

# Clinical Outcome Assessment in Cancer Clinical Trials 2024

*Modernizing Tolerability Assessment in Cancer Clinical Trials*

9<sup>th</sup> Annual  
Virtual Public Workshop

June 25, 2024  
11:00 AM – 2:30 PM ET

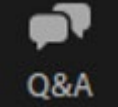
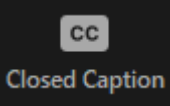
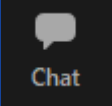


# WELCOME

The logo for the U.S. Food and Drug Administration (FDA), consisting of the letters 'FDA' in white on a blue square background.

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# Webcast Guide

- To ask a question or make a comment, click the  button below!
- To show captioning, click the  button below.
- Links to reference materials may be shared periodically through the  button below.

# Clinical Outcome Assessment in Cancer Clinical Trials 2024

## Agenda

**June 25, 2024 (11:00 AM – 2:30 PM ET)**

<b>11:00 AM – 11:10 AM</b>	<b>Welcome and Opening Remarks</b>
<b>11:10 AM – 12:25 PM</b>	<b>Session 1: Revisiting Core Item Sets in Oncology Trials – Where are we and where do we want to go?</b>
<b>12:25 PM – 12:40 PM</b>	<b>Break</b>
<b>12:40 PM – 1:55PM</b>	<b>Session 2: Revisiting Core Item Sets in Oncology Trials – How do we get there?</b>
<b>1:55 PM – 2:25 PM</b>	<b>Panel Discussion Q &amp; A</b>
<b>2:25 PM – 2:30 PM</b>	<b>Workshop Conclusion and Adjournment</b>



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# Clinical Outcome Assessment in Cancer Clinical Trials 2024

## Opening Remarks

11:00 AM – 11:10 AM ET

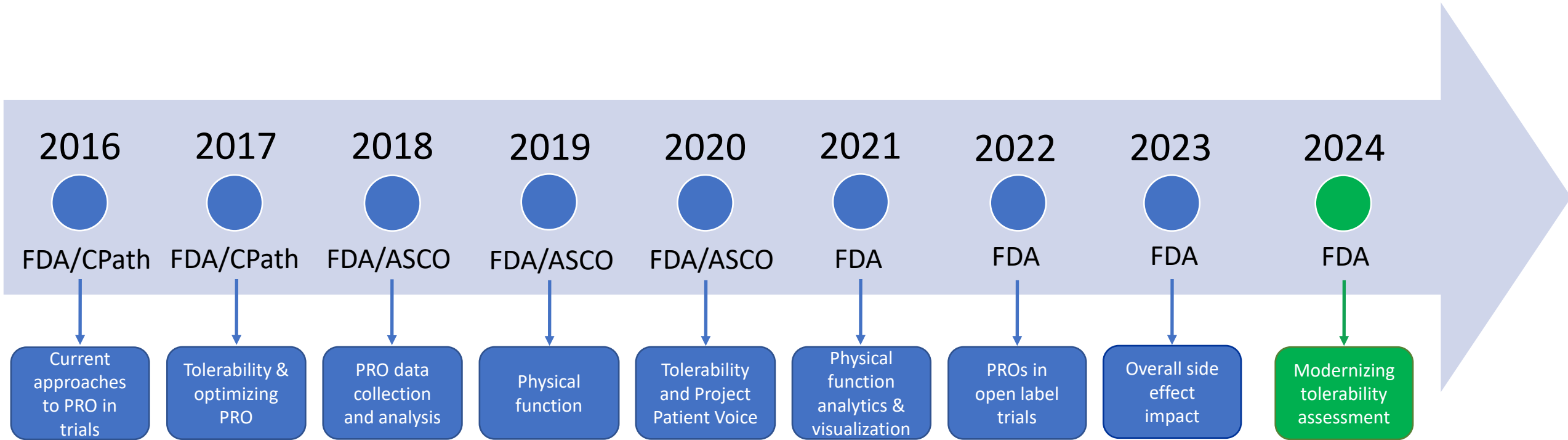
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# Clinical Outcome Assessment in Cancer Clinical Trials 2024



## Workshop Over the Years



### Core Patient-Reported Outcomes in Cancer Clinical Trials Guidance for Industry

*DRAFT GUIDANCE*

U.S. Department of Health and Human Services  
Food and Drug Administration  
Oncology Center of Excellence (OCE)  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)

June 2021  
Clinical/Medical



Generate PRO data that is:  
Relevant, Usable, Interpretable

- Disease-related symptoms
- Symptomatic adverse events
- Physical function
- Role function
- Overall side effect impact



## Context for Today's Workshop

- Definition of “tolerability” has evolved
- Novel treatments for cancer - mechanisms of action are diverse
- Item libraries are robust and translated into many languages
- Patients are more familiar with PRO assessment
- FDA OCE uses well-collected PRO to evaluate new therapies
- Methods to communicate this data have been established

- **Modernize Tolerability assessment:**
  - Select symptoms from item libraries based on MOA
  - High frequency assessment when symptoms likely to occur
- **Optimize use of patient-generated data:**
  - Integrate PRO assessment into early phase trials
  - Encourage use of Project Patient Voice
  - Continue research into novel technology (e.g., wearables)



# Core Outcomes

Overall Survival  
Progression Free Survival  
Overall Response Rate  
Serum Biomarkers

CTCAE Safety Data  
Dose Modifications

Hospitalizations  
ED Visits  
Morbid Procedures  
Supportive Care Use

Disease Symptoms

Symptomatic Adverse Events

Overall Side Effect Impact

Physical Function:  
  
Ability to Carry Out Activities that Require Physical Effort

Role Function:  
  
Ability to Work and Perform Leisure Activities



Clinician Reported and Biomarker Data



Patient Generated Data

# Core Outcomes

Overall Survival  
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Hospitalizations  
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Supportive Care Use

Disease  
Symptoms

Symptomatic  
Adverse  
Events

Overall Side  
Effect Impact

Physical  
Function:  
  
Effort

Role  
Function:  
  
Effort

How to select the most relevant patient-reported symptomatic AEs?



Clinician Reported and Biomarker Data



Patient Generated Data

# Clinical Outcome Assessment in Cancer Clinical Trials 2024

## Session 1:

Revisiting Core Item Sets in Oncology Trials –  
Where are we and where do we want to go?

11:10 AM – 12:25 PM ET

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# Session 1: Revisiting Core Item Sets in Oncology Trials – Where are we and where do we want to go?

## Moderator

**Terri Armstrong**



NCI

## Panelists

**Yelak Biru**



Patient Advocate

**Erica Horodniceanu**



FDA

**Tito Mendoza**



NCI

**Bryce Reeve**



Duke

**Gita Thanarajasingam**



Mayo Clinic

**Lynne Wagner**



UNC

# Session 1 Introduction

*Terri Armstrong, PhD*

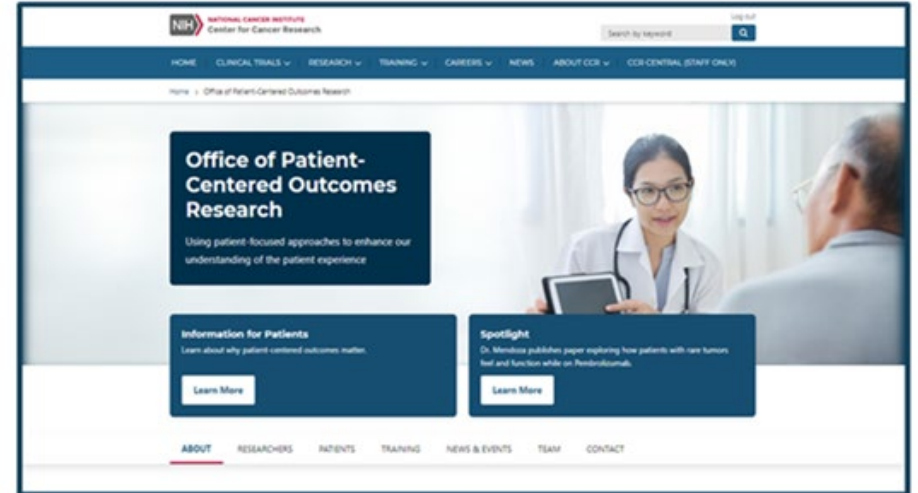
*Senior Investigator*

*Associate Director, Patient-Centered Outcomes*

*CCR, NCI, NIH*

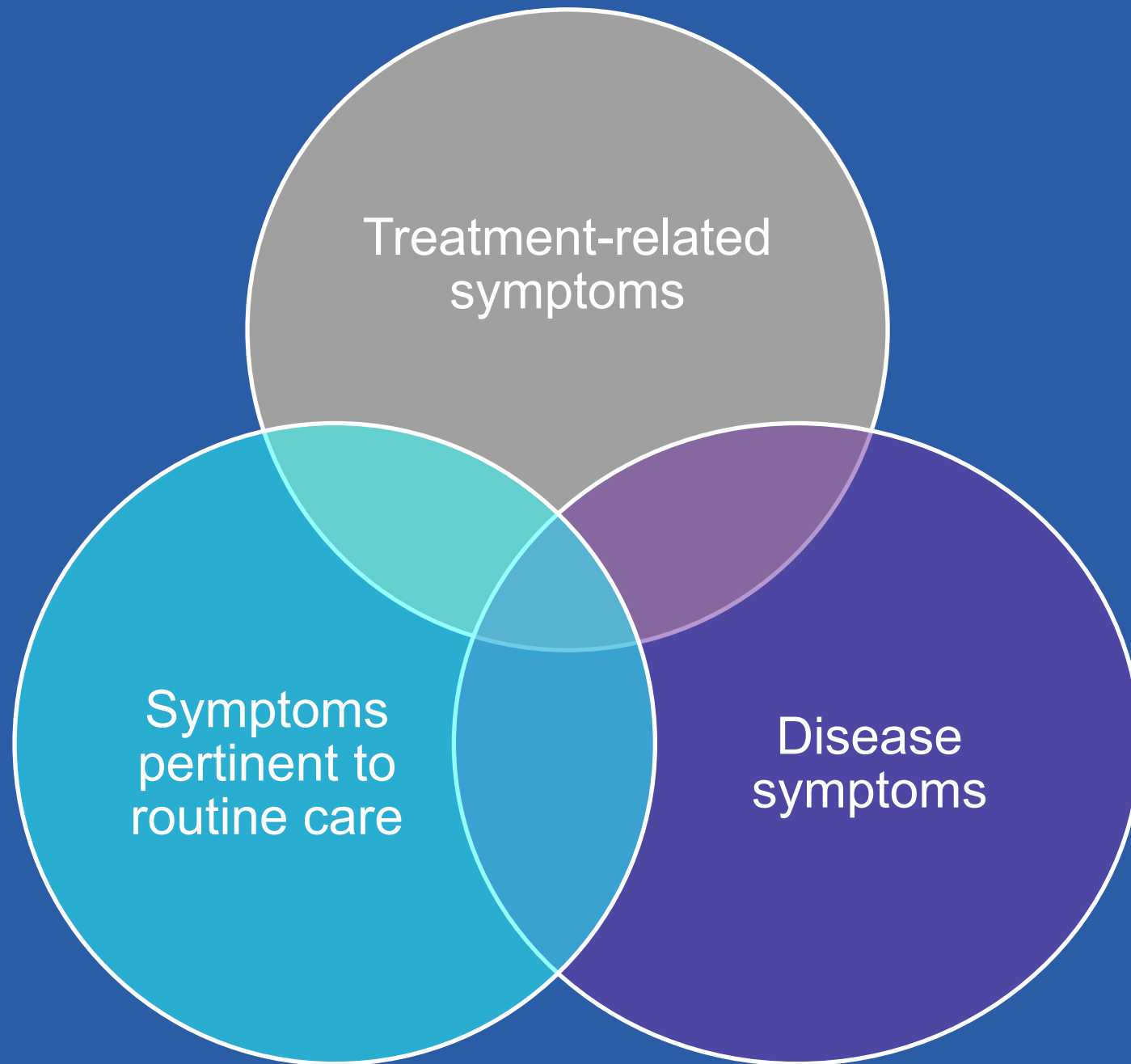
# Office of Patient-Centered Outcomes Research (OPCORE) Center for Cancer Research, NCI, NIH

- The **goal** of the Office of Patient-Centered Outcomes Research (OPCORE) is to integrate the voice of the patient, and in particular, the use of patient-centered outcomes into early-phase clinical trials.
- **Mission:** To advance understanding of the clinical benefit and tolerability of cancer therapies by integrating patient-centered approaches into CCR clinical trials and by fostering inclusive education and collaboration with stakeholders.
- Hosted an Early Phase Trial Working Group Meeting in 2023, as a precursor to today's workshop





# Intersection and differences in symptom occurrence



*All important and dependent on target goal of measurement*





# Why are we here?

- Patient-reported symptom and functional assessment are critical to **inform tolerability**
- Today's workshop focus is on **oncology clinical trials**
- PRO assessment is relevant to early and late phase trials
- Existing symptom lists may not capture side effects from wide ranging and novel classes of anti-cancer drugs

# Session 1 Objectives

1. Provide contextual background for patient-reported symptom assessment – an overview of existing “core” symptom sets.
2. Review how current clinical trials require novel methods to select symptoms, including use of PRO item libraries.
3. Emphasize how early phase trials, pediatric trials, and use of novel agents require parsimonious symptom assessment.



