



# **Data Standards Program Action Plan**

**Version: 5.7**

**Document Date: November 9, 2022**

---

## REVISION HISTORY

Version Number	Revision Date	Description of Change
1.0	February 21, 2013	Initial Document
1.1	July 29, 2013	Quarterly Update
1.2	October 23, 2013	Quarterly Update
1.3	February 5, 2014	Quarterly Update
1.4	May 30, 2014	Quarterly Update
1.5	October 2, 2014	Quarterly Update
1.6	January 21, 2015	Quarterly Update
1.7	April 8, 2015	Quarterly Update
1.8	July 8, 2015	Quarterly Update
2.0	October 14, 2015	Update to reflect Data Standards Strategy v2.0 and quarterly project update
2.1	February 3, 2016	Quarterly Update
2.2	May 25, 2016	Quarterly Update
2.3	August 31, 2016	Quarterly Update
2.4	November 18, 2016	Quarterly Update
2.5	March 15, 2017	Quarterly Update
2.6	June 29, 2017	Quarterly Update
2.7	December 26, 2017	Quarterly Update
3.0	February 28, 2018	Update to reflect Data Standards Strategy FY2018-2022 and quarterly project update
3.1	April 30, 2018	Quarterly Update Identification of Medicinal Product (IDMP) Project description was updated to reflect the use cases for the adoption of the IDMP standards (e.g., quality and safety of medicinal products).
3.2	July 18, 2018	Quarterly Update
3.3	October 25, 2018	Quarterly Update
3.4	January 18, 2019	Quarterly Update
3.5	April 17, 2019	Quarterly Update
3.6	July 31, 2019	Quarterly Update
3.7	November 6, 2019	Quarterly Update
4.0	February 12, 2020	Project stages updated as applicable to each project Appendix A: Updated to reflect internal project stages Quarterly Update
4.1	April 22, 2020	Quarterly Update
4.2	July 29, 2020	Quarterly Update

4.3	October 21, 2020	Quarterly Update
5.0	January 29, 2021	Quarterly Update
5.1	May 25, 2021	Quarterly Update
5.2	August 27, 2021	Quarterly Update
5.3	October 26, 2021	Quarterly Update
5.4	February 9, 2022	Quarterly Update
5.5	May 5, 2022	Quarterly Update
5.6	August 10, 2022	Quarterly Update
5.7	November 9, 2022	Quarterly Update

## Table of Contents

1	Introduction .....	1
2	Purpose .....	1
3	Program Goals and Initiatives .....	1
	Goal 1: Incorporate Data Standards to Support More Efficient, Science-Based Pre-Market Review of Medical Products .....	2
	Goal 2: Improve the postmarket risk management strategies and pharmacovigilance & surveillance of medical products by using data standards .....	5
	Goal 3: Implement common data standards to improve the quality and integrity of marketed medical products.....	8
	Goal 4: Promote innovation in the development and use of data standards .....	10
	Goal 5: Ensure effective communication and collaboration with stakeholders on data standards .....	10
	Goal 6: Improve the management and usability of the volume of information through data standards .....	13
	Appendix A: Project Stage and Description .....	14
	Appendix B: Project to Goals/Objectives Mapping .....	16
	Appendix C: Glossary of Acronyms.....	18

## Tables

<b>Table 1. Pre-Market Projects</b> .....	3
<b>Table 2. Postmarket Projects</b> .....	6
<b>Table 3. Quality Projects</b> .....	8
<b>Table 4. Innovation Projects</b> .....	10
<b>Table 5. Communication Efforts</b> .....	11
<b>Table 6. Standard Development Project Stages</b> .....	14
<b>Table 7. Project Mapping</b> .....	16

## Figures

<b>Figure 1. Data Standards Strategy Goals</b> .....	1
--	---

## 1 Introduction

The purpose of the [CBER-CDER Data Standards Strategy](#) is to reinforce the ongoing commitment to the development, implementation, and maintenance of a comprehensive data standards program that will facilitate the pre- and post-market regulatory review process so that safe and effective medical products are available to patients.

This action plan aligns to the CBER-CDER Data Standards Strategy and reflects progress in CBER and CDER towards the defined goals and objectives. Projects selected for this action plan have started, and are resourced and funded, and have a scope that is primarily standards related.

