

GDUFA III Metrics

Russell Storms and Ted Sherwood

Office of Regulatory Operations
Office of Generic Drugs
Center for Drug Evaluation and Research
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Agenda

- GDUFA III Generic Drugs Monthly and Quarterly Activities Report
- Key Stats Explained
- Observations
- What to Watch

Learning Objectives

- Describe the layout of the new GDUFA III Generic Drugs Program Monthly and Quarterly Activities Report
- Recognize the salient metrics of the GDUFA III Program Monthly and Quarterly Activities Report

GDUFA III Generic Drugs Program Monthly and Quarterly Activities Report



Link: <https://www.fda.gov/industry/generic-drug-user-fee-amendments/generic-drugs-program-monthly-and-quarterly-activities-report>

The screenshot shows the FDA website page for the GDUFA III Generic Drugs Program Monthly and Quarterly Activities Report. The page includes a navigation bar with the FDA logo and search/menu options. The main heading is "Generic Drugs Program Monthly and Quarterly Activities Report". Below the heading are social media sharing icons for Facebook, Twitter, LinkedIn, Email, and Print. A paragraph of text explains that with the start of GDUFA III in FY 2023, the Generic Drugs Program monthly and quarterly activities reports were combined into one report, and reported metrics have been updated to reflect reporting requirements outlined in the GDUFA III Commitment Letter. A table titled "ACTIONS BY MONTH" provides a breakdown of activities from October 2022 to September 2023. The table shows metrics for Approvals (Total: 118), Tentative Approvals (Total: 26), Complete Responses (Total: 268), and Original ANDA Refuse to Receive (Total: 3). A sidebar on the left lists various FDA programs, and a sidebar on the right indicates the content is current as of 01/11/2023 and regulated product(s) are Drugs.

Generic Drug User Fee Amendments

GDUFA III Reauthorization

Pre-ANDA Program

ANDA Assessment Program

Controlled Correspondence

Drug Master File (DMF) Assessments

Changes for Facilities

User Fee Resource Management Program

With the start of [GDUFA III](#) in FY 2023, the Generic Drugs Program monthly and quarterly activities reports were combined into one report. Also, reported metrics have been updated to reflect reporting requirements outlined in the [GDUFA III Commitment Letter](#).

Content current as of: 01/11/2023

Regulated Product(s): Drugs

ACTIONS BY MONTH	Oct-22	Nov-22	Dec-22	Jan-23	Feb-23	Mar-23	Apr-23	May-23	Jun-23	Jul-23	Aug-23	Sep-23	FY-2023
Approvals	58	60											118
First-Time Generics	1	3											4
First-Cycle Approvals	14	13											27
Imminent Actions	7	10											17
Tentative Approvals	13	13											26
First-Cycle Tentative Approvals	0	2											2
Imminent Actions	1	3											4
Complete Responses	148	120											268
Original ANDA Refuse to Receive	1	2											3
Standard	1	2											3
Priority	0	0											0

GDUFA III Generic Drugs Program Monthly and Quarterly Activities Report



Activities Report of the Generic Drugs Program (FY 2021) Monthly Performance

GDUFA II Performance	FY 2021												
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	
Before to Receive (BTR) - Originals	0	0	0	1	7	4	0	0	0	4	2	1	0
Standard - GDUFA II	0	1	0	0	7	0	0	0	1	0	0	0	0
Priority - GDUFA II	1	1	0	1	0	2	0	0	0	0	0	0	0
Substantive - Original	0	0	0	0	0	0	0	0	0	0	0	0	0
Before to Receive (BTR) - PDU	0	0	0	0	0	0	1	0	0	0	0	0	0

Activities Report of the Generic Drugs Program | GDUFA II Quarterly Performance

Quarter	Q1	Q2	Q3	Q4
Standard - GDUFA II	0	1	0	0
Priority - GDUFA II	1	1	0	0
Substantive - Original	0	0	0	0
Before to Receive (BTR) - PDU	0	0	0	0