**NATIONAL CANCER INSTITUTE**  
**Center for Cancer Research**

[ccr.cancer.gov](http://ccr.cancer.gov)

# Session 1: Revisiting Core Item Sets in Oncology Trials – Where are we and where do we want to go?

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# Recommended Patient-Reported Core Set of Symptoms to Measure in Adult Cancer Treatment Trials

Symptom	Literature reviews								Data sources							
	2001-2011 Reilly et al.†				1990-2007 Kim et al.‡		CDUS/ AdEERS§ <sup>2004-2008</sup>		1992-2006 EORTC		2006-2008 SOAPP¶		2011 PRO-CTCAE#		2011 FACT**	
	Prevalence		Severity		Prevalence		Prevalence		Prevalence		Prevalence		Prevalence		Importance	
	%	rank	mean	rank	%	rank	%	rank	%	rank	%	rank	%	rank	%	rank
Fatigue	60	1	4.6	1	62	1	6.2	2	32	2	34	1	58	1	48	1
Insomnia	49	2	4.2	2	41	4	0.6	17	25	4	27	2	35	3	16	5
Pain	48	3	3.4	5	40	5	7.7	1	25	3	19	5	42	2	11	6
Anorexia	45	5	3.9	3	32	9	2.2	5	18	6	17	9	34	4	6	7
Dyspnea	44	6	2.8	8	26	12	1.8	7	15	7			19	15	4	8
Cognitive problems	44	7	3.1	7	25	13			14	10	17	9	21	13	3	11
Anxiety	41	9			54	2			32	1	19	5	31	6	26	3
Nausea	40	10	2.5	10	21	15	3.3	3	9	12			22	12	21	4
Depression			2.7	9	39	6			19	5	17	9	26	10	27	2
Neuropathy					29	10	1.9	6			19	5	19	16		
Constipation					27	11	1.4	11	14	9			30	7	4	9
Diarrhea					16	17	3.1	4	6	13			25	11	4	10
Dry mouth	48	4	3.5	4	42	3					19	5	32	5		
Irritability					37	7			15	8						
Drowsiness					36	8					22	3				
Coughing					26	12	1.0	13								
Taste alteration					23	14	0.6	19					28	9		
Itching					23	14										
Dizziness					20	16	0.5	20								
Vomiting					13	18	1.7	8		14			8	19		
Alopecia							1.5	9			20	4	30	7		
Headache													20	14		

\*Citation: Reeve BB, Mitchell SA, Dueck AC, Basch E, Cella D, Reilly CM, Minasian LM, Denicoff AM, O'Mara AM, Fisch MJ, Chauhan C, Aaronson NK, Coens C, Watkins-Bruner D. Recommended patient-reported core set of symptoms to measure in adult cancer treatment trials. JNCI: Journal of the National Cancer Institute. 2014 Jul 8;106(7):dju129.

## **FDA OCE PFDD Research Initiative**

**Most common symptomatic adverse reactions of selected solid tumors  
and hematologic cancers based on US drug labels (2015 – 2021)**

June 25, 2024

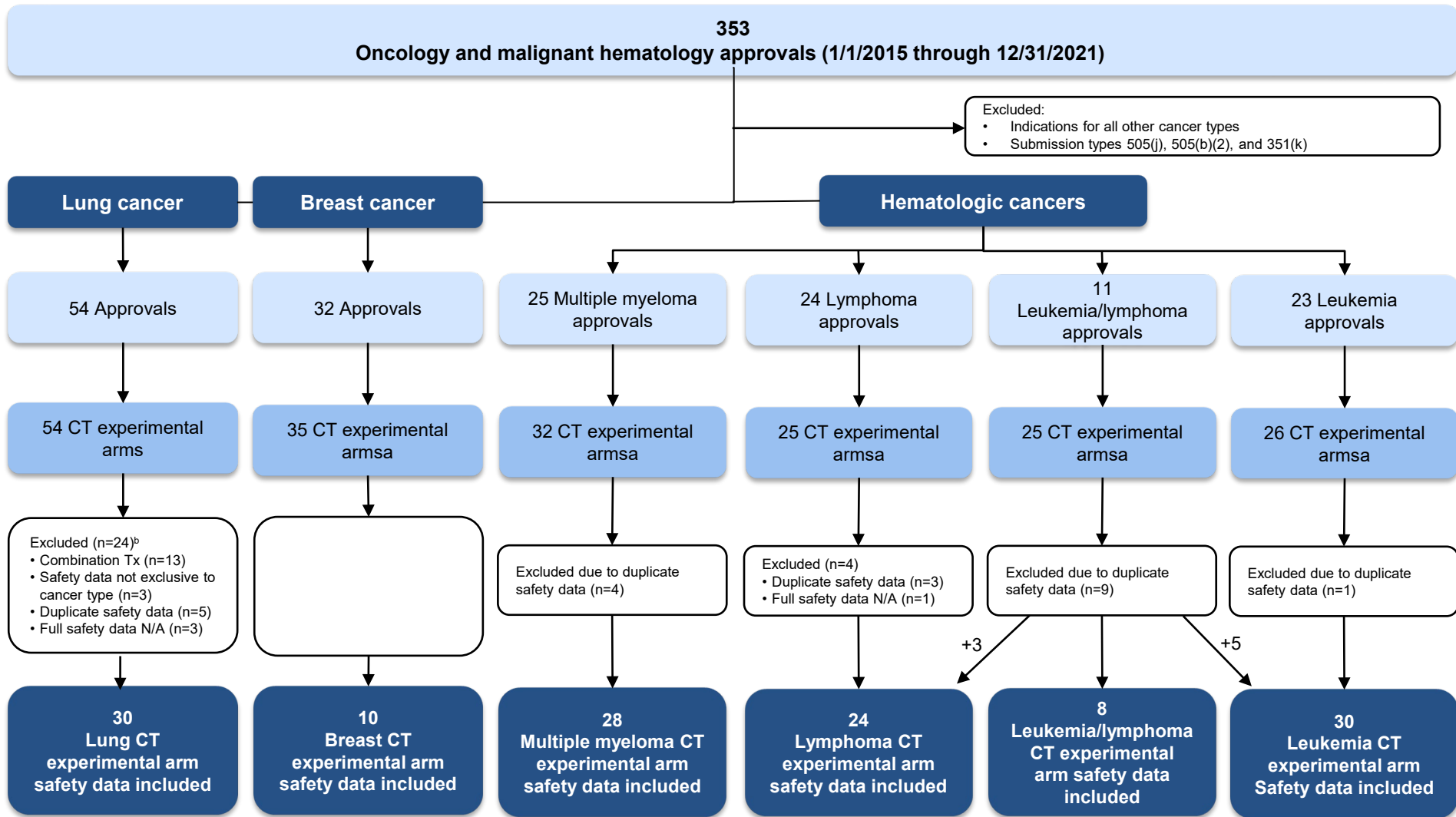
# Objective and Methods



To determine the most common symptomatic adverse reactions for recently approved oncology drug products for selected solid tumors and hematologic cancers

- Data source:
  - Cancer drug approvals from 2015 through 2021
  - Drug USPI\* - Section 6 for safety data; Section 14 for clinical trial information
- Data extraction:
  - Drug and trial information: drug MOA, confirmed indication for associated approval, trial name, NCT number, trial design, monotherapy/combination for treatment arm
  - Safety data for experimental arm(s): Sample size for trial safety data, and all-grade symptomatic adverse reactions reported in  $\geq 20\%$  of patients within experimental arm of the trial
- Data Analysis:
  - Number (%) of clinical trial experimental arms reporting each symptomatic adverse reaction in  $\geq 20\%$  of patients





(a) Some approvals were supported by more than one clinical trial and/or trials with more than one experimental arm with safety data.  
 (b) Initial reason for exclusion represented; some experimental arms had more than one reason for exclusion.  
 Abbreviations: CT, clinical trial; N/A, not available; Tx, treatment.

# Results

Most common symptomatic adverse reactions (reported in  $\geq 20\%$  of patients) in  $\geq 50\%$  of clinical trial experimental arms from FDA-approved drugs from 2015 to 2021, by n (%) of trial arms<sup>a</sup>

Symptomatic AR	All (N=130)	Lung (n=30)	Breast (n=10)	Leuk (n=30)	Lym (n=24)	Leuk/Lym (n=8)	MM (n=28)
<b>Fatigue</b>	92 (71%)	22 (73%)	8 (80%)	17 (57%)	19 (79%)	5 (63%)	21 (75%)
<b>Diarrhea</b>	91 (70%)	17 (57%)	9 (90%)	17 (57%)	18 (75%)	7 (88%)	23 (82%)
<b>Nausea</b>	73 (56%)	13 (43%)	8 (80%)	20 (67%)	12 (50%)	6 (75%)	14 (50%)
<b>Cough</b>	49 (38%)	13 (43%)	2 (20%)	4 (13%)	11 (46%)	5 (63%)	14 (50%)
<b>Rash</b>	45 (35%)	13 (43%)	2 (20%)	10 (33%)	9 (38%)	7 (88%)	4 (14%)
<b>Vomiting</b>	34 (26%)	7 (43%)	8 (80%)	8 (27%)	5 (21%)	0 (0%)	6 (21%)
<b>Musculoskeletal pain</b>	33 (25%)	9 (30%)	0 (0%)	7 (23%)	10 (42%)	7 (88%)	0 (0%)
<b>Decreased appetite</b>	30 (23%)	10 (33%)	5 (50%)	4 (13%)	4 (17%)	1 (13%)	6 (21%)
<b>Alopecia</b>	7 (5%)	1 (3%)	5 (50%)	0 (0%)	1 (4%)	0 (0%)	0 (0%)

(a) Bold/Grey shading displays AEs in  $\geq 50\%$  of arms.

Abbreviations: AR, adverse reaction; Leu, leukemia; Lym, lymphoma; MM, multiple myeloma.

# Considerations and Conclusions

Across all selected cancer types, fatigue, diarrhea, and nausea are among the most common symptomatic adverse reactions within the CT experimental arms included

- However, analysis does not differentiate between higher percent of patients with an adverse reaction within a trial, duration, or severity
  - Example: Vision disorders in clinical trial of drug to treat NSCLC
- Consider a narrow core set of symptomatic adverse events to serve as a minimum list, supplemented with additional expected symptomatic adverse events depending on context, and free text item to be measured as patient-reported outcomes in cancer trials



# Acknowledgements

- Paul Kluetz
- Vishal Bhatnagar
- Tejaswi Datla (ORISE Fellow)
- Meena Murugappan

# Prevalence of Symptomatic Adverse Events and Other Toxicities Associated with Newer Cancer Therapies: *A Scoping Review*

Tito Mendoza, PhD

Amanda L. King, PhD, APNP-BC

Tamara Vasilj, MD

# Overview

1. Scope of Review & Methods
2. Immunotherapy Symptomatic AEs
3. Targeted Therapy Symptomatic AEs
4. T-Cell AEs
5. Summary

# Scope of Review & Search Strategy

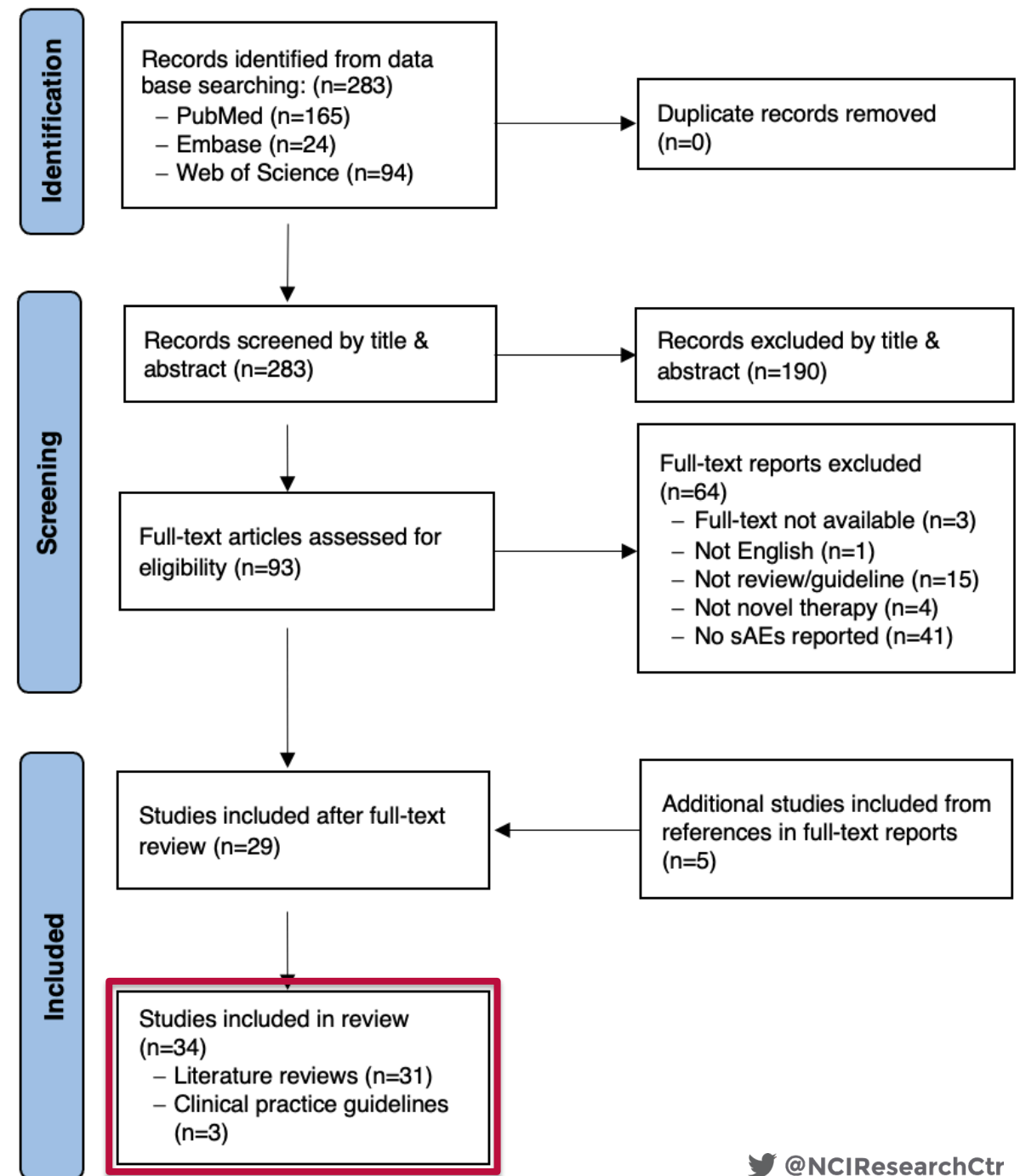
**Research question:** *For adult patients with cancer on novel therapies, what are the most important (common & severe) symptomatic adverse events that are reported?*

- Scoping review of published literature related to sAEs associated with newer cancer therapies
- **Search strategy:** guided by research librarian
  - Limit to English, 2014 to present, reviews of clinical trials/clinical practice guidelines
  - *Search terms:* [immunotherapy/AE OR molecular targeted therapy/AE OR precision medicine/AE] AND neoplasms