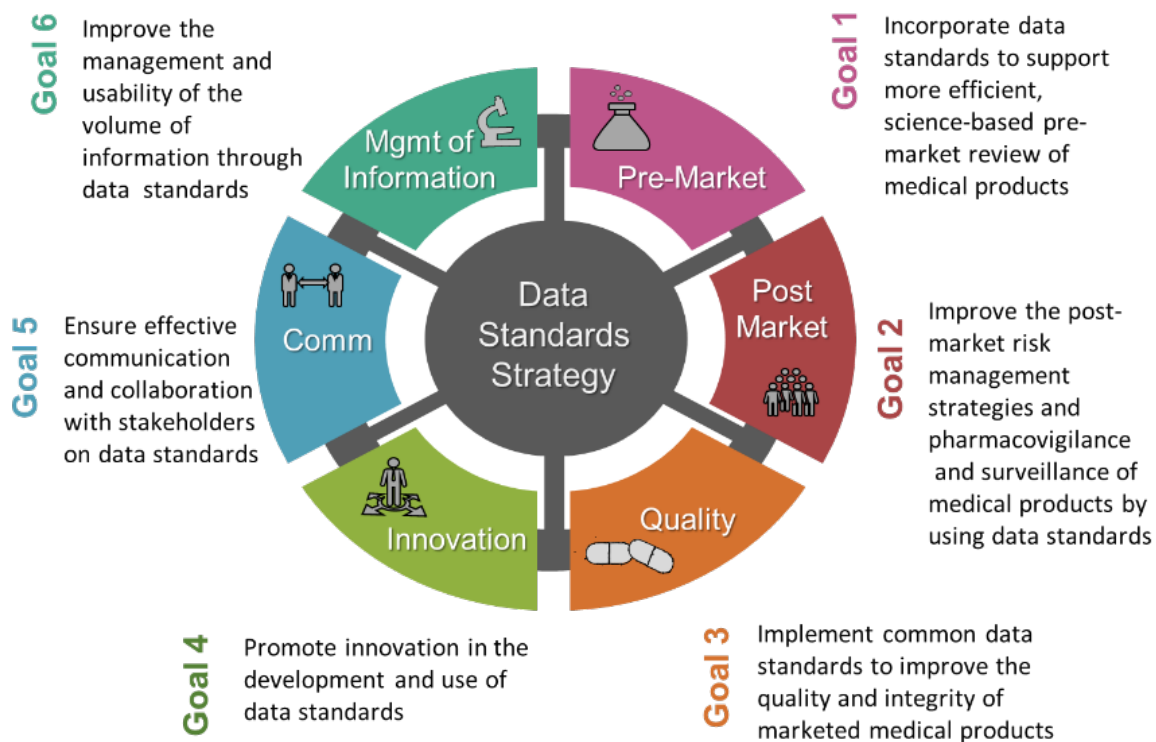
## 2 Purpose

This action plan provides a quarterly update to internal and external stakeholders, with an overview and progress update of current data standards initiatives. The plan will continue to be updated quarterly to reflect progress of current projects, as well as initiation of new projects.

## 3 Program Goals and Initiatives

The program goals are derived from the major areas of regulatory business activities. A detailed description of these major areas can be found in the CBER-CDER Data Standards Strategy. Projects in this section are organized by the goals outlined in the CBER-CDER Data Standards Strategy and shown below in **Figure 1**.

**Figure 1. Data Standards Strategy Goals**



For each project in this section, a project title, description, update, and project stage are provided. The project update reflects work done in the previous quarter (i.e., the February 2018 report highlights work from October to December 2017). Previous Data Standard Action Plans may be found on the [Data Standards Program Strategic Plan and Board webpage](#). The project stage lists the typical stages a project might address during work for the project and are generally conducted in sequence from left to right. Completed or planned stages are shown in gray, stage(s) in progress are in green and have an asterisk, and stage(s) that do not apply to a project are marked with diagonal stripes. The definitions of the project stage are defined in **Appendix A**.

### **Goal 1: Incorporate Data Standards to Support More Efficient, Science-Based Pre-Market Review of Medical Products**

Projects under Goal 1 generally address pre-market and submission standards. These include collaboration with stakeholders and Standards Development Organizations (SDO), and testing standards to be used for submission, content, and storage. Projects that highlight participation in initiatives focused on the harmonization of healthcare and clinical research data standards are highlighted here and further addressed in Goal 4.

