# **Third Party Review Organization Performance Report**

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| All Third Party Review Organizations                  |    |
| AABB (AABB)   |    |
| BeanStock Ventures (BSV)                              |    |
| Center for Measurement Standards of Industrial (CMSI) |    |
| COLA, Inc. (COLA)                                     |    |
| Global Quality and Regulatory Services (GQRS)         |    |
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| Change Log  |    |
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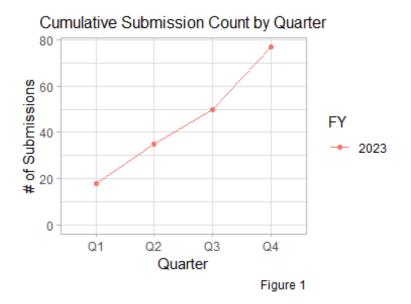


### **Introduction and Review Timeline Description**

The Accredited Persons Program was created by the FDA Modernization Act of 1997 (FDAMA) to improve the efficiency and timeliness of FDA's 510(k) process. Under the program, FDA accredits Third Parties (Accredited Persons) that are authorized to conduct the primary review of 510(k)s for eligible devices. Under MDUFA V, the FDA committed to publishing the performance of individual accredited Third Parties with at least five completed submissions on the Web (e.g., average number of holds, average time to final decision). A summary of Third Party Performance Metrics will be posted on a quarterly basis. This report contains data from FY 2023, Q1 through FY 2023, Q4 (October 1, 2022, through September 30, 2023). The number of Third Party Review Organizations with at least 5 completed submissions for each Fiscal Year is shown below:

| Fiscal Year | Number of Third Party Review Organizations |
|-------------|--|
| FY2023      | 2  |
| FY2024      | 0  |
| FY2025      | 0  |
| FY2026      | 0  |

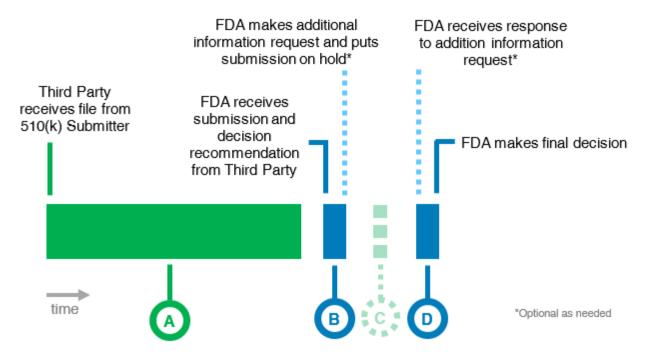
The cumulative number of Third Party 510(k) submissions accepted by Quarter for each Fiscal Year is shown below:





A Third Party 510(k) submission goes through four different stages before a final decision is made by FDA.

- Stage A The Third Party receives the file from the 510(k) Submitter, reviews the file, and sends the file and its decision recommendation to FDA.
- Stage B FDA reviews the submission to ensure that the Third Party has submitted all the information needed to make a final decision. If more information is needed, FDA makes a request of additional information, notifies the Third Party, and puts the submission on hold.
- Stage C (Optional) The Third Party reviews FDA's request for additional information and notifies the 510(k) submitter. The Third Party responds to FDA's deficiencies, updating the review memo and submission as necessary. The submission is considered on hold until FDA receives a complete response to its request for additional information.
- Stage D FDA reviews the additional information and makes a final decision.





### Definitions

#### 1) Initial Third Party Review Time:

- = Date FDA receives Third Party submission
- Date Third Party receives the file from the 510(k) Submitter

Elapsed time in days for the Third Party to review the 510(k) Submitter's file and determine its decision recommendation for a final MDUFA V decision (SE or NSE). The elapsed time includes the time needed for the 510(k) Submitter to resolve deficiencies. The Third Party provides the Submitter's file, its associated Third Party review documentation and its decision recommendation to FDA.

#### 2) Third Party Hold Time:

= Date FDA receives response to request for additional information

- Date FDA makes decision to put submission on hold

Elapsed time in days for the Third Party to respond to a request for additional information from FDA for a final MDUFA V decision (SE or NSE). If the Third Party does not receive a request for additional information, *Third Party Hold Time* is set to 0 days. If the file is placed on hold more than once, this is the total number of days the file has been on hold.

#### 3) Total Third Party Review Time:

#### = Initial Third Party Review Time + Third Party Hold Time

Elapsed time in days for a Third Party to review a file from a 510(k) Submitter, including the time it is on hold for a final MDUFA V decision (SE or NSE).

