

# Welcome To Today's Program

Thanks for joining us!  
We'll get started in a few minutes

Today's Topic:  
Medical Device Sterilization Town Hall:  
Mock Pre-Submission on Implementing a Change in Sterilization  
Method  
July 10, 2024



## **Lisa Simone, PhD**

Senior Health Scientist / EtO Incident Lead

Division of All Hazards Preparedness and Response

Office of Readiness and Response

Office of Strategic Partnerships and Technology Innovation

Center for Devices and Radiological Health, FDA



## CDR Scott Steffen, PhD

Senior Program Management Officer / EtO Incident Lead

Division of All Hazards Preparedness and Response

Office of Readiness and Response

Office of Strategic Partnerships and Technology Innovation

Center for Devices and Radiological Health, FDA



## Ryan Ortega, PhD

Regulatory Advisor

Regulatory Policy and Combination Products Staff

Office of Product Evaluation and Quality

Center for Devices and Radiological Devices, FDA

# Christopher Dugard, MS

Assistant Director

Office of Health Technology 4

Office of Product Evaluation and Quality

Center for Devices and Radiological Health, FDA





## Nahid Ilyas, PhD

Chemist

Office of Health Technology 4

Office of Product Evaluation and Quality

Center for Devices and Radiological Health, FDA

## Johannetsy (JoJo) Avillan, PhD

Microbiologist

Office of Health Technology 4

Office of Product Evaluation and Quality

Center for Devices and Radiological Health, FDA





## Stephen Anisko, MS

Team Lead for Sterility Devices Team

Office of Health Technology 4

Office of Product Evaluation and Quality

Center for Devices and Radiological Health, FDA





# Victoria Rodriguez, PhD

Biomedical Engineer

Office of Health Technology 2

Office of Product Evaluation and Quality

Center for Devices and Radiological Health, FDA

# Today's Agenda

1. Discuss background information for the mock pre-submission exercise
2. Perform the mock pre-submission exercise
3. Debrief the mock pre-submission exercise

# **BACKGROUND INFORMATION FOR THE MOCK PRE-SUBMISSION EXERCISE**

# Discussion Topics

- Topic 1: Testing considerations when moving from EtO to another modality (gas/chemical sterilant)
- Topic 2: Testing consideration moving from EtO to radiation
- Topic 3: Design considerations and modifications factoring into sterilization
- Topic 4: Impact of adding a class III device

# Setting the Stage – The Fictional Device

---

- Asymmetric
- Enclosed spaces
- Numerous crevices
- Lumen and tubing
- Multiple materials (polymers and metal)
- Microchips
- Single – use
- Considerations for Class II vs. Class III device
- One device within a large product family



# Fictional Firm Roles:

---

---



**Ryan Ortega - CEO**



**CDR Scott Steffen - SME**

# FDA Review Team Roles:



**Christopher Dugard**  
Assistant Director



**Nahid Ilyas**  
Lead Reviewer



**Johannetsy (JoJo) Avillan**  
SME



**Victoria Rodriguez**  
SME



**Stephen Anisko**  
SME

# **MOCK PRE-SUBMISSION EXERCISE**



# **Fictional Firm and FDA introductions, device description and agenda**

# Question 1

We have provided proposed testing to be conducted to support the transition from EtO to a different gaseous, chemical sterilant for our previously cleared device and to modify the device.

Does FDA find this testing sufficient, and do you have additional recommendations?

# Question 2

We are also considering the option of using radiation as a sterilization modality.

Are there additional sterilization validation or other considerations that differ from the considerations mentioned for Question 1 if we were to use radiation?

# Question 3

We are in early design stages for the modification – does FDA have any input on challenge features or material compatibility concerns with respect to device performance?

What other things should we consider when developing our device with regards to sterilization?

# Question 4

We are considering adding an additional indication to the device similar to what we see in some existing class III devices. Does FDA agree with the proposed scope of testing and information to be submitted to the agency?

Is there additional information we need to provide with respect to sterility if this additional indication pushed the device into class III?

# Pre-submission Meeting Wrap Up

# **DEBRIEF OF THE MOCK PRE- SUBMISSION EXERCISE**

# Exercise Debrief

- Pre-submissions do not equal pre-review and separate pre-submissions are recommended if new topics are presented during a meeting or for in-depth subject matter review.
- When changing sterilization method (modality), consider any impact from design and manufacturing processes, such as compatibility of materials and packaging and the potential need of additional testing.
- Complete test reports should typically be provided for FDA review of Class III devices.
- Clear information about your proposed testing or regulatory approach combined with specific, focused questions facilitate effective pre-sub interactions.



