



Poziotinib for NSCLC Harboring HER2 Exon 20 Insertion Mutations

Spectrum Pharmaceuticals

Oncologic Drugs Advisory Committee

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Poziotinib Introduction

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Pozotinib: Oral, Irreversible Tyrosine Kinase Inhibitor (TKI)

- Patients with non-small cell lung cancer (NSCLC) harboring HER2 exon 20 insertion mutations need effective and safe therapy
- In these patients, Pozotinib is
 - Clinically effective
 - Safe
- Currently no approved oral treatment options

FDA Granted Fast Track Designation for Poziotinib in Proposed Indication

For the treatment of patients with previously treated locally advanced or metastatic non-small cell lung cancer (NSCLC) harboring HER2 exon 20 insertion mutations

Overall Clinical Development Program

- Greater than 1,336 patients and 82 healthy volunteers
- 22 studies
 - Extensive dose evaluation conducted in 7 studies
 - Exploration of doses from 0.5 mg – 32 mg QD
 - Continuous vs intermittent dosing
 - 1 positive pivotal study
 - 2 supportive studies
- Expanded access program

Efficacy in NSCLC Patients Harboring HER2 Exon 20 Insertion Mutations Receiving Poziotinib 16 mg QD

	Second Line			First Line
	Pivotal Study	Supportive Data	Supportive Data	Additional Data
	Study 202 Cohort 2	Study 202 Cohort 5	MDACC Study ¹	Study 202 Cohort 4
N	90	10	27	47
ORR	28%	40%	26%	45%

Poziotinib Meets Criteria for Accelerated Approval

Qualifying Criteria	Poziotinib Fulfills Criteria
Treats serious condition	NSCLC HER2 exon 20 insertion mutation recognized as a rare, life-threatening disease ¹
Provides meaningful advantage over available therapies	ORR of 28% exceeding available therapies
Surrogate endpoint likely to predict clinical benefit	Demonstrated evidence of efficacy with protocol defined endpoint of ORR, an intermediate clinical endpoint that is reasonably likely to predict clinical long-term benefit (improved survival)
Post-approval trial required to confirm benefit	Confirmatory study, Study 301, currently underway to confirm clinical benefit in patients with NSCLC harboring HER2 exon 20 insertion mutations

Key Points for Discussion

Sponsor Position

Efficacy

- Unmet need for HER2 Exon 20 NSCLC remains
- Poziotinib ORR of 28% is higher than available agents in second line for NSCLC
- Met primary efficacy endpoint

Safety

- High rates of Grade 3/4 diarrhea, rash; low permanent discontinuation
- Oncologists are medically experienced in handling TKI AEs
- Low 0.8% fatal pneumonitis

Dose optimization

- Extensively studied
- 7 studies with 404 patients ranging from 0.5 to 32 mg daily
- 16 mg QD met primary endpoint, tolerated with allowed dose modification

Confirmatory trial

- Confirmatory study underway at 8 mg BID as agreed with FDA
- Sponsor willing to consider protocol amendment
- Futility analysis within 2 years

Agenda

Unmet Need and MOA

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Thoracic/Head and Neck Medical Oncology
The University of Texas MD Anderson Cancer Center

Efficacy

Gajanan Bhat, PhD

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Safety

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City of Hope

Unmet Need and Mechanism of Action

John Heymach, MD, PhD

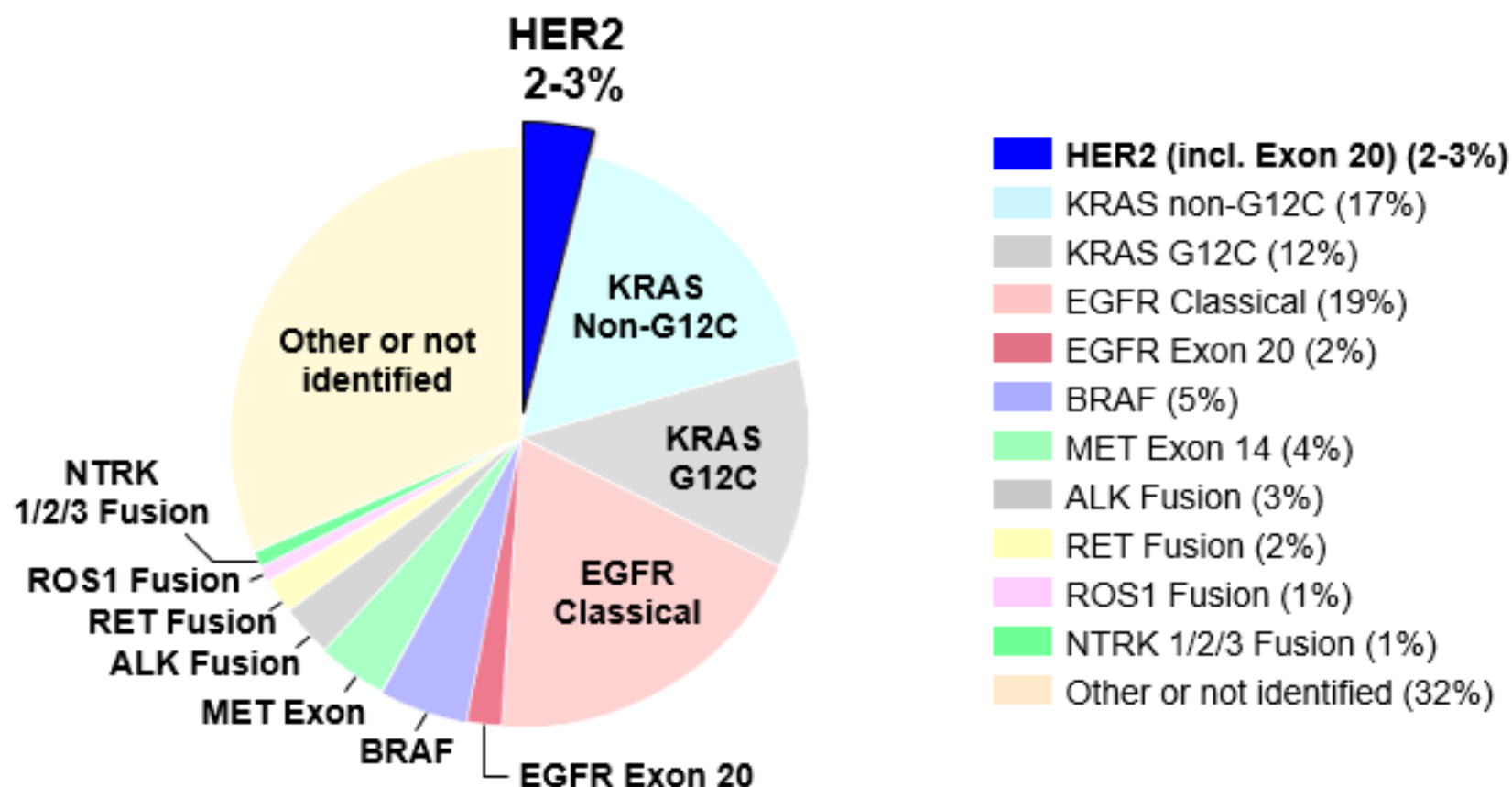
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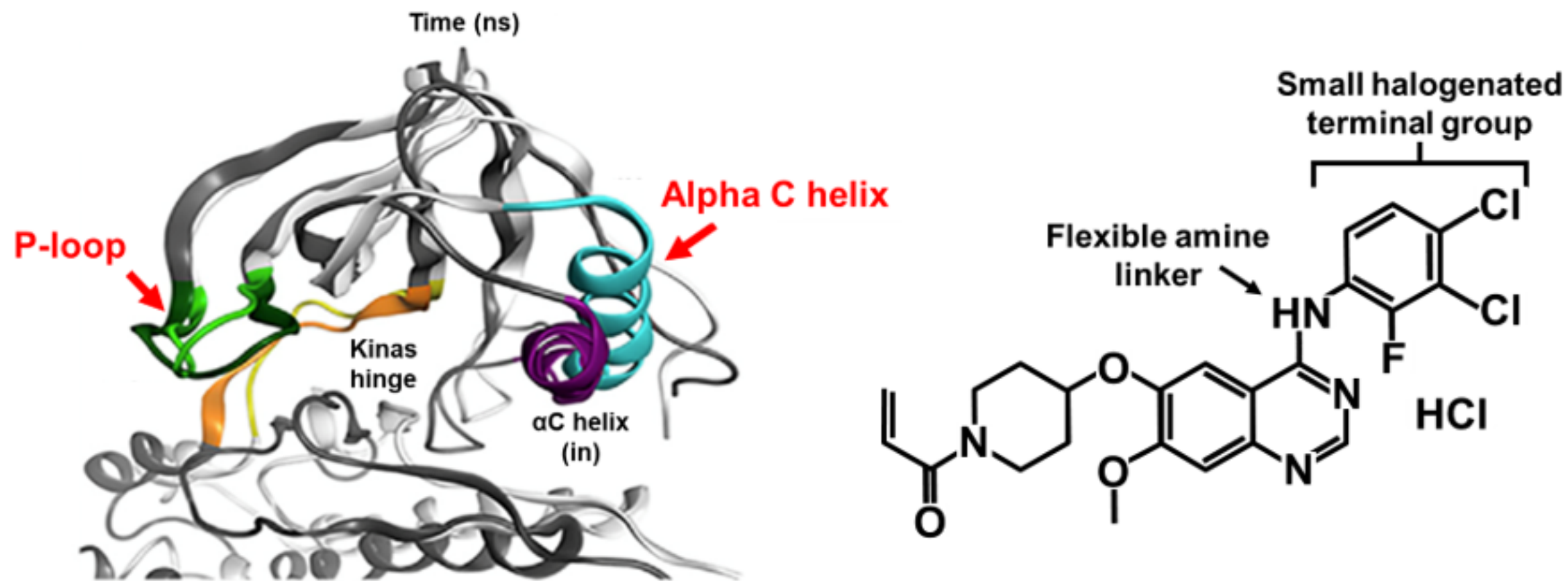


HER2 Exon 20 Insertions Are Targetable Oncogenic Drivers and Therapeutic Targets in NSCLC

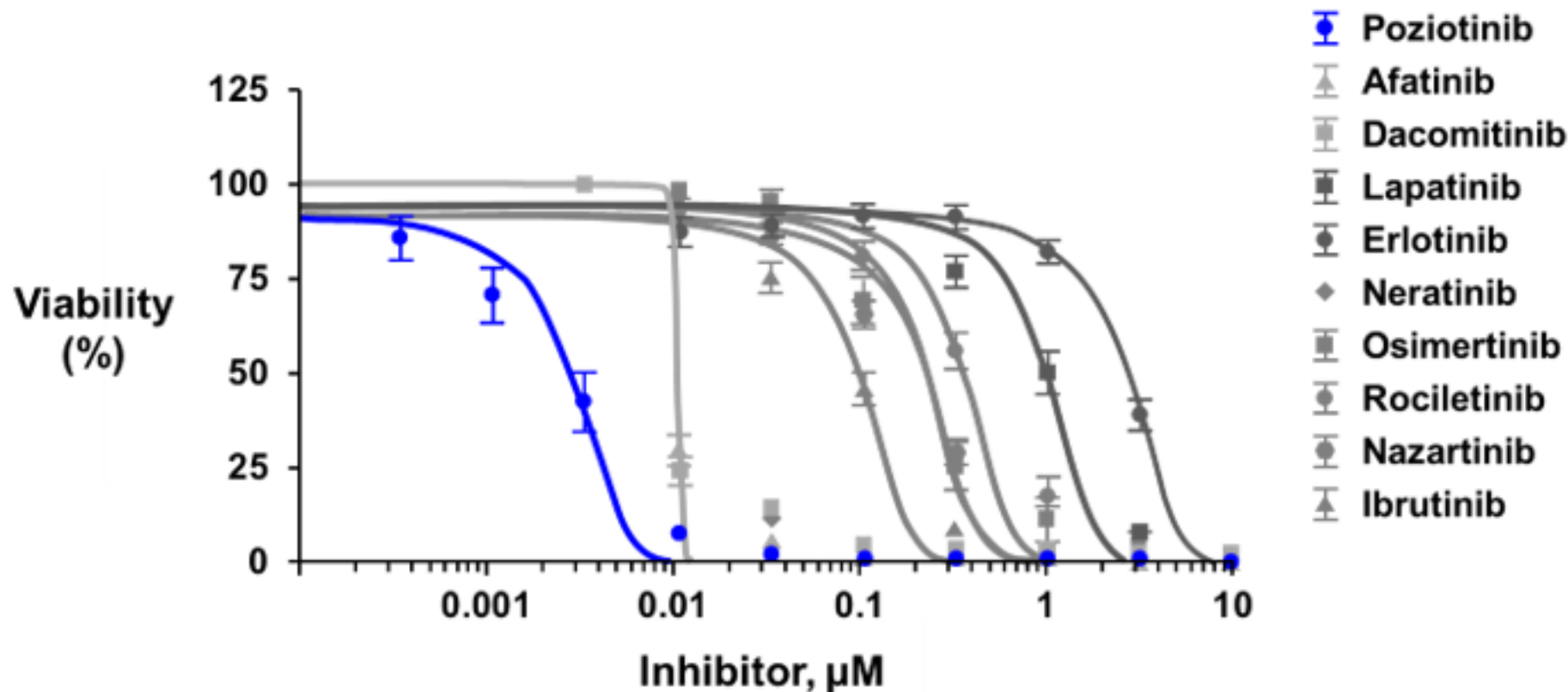


- Majority of HER2 mutations (up to 86%) are Exon 20 insertions

Unique Pozotinib Structure Overcomes Steric Hindrance Induced by HER2 Exon 20 Insertions



