

# Modernizing Manufacturing Assessment through KASA

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# Overview



- KASA for generic drug application
- Integrated manufacturing assessment
- Facilities and manufacturing risk assessment
- Facilities and unit operations assessment in KASA
- KASA analytics



# KASA

Generics | New Drugs | Biologics

KASA: Knowledge-aided Assessment and Structured Application

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The KASA system allows FDA to intake application data and capture critical assessment information in a **structured format.**

## Drug Product Assessment

Iteration Name	Status	Action
Original Review	Finalized	Load
IR Response	Draft	Load

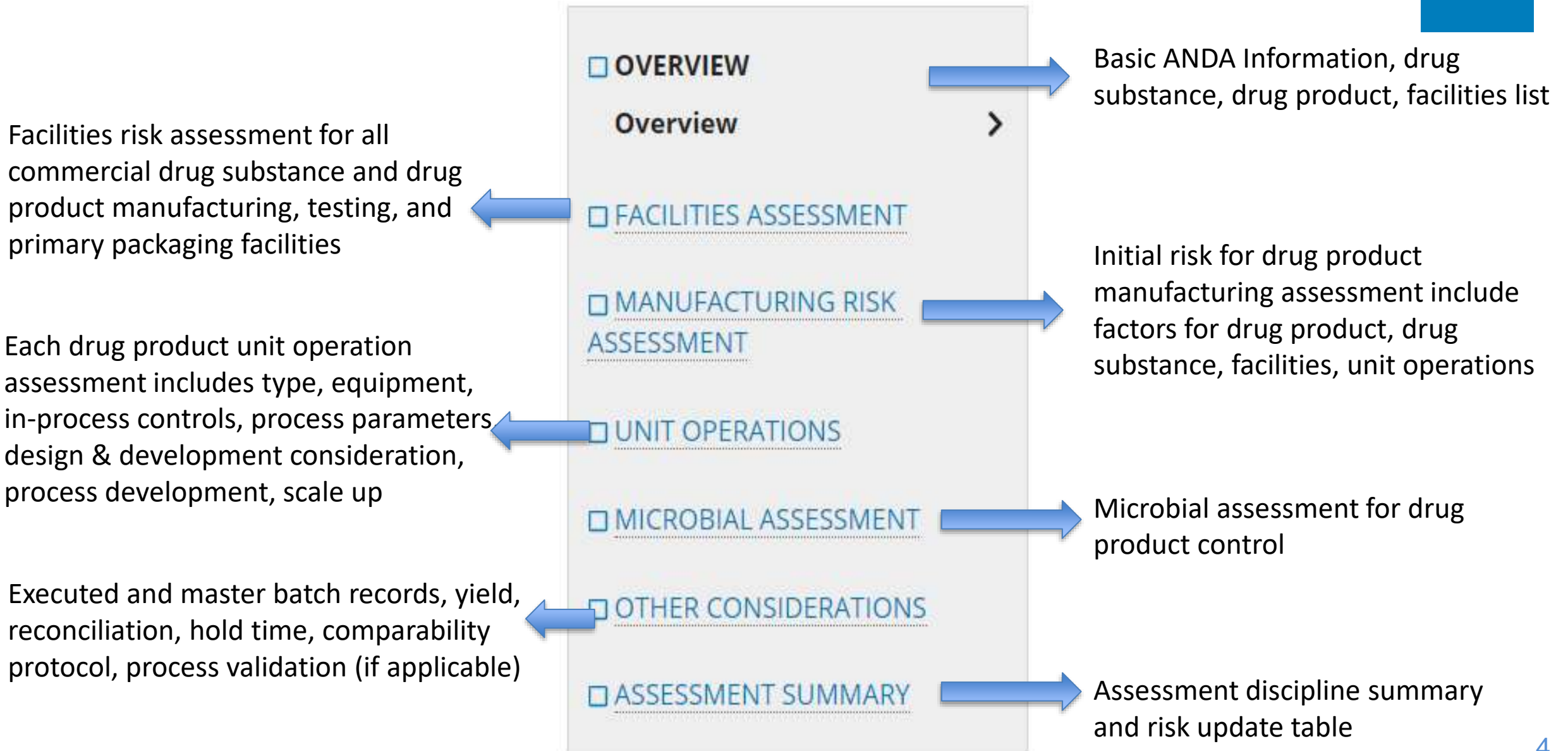
## Manufacturing Integrated Assessment

Iteration Name	Status	Action
Original Review	Draft	Load

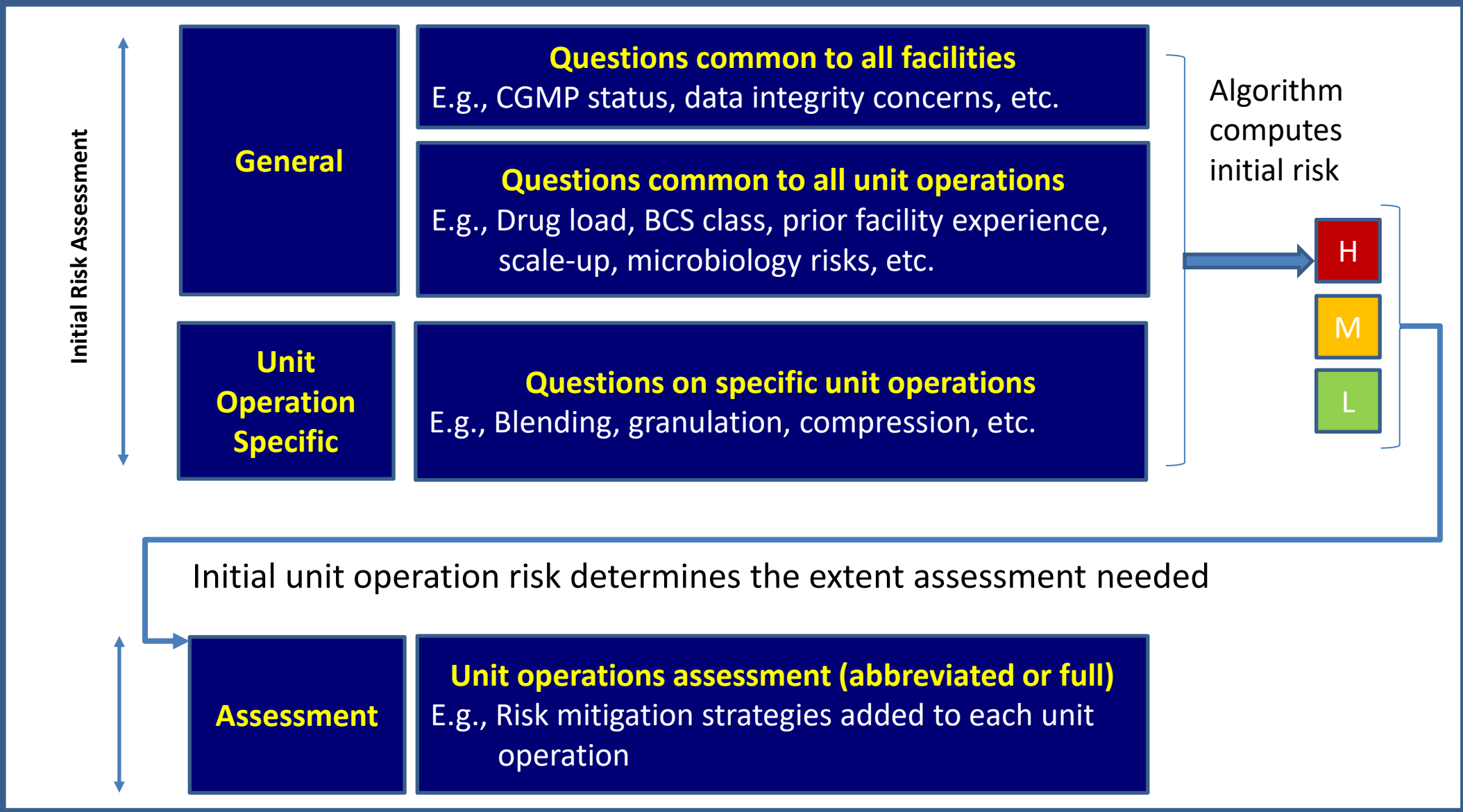
## Biopharmaceutics Assessment

Iteration Name	Status	Action
Original Review	Draft	Load

# Integrated Manufacturing Assessment



# Manufacturing Risk Assessment & Control



KASA Interface for Precedent System

# Facility: Risk based Assessment



- Establishment is named for the first time
- First application filed by applicant
- New dosage form not previously approved at the site
- Substantially different or novel manufacturing process/design than previously approved
- Concerns about firm's quality systems
- Questions about the firm's capability of manufacturing quality products
- Scale-up concerns
- Product specific concerns

**Pre-Approval Inspection:** Supports review & approval of marketing applications for drug products

**Post approval Inspection:** Initiated after approval to verify that commercial-scale manufacturing and drug quality are per approved application

**Alternate tools:** could be used in advance or in lieu of inspection. 704(a)(4), remote interactive evaluations, mutual recognition agreements are some examples



# Facility Assessment in KASA

Facility 1:  FEI:

Profile Code(s):

Manufacturing  Packaging

[CMS](#)

[Mercado](#)

[OSAR](#)

[Previous Facility Evaluation](#)

ANALYTICS

Primary Packaging

## Initial Facility Assessment

Process Experience

Quality oversight

Quality defect signals

Data concerns

Other Potential contributing risk factors

**CALCULATE INITIAL RISK**

## PAI Recommendation

PAI/704(a)(4)?

