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1 PROCEEDINGS 2 (9:31 a.m.) 3 DR. ATREYA: Good morning, everyone. Welcome to the third and final day of the 4 symposium. This morning, we have four speakers, 5 and the session is Methods and Biomarker Discovery 6 7 for Product Safety and Quality. 8 My name is CD Atreya. I am from the Office of Blood Research. Today, the session has 9 -- each speaker has -- the first two speakers have 10 11 35 minutes and the others have a little bit less time. So the first speaker today in the morning 12 is --13 14 So the first speaker of this morning is 15 Dr. Dan Huh. He's a Professor in the Department 16 of Bioengineering at the University of 17 Pennsylvania. He's a pioneer of organ-on-a-chip technology. And his research group at Penn 18 focuses on developing micro