# PRISMA Consort Diagram

- Novel therapies in final sample:
  - **IMMUNOTHERAPY**
    - ❖ Checkpoint inhibitors: *16 papers*
    - ❖ T-cell therapies: *11 papers*
    - ❖ Immunomodulators: *4 papers*
    - ❖ Vaccines: *1 paper*
  - **TARGETED THERAPY**
    - ❖ Small molecule therapies: *10 papers*
    - ❖ Monoclonal antibodies: *7 papers*



# Patient-Reported Outcomes Version of the Common Terminology Criteria for Adverse Events (PRO-CTCAE™) Item Library

Version 1.0

Oral	
Dry mouth	S
Difficulty swallowing	S
Mouth/throat sores	SI
Cracking at the corners of the mouth (cheilosis/cheilitis)	S
Voice quality changes	P
Hoarseness	S

Gastrointestinal	
Taste changes	S
Decreased appetite	SI
Nausea	FS
Vomiting	FS
Heartburn	FS
Gas	P
Bloating	FS
Hiccups	FS
Constipation	S
Diarrhea	F
Abdominal pain	FSI
Fecal incontinence	FI

Respiratory	
Shortness of breath	SI
Cough	SI
Wheezing	S

Cardio/Circulatory	
Swelling	FSI
Heart palpitations	FS

Cutaneous	
Rash	P
Skin dryness	S
Acne	S
Hair loss	P
Itching	S
Hives	P
Hand-foot syndrome	S
Nail loss	P
Nail ridging	P
Nail discoloration	P
Sensitivity to sunlight	P
Bed/pressure sores	P
Radiation skin reaction	S
Skin darkening	P
Stretch marks	P

Neurological	
Numbness & tingling	SI
Dizziness	SI

Visual/Perceptual	
Blurred vision	SI
Flashing lights	P
Visual floaters	P
Watery eyes	SI
Ringing in ears	S

Attention/Memory	
Concentration	SI
Memory	SI

Pain	
General pain	FSI
Headache	FSI
Muscle pain	FSI
Joint pain	FSI

Sleep/Wake	
Insomnia	SI
Fatigue	SI

Mood	
Anxious	FSI
Discouraged	FSI
Sad	FSI

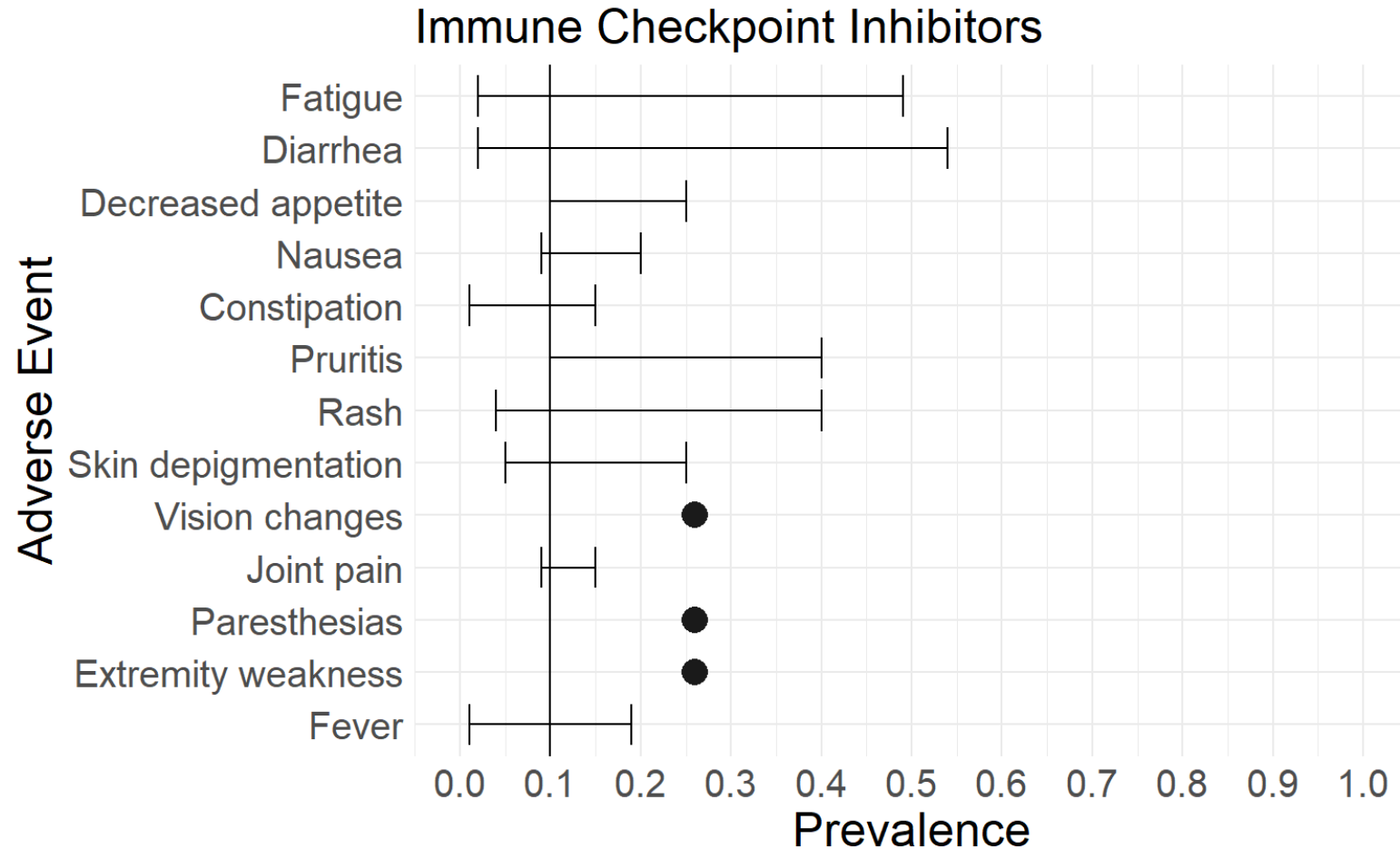
Gynecologic/Urinary	
Irregular periods/vaginal bleeding	P
Missed expected menstrual period	P
Vaginal discharge	P
Vaginal dryness	S
Painful urination	S
Urinary urgency	FI
Urinary frequency	PI
Change in usual urine color	P
Urinary incontinence	FI

Sexual	
Achieve and maintain erection	S
Ejaculation	F
Decreased libido	S
Delayed orgasm	P
Unable to have orgasm	P
Pain w/sexual intercourse	S

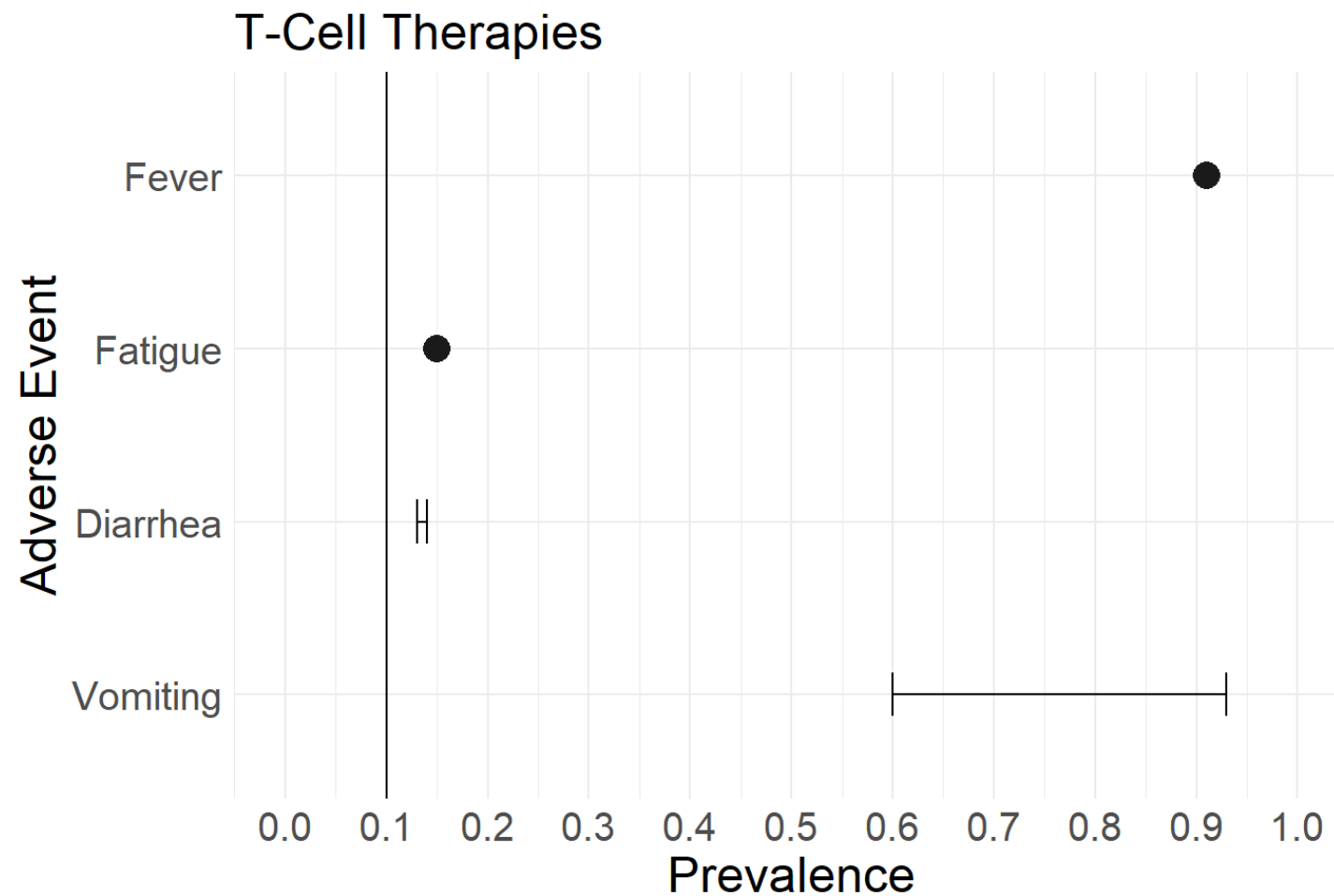
Miscellaneous	
Breast swelling and tenderness	S
Bruising	P
Chills	FS
Increased sweating	FS
Decreased sweating	P
Hot flashes	FS
Nosebleed	FS
Pain and swelling at injection site	P
Body odor	S

Dimensions	
F: Frequency	I: Interference
S: Severity	P: Presence/Absence /Amount

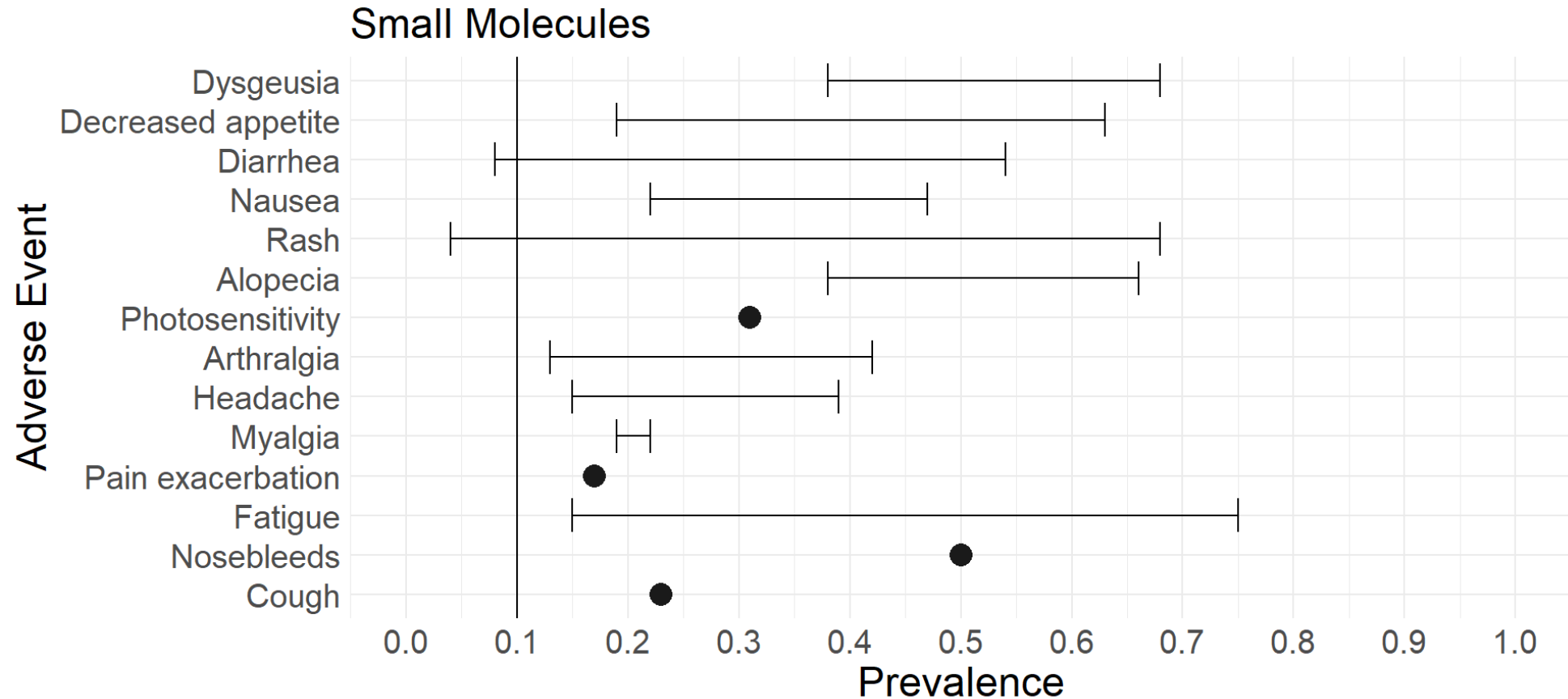
# Most prevalent symptomatic AEs (all grades)