Challenges in Inhibiting HER2 Exon 20 Insertions



Available TKIs Demonstrate Minimal Efficacy in Target Population

Drug	Population	N	ORR (%)	PFS (months)
Neratinib ¹	HER2 Exon 20 ins	17	0	2.9
Neratinib ²	HER2 Exon 20 ins	26	3.8	5.5
Afatinib ³	HER2 Exon 20 ins	13	7.7	3.9
Dacomitinib ⁴	HER2 Exon 20 ins	26	12	3.0
Afatinib ⁵	HER2 mutation	18	0	2.8
Afatinib ⁶	HER2 mutation	27	13%	NR

Limited Efficacy of Chemotherapy for 2L NSCLC

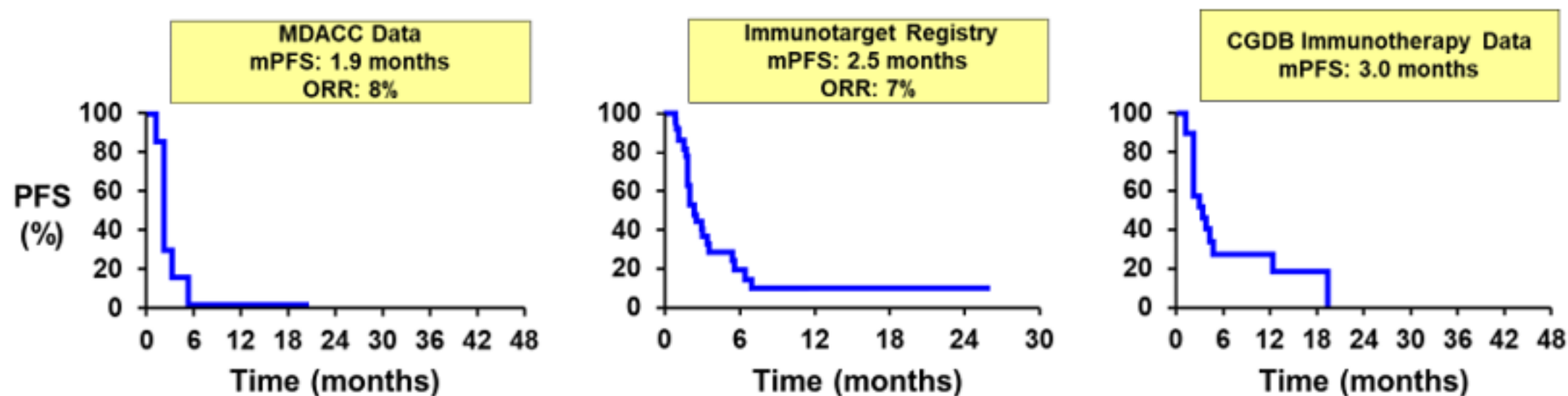
Drug	Study	N	ORR (%)	PFS (months)
Single agent chemotherapy				
Docetaxel used as comparator for RP3 studies	TAX197; TAX320 ¹	104; 248	6%	2 – 3 ²
Pemetrexed (if not used 1L)	JMEI ¹	571	9%	2.9
Combination chemotherapy				
Docetaxel plus ramucirumab	REVEL ¹	628	23%	4.5

Trastuzumab deruxtecan – not considered available therapy from a regulatory standpoint as it is approved under provisions of accelerated approval (Table 1, page 12, FDA briefing document)

Docetaxel is the most commonly used treatment for 2L HER2 NSCLC and used as standard comparator in randomized controlled trials for 2L NSCLC

CPIs+Platinum Doublets are Standard 1L Treatment and CPIs are Not Effective as 2L Monotherapy for HER2m NSCLC

- 1L standard: doublet chemotherapy plus CPI (pembrolizumab, atezolizumab / bevacizumab, or ipilimumab / nivolumab)
- Like EGFR or ALK mutant tumors, HER2m NSCLC typically has low PD-L1 and TMB and is poorly responsive to CPI
- As 2L monotherapy, mPFS ranged from 1.9 - 3 months and ORR 7-8% in three large independent datasets



CPIs for 2L NSCLC: Limited Efficacy in HER2 Mutant Subgroup

Treatment	ORR (%)	mPFS (months)
Overall platinum refractory NSCLC¹		
Nivolumab (Squamous NSCLC) ²	20%	3.5 months
Nivolumab (Non-Squamous NSCLC) ²	19%	2.3 months
Pembrolizumab ²	19%	4.0 months
Atezolizumab ²	14%	2.8 months
HER2 mutant NSCLC, PD-(L)1		
MDACC ³	8%	1.9 months
CPI monotherapy ⁴	7%	2.5 months
CGDB Immunotherapy ³	-	3.0 months

Distinct Mechanisms of HER2 TKI and ADC Offer Treatment Options

	HER2 TKIs	HER2 ADCs
Route of Administration	Oral daily	IV every 3 weeks
Mechanism of Action	Inhibition of kinase signaling	Targeted delivery of chemo payload
Toxicities	Mechanism related to WT EGFR inhibition (dermatologic, diarrhea)	ILD / pneumonitis*, chemo-related, neutropenia
Mechanisms of Resistance	Likely TKD mutations, bypass signaling	Chemo payload resistance ¹⁻³ , downregulation of cell surface target ⁴

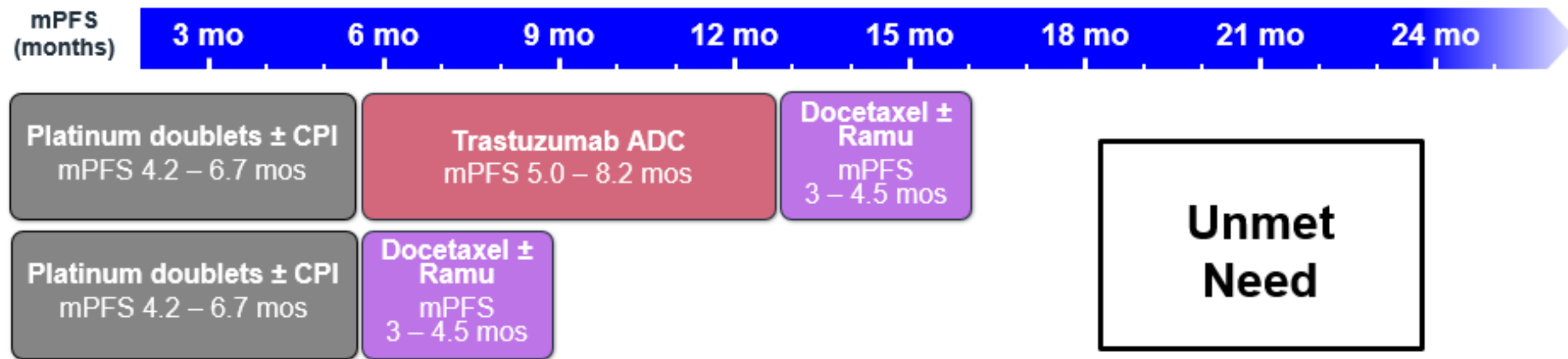
Trastuzumab deruxtecan – not considered available therapy from a regulatory standpoint as it is approved under provisions of accelerated approval (Table 1, page 12, FDA briefing document)

*Population limited in clinical study for pulmonary risk

1. Kinneer, 2018; 2. Loganzo et al, 2015; 3. Aldonza et al, 2016; 4. Sung et al., 2018

FDA package insert https://www.accessdata.fda.gov/drugsatfda_docs/label/2022/761139s021lbl.pdf; Ricci et al., 2021

Unmet Need for HER2 Exon 20 Insertion NSCLC



- There is an urgent unmet need for effective therapies after platinum doublets (and HER2 ADC if patient is suitable)
- Based on available 2L options, **ORR > 15% or PFS > 4 months** is clearly clinically meaningful for this population
- Patients need an effective oral, targeted treatment with a favorable benefit-risk profile

Efficacy

Gajanan Bhat, PhD

Senior Vice President, Clinical and Data Science
Spectrum Pharmaceuticals, Inc.



Primary and Supportive Evidence of Poziotinib Efficacy in HER2 Exon 20 Insertion Mutation NSCLC

Primary Efficacy

Study 202 Cohort 2 (N = 90)

Previously treated patients with NSCLC harboring HER2 exon 20 insertion mutations

Poziotinib 16 mg QD

Supportive Efficacy

Study 202 Cohort 5 2L HER2 (N = 95)

Randomized
Dose-Ranging Study

Treatment-naïve or previously treated patients with NSCLC harboring HER2 exon 20 insertion mutations

Poziotinib 16 mg QD, 8 mg BID, 12 mg QD, 6 mg BID, and 10 mg QD

MDACC Study 2L HER2 (N = 27)

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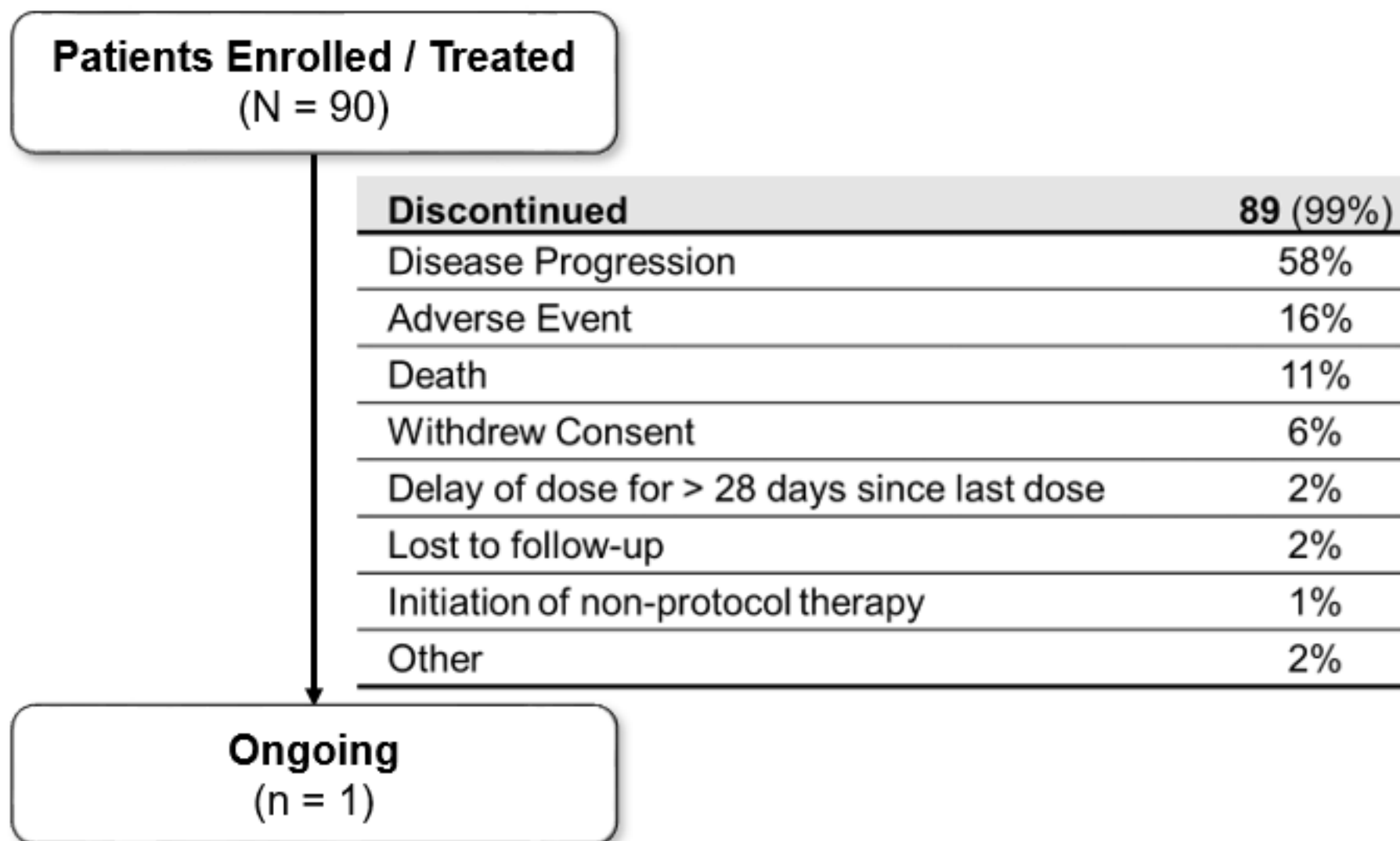
Cohort 2: Study Design

- Eligibility
 - NSCLC harboring HER2 exon 20 insertion mutations
 - Previously treated for locally advanced or metastatic NSCLC with ≥ 1 systemic therapy
 - ≥ 1 target lesion per local investigator using RECIST v1.1
- Poziotinib treatment
 - 16 mg QD for up to 24 months
 - Dose reduced in 2 mg increments in presence of toxicity

Cohort 2: Efficacy Endpoints

- Primary endpoint
 - Objective response rate (ORR) (ie, CR + PR)
 - Independent imaging review committee
 - ORR of 30% with 17% lower bound for 95% CI considered clinically meaningful efficacy based on the efficacy of available therapy and per FDA discussion
 - Analyzed based on As-Treated Population
- Secondary endpoints
 - Disease control rate (DCR) and duration of response (DoR)
 - Progression-free survival (PFS)

Cohort 2: Patient Disposition



Cohort 2: Demographics and Baseline Characteristics Representative of Literature

		Poziotinib (N = 90)
Age, years	Mean (SD)	60 (11.69)
	< 65, %	62%
Gender, %	Female	64%
Ethnicity, %	Hispanic or Latino	6%
	White	78%
Race, %	Black	4%
	Asian	13%
	Other	4%
Smoking, %	Never	66%
ECOG, %	Performance status 1	58%