- Activities Vs. Performance
- Updated Layout
- No More Preliminary Metrics Posting
- Updated Monthly
- Reporting More Data Earlier
- Exceeding GDUFA III Commitment Letter Requirements

Generic Drugs Program Monthly and Quarterly Activities Report

ACTIVITY BY MONTH	FY 2021											
	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
Standard - GDUFA II	0	1	0	0	7	0	0	0	1	0	0	0
Priority - GDUFA II	1	1	0	1	0	2	0	0	0	0	0	0
Substantive - Original	0	0	0	0	0	0	0	0	0	0	0	0
Before to Receive (BTR) - PDU	0	0	0	0	0	0	1	0	0	0	0	0

- Merged Quarterly Reporting into One Monthly and Quarterly **Activities** Report
- Reporting more data earlier
- Exceeding GDUFA III Commitment Letter Requirements

GDUFA III Generic Drugs Program Monthly and Quarterly Activities Report



Monthly Actions

ACTIONS BY MONTH	Oct-22	Nov-22	Dec-22	Jan-23	Feb-23	Mar-23	Apr-23	May-23	Jun-23	Jul-23	Aug-23	Sep-23	FY-2023
Approvals	33	40											118
First-Time Generics	1	3											4
First-Cycle Approvals	14	13											27
Insistent Actions	7	16											17
Tentative Approvals	10	13											26
First-Cycle Tentative Approvals	8	2											3
Insistent Actions	1	9											4
Complete Responses	148	128											288
Original ANDA Refuse to Receive	1	2											3
Standard	1	2											3
Priority	0	0											0
Original Acknowledgments	25	97											123
Withdrawals	3	9											12
Approved ANDA	0	0											0
Unapproved ANDA	3	9											12
PAS Approvals	117	96											213
PAS Refuse to Receive	0	0											0
PAS Withdrawals	0	7											12
Information Requests	297	324											621
Originals	176	209											387
Supplements	119	115											234
Discipline Review Letters	224	201											425
DMF Completeness Assessment	43	14											59
Reclassification of a Facility-Based Major CRL Granted	0	0											0
Reclassification of a Facility-Based Major CRL Denied	0	1											1
Pending ANDAs Awaiting FDA Action *	1584	1470											-
ANDAs Awaiting Applicant Action **	2177	2144											-
Tentative Approvals ***	465	470											-
Complete Responses ****	1708	1673											-

Monthly Submissions

SUBMISSIONS BY MONTH	Oct-22	Nov-22	Dec-22	Jan-23	Feb-23	Mar-23	Apr-23	May-23	Jun-23	Jul-23	Aug-23	Sep-23	FY-2023
ANDAs *	34	76											110
Complex Products	6	8											14
Amendments	187	247											434
Major	77	67											174
Minor	55	65											120
Unspecified	55	85											140
Requests for Reclassification of a Facility-Based Major CRL Amendment	13	8											21
Pre-Submission Facility Correspondence	7	4											11
Supplements	693	727											1420
CBE	584	574											1158
PAS **	109	153											262
DMF Payments	14	22											36
Controlled Correspondence ***	267	360											570
Level 1	235	280											515
Level 2	32	79											95
Controlled Correspondence Requests for Clarification	2	2											4
Product Development Meetings	8	4											12
Pre-Submission Meetings	0	3											3
PSG Teleconferences	0	0											0
Pre-Submission PSG Meetings	0	0											0
Post-Submission PSG Meetings	0	0											0
Mid Cycle Review Meetings	0	0											0
Enhanced Mid Cycle Review Meetings	0	0											0
Post-CRL Clarification-Only Teleconferences	7	5											12
Post-CRL Scientific Meetings	3	0											3

Quarterly Approval Times

APPROVAL TIMES BY QUARTER *	Q1 (Oct - Dec 2022)	Q2 (Jan - Mar 2023)	Q3 (Apr - Jun 2023)	Q4 (Jul - Sept 2023)
Quarterly Mean Approval Times				
Quarterly Median Approval Times				
Quarterly Mean Tentative Approval Times				
Quarterly Median Tentative Approval Times				

Notes and Abbreviations

NOTE: Numbers reflect current data at the time of posting and may change based on refreshed counts in our tracking systems, including application status updates. These numbers are not intended for Congressional reporting purposes. Indented matrices are included in the event of the non-indented matrix above it.

