety for a two-month boost. I'm less concerned about
11 a six-month boost having additional problems relative
12 to the two-month boost. And what we've seen so far
13 with their data which suggest some very good activity
14 against variants and good durability even with a single
15 dose, I'm inclined to just consider this a two-dose
16 vaccine and that's how it should probably go forward.

17 **DR. ARNOLD MONTO:** Thank you, Dr. Kurilla.
18 Dr. Gans.

19 **DR. HAYLEY GANS:** I love when my colleagues
20 say what I was gonna say that we're kind of (audio
21 skip). So I do think along the lines of everyone else

1 that we had thought about the idea (audio skip) had on
2 the (audio skip) glad and encourage to see that the
3 (audio skip) actually support that. And so my only two
4 point (audio skip) not sure that there's (audio skip)
5 booster all talking about this having been (audio skip)
6 regimen or strategy that we should have had. (Audio
7 skip) I agree that we should only (audio skip) I don't
8 think we should a (audio skip) because it (audio skip)

9 But the only other piece of it is I'd talk
10 about is the idea of homologous booster versus
11 heterolo- (audio skip) having a different -- offering
12 of a different vaccine especially if some- (audio skip)
13 warnings that now come. (Audio skip) think considering
14 that is an additional discussion point that it is some-
15 (audio skip) thought about in a (audio skip) and I
16 would be in favor of doing (audio skip) expect people
17 who did get this as (audio skip) how we could expect
18 them (audio skip) chose not to (audio skip).

19 **DR. ARNOLD MONTTO:** Thank you, Dr. Gans. Just
20 to point out what we already know and that is we are
21 going to have a presentation of the Mix and Match

1 strategy after the voting. There's already been a pre-
2 print of some of the data from that. So it may have
3 direct relevance back to some of the issues that you
4 just brought to us. Dr. Meissner.

5 **DR. CODY MEISSNER:** Thank you, Dr. Monto. I
6 think it's hard to think of a precedent when there are
7 more adverse events that might occur after a six-month
8 interval for the boost rather than two months for the
9 boost. I'm not sure if it's biologically plausible
10 although maybe someone else can help me with that.

11 So I think, Dr. Monto, your comments about the
12 public health urgency are quite appropriate especially
13 when we think about the number of people who've gotten
14 the single dose and may now be experiencing waning
15 immunity as was demonstrated earlier.

16 And then the third point is that this vaccine
17 does have an advantage in terms of not requiring ultra-
18 cold storage that the mRNA vaccines -- that
19 refrigeration. So I don't think we certainly wouldn't
20 want to be in a position of discouraging use of J&J by
21 saying it's not as good as the mRNA vaccine. So I

1 agree with what has been said, and it probably makes
2 the most sense to recommend a booster dose at least two
3 months after the first dose.

4 **DR. ARNOLD MONTO:** Thank you. Dr. Chatterjee.

5 **DR. ARCHANA CHATTERJEE:** Yes, thank you, Dr.
6 Monto. When the voting question was posed and I read
7 it in the briefing documents this morning and this
8 afternoon as well, my initial response to the first
9 question was, no. Based on some of the discussion that
10 we've already had with the very limited number of
11 participants who were in the studies that were
12 presented, that was my initial reaction.

13 However, having listened to the conversation
14 and seeing the data in its totality as well as placing
15 it in the context of these 15 million people who have
16 been vaccinated with a single dose and whose immunity
17 may be waning, there could be as many as close to five
18 million people who are at risk of hospitalization based
19 on the CDC study. Again, this is still a public health
20 imperative.

21 And so, taking all of those things into

1 consideration even though I remain concerned about a
2 very limited number of participants on whom we've seen
3 safety and effectiveness data, I would say that I'm in
4 agreement with most of my colleagues who have suggested
5 that the second-dose booster -- or whatever you want to
6 call it -- is necessary in these individuals for them
7 to boost up that immunity back into the 90 plus percent
8 range.

9 **DR. ARNOLD MONTO:** Thank you, Dr. Chatterjee.
10 Dr. Perlman.

11 **DR. STANLEY PERLMAN:** I have a question that's
12 related more to what Dr. Gans was saying before because
13 I agree with most of what's been said about the
14 question at hand. But, at the end of all this, if we
15 hear the next presentation and it turns out that the
16 heterologous boosting is more impressive than the
17 homologous boosting and we voted a certain way on this
18 question, is there a way -- at the end, will we be able
19 to make the appropriate caveats so that, if we approve
20 this one and then the heterologous boosting is better
21 that we don't end up saying that the homologous

1 boosting is approved and the other one's better but
2 we're not going to approve it?

3 Is there a way to get around that so that the
4 possibilities are more consistent? Maybe Dr. Marks can
5 address that.

6 **DR. ARNOLD MONTO:** Yes, Dr. Marks, are you
7 happy to answer that right now?

8 **DR. PETER MARKS:** Thanks. So I think we
9 should take this on the merits of this particular case.
10 But your point is very well taken that, as part of the
11 discussion question of the next -- we won't be taking a
12 vote. But I think we would like to hear the
13 Committee's thoughts, and we'll obviously take those
14 into consideration as we think about what we would do
15 further in terms of labeling moving forward.

16 **DR. ARNOLD MONTO:** Dr. Marks, is it possible
17 that there might be an EUA down the road not
18 necessarily right away about the whole Mix and Match
19 strategy?

20 **DR. PETER MARKS:** I would say it's possible.

21 **DR. ARNOLD MONTO:** That's all I wanted to

1 hear. Thank you. Dr. Pergam.

2 **DR. STEVEN PERGAM:** Thanks, Dr. Monto. I'm in
3 agreement with a lot of the comments that colleagues
4 have made.

5 I think the other piece that we haven't really
6 talked about and maybe this isn't fair because it's a
7 different vaccine, but we do have a similar vaccine and
8 an adenovirus-vectored vaccine with the AstraZeneca
9 vaccine, which has been shown to be better as a second
10 dose. And there is data from England showing that the
11 single dose is not quite as effective as that second
12 dose. So I think we have at least in precedent with a
13 similar platform that is helpful to think about. It's
14 not necessarily obviously the same, but I think we
15 can't discard some of that information.

16 The other question I had is, for the
17 heterologous, we are not voting on that today. We are
18 just discussing that today, is that correct? I didn't
19 see a voting question specifically around that. So
20 we're only voting on the Johnson & Johnson.

21 And then just really quickly before you answer

1 that question, Dr. Monto, is the question I have about
2 the voting question, if we're calling this a booster,
3 I'm sort of wondering is, is that term we want to use
4 for this additional dose that we're giving or is this a
5 second dose of the vaccine? Just as a question for Dr.
6 Marks and the FDA.

7 **DR. ARNOLD MONTO:** Dr. Marks, you're up again.

8 **DR. PETER MARKS:** So the reason why there's
9 not a voting question on the Mix and Match study is
10 because, there, we did not feel like we were
11 comfortable. We're not presenting that from the FDA
12 perspective because we have not reviewed those data in
13 detail. So we wouldn't want you to vote on something
14 at this point. We thought it would be best for you to
15 discuss that and then move from there afterwards.

16 As far as the wording here, I think this is --
17 what you're saying here is the wording here of -- if
18 the sense of the Committee that they would prefer as an
19 addition dose rather than as a booster dose, we can
20 take that under advisement.

21 **DR. ARNOLD MONTO:** And, while I've got you,

1 some people didn't like, if yes and if no. Would there
2 be a problem if we just vote on 1 and not 1A and 1B?

3 **DR. PETER MARKS:** I think at this point, I
4 would just find it absolutely acceptable given the
5 Committee's discussion to just vote on 1, and, as I
6 say, I think we can leave others to deal with 1A and 1B
7 as we contemplate further.

8 **DR. ARNOLD MONTO:** Thank you. That's very
9 helpful.

10 **DR. PETER MARKS:** And I believe they'll take
11 apart this question so that we'll just see one on a
12 voting question.

13 **DR. ARNOLD MONTO:** Good. We need a little
14 simplicity today. Dr. Fuller.

15 **DR. OVETA FULLER:** Thank you, Dr. Monto. This
16 is very complex, and I just want to say thanks to the
17 FDA for showing us the data that they brought in after
18 lunch.

19 And I just want to remind us, as I think has
20 been said, we are in a world global pandemic. We, as
21 the Committee, enthusiastically approved or recommended

1 the J&J back in February because of where it could go
2 and what it could do. Remembering that this pandemic
3 will not be managed until we manage it globally -- and,
4 yes, I know we are only concerned directly with the
5 U.S.A. -- but it is important to remember that there
6 are many people who cannot get vaccines at all, and
7 this one can go places and do things and is highly
8 effective as we approved or recommended in February.

9 And I think whatever we can do now to enhance
10 its availability as well as its effectiveness in spite
11 of the fact that I'd like to see some more data, I
12 think the bigger cause is greater than my concern for
13 the smaller number as a scientist. So I think, if we
14 put it in the big picture, we've already approved or
15 recommended it. And this is already available to be
16 used. How can we make it better?

17 So I guess I think I'm agreeing with my
18 colleagues here. And thank you for the discussion and
19 the change in the question.

20 **DR. ARNOLD MONTTO:** Thank you, Dr. Fuller. Dr.
21 Pergam.

1 **DR. STEVEN PERGAM:** Apologies, Arnold. Can
2 you hear me?

3 **DR. ARNOLD MONTA:** Oh, okay. Trying to
4 confuse me when I'm already confused. Dr. Sawyer.

5 **DR. MARK SAWYER:** Thank you, Dr. Monta. I was
6 gonna join the chorus of people asking for the
7 simplified question, but Dr. Marks has just authorized
8 that.

9 I think the data is insufficient to say
10 anything about a six-month interval, and I would avoid
11 doing that.

12 I think overall the benefit clearly outweighs
13 the risk even though we have a paucity of data on some
14 aspects of it.

15 I will point out this is going to be a
16 complicated communication issue because we have subsets
17 of the population for whom the mRNA vaccine boosters
18 are recommended and here, where there's no
19 qualification other than age, for who should get a
20 second dose dash booster. So that probably falls
21 mostly under the purview of ATIP to communicate

1 effectively about the difference.

2 **DR. ARNOLD MONTO:** Yes, and, Dr. Sawyer, we
3 might have, since we seem to be moving quite
4 expeditiously on this, we might have some time during
5 our subsequent discussion after the voting question to
6 revisit some of these messaging issues, which I agree
7 could be a real problem going forward. Dr. Hildreth.

8 **DR. JAMES HILDRETH:** Dr. Monto, my hand was up
9 from prior.

10 **DR. ARNOLD MONTO:** Oh, okay.

11 **DR. JAMES HILDRETH:** Sorry. Thank you.

12 **DR. ARNOLD MONTO:** Dr. Nelson.

13 **DR. MICHAEL NELSON:** Good afternoon. I just
14 want to say I very much appreciate the conversation
15 initiated by Dr. Chatterjee earlier this morning and
16 the clarification and the context from Dr. Marks and
17 the FDA team afterwards.

18 To me, I certainly agree with my colleagues
19 that this does look more like a two-dose vaccine. And
20 I believe that what we are looking at is not data that
21 actually supports a recommended use for all across the

1 board at this point because we've already acknowledged
2 the fact that the data is a little bit immature and
3 somewhat scant in multiple areas.

4 For me, it comes down to a risk-benefit
5 equation as to whether to enable those individuals who
6 need or desire the vaccine to have access to it under
7 these circumstances. And, with that in mind, I do
8 believe the data supports the safety and efficacy and
9 the risk-benefit equation does enable use under an EUA.
10 Thank you.

11 **DR. ARNOLD MONTO:** Thank you, Dr. Chatterjee.

12 **DR. ARCHANA CHATTERJEE:** Yes. Thank you, Dr.
13 Monto. I just wanted to follow up on Dr. Sawyer's
14 comment with regard to the difference in the
15 recommendation for the various age groups and risk
16 categories for the mRNA-based vaccines versus this one.