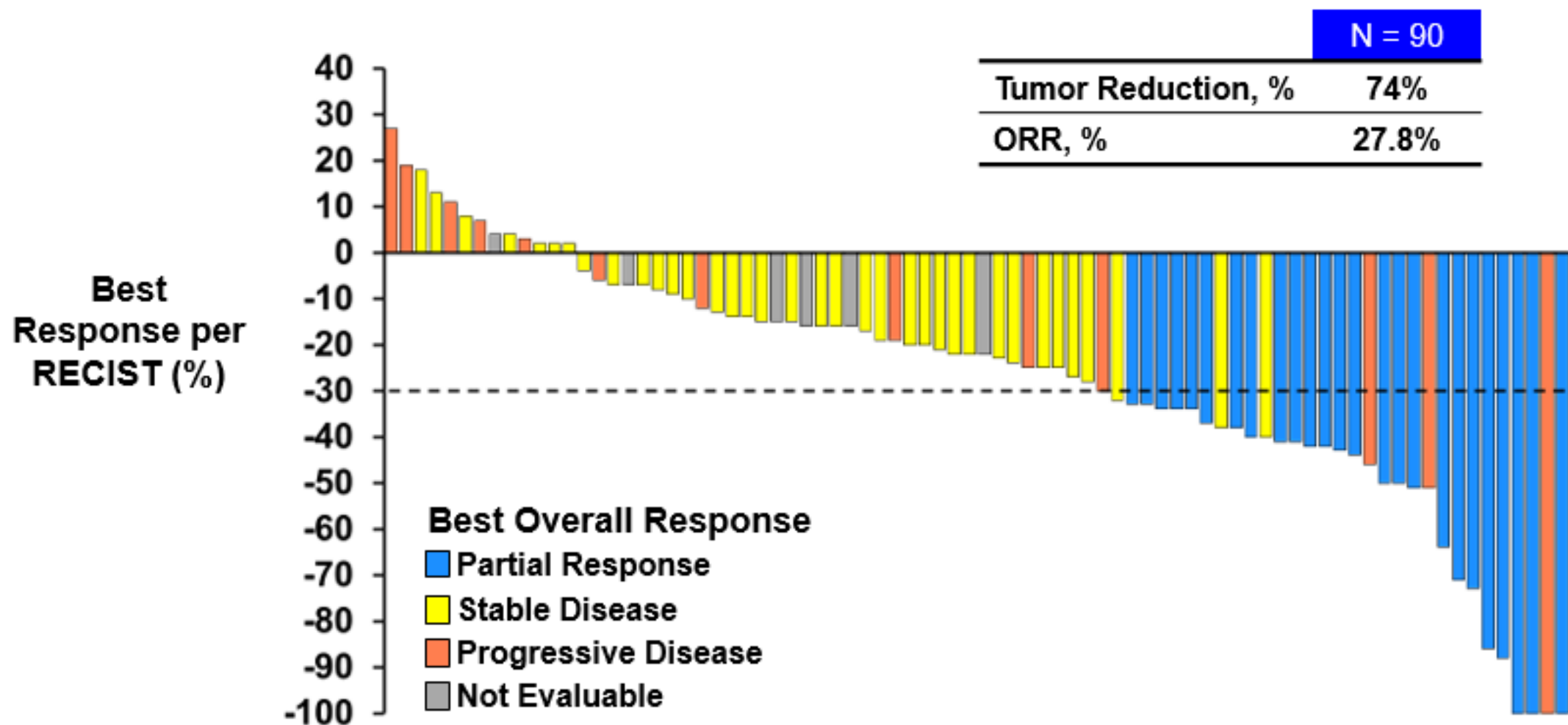
Cohort 2: Heavily Pre-Treated Patients with Systemic Therapy

		Poziotinib (N = 90)
Number of lines of prior systemic therapy	Median	2.0
	Min, Max	1, 6
	1	30%
	2	31%
	3+	39%
Type of prior systemic therapy	Chemotherapy	98%
	Platinum-based chemotherapy	97%
	Immune checkpoint inhibitor (CPI)	68%
	HER2-targeted therapy	28%
	VEGF-targeted therapy	16%
	TKI-EGFR	13%

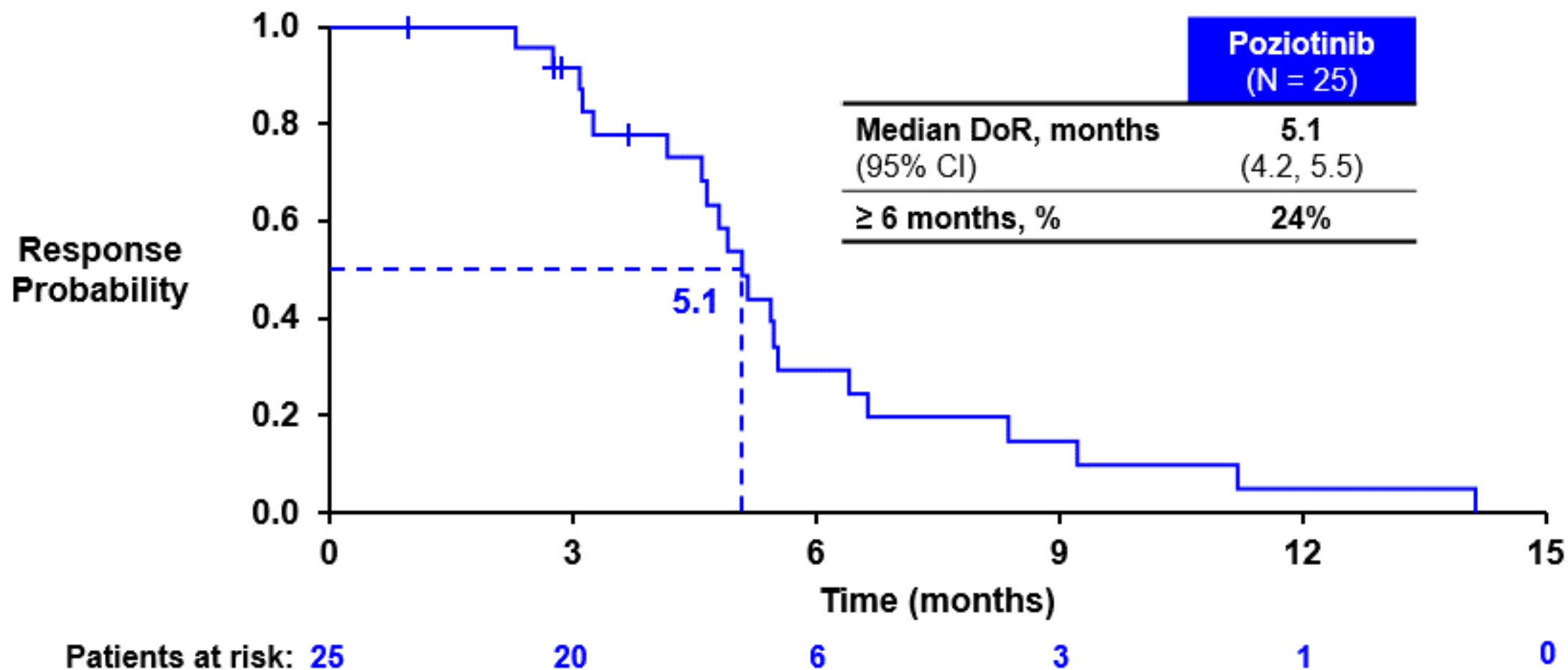
Cohort 2: Met Primary Endpoint Demonstrating Clinically Meaningful Efficacy Over Available Therapies

	As-Treated Population (N = 90)
ORR (CR+PR), %	27.8%
95% CI	18.9, 38.2
DCR (CR+PR+SD), %	70.0%
95% CI	59.4, 79.2
Confirmed Best Overall Response, %	
Complete response (CR)	0
Partial response (PR)	27.8%
Stable disease (SD)	42.2%
Progressive disease (PD)	14.4%
Not evaluable (NE)	15.6%

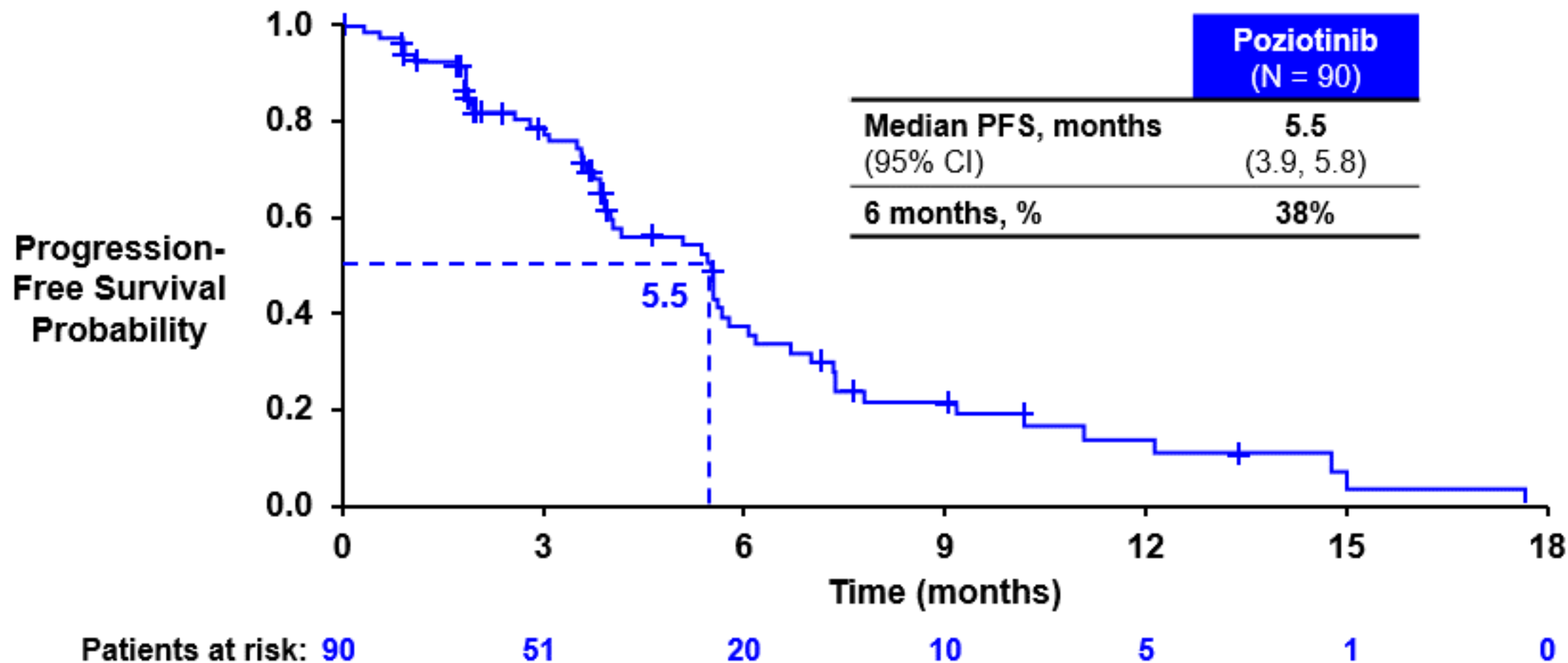
Cohort 2: Tumor Reduction in Majority of Patients



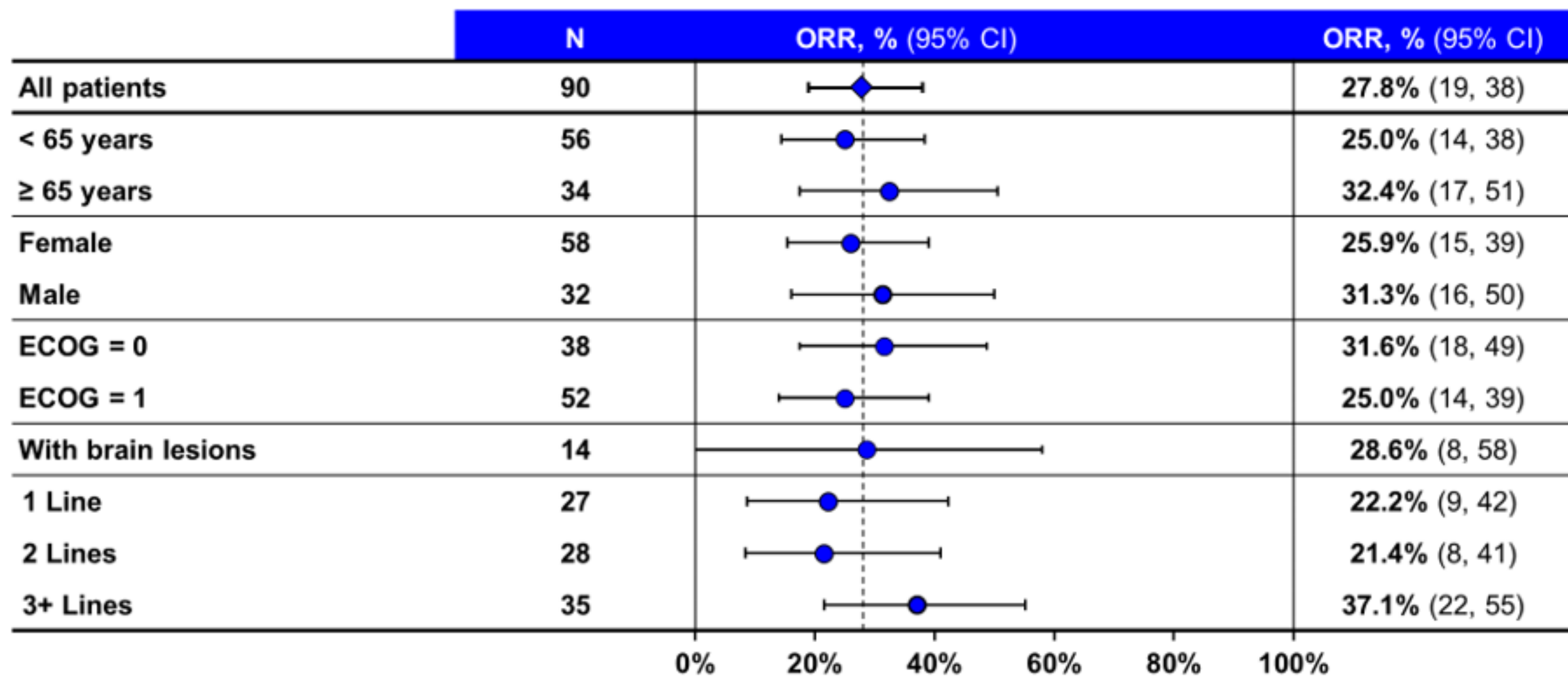
Cohort 2: Durable Responses with Poziotinib