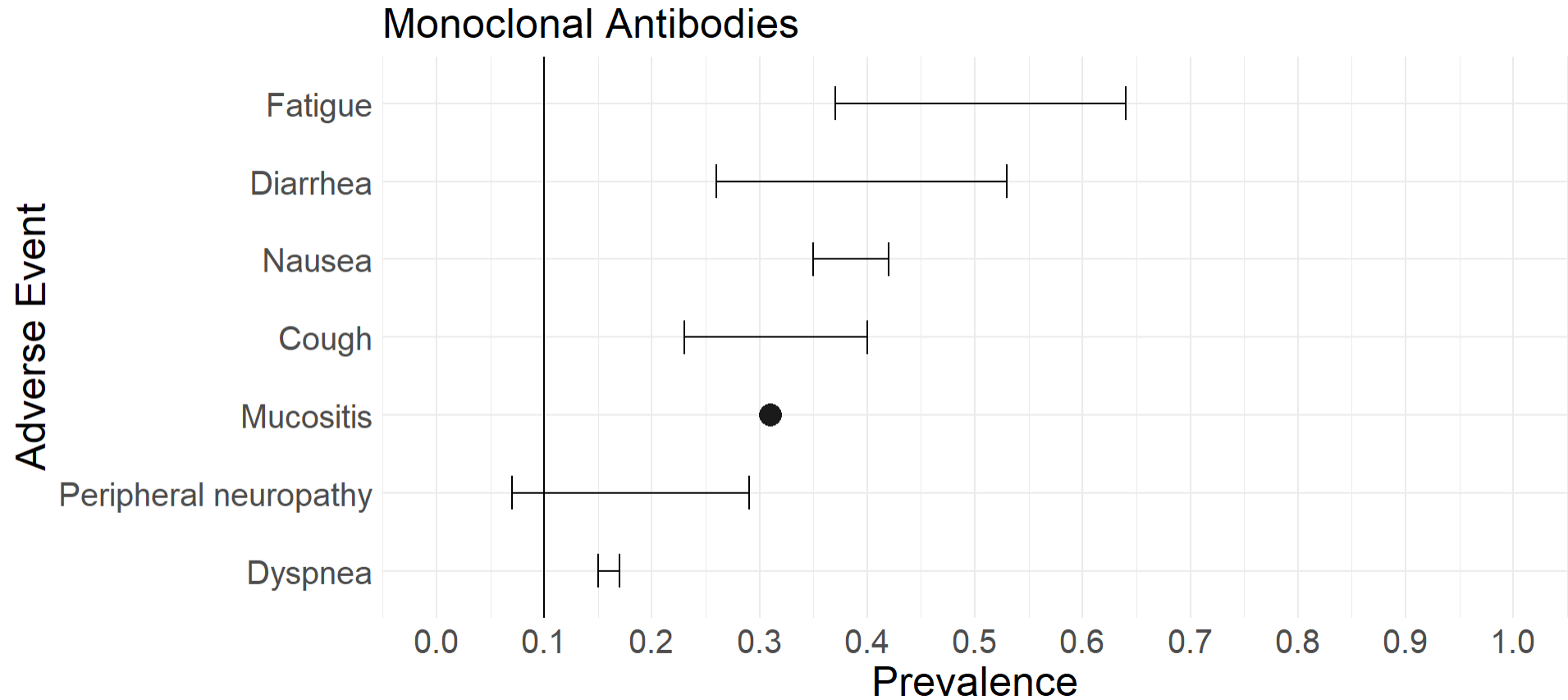
# Most prevalent symptomatic AEs (all grades)



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# Most prevalent symptomatic AEs (all grades)



Immunotherapy Symptomatic AEs	Range (%)
Rash	17-70
Diarrhea	19-54
Nausea	19-54
Vomiting	19-54
Constipation	19-54
Abdominal pain, cramping	19-54
Dyspnea	53
Joint pain	15-40
Muscle pain	2-40
Cough	35
Chest pain	7
Headache	3-6
Ocular toxicity Dry, itchy or watery eyes, pain & changes in vision, blurry or double vision, floaters, flashing lights, changes in color vision, eye redness	0-1

# Most Prevalent Symptomatic AEs (all grades) based on ASCO (2019), ESMO (2022) and Toxicity Management Working Group (2017) guidelines

## ICI targets:

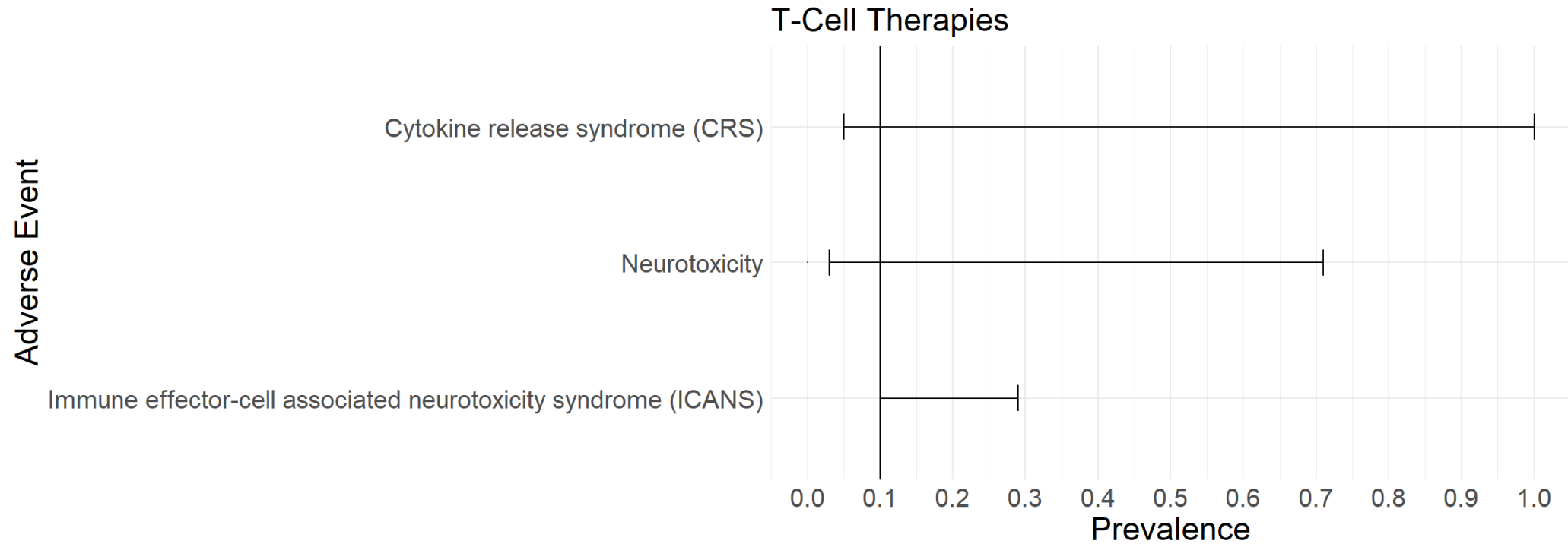
CTLA-4 inhibitors  
PD-1/PD-L1 inhibitors

## ICI drugs:

ipilimumab  
pembrolizumab  
nivolumab  
atezolizumab  
durvalumab  
avelumab



# Most prevalent AEs (all grades)



Reeve Core	Immunotherapy	Targeted Agents	Guidelines (Immunotherapy)
Fatigue	Fatigue	Fatigue	Fatigue (no prevalence data but listed as a common symptom for numerous AE syndromes)
Pain	*Joint pain		*Joint pain
Anorexia (appetite loss)		*Decreased appetite	
Dyspnea	Dyspnea		Dyspnea
Cognitive problems			
Anxiety (includes worry)			
Nausea		*Nausea and vomiting	Nausea and vomiting
Depression (includes sadness)			
Sensory neuropathy			
Constipation			Constipation
Diarrhea	Diarrhea	Diarrhea	Diarrhea
	Rash	Rash	Rash
		Alopecia	
	Cough		Cough
	Vision changes	Vision changes	

# Summary

- Fatigue, diarrhea and rash are reported as top common symptoms in patients being treated with either immunotherapy or targeted therapy
- Vomiting, fever, diarrhea, fatigue, itchiness and rash are the top 6 symptomatic AEs seen in at least 40% of patients being treated with immunotherapy (immune checkpoint inhibitors, T-cell therapies, immunomodulators, vaccines)
- Fatigue, diarrhea, taste changes, decreased appetite, rash and alopecia are the top 6 symptomatic AEs seen in at least 50% of patients being treated with targeted therapy (small molecules and monoclonal antibodies)
- There is lack of symptomatic AEs data in patients being treated with T-cell
- For patients being treated with T-cell, many AEs are reported as syndromes (e.g. ICANS, CRS)

# Acknowledgments

- Terri S. Armstrong, PhD, ANP-BC
- Diane Cooper (research librarian)
- OPCORE/NOB trainees:
  - Sefanit Berhanu, BS
  - Ciara Locke, BS
  - Morgan Johnson, BS
  - Bennett McIver, BS



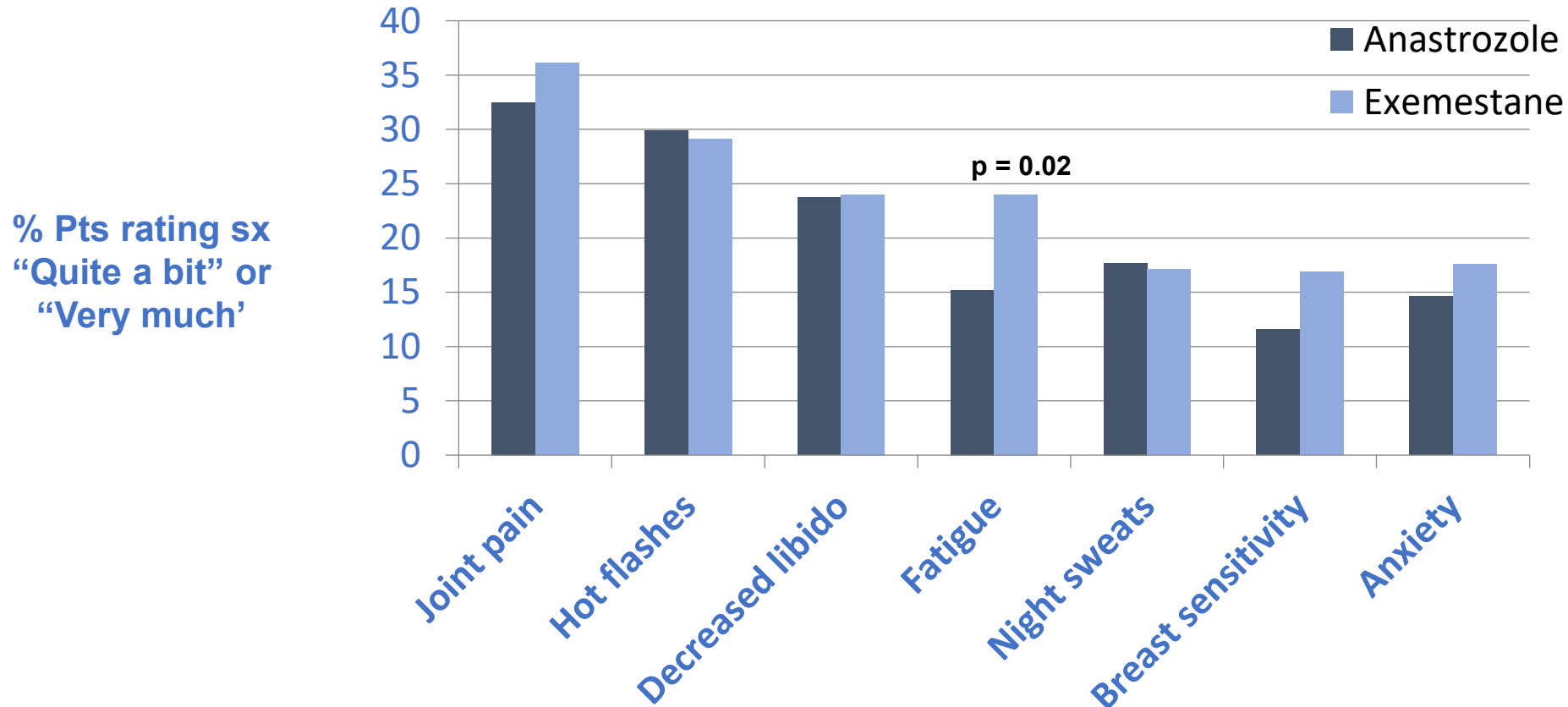


- Yelak Biru
- Erica Horodniceanu
- Tito Mendoza
- Bryce Reeve
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2. Review how current clinical trials require novel methods to select symptoms, including use of PRO item libraries.
3. Emphasize how early phase trials, pediatric trials, and use of novel agents require parsimonious symptom assessment