17 I did actually think a fair bit on this after
18 reading the briefing documents and pondering how I
19 might vote on the voting question. I believe that we
20 have, at least with the mRNA-based vaccines, acted
21 based on the data that were presented then, limited as

1 though data were, and it's the same situation here.
2 The big difference here is that the single dose does
3 not seem to afford as much protection as the mRNA-based
4 vaccines did.

5 And so this is really, with the second dose,
6 bringing it I think on par with those other vaccines in
7 terms of effectiveness. So I do understand the
8 complexity of messaging and actually implementing these
9 recommendations. That is a very difficult task. But
10 nonetheless, I think again I go back to we work with
11 the data that we are provided, and, in this instance, I
12 think we've been provided the data to support the
13 second dose based on the increased effectiveness.

14 **DR. ARNOLD MONTO:** Thank you. Dr. Hawkins.

15 **DR. RANDY HAWKINS:** Thank you very much, Dr.
16 Monto. As I stated earlier, I'm a clinician on the
17 frontline of patient care. I want to improve citizen
18 trust in what we do and our process, and I believe
19 we're doing this now. I appreciate the discussion.

20 **DR. ARNOLD MONTO:** Thank you. Dr. Heaton,
21 you're not a Committee member. Do you want to add

1 something to the discussion now?

2 **DR. PENNY HEATON:** Yes. Thank you, Dr. Monto.
3 I just wanted to reiterate a couple of the points and
4 that is that we do have, of course, a large safety
5 database on 9,000 patients who were in the two-dose
6 efficacy study. Then we also have 14 million
7 individuals in the U.S. who have received the single-
8 dose Janssen vaccine longer than two months ago.

9 We have accumulating immunogenicity data and
10 safety data for longer-interval boosters, longer than
11 two months, at the three months and six months we
12 presented to you today. And we've seen it with other
13 vaccines that, having a booster at a later time point
14 at six months, we can get better responses.

15 My last concern is really thinking about those
16 who have had a vaccine longer than two months. They
17 got their vaccine six months ago or so, yet they need
18 an opportunity to have the same increased protection as
19 those who are being newly vaccinated. There aren't
20 data on that.

21 The data you will see from the NIAIV today,

1 while it's great it adds to the body of evidence, they
2 don't have efficacy data. They don't have CMI data.
3 They didn't draw the neutralizing antibody titers at a
4 timeframe that reflects the kinetics of our vaccine.

5 So I think giving some flexibility for the
6 vaccine to be administered at two months or greater and
7 up to those longer time points -- three months, six
8 months post-vaccination -- is really important for
9 where these individuals in the U.S. are today and for
10 where the state of the pandemic is today. So thank
11 you, Dr. Monto, for allowing me to state that.

12 **DR. ARNOLD MONTO:** Thank you and, Dr. Heaton,
13 we're just voting on this question and we're not going
14 to be considering Mix and Match until afterwards.

15 **DR. PENNY HEATON:** Yes.

16 **DR. ARNOLD MONTO:** So I don't think that
17 there's really a concern about that, but we can't
18 predict what's going to happen going forward.

19 Well, this is very unusual that we are done
20 with the discussion early. Usually, we have lots of
21 hands raised when the time closes for the voting

1 question. So, Kathleen, can we vote now? Are you
2 ready with pods for Question 1, which is the only one
3 we are voting on? And then we will have time for
4 explanation of votes then. And then we can see if our
5 later presenters are ready early.

6 **MS. KATHLEEN HAYES:** That sounds great. Yes,
7 so I will just go over the guidelines for voting. So
8 thank you, Dr. Monto.

9 We have 19 voting members and one non-voting
10 industry representative attending the meeting today.
11 So only these 19 voting members, excluding the industry
12 representative as seen on this slide and also including
13 Dr. Offit and Dr. Nelson, should be voting in today's
14 meeting. So, if you're not an official voting member,
15 please refrain from voting as your vote will not be
16 counted.

17 In regard to the process, Dr. Monto will read
18 the final question for the record, and afterward, all
19 members and temporary voting members will cast their
20 vote by selecting yes, no, or abstain. You'll have two
21 minutes to cast your vote after the question is read,

1 and, once the votes have been placed, we will then
2 broadcast the results and read the votes aloud for the
3 record.

4 Please note that, once you've cast your vote,
5 you may change your vote within the two-minute time
6 frame. However, once the poll has closed, all votes
7 will be considered final. And unless anybody has any
8 questions relating to the voting process, we can have
9 Dr. Monto read the vote for the record.

10 **MR. MICHAEL KAWCZYNSKI:** I just want to make
11 sure, Dr. Hildreth, is your hand up for the vote
12 question?

13 **DR. JAMES HILDRETH:** Uh, I just wanted to
14 clarify that we're only voting on Question 1, not 1B?

15 **DR. ARNOLD MONTO:** That is correct.

16 **DR. JAMES HILDRETH:** Thank you.

17 **MR. MICHAEL KAWCZYNSKI:** All right, so here is
18 the original, and I did modify. This is now the
19 question that we are voting on, correct?

20 **DR. ARNOLD MONTO:** So I will read for the
21 record the question: "Do available data support the

1 safety and effectiveness of Janssen's COVID-19 vaccine
2 for use under EUA as a booster dose in individuals 18
3 years of age and older at least two months after a
4 single dose primary vaccination?" Dr. Marks?

5 **DR. PETER MARKS:** Yeah, I will say that I will
6 stipulate that we'll take it under advisement that a
7 number of Committee members have said that they would
8 prefer "additional" rather than booster.

9 **DR. ARNOLD MONTO:** Right, and we'll have some
10 discussions about boosters if we have the time later
11 anyway.

12 **DR. PRABHAKARA ATREYA:** This is Prabha Atreya.
13 Is Dr. Marks saying that this voting question needs to
14 be revised to say --

15 **DR. ARNOLD MONTO:** No, not at the moment.

16 **DR. PRABHAKARA ATREYA:** Okay. Thank you.

17 **MS. KATHLEEN HAYES:** Okay, so, if we can pull
18 up the voting pod for this question. Thank you, Dr.
19 Monto, for reading it aloud.

20 And, at this time, you should see the options
21 for yes, no, or abstain, so, if you can cast your vote,

1 please.

2 Great, it looks like all the votes are in, and
3 I will read them aloud for the record. So Dr. Lee
4 voted yes, Dr. Chatterjee voted yes, Dr. Nelson voted
5 yes, Dr. Rubin voted yes, Dr. Sawyer voted yes, Dr.
6 Hawkins voted yes, Dr. Gans voted yes, Dr. Pergam voted
7 yes, Dr. Offit voted yes, Dr. Meissner voted yes, Dr.
8 Hildreth voted yes, Dr. Cohn voted yes, Dr. Wharton
9 voted yes, Dr. Levy voted yes, Dr. Moore voted yes, Dr.
10 Fuller voted yes, Dr. Monto voted yes, Dr. Perlman
11 voted yes, Dr. Kurilla voted yes.

12 So we do have 19 out of 19 unanimous yes votes
13 for this question. Thank you. Dr. Monto, back to you.

14 **DR. ARNOLD MONTO:** Thank you, and, Dr. Rubin,
15 did you want to explain your vote before we take a
16 break until the next presentation? Anybody who wants
17 to explain their votes can do so now.

18 **DR. ERIC RUBIN:** Thanks, Dr. Monto. I just
19 want to kind of reiterate from the discussion before.
20 Getting to what Dr. Heaton just told us and Dr. Pergam
21 said before, I think we expect that getting a dose

1 later than two months is going to be fine, that there
2 is little evidence. Although there aren't a lot of
3 data, there isn't much to suspect that it's a lie.
4 And, since that will apply to a large number of people,
5 I think that I would say I certainly am supportive of
6 those individuals by getting another dose.

7 **DR. ARNOLD MONTO:** Thank you. Dr. Chatterjee.

8 **DR. ARCHANA CHATTERJEE:** Thank you, Dr. Monto.
9 I actually have already given the explanation for my
10 vote, so that is not my comment here. But it's a
11 follow-up to Dr. Mark's most recent remark about an
12 additional dose versus a booster dose. That part also
13 did occur to me, but, you know, there's so much
14 confusion around these vaccines anyway that I thought
15 introducing another term might be even more confusing.
16 So, of course, the FDA will do whatever they will do,
17 and we voted on the question that was posed to us. But
18 I just thought that I would express that opinion here.

19 **DR. ARNOLD MONTO:** Thank you. No other hands
20 are raised, so I think we should be having a break now.
21 I'll leave it up to the organizers who know what

1 people's schedules are to tell us when we should resume
2 to hear Dr. Lyke on the Mix and Match boosters.

3 **MS. KATHLEEN HAYES:** Dr. Lyke is online and in
4 the meeting. Dr. Atreya, do you think we should take
5 15 minutes and --

6 **DR. ARNOLD MONTO:** Why don't we take 15
7 minutes and then reconvene at 1:45 Eastern?

8 **UNIDENTIFIED FEMALES:** All right, thank you.

9 **DR. PETER MARKS:** Sounds great.

10 **MR. MICHAEL KAWCZYNSKI:** All right, a 15-
11 minute break it is. Studio, can you please put us on
12 break?

13

14

[BREAK]

15

16 **DMID 21-0012 - HETEROLOGOUS PLATFORM BOOST STUDY MIX**

17

AND MATCH

18

19 **MR. MICHAEL KAWCZYNSKI:** All right, good
20 afternoon and welcome back all of you who are joining
21 us at our 169th VRBPAC meeting. We are into the home

1 stretch. Just concluded our vote, and we now have a
2 presentation and some discussion. So, Dr. Monto, are
3 you ready to kick off the final stretch?

4 **DR. ARNOLD MONTO:** I am, and I'd like to
5 introduce Dr. Kirsten Lyke, Professor of Medicine,
6 University of Maryland, who is going to tell us about
7 the NIH's Mix and Match Booster Study. Dr. Lyke.

8 **DR. KIRSTEN LYKE:** Thank you. I'm Kirsten
9 Lyke. I'm from the University of Maryland, School of
10 Medicine at the Center for Vaccine Development. And
11 I'm pleased to be here today to present the Mix and
12 Match Study results. And I'd like to thank the
13 organizers for extending us an offer to come and speak
14 to our preliminary results.

15 In terms of full disclosure, I have received
16 funding as a co-principal investigator for the Phase I
17 studies involving the Pfizer COVID-19 vaccine. I'm an
18 investigator on the Moderna and Novavax Phase 3
19 studies. And I receive NIH funding as Chair and site
20 PI for the Mix and Match Study.

21 So some key decisions need to be made in

1 regard to decisions for the late boost. And a variety
2 of data is going to contribute to this decision. So
3 our role in this process is to understand how to use
4 current vaccines to be used as boosts. And the
5 questions are, can one vaccine be used as a boost to a
6 different vaccine? Is it safe to mix vaccines? And
7 what happens to the immune response after booster
8 vaccination. So our trial is primarily safety and
9 immunogenicity; we do not have data on vaccine
10 efficacy.

11 And before I start, I'd like to recognize the
12 mix and match study team. My co-chair is Dr. Robert
13 Atmar at the Baylor College of Medicine. And, we have
14 ten sites who are part of the IDCRC network, funded by
15 NIH. We have data and statistical support through
16 SCHARP in Seattle. And our regulatory support is
17 FHI360.

18 And we're fortunate to have a number of
19 laboratories helping us with this project. So we have
20 David Montefiori at Duke University, who's contributing
21 with the neutralizing antibody results. We have Adrian

1 McDermott at the VRC, who's contributing binding
2 antibody results. And we have ongoing cellular and B
3 cell responses as well as live viral neutralization
4 assays that are pending at this time.

5 Okay, so the study design and our population
6 are volunteers who received EUA COVID-19 vaccine at
7 least 12 weeks since the last vaccine dose. And this
8 timing was driven by the urgency to have data available
9 in the autumn. So, we realize that longer intervals
10 generally result in better immunogenicity, and we felt
11 that this was the minimum interval in which we could
12 have good immunogenicity results and be able to look at
13 things in a systematic and an unbiased fashion.