Abbreviations:

- ANDA = Abbreviated New Drug Application
- PAS = Prior Approval Supplements
- DMF = Drug Master File
- CRL = Complete Response Letter
- CBE = Change Being Effected
- PSG = Product-Specific Guidelines

* - Pending ANDAs Awaiting FDA Action are applications currently being reviewed by FDA. Many of these applications have been reviewed and found "not approvable" in a previous cycle and have been resubmitted by the applicant for another cycle of review and assessment. These metrics are calculated at the end of the month or just thereafter.

** - ANDAs Awaiting Applicant Action represent a snapshot in time for the status of distinct original ANDAs. These metrics are calculated at the end of the month or just thereafter.

*** - ANDAs Awaiting Applicant TA are applications that have a status of "TA" or Tentative Approval. If a generic drug product is ready for approval but cannot be approved due to a patent or exclusivity related to the reference listed drug product, FDA issues a tentative approval letter to the applicant, and the tentative approval letter details the basis for the tentative approval. A tentative approval does not allow the applicant to market the generic drug product in the United States. The Federal Food, Drug, and Cosmetic Act (FDCA Act) delays final approval of the generic drug product until all patent or exclusivity issues have been resolved or, in some cases, until a 20-month stay associated with patent litigation has expired.

**** - Applications Awaiting Applicant Action are applications that have a status of "CE" or Complete Response. These applications have been reviewed by FDA and the data submitted are inadequate to support approval.

* - Original Receipts are reported as raw receipts (versus filed receipts).

** - PAS Supplements do not include RIMS PAS supplements.

*** - Controls count only those requests deemed appropriate for a control.

- Mean/ Median AP/TA calculated as the difference between the first full approval (AP) date or the first Tentative Approval (TA) date and the date the original application was accepted for filing divided by the average number of days per month (30.4375). The unit for each of these metrics is months.

GDUFA III Generic Drugs Program Monthly and Quarterly Activities Report



Monthly Actions

ACTIONS BY MONTH	Oct-22	Nov-22	Dec-22	Jan-23	Feb-23	Mar-23	Apr-23	May-23	Jun-23	Jul-23	Aug-23	Sep-23	FY-2023
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Standard	1	2											3
Priority	0	0											0
Original Acknowledgements	25	97											122
Withdrawals	3	9											12
Approved ANDA	0	0											0
Unapproved ANDA	3	9											12
PAS Approvals	117	96											213
PAS Refuse to Receive	0	0											0
PAS Withdrawals	0	7											7
Information Requests	297	324											621
Originals	170	209											387
Supplements	119	115											234
Stipulation Review Letters	224	231											455
DMF Completeness Assessment	45	14											59
Reclassification of a Facility-Based Major CRL Granted	0	0											0
Reclassification of a Facility-Based Major CRL Denied	0	1											1
Pending ANDAs Awaiting FDA Action +	1584	1615											3199
ANDAs Awaiting Applicant Action ++	2177	2144											4321
Tentative Approvals +++	469	470											939
Complete Responses ++++	1708	1674											3582

ACTIONS BY MONTH	Oct-22	Nov-22
Approvals	58	60
First-Time Generics	1	3
First-Cycle Approvals	14	13
Imminent Actions	7	10
Tentative Approvals	13	13
First-Cycle Tentative Approvals	0	2
Imminent Actions	1	3
Complete Responses	148	120

- Approvals, Tentative Approvals, and Complete Responses are now listed first (these were the old preliminary metrics)
- Imminent Actions for Approvals and Tentative Approvals (**This is an annual requirement that we're posting monthly**)

Pending ANDAs Awaiting FDA Action +	1584	1615
ANDAs Awaiting Applicant Action ++	2177	2144
Tentative Approvals +++	469	470
Complete Responses ++++	1708	1674

- ANDAs awaiting FDA and Applicant actions are now listed monthly (**This is a quarterly requirement that we're posting monthly**)