**Table 1. Pre-Market Projects**

Project Title and Description	Project Status	Project Stage
<p><b>Study Data Standards Testing</b>                      This CBER-CDER project tests new and updated study data standards and standards adjacent properties to establish FDA support.</p>	<p>Q1: Evaluating the following for inclusion into Study Data Policy Framework</p> <ul style="list-style-type: none"> <li>• CDISC Non-standard Variables Batch 2</li> <li>• CDISC SDTMIG v3.4</li> <li>• CDISC ADaMIG v1.3</li> <li>• CDISC OCCDS v1.1</li> </ul> <p>Q2:</p> <ul style="list-style-type: none"> <li>• CDISC Non-standard Variables Batch 2</li> <li>• CDISC SDTMIG v3.4</li> <li>• CDISC ADaMIG v1.3</li> <li>• CDISC OCCDS v1.1</li> <li>• CDISC Screening, Staging, Monitoring for Pre-Clinical Type-1 Diabetes TAUG v1.0</li> <li>• CDISC Pancreatic Cancer TAUG v1.0</li> </ul> <p>Q3:</p> <ul style="list-style-type: none"> <li>• CDISC SDTMIG v3.4</li> <li>• CDISC OCCDS v1.1</li> <li>• CDISC SSM for T1D TAUG v1.0</li> <li>• CDISC Pancreatic Cancer TAUG v1.0</li> <li>• CDISC ADaM Examples of Traceability</li> <li>• CDISC ADaM Oncology Examples</li> <li>• CDISC Pediatrics User Guide</li> <li>• CDISC proposal on WHODrug B3 implementation in SDTM</li> <li>• CDISC proposal on multiple lab units Representation in SDTM</li> </ul> <p>Q4:</p> <ul style="list-style-type: none"> <li>• CDISC SDTMIG v3.4</li> <li>• CDISC ADaM Examples of Traceability</li> <li>• CDISC ADaM Oncology Examples</li> </ul>	<p style="text-align: center;"><b>Not Applicable</b></p>

Project Title and Description	Project Status	Project Stage						
<p><b>eCTD v4.0 Project</b>                      This CBER-CDER project focus is the development, testing, adoption, and implementation of the next major version of the electronic Common Technical Document (eCTD), version 4, which includes two-way communication. FDA currently uses eCTD version 3.2.2.</p>	<p>Q1: FDA received an eCTD v4.0 vendor software update and will begin testing in FY22 Q2. ICH M8 posted an updated eCTD v4.0 Q&amp;A document.                      Q2: FDA is preparing to conduct an eCTD v4.0 Technical Pilot. Industry pilot participants will start sending test submissions by the end FY Q3. Testing will continue into FY23 Q1.                      Q3: ICH posted an updated eCTD v4.0 Implementation Package. The update includes replacement of the Transition Mapping Message with a Forward Compatibility approach that enables life cycle and document reuse of v3.2.2 content.                      Q4: FDA published updated <a href="#">eCTD v4.0 regional documentation</a> to incorporate Forward Compatibility functionality.</p>	Req Definition	Alt Analysis	Development	Testing	Adoption*	Implementation	Policy
<p><b>Source Data Capture from EHRs: Using Standardized Clinical Research Data</b>                      This CDER project is working to demonstrate an approach to collecting data for clinical trials that populates an electronic data capture (EDC) system directly from an electronic health record (EHR) system and document improvements to efficiency and accuracy compared to traditional methodologies.</p>	<p>Q1: Demonstration of current iteration of development.                      Q2: Continued development.                      Q3: Continued development and preparing for an upcoming demonstration in Q4.                      Q4: Continued development.</p>	Not Applicable						



Project Title and Description	Project Status	Project Stage
<p><b>Questionnaires, Ratings and Scales (QRS) Assessment</b>                      This CDER project focus is on evaluations of standard data structures that capture the information from Questionnaires, Ratings, and Scales administered to subjects during a clinical study and prioritize the data collection instruments indicated in the Clinical Outcomes Assessment (COA) area</p>	<p>Q1: Evaluating CDISC QRS Hamilton Depression Rating Scale (HAMD 17).                      Q2: Assessments ongoing. Continue to evaluate Hamilton Depression Rating Scale (HAMD 17).                      Q3: Assessments ongoing.                      Q4: Assessments ongoing.</p>	<p style="text-align: center;"><b>Not Applicable</b></p>
<p><b>Evaluation of Modernization of Submission File Transport</b>                      The project focus is to evaluate the technical capability to enable the enhanced capability for electronic transport of regulatory submissions. Exploring the IT infrastructure impact of transitioning from SAS Transport V5 to SAS V8 or other potential interim systems.</p>	<p>Q1: Completed requirements gatherings and exploring Standards Alternatives (JSON, SAS V8, XML, CSV) in context of FDA requirements.                      Q2: Obtained data for initial testing (SDTM) and converted it to the four candidate formats (SAS Transport V8, JSON, XML, CSV).                      Q3: Initiated testing of the candidate transport formats for ingestion/processing by agency systems and tools.                      Q4: Completed evaluation of candidate transport formats. Final project report delivered.</p>	<p style="text-align: center;"><b>Not Applicable</b></p>