14 So each group has 50 participants. And our
15 group is defined as the primary vaccine series followed
16 by the booster. And they're equally stratified between
17 a younger age cohort of age 18 to 55, and an older
18 cohort who are greater than or equal to 56 years of
19 age. And that number gives us a high probability of
20 observing at least one adverse event with a true event
21 rate between two and ten percent; however, it will not

1 capture uncommon or rare adverse events.

2 We've designed this trial to inform public
3 health decisions, but it's not powered or designed to
4 compare between groups.

5 This is an adaptive design. And I'm only
6 reporting the first nine groups, but we have additional
7 arms that are ongoing at this point. And it's divided,
8 for these first nine groups, which I'm going to present
9 today, into three stages. And each stage is comprised
10 of 50 individuals who had previously been dosed with
11 the Janssen primary series, 50 individuals who were
12 dosed with the Moderna regimen, and 50 who received
13 Pfizer/BioNTech.

14 And then, these groups of three were then
15 boosted with a single vaccine. So Groups 1 through 3
16 received the Moderna at the full dose 100 microgram
17 dose. We do have additional arms that received the 50
18 microgram dose, and we don't have those results
19 currently but will down the line. Groups 4 through 6
20 received the Janssen at full dose boost. And Groups 7
21 through 9 received the Pfizer product.

1 All volunteers had been dosed from their final
2 dose at least 12 weeks. The study visits occurred on
3 Day 1, Day 15, and Day 29, and those are the results
4 that I'm going to present today. But we will be
5 following them Months 3, 6, and 12.

6 In terms of volunteer characteristics, we had
7 an N of 458 over the nine groups. And it broke down
8 between 49 and 53 individuals per group. All of these
9 individuals self-professed to having not had COVID-19
10 infection and denied having monoclonal antibody
11 infusion. We were fairly equally distributed between
12 males and females. The age ranged from 19 to 85 years
13 of age. We had a predominant Caucasian population,
14 with about seven percent being Asian and roughly seven
15 percent Hispanic.

16 We did note that two participants, one in
17 Group 4 and one in Group 6, had high N-protein antibody
18 levels at Day 1, suggestive of a prior infection
19 presumably asymptomatic. And we had one participant in
20 Group 5 who had a symptomatic COVID-19 event at Day 27.
21 This was uncovered after the immunogenicity results had

1 been calculated, although we did look at their Day 29
2 N-protein, which did not appear to be elevated at that
3 time.

4 I'm highlighting here the interval, the
5 interval changed throughout the stages as this was a
6 sequential staged recruitment. So, in the early Stage
7 1, we had a bit of a difference between the Janssen
8 volunteers of approximately two weeks shorter in
9 interval as compared to the two mRNA. Probably owing
10 to the fact that Janssen received EUA in late February.

11 And here we have the time from vaccination to
12 boost in the Stage 1, 2, and 3. And you can see that
13 for Stage 1 the volunteers had just under four months
14 as the interval between their last dose and boost, all
15 the way to Stage 3 where the interval had increased to
16 approximately six months or just under six months, so
17 increasing interval with the sequential stage
18 recruitment.

19 In terms of immunogenicity, so we have
20 available data through Day 15 and in some cases Day 29,
21 which I'll present here today. In green are the

1 results that I'm going to present. I've mentioned that
2 we have the Montefiori Lab processing our
3 neutralization assays. And we'll be reporting those in
4 ID50s, ID80s, and then we bridge them to the
5 international standard and report this as international
6 units or IU50, IU80. I would also state that this is a
7 pseudotype lentivirus presenting the protein spike of a
8 variant of interest and has a luciferase expression
9 system.

10 So this is a validated assay for D614G. And
11 we performed analysis in all 450 plus volunteers. We
12 also have subset analysis for variants of concern,
13 which are in process, but not available to be discussed
14 today. Similarly, the Vaccine Research Center in the
15 McDermott Lab provided analysis for the IgG antibody,
16 using a validated 4-plex assay assessing the WA-1, or
17 Washington-1, circulating a wild-type strain in all
18 volunteers reporting this is as arbitrary units. But
19 we also did bridge this to the international standard
20 known as Binding Antibody Units.

21 We also did a 10-plex Fit-for-Purpose research

1 assay. And we analyzed the control circulating wild-
2 type as well as the Alpha and the Delta, which I'll
3 present today.

4 Okay, our first sets of results are going to
5 be the full dose Moderna booster. And, let me take a
6 little time to sort of outline this. I know it's a
7 busy slide, but they'll all be sort of similar in terms
8 of the next few slides. And so what I'm presenting
9 here are serum antibody responses. Here are the Ns.
10 At the top panels, you'll see the entire age group
11 collapsed together. And in the bottom, we have
12 subgroup analysis. So in blue, we see the age 18- to
13 55-year-old subgroup. And in red, we see the 56 years
14 and older subgroup.

15 Also, we have the timepoints across the X-
16 axis, so days 1, 15, and 29. And this is a logarithmic
17 scale. Across the top, we have their primary series,
18 Janssen, Moderna, and Pfizer/BioNTech. In blue, we're
19 reporting the geometric mean titer, as well as the
20 binding antibody that bridged to the international
21 standard. And then in red, we're reporting the

1 geometric mean fold rise.

2 And so what I would first say in regard to the
3 mRNA-1273 booster product is that, at baseline, all
4 volunteers had detectable binding antibodies. It was
5 highest in the Moderna group, followed by the Pfizer,
6 followed by the Janssen. But following boost, we had a
7 robust response across all three primary vaccine
8 series, ranging from approximately seven all the way up
9 to 56 geometric mean fold rises. And peaking at Day 15
10 and then remaining stable at Day 29.

11 Okay, the next sets of results are
12 neutralization and antibody titers to the Spike D614G.
13 This is a validated assay, and again to the Moderna
14 boost. And, again, at baseline, we have the Janssen
15 individuals about 15.8 percent of which had no
16 detectable neutralizing antibody at baseline. All
17 Moderna individuals had baseline detectable
18 neutralizing antibodies. And, the Pfizer then was in
19 the middle of these two. Following boost, however, all
20 three primary series had significant booster responses
21 across the board, peaking at Day 15 and stabilizing at

1 Day 29. With the geometric mean fold rise being 76-
2 fold in the Janssen group, owing to their lower
3 starting point, and relative to the post-dose 2 Modern
4 results following the early-stage results. This
5 represents about two-and-a-half-fold increase over the
6 post-dose 2 results.

7 The post-dose 2 peak IU50, so bridge to
8 international standards was 247. So we see an
9 extremely robust homologous response after the third
10 dose of Moderna in the Moderna group.

11 I would also back up and just say that we saw
12 very little difference between the age groups. And,
13 so, we're not reporting the numbers here to keep it
14 less busy, but essentially nothing that appeared
15 significantly different between the older and the
16 younger age group.

17 Okay, our next set of results are going to be
18 the Janssen booster vaccine with the full dose five
19 times ten to the tenth viral particle. This is binding
20 antibody results once again to the WA-1 antigen, the
21 wild-type strain. And, again, subgroup analysis at the

1 bottom, and the entire age group collapsed together at
2 the top.

3 What I would first say is once again the
4 Moderna group had the highest baseline binding
5 antibody, followed by Pfizer, followed by the Janssen
6 group. All individuals but one Janssen member had
7 detectable antibodies. There was one individual that
8 had no detectable antibody in the Janssen dose group.
9 Following the boost at Day 15, we see evidence of a
10 rise in binding antibodies across the board. However,
11 there is about a 10-fold decrease in the response in
12 the Janssen group as compared to the Moderna and the
13 Pfizer group. And again, very little difference noted
14 amongst the age subpopulations.

15 And here we have the neutralizing antibody
16 results to Spike D614G, following the Janssen boost,
17 reported in ID50s. Again, we're reporting this as IU50
18 in the green. At baseline, 22 percent of the Janssen
19 individuals had no detectable neutralizing antibody at
20 Day 1. All Moderna individuals had detectable antibody
21 at Day 1. And about 95 to 97 percent of the Pfizer

1 individuals had detectable antibody at Day 1. And
2 following the Janssen boost, we do see evidence of
3 increase in neutralizing antibodies across the board,
4 but again there appears to be a 7 to 10-fold increase
5 in the mRNAs as compared to the Janssen homologous
6 prime boost.

7 Lastly, the Pfizer/BioNTech booster
8 vaccination at 30 micrograms, here's the binding
9 antibody data. Once again, all volunteers had
10 detectable antibody at baseline. And following the
11 boost, and we're reporting here binding antibody to the
12 WA-1 wild-type strain, we see results that essentially
13 mirror that of Moderna, with a quite robust response
14 across the board. And a 33 geometric mean fold rise in
15 the Janssen volunteers owing to the lower start point.
16 No particular difference in the sub-age groups.

17 Here we have the neutralizing antibody titers
18 to the Spike D614G following the Pfizer boost. Again,
19 we see about 22.6 percent of the Janssen individuals
20 having no detectable neutralizing antibody as compared
21 to about three percent of the Pfizer, and then all

1 Moderna individuals had detectable baseline
2 neutralizing antibody. Following the boost, it's a
3 very similar response as compared to the Moderna
4 product, with anywhere from 11 to 35 geometric mean
5 fold rise in titers.

6 And then putting this all together and trying
7 to have a few take-home points. So, at the top, we
8 have the Moderna boost, in the middle the Janssen, and,
9 at the bottom, we have the Pfizer/BioNTech. And first
10 what I would note is that the neutralizing antibodies
11 did increase in response to any boost regardless of the
12 primary vaccination series and ranged from 4.2 all the
13 way to 76 geometric mean fold rise.

14 The second point I would make is that the
15 homologous regimen, and that would be Janssen prime
16 boost, Moderna prime boost, and Pfizer prime boost, had
17 geometric mean fold rises ranging from 4.2 to 20.
18 Whereas, the heterologous populations and groups ranged
19 from 6.2 to 76, meaning that the heterologous had as
20 good or higher neutralizing antibodies following the
21 boost at Day 15.

1 A third point that I would make is that all
2 groups, save for the homologous Janssen prime boost
3 group, achieved post IU50 doses of greater than 100 in
4 terms of IU50s, which has been associated with a 90.7
5 percent vaccine efficacy against symptomatic disease
6 when analyzing Moderna results. And this was
7 replicated in Oxford data published by Boise, where
8 they had a cut point of approximately 140 in
9 international units, representing a 90 percent vaccine
10 efficacy against symptomatic disease, although our data
11 may not reflect measures of protection against severe
12 disease or death.

13 Okay, here are all the results I've just
14 reported, and a few comments I'll make. On the top,
15 you'll see Panels A through C, representing the binding
16 antibody. And on the bottom, Panels D through E [sic],
17 you'll see the neutralizing antibody. In general, the
18 Day 15 titers, two were highest in those individuals
19 who had the mRNA-1273 Moderna prime. So these
20 individuals, they were in general higher following
21 their boost, followed by Pfizer/BioNTech, and then

1 Janssen, irrespective of the booster vaccination.

2 Another observation that we would make is that
3 the boost resulted in what appeared to be the highest
4 serologic response at Day 15, in the mRNA boost, so the
5 Moderna product and the Pfizer/BioNTech product.
6 However, following the Janssen boost, we do see
7 evidence of incremental rise at Day 29, which would be
8 reflective of the Ensemble 2 data where there was
9 incremental rise over time and then stabilization over
10 a full eight-month period. And we're waiting for Day
11 29 neutralizing antibody results.

12 And one other point that I would make on this
13 figure is that these dots, these red dots here, here
14 and here, this is Group 4, and this is Group 6, these
15 are the individuals with high background N-protein that
16 we discovered in our post hoc analysis. And we've
17 charted them here just so that you can get an idea
18 where they landed within the immune response.

19 A bit of immunogenicity with our variants of
20 concern, okay, so this is IgG serum binding antibody
21 response to the WA-1, Washington-1, wild-type control,

1 in yellow, the Alpha strain in blue, and the Delta in
2 pink. So at baseline, we see roughly 35 to 45 percent
3 decrease in antibodies against the Delta as compared to
4 the wild-type control.