GDUFA III Generic Drugs Program Monthly and Quarterly Activities Report



Monthly Submissions

SUBMISSIONS BY MONTH	Oct-22	Nov-22	Dec-22	Jan-23	Feb-23	Mar-23	Apr-23	May-23	Jun-23	Jul-23	Aug-23	Sep-23	FY-2023
ANDAs *	34	76											110
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Product Development Meetings	8	4											12
Pre-Submission Meetings	0	3											3
PSG Teleconferences	0	0											0
Pre-Submission PSG Meetings	0	0											0
Post-Submission PSG Meetings	0	0											0
Mid Cycle Review Meetings	0	0											0
Enhanced Mid Cycle Review Meetings	0	0											0
Post-CRL Clarification-Only Teleconferences	7	5											12
Post-CRL Scientific Meetings	3	0											3

ANDAs *	34	76
Complex Products	6	8

Product Development Meetings	8	4
Pre-Submission Meetings	0	3
PSG Teleconferences	0	0
Pre-Submission PSG Meetings	0	0
Post-Submission PSG Meetings	0	0
Mid Cycle Review Meetings	0	0
Enhanced Mid Cycle Review Meetings	0	0
Post-CRL Clarification-Only Teleconferences	7	5
Post-CRL Scientific Meetings	3	0

- Complex Products (**This is a quarterly requirement that we're posting monthly**)

- Meetings / Teleconferences (**This is an annual requirement that we're posting monthly**)

Challenge Question #1

In the New Generic Drugs Program Monthly and Quarterly Activities Report, Approvals are listed:

- A. First
- B. Third
- C. Last
- D. None of the above

Key Stats Explained

Fiscal Year Reporting

- Majority of metrics by Fiscal Year (FY) Oct. 1 – Sept. 30
 - Alignment with Federal budget and prep process
 - Congressional reporting
 - Generic Drug User Fee Amendments Commitment Letter
- Use Monthly and Quarterly Activities Reports to address official stats requests
- Exceptions include OGD Annual Report (Calendar Year)

Tentative Approvals

- Definition: “If a generic drug product is ready for approval but cannot be approved due to a patent or exclusivity related to the reference listed drug product, FDA issues a tentative approval letter to the applicant...”
- What is counted: Tentative Approval (TA) letters issued

Tentative Approvals (cont.)

- Can one ANDA received multiple TAs?
 - Yes
- When are subsequent TAs issued?
 - Post-TA amendment submitted with new FDA decision of TA
- What types of information are submitted?
 - Small updates to “Major” changes

Tentative Approvals (cont.)

- Why are subsequent TAs counted?
 - Easier to count letters issued
 - Amendment triggering GDUFA goal date submitted
 - FDA resources expended and accounting requirements
 - FDA approval level endorsement required
 - FDA action in advance of full approval (AP) conversion request
 - Facilitates AP on earliest lawful approval date (ELAD)
 - Patient protection: President's Emergency Plan for AIDS Relief

Imminent Action

(formerly Imminent Approval)

1. Skipping a Tentative Approval (TA) by the goal date to facilitate full Approval (AP) within 60 days after the goal date