**Goal 2: Improve the postmarket risk management strategies and pharmacovigilance & surveillance of medical products by using data standards**

Projects for Goal 2 address standards identification and use in FDA’s mission to protect public health through medical product safety and postmarketing surveillance. Projects that highlight the communication of essential risk evaluation and mitigation strategies and standards for electronic transmission of individual case safety reports with external stakeholders are highlighted here.

**Table 2. Postmarket Projects**

Project Title and Description	Project Update	Project Stage						
<p><b>Grant Project: Investigating Support for 21 CFR 11 Compliance Using HL7 FHIR:</b> As a use case for enabling implementation of audit trailing and provenance capabilities in Real World Data research, this grant is evaluating approaches to build out elements of the HL7 FHIR standard to support these capabilities. An initial use case is to add audit trail support to FHIR Resources used for recording Patient Reported Outcomes (PROs).</p>	<p>Q1: Grantee has developed early draft implementation guide as a proposed approach to representing audit trail events in FHIR data. Grantee continuing work with relevant HL7 FHIR workgroups to determine if work is sufficient to engage as an HL7 project. Q2: No update. Q3: No update. Q4: Grantee continuing work and white paper development.</p>	Req Definition	Alt Analysis	Development*	Testing	Adoption	Implementation	Policy
<p><b>Biologics Effectiveness and Safety (BEST) Innovative Methods (IM)</b> Leverages Artificial Intelligence (AI), Machine Learning (ML), FHIR standards and SMART-on-FHIR to develop a semi-automated adverse event reporting system from EHRs. The system uses such innovative methods to detect exposures/outcomes of biologics and facilitates validation and reporting of flagged cases to the FDA. Project goals include development of tools, methods and techniques needed to reduce the burden on providers to report adverse events (AEs) accurately and efficiently, which is critical to strengthen the postmarket active surveillance program of CBER-regulated products.</p>	<p>Q1: FHIR IG is officially published as STU 1 Ballot (<a href="https://build.fhir.org/ig/HL7/fhir-icsr-ae-reporting/branches/main/index.html">https://build.fhir.org/ig/HL7/fhir-icsr-ae-reporting/branches/main/index.html</a>). Q2: ONC added the FHIR IG developed by BEST to the Interoperability Standards Advisory (ISA) in the content/Structure component at <a href="https://www.healthit.gov/isa/adverse-event-reporting">https://www.healthit.gov/isa/adverse-event-reporting</a> Q3: The <a href="#">FDA/CBER BEST Pilot</a> on eHealth Exchange is moving forward. HL7 published case study featuring <a href="#">BEST Pilot FHIR-based platform</a>. Q4: The BEST Pilot on eHealth Exchange (eHx) is Live. First Use Case of Validation (BEST querying eHx network) of an adverse event report is in progress, with Cedars-Sinai. Planning Push Use case (eHx participants send AE reports to BEST). Kicked-off a Proof-of-Concept Project in CBER to establish a FHIR Server to explore display and visualization of FHIR-based AE reports from the pilot.</p>	Req Definition	Alt Analysis	Development*	Testing*	Adoption	Implementation	Policy

Project Title and Description	Project Update	Project Stage						
<p><b>FDA Adverse Event Reporting System (FAERS) II</b> CDER and CBER project is receipt and processing of Investigational New Drug (IND) safety reports submission using E2B R3 standards.</p>	<p>Q2: The Pre-Market IND Safety Reports and ICH E2B (R3) Working Group is addressing updates to the following guidance documents: Electronic Submission of IND Safety Reports - Technical Conformance Guide and FDA Regional Implementation Guide for E2B(R3) Electronic Transmission of Individual Case Safety Reports for Drug and Biological Products.</p> <p>Q3: FDA posted the following guidance documents to FAERS electronic submission page: Electronic Submission of IND Safety Reports - Technical Conformance Guide; and Technical Specifications Document - FDA Regional Implementation Guide for E2B(R3) Electronic Transmission of Individual Case Safety Reports for Drug and Biological Products.</p> <p>Q4: Continued finalizing system requirements specifications.</p>	Req Definition	Alt Analysis	Development*	Testing	Adoption	Implementation	Policy