5 Following the Moderna boost, we see a robust
6 response across the board regardless of your primary
7 vaccine series. And the degradation in antibodies as
8 regards to the amount of antibodies detected against
9 Delta, then decreased to between 15 and 35 percent as
10 compared to the wild-type control, indicating a robust
11 boost response and possible breadth cross coverage with
12 the variants of concern.

13 Here we see the similar results with Janssen
14 following the Janssen boost and the primary vaccine
15 series. You can see at Day 1 there's quite a bit of
16 dispersion in the Janssen primary dose volunteers.
17 Following boost, all participants experienced an
18 increase in their binding antibodies. And by Day 29,
19 all of the individuals had detectable antibody against
20 the variants of concern.

21 And here are the results following the

1 Pfizer/BioNTech, again, to the wild-type control, the
2 Alpha and the Delta. And this mirrors our Moderna
3 results, so that there's a robust response by Day 15,
4 and we don't have Day 29 results as yet.

5 And here's the compilation figure with all of
6 the results, demonstrating that all volunteers mount an
7 antibody response, the mRNAs peaking at Day 15, and the
8 Janssen continuing to rise till Day 29.

9 Safety results, we had two serious adverse
10 events, one an acute renal failure due to
11 rhabdomyolysis following a fall. This was deemed
12 unrelated to study vaccination and occurred 30 days
13 after a Moderna boost. The second was acute
14 cholecystitis that was termed unrelated and occurred 24
15 days after the Janssen booster vaccination.

16 We had no pre-specified study-halting rules
17 met, no new onset chronic medical conditions through
18 Day 29, and had one related adverse event of special
19 interest, which was a case of severe vomiting that led
20 to a medically attended event the day after a Janssen
21 booster vaccination.

1 In terms of unsolicited AEs deemed related to
2 the boost of any severity, we see a fairly even
3 distribution across all three booster dosages. Most
4 were Grade 1 or 2 in severity. There were four related
5 Grade 3 adverse events: two vomiting, one I've
6 described following the Janssen that was an adverse
7 event of special interest and vomiting in one
8 participant who received the Moderna boost. There was
9 also a reported Grade 3 fatigue, and one of insomnia in
10 two individual participants following the Janssen
11 booster.

12 And here we have our booster solicited adverse
13 event, and I collapsed the age groups because we didn't
14 see a particular trend between the younger and the
15 older age group with the low numbers that we have.
16 You'll see this is local and systemic reactogenicity
17 through Day 8. And it really mirrors that reported in
18 the primary series, so that 75 to 85 percent of
19 individuals had experienced pain and tenderness. As
20 well as a good amount of headache, malaise, fatigue,
21 and myalgia, particularly in those that had received

1 the Moderna primary vaccine series.

2 In terms of limitations for the study, as
3 we've mentioned, this is not randomized; it was an
4 open-label design. The study was not designed to
5 compare between boosts. We did not control for
6 intervals, and we did not control for patient
7 characteristics between the primary vaccine and the
8 boosts.

9 The correlates of protection are not
10 completely elucidated, and the correlates for severe
11 disease and death are even less well understood. This
12 is only antibody data and early immunogenicity data.
13 We do have cellular and B cell immune responses that
14 are still being analyzed. These data represent only
15 early time points from the trial. And the vaccines may
16 differ in time to reach peak responses, and they may
17 have different durability of responses. So we will be
18 following these participants for a full year.

19 Our conclusions are that the use of the
20 Moderna, the Janssen, and the Pfizer/BioNTech as
21 booster vaccines led to recall serologic responses in

1 all three EUA-dose vaccine groups. For a primary EUA
2 COVID-19 vaccine, heterologous boosts elicited similar
3 or higher serologic responses as compared to their
4 respective homologous booster responses. The mRNA
5 vaccines resulted in higher antibody titers in the
6 first 28 days after the boost. And there were no
7 significant safety concerns identified within this
8 short time period.

9 Again, I'd like to recognize the Mix and Match
10 study team, along with the contributions of the
11 companies who allowed us to use some of their paperwork
12 in cross reference, although all vaccine product was
13 procured through government procurement offices. And
14 with that, I'm available to take questions.

15

16

Q&A SESSION

17

18 **DR. ARNOLD MONTTO:** Thank you, Dr. Lyke. That
19 was a very clear presentation of very complicated data.
20 I just want to ask a point of information before we
21 open the presentation for general questions. Primary

1 series for Moderna and Pfizer/BioNTech was two doses,
2 and for Janssen was one dose, correct?

3 **DR. KIRSTEN LYKE:** It was two doses, the
4 Moderna interval being the 28-day recommended dose, and
5 the Pfizer interval being 21 days.

6 **DR. ARNOLD MONTO:** Making this a real-world
7 study.

8 **DR. KIRSTEN LYKE:** This is a real-world study.
9 They were not dosed with us. They had already been
10 dosed and came in for the booster portion.

11 **DR. ARNOLD MONTO:** Okay, thank you.
12 Questions? Dr. Pergam.

13 **DR. STEVEN PERGAM:** Thanks a lot. This is a
14 really great study you guys have put together.

15 I had a couple of questions just to remind us
16 of the exclusion criteria for people who enrolled in
17 the study. Can you remind us if you tried to enrich
18 for specific high-risk populations within the study
19 design?

20 **DR. KIRSTEN LYKE:** So that was not the point
21 of this study. We wanted to have a real-world,

1 medically stable individuals. So while we didn't rule
2 them out, they did have to be medically stable. We did
3 not take individuals who were on immunosuppression. We
4 did take them at their word that they had not had
5 COVID-19 or received monoclonal antibodies.

6 **DR. ARNOLD MONTO:** Dr. Chatterjee.

7 **DR. ARCHANA CHATTERJEE:** Thank you, Dr. Monto.
8 Thank you, Dr. Lyke, for that excellent presentation.

9 My question is about the other groups that you
10 alluded to. You presented the data on these nine
11 groups. Could enlighten us a little bit about what
12 those other groups are, and when the data from those
13 groups will possibly become available?

14 **DR. KIRSTEN LYKE:** Yes, so we always built
15 this as an adaptive design. And, in fact, we're sort
16 of building it as we were conducting it. So we started
17 with Stage 1, and then looped in companies as we went
18 along, so every two and a half to three weeks we added
19 a new stage.

20 We've also completed a dose arm of individuals
21 who received the 50-microgram Moderna product, so the

1 half dose that was just approved. And, we also have a
2 series of individuals who have received -- we call it
3 the 0.211 product -- so that the Moderna product that's
4 50 micrograms of the beta 0.351, as well as 50
5 micrograms of the 1273.

6 **DR. ARCHANA CHATTERJEE:** Thank you.

7 **DR. ARNOLD MONTO:** Thank you. Dr. Kurilla.

8 **DR. MICHAEL KURILLA:** Thank you, Arnold.

9 Pretty clear that the early focus has been on antibody
10 responses and neutralizing titers. It's fairly easy to
11 do, but we heard yesterday from Moderna that, even in
12 the absence of neutralizing titers, they're still
13 manifesting considerable protection. And we actually
14 saw today from the J&J that with some of the newer --
15 or whatever you want to call it -- variants that we
16 haven't seen yet in the United States where they're
17 more on the lines of vaccine escape means that there's
18 a real disconnect between preventing symptomatic
19 infection versus protection from serious disease. So
20 that suggested cellular immunity is very important.

21 There have been several reports that the

1 cellular responses induced by the mRNA vaccines do wane
2 over time. So it would seem that exactly when you did
3 these in time, you may get different responses
4 measuring someone with an mRNA vaccine three months out
5 versus six months out.

6 And, so, for the question, when are we likely
7 to begin to see some of these cellular responses, which
8 is probably going to be very critical going forward to
9 understand the new landscape of what we're going to see
10 in the future from COVID?

11 **DR. KIRSTEN LYKE:** I can't give you an exact
12 date, but we've already shipped the samples to the
13 laboratories and they're underway. Hopefully, by the
14 sort mid-November I would estimate -- maybe late
15 November, we'll start to see the earliest results. But
16 it's literally a colossal amount of samples. We're
17 collecting anywhere between 10,000 vials of product
18 every week and then shipping them to the appropriate
19 labs, so it's a logistical effort.

20 **DR. MICHAEL KURILLA:** And what about longer
21 follow-up in terms of antibody responses the past 29

1 days?

2 **DR. KIRSTEN LYKE:** Yeah, we're following them
3 all the way to 12 months, so we have time points at 3,
4 6, and 12 months. And we'll be following all the
5 volunteers through that period.

6 **DR. MICHAEL KURILLA:** Thank you.

7 **DR. ARNOLD MONTO:** And it's interesting that
8 you're seeing a little bit of waning already in the
9 mRNA products, right?

10 **DR. KIRSTEN LYKE:** For stabilization, it
11 wasn't a great deal, so we know that the mRNAs peak
12 early. And it will be interesting to see what they do
13 over time.

14 **DR. ARNOLD MONTO:** Right. Dr. Rubin.

15 **DR. ERIC RUBIN:** Thanks for sharing your
16 interesting data. I wonder, what happened to the
17 individuals who had no measurable neutralizing
18 antibody? And, whether there was a correlation between
19 antibody levels before the additional dose and after?

20 **DR. KIRSTEN LYKE:** Correlation meaning -- I'm
21 not sure I follow with the correlation.

1 **DR. ERIC RUBIN:** In other words, did those who
2 had very low titers end up on the lower end of the
3 elevated titers after booster.

4 **DR. KIRSTEN LYKE:** Yeah, that's a good
5 question. We'll have to pull out that data. What I
6 can say is that everyone who was negative then became
7 positive. Although a bit slower in the Janssen group,
8 they all went positive by Day 29. So, it was a little
9 bit more of a delayed response. And you might infer
10 that that will continue to go up over time. That's
11 something that we'll be looking at carefully.

12 **DR. ERIC RUBIN:** Thank you.

13 **DR. ARNOLD MONTA:** To my surprise, there are
14 no additional questions. So you must have been crystal
15 clear --

16 **DR. KIRSTEN LYKE:** Clear I hope.

17 **DR. ARNOLD MONTA:** -- in your presentation of
18 very complicated data. Ah, we have another hand. Dr.
19 Pergam.

20 **DR. STEVEN PERGAM:** I apologize. So, just a
21 question since this has just been voted on for the

1 second dose of the Johnson and Johnson. The
2 flexibility in your study, does that allow you to add
3 another subgroup to do additional boosters from the
4 study design you have? You've added additional
5 questions related to these other vaccines. Does that
6 sort of study allow you to sort of ask that? Because I
7 think that's going to be a question down the road as
8 people that have completed a two-dose series and
9 whatever we want to call the J&J. Is there an option
10 to do an additional boost beyond?

11 **DR. KIRSTEN LYKE:** That's not something we
12 discussed. We do have a separate cohort of individuals
13 who were dosed with a primary series so that we could
14 have early immunogenicity. And we're reserving those
15 on hand to boost with a product that we have yet to
16 decide or to look at interval results. So, the
17 flexibility of this study is pretty open-ended. And it
18 allows us to adapt and move towards really any
19 direction.

20 We anticipated that there may be more vaccines
21 that were targeting variants of concern as new variants

1 rose in the population. And, so, we envisioned being
2 able to rapidly implement new arms to this study. So,
3 it's open-ended to last out to four years if needed, so
4 that we can continue to answer new questions and add
5 arms to help us make decisions.

6 **DR. ARNOLD MONTO:** Kathleen, I do not see any
7 additional raised hands, do you?

8 **MS. KATHLEEN HAYES:** Dr. Nelson had his hand
9 up earlier and went down, so I just want to see if he
10 had a question.

11 **DR. MICHAEL NELSON:** Dr. Monto, I do have a
12 question if that's okay.

13 **DR. ARNOLD MONTO:** Okay, Dr. Nelson.