Cohort 2: Progression-Free Survival



Cohort 2: Consistent Efficacy Across Subgroups



Cohort 2: Efficacy by Lines of Therapy

Number of Prior Lines of Systemic Therapy	n / N	ORR, % (95% CI)	DCR, %	mDOR (mos)	mPFS (mos)
1 Line	6 / 27	22.2% (8.6, 42.3)	66.7%	3.9	5.4
2 Lines	6 / 28	21.4% (8.3, 41.0)	67.9%	6.9	6.2
3+ Lines	13 / 35	37.1% (21.5, 55.1)	74.3%	5.2	5.5

Cohort 2: Efficacy by Types of Prior Therapy

Type of Prior Systemic Therapy	n / N	ORR, % (95% CI)	DCR, %	mDOR (mos)	mPFS (mos)
Platinum-based Chemotherapy	24 / 87	27.6% (18.5, 38.2)	70.1%	5.1	5.5
Immune Checkpoint Inhibitor	16 / 61	26.2% (15.8, 39.1)	68.9%	5.1	5.5
Tyrosine Kinase Inhibitors (TKI)	6 / 12	50.0% (21.1, 78.9)	91.7%	5.2	7.0
HER2 Targeted Therapy	6 / 25	24.0% (9.4, 45.1)	68.0%	5.2	5.6
Platinum-based Chemotherapy, Immune Checkpoint Inhibitor	15 / 59	25.4% (15.0, 38.4)	69.5%	5.1	5.5

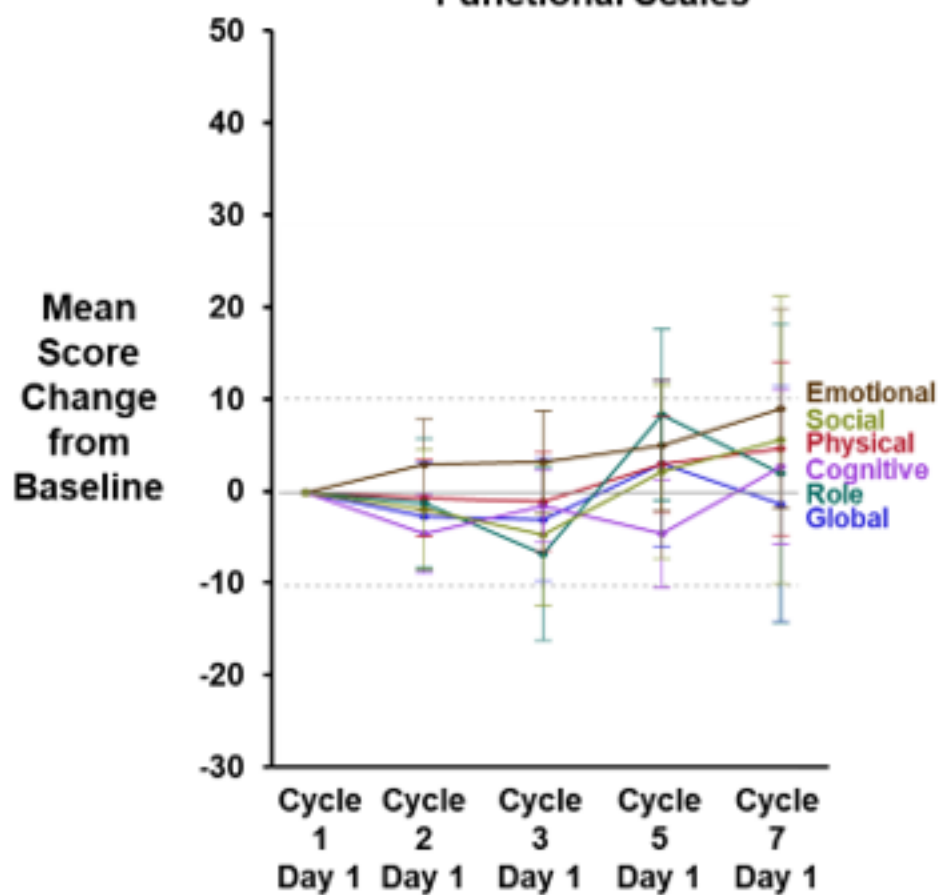
Cohort 2: Poziotinib Active in Brain Metastases

- 14 patients with stable brain lesion(s) identified at baseline

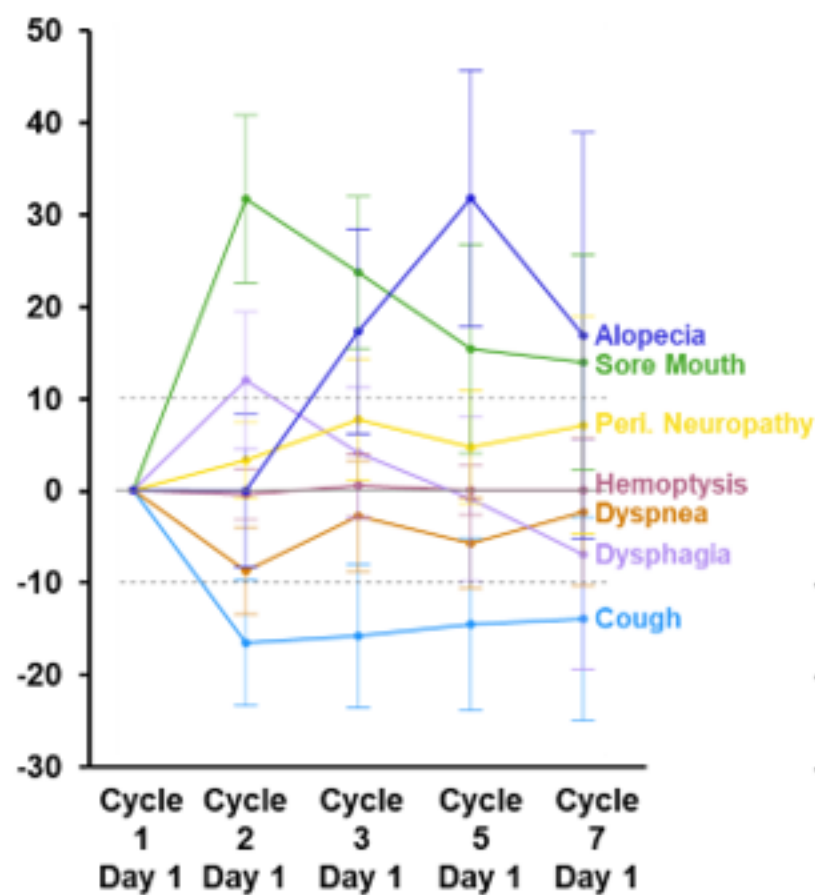
	Patients with Stable Brain Lesions (N = 14)
ORR, % (95% CI)	28.6% (8.4, 58.1)
DoR, median months	5.1
PFS, median months	7.4

Cohort 2: Quality of Life

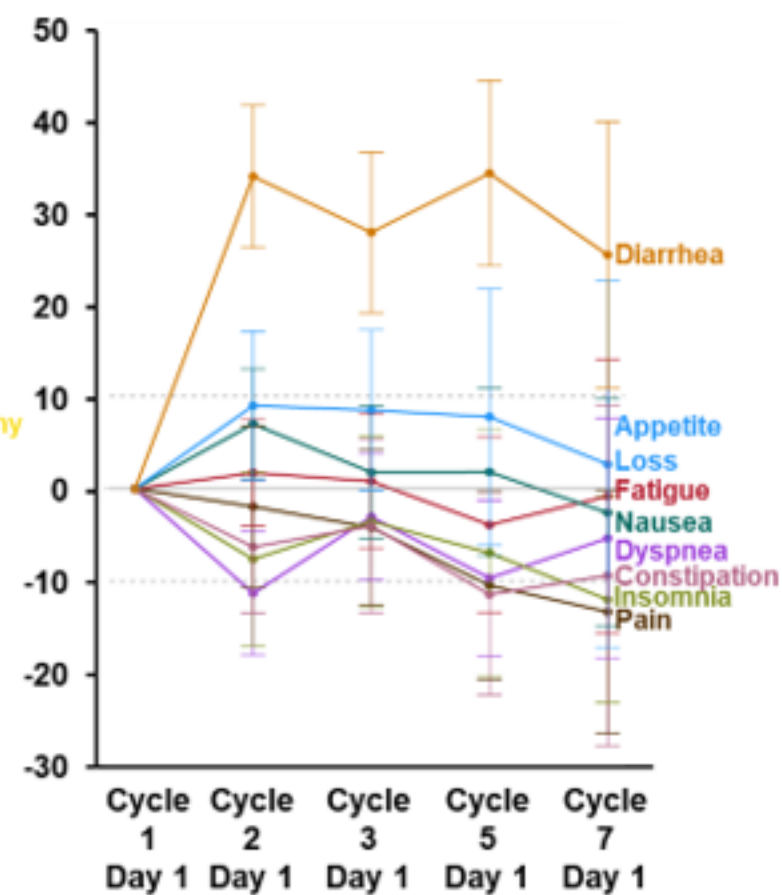
Global Status and Functional Scales



Lung Cancer Symptom



General Symptom



Number of patients completed QoL were 90, 74, 66, 38, and 25 at Cycle 1, 2, 3, 5, and 7, respectively.

Primary and Supportive Evidence of Poziotinib Efficacy in HER2 Exon 20 Insertion Mutation NSCLC

Primary Efficacy

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Previously treated patients with NSCLC harboring HER2 exon 20 insertion mutations

Poziotinib 16 mg QD

Supportive Efficacy

Study 202 Cohort 5 2L HER2 (N = 95)

Randomized Dose-Ranging Study

Treatment-naïve or previously treated patients with NSCLC harboring HER2 exon 20 insertion mutations

Poziotinib 16 mg QD, 8 mg BID, 12 mg QD, 6 mg BID, and 10 mg QD

MDACC Study 2L HER2 (N = 27)

Previously treated patients with NSCLC harboring HER2 exon 20 insertion mutations

Poziotinib 16 mg QD

Cohort 5: Consistent Efficacy in 16 mg QD Supports Pivotal Cohort 2 Results

	Cohort 2	Cohort 5
HER2, previously treated	16 mg QD (N = 90)	16 mg QD (N = 10)
ORR, %	27.8%	40.0%
95% CI	18.9, 38.2	12.2, 73.8
DoR, median months	5.1	6.5
95% CI	4.2, 5.5	3.7, NA
PFS, median months	5.5	7.3
95% CI	3.9, 5.8	5.5, NA

Primary and Supportive Evidence of Poziotinib Efficacy in HER2 Exon 20 Insertion Mutation NSCLC

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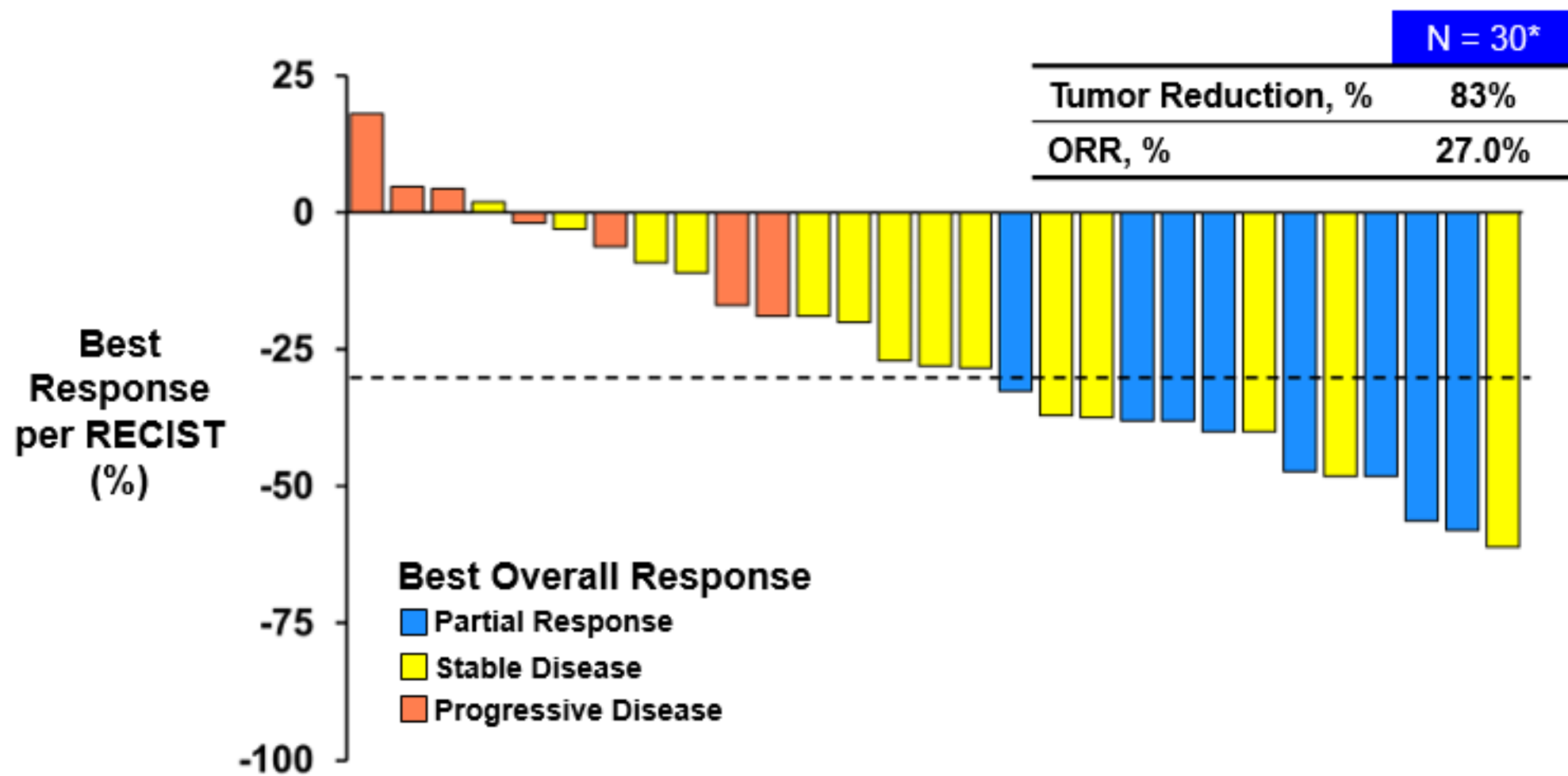
Poziotinib 16 mg QD, 8 mg BID, 12 mg QD, 6 mg BID, and 10 mg QD