#### 4) Total FDA Review Time:

Date FDA makes Final Decision - Date FDA receives Third Party Submission
 *Third Party Hold Time*

Elapsed time in days for FDA to provide a final MDUFA V decision (SE or NSE) to a Third Party submission. By statute, FDA must provide a final MDUFA V decision in 30 days. *Total FDA Review Time* does not include the number of days that a submission is on hold waiting for additional information from the Third Party.

#### 5) Total Time to Decision from FDA Receipt:

#### = Total FDA Review Time + Third Party Hold Time

Elapsed time in days between FDA's receipt of a Third Party submission and FDA's final MDUFA V decision (SE or NSE). *Total Time to Decision from FDA Receipt* includes *Third Party Hold Time*, while *Total FDA Review Time* does not. For non-Third Party files, *Total Time to Decision from FDA Receipt* is called Total Time to Decision (TTD).



#### 6) Total Time to Decision from Third Party Receipt:

#### = Total Third Party Review Time + Total FDA Review Time

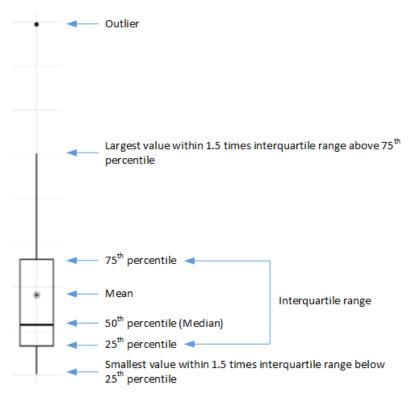
Elapsed time in days for FDA and a Third Party to provide a final MDUFA V decision (SE or NSE) to a submitter. *Total Time to Decision from Third Party Receipt* spans the entire lifecycle of a TP submission.



|              | ind i dry itorion organizationo                |
|--------------|--|
| Abbreviation | Full Name                                      |
| All 3PROs    | All Third Party Review Organizations           |
| AABB         | AABB   |
| BSV          | BeanStock Ventures                             |
| CMSI         | Center for Measurement Standards of Industrial |
| COLA         | COLA, Inc.                                     |
| GQRS         | Global Quality and Regulatory Services         |
| NYSDOH       | New York State Department of Health            |
| RTS          | Regulatory Technology Services, LLC            |
| SGS          | SGS North America                              |
| TPRG         | Third Party Review Group, LLC                  |

## **Names of Third Party Review Organizations**

#### **Box Plot Legend:**



Box Plot Sources:

Tukey (John W. Tukey (1977). Exploratory Data Analysis. Addison-Wesley.) H. Wickham.ggplot2: Elegant Graphics for Data Analysis. Springer-Verlag New York, 2016.

### **Third Party Performance Data**

### **Initial Third Party Review Time**

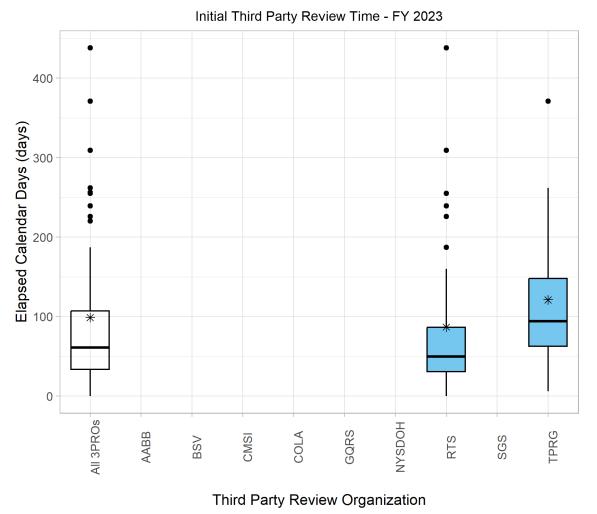
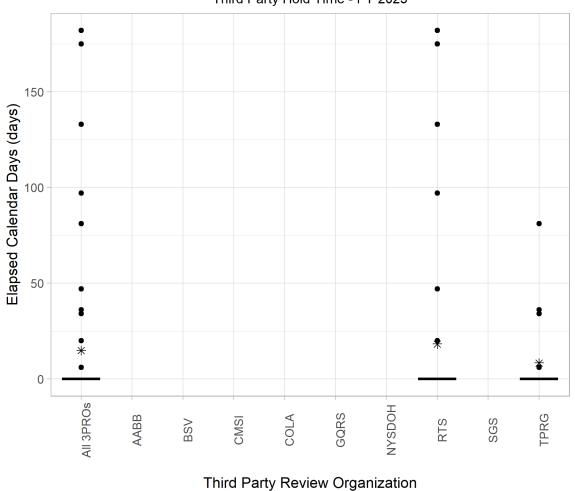