14 **DR. MICHAEL NELSON:** Thank you, Dr. Lyke, for
15 an outstanding presentation. I think we're all
16 suitably impressed by the initiative and the design of
17 this study, and the data it will yield over the next
18 several years.

19 Two quick questions, I thought I heard that
20 the solicited adverse events were similar to the
21 primary series. We've seen data today and yesterday

1 that second or subsequent doses may have a lower
2 frequency. Does your data bear that out?

3 **DR. KIRSTEN LYKE:** Yeah, from what we saw, it
4 looked pretty similar to me. I mean, 75 to 85 percent
5 reporting pain. And then a good percentage reporting
6 headache, malaise, fatigue, and body aches, so at
7 least, from the data that we have at hand, it did look
8 pretty similar. There aren't enough numbers to really
9 parse that out statistically perhaps, but it did seem
10 that maybe there was a bit of drop-off in the older
11 population. But, again, when we collapsed all the data
12 together, it looked very similar to the primary series.

13 **DR. MICHAEL NELSON:** Yeah, we all had
14 theoretical concerns that there might be increased
15 rates when we crossed platforms with respect to the
16 booster.

17 Similar question, is anybody looking at
18 affinity or epitope mapping for across a platform
19 dosing? With the advantage being that maybe the
20 quality of the antibodies produced with that boost, in
21 addition to the actual quantity, will provide some

1 added protection. Thank you.

2 **DR. KIRSTEN LYKE:** Yeah, so, with all the
3 blood collections we devote half to preplanned assays
4 and the other half is for future use. So we have the
5 flexibility to add a whole host of additional assays.
6 We are doing B cell assays, and whether we move to
7 epitope mapping, et cetera, that's an open-ended
8 question but obviously would be of great interest.

9 **DR. ARNOLD MONTO:** Dr. Kurilla, again.

10 **DR. MICHAEL KURILLA:** One other thought.
11 Would you consider boosting with a strain change
12 variant? Do you anticipate doing that when they become
13 available?

14 **DR. KIRSTEN LYKE:** Yeah, that's exactly what
15 we had anticipated. That's why we left this as an
16 adaptive design. We started with 3 groups, and we're
17 up to 14, with a projected possible 17. We wanted to
18 add a protein vaccine to this as well just out of
19 interest, but we're waiting to see in which direction
20 that goes.

21 **DR. MICHAEL KURILLA:** Thank you.

1 **DR. ARNOLD MONTO:** Dr. Levy.

2 **DR. OFER LEVY:** Hello. Great study and thank
3 you for that. I wanted to ask whether there was any
4 thought given to measuring innate immune responses
5 after the heterologous boost in your design? Because,
6 as you know, that could shape adaptive immune
7 responses, it may also potentially correlate with some
8 types of reactogenicity. So what are the plans
9 regarding that and what do you know about that?

10 **DR. KIRSTEN LYKE:** Yeah, so, it wasn't part of
11 our original protocol design, but that doesn't preclude
12 or exclude really anything that comes to the table.
13 And, if that is a direction that we want to go, we
14 certainly have plenty of samples that we can dip into
15 to look at those questions.

16 **DR. OFER LEVY:** Yeah, you may be aware that
17 Dr. Mihai Netea in the Netherlands, for example, has
18 published the receipt of mRNA vaccine in some sense
19 shifts the innate set point. And it would be
20 interesting to see how that plays out in the context of
21 a design like this.

1 **DR. KIRSTEN LYKE:** Yeah, agreed.

2 **DR. ARNOLD MONTO:** Dr. Perlman.

3 **DR. STANLEY PERLMAN:** Yeah, these data are
4 great. Is there any thought about extending them to a
5 vaccine efficacy study, obviously not all in a zillion
6 (phonetic) lens, but a pertinent lens?

7 **DR. KIRSTEN LYKE:** Not as part of this study.
8 I don't know if NIH has additional thoughts about that,
9 but it wasn't part of the design for this study. This
10 was purely for public health purposes and to really get
11 to the bottom of a whole host of questions that just
12 kept arising.

13 You know, there was a lot of debate whether we
14 should even have a Moderna followed by a Pfizer, or
15 Pfizer followed by Moderna. A lot of people felt that
16 that wasn't going to be useful data. But I think it
17 real-world practical questions that people want to
18 know, is it safe to do that? So, I think there's value
19 in looking at it in every which way.

20 **DR. ARNOLD MONTO:** Well, thank you very much.
21 That seems to have exhausted the questions. Dr. Marks,

1 are you going to give us the discussion topic for our
2 broader discussion now?

3

4 **COMMITTEE DISCUSSION OF FDA QUESTIONS**

5

6 **MS. KATHLEEN HAYES:** I believe we have the
7 discussion questions pulled up.

8 **DR. PETER MARKS:** Sorry about that. Dr.
9 Monto, what would you -- we had a discussion question
10 here. It may be the focus was apparent.

11 **DR. ARNOLD MONTO:** Okay.

12 **DR. PETER MARKS:** There we go.

13 **DR. ARNOLD MONTO:** Okay, how do you want us to
14 approach this? This is pretty open-ended.

15 **DR. PETER MARKS:** Could I make a suggestion,
16 Dr. Monto --

17 **DR. ARNOLD MONTO:** Please do.

18 **DR. PETER MARKS:** -- that perhaps maybe we can
19 just go down the Committee and just see if anyone wants
20 to add anything in this regard. I don't think this has
21 to be any kind of systematic -- we would just like to

1 hear the Committee's impressions here.

2 I also want to, again, just take the
3 opportunity to thank Dr. Lyke. It was very nice to
4 have this presented. It's clearly very important work,
5 and I'm glad to be able to have the Committee hear
6 this. But I think we'd just be interested if there are
7 any comments that the Committee would like to make.
8 And if you just want to go down the Committee members
9 and just see if they wish to make anything.

10 **DR. ARNOLD MONTO:** What I would suggest rather
11 than calling on the large number of people we have on
12 the Committee, is to ask you how specifically we can
13 help in making some recommendations about how we can be
14 putting this into effect in terms of the scenario that
15 we heard yesterday. That, for example, ACIP cannot do
16 anything without an emergency use authorization from
17 FDA.

18 So, for example, if somebody who has received
19 the Janssen vaccine would like to get, based on some of
20 these data, an mRNA booster, how is that going to be
21 done not right away but down the line? What kind of

1 discussion would help you in trying to formulate the
2 kind of EUA that would make that possible?

3 **DR. PETER MARKS:** I think we would want to
4 know what the Committee would -- so, we have data now
5 and, if you think about it, we have data, for instance,
6 with Janssen boosted with an mRNA vaccine, and an mRNA
7 vaccine boosted with Janssen vaccine. The question is,
8 how much more data would the Committee like to see for
9 the purposes of an emergency use authorization in this
10 type of scenario for kind of mix and match of the
11 vaccines? That might be helpful.

12 **DR. ARNOLD MONTA:** Okay, that is a very much
13 more focused question, and let's start going around and
14 seeing who all would like to comment about what kind of
15 data they would like to see to justify an emergency use
16 authorization. Dr. Rubin.

17 **DR. ERIC RUBIN:** Thanks, Dr. Monta. I was
18 going to ask Dr. Marks what we would need, but, in
19 fact, he's asking us, which is nice.

20 We just authorized additional doses of
21 vaccines based on, in the case of Moderna at least, a

1 very small amount of safety data.

2 Here we have vaccines that are safe. We have
3 modalities that we understand for delivering those
4 vaccines. I'm pretty comfortable that with a
5 relatively small sample size that we can be certain of
6 safety. Given we don't need much more efficacy than
7 the immunobridging that we have from Dr. Lyke's study,
8 I think, because it's very similar to the kind of
9 things that we've seen before and that we've approved
10 on before.

11 So, I guess, a somewhat larger sample size for
12 -- I wish I could name a number -- but a somewhat
13 larger sample size for safety. Certainly, no less than
14 150ish that we had from Moderna I think. I'm making
15 that up, but I think that those are all the data that I
16 feel like we really needed.

17 **DR. ARNOLD MONTO:** And, Dr. Marks, if you
18 would like to respond at any point, feel free. Because
19 we'll go down the list of those who have their hands
20 raised.

21 **DR. PETER MARKS:** Thank you, Dr. Rubin, that's

1 exactly the type of feedback I think we wanted here.

2 **DR. ARNOLD MONTO:** Dr. Gans.

3 **DR. HAYLEY GANS:** Thank you. I think it's
4 very compelling and as some of us alluded (audio skip)
5 Janssen (audio skip) extension of the primary series
6 that this indication is actually something I would be
7 interested in (audio skip) about and helping (audio
8 skip) higher risk indica- (audio skip).

9 So, I think that we have all already voted on
10 the safety of these vaccines. And I would be in favor
11 -- I mean, we already have at least with this other
12 study another 450, whatever it's mixed up, and for each
13 one of them. So I think we already actually made a
14 point (audio skip) people (audio skip) out in this. I
15 would actually urge the FDA to (audio skip) this (audio
16 skip)of those (audio skip) benefit of this actually
17 have (audio skip).

18 **DR. ARNOLD MONTO:** Dr. Kurilla.

19 **DR. MICHAEL KURILLA:** Yeah, I'll take a
20 slightly different perspective here. I don't actually
21 see this as a EUA consideration. I think that the

1 safety data is great. And I think it does present
2 potential options down the road for public health
3 officials and our overall response to the evolving
4 pandemic. But my concern is that -- a few things, one
5 is that I know people are very highly swayed by high
6 neutralizing titers, but we do not have a correlate of
7 protection. And we clearly see evidence of protection
8 from these vaccines in the absence of neutralizing
9 titers, so there's a lot of other things going on.

10 And the reality is that, when this would be
11 considered to be implemented in the future because,
12 right now, everybody's probably just in the process of
13 getting boosted with whatever their primary vaccination
14 is, we're going to be in a slightly different
15 environment with a whole new set of variants. And so I
16 think we may end up in a situation not too dissimilar
17 to influenza. No one talks about what influenza
18 vaccine did you get last year, that's because we don't
19 have a EUA or an approval for a particular booster for
20 you if you got a certain vaccine.

21 So, I think this is very informative data. I

1 think largely in terms of safety and largely in terms
2 of helping to better assess the overall components of
3 the immune response that are really contributing to the
4 critical aspects of protection, both from infection and
5 symptomatic disease, as well as serious disease. So I
6 would not go down the EUA route. I think we'll be
7 struggling forever with every single combination, and
8 it's just not going to be worth the effort.

9 **DR. ARNOLD MONTO:** Dr. Kurilla, the only
10 problem is that we heard yesterday from Dr. Cohn that
11 ACIP is constrained by the fact that these are not
12 licensed products.

13 **DR. MICHAEL KURILLA:** But eventually these are
14 --

15 **DR. ARNOLD MONTO:** So, we're going to have to
16 figure that one out. But the flu, we've got licensed
17 products.

18 **DR. MICHAEL KURILLA:** Right, but these
19 products will be licensed. I mean, I don't think we
20 expect to be in an emergency situation forever. And I
21 don't think we expect these to stay under EUA forever.

1 The FDA itself does not regard EUA as an end state. So
2 I think the focus should be on getting these products
3 approved and doing adequate studies to demonstrate that
4 there's a safety and there is evidence of clinical
5 benefit from this. But I think trying to parse it out
6 with each particular combination, we're going to be
7 having VRBPAC meetings nonstop for the next several
8 months if we try to do this.

9 **DR. ARNOLD MONTO:** I'd like to call on Dr.
10 Cohn to give us the ACIP view about this.

11 **CAPT. AMANDA COHN:** Thanks, Dr. Monto. I
12 think that there's a little bit of confusion here about
13 whether or not FDA's talking about this as being an
14 indication versus having some language somewhere in the
15 EUA or factsheet that allows for heterologous boost.
16 And I think from a public health perspective, we --

17 **DR. ARNOLD MONTO:** In other words, it doesn't
18 have to be specific.