# E1Z03: Post-menopausal ER+ Breast Cancer

## Most Common Moderate or Severe Symptoms at Month 3



**N = 686**

**12/04 – 12/05**

Individual FACT-ES items

Arm A = Arm E, p = n.s. except fatigue

Wagner et al. Breast CA Res Treat 2018

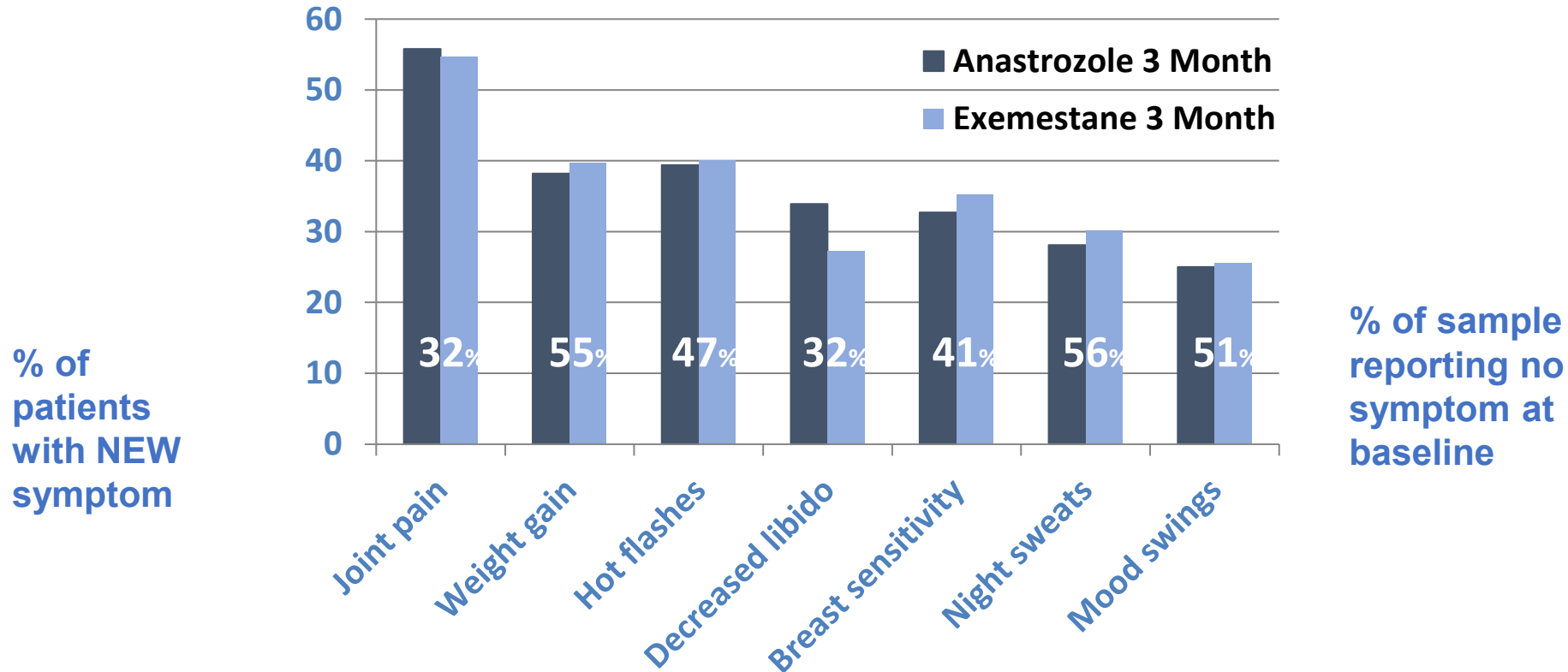


LINEBERGER COMPREHENSIVE  
CANCER CENTER



# E1Z03: Post-menopausal ER+ Breast Cancer

## Most Common New Symptoms at Month 3



Individual FACT-ES items  
Arm A = Arm E, p = n.s.

Wagner et al. Breast CA Res Treat 2018



# Learning Clinical Trials System

---

- Nimble trial design to facilitate measurement of emerging and unexpected toxicities
- Open-ended PRO-CTCAE to inform PRO items





# Session 1: Revisiting Core Item Sets in Oncology Trials – Where are we and where do we want to go?

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Clinician Reported and Biomarker Data



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Overall Side Effect Impact

Physical Function:  
Effort

Role Function:  
Effort

How to select the most relevant patient-reported symptomatic AEs?



Clinician Reported and Biomarker Data



Patient Generated Data

# Assessing Tolerability using PROs in Oncology Clinical Trials

**Symptoms common across many mechanisms of action:**

Diarrhea  
Nausea  
Fatigue

**Expected high incidence symptoms selected based on mechanism of action and early clinical data.**

**Be sure to include:**

- Dose/treatment modifying symptoms
- Symptoms from concomitant anti-cancer therapy (e.g., hormonal therapy, steroids)

**Optional Depending on Care Setting:**  
e.g., Depression

**Final Items for Trial + free text**

# Clinical Outcome Assessment in Cancer Clinical Trials 2024

**BREAK**

Up Next: Session 2 at 12:40 PM ET

**FDA**

Hosted by the  
**FDA ONCOLOGY CENTER OF EXCELLENCE**

# Clinical Outcome Assessment in Cancer Clinical Trials 2024

## Session 2:

Revisiting Core Item Sets in Oncology Trials –  
How do we get there?

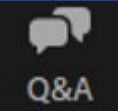
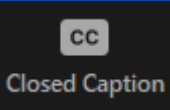
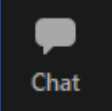
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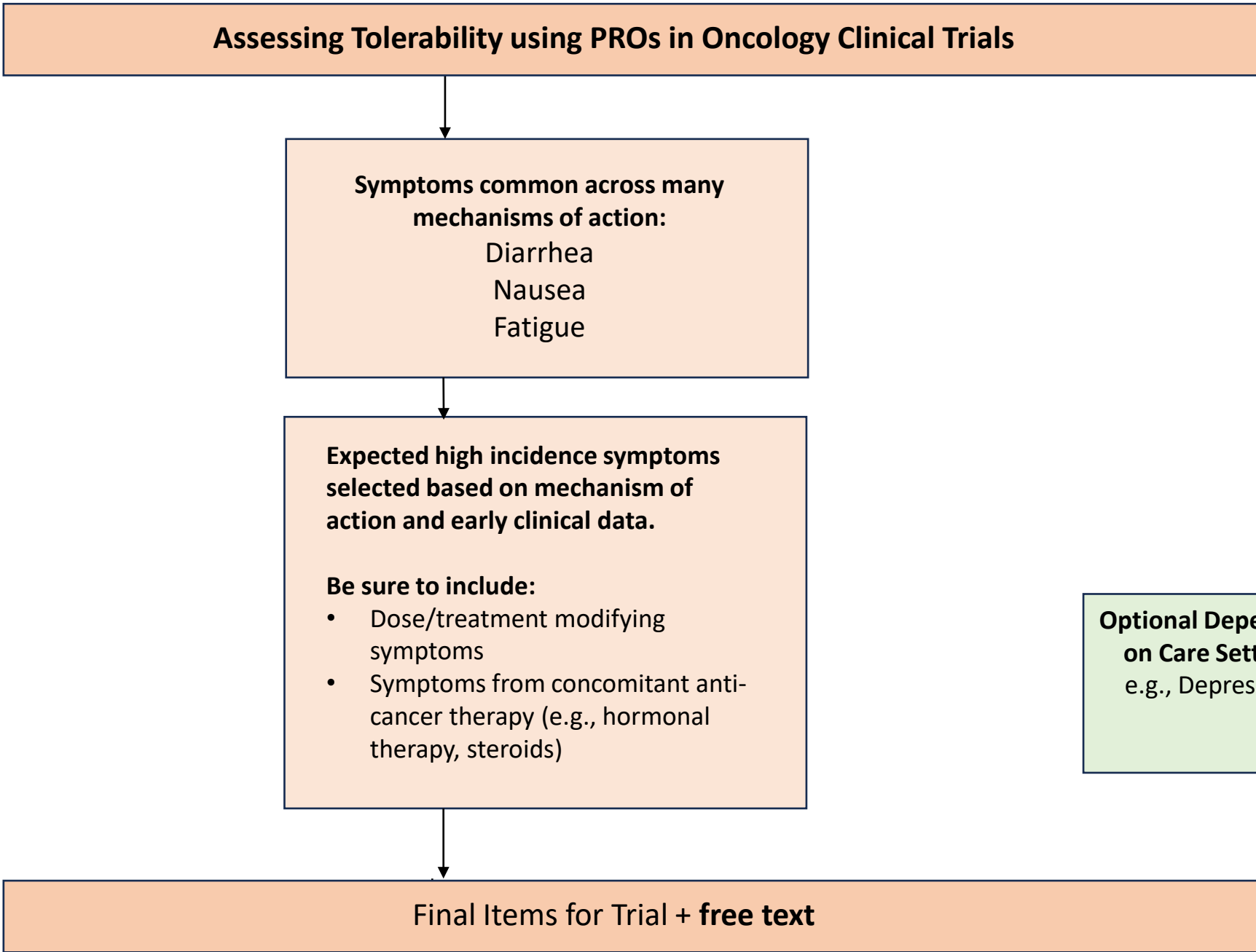
The logo for the U.S. Food and Drug Administration (FDA), consisting of the letters 'FDA' in white on a teal square background.

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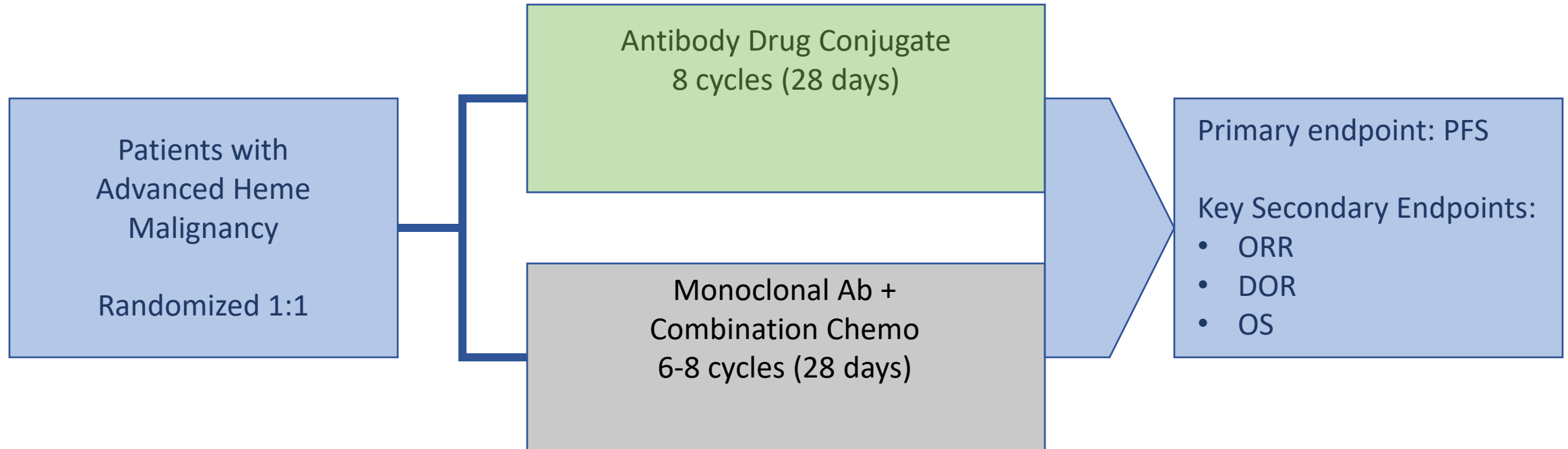
# Webcast Guide

- To ask a question or make a comment, click the  button below!
- To show captioning, click the  button below.
- Links to reference materials may be shared periodically through the  button below.





# Hypothetical Late Phase Trial



**How to select the most appropriate patient-reported symptoms to assess tolerability?**

# Core Outcomes

**Overall Survival**  
**Progression Free Survival**  
**Overall Response Rate**  
**Serum Biomarkers**

**CTCAE Safety Data**  
**Dose Modifications**

**Hospitalizations**  
**ED Visits**  
**Morbid Procedures**  
**Supportive Care Use**

Clinician Reported and Biomarker Data



**Disease Symptoms**

**Symptomatic Adverse Events**

**Overall Side Effect Impact**



Patient Generated Data

**Physical Function:**

**Ability to Carry Out Activities that Require Physical Effort**

**Role Function:**

**Ability to Work and Perform Leisure Activities**

# Hypothetical Symptoms from Trial Agents

## Antibody Drug Conjugate

- Fatigue (60%)
- Neuropathy (50%)
- Decreased Appetite (40%)
- Nausea (40%)
- Stomatitis (30%)
- Pruritis/Rash (12%)
- Vision changes (12%)
- Dyspnea (10%)

## Monoclonal Ab + Combination Chemo

- Nausea (80%)
- Fatigue (60%)
- Vomiting (40%)
- Diarrhea (40%)
- Alopecia (40%)
- Constipation (15%)
- Back pain (10%)
- Insomnia (10%)

# Assessing Tolerability using PROs in Oncology Clinical Trials



Symptoms common across many mechanisms of action:

Diarrhea  
Nausea  
Fatigue

Expected high incidence symptoms selected based on mechanism of action and early clinical data.

**Be sure to include:**

- Dose/treatment modifying symptoms
- Symptoms from concomitant anti-cancer therapy (e.g., hormonal therapy, steroids)

**Optional Depending on Care Setting:**  
e.g., Depression

Final Items for Trial + free text

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# Hypothetical Trial Symptom List

## List of symptoms to assess using an item library:

1. Fatigue
2. Neuropathy
3. Decreased Appetite
4. Nausea
5. Stomatitis
6. Vision changes
7. Vomiting
8. Diarrhea
9. Alopecia
10. Free-text



“...symptomatic AEs expected to occur from both treatment regimens should be assessed for all patients in both arms.”

“Assessment frequency should be higher within the first few treatment cycles and depending on the trial may be less frequent in later cycles.”

# Core Outcomes

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Progression Free Survival  
Overall Response Rate  
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CTCAE Safety Data  
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# Session 2: Revisiting Core Item Sets in Oncology Trials – How do we get there?

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Patient Advocate

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EORTC

**Ashley Wilder Smith**



NCI



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1. Consider actionable methods to modernize existing PRO item libraries/measures.
2. Provide a framework for a potential symptom core item set applicable across therapeutic areas and contexts.
3. Review analysis and visualization techniques for core symptoms assessed during cancer trials.