Figure 2





## **Third Party Hold Time**

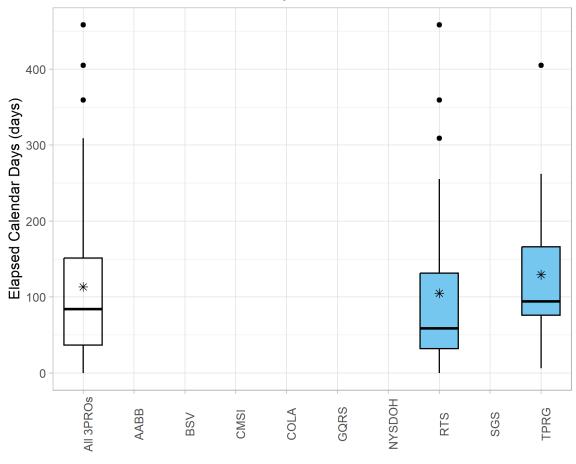


Third Party Hold Time - FY 2023

Figure 3



## **Total Third Party Review Time**



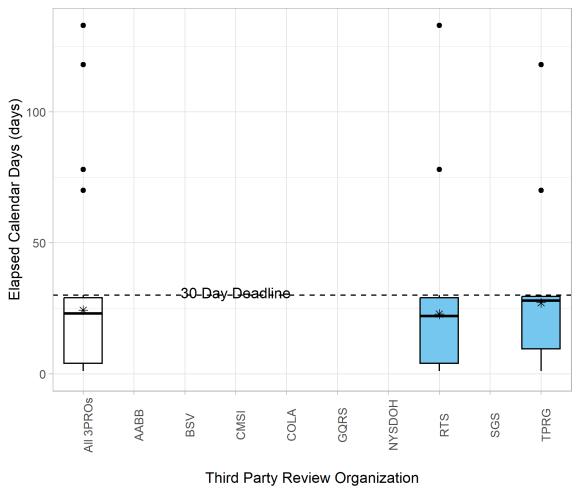
Third Party Review Organization

Total Third Party Review Time - FY 2023

Figure 4



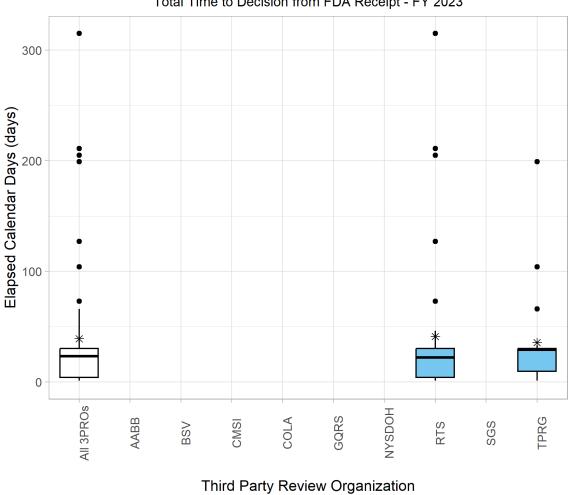
### **Total FDA Review Time**



Total FDA Review Time - FY 2023

Figure 5





Total Time to Decision from FDA Receipt - FY 2023

Figure 6

FDA



### **Total Time to Decision from Third Party Receipt**

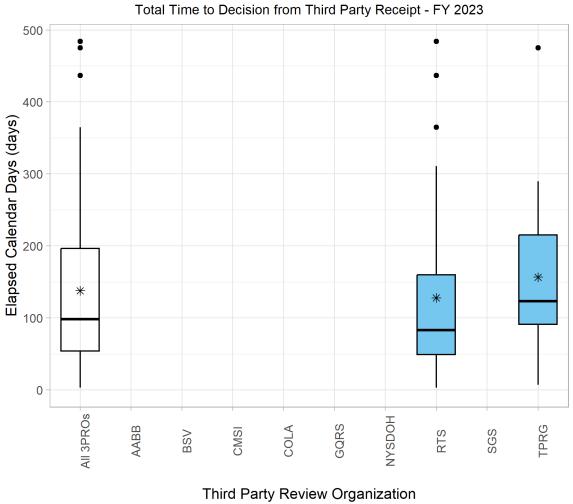
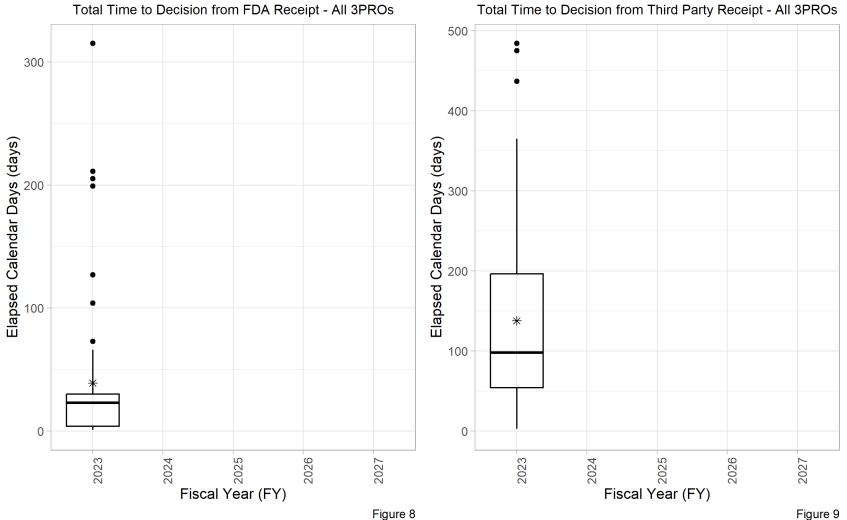


Figure 7

## **All Third Party Review Organizations**



Total Time to Decision from Third Party Receipt - All 3PROs

FDA

 Table 1.1: Third Party 510(k) MDUFA V Decision Performance Goals - All Third Party Review Organizations.

| Performance Metric  | FY2023   | FY2024 | FY2025 | FY2026 | FY2027 |
|---|----------|--------|--------|--------|--------|
| Total Third Party 510(k) Submissions Accepted   | 77       |        |        |        |        |
| Non-MDUFA V Final Decisions: Withdrawn or Deleted (%)   |          |        |        |        |        |
| MDUFA V Final Decisions: SE or NSE (%)  | 55 (71%) |        |        |        |        |
| Pending Final Decision for less than 30 FDA days (%)  | 15 (19%) |        |        |        |        |
| Pending Final Decision for more than 30 FDA days (%)  | 3 (4%)   |        |        |        |        |
| Current Performance: Third Party Submissions that received MDUFA V Final Decisions (SE or NSE) within 30 FDA Days (%) | 93%      |        |        |        |        |
| Average Holds   |          |        |        |        |        |