19 **CAPT. AMANDA COHN:** Yeah, so I don't think
20 that it needs to be that you can -- I think that if
21 there was some general language that would -- I don't

1 think there's any sort of need from a public health
2 perspective to have a preference for mixing or
3 matching, but I think that, from a public health
4 perspective, there's a clear need in some situations
5 for individuals to receive a different vaccine.

6 For example, J&J doses, while for those 14
7 million people who have been vaccinated, many of those
8 individuals may not have access to a second dose of
9 J&J. So, if there's not any allowable language in the
10 FDA factsheets or EUA authorization, then those
11 individuals are left behind. Additionally, the same
12 goes for if an individual is a female who's 30 years of
13 age, who may feel like she's at risk now for a reaction
14 after she received her first dose of J&J before the TTS
15 was recognized. So that would allow, for example, for
16 that woman to get a different type of vaccine.

17 And, to the contrary, it allows, for example,
18 in nursing homes, where most residents received mRNA
19 vaccines, it would allow a pharmacy to go into a
20 nursing home and only have a single vaccine product to
21 boost individuals who receive either Moderna or Pfizer,

1 either of the mRNA vaccines or the J&J vaccine.

2 So, I think from a public health
3 implementation perspective, given the setting of this
4 pandemic, it would be really important to have some
5 allowable language. And I think the safety data that
6 has been presented today is very supportive, especially
7 in light of the culmination of the millions of doses of
8 these products that we've seen given and the safety
9 evidence from all of those vaccines.

10 **DR. ARNOLD MONTO:** Thank you, very helpful.
11 Dr. Lee.

12 **DR. JEANNETTE LEE:** So, I want to make sure
13 that we don't confuse the public even more than we are
14 already. So, we have approved both the boosters for
15 the two mRNA products, for ages 65 and up, and then
16 other categories of individuals, who are below that,
17 either at high risk either through on health issues or
18 through occupational exposure. Now, in the J&J
19 vaccine, we have approved it for all of those who got
20 it 18 and above, so that's a much broader group.

21 Now we're going to throw in another piece, and

1 that will be that you could get a different vaccine.
2 And I do know, whether rightly or wrongly, I think
3 there is a perception in the general public that the
4 J&J one dose is perhaps not as effective as the mRNA.
5 And, so, now you've sort of set up a possibility of
6 sort of mixing, matching, and then different groups
7 being eligible.

8 And I guess my question is about, when that
9 might be implemented, some people may want to wait
10 until they can get an mRNA. But what we're saying
11 though, if you're between 18 and 65 and not in those
12 categories, if you got J&J, yes, you can get an mRNA
13 booster. But, if you got the mRNA to begin with, and
14 you don't fall in those special categories, no, you
15 can't get that, or you're not approved for that. So, I
16 just want to point out that this is going to be very,
17 very messy in terms of the messaging. And I don't
18 offer suggestions, but I'm just making an observation.
19 Thank you.

20 **DR. ARNOLD MONTA:** Dr. Lee, I agree with you
21 completely about the age issue. I'm really concerned

1 about the fact that we can only vaccinate with boosters
2 down to 65 years of age when we know that others,
3 especially with a Pfizer/BioNTech, are waning according
4 to data we have. And, if we have any time at the end
5 of this, we might try to revisit that in terms of
6 enabling language. Dr. Chatterjee.

7 **DR. ARCHANA CHATTERJEE:** Thank you, Dr. Monto.
8 I just wanted to make a few remarks with the discussion
9 that's happening right now. I think the data that were
10 presented by Dr. Lyke help us to get what I call a
11 proof of concept, which is that heterologous boost does
12 work, and, in some cases, works better than boosting
13 with the homologous vaccine. So that's the first
14 thing.

15 You know, the dogma has always been, for other
16 vaccines, you always try to boost with what you've
17 primed with. But, in this instance, that seems to be
18 different.

19 Dr. Cohn comment about people with allergies,
20 I think that that is a very important one that if
21 someone is allergic to one of these vaccines, they have

1 the opportunity then to get a booster dose with a
2 different vaccine, to which hopefully they would not be
3 allergic.

4 With regards to Dr. Marks's comments about
5 what else would we like to see? I have a few ideas.
6 The first is, these are data primarily in adults and
7 certainly, I'd like to see what happens in children
8 with regard to heterologous boosting.

9 The second thing is the longevity of this
10 boosted antibody response. I'm sure that these folks
11 are going to be followed longer term to see how long
12 these antibodies last.

13 A third area that I think deserves attention
14 is underrepresented minorities. There are very few
15 people who are actually included. As a percentage
16 maybe, but, if you look at the absolute numbers, those
17 are very, very small in each of the different groups.
18 And I'd encouraged the folks who are conducting these
19 studies to actually expand that if possible.

20 And then the last point I would like to make
21 is about cellular immunity. The point been made before

1 that we are only looking at antibodies' responses,
2 which is easy to measure and easy to look at, but it
3 would be I think critically important to see what
4 happens to the cellular immunity as well as we try to
5 do this heterologous boosting. Thank you.

6 **DR. ARNOLD MONTO:** Thank you. Dr. Sawyer.

7 **DR. MARK SAWYER:** Thanks, Dr. Monto. So, to
8 Dr. Mark's question what else do we need? I'm sold
9 already, and that's because I agree completely with Dr.
10 Cohn's comment that we need flexibility and improved
11 access for everybody, which the flexibility of being
12 able to mix and match will allow. I think all of these
13 extra data points that can be collected going forward
14 are going to be important, but I think the sooner we
15 let this happen in the most straightforward way the
16 better off we are.

17 Obviously, it's already happening. We just
18 are tracking it indirectly through the VAERS reporting
19 and/or the VSD, but this way I think it's going to
20 improve overall access. So I'm in favor of getting
21 this -- whatever is required from the FDA perspective

1 to allow broader use of the mixing and matching
2 strategy.

3 **DR. ARNOLD MONTO:** Dr. Pergam.

4 **DR. STEVEN PERGAM:** Thanks, Arnold. It's
5 really interesting. I think we're in a situation where
6 we just approved a booster for J&J, and we have data
7 that suggest that the mRNA vaccine boost -- at least
8 according to antibody responses and to Mike Kurilla's
9 point -- we don't understand the T cell immunity piece
10 which is coming. It looks better.

11 So, I think this is a challenge for people out
12 in public to sort of sort this out and to make
13 decisions about what they're going to do. And I know
14 we're hearing this from our perspective that we have to
15 be thoughtful about it.

16 I think, to Dr. Cohn's issue that a little bit
17 of flexibility would be helpful, but I think the FDA is
18 going to have to be more specific about which
19 particular groups would be eligible to do mix and
20 match. That maybe it needs to be people with a known
21 or abnormal response to a primary vaccine dose, or

1 something more specific, but there needs to be some
2 flexibility.

3 I think the way that they've worded it with
4 the immunosuppressed population was helpful in the
5 sense that, if you couldn't get the primary dose series
6 that you had, if you had Pfizer as an example, you were
7 allowed to get Moderna as a second dose. Are there
8 ways to sort of couch that language to get a little bit
9 of flexibility around that? Because I think right now
10 state health departments and others are being very to
11 the letter of the law not allowing a booster dose with
12 any other version.

13 So, I'm leaning towards being more permissive
14 to some of these, but I think we really have to think
15 about not making it so that they regard that everyone
16 who gets Johnson and Johnson is going to go get an mRNA
17 vaccine without all of the data in place.

18 **DR. ARNOLD MONTA:** Dr. Marks, would you like
19 to reply to that, or shall we park this and go with
20 questions later for you?

21 **DR. PETER MARKS:** I appreciate the perspective

1 here, and there are clearly challenges here and I think
2 we'll have to take these back and think about them.
3 But if I could just summarize at least a little of what
4 I heard here is it does seem like there's, again, some
5 consensus that this is an important option for people
6 to have. Some would like a little more data. Some
7 feel like this is enough data. And certainly, whatever
8 we did we would be looking to collect more data in the
9 real world.

10 But there are some challenges associated with
11 it. I think Dr. Kurilla really made clear, and I think
12 rightly so, that we don't know from these short-term
13 studies what's the longer-term effect of mix and match
14 will be, and we just don't have those data. But I
15 think to the extent that I think the Committee here has
16 provided us with some food for thought. I think we got
17 what we needed from this discussion.

18 **DR. ARNOLD MONTTO:** And we have a number of
19 other people who want to tell you more.

20 **DR. PETER MARKS:** Happy if they'd like to.

21 **DR. ARNOLD MONTTO:** All right. Dr. Gans.

1 **DR. HAYLEY GANS:** Thank you. I just want to
2 make sure, I mean, I know pieces have been said, and
3 it's always so wonderful to hear the thoughtful
4 conversation that comes out of this. And I think one
5 thing that I would say the reason why we're often
6 getting a lot of feedback from the public about
7 confusion and this was said, that was said, is that we
8 like to have a very robust debate so that we make sure
9 that pieces of this are picked up for future study as
10 Dr. Marks has said. This is a real-life event that
11 we're learning as we go.

12 What I really would like to iterate is that
13 previously many of us had concerns about the word
14 "boost" for the previous vote. And, if we got rid of
15 that that would actually solve a lot of the confusion
16 that Dr. Lee was talking about. Because we did have a
17 boost for certain populations, and people already had
18 what we thought was a primary series. And now we
19 argued earlier that the primary series for the Janssen
20 vaccination should be two doses. And so, that's really
21 not considered a boost, so it's more allowable. And

1 people who had gotten that of all ages can get that.
2 So I think if we clean a lot of that language up, it
3 actually won't be confusing.

4 I also just really need to iterate that,
5 because of the way that the EUA is and it's so
6 restrictive and other bodies can't make necessarily the
7 recommendations, I think it's really important for us
8 to think about how we allow people who have gotten what
9 they've gotten to take advantage of the data in real
10 time. We keep asking for real-time data. We get real-
11 time data then we say we need more. So, I would urge
12 the FDA to really allow us, or whomever, the language
13 in more rapid fashion than waiting. I (audio skip)
14 been a definite (audio skip) all challenging, but I
15 think we can (audio skip).

16 **DR. ARNOLD MONTO:** Thank you Dr. Gans. The
17 problem is we're not going to get away from the fact
18 that the primary series for two of the vaccines that
19 were approved is two doses, and the primary series for
20 the other is one dose. And that's what you get in
21 trouble with just looking at the results from the Mix

1 and Match Study. Dr. Annunziato.

2 **DR. PAULA ANNUNZIATO:** Thank you, Dr. Monto.

3 So, this has been a really interesting discussion, and

4 I really appreciate the data that was shown.

5 I just want to share from an industry

6 perspective, following up on what Dr. Cohn had said,

7 that it's quite typical in vaccine programs to provide

8 interchangeability data from studies to allow for

9 flexibility that's often required for a successful

10 vaccination program.

11 And, so, from my view, I think that

12 understanding that these heterologous boosts are not

13 detrimental or do not appear to be detrimental to

14 safety or immunogenicity can be used to allow that type

15 of flexible language that the FDA could work with

16 sponsors to incorporate into either labels or EUAs.

17 And, this would be useful, I think, from a real-world

18 perspective. Thank you.

19 **DR. ARNOLD MONTA:** Thank you, Dr. Annunziato.

20 Dr. Moore.

21 **DR. PATRICK MOORE:** Thanks, Dr. Monto. So one

1 thing that hasn't been raised, and I think is
2 important, is an advantage to the J&J vaccine what we
3 don't have for the other vaccines is that the data that
4 we have now is based on a very large global RCT that
5 has been followed out over time, shows really clear
6 durability of vaccine effectiveness, although it's
7 clearly not peaking at the same level as the mRNA
8 vaccines

9 So, the shorter-term studies in mixing
10 antigens aren't going to catch that unless you follow
11 people out for a longer period of time. In which case,
12 it may be that mixing with the J&J vaccine actually
13 gives you a very clear benefit of a long-duration
14 vaccine efficacy. That's just something to consider in
15 all of this.

