

## FSMA Food Traceability Rule Traceability Lot Code Examples

### Shipping Invoice Example

This is an example of an invoice that could be used to record and share all of the Key Data Elements (KDEs) that the Food Traceability Rule requires for the Critical Tracking Event of Shipping ([§ 1.1340](#)). The fields on this document that could be used to record the required Shipping KDEs are identified by numbers. The key to those numbers is shown below the invoice. You should refer to the rule for the exact information that is required.

For example, this document indicates that the “Ship to” field could be used to meet the [requirement](#) to maintain and provide the location description for the immediate subsequent recipient (other than a transporter) of the food. If you choose to meet this requirement in the “Ship to” field of an invoice, the field would have to contain all of the [required](#) information for this KDE, i.e., the business name, phone number, physical location address (or geographic coordinates), and city, State, and zip code for domestic locations (and comparable information for foreign locations) for the location to which the food is being shipped. Including the traceability lot code (TLC) on the invoice would be one way to link the TLC to the other required KDEs.

While this example contains space for all of the required Shipping KDEs for the purposes of illustration, [21 CFR 1.1455\(g\)](#) states that you do not have to keep all of the information required by the rule in a single set of records. Your traceability plan must indicate the format and location of the records you are required to keep under the rule, in accordance with [§ 1.1315\(a\)\(1\)](#). In keeping with a typical invoice, this example also includes space for information that is not required by the Food Traceability Rule.

**COMPANY NAME**

**1** INVOICE #XXXX

<b>2</b> From	<b>3</b> Ship to
Company name	Recipient
Address	Address
Phone	Phone
Payment Due	<b>4</b> Shipping Date
	Shipping Method

<b>5</b>	<b>6</b>		<b>7</b>	<b>8</b>	<b>9</b>		
Qty.	Unit of Measure	Item#	Description	Traceability Lot Code	TLC Source/TLC Source Reference	Unit price	Line total
						Total Discount	
						Subtotal	
						Sales Tax	
						Total	

1. Reference document type and reference document number
2. Location description for the location from which you shipped the food
3. Location description for the immediate subsequent recipient (other than a transporter) of the food
4. Date you shipped the food
5. Quantity of the food
6. Unit of measure of the food
7. Product description for the food
8. Traceability lot code for the food
9. Location description for the traceability lot code source, or the TLC source reference

## Bill of Lading Example #1

This is an example of a bill of lading that could be used to record and share all of the Key Data Elements (KDEs) that the Food Traceability Rule requires for the Critical Tracking Event of Shipping ([§ 1.1340](#)). The fields on this document that could be used to record the required Shipping KDEs are identified by numbers. The key to those numbers is shown below the bill of lading. You should refer to the rule for the exact information that is required.

For example, this document indicates that the “To” field could be used to meet the [requirement](#) to maintain and provide the location description for the immediate subsequent recipient (other than a transporter) of the food. If you choose to meet this requirement in the “To” field of a BOL, the field would have to contain all of the [required](#) information for this KDE, i.e., the business name, phone number, physical location address (or geographic coordinates), and city, State, and zip code for domestic locations (and comparable information for foreign locations) for the location to which the food is being shipped. Including the traceability lot code (TLC) on the bill of lading would be one way to link the TLC to the other required KDEs.

While this example contains space for all of the required Shipping KDEs for the purposes of illustration, [21 CFR 1.1455\(g\)](#) states that you do not have to keep all of the information required by the rule in a single set of records. Your traceability plan must indicate the format and location of the records you are required to keep under the rule, in accordance with [§ 1.1315\(a\)\(1\)](#). In keeping with a typical bill of lading, this example also includes space for information that is not required by the Food Traceability Rule.