| Third Party Submission with a Final Decision  | 59       |        |        |        |        |
| Total # Requests for Additional Information (Holds)   | 10       |        |        |        |        |
| Average # Requests for Additional Information per Submission  | 0.17     |        |        |        |        |
| Third Party Recommendation and Final Decision Agreement   |          |        |        |        |        |
| Third Party Submissions with a Final Decision   | 59       |        |        |        |        |
| Third Party SE Recommendations  | 59       |        |        |        |        |
| Third Party NSE Recommendations   | 0        |        |        |        |        |
| Third Party SE Recommendations with a Final Decision  | 59       |        |        |        |        |
| MDUFA V Final Decision  |          |        |        |        |        |
| SE  | 53       |        |        |        |        |
| NSE   | 2        |        |        |        |        |
| Non-MDUFA V Final Decision  |          |        |        |        |        |
| Withdrawn   | 4        |        |        |        |        |
| Deleted   | 0        |        |        |        |        |
| Third Party NSE Recommendations with a Final Decision   | 0        |        |        |        |        |
| MDUFA V Final Decision  |          |        |        |        |        |
| SE  | 0        |        |        |        |        |
| NSE   | 0        |        |        |        |        |
| Non-MDUFA V Final Decision  |          |        |        |        |        |
| Withdrawn   | 0        |        |        |        |        |
| Deleted   | 0        |        |        |        |        |

 Table 1.2: Third Party 510(k) MDUFA V Decision Performance Goals - All Third Party Review Organizations.