# Getting to know the EORTC Item Library

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*FDA COA-CTT Workshop, June 25 2024*

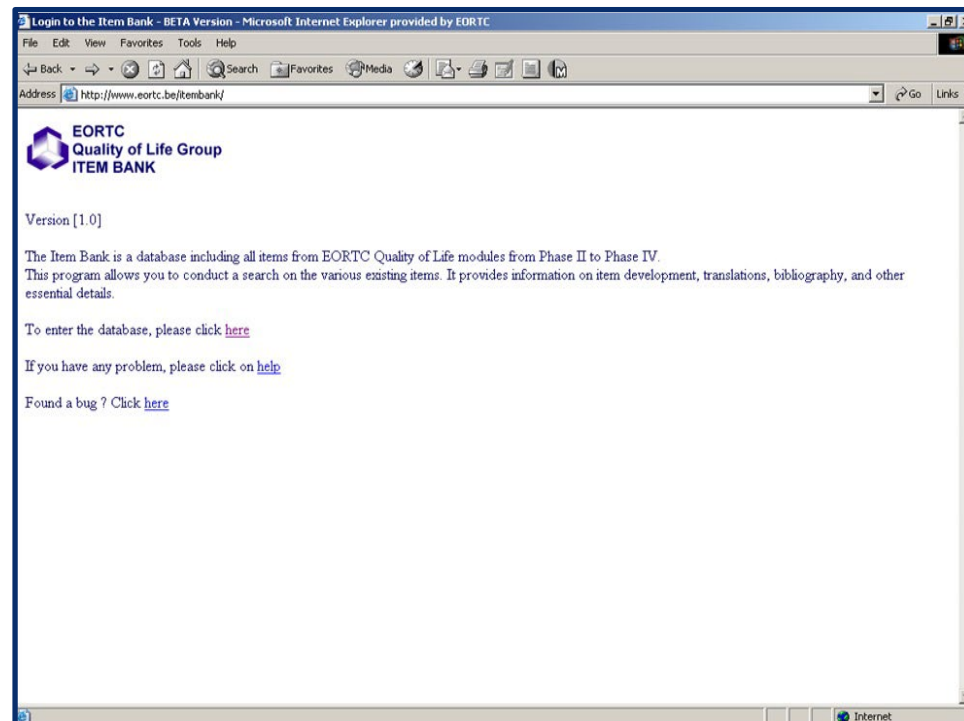
Madeline Pe, PhD

Head of Quality of Life Department

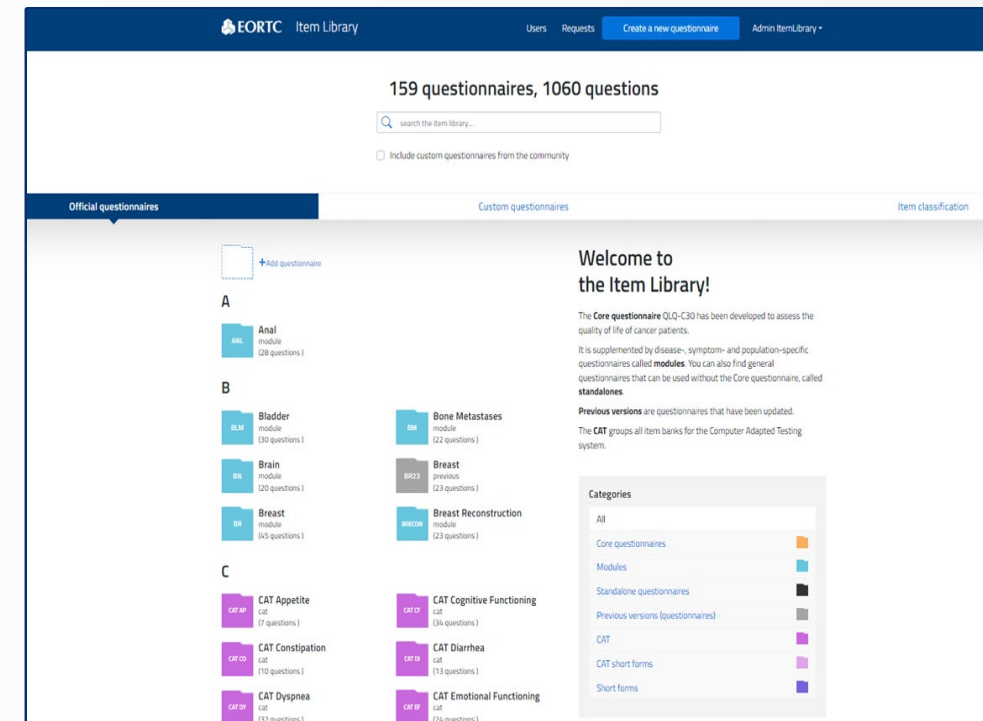
European Organisation for Research and Treatment of Cancer (EORTC)

Brussels, Belgium

# Where did we come from?

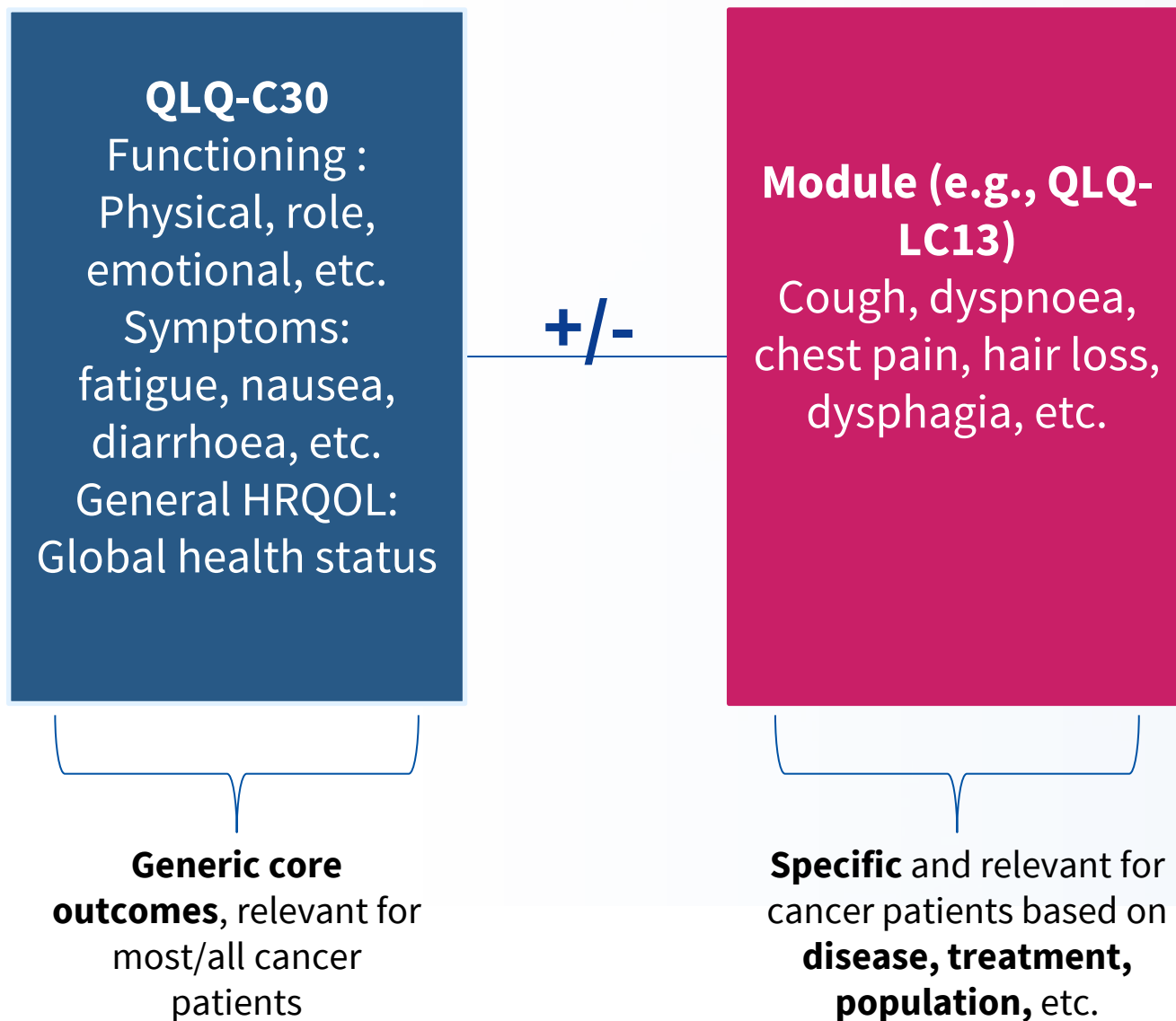


# Where are we now?



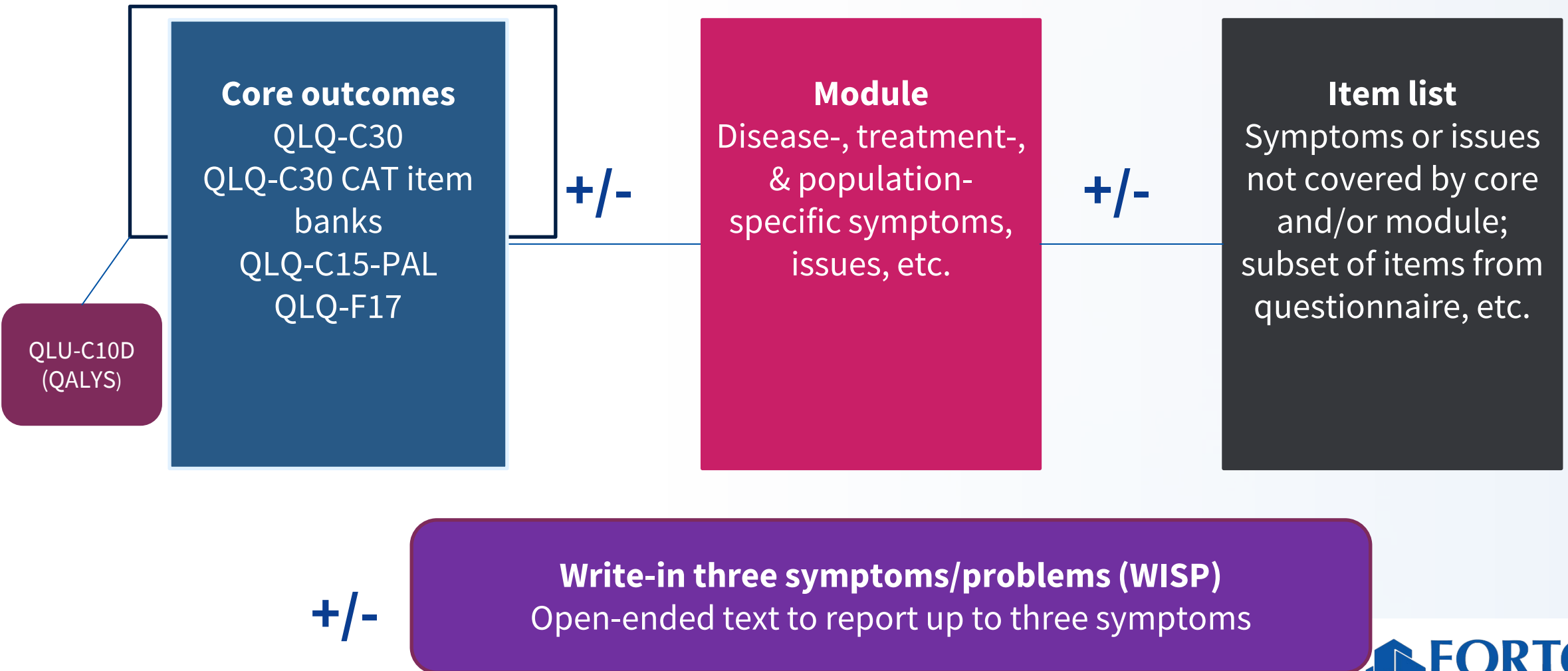


# How did we get here?

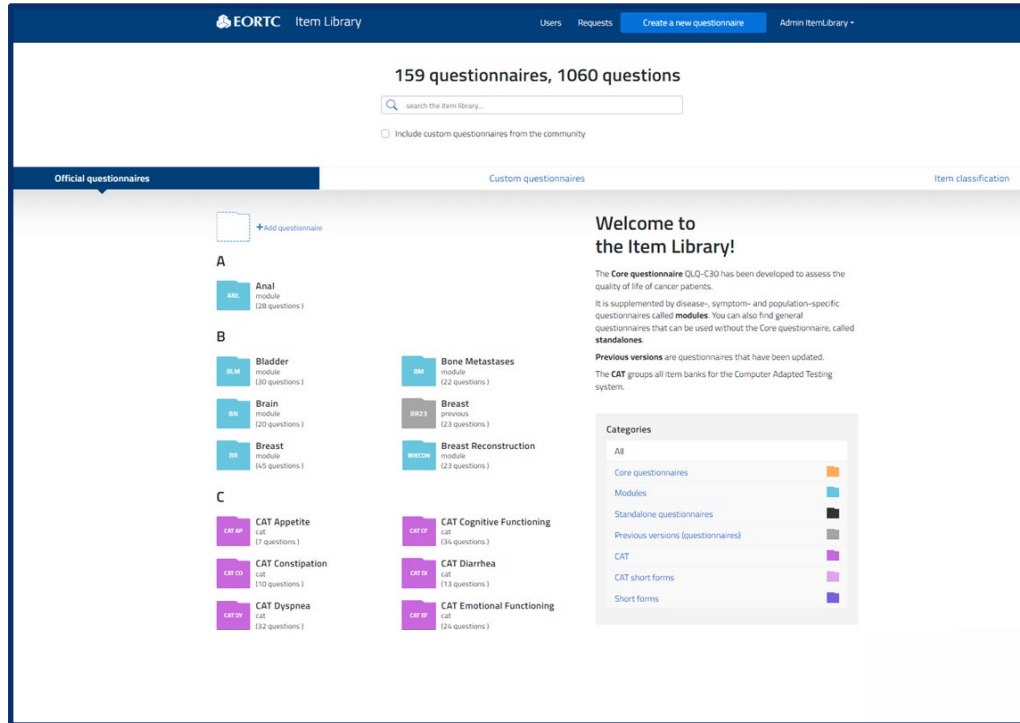




# Towards a more flexible (& pragmatic) PRO measurement strategy



# How is the EORTC Item Library used?



To support the development and validation of new (static) questionnaires and questionnaire updates



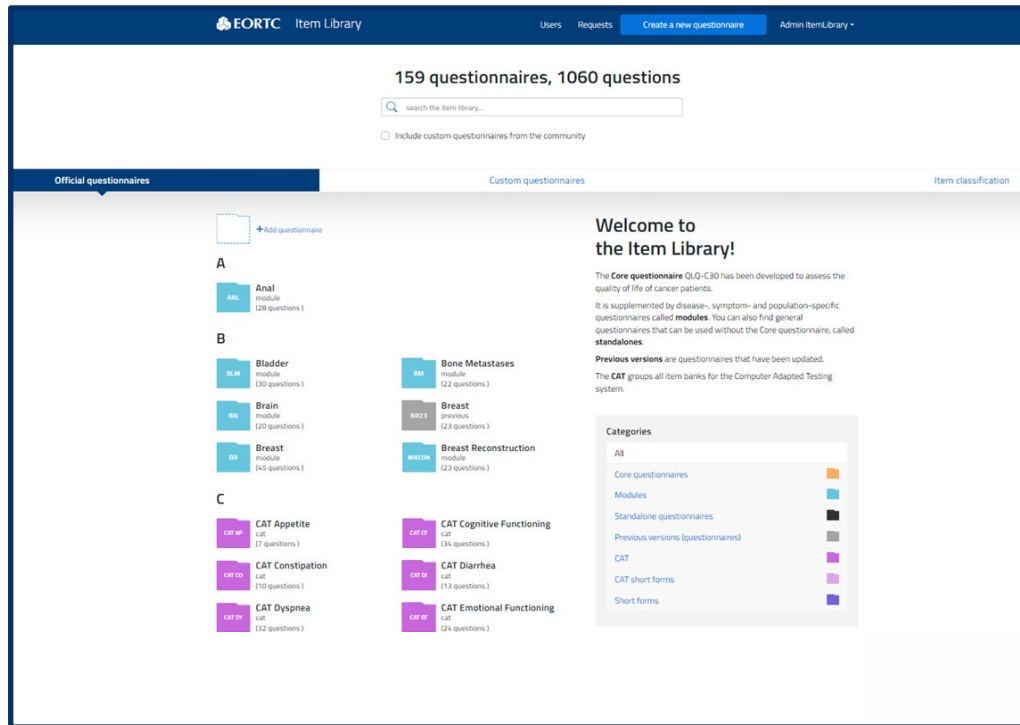
As a reference tool to search for items, translations, references, questionnaires, etc.