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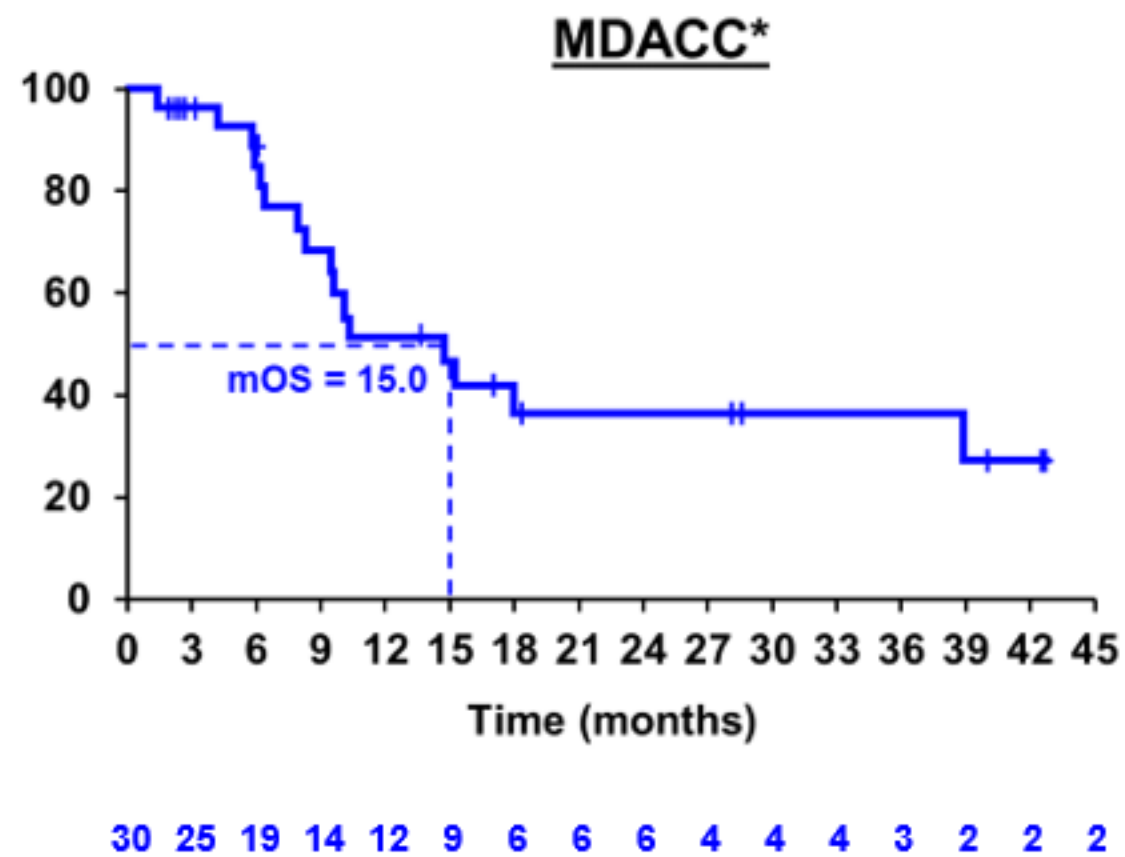
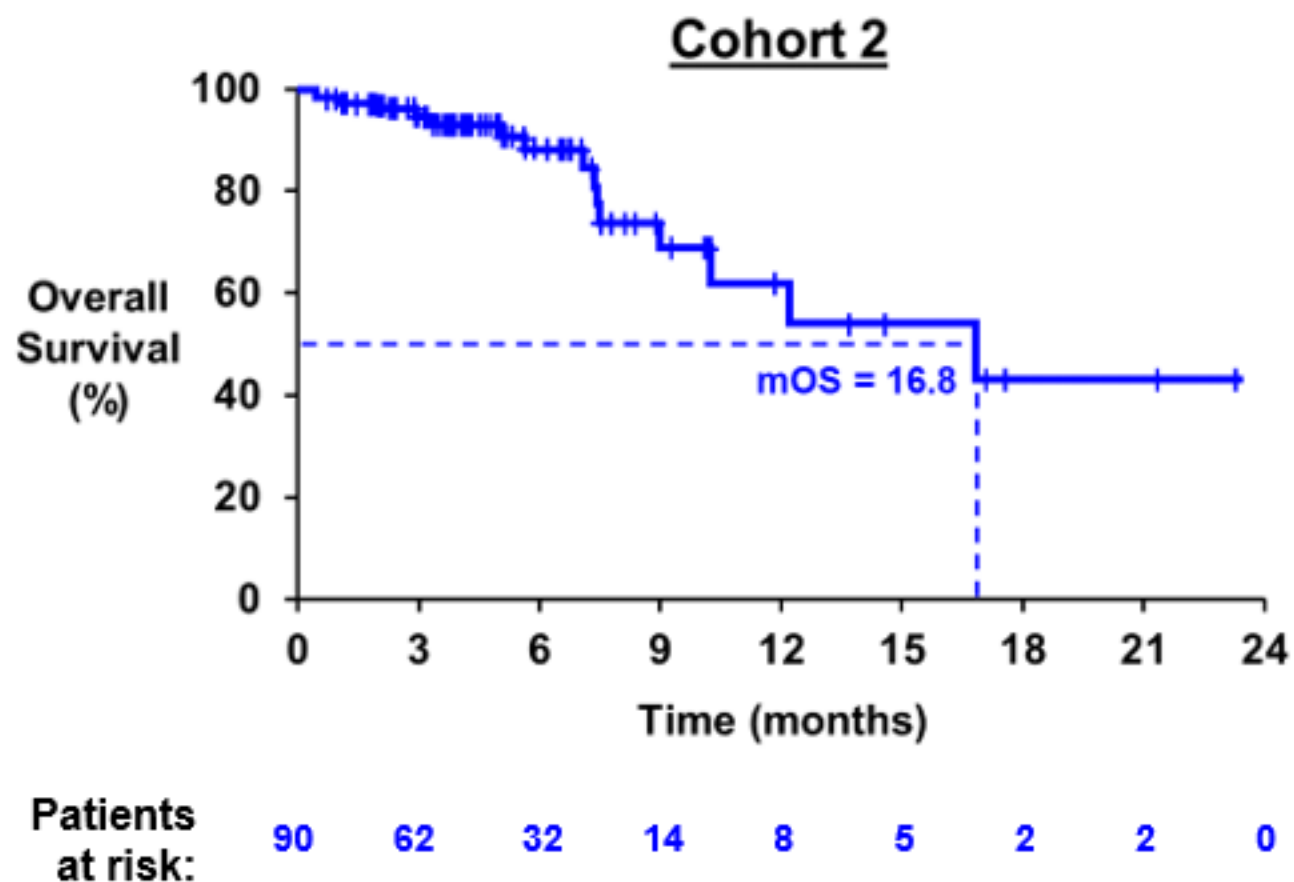
Poziotinib 16 mg QD

MDACC Study Provides Supportive Evidence of Poziotinib Efficacy



*Elamin et al., 2022; Including 3 treatment naïve patients.

Consistent Overall Survival Observed in Cohort 2 and MDACC Studies



*Elamin et al., 2022; Including 3 treatment naïve patients.



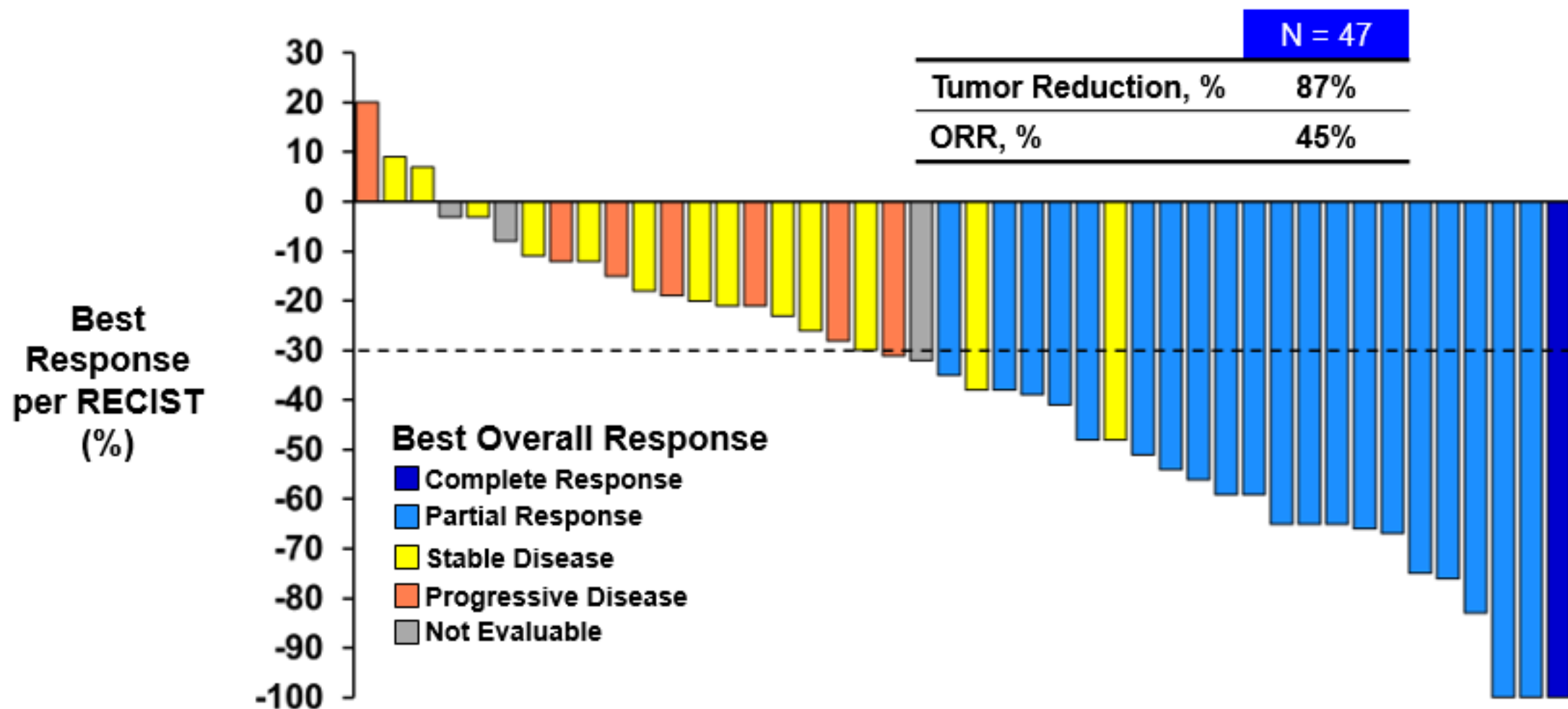
Study 202 Cohort 4

Poziotinib in Treatment-Naïve Patients

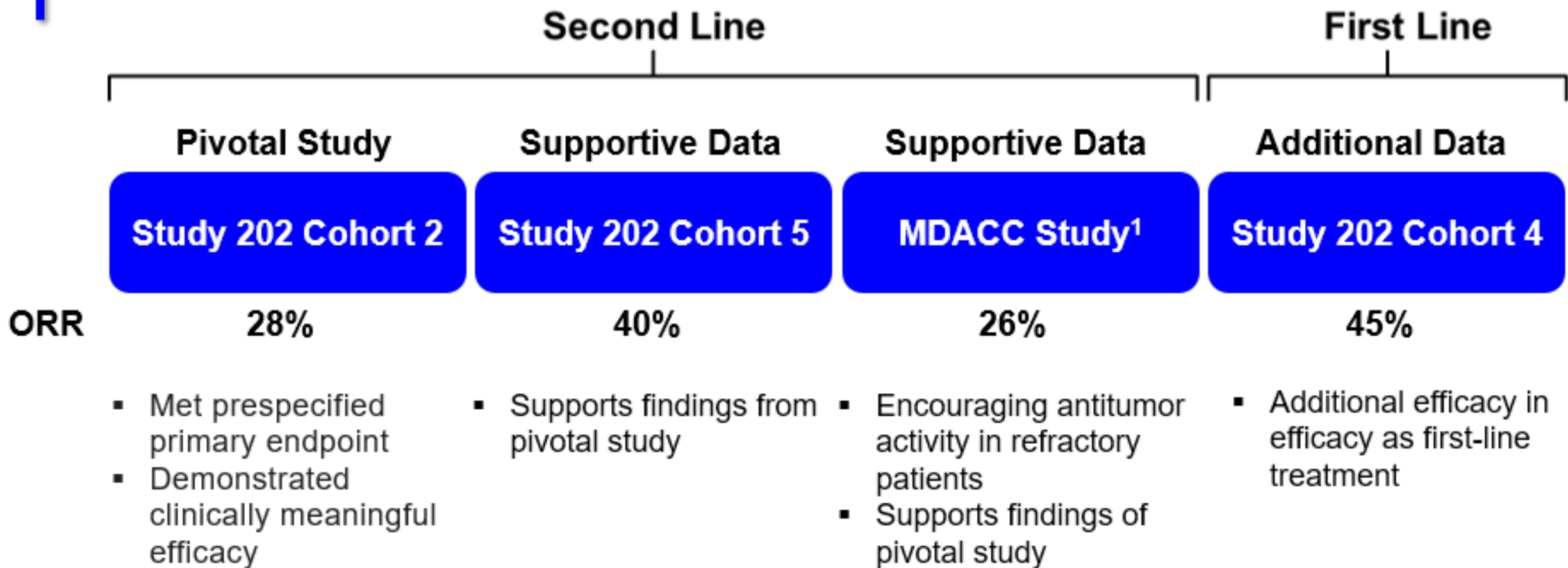
Cohort 4: Supportive Poziotinib Efficacy in First-Line Treatment

	Poziotinib (16 mg QD) (N = 47)
ORR, n (%)	21 (45%)
ORR in Evaluable Population (n = 41), n (%)	21 (51%)
DoR, median (range), months	5.7 (2.8 – 19.1)
PFS, median (range), months	5.6 (0 – 22.7+)
DCR, n (%)	35 (74%)

Cohort 4: Reduction in Tumor Size



Summary: Poziotinib Demonstrated Clinical Meaningful Benefit to Patients with NSCLC Harboring HER2 Exon 20 Insertion Mutations



Poziotinib showed consistent and reproducible efficacy in the proposed patient population

Safety

Francois Lebel, MD, FRCPC

Executive Vice President R&D

Chief Medical Officer

Spectrum Pharmaceuticals, Inc.