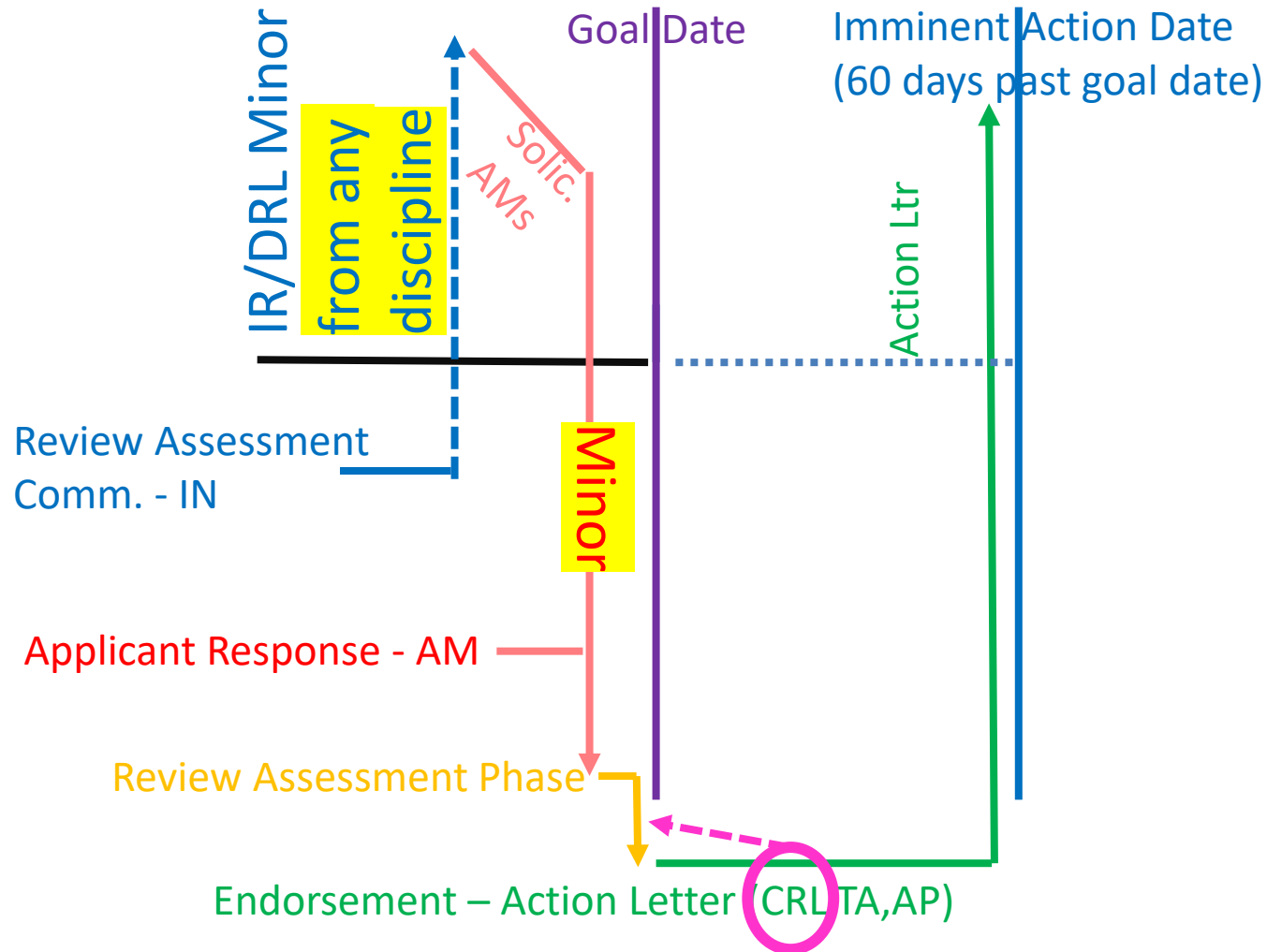


2. AP or TA an ANDA submitted by a first applicant by the 30-month forfeiture date

Imminent Action (cont.)



3. Bring an ANDA with one or more small issues to AP or TA



Tips:

1. Respond on time
2. Thorough response
3. Alert discipline PM of issues w/ IR/DRL response
4. Contact RPM for ANDA status questions

ACK = Acknowledgement Letter
AD = (Discipline) Adequate
IN = (Discipline) Inadequate
AM = Amendment

Imminent Action (cont.)

- Harm to FDA
 - Reduces FDA TA counts
- Help to FDA
 - Reduces number of endorsement packages
- Help to Industry
 - Increases chance of AP and on ELAD
 - Facilitates earliest market entry
- Help to Patients
 - Facilitates patient access to cost high quality generics!