16 **DR. ARNOLD MONTO:** Thank you. And I think
17 long-term follow-up is going to be key here in terms of
18 a number of elements, including those who get boosted
19 and those who don't get boosted, in terms of the value
20 of revaccination. Dr. Meissner.

21 **DR. CODY MEISSNER:** Thank you, Dr. Monto. I

1 just want to make a few points. First of all, in terms
2 of the heterologous boosting, as we've said, we don't
3 know what the correlate of immunity is. We're placing
4 a lot of emphasis on in vitro data in terms of
5 neutralizing antibodies.

6 And so I guess that one question I have is, do
7 we need some efficacy studies or some effectiveness
8 studies to really come to a conclusion on how
9 beneficial a heterologous boost would be? And
10 secondly, remember there are many COVID vaccines, and
11 so, if we're talking about a heterologous boost, I
12 mean, it would have to be very clear that we're talking
13 about the three vaccines that are authorized or
14 licensed here in the United States. And I just worry
15 that that could become a very confusing message for
16 people.

17 And I assume, and I guess this is for the FDA,
18 it certainly wouldn't be a preference for heterologous
19 boosting in contrast to homologous boosting because
20 that would make it so complicated for people who have
21 already completed the primary series and received a

1 boost. And I just wonder -- I think the wording as
2 it's been said will be so important because it could be
3 quite confusing for the general public.

4 **DR. ARNOLD MONTO:** Dr. Fuller.

5 **DR. OVETA FULLER:** Thank you, Dr. Monto. I
6 just want to remind all of us, and from my perspective
7 as a virologist who studied entry, that all three of
8 these approved at the moment vaccines are to the spike
9 protein of coronavirus. And there's certainly a
10 colleague here who studies coronavirus. But they may
11 not be as different as we might think. The platform is
12 different, but the antigen itself is the spike protein,
13 which is so key to the entry of coronavirus.

14 So for coronavirus (SARS-CoV-2), for the
15 public, that messaging coming from the FDA and CDC and
16 others may be useful to say that regardless of how you
17 get it, you're still getting immunity to a key molecule
18 or key protein that this virus uses. And, so, that may
19 be less confusing and allow the flexibility and access
20 that is so important to do the things that Dr. Cohn
21 mentioned at first.

1 So just a comment about that it's all the
2 spike protein, and that there may be subtle
3 differences, but because we've seen the studies on all
4 of them, and all of them have passed the safety and
5 efficacy, that they may not be that really different in
6 what they do to the immune system specifically. Just a
7 point on entry and virology.

8 **DR. ARNOLD MONTO:** Thank you. Dr. Wharton.
9 You're muted, Dr. Wharton.

10 **MR. MICHAEL KAWCZYNSKI:** All right, let's come
11 back to her.

12 **DR. ARNOLD MONTO:** Okay. Dr. Marks, you have
13 your hands raised. Oh, everybody's clearing
14 themselves. Dr. Levy.

15 **DR. OFER LEVY:** I wanted to add another
16 wrinkle to the conversation. We've heard from several
17 people, several Committee members, that it will be
18 confusing to the public if we now start to consider
19 authorizations for mixed or heterologous vaccines. And
20 on the other hand, you know, we have to follow the
21 science. We're still in a pandemic here, and, if

1 there's opportunity to offer benefit, that's our job.

2 And besides, many Americans are taking matters
3 into their own hands, and I'm reading in the media that
4 people are getting boosters or mixing different
5 products through their primary care providers or by not
6 revealing what they got before. And so, in the real
7 world, all these kinds of combinations or extra
8 boosters are already happening.

9 So, I think it's a matter of some urgency for
10 FDA to help sort out what is admittedly a complicated
11 and challenging scenario. But we can't hide from it,
12 and I do think we need to give guidance to the public.
13 So, that's my perspective. Thank you.

14 **DR. ARNOLD MONTA:** Right. And I couldn't
15 agree more. And I think that is one of the issues
16 about the age group for the boosters. Because people
17 are reading that there's waning of protection, and they
18 are getting boosters. Dr. Hildreth.

19 **DR. JAMES HILDRETH:** Thank you, Dr. Monta. I
20 have a comment to make that goes back to earlier in the
21 day, and I wish I'd said it earlier. But Dr. Marks has

1 gone on record to say that the FDA team has not fully
2 evaluated the data presented to them. And we voted to
3 approve this without them having done so.

4 So I think it's really, really important that
5 it be clarified in public on the record that they're
6 going to do so. And that if there are some challenges
7 that arise in that analysis that appropriate actions
8 will be taken. Because we have up to this point, as my
9 colleague just said, followed the science. I think
10 it's really important for the public to know that
11 that's going to happen in this case just like it's
12 happened in all the other cases.

13 There are numerous times when the FDA
14 presenters said that we've not validated this data.
15 That was confirmed by Dr. Marks, so I think it's
16 important for the public to know that that is going to
17 be done. And, if there are things that are challenging
18 that come up in that analysis, appropriate steps will
19 be taken. I just want to make that point. I think
20 it's really important.

21 **DR. PETER MARKS:** That point's well taken.

1 Just by way of full transparency, I think the one place
2 that may be challenging for us is to move timely on
3 that. I think point's very well taken about the
4 immunogenicity data we have for Janssen. The challenge
5 will be on their larger 30,000 patient trial where it's
6 very -- that could be quite slow going. And I hazard
7 to guess how long it could take us to get through that.

8 But you have our commitment that for the
9 trials that we're relying on for immunogenicity, the
10 data that we're using from Trial 3001, those are the
11 kinds of data that we can ensure with our usual rigor.

12 **DR. ARNOLD MONTO:** Dr. Nelson.

13 **DR. MICHAEL NELSON:** Thank you, Dr. Monto. I
14 appreciate it. I go to the FDA zone description for
15 emergency use authorization. "An emergency use
16 authorization is a mechanism to facilitate the
17 availability and use of medical countermeasures
18 including vaccines." The words "facilitate the
19 availability and use" I think is where I've centered my
20 discussions and votes over the last two days.

21 Is the data supportive enough for safety and

1 efficacy to allow and enable options for the care of
2 the patients in the U.S.? As of exactly six months ago
3 today, 76.7 million have been classified as fully
4 vaccinated. That's the number that's facing decisions
5 with respect to boosters as the data recommendations
6 emerge from both the FDA and the CDC.

7 In light of the discussions, I fully agree
8 that the data isn't fully mature or exactly a mandate
9 that we can get to the level of recommending these
10 boosters in a heterologous fashion, but I do believe
11 that we should be enablers in this respect and help
12 those in need by providing access to these vaccines
13 through the agent of an EUA. The bar for full approval
14 is certainly higher and I agree that either correlative
15 protection or actual clinical evidence and protection
16 is needed to get there, but I believe we have enough on
17 the table today to at least include some enabling
18 language in a EUA. Thank you.

19 **DR. ARNOLD MONTTO:** Thank you very much Dr.
20 Nelson. Dr. Wharton.

21 **DR. MELINDA WHARTON:** Thank you. I'd like to

1 reiterate how important it is from a programmatic
2 perspective to have a little bit of flexibility to deal
3 with these circumstances that do happen, like the
4 pharmacy coming into long-term care not having to bring
5 two mRNA vaccines -- two vaccines -- to population. Or
6 the people who don't really know what vaccine they got
7 or don't have their record.

8 So, I think we all understand why the EUA
9 process is as constrained as it is, but it's also
10 important if a little bit of flexibility can be
11 provided to address these programmatic circumstances
12 that happen, as well as individuals who may have
13 specific preferences for safety or other reasons to
14 receive a different vaccine than they received
15 initially, I think that will just be enormously
16 helpful.

17 **DR. ARNOLD MONTO:** Thank you very much. Dr.
18 Nelson, I'm going to ask you a question, since you
19 brought up the wording of the EUA. And that is in
20 terms of the cutoff at age 65 for the general
21 population except for those in special risk groups.

1 Because my concern is, again, that ACIP is restricted
2 in doing anything until they have an EUA. And is there
3 a way in your mind to get a little more flexibility
4 about going down in age should we see dramatically a
5 more breakthrough -- we know we hate that word --
6 infections in let's say a population down to age 50 or
7 down to age 40?

8 **DR. MICHAEL NELSON:** Dr. Monto, that's an
9 excellent question. And my thinking on this has
10 evolved. I think the original stating of the question
11 to us was, does the data, or evidence, support the need
12 for those broader populations? And I still am of the
13 thinking that it isn't quite there yet.

14 I am in favor of expanding options for
15 providers and patients in risk-intolerant individuals
16 who may venture or have the need to seek those
17 additional dosages in that age group under 65, with
18 appropriate education with respect to adverse effects
19 and risks associated with those decisions.

20 I could definitely echo the concerns of
21 everybody that this is getting ultimately extremely

1 confusing with respect to what patients are confronted
2 with, with decision making. And there is a need to be
3 clear with respect to full recommendations and options.
4 But the ACIP and CDC and I think in collection with the
5 FDA and other experts around the country can get to
6 that endpoint by including more inclusive language.
7 Thank you.

8 **DR. ARNOLD MONTO:** Dr. Marks, do you have any
9 comments about this, about how we can get a little more
10 flexibility so we don't have to meet and discuss every
11 time we want to go down in age group as the Israeli
12 data, for example, about the Pfizer/BioNTech vaccine
13 becomes more obvious in the United States, which I
14 think it will?

15 **DR. PETER MARKS:** Thanks, Dr. Monto. And I
16 maybe chalk this up to a novice mistake on my part. I
17 think when we tried to be very flexible for the
18 Committee yesterday and the question, we might have
19 done better to have been more specific and said, based
20 on the -- I think for the Pfizer/BioNTech data, the
21 data we saw from Israel, which, granted, Israel is not

1 the same as the U.S., but there were characteristics of
2 safety that we like to believe probably carry over, and
3 the waning of protection for that particular vaccine
4 may.

5 And the question would be -- you know, I
6 think, below the age of 40, I think, the data are not
7 there. The question is from -- it does -- they did
8 present at least what seemed, again, just (inaudible)
9 as data that seemed compelling in the 40 and up age
10 range. So the question is, does the Committee feel
11 like, if we were to make a recommendation in our EUA
12 for 40, then actually that lets CDC decide if they
13 would like to come and use -- they can keep it at 65.
14 They can come down to 50. They can come down to 40.

15 Now what we would do is, if we did that, we
16 would still keep in the distinction for 18 to 40 then,
17 for the risk group, that would stay the same. We'd
18 tweak the language as suggested by some, but we would
19 bring the general population age down, if the sense of
20 the Committee was that made sense.

21 **DR. ARNOLD MONTO:** This is the sense of the

1 Committee; this is not with a vote in other words.

2 **DR. PETER MARKS:** Correct. If the Committee
3 would like to vote, I suppose we could huddle and get
4 that together. And I'd be happy to (inaudible) that
5 sense.

6 **DR. ARNOLD MONTO:** No, we don't want to do
7 that one.

8 **DR. PETER MARKS:** Yeah, the consensus of the
9 Committee.

10 **DR. ARNOLD MONTO:** Because people will start
11 counting who votes no.

12 **DR. PETER MARKS:** No, I did hear several
13 Committee members -- I actually heard -- when I went
14 back through my notes from yesterday, there were
15 several Committee members who made very compelling
16 statements about -- their concerns were around the
17 issue of risk/benefit in somebody who is 30 or less and
18 male. And I think those were very reasonable concerns.

19 I think the idea of a cut point of 40, the
20 incidents of myocarditis really below the age of 40 is
21 not a major concern in males. And, the question would

1 be then, is the Committee -- there was also the issue
2 of people who were below 65 who might have
3 comorbidities that put them -- maybe they weren't, you
4 know, quite in one of the risk categories but still
5 might benefit. So, I would ask the Committee just to
6 comment on that and their comfort level.

7 I just want to also thank the Committee
8 because I think the discussion that was just had on
9 boosters was remarkably helpful for us at FDA, but I
10 think also for the public to see a very complicated
11 concept that was presented very well by the presenter
12 and then really discussed elegantly by all the
13 Committee members. So, thank you.