Study 202: Safety Populations

Cohort 2 (N = 90)

**Previously treated
patients with NSCLC
harboring HER2 exon 20
insertion mutations**

Poziotinib 16 mg QD

Group 1 (N = 482)

**Patients with NSCLC who
received poziotinib**

**All cohorts
who received 16 mg QD or
8 mg BID**

Cohort 2: Dose Exposures

	Cohort 2 (N = 90)	Group 1 (N = 482)
Duration of Treatment, median days (min, max)	113 (1, 972)	113 (1, 987)
Relative Dose Intensity, median % (min, max)	72% (21, 100)	71% (2, 118)
Patients with Dose Reduction, n (%)	69 (77%)	344 (71%)
Days to First Dose Reduction, median (min, max)	36 (9, 204)	34 (1, 362)
Patients with Dose Interruption, n (%)	78 (87%)	408 (85%)
Days to First Dose Interruption, median (min, max)	16 (3, 313)	16 (2, 313)

Common Adverse Events

Preferred Term, n (%)	Cohort 2 (N = 90)	Group 1 (N = 482)
Any AE	90 (100%)	470 (98%)
Diarrhea	75 (83%)	393 (82%)
Rash	62 (69%)	287 (60%)
Stomatitis	60 (67%)	336 (70%)
Paronychia	36 (40%)	231 (48%)
Decreased Appetite	35 (39%)	183 (38%)
Nausea	35 (39%)	180 (37%)
Dry Skin	30 (33%)	153 (32%)
Fatigue	29 (32%)	174 (36%)
Alopecia	29 (32%)	144 (30%)
Vomiting	27 (30%)	145 (30%)

Grade 3 & 4 Adverse Events

Preferred Term, n (%)	Cohort 2 (N = 90)		Group 1 (N = 482)	
	Grade 3	Grade 4	Grade 3	Grade 4
Any AE	77 (86%)	10 (11%)	328 (68%)	39 (8%)
Rash	27 (30%)	0	122 (25%)	0
Diarrhea	24 (27%)	0	106 (22%)	2 (0.4%)
Stomatitis	20 (22%)	1 (1%)	87 (18%)	1 (0.2%)
Rash Maculopapular	9 (10%)	0	39 (8%)	0
Dermatitis Acneiform	9 (10%)	0	40 (8%)	1 (0.2%)

Serious Adverse Events

Preferred Term, n (%)	Poziotinib (N = 90)	Group 1 (N = 482)
SAE	36 (40%)	193 (40%)
Dyspnea	6 (7%)	20 (4%)
Pneumonia	5 (6%)	18 (4%)
Pleural Effusion	4 (4%)	13 (3%)
Pulmonary Embolism	4 (4%)	11 (2%)
Acute Kidney Injury	4 (4%)	10 (2%)
Respiratory Failure	4 (4%)	6 (1%)
Dehydration	3 (3%)	12 (3%)
Rash	3 (3%)	11 (2%)
Asthenia	3 (3%)	5 (1%)
Diarrhea	2 (2%)	16 (3%)
Hypoxia	2 (2%)	6 (1%)
Hyponatremia	2 (2%)	4 (0.8%)
Fatigue	2 (2%)	4 (0.8%)
Stomatitis	2 (2%)	2 (0.4%)

Rates of Adverse Events Leading to Permanent Treatment Discontinuation

	Cohort 2 (N = 90)	Group 1 (N = 482)
AE Leading to Discontinuation	21 (23%)	85 (18%)
Rash	4 (4%)	10 (2%)
Diarrhea	2 (2%)	11 (2%)
Paronychia	2 (2%)	6 (1%)
Stomatitis	2 (2%)	6 (1%)
Hypoxia	2 (2%)	3 (0.6%)
Pneumonia	2 (2%)	3 (0.6%)
Erythema	2 (2%)	2 (0.4%)
Odynophagia	2 (2%)	2 (0.4%)
Any treatment-related AE leading to discontinuation	11 (12%)	52 (11%)

AE leading to discontinuation in $\geq 2\%$ of patients

Data Cutoff: Nov 19, 2021

Pneumonitis / ILD AEs

	N	Any Grade N (%)	Grade 1 N (%)	Grade 2 N (%)	Grade 3 N (%)	Grade 4 N (%)	Grade 5 N (%)	Median time to first onset (days)
Cohort 2	90	1 (1.1)	1 (1.1)	0	0	0	0	113
Spectrum Group 1 (16 mg QD + 8 mg BID)	482	16 (3.3)	3 (0.6)	3 (0.6)	6 (1.2)	0	4 (0.8)	57
FDA Group 1 (16 mg QD only)	368	12 (3.2)	2 (0.5)	3 (0.8)	4 (1.1)	0	3 (0.8)*	65

*FDA Briefing document refers to 4 deaths (3 16 mg QD + 1 8 mg BID)

Management of Patients During Treatment with Poziotinib

- **Rash**
 - Monitor patients and withhold dose, reduce dose, or permanently discontinue based on severity
- **Diarrhea**
 - Start antidiarrheal agent with initiation of dosing
 - Withhold, reduce dose, or permanently discontinue based on severity
 - Withhold dosing until recovery to less than or equal to Grade 1; then resume poziotinib at same dose or reduced dose depending on severity or reduce poziotinib dose by 2 mg or 4 mg per day
- **Interstitial Lung Disease (ILD) / Pneumonitis**
 - Monitor patients for new or worsening pulmonary symptoms indicative of ILD / pneumonitis
 - Withhold poziotinib for suspected ILD / pneumonitis and permanently discontinue if ILD is confirmed

Off-Target Adverse Events

- No major visceral organ toxicity
- Cardiac Safety
 - No clinically significant abnormal ECGs
 - No QTc prolongation
 - No clinically meaningful changes in cardiac parameters

Safety Summary

- Type of AEs similar to second-generation EGFR TKIs
 - Most common AEs “on-target”: rash, diarrhea, and stomatitis
- Most AEs reversible with recommended supportive medical management or institutional protocols
- Pneumonitis / ILD rarely observed in previously-treated patients with HER2 exon 20 insertion mutations



Poziotinib 16 mg QD Dose Rationale

Extensive Poziotinib Dose / Schedule Assessment in 7 Studies (N = 404)

- Mouse allometric scaling to human was 15 mg QD
- Exploration of doses from 0.5 mg – 32 mg QD
- MTD in Phase 1 studies
 - 18 mg QD daily (continuous) or 24 mg QD (2 wks on, 1 wk off)
- Continuous dosing appeared to have better clinical activity
- Cohort 5: Randomized dose ranging study confirmed 16 mg QD as starting dose

Dose Justification for 16 mg QD Starting Dose for HER2 Exon 20

	Cohort 2	Cohort 5		
	16 mg QD (N = 90)	16 mg QD (N = 10)	8 mg BID (N = 40)	12 mg QD (N = 16)
ORR (CR+PR), n (%)	25 (28%)	4 (40%)	9 (23%)	4 (25%)
DCR (CR+PR+SD), n (%)	63 (70%)	7 (70%)	22 (55%)	10 (63%)
Median PFS, months	5.5	7.3	7.4	5.6

16 mg QD has better efficacy

Tolerance of 16 mg QD Starting Dose for HER2 Exon 20

	Cohort 2	Cohort 5		
	16 mg QD (N = 90)	16 mg QD (N = 10)	8 mg BID (N = 40)	12 mg QD (N = 16)
Any AE	90 (100%)	10 (100%)	38 (95%)	16 (100%)
Grade \geq 3 Treatment-Related AE, n (%)	73 (81%)	9 (90%)	26 (65%)	10 (63%)
Grade \geq 3 Rash	44 (49%)	4 (40%)	13 (33%)	7 (44%)
Grade \geq 3 Diarrhea	23 (26%)	2 (20%)	5 (13%)	2 (13%)
Patients with Dose Reduction, n (%)	69 (77%)	5 (50%)	24 (60%)	11 (69%)
Patients with Drug Interruption, n (%)	78 (87%)	7 (70%)	29 (73%)	14 (88%)

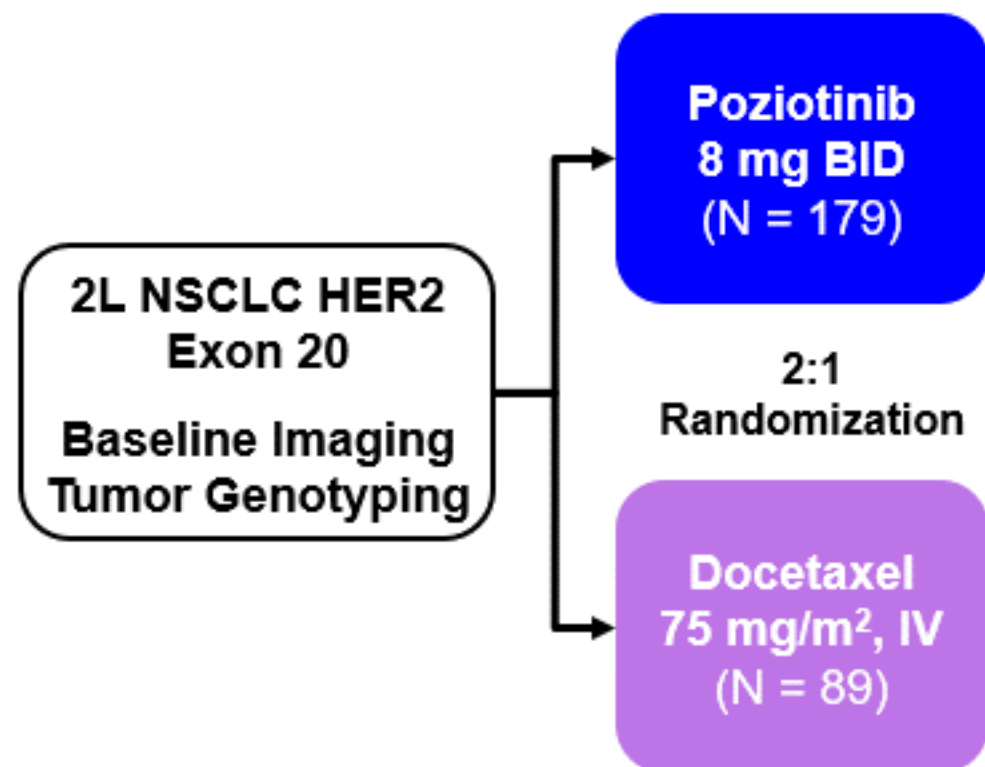
Dose Justification Conclusion

- 16 mg QD is a safe and effective dose
- Extensively studied
 - 7 studies with 404 patients ranging from 0.5 to 32 mg daily
 - 16 mg QD met primary endpoint, tolerated with allowed dose modification
- Urgent medical need for a safe and effective option for patients



Confirmatory Study 301

Study 301: Confirmatory Study Initiated to Confirm Poziotinib Clinical Benefit



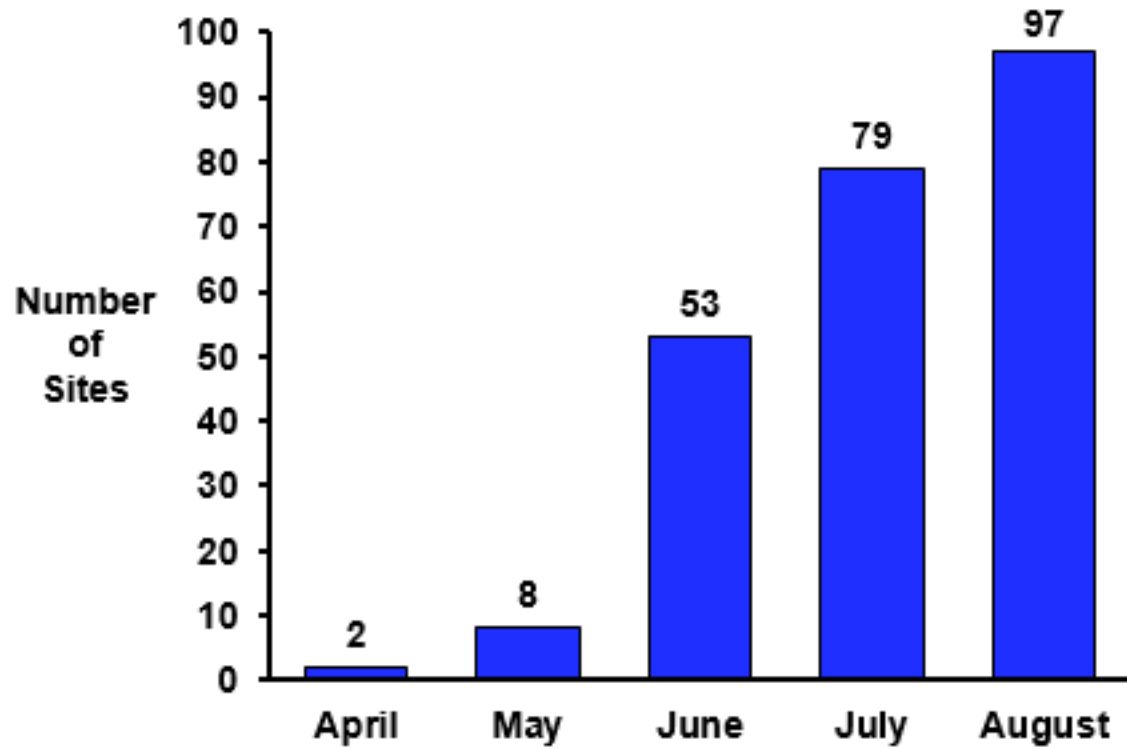
- Primary endpoint: PFS
- Secondary endpoints: OS, ORR, DCR
- Tumor response assessed every 6 weeks by central imaging review
- Designed to enroll 268 patients
- Up to 150 sites globally
- Futility analysis to be conducted in 2024 with 30% event accrual
- Estimated top-line results: 2026