Goal 3: Implement common data standards to improve the quality and integrity of marketed medical products

Projects for Goal 3 address medical product quality and identification of contamination and other production failures with common data standards. Projects that highlight the development and implementation of data standards that describe manufacturing and testing of medical products, International Standards Organization (ISO) standards implementation, and complete essential facility and manufacturing information through submission requirements are highlighted here.

**Table 3. Quality Projects**

Project Title and Description	Project Update	Project Stage						
<p><b>Pharmaceutical Quality/ Chemistry, Manufacturing, and Controls Data Standardization</b></p> <p>This CDER project with participation from CBER and CVM will identify and standardize data elements, terminologies, and data structures to enable automation of key analyses of Pharmaceutical Quality (PQ)/ Chemistry, Manufacturing, and Controls (CMC) data to support more efficient and effective regulatory decision-making.</p>	<p>Q1: Began data standards development for Phase 2 data domains. Developing a public facing PQ/CMC webpage to provide an overview of all current project activities.</p> <p>Q2: Published FRN that provides the updated PQ/CMC Phase 1 data elements and controlled terminology, as well as draft FHIR mappings (<a href="https://www.regulations.gov/document/FDA-2022-N-0297-0001">https://www.regulations.gov/document/FDA-2022-N-0297-0001</a>).</p> <p>Q3: Reviewing public comments to the FRN with FDA Subject Matter Experts.</p> <p>Q4: Continued development.</p>	.Req Definition	Alt Analysis	Development*	Testing*	Adoption	Implementation	Policy

Project Title and Description	Project Update	Project Stage						
<p><b>IDMP Project</b></p> <p>This project has multiple use cases focused on the adoption of ISO Identification of Medicinal Product (IDMP) standards: 1. Medicinal Product ID (MPID), 2. Substance ID (SubID), 3. Pharmaceutical Product ID (PhPID), 4. Route of Administration, Dosage Form, and 5. Units of Measure.</p> <p>These ISO standards define medicinal product information for regional and global data sharing. Generally, the use cases focus on safety (e.g., ICSRs) and can support quality (e.g., PQ / CMC).</p>	<p>Q1: Continued pursuing global harmonization in IDMP implementation via EU/FDA collaboration, ISO, and EU UNICOM project, and newly established Global IDMP Working Group (GIDWG) with EMA and WHO-UMC.</p> <ul style="list-style-type: none"> <li>• Dose form documents, ISO 11239 and TS 20440, have been revised and are being balloted.</li> <li>• Conducted two meetings of the GIDWG and established resources to work on 5 pilots in 2022.</li> <li>• Conducted a public webinar on the GIDWG activities for stakeholders.</li> </ul> <p>Q2: Kicked off 4 GIDWG projects to identify and develop consensus on processes, best practices and operating model for maintenance of global identifiers for marketed medicinal products.</p> <p>Q3: Established high level process and business rules to assign Global Substance Identification (GSID) and to assign Dosage Form Characteristics and strength mapping for the generation of Global PhPIDs based on selected data set from FDA &amp; ANVISA products during Phase 1 GIDWG pilots. Progressing to Phase 2 to resolve challenges identified in Phase 1 and continue exploring challenges with broader data set.</p> <p>Q4: Continued with GIDWG pilots to identify additional challenges and business rules to generate Global PhPIDs.</p>	Req Definition	Alt Analysis	Development*	Testing*	Adoption	Implementation	Policy
<p><b>Post Approval Changes Rulemaking &amp; Submission Standards</b></p> <p>This CBER-CDER project is focused on improving the usability of post approval submissions data.</p>	<p>Q1: The project is in the proposed rule stage and is undergoing internal agency review.</p> <p>Q2: No update.</p> <p>Q3: No update.</p> <p>Q4: No updates as internal agency review is underway.</p>	Req Definition*	Alt Analysis	Development	Testing	Adoption	Implementation	Policy

### Goal 4: Promote innovation in the development and use of data standards

Projects for Goal 4 address research and development in pursuit of innovation to keep pace with advances in medical science and regulatory review. Projects that highlight implementation of new data standards, encourage the use of electronic health records to support clinical trials, and evaluation of the feasibility of representing real world data in an electronic standardized format are highlighted here.