14 **DR. ARNOLD MONTO:** And, in terms of the age
15 groups, we know that risk differed within the age
16 group, let's say, 40 or 50 and older, including
17 minority groups and people who are living in
18 disadvantaged settings, which really don't fit into
19 some of the recommendations that we have right now.
20 Dr. Gans.

21 **DR. HAYLEY GANS:** Hi, I'm hoping you can hear

1 me better. I hear from Twitter that I'm not heard very
2 well.

3 **DR. ARNOLD MONTO:** Here, we hear you loud and
4 clear.

5 **DR. HAYLEY GANS:** All right, perfect. I know
6 you do. Anyway, I really appreciate, Dr. Marks, the
7 opportunity to think about that because, since the
8 September meeting, I think several of us have felt that
9 there should be further consideration to allowing
10 individuals, again, down to the -- I think I was the
11 first one to say 50-whatever, 40 sounds reasonable
12 because of the myocarditis -- the opportunity to be
13 further protected by a booster. So we're seeing more
14 and more evidence of without correlative protection --
15 and we just have to sort of think about that and we got
16 to leave that -- but without correlative protection we
17 are seeing the correlates that we're using, and that we
18 use a lot in other vaccines as well, waning. And so I
19 do think that's very important, and I appreciate it.
20 And I would like to put forth my thoughts that I think
21 that that's a very important way in which we can help

1 individuals at this point in the pandemic that we've
2 reached.

3 **DR. ARNOLD MONTO:** Dr. Rubin.

4 **DR. ERIC RUBIN:** I think I'm very supportive
5 of the way that Dr. Marks formulated what he said just
6 now. In fact, we're worried about risk and benefit.
7 We're not really worried about a flat-out no for one
8 group or another. And if, for example, things were to
9 change on the ground and it was more important for
10 younger people to get it, I'm very in favor of allowing
11 the flexibility that FDA allow the flexibility for at
12 least for ACIP to make a recommendation about that.

13 So, I think that as new data are coming in --
14 remember last time around, we saw the Israeli data from
15 age 60 and up, and now we're seeing 40 and up. And
16 we're getting a much better idea of risk. So, I think
17 it's a very good idea to get some leeway.

18 **DR. ARNOLD MONTO:** Dr. Kurilla.

19 **DR. MICHAEL KURILLA:** Thank you, Arnold.
20 Yeah, I guess one question I would ask Dr. Marks is, I
21 think part of the area of confusion, one aspect of the

1 confusion, is that when we say immunity is waning, what
2 are the implication of that? Because I think there is,
3 at least in the general public and actually quite a bit
4 in the medical and public health community, that there
5 is an assumption that (audio skip).

6 **MR. MICHAEL KAWCZYNSKI:** All right, go ahead,
7 you're back connected. Take it away.

8 **DR. MICHAEL KURILLA:** Yeah, so I think when we
9 talk about, when we say "waning immunity," I think in
10 many people's mind, particularly the public, but I
11 think in general also with many in healthcare and
12 public health community that an increase in infections
13 is obviously going to lead to an increase in
14 symptomatic infections is going to lead to an increase
15 in severe infections and hospitalizations and deaths.

16 And what we're seeing actually is not that.
17 There is a divergence, and that is we may be getting --
18 many people may be suffering breakthrough infections,
19 but the protection from severe disease is still holding
20 up quite well for all of the vaccines. Now, that
21 doesn't mean they'll hold on forever. We still have to

1 evaluate durability, but I think it's important to ask,
2 when the concern is for waning immunity, what exactly
3 are we trying to target by trying to increase the
4 flexibility and increase the availability of vaccines
5 for the population?

6 If we're trying to drive to zero COVID, I
7 think that's not going to work. So, I think we just
8 need to be a little bit more careful and deliberate in
9 terms of what impact are we actually trying to create
10 here.

11 **DR. ARNOLD MONTO:** Dr. Marks, would you care
12 to comment from the Israeli data about holding up
13 against severe disease because, from what I understand,
14 it's starting to wane against severe disease as well.
15 I know hospitalizations have gone up in the vaccinated.

16 **DR. PETER MARKS:** Dr. Monto, that's correct.
17 And actually, there are data that have been -- actually
18 some was submitted to the docket. There are data that
19 are coming from various sources, kind of one's a grass-
20 roots data collection, of breakthrough infections in
21 healthcare providers and others that are younger than

1 age 60 that have ended up hospitalized or with what
2 would qualify as severe COVID.

3 Now we have not obviously -- those are not
4 FDA-reviewed data, but, on an anecdotal basis, I think
5 it makes us realize that we're concerned that what was
6 seen in Israel could be seen here. And I think going
7 back to what Dr. Rubin said, I think we want to prevent
8 severe -- we don't want to have a wave of severe COVID-
9 19 before we deploy boosters. I think we want to, when
10 we see waning start, to prevent that from happening. I
11 agree with you though; we're not looking here to stop
12 every last case of COVID. I think Dr. Offit said that
13 more elegantly than I could previously.

14 So, I think there is a balance here, and,
15 again, going back to what Dr. Rubin said, in this
16 particular case, it's a risk/benefit issue. And I
17 think, if we're not seeing severe COVID-19 in the
18 younger population yet, so benefit/risk there, so we
19 don't go down below age 40 especially because there we
20 know there's a myocarditis risk in males that might be
21 more of an issue.

1 So, I think the flexibility is helpful. I was
2 at a meeting this morning with WHO, and I think the
3 word of the day was "agility." Agility has been
4 probably one of the most important things to have in
5 this pandemic, and that's what I think we just want to
6 have here.

7 **DR. MICHAEL KURILLA:** Yeah, and my only point,
8 Peter, is that I think we need to be clear. When we
9 say "waning immunity" and we need to do something about
10 that, I think we need to be clear what we're really
11 targeting in terms of the clinical impact we expect to
12 have.

13 **DR. PETER MARKS:** Point taken. So I think
14 we're starting to see the appearance of cases, yep.

15 **DR. ARNOLD MONTO:** Okay, Dr. Pergam.

16 **DR. STEVEN PERGAM:** Thanks, Arnold. I want to
17 come back to something that Peter Marks said at the
18 beginning. That there is this -- although we can't
19 prevent every infection with boosters and I think
20 that's really key, we need to sort of get away from
21 this idea that a booster is going to prevent every

1 single infection.

2 The idea that we can prevent additional
3 infections in some of those does provide some value in
4 the sense that COVID does have tremendous downstream
5 effects even for those who are not hospitalized. And,
6 so, I think whenever we can prevent significant
7 morbidity in a population, there're advantages to that.

8 And I think, if we are starting to see this
9 concern in these groups, which many of us have seen
10 bits and pieces of this data and certainly the Israeli
11 data suggest this, I'd really be in the camp that would
12 definitely be moving towards a lower age range for
13 allowing boosters, partially for that reason. And,
14 because we know that hospitalizations and deaths are
15 going to lag, what we're going to see is primary
16 infections first and then those later. And we don't
17 want to be in a situation as we're coming into the
18 winter with additional people coming into the hospital
19 because of changes.

20 So, I'm very supportive of this. In fact, I
21 think at the last meeting we talked about Pfizer; I was

1 supportive of going down to a lower age range.

2 **DR. ARNOLD MONTO:** Dr. Rubin. I believe you
3 may have the last word. No, Dr. Levy wants to come
4 back again, so you go next, Dr. Rubin.

5 **DR. ERIC RUBIN:** You have the last word
6 because I left my hand up. Sorry.

7 **DR. ARNOLD MONTO:** Oh, okay. Dr. Levy.

8 **DR. OFER LEVY:** This is a dynamic pandemic.
9 We don't know what the winter will bring. What the
10 dynamic of spread will be. What variants may emerge,
11 and also what new research will come forward in terms
12 of the impact of the pandemic on those younger age
13 groups, including potentially long-COVID and how that
14 might play out in young individuals and even children.

15 So I think we need to keep an open mind. Also
16 keep open mind about the fact that if we can reach herd
17 immunity, then there are direct and indirect benefits
18 of the booster potentially, and the Israeli data spoke
19 to that. It appeared from the Israeli data yesterday
20 to my eye that they may have seen something along the
21 lines of herd immunity as they rolled out their booster

1 campaign.

2 So this is a complex topic, and I think we
3 need to follow the data and keep an open mind. And I'm
4 generally supportive of coming down in age on the
5 boosters. And I look forward to those conversations.
6 Thank you.

7 **DR. ARNOLD MONTO:** Dr. Perlman.

8 **DR. STANLEY PERLMAN:** Yeah, I just wanted to
9 say that, in general, I wasn't a fan of reducing the
10 cutoff to a lower age because I think the severe
11 disease isn't terribly great in that population. But,
12 hearing all of these arguments I would support that now
13 more.

14 I think the thing I really want to say is I
15 hope we can present this in a way that it's not
16 confusing for the public because it's already con- --
17 what we do is we follow the science. We listen to what
18 we see, but the people who aren't doing this, they
19 think that the rules are changing all the time. So I
20 just hope we can do this in a way that it doesn't look
21 like we're changing the rules all the time.

1 **DR. ARNOLD MONTO:** Thank you, Dr. Perlman.

2 And now finally the last word for Dr. Cohn.

3 **CAPT. AMANDA COHN:** Thanks. I will let all of
4 those comments stand as they were excellent comments.
5 But I just want to leave the committee with the
6 reminder that already 60 percent of adults, aged 18 to
7 64, do fall into one of those two categories. So, you
8 could argue either way on that.

9 One, we have access and availability to a
10 large portion of that group who have the option of
11 getting vaccinated. But you could also argue that
12 there's a small portion, so 40 percent, of U.S. adults
13 aren't included in that.

14 And, so, those two bullet points on high-risk
15 conditions and occupational risk are very complicated
16 and already encompass a huge portion of the U.S.
17 population.

18 **DR. ARNOLD MONTO:** Dr. Cohn, as somebody who
19 experienced the dropping of ages for influenza vaccine
20 for just the reason of trying to avoid confusion about
21 whether you go into a risk category or not, that's one

1 of the reasons why I'm a very strong advocate of doing
2 something that's understandable and age based.

3 Okay, this draws our lengthy meeting, going on
4 for two days, to an end. I think we have been very
5 successful in voting for two products recommending that
6 they get emergency use authorization and made some
7 important points in terms of discussion.

8 This concludes the meeting. And I would like
9 to hand this over to Dr. Marks. You will have the
10 honor of closing the meeting, please.

11

12

MEETING ADJOURNMENT

13

14 **DR. PETER MARKS:** No, no, no. I'll hand it
15 over to Dr. Atreya in a moment. And I promise I'm not
16 going to ask any more questions to the Committee. I
17 just really want to sincerely thank all the members of
18 the Committee because I really feel like every member
19 of the Committee spoke up. And we really got a lot of
20 very good feedback.

21

We have a lot to digest on our end, but I

1 greatly appreciate this. And I also really greatly
2 appreciate the dialog that I think has been wonderful
3 in a public venue. So, thank you all so much.

4 I also need to thank a number of individuals.
5 The staff from FDA worked tirelessly to go through a
6 tremendous amount of information to try to verify as
7 much of it as they possibly could before this meeting
8 and incredibly grateful to that. And also very
9 grateful to our ACom staff, the Advisory Committee
10 staff, who really put on an incredibly technically
11 flawless meeting over the past two days. So, yes,
12 there are always little glitches, but, given that we're
13 all in separate locations, it was quite remarkable. So
14 thank you so much and thank you to all of you.

15 And now I'll turn it over to Dr. Atreya.
16 Thank you, Dr. Monto, as well. Thank you for a
17 wonderful -- chairing this meeting, thanks. Dr.
18 Atreya?

19 **DR. ATREYA PRABHAKARA:** Thank you, Dr. Marks
20 and Dr. Monto, for the wonderful meeting. And we
21 appreciate everything you do. And so, with these

1 remarks, the meeting is adjourned formally now 3:28

2 p.m.

3

4

[MEETING ADJOURNED]