**1 Bill of Lading #XXXX**

**2** DATE: \_\_\_\_\_

TRAILER/CAR NUMBER: \_\_\_\_\_

<b>3</b> TO	<b>4</b> FROM
Consignee	Shipper
Street	Street
Destination	Origin
City/State/Zip	City/State/Zip
Phone number:	Phone number:

FOR PAYMENT, SEND BILL TO	SHIPPER'S INSTRUCTIONS
Name	
Company	
Street	
City/State/Zip	

<b>5</b> QTY AND UNIT OF MEASURE	<b>6</b> DESCRIPTION OF ARTICLES	<b>7</b> TRACEABILITY LOT CODE	<b>8</b> TLC SOURCE/ TLC SOURCE REFERENCE	RATE	CHARGES

REMIT C.O.D.	C.O.D. AMOUNT \$	C.O.D. FEE PREPAID COLLECT
TO:		TOTAL
ADDRESS:	Signature of Consignor	CHARGES \$
Shipper	Carrier	
Per	Per	Date:

1. Reference document type and reference document number
2. Date you shipped the food
3. Location description for the immediate subsequent recipient (other than a transporter) of the food
4. Location description for the location from which you shipped the food
5. Quantity and unit of measure of the food
6. Product description for the food
7. Traceability lot code for the food
8. Location description for the traceability lot code source, or the TLC source reference

## Bill of Lading Example #2

This is an example of a bill of lading that could be used to record and share all of the Key Data Elements (KDEs) that the Food Traceability Rule requires for the Critical Tracking Event of Shipping ([§ 1.1340](#)). The fields on this document that could be used to record the required Shipping KDEs are identified by numbers. The key to those numbers is shown below the bill of lading. You should refer to the rule for the exact information that is required.

For example, this document indicates that the “Consignee” field could be used to meet the [requirement](#) to maintain and provide the location description for the immediate subsequent recipient (other than a transporter) of the food. If you choose to meet this requirement in the “Consignee” field of a BOL, the field would have to contain all of the [required](#) information for this KDE, i.e., the business name, phone number, physical location address (or geographic coordinates), and city, State, and zip code for domestic locations (and comparable information for foreign locations) for the location to which the food is being shipped. Including the traceability lot code (TLC) on the bill of lading would be one way to link the TLC to the other required KDEs.

While this example contains space for all of the required Shipping KDEs for the purposes of illustration, [21 CFR 1.1455\(g\)](#) states that you do not have to keep all of the information required by the rule in a single set of records. Your traceability plan must indicate the format and location of the records you are required to keep under the rule, in accordance with [§ 1.1315\(a\)\(1\)](#). In keeping with a typical bill of lading, this example also includes space for information that is not required by the Food Traceability Rule

<b>1</b> Ocean Bill of Lading #XXXX				
<b>2</b> Date				
<b>3</b> Shipper:			Booking Number:	
			Forwarder: FMC #:	
<b>4</b> Consignee:			Rate Reference Number	
			Temperature Control Range from: °C to: °C	
Notify Party				
Precharge By:		Port of Receipt:		
Vessel:		Port of Loading:		
Port of Discharge:		Place of Delivery:		
<b>PARTICULARS FURNISHED BY SHIPPER</b>				
<b>5</b> Qty and Unit of Measure	<b>6</b> Description of Packages and Goods	<b>7</b> Traceability Lot Code	<b>8</b> TLC Source/TLC Source Reference	Gross Weight in Kilos
Type of B/Lading		PLEASE INDICATE REQUIREMENTS		
<input type="checkbox"/> Original		Ocean Freight: <input type="checkbox"/> Prepaid <input type="checkbox"/> Collect		
<input type="checkbox"/> Express		Destination Terminals: <input type="checkbox"/> Prepaid <input type="checkbox"/> Collect		
		Prepaid Invoice Payable By:		
Special Instructions/Remarks				
Signature of Shipper:			Date:	

1. Reference document type and reference document number
2. Date you shipped the food
3. Location description for the location from which you shipped the food
4. Location description for the immediate subsequent recipient (other than a transporter) of the food
5. Quantity and unit of measure of the food
6. Product description for the food
7. Traceability lot code for the food
8. Location description for the traceability lot code source, or the TLC source reference