Approval Time Calculation

- Definition
 - Mean: average (months to action)
 - Median: middle number (of months to action)
- How calculated?
 - Time to first AP or TA action
- Are there subsequent AP or TA actions?
 - Yes, increasing TA to AP, subsequent TAs, split AP and TA

Mean and Median Approval Time Differences



- Quarter-to-Quarter variability expected
 - FDA assessment time
 - Cycles to AP/TA
 - Industry response time
 - ELAD clusters
- Slower mean - older ANDA APs raise average
- Faster median - newer ANDA AP time dropping (1st cycle AP/TAs)

Observations

Program Health

- Often hear receipts vs approvals
- Original ANDA view

ANDA receipts. vs. Refuse to Receive + Withdrawal UnAP + AP

857 vs. 49 + 121 + 722

857 in vs. 892 out

(Monthly and Quarterly Activities Report data used for all metrics)

- Program bigger than Originals (e.g., research, controls, post-AP)

Increasing Interest in Supplements

- More communications from industry related to supplements
 - Type to submit
 - Status (including CBEs)
- Nearly 10,000 submitted in FY 22
 - Nearly 1,300 PAS
- More questions from Agency and higher

Imminent Actions (IAs)

- Not just for FDA to meet a goal date
- Industry align goal dates with ELAD
 - Weekend goal date – FDA issue action prior business day
 - Goal date is Sat. 6th, no ELAD – FDA issue AP Fri. 5th *or earlier*
 - ELAD on weekend – FDA only AP next business day
 - ELAD date is Sat. 6th – FDA issue AP Mon. 8th
 - Goal date just before an ELAD – IA to reach AP on ELAD
 - Goal date is Fri. 5th but ELAD Sat. 6th – FDA IA to AP Mon. 8th

What to Watch

What to Watch

- New GDUFA III Performance Report
- New GDUFA III Fiscal Year Web Posting
- Median TA/AP time drop = new ANDAs flowing
- Fewer Complete Response Letters reported
 - ANDA program not healthy
 - or
 - **Success of GDUFA III extensions**

What to Watch (cont.)

- Fewer solicited minor amendments received reported
 - ANDA program not healthy
 - or
 - **Success of GDUFA III extensions**

What to Watch (cont.)

- Your ANDA Refuse to Receive rate is lower than average
 - ANDA program is healthy
 - and*
 - **Your Regulatory Affairs Dept. is healthy**
 - Using guidances and MAPPs
 - Using Pre-ANDA program communications

What to Watch (cont.)

- Your ANDAs experience fewer than average missed goal dates
 - ANDA program is healthy
 - and*
 - **Your ANDAs are healthy**
 - Clear and high quality
 - Healthy facilities and Drug Master Files
 - Good communications with Drug Master Files

What to Watch (cont.)



- Your ANDA is approved on ELAD
 - ANDA program is healthy
and
 - **Your ANDA is healthy**
 - Fewer Complete Response Letter majors and more extensions
 - Fewer cycles to approval – accuracy vs. rapid response time
 - Healthy facilities and Drug Master Files
 - Good communications with Drug Master Files

What to Watch (cont.)

- Your company's AP time lower than mean and median
 - ANDA program is healthy
 - and*
 - **Your ANDAs are healthy**
 - Fewer Complete Response Letter majors and more extensions
 - Fewer cycles to approval – accuracy vs. rapid response time
 - Healthy facilities and Drug Master Files
 - Good communications with Drug Master Files

What to Watch (cont.)

- Goal date is Fri. 5th, ELAD is Sat. 6th, no AP on Fri. 5th
 - ANDA program is healthy
 - and*
 - **Imminent Action for Mon. 8th**
 - Check with your Regulatory Project Manager
 - Check company champaign supply

Challenge Question #2



Imminent Actions count as meeting the goal if?

- A. The ANDA is AP or TA in a subsequent cycle
- B. The ANDA is AP or TA prior to the goal date
- C. The ANDA is AP or TA within 60 days of the goal date
- D. The ANDA is AP or TA 61 days after the goal date

Concluding Remarks

- Lots of data available
 - Assess success of GDUFA III (e.g., fewer minor amendments)
 - Assess health of program (e.g., meeting goals)
 - Assess health of your company (e.g., APs taking less time)
- ANDA Program is healthy

Thank you!