Dose Selection for Study 301 PMR

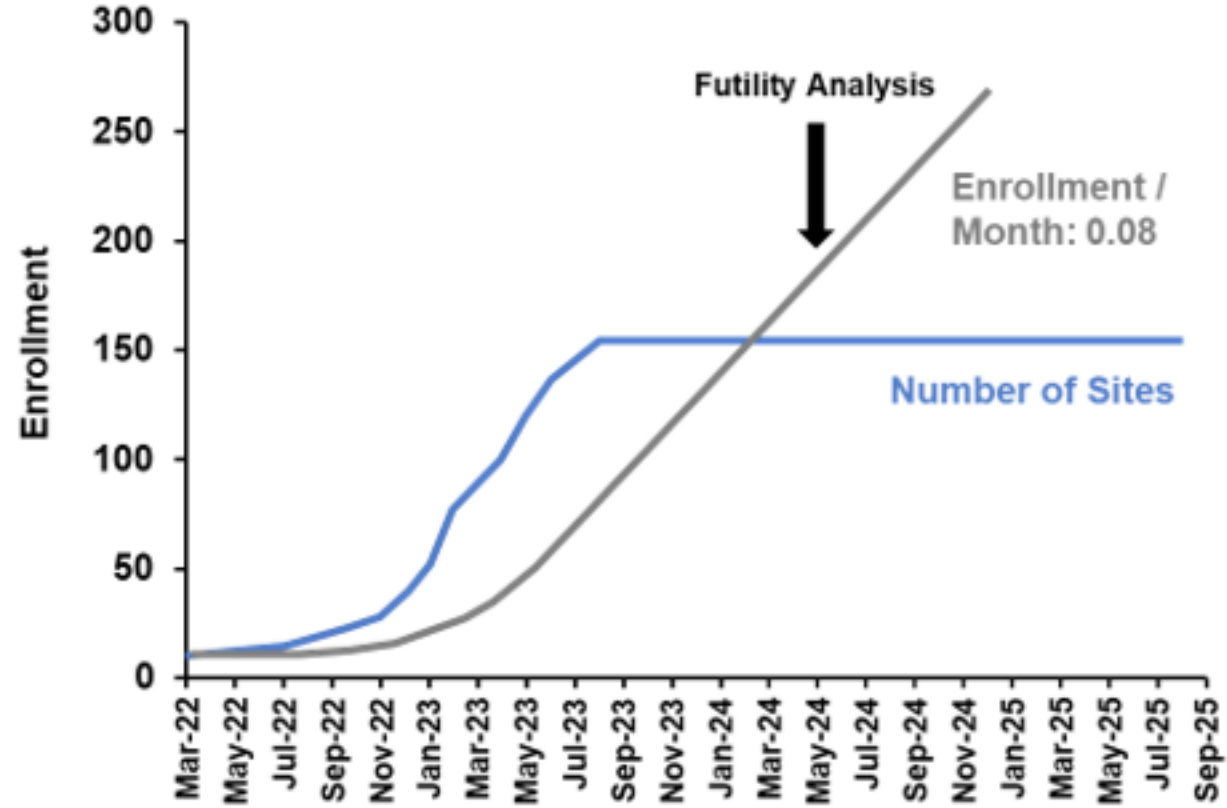
- Study was originally designed based on the results from Cohort 2 and Stage 1 of Cohort 5 dose ranging study
- Spectrum and FDA agreed on 8 mg BID regimen
- Recent results from Cohort 5 show similar PFS of 7.3 months (16 mg QD) and 7.4 months (8 mg BID)
 - 16 mg QD remains safe and effective
 - 8 mg BID shows a trend of slightly better tolerability

Phase 3 Study Status

Site Qualification



Enrollment Rate Projection



Sponsor Position on Key FDA Points

Sponsor Position

Efficacy	<ul style="list-style-type: none">• Unmet need for HER2 Exon 20 NSCLC remains• Poziotinib ORR of 28% is higher than available agents in second line for NSCLC• Met primary efficacy endpoint
Safety	<ul style="list-style-type: none">• High rates of Grade 3/4 diarrhea, rash; low permanent discontinuation• Oncologists are medically experienced in handling TKI AEs• Low 0.8% fatal pneumonitis
Dose optimization	<ul style="list-style-type: none">• Extensively studied• 7 studies with 404 patients ranging from 0.5 to 32 mg daily• 16 mg QD met primary endpoint, tolerated with allowed dose modification
Confirmatory trial	<ul style="list-style-type: none">• Confirmatory study underway at 8 mg BID as agreed with FDA• Sponsor willing to consider protocol amendment• Futility analysis within 2 years

Clinical Perspective

Mark Socinski, MD

Executive Medical Director

AdventHealth Cancer Institute



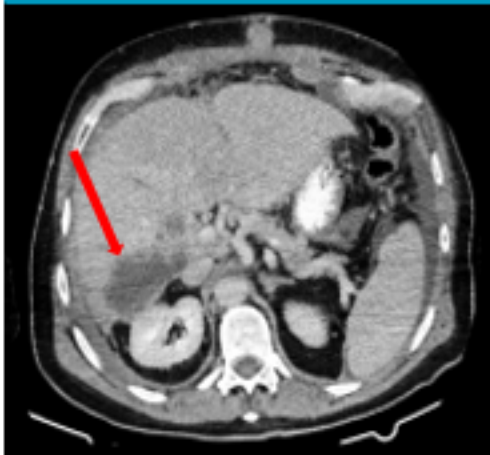
Cohort 2: Radiographic Response in a Patient Receiving Poziotinib

Screening

Target Lesion 1

11Dec2018

LD 94.16 mm SD 45.88mm



Target Lesion 2

11Dec2018

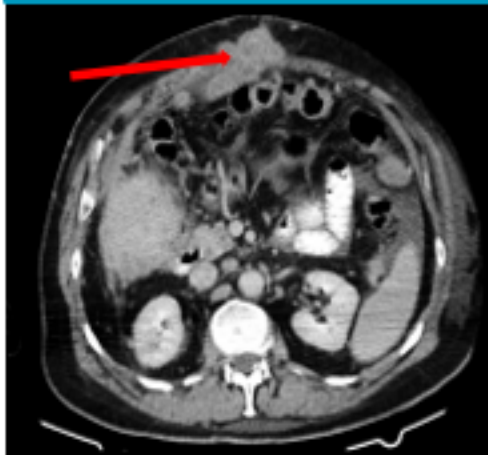
32.47mm SD 23.48mm



Target Lesion 3

11Dec2018

LD 36.66mm SD 24.77mm



On-Study Timepoint
with Greatest Tumor
Reduction

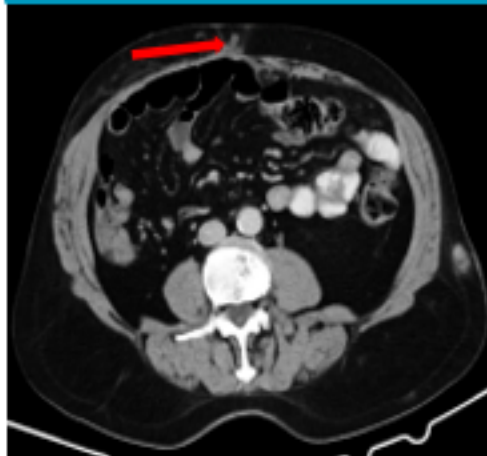
Timepoint 7 | 19Sep2019

LD 22.75 mm SD 10.85mm



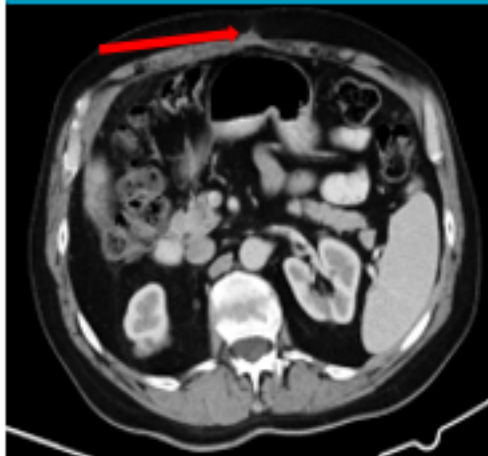
Timepoint 5 | 30May2019

LD 7.67mm SD 4.29mm



Timepoint 5 | 30May2019

LD 14.81mm SD 5.77mm



Multiple Targeted Therapies Have Been Approved For 10 Actionable Oncogene Targets in Lung Cancer

EGFR del19 L858R mutations

Erlotinib, gefitinib, afatinib, osimertinib,
dacomitinib, erlo+ramucirumab

BRAF V600E mutation

Dabrafenib+trametinib

RET rearrangement

Selpercatinib, pralsetinib

EGFR exon 20 insertion mutations

Mobocertinib, amivantamab

HER2 exon 20 insertion mutations

Fam-trastuzumab-deruxtecan-nxki

ALK-rearrangement

Crizotinib, brigatinib, alectinib,
ceritinib, lorlatinib

ROS1-rearrangement

Crizotinib, entrectinib

NTRK rearrangement

Larotrectinib, entrectinib

MET exon 14 skipping

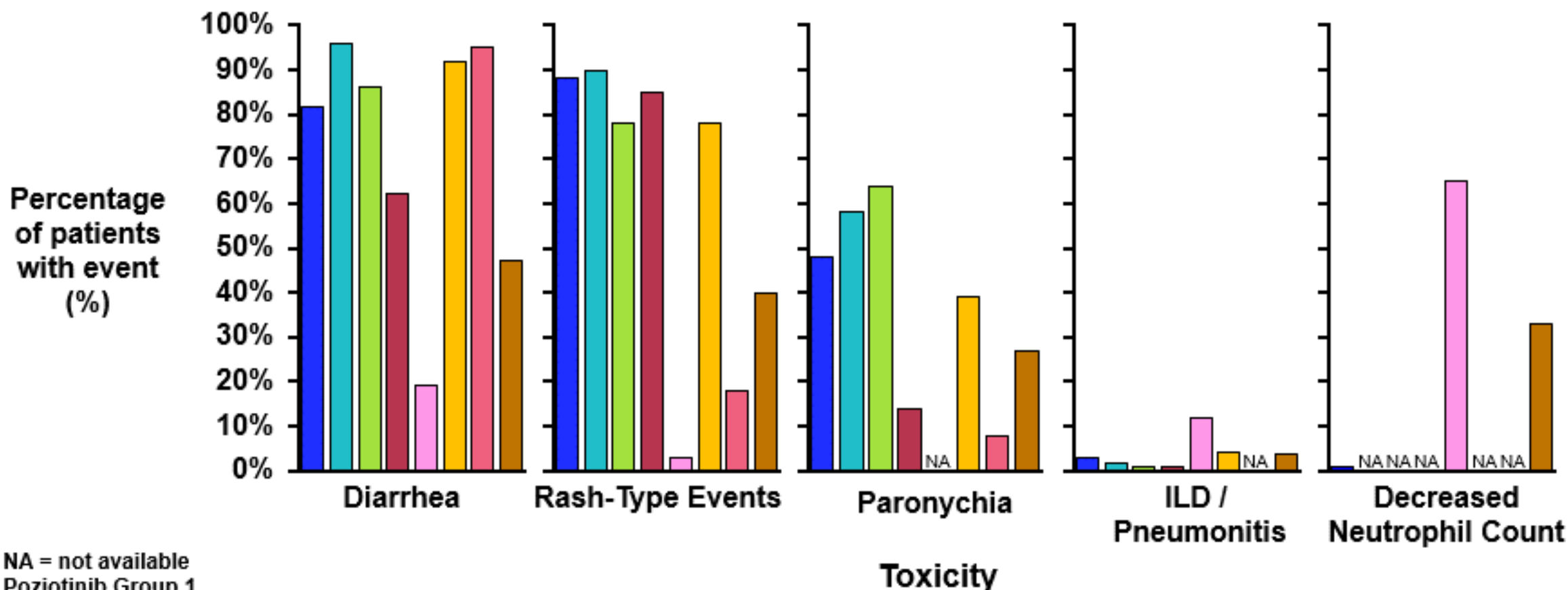
Capmatinib, tepotinib

KRAS G12C mutation

Sotorasib

Comparison of Toxicities with TKIs and HER2 Targeting ADC (Any Grade)

■ poziotinib
 ■ afatinib
 ■ dacomitinib
 ■ erlotinib
 ■ trastuzumab deruxtecan
 ■ mobocertinib
 ■ neratinib
 ■ osimertinib



Important Adverse Reactions with Other Therapies

	Poziotinib	Docetaxel	Trastuzumab deruxtecan	Trastuzumab emtansine
Febrile Neutropenia, %	0	6	1.1	NR
ILD / Pneumonitis, %	1 - 3	NR	12	1
LVEF Reduced	No	No	Yes	Yes
Liver Function Impaired	No	Yes	Yes	Yes