| Performance Metric  | FY2023 | FY2024 | FY2025 | FY2026 | FY2027 |
|---|--------|--------|--------|--------|--------|
| Average Initial Third Party Review Time (Calendar Days)                 | 99     |        |        |        |        |
| 25th Percentile Initial Third Party Review Time                         |        |        |        |        |        |
| 50th Percentile Initial Third Party Review Time                         | 61     |        |        |        |        |
| 75th Percentile Initial Third Party Review Time                         | 107    |        |        |        |        |
| Maximum Initial Third Party Review Time                                 | 438    |        |        |        |        |
| Average Third Party Hold Time (Calendar Days)                           | 15     |        |        |        |        |
| 25th Percentile Third Party Hold Time                                   | 0      |        |        |        |        |
| 50th Percentile Third Party Hold Time                                   | 0      |        |        |        |        |
| 75th Percentile Third Party Hold Time                                   | 0      |        |        |        |        |
| Maximum Third Party Hold Time   | 182    |        |        |        |        |
| Average Total Third Party Review Time (Calendar Days)                   | 114    |        |        |        |        |
| 25th Percentile Total Third Party Review Time                           | 37     |        |        |        |        |
| 50th Percentile Total Third Party Review Time                           | 84     |        |        |        |        |
| 75th Percentile Total Third Party Review Time                           | 151    |        |        |        |        |
| Maximum Total Third Party Review Time                                   | 458    |        |        |        |        |
| Average Total FDA Review Time (Calendar Days)                           | 25     |        |        |        |        |
| 25th Percentile Total FDA Review Time                                   | 4      |        |        |        |        |
| 50th Percentile Total FDA Review Time                                   | 23     |        |        |        |        |
| 75th Percentile Total FDA Review Time                                   | 29     |        |        |        |        |
| Maximum Total FDA Review Time   | 133    |        |        |        |        |
| Average Total Time to Decision from FDA Receipt (Calendar Days)         | 40     |        |        |        |        |
| 25th Percentile Total TTD from FDA Receipt                              | 4      |        |        |        |        |
| 50th Percentile Total TTD from FDA Receipt                              | 23     |        |        |        |        |
| 75th Percentile Total TTD from FDA Receipt                              | 30     |        |        |        |        |
| Maximum Total TTD from FDA Receipt                                      | 315    |        |        |        |        |
| Average Total Time to Decision from Third Party Receipt (Calendar Days) |        |        |        |        |        |
| 25th Percentile Total TTD from Third Party Receipt                      | 54     |        |        |        |        |
| 50th Percentile Total TTD from Third Party Receipt                      | 98     |        |        |        |        |
| 75th Percentile Total TTD from Third Party Receipt                      | 197    |        |        |        |        |
| Maximum Total TTD from Third Party Receipt                              | 484    |        |        |        |        |



## AABB (AABB)

### **BeanStock Ventures (BSV)**



### **Center for Measurement Standards of Industrial (CMSI)**



## COLA, Inc. (COLA)



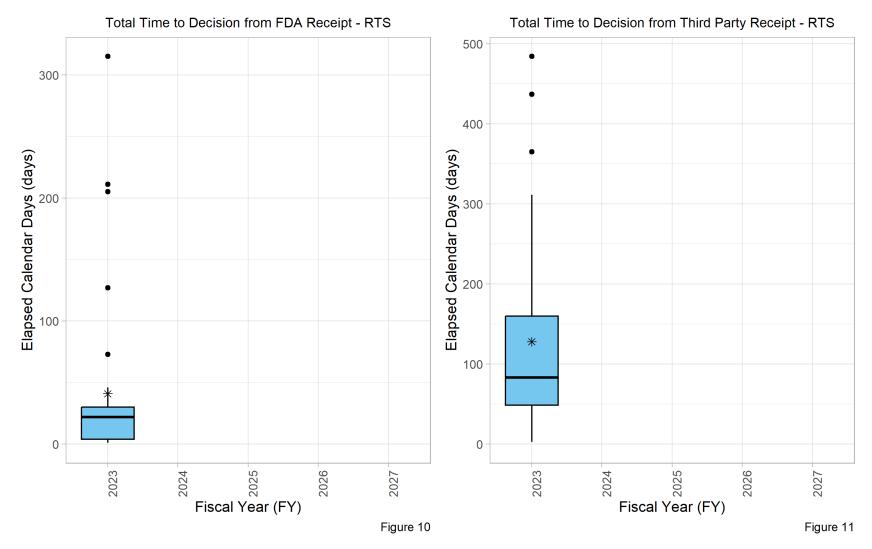
## **Global Quality and Regulatory Services (GQRS)**