**Table 4. Innovation Projects**

Project Title and Description	Project Update	Project Stage						
<p><b>Assessing Applicable Data Standards for Use in Submission of Real World Data to FDA</b>                      FDA is examining Real World Data (RWD) and data standards to support submission of RWD to FDA. This assessment will help determine a roadmap for applying data standards for RWD submission to FDA.</p>	<p>Q1: Evaluation of existing submissions for examples of RWD questions to determine (via multiple iterations of assessment and analyses) core RWD needs in FDA submissions.                      Q2: Analysis continuing.                      Q3: Reviewing initial conceptual data models.                      Q4: Finalizing FY22 deliverables.</p>	Req Definition	Alt Analysis*	Development	Testing	Adoption	Implementation	Policy
<p><b>SPL FHIR</b>                      FDA is examining HL7 FHIR as an alternative to Structured Product Labeling (SPL). Currently the SPL data exchange standard is a modified version of HL7 version 3 data standard. Since HL7 is sunsetting HL7 in favor of HL7 FHIR, FDA is working to determine if an HL7 FHIR can support the same functionality and use cases as the current SPL standard.</p>	<p>Q1: Early draft FHIR implementation guide and internal proof of concept developed.                      Q2: Continued proof of concept and Implementation Guide development.                      Q3: FHIR Implementation Guide being reviewed with HL7 Biomedical Research and Regulatory Workgroup.                      Q4: Participated in HL7 FHIR Connectathon.</p>	Req Definition	Alt Analysis*	Development	Testing	Adoption	Implementation	Policy

### Goal 5: Ensure effective communication and collaboration with stakeholders on data standards

Program operations for Goal 5 execute CBER and CDER’s communication and collaboration with internal and external stakeholders for the successful development, implementation, and use of data standards to support regulatory review of medical products. Document updates that report progress towards meeting FDA goals are highlighted here.

**Table 5. Communication Efforts**

Program Operations	Updates
<p><b>Webpage Updates</b></p>	<p>The following webpages were updated in FY2022 with documents referenced below:</p> <ul style="list-style-type: none"> <li>• <a href="#">Electronic Common Technical Document (eCTD)</a></li> <li>• <a href="#">Study Data Standards Resources</a></li> <li>• <a href="#">Study Data for Submission to CDER and CBER</a></li> <li>• <a href="#">eCTD v4</a></li> <li>• <a href="#">Pharmaceutical Quality/Chemistry, Manufacturing &amp; Controls (PQ/CMC)</a></li> <li>• <a href="#">IDMP</a></li> </ul>
<p><b>Federal Register Notices (FRNs)</b></p>	<p>The below FRNs were published FY2022:</p> <p>Q1: No Data Standards related FRNs were published during FY2022 Q1.</p> <p>Q2:</p> <ul style="list-style-type: none"> <li>• Draft Pharmaceutical Quality/Chemistry Manufacturing and Controls Data Exchange; Request for Comments was published on March 18, 2022.</li> <li>• SENDIG v3.1.1 with the Date Support begins and the Date Requirement begins.</li> </ul> <p>Q3: No update.</p> <p>Q4: No update.</p>

Program Operations	Updates
<b>eCTD Submission Standards</b>	<ul style="list-style-type: none"> <li>• Q1: File Format Specifications, v7.0</li> <li>• Q1: eCTD Technical Conformance Guide v1.6</li> <li>• Q2: Ended support for US Regional DTD v2.01</li> <li>• Q2: eCTD Technical Conformance Guide v1.7</li> <li>• Q2: Specifications for eCTD Validation Criteria v4.3</li> <li>• Q3: Specifications for eCTD Validation Criteria v4.5</li> <li>• Q4: Specifications for eCTD Validation Criteria v4.6</li> <li>• Q4: File Format Specifications, v8.0</li> </ul>
<b>Technical Specifications, Catalog, and Conformance Guide Updates</b>	<p>Q1: The Study Data Technical Conformance Guide (sdTCG) v4.8.1 was published in October 2021.</p> <p>Q2: FDA Data Standards Catalog v8.0 was published in February 2022. The sdTCG v4.9 was published in March 2022.</p> <p>Q3: Versions 8.1 and 8.2 of the FDA Data Standards Catalog were published in June and August 2022. Electronic Submission of IND Safety Reports - Technical Conformance Guide; and Technical Specifications Document were published in April 2022.</p> <p>Q4: BIMO Technical Conformance Guide v3.0 was published August 2022.</p>
<b>Action Plan</b>	<p>Q1: The Data Standards Action Plan v5.4 was published February 9, 2022.</p> <p>Q2: The Data Standards Action Plan v5.5 was published May 6, 2022.</p> <p>Q3: The Data Standards Action Plan v5.6 was published August 10, 2022.</p>
<b>Annual Assessment</b>	<p>Q2: Published on March 8, 2022.</p>