To create **new item lists** for use in different research and clinical settings

<https://itemlibrary.eortc.org/>

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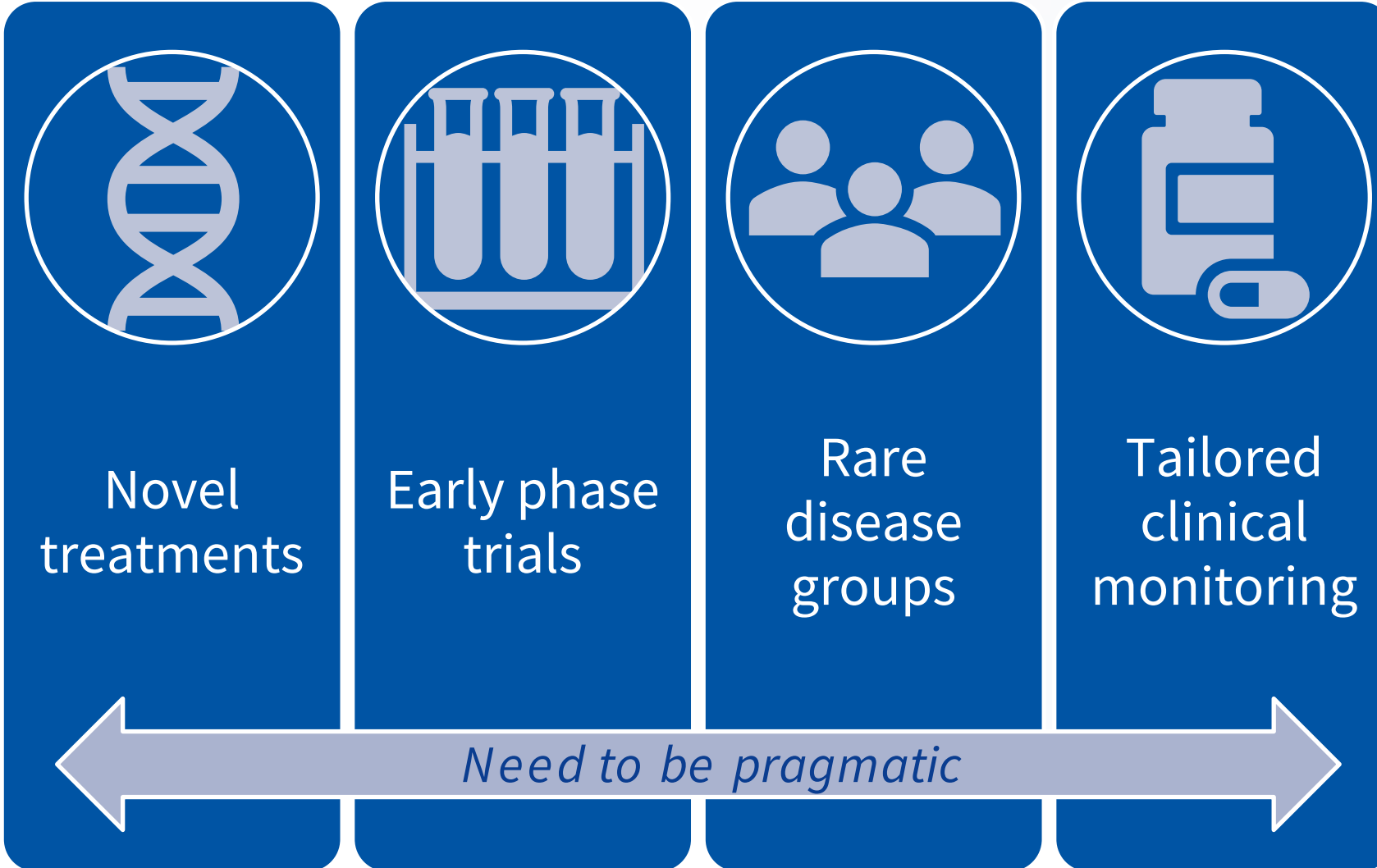
As a reference tool to search for items, translations, references, questionnaires, etc.



To create **new item lists** for use in different research and clinical settings

<https://itemlibrary.eortc.org/>

# Example settings for item list use



# Content classification - CTCAE

- Previous work linking 950 EORTC items to CTCAE framework found considerable coverage of Aes
- 208 different CTCAEs linked to EORTC items
- Findings integrated into Item Library to facilitate identification of items based on symptomatic AE

SOCs	Total AEs covered by EORTC items N (%*)
Cardiac disorders	2 (1.0)
Ear and labyrinth disorders	3 (1.4)
Endocrine disorders	2 (0.1)
Eye disorders	14 (6.7)
Gastrointestinal disorders	37 (17.8)
General disorders and administration site conditions	15 (7.2)
Immune system disorders	1 (0.5)
Infections and infestations	5 (2.4)
Injury, poisoning and procedural complications	7 (3.4)
Investigations	3 (1.4)
Metabolism and nutrition disorders	1 (0.5)
Musculoskeletal and connective tissue disorders	17 (8.2)
Nervous system disorders	23 (11.1)
Psychiatric disorders	12 (5.8)
Renal and urinary disorders	9 (4.3)
Reproductive system and breast disorders	21 (10.1)
Respiratory, thoracic and mediastinal disorders	16 (7.7)
Skin and subcutaneous tissue disorders	16 (7.7)
Surgical and medical procedures	1 (0.5)
Vascular disorders	3 (1.4)
Total SOC (N=20)	*Total AEs (N=208)

Gilbert A\*, Piccinin C\*, Velikova G, Groenvold M, Kuliš D, Blazeby JM, Bottomley A. Linking the European Organisation for Research and Treatment of Cancer Item Library to the Common Terminology Criteria for Adverse Events. Journal of Clinical Oncology. 2022;40(32):3770–80. <https://doi.org/10.1200/JCO.21.02017>

# Content classification – WHO-ICF



Standard classification | **CTCAE classification**

- Body Image (26)
  - Q1 - less masculine
  - Q95 - problem appearance
  - Q109 - less feminine
  - Q128 - physically less attractive
  - Q129 - problems look naked
  - Q130 - dissatisfied body
  - Q189 - less feminine/masculine
  - Q260 - feel less sexually attractive
  - Q264 - satisfied size breast
  - Q265 - satisfied shape breast
  - Q266 - satisfied skin appearance breast
  - Q267 - satisfied symmetry breasts
  - Q268 - satisfied cleavage
  - Q270 - satisfied appearance nipple
  - Q272 - satisfied scars appearance breast
  - Q274 - satisfied breast reconstruction result
  - Q278 - satisfied scars appearance
  - Q279 - problem loss of nipple
  - Q296 - worry appearance
  - Q325 - dissatisfied cosmetic surgery
  - Q415 - lack confidence body
  - Q517 - lack self confidence
  - Q543 - worry appearance abdomen
  - Q664 - EF14 lose interest appearance
  - Q915 - satisfied cosmetic result surgery
  - Q981 - dissatisfied appearance
- + Bones, Muscles, & Joints (7)
- + Breast (21)
- + Cardiovascular (6)
- + Cognitive Functioning (37)

- Developed using bottom-up approach
- Available in Item Library within “Item classification” view
- Currently being updated



International Classification of Functioning, Disability and Health (ICF)

- ICF Category
  - Body functions
    - Activities and participation
      - Learning and applying knowledge
      - General tasks and demands
      - Communication
      - Mobility
      - Self-care
        - d510 Washing oneself**
          - d5100 Washing body parts
          - d5101 Washing whole body
          - d5102 Drying oneself
          - d5108 Other specified washing oneself**
          - d5109 Washing oneself, unspecified**
        - d520 Caring for body parts
        - d530 Toileting
        - d540 Dressing
        - d550 Eating
        - d560 Drinking
        - d570 Looking after one's health
        - d598 Other specified self-care**
        - d599 Self-care, unspecified**
      - Domestic life
      - Interpersonal interactions and relationships
      - Major life areas
        - Community, social and civic life
    - Environmental factors
    - Body structures
      - Other specified ICF Category
      - ICF Category, unspecified
  - ICF Qualifier

- Applied to EORTC items using a top-down approach, following specific WHO-ICF linking rules
- Coding underway & will eventually be implemented in Item Library

<https://itemlibrary.eortc.org/>

<https://apps.who.int/classifications/icfbrowser/>

Cieza A et al. Refinements of the ICF Linking Rules to strengthen their potential for establishing comparability of health information. *Disabil Rehabil.* 2019;41(5):574–83. <https://doi.org/10.3109/09638288.2016.1145258>

Schurr T et al. Patient-reported outcome measures for physical function in cancer patients: content comparison of the EORTC CAT Core, EORTC QLQ-C30, SF-36, FACT-G, and PROMIS measures using the International Classification of Functioning, Disability and Health. *BMC Medical Research Methodology.* 2023;23(1):1: 21. <https://doi.org/10.1186/s12874-022-01826-z>



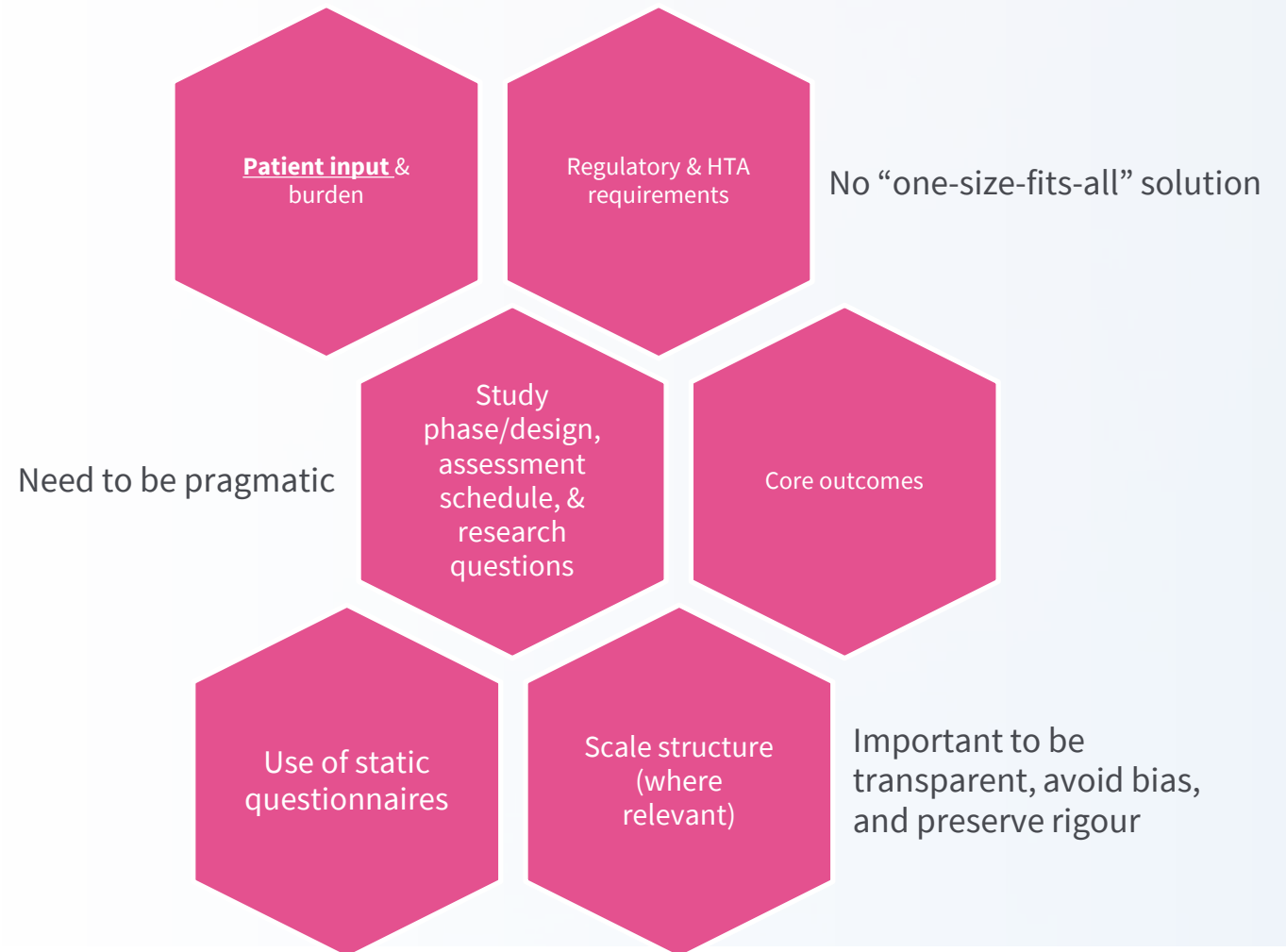
# What sorts of item lists are frequently requested by industry users?