### **New York State Department of Health (NYSDOH)**



### **Regulatory Technology Services, LLC (RTS)**



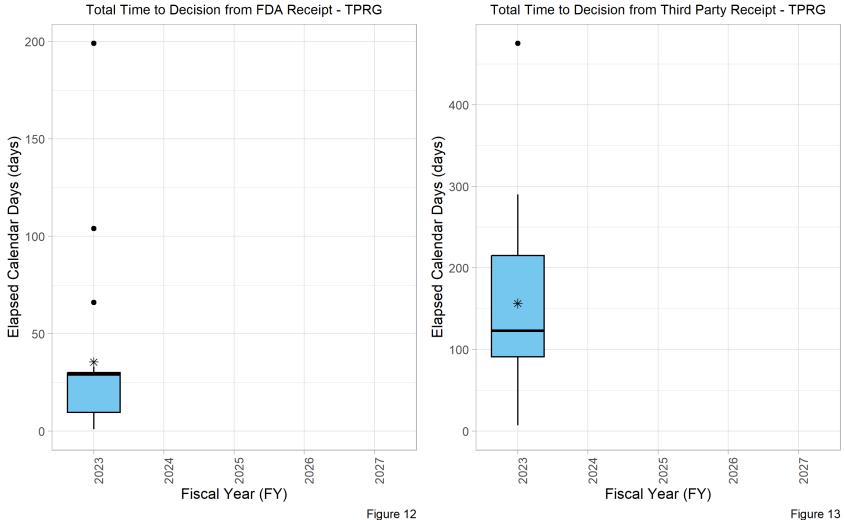
#### Table 2.1: Third Party 510(k) MDUFA V Decision Performance Goals - Regulatory Technology Services, LLC (RTS).

| Performance Metric  | FY2023   | FY2024 | FY2025 | FY2026 | FY2027 |
|---|----------|--------|--------|--------|--------|
| Total Third Party 510(k) Submissions Accepted   | 55       |        |        |        |        |
| Non-MDUFA V Final Decisions: Withdrawn or Deleted (%)   |          |        |        |        |        |
| MDUFA V Final Decisions: SE or NSE (%)  | 36 (65%) |        |        |        |        |
| Pending Final Decision for less than 30 FDA days (%)  | 13 (24%) |        |        |        |        |
| Pending Final Decision for more than 30 FDA days (%)  | 2 (4%)   |        |        |        |        |
| Current Performance: Third Party Submissions that received MDUFA V Final Decisions (SE or NSE) within 30 FDA Days (%) | 95%      |        |        |        |        |
| Average Holds   |          |        |        |        |        |
| Third Party Submission with a Final Decision  | 40       |        |        |        |        |
| Total # Requests for Additional Information (Holds)   | 6        |        |        |        |        |
| Average # Requests for Additional Information per Submission  | 0.15     |        |        |        |        |
| Third Party Recommendation and Final Decision Agreement   |          |        |        |        |        |
| Third Party Submissions with a Final Decision   | 40       |        |        |        |        |
| Third Party SE Recommendations  | 40       |        |        |        |        |
| Third Party NSE Recommendations   | 0        |        |        |        |        |
| Third Party SE Recommendations with a Final Decision  | 40       |        |        |        |        |
| MDUFA V Final Decision  |          |        |        |        |        |
| SE  | 35       |        |        |        |        |
| NSE   | 1        |        |        |        |        |
| Non-MDUFA V Final Decision  |          |        |        |        |        |
| Withdrawn   | 4        |        |        |        |        |
| Deleted   | 0        |        |        |        |        |
| Third Party NSE Recommendations with a Final Decision   | 0        |        |        |        |        |
| MDUFA V Final Decision  |          |        |        |        |        |
| SE  | 0        |        |        |        |        |
| NSE   | 0        |        |        |        |        |
| Non-MDUFA V Final Decision  |          |        |        |        |        |
| Withdrawn   | 0        |        |        |        |        |
| Deleted   | 0        |        |        |        |        |