Program Operations	Updates
<p><b>Outreach Opportunities, Public Meetings &amp; Educational Activities</b></p>	<p>FDA Webinars are planned to focus on various data standards topics.</p> <p>Q1: October 2021:</p> <ul style="list-style-type: none"> <li>• Annual Pharmaceutical Regulatory Operations &amp; Submissions Conference: eCTD Guidance and Specifications Updates</li> </ul> <p>Q1: November 2021:</p> <ul style="list-style-type: none"> <li>• CDISC SEND F2F Conference: Study Data Technical Rejection Criteria</li> <li>• AAM GRx+Biosims Conference: FDA Technical Rejection Criteria for Study Data</li> <li>• R/Pharma Conference: Submitting Data to CDER: Requirements for your Application</li> </ul> <p>Q2: January 2022:</p> <ul style="list-style-type: none"> <li>• CDER Small Business &amp; Industry Assistance (SBIA) Webinar: Toward Global Identification of Medicinal Products (IDMP) Implementation: A Focus on Biologics</li> </ul> <p>Q3: April 2022:</p> <ul style="list-style-type: none"> <li>• PDUFA VI Annual Public Meeting on Electronic Submissions and Data Standards</li> <li>• CDISC SEND F2F Conference</li> </ul> <p>May 2022:</p> <ul style="list-style-type: none"> <li>• PhUSE US Connect 2022</li> <li>• Pharma SUG 2022</li> </ul> <p>June 2022:</p> <ul style="list-style-type: none"> <li>• SBIA REDI Annual Conference</li> </ul> <p>Q4: September 2022:</p> <ul style="list-style-type: none"> <li>• PhUSE/FDA CSS 2022</li> <li>• HL7 FHIR Connectathon</li> </ul>

**Goal 6: Improve the management and usability of the volume of information through data standards**

As outlined in the [Data Standards Strategy](#) document, technology is critically important and serves as an enabler for reviewers to access and use large amounts of data and information that is received and generated. Several data standards development projects are already underway, as highlighted earlier in this document, to promote access to high-quality, standardized data including the PQ/CMC Standardization and IDMP projects. CDER also continues to define and enhance ways to better capture information created

internally to support continued knowledge management activities. Progress towards the Goal 6 objectives will be highlighted annually in the Data Standards Program Annual Assessment and not tracked quarterly.

## Appendix A. Project Stage and Description

**Table 6. Standard Development Project Stages**

Stage Name	Stage Description
Define Scope and Requirement (Req Definition)	<p>A plan is developed that can include a description of the data standard need, impact on tools, processes, and information technology infrastructure, high-level concept of operations, future state benefits, and high level requirements.</p> <p>For study data-related projects, FDA subject matter experts and document resources (e.g., case report forms, guidance documents) are used to develop requirements for study data standards development.</p>
Analyze Alternatives (Alt Analysis)	<p>If needed, FDA can conduct alternative analyses to assess options and recommendations for addressing the data standards need defined in the business case. Stakeholder input is a critical part of this effort and could include a request for public comment or input in addition to planned communications.</p>
Development	<p>The FDA subject matter experts conduct an iterative process of data element identification (e.g., elements needed to describe the study primary endpoint), definition, and validation, and conduct a review with defined expert groups.</p>
Test Standards (Testing)	<p>A project may be required to test that all identified factors are assessed (e.g., scale, impact, suitability for FDA regulatory review needs, compatibility with FDA infrastructure) and that all policy, regulatory, guidance, and technical specification needs are identified.</p>
Determine Data Standard Adoption (Adoption)	<p>If needed, policy, regulatory, guidance, and technical specification needs that were identified for a given data standards change are addressed to support implementation.</p>
Implement Standard (Implementation)	<p>The data standard change is being implemented into the FDA environment. This phase includes all the steps to make this part of the regulatory review process. Implementation is considered complete when data can be successfully processed, reviewed, and archived utilizing the new standard.</p>

<b>Stage Name</b>	<b>Stage Description</b>
Policy	FDA may publish an FRN or guidance, as well as post relevant technical specifications or technical conformance guides, as needed.