## Reviewer Evaluation

*Comment on inspection / 704 (a)(4) request dates and Assessor participated in PAI?*



**SEC**

## Evaluation of DP Facility

Facility Status Assessment

# Unit Operation Risk based Assessment



## ☐ Product & process development

- **Drug load:** Low, medium, high
- **Solubility of API:** High or low
- **Physical properties of API/Excipients:** Flow, static charges, particle size, polymorphism as they relate to manufacturability or product performance
- **Stability**
  - Aqueous stability: wet granulation versus direct compression
  - Thermal stability: possibility of form conversion, degradation
- **Formulation:** Impact on CQAs, stability
  - API & Excipient Compatibility: possibility of degradation with the process selected

## ☐ Process reliability (e.g., performance under different operating conditions, at different scales or with different equipment)

- **Process control:** On-line/in-line/at-line or off-line



**Keep an end in mind: Are the process & control strategies fit for purpose & scalable?**



# Unit Operation Assessment in KASA



## 1 Lubrication

FEI:

Drug Substance:

Manufacturing Risk Score:

### Commercial Manufacturing Conditions

Type of Blending  
 **SEC**

Equipment  
 **SEC**

Additional Comment  
 **SEC**

Proposed In-Process Control  Not applicable

ANALYTICS

In-Process Controls	Proposed Specification and Sampling Plan				
<input type="text" value="---Select---"/>	<input type="text"/>		<b>SEC</b>	<b>+</b>	<b>×</b>

Proposed Process Parameter  Not applicable

Process Parameters	Comment				
<input type="text" value="---Select---"/>	<input type="text"/>		<b>SEC</b>	<b>+</b>	<b>×</b>

# Unit Operation Assessment in KASA contd



## Design & Development

Special Considerations  Not applicable

Special Considerations	Supporting Information & Comment					
<input type="text" value="---Select---"/>	<input type="text"/>					

Process Development  Not applicable

Consideration	Supporting Information & Comment					
<input type="text" value="---Select---"/>	<input type="text"/>					

## Scale Up Proposal

Consideration	Supporting Information & Comment					
<input type="text" value="---Select---"/>	<input type="text"/>					

## Overall Evaluation of Lubrication

Assessment	Reviewer Evaluation				
<input type="text" value="---Select---"/>	<input type="text"/>				

# Manufacturing Risk Control



	Initial Risk	Unit Operation	Manufacturing Risk Control Dropdown Menu		Assessment Comment	Supporting Information Link
CQA 1 / Dissolution	High/ Medium/ Low	Wet Granulation	Process Factor	Approach A	} Descriptors: Process Design & Development, In-Process Controls, Scale up approaches	
				Approach B		
		Approach C				
		Compression	Facility Factor	Approach H		
Approach I						
Compression	Process Factor	Approach M				
		Approach N				
Compression	Facility Factor	Approach O	} Descriptors: Prior experience, Site History			
		Approach S				
Approach T						
Approach V						

# KASA Analytics-Facilities



Access information on approved sites: (a) site's capability to manufacture various dosage forms; (b) CGMP history; (c) approved control strategy for available unit operations



Pending application facility assessment

Proposed site has demonstrated capability, proposed process control strategy is in alignment with prior information: **Low Risk**

Proposed site has not demonstrated capability, proposed process control strategy is not in alignment with prior information: **More Scrutiny**

KASA improves overall efficiency and helps making regulatory decision by improving the manufacturing and facilities knowledge management

# The End Game

IT advancements  
to modernize how  
regulatory  
assessments are  
conducted

Structured data  
and knowledge  
management will  
ensure consistency  
and objectivity in  
regulatory  
decision making

Leads to quality  
drugs that are reliable  
throughout their  
lifecycle



Thank You



*Effective leadership* Collaborative relationships

Encourage innovation Risk-based approaches

———— ***One Quality Voice*** ————

**Patients first** Team-based processes

**Developing and utilizing staff expertise**

**Scientifically-sound quality standards**

## Challenge Question: KASA for Manufacturing Assessment

**KASA improves overall efficiency and helps making regulatory decision by improving the manufacturing and facilities knowledge management**

**A. True**

**B. False**