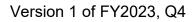
| Performance Metric  | FY2023 | FY2024 | FY2025 | FY2026 | FY2027 |
|---|--------|--------|--------|--------|--------|
| Average Initial Third Party Review Time (Calendar Days)                 | 87     |        |        |        |        |
| 25th Percentile Initial Third Party Review Time                         | 31     |        |        |        |        |
| 50th Percentile Initial Third Party Review Time                         |        |        |        |        |        |
| 75th Percentile Initial Third Party Review Time                         | 88     |        |        |        |        |
| Maximum Initial Third Party Review Time                                 | 438    |        |        |        |        |
| Average Third Party Hold Time (Calendar Days)                           | 19     |        |        |        |        |
| 25th Percentile Third Party Hold Time                                   | 0      |        |        |        |        |
| 50th Percentile Third Party Hold Time                                   | 0      |        |        |        |        |
| 75th Percentile Third Party Hold Time                                   | 0      |        |        |        |        |
| Maximum Third Party Hold Time   | 182    |        |        |        |        |
| Average Total Third Party Review Time (Calendar Days)                   | 105    |        |        |        |        |
| 25th Percentile Total Third Party Review Time                           | 32     |        |        |        |        |
| 50th Percentile Total Third Party Review Time                           | 59     |        |        |        |        |
| 75th Percentile Total Third Party Review Time                           | 141    |        |        |        |        |
| Maximum Total Third Party Review Time                                   | 458    |        |        |        |        |
| Average Total FDA Review Time (Calendar Days)                           | 23     |        |        |        |        |
| 25th Percentile Total FDA Review Time                                   | 4      |        |        |        |        |
| 50th Percentile Total FDA Review Time                                   | 22     |        |        |        |        |
| 75th Percentile Total FDA Review Time                                   | 29     |        |        |        |        |
| Maximum Total FDA Review Time   | 133    |        |        |        |        |
| Average Total Time to Decision from FDA Receipt (Calendar Days)         | 41     |        |        |        |        |
| 25th Percentile Total TTD from FDA Receipt                              | 4      |        |        |        |        |
| 50th Percentile Total TTD from FDA Receipt                              | 22     |        |        |        |        |
| 75th Percentile Total TTD from FDA Receipt                              | 30     |        |        |        |        |
| Maximum Total TTD from FDA Receipt                                      | 315    |        |        |        |        |
| Average Total Time to Decision from Third Party Receipt (Calendar Days) |        |        |        |        |        |
| 25th Percentile Total TTD from Third Party Receipt                      | 49     |        |        |        |        |
| 50th Percentile Total TTD from Third Party Receipt                      | 83     |        |        |        |        |
| 75th Percentile Total TTD from Third Party Receipt                      | 168    |        |        |        |        |
| Maximum Total TTD from Third Party Receipt                              | 484    |        |        |        |        |

## SGS North America (SGS)

# Third Party Review Group, LLC (TPRG)



Total Time to Decision from Third Party Receipt - TPRG



#### Table 3.1: Third Party 510(k) MDUFA V Decision Performance Goals - Third Party Review Group, LLC (TPRG).

| Performance Metric  | FY2023   | FY2024 | FY2025 | FY2026 | FY2027 |
|---|----------|--------|--------|--------|--------|
| Total Third Party 510(k) Submissions Accepted   | 21       |        |        |        |        |
| Non-MDUFA V Final Decisions: Withdrawn or Deleted (%)   | 0 (0%)   |        |        |        |        |
| MDUFA V Final Decisions: SE or NSE (%)  | 19 (90%) |        |        |        |        |
| Pending Final Decision for less than 30 FDA days (%)  | 1 (5%)   |        |        |        |        |
| Pending Final Decision for more than 30 FDA days (%)  | 1 (5%)   |        |        |        |        |
| Current Performance: Third Party Submissions that received MDUFA V Final Decisions (SE or NSE) within 30 FDA Days (%) | 90%      |        |        |        |        |
| Average Holds   |          |        |        |        |        |
| Third Party Submission with a Final Decision  | 19       |        |        |        |        |
| Total # Requests for Additional Information (Holds)   | 4        |        |        |        |        |
| Average # Requests for Additional Information per Submission  | 0.21     |        |        |        |        |
| Third Party Recommendation and Final Decision Agreement   |          |        |        |        |        |
| Third Party Submissions with a Final Decision   | 19       |        |        |        |        |
| Third Party SE Recommendations  | 19       |        |        |        |        |
| Third Party NSE Recommendations   | 0        |        |        |        |        |
| Third Party SE Recommendations with a Final Decision  | 19       |        |        |        |        |
| MDUFA V Final Decision  |          |        |        |        |        |
| SE  | 18       |        |        |        |        |
| NSE   | 1        |        |        |        |        |
| Non-MDUFA V Final Decision  |          |        |        |        |        |
| Withdrawn   | 0        |        |        |        |        |
| Deleted   | 0        |        |        |        |        |
| Third Party NSE Recommendations with a Final Decision   | 0        |        |        |        |        |
| MDUFA V Final Decision  |          |        |        |        |        |
| SE  | 0        |        |        |        |        |
| NSE   | 0        |        |        |        |        |
| Non-MDUFA V Final Decision  |          |        |        |        |        |
| Withdrawn   | 0        |        |        |        |        |
| Deleted   | 0        |        |        |        |        |