## Appendix B: Project to Goals/Objectives Mapping

The following table maps the projects listed in the tables above to the objectives outlined for each goal in the CBER-CDER Data Standards Strategy. Some projects may align to more than one goal and objective.

**Table 7. Project Mapping**

Projects	GOAL	PROJECT STATUS						
		Req Definition	Alt Analysis*	Development	Testing	Adoption	Implementation	Policy
Study Data Standards Testing	1							
eCTD v4.0 Project	1						X	X
Source Data Capture from EHRs: Using Standardized Clinical Research Data	1							
Questionnaires, Ratings and Scales Assessment	1							
Transferring Harmonized Laboratory Data from Healthcare Institutions to Registries Using FHIR Protocol	1							
Evaluation of Modernization of Submission File Transport	1							
Grant Project: Investigating Support for 21 CFR 11 Compliance Using HL7 FHIR	2			X				
Biologics Effectiveness and Safety (BEST) Innovative Methods (IM)	2				X			
FAERS II	2	X						
Pharmaceutical Quality (PQ)/, Chemistry, Manufacturing, and Controls (CMC) Data Standardization	3			X	X			
IDMP Project	3			X	X			
Post Approval Changes Rulemaking & Submission Standards	3	X						

		PROJECT STATUS						
Projects		Req Definition	Alt Analysis*	Development	Testing	Adoption	Implementation	Policy
	GOAL							
Assessing Applicable Data Standards for Use in Submission of Real World Data to FDA	4		X					
SPL FHIR	4		X					

## Appendix C: Glossary of Acronyms

ADaM	Analysis Data Model
AI	Artificial Intelligence
API	Applied Program Interfaces
BR&R	HL7 Biomedical Research and Regulation Group
BRIDG	Biomedical Research Integrated Domain Group
CBER	Center for Biologics Evaluation and Research
CDER	Center for Drug Evaluation and Research
CDISC	Clinical Data Interchange Standards Consortium
COA	Clinical Outcomes Assessment
DF	Dosage Form
eCTD	Electronic Common Technical Document
EDC	Electronic Data Capture
EDQM	European Directorate for Quality Medicines
EHR	Electronic Health Record
FHIR	Fast Healthcare Interoperability Resources
FRN	Federal Register Notices
FY	Fiscal Year
GSRS	Global Substance Registration System
HCT/P	Human Cells, Tissues and Cellular and Tissue-Based Products
HL7	Health Level Seven
ICH	International Council for Harmonisation
ICSR	Individual Case Safety Report
IDMP	Identification of Medicinal Product
IND	Investigational New Drug
ISO	International Organization for Standardization
ML	Machine Learning
MPID	Medicinal Product Identifier
MSG	Metadata Submission Guideline
NDC	National Drug Codes
PCORTF	Patient-Centered Outcomes Research Trust Fund
PDUFA	Prescription Drug User Fee Act
PhPID	Pharmaceutical Product Identifier
PQ/CMC	Pharmaceutical Quality/ Chemistry, Manufacturing, and Controls
REMS	Risk Evaluation and Mitigation Strategies
RoA	Route of Administration
SDO	Standards Development Organization
SDTMIG	Study Data Tabulation Model Implementation Guide
SEND	Standard for Exchange of Nonclinical Data
SENDIG	Standard for Exchange of Nonclinical Data Implementation Guide
SENDIG-AR	Standard for Exchange of Nonclinical Data Implementation Guide: Animal Rule
SME	Subject Matter Expert
SPL	Structured Product Labeling
TA	Therapeutic Area
TAUG	CDISC Therapeutic Area User Guide
TCG	Study Data Technical Conformance Guide
UMC	Uppsala Monitoring Centre

UNICOM	Up-scaling the global univocal identification of medicines
UNII	Unique Ingredient Identifier
UoM	Units of Measure
USCDI	United States Core Data for Interoperability
WHO	World Health Organization