# Summary

- Discussed background information for the mock pre-submission exercise, including the fictional device and manufacturer, the cast of characters, and the discussion questions
- Performed the mock pre-submission exercise, demonstrating interactive discussions with FDA
- Debriefed the mock pre-submission exercise to convey the scope and key takeaways from the pre-submission exercise

# Resources

Section	Cited Resource	URL
Setting the Stage, Question 3	FDA Q-submission Program Guidance	<a href="http://www.fda.gov/media/114034/download">www.fda.gov/media/114034/download</a>
Question 1	AAMI TIR28	<a href="http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfstandards/detail.cfm?standard__identification_no=39387">www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfstandards/detail.cfm?standard__identification_no=39387</a>
Questions 1, 2 and 4	510(k) Modifications Guidance	<a href="http://www.fda.gov/media/99812/download">www.fda.gov/media/99812/download</a>
Question 2	ISO 11137 Series	<a href="http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfstandards/results.cfm?start_search=1&amp;sortcolumn=pdd&amp;productcode=&amp;category=&amp;title=&amp;supportingdocsyn=off&amp;ascapilotypn=off&amp;organization=&amp;referencenumber=11137&amp;regulationnumber=&amp;recognitionnumber=&amp;effectivedatefrom=&amp;effectivedateto=&amp;PAGENUM=10">www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfstandards/results.cfm?start_search=1&amp;sortcolumn=pdd&amp;productcode=&amp;category=&amp;title=&amp;supportingdocsyn=off&amp;ascapilotypn=off&amp;organization=&amp;referencenumber=11137&amp;regulationnumber=&amp;recognitionnumber=&amp;effectivedatefrom=&amp;effectivedateto=&amp;PAGENUM=10</a>
Questions 2 and 4	PMA Modifications Guidance	<a href="http://www.fda.gov/media/81431/download">www.fda.gov/media/81431/download</a>
Question 3	AAMI TIR17	<a href="http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfstandards/detail.cfm?standard__identification_no=44569">www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfstandards/detail.cfm?standard__identification_no=44569</a>
Question 4	Update to 510(k) Sterility Guidance	<a href="http://www.fda.gov/regulatory-information/search-fda-guidance-documents/submission-and-review-sterility-information-premarket-notification-510k-submissions-devices-labeled">www.fda.gov/regulatory-information/search-fda-guidance-documents/submission-and-review-sterility-information-premarket-notification-510k-submissions-devices-labeled</a>
Question 4	PMA Supplement Guidance	<a href="http://www.fda.gov/media/81431/download">www.fda.gov/media/81431/download</a>

# Thanks for Joining Today!

- **Presentation and Transcript will be available at CDRH Learn**
  - [www.fda.gov/Training/CDRHLearn](http://www.fda.gov/Training/CDRHLearn)
- **Additional questions/comments about today's presentation**
  - Email: [MedicalDeviceSterilization@fda.hhs.gov](mailto:MedicalDeviceSterilization@fda.hhs.gov)
- **Upcoming Town Halls & Webinars**
  - [www.fda.gov/CDRHWebinar](http://www.fda.gov/CDRHWebinar)



Start Here/The Basics! (Updated Module 10/16/2023) <i>MDUFA Small Business Program, Registration and Listing</i>	▼
How to Study and Market Your Device - (Updated 11/20/23) <i>510k, De Novo, IDE, PMA, HUD/HDE, Q-Submissions, Standards, Classification</i>	▼
Postmarket Activities <i>Quality System, Exporting, Device Recalls, MDR, Inspection - Global Harmonization</i>	▼
In Vitro Diagnostics - (Updated 12/19/23) <i>IVD Development, CLIA, and Virtual Town Hall Series</i>	▼
Unique Device Identification (UDI) System	▼
<b>Specialty Technical Topics - (New module 1/26/24)</b>	▼
Radiation-Emitting Products	▼
510(k) Third Party Review Program (for Third Party Review Organizations)	▼
Industry Basics Workshop Series	▼



# Next Town Hall

**Date:** Wednesday, August 7, 2024

**Time:** 2:00 – 3:00 pm ET

Potential Topics:

- What we heard from our mailbox
- Short topic discussions on bioburden, bacterial endotoxin and packaging integrity testing for sterile medical devices
- Open Q&A

---

See section on our [Sterilization for Medical Devices](#) webpage that includes town hall dates and links to town hall materials.

## Medical Device Sterilization Town Hall Series

[www.fda.gov/medical-devices/general-hospital-devices-and-supplies/sterilization-medical-devices#town-halls](https://www.fda.gov/medical-devices/general-hospital-devices-and-supplies/sterilization-medical-devices#town-halls)