#### Table 3.2: Third Party 510(k) MDUFA V Decision Performance Goals - Third Party Review Group, LLC (TPRG).

| Performance Metric  | FY2023 | FY2024 | FY2025 | FY2026 | FY2027 |
|---|--------|--------|--------|--------|--------|
| Average Initial Third Party Review Time (Calendar Days)                 | 121    |        |        |        |        |
| 25th Percentile Initial Third Party Review Time                         |        |        |        |        |        |
| 50th Percentile Initial Third Party Review Time                         | 94     |        |        |        |        |
| 75th Percentile Initial Third Party Review Time                         | 148    |        |        |        |        |
| Maximum Initial Third Party Review Time                                 | 371    |        |        |        |        |
| Average Third Party Hold Time (Calendar Days)                           | 9      |        |        |        |        |
| 25th Percentile Third Party Hold Time                                   | 0      |        |        |        |        |
| 50th Percentile Third Party Hold Time                                   | 0      |        |        |        |        |
| 75th Percentile Third Party Hold Time                                   | 0      |        |        |        |        |
| Maximum Third Party Hold Time   | 81     |        |        |        |        |
| Average Total Third Party Review Time (Calendar Days)                   | 130    |        |        |        |        |
| 25th Percentile Total Third Party Review Time                           | 76     |        |        |        |        |
| 50th Percentile Total Third Party Review Time                           | 94     |        |        |        |        |
| 75th Percentile Total Third Party Review Time                           | 166    |        |        |        |        |
| Maximum Total Third Party Review Time                                   | 405    |        |        |        |        |
| Average Total FDA Review Time (Calendar Days)                           | 28     |        |        |        |        |
| 25th Percentile Total FDA Review Time                                   | 10     |        |        |        |        |
| 50th Percentile Total FDA Review Time                                   | 28     |        |        |        |        |
| 75th Percentile Total FDA Review Time                                   | 30     |        |        |        |        |
| Maximum Total FDA Review Time   | 118    |        |        |        |        |
| Average Total Time to Decision from FDA Receipt (Calendar Days)         | 36     |        |        |        |        |
| 25th Percentile Total TTD from FDA Receipt                              | 10     |        |        |        |        |
| 50th Percentile Total TTD from FDA Receipt                              | 29     |        |        |        |        |
| 75th Percentile Total TTD from FDA Receipt                              | 30     |        |        |        |        |
| Maximum Total TTD from FDA Receipt                                      | 199    |        |        |        |        |
| Average Total Time to Decision from Third Party Receipt (Calendar Days) | 157    |        |        |        |        |
| 25th Percentile Total TTD from Third Party Receipt                      | 91     |        |        |        |        |
| 50th Percentile Total TTD from Third Party Receipt                      | 123    |        |        |        |        |
| 75th Percentile Total TTD from Third Party Receipt                      | 215    |        |        |        |        |
| Maximum Total TTD from Third Party Receipt                              | 475    |        |        |        |        |

## Change Log

| Date             | Description  |
|------------------|--|
| 2018-January     | Initial Report   |
| 2018-October     | Added new 3PRO - ADAS  |
| 2018-October     | Added boxplot legend   |
| 2019-January     | Added new 3PRO - BDC   |
| 2019-January     | Updated timeline graphic   |
| 2019-January     | Added reporting by Fiscal Year and plots for individual 3PROs  |
| 2019-February-14 | Process change for new second hold policy requiring concurrence from the 510(k) Third Party FDA staff. This change may affect Average Holds and the rate of NSE decisions. |
| 2019-April       | Added cumulative submission count graph  |
| 2019-April       | Clarified definitions to state reporting is for MDUFA decisions (SE or NSE)  |
| 2020-July        | Added new 3PRO - COLA  |
| 2021-January     | Added new 3PROs - BSV and SGS  |
| 2021-April       | Name change for BDC to GQRS  |
| 2021-August      | As of August 13, 2021, Accelerated Device Approval Services, LLC (ADAS) is no longer recognized to conduct 510(k) Third Party Reviews                                      |
| 2022-January     | FY 2022 reporting information and graphics incorporated  |
| 2023-January     | Updated to reflect MDUFA V, and removed ADAS, NIOM and TUV from the 3PRO list  |