Concept(s)	Core outcomes (functioning & symptoms)	Treatment and/or disease-related side effects	Global impression of side effect burden
<b>Approach(es)</b>	<ul style="list-style-type: none"> <li>Subset of QLQ-C30 domains</li> </ul>	<ul style="list-style-type: none"> <li>Select treatment- and/or disease-specific items</li> </ul>	<ul style="list-style-type: none"> <li>Single item measure of side effect burden <i>(Q168 To what extent have you been troubled with side-effects from your treatment?)</i></li> </ul>
<b>PRO measurement strategy to fit FDA scope</b>	<ul style="list-style-type: none"> <li>QLQ-C30 (physical functioning, role functioning, <b>diarrhoea, nausea and fatigue</b>)</li> </ul>	<ul style="list-style-type: none"> <li>Disease-specific module (e.g, BR23)</li> <li>Item list from Item Library (e.g., breast and arm <b>symptom scales</b> from BR23)</li> </ul>	<ul style="list-style-type: none"> <li>Item List 46 / Item Q168</li> </ul>

*Reflects current scope of US regulatory guidance*

# Encouraging best practices for use of item libraries

- With added flexibility comes important need to minimize bias and avoid cherry-picking of items
- Investigators should account for design of item list in a transparent and comprehensive way
- Important to consider different factors when using a flexible measurement approach, including need to **ensure comparability and generalizability and measure core outcomes**





# Acknowledgements

- Christopher Bedding, Leeds Institute of Medical Research, University of Leeds, Leeds, UK
- Corneel Coens, EORTC HQ, Brussels, BE
- Alexandra Gilbert, Leeds Institute of Medical Research, University of Leeds, Leeds, UK
- Johannes Giesinger, Medical University of Innsbruck, Innsbruck, AT
- Mogens Groenvold, University of Copenhagen and Bispebjerg/Frederiksberg Hospital, Copenhagen, Denmark
- Hayat Hamzeh, Leeds Institute of Medical Research, University of Leeds, Leeds, UK
- Dagmara Kuliś, EORTC HQ, Brussels, BE
- Bonnie Pacheco, EORTC HQ, Brussels, BE
- Claire Piccinin, EORTC HQ, Brussels, BE
- Rosemary Peacock, Leeds Institute of Medical Research, University of Leeds, Leeds, UK

[itemlibrary@eortc.org](mailto:itemlibrary@eortc.org)

# Measuring Symptomatic Adverse Events with PRO-CTCAE

*Ashley Wilder Smith, PhD, MPH  
Outcomes Research Branch  
Healthcare Delivery Research Program  
Division of Cancer Control and Population Sciences*

# Goals



- Using PROs to assess Symptomatic Adverse Events
- PRO-CTCAE purpose and availability
- Study Design and Interpretation Considerations
- Current Use of PRO-CTCAE
- Strengthening PRO-CTCAE for Widespread Adoption

# Understanding Safety and Tolerability in Cancer Clinical Trials



Safety and tolerability are fundamental to conclusions about the effectiveness of cancer therapies, including comparative effectiveness



In cancer clinical trials, adverse events (AEs) are graded and reported using the *Common Terminology Criteria for Adverse Events (CTCAE, v5)*



10% of the 800 adverse events listed in CTCAE are symptoms and are amenable to self-reporting



Validity of symptom reports erode when filtered through research staff and clinicians<sup>1</sup>  
Staff-based AE reporting occurs at clinic visits; AEs occurring between visits may be missed

*Having patients report symptomatic AEs can improve precision and reproducibility of adverse event reporting*

<sup>1</sup>Xiao et al. (2013). *Cancer Nurs.*,36(6):E1-E16. doi: 10.1097/NCC.0b013e318269040f

# NCI's Patient-Reported Outcomes version of the Common Terminology Criteria for Adverse Events (**PRO-CTCAE**<sup>®</sup>) Measurement System

- Designed as part of an Adverse Event reporting Paradigm; different from PROs identified under a Health-related Quality of Life conceptual framework
  - PRO measurement system allows patient self-reporting of 78 symptomatic adverse events
  - Designed to be used as a companion to the CTCAE to capture the patient's experience of symptomatic toxicities in cancer clinical trials
  - Investigators prospectively select PRO-CTCAE items that reflect anticipated symptomatic toxicities based on earlier phase trials and pre-clinical data
- PRO-CTCAE items evaluate symptom frequency, severity, interference, amount, presence/absence; standard recall period is the '*last 7 days*'
- Conditional branching logic can be implemented with electronic data capture, thereby reducing respondent burden





# Design Considerations: PRO-CTCAE<sup>®</sup> and CTCAE

---

- PRO-CTCAE is designed as a companion to the CTCAE
  - Provides complementary information
  - Timing of assessments should be comparable, and data reported in parallel
- Study design and analysis plan should consider published guidelines for protocol development and statistical analysis of studies that include a PRO<sup>1,2</sup>
- PRO-CTCAE is used to describe safety and tolerability of a regimen;
- CTCAE grades are used for decisions about trial eligibility, dose delays, dose reductions or treatment discontinuation

<sup>1</sup>Calvert et al. (2018). JAMA. 2018 Feb 6;319(5):483-494. doi: 10.1001/jama.2017.21903.

<sup>2</sup>Coens et al. (2020). Lancet Oncol. 21(2):e83-e96. doi: 10.1016/S1470-2045(19)30790-9.

# Design Considerations: Choosing PRO-CTCAE® Items

- Judicious item selection to minimize patient burden
- Need for parsimony: PRO-CTCAE is efficient, flexible, and targeted, to accurately determine the unique toxicity profile of each regimen based on prior data
- Select items based on CTCAE-graded toxicities observed in earlier phase studies of agent, knowledge of drug class and anticipated on- and off-target effects; qualitative work in the population (if it exists); expert opinion of study chair and investigators
- Symptomatic toxicities should match the Comprehensive Adverse Event and Potential Risks (CAEPR)
- In a multi-arm trial with different agents and regimens, all participants should report on the same AE items across the different trial arms to reduce reporting bias



# PRO-CTCAE<sup>®</sup> Interpretation

## ■ Scoring:

- PRO-CTCAE Score  $\neq$  Clinician CTCAE Grade

Frequency	Severity	Interference	Presence/Absence
In the past 7 days, how often did you have _____?	In the past 7 days, what was the severity of your _____ at its worst?	In the past 7 days, how much did _____ interfere with your usual or daily activities?	In the past 7 days, did you have any _____?
<ul style="list-style-type: none"><li>• Never</li><li>• Rarely</li><li>• Occasionally</li><li>• Frequently</li><li>• Almost constantly</li></ul>	<ul style="list-style-type: none"><li>• None</li><li>• Mild</li><li>• Moderate</li><li>• Severe</li><li>• Very severe</li></ul>	<ul style="list-style-type: none"><li>• Not at all</li><li>• A little bit</li><li>• Somewhat</li><li>• Quite a bit</li><li>• Very much</li></ul>	<ul style="list-style-type: none"><li>• No</li><li>• Yes</li></ul>

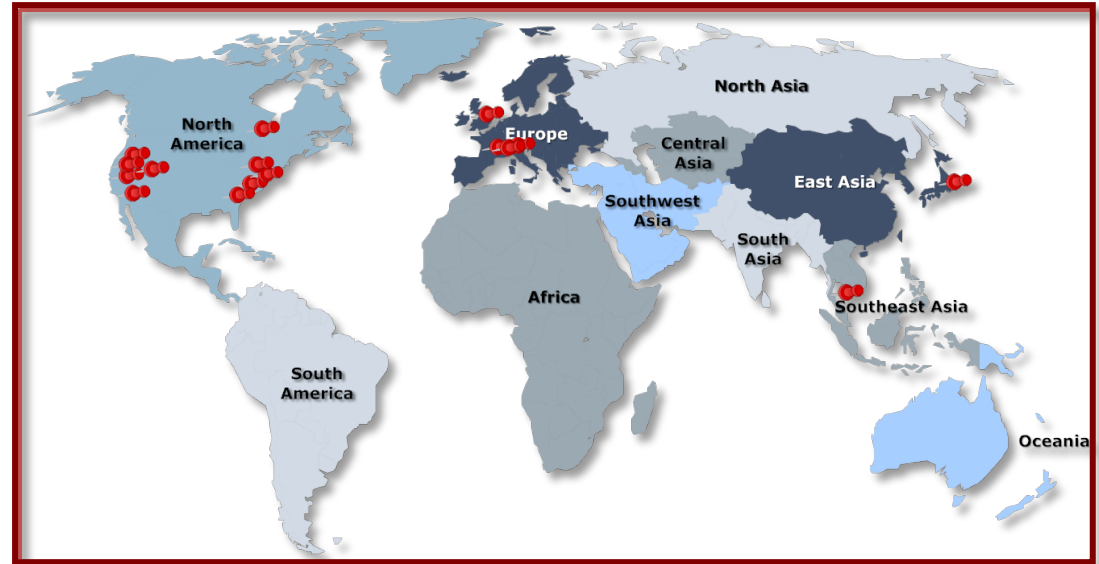
- **Mode Equivalence<sup>1</sup>**: for electronic (web), interactive voice response; and paper
- **Recall Period: 7-days**
  - 24-hour recall has acceptable measurement properties when assessed daily<sup>2</sup>
  - Weekly assessment using 24-hour recall results in under detection of symptomatic AEs<sup>3</sup>

<sup>1</sup>Bennett et al. (2016). *Health and Quality of Life Outcomes.*,19;14:24.doi: 10.1186/s12955-016-0426-6

<sup>2</sup>Lee et al. (2023). *Quality of Life Research*, 32, 2047-2058 <sup>3</sup>Paudel et al. (2024). *JNCI*, <https://doi.org/10.1093/jnci/djae049>

# Expanding Adoption and Implementation

- Collaborations with national and international organizations to enhance uptake and adoption in clinical trials
  - NCI National Clinical Trials Network (NCTN) and Early Therapeutics Clinical Trials Network (ETCTN)
  - Regulatory: US Food and Drug Administration, NHS in UK, EMA
  - International: Adopters: Italian NCI, Japanese NCI, Danish Cancer Society, German Society of Hematology and Medical Oncology



- PRO-CTCAE translated and linguistically validated in > 60 languages; 15 additional languages in development
- Pediatric module validated in 3 languages; 8 additional languages in development and scheduled for release by early 2025

# Inclusion of PRO-CTCAE® in Cancer Clinical Trials and Clinical Research

---

- PRO-CTCAE is being used across the world in industry-sponsored trials, academic trials, and government funded trials
- Requests for PRO-CTCAE internationally have increased substantially with the translation and validation into over 60 languages
- In the NIH grant portfolio, from 2011-2023, there have been more than 100 funded grants that use PRO-CTCAE
- There have been 330 studies registered in ClinicalTrials.gov from 2010 to present, with over 40 in 2024 alone

**For more information about PRO-CTCAE® visit:**  
<https://healthcaresdelivery.cancer.gov/pro-ctcae>





# Session 2: Revisiting Core Item Sets in Oncology Trials – How do we get there?

## Moderator

**Vishal Bhatnagar**



FDA

**Ethan Basch**



UNC

## Panelists

**Cheryl Coon**



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**Ashley Wilder Smith**



NCI

# Assessment of Tolerability with Patient- Reported Outcomes: *Current State and Future Directions*

Ethan Basch, MD, MSc

9<sup>th</sup> Annual FDA COA-CCT Workshop

June 25, 2024

# Assessment of Patient-Reported AEs is No Longer Optional in Product Development

- Essential for understanding the patient experience of cancer treatment
- Necessary to fully characterize tolerability
- *In the future, tolerability assessment without patient-reported AEs will be considered incomplete*

# Tools and Methods Exist Today

- Validated item libraries are well established
  - E.g., PRO-CTCAE, EORTC, PROMIS
- Item selection approach has been provided
  - Core (cross-cutting) symptomatic AEs
  - Context-specific AEs
  - Free text
- Prior trials have shown high patient completion rates and meaningfulness of patient-reported data



# Future Directions

- Update/refine item libraries to meet AEs experienced by contemporary treatments and populations
- Define systematic approaches to step 2 in item selection (rationalizing context-specific AEs)
- Develop methods to map free text responses to structured data
- Establish recommendations for practical elements of data collection
  - Frequency of assessments, recall period, duration of assessments
  - How/whether to share PRO-CTCAE data with site investigators in real-time
- Characterize role of PRO AEs in dose-finding trials



- Ethan Basch
- Cheryl Coon
- Amylou Dueck
- Megan Fitter
- Jan Geissler
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1. Consider actionable methods to modernize existing PRO item libraries/measures.
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# Clinical Outcome Assessment in Cancer Clinical Trials 2024

## Panel Discussion Q&A

1:55 PM – 2:25 PM ET

The logo for the U.S. Food and Drug Administration (FDA), consisting of the letters 'FDA' in white on a blue square background.

Hosted by the  
FDA ONCOLOGY CENTER OF EXCELLENCE

# Clinical Outcome Assessment in Cancer Clinical Trials 2024

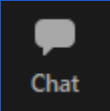
*Modernizing Tolerability Assessment in Cancer Clinical Trials*

9<sup>th</sup> Annual  
Virtual Public Workshop

June 25, 2024  
11:00 AM – 2:30 PM ET

## Conclusion

2:25 PM – 2:30 PM ET

Workshop recordings will be posted within three weeks  
on the Workshop [event page](#)  
(click the “Chat”  button below to access Workshop links).

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*Modernizing Tolerability Assessment in Cancer Clinical Trials*

9<sup>th</sup> Annual  
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## Thank you

June 25, 2024  
11:00 AM – 2:30 PM ET

### Acknowledgements:

- Caitlin Drew
- Erica Horodniceanu
- Kirsten Goldberg
- Richard Krzysztofik
- Syed Shah
- Joan Todd
- Erin Villanueva
- All Session Panelists
- OCE leadership and staff

## See you next year!

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