NR = not reported

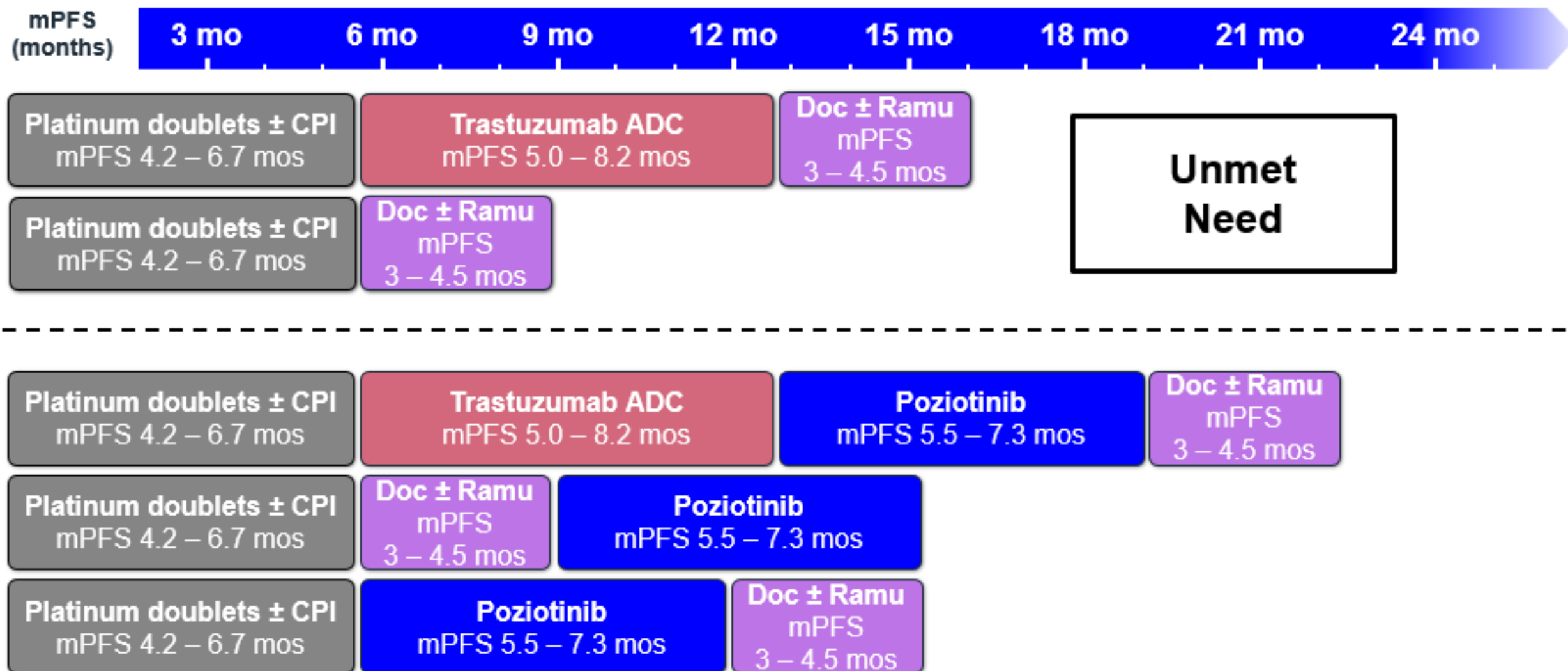
Excerpted from prescribing information accessed Jun & Aug 2022

Poziotinib Demonstrated Efficacy in All Lines of Therapy

	n / N	ORR, % (95% CI)	DCR, %	mDOR (mos)	mPFS (mos)
Number of Prior Lines of Systemic Therapy					
1 Line	6 / 27	22.2% (8.6, 42.3)	66.7%	3.9	5.4
2 Lines	6 / 28	21.4% (8.3, 41.0)	67.9%	6.9	6.2
3+ Lines	13 / 35	37.1% (21.5, 55.1)	74.3%	5.2	5.5

Poziotinib shows meaningful advantage over available therapies as 2nd plus lines of therapy

Unmet Need for HER2 Exon 20 Insertion NSCLC



Poziotinib Offers Significant Advance for Patients with NSCLC HER2 Exon 20 Mutations

- Demonstrated clinically meaningful efficacy in population with urgent unmet need
- Manageable safety profile similar to other approved TKIs
- Provides a TKI option to overcome chemo resistance
- Provides an oral drug option to seriously ill and remotely located patients
- Provides a positive benefit risk in any 2nd plus lines of therapy



Poziotinib for NSCLC Harboring HER2 Exon 20 Insertion Mutations

Spectrum Pharmaceuticals

Oncologic Drugs Advisory Committee

September 22, 2022



BACK UP SLIDES SHOWN

Study Exclusion Criteria – Pneumonitis/ILD

Pozitotinib: Study 202*

Exclusion:

- Grade ≥ 2 pneumonitis

Trastuzumab deruxtecan: Study DESTINY-Lung02**

Exclusion:

- History of non-infectious interstitial lung disease (ILD)/pneumonitis that required steroids, current ILD/pneumonitis, or where suspected ILD/pneumonitis cannot be ruled out by imaging at screening
- Lung-specific intercurrent clinically significant illnesses including, but not limited to, any underlying pulmonary disorder (eg. pulmonary emboli within three months of the study randomization, severe asthma, severe COPD, restrictive lung disease, pleural effusion, etc.)
- Prior complete pneumonectomy

*POZ-202 Protocol

**ClinicalTrials.gov for DESTINY-Lung02 accessed 09/21/22

Cohort 2 Efficacy: Previously Treated with HER2 Targeted Therapy

Type of Prior Therapy	N	ORR n (%)
PLAT → CPI → HER2	8	1 (12.5)
PLAT → HER2 → CPI	4	1 (25.0)
PLAT → HER2 → TKI (± CPI)	3	2 (67.7)
PLAT → HER2, DOCE (± CPI)	6	1 (16.7)
PLAT → HER2	3	1 (33.3)
HER2	1	0

- 6 patients treated with T-DM1 following platinum therapy, ORR was 33.3% (2/6)

Dose Reductions and Discontinuations Due to AEs – Poziotinib/Other TKIs

	Afatinib	Mobocertinib	Dacomitinib	Poziotinib*
Dose Reductions	57%	25%	66%	51%
Discontinuation	14%	17%	18%	18%

Diarrhea Adverse Events – Comparison with Approved TKIs

	Any Grade	≥ Grade 3	Discontinuation
Poziotinib (N = 482)*	82%	22%	2%
Mobocertinib (N = 114) ¹	91%	21%	4%
Neratinib (N = 141) ²	74%	22%	3%

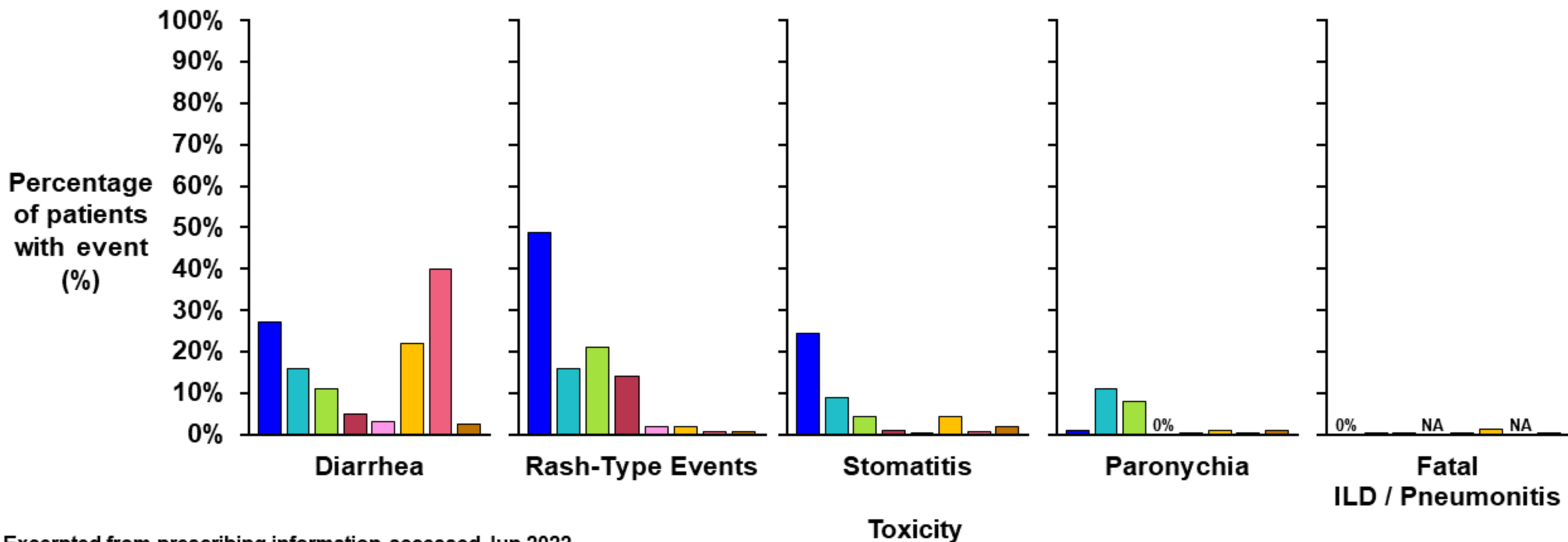
*Group 1, data-cut November 19, 2021

1. Zhou et al., JAMA Oncology 2021

2. Hyman et al., Nature 2018

Cohort 2: Comparison of Toxicities with Approved TKIs (Grade ≥ 3)

■ poziotinib
 ■ afatinib
 ■ dacomitinib
 ■ erlotinib
 ■ gefitinib
 ■ mobocertinib
 ■ neratinib
 ■ osimertinib
 (Cohort 2)



Excerpted from prescribing information accessed Jun 2022

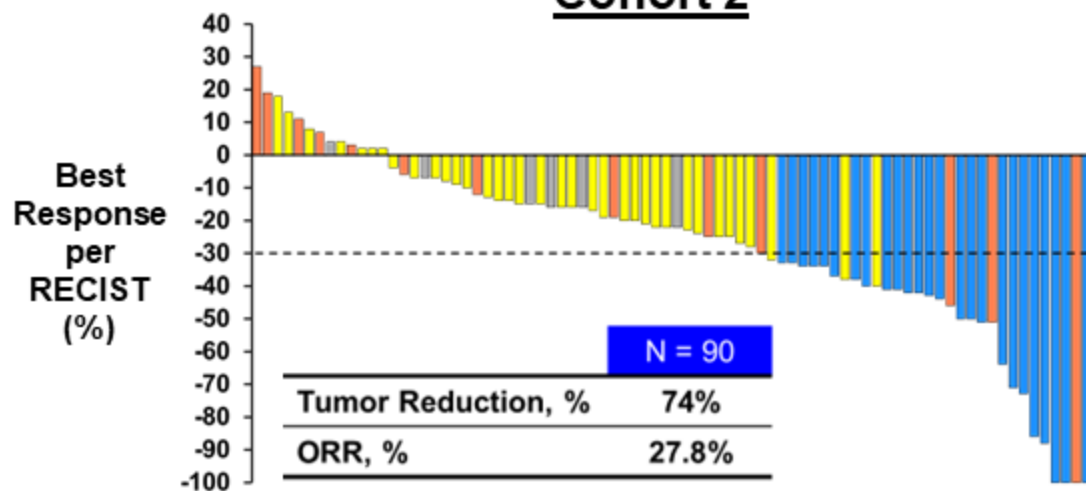
NA = not available

Recent Approvals for Oral Targeted Therapies

Drug (target)	ORR	PFS (months)	N
Pozitotinib (HER2 exon20)	28%	5.6	90
Mobocertinib (EGFR exon20) ¹	28%	7.3	114
Sotorasib (KRAS G12C) ²	28%	5.6	171

Poziotinib Efficacy

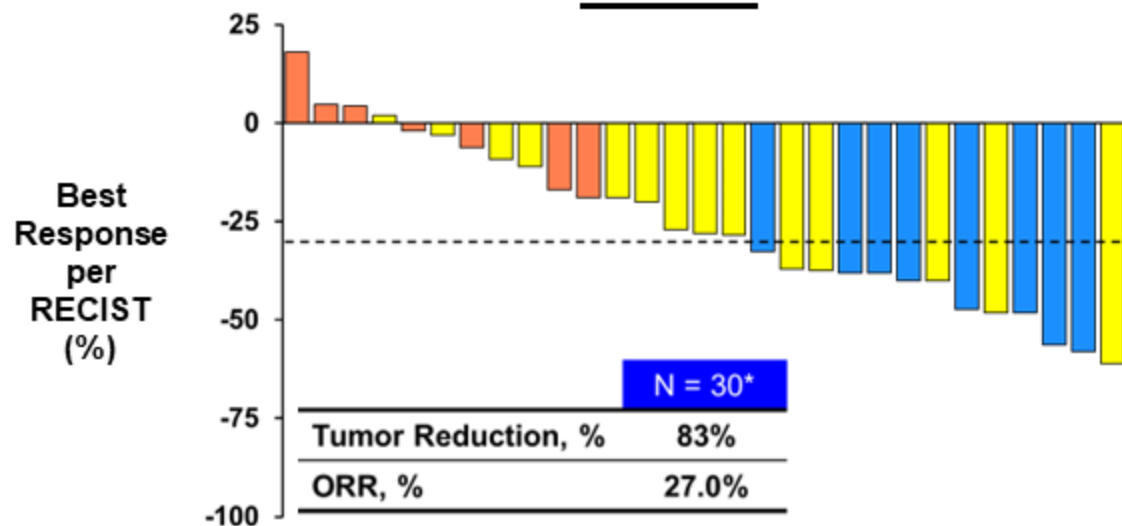
Cohort 2



Best Overall Response

- Complete Response
- Partial Response
- Stable Disease
- Progressive Disease
- Not Evaluable

MDACC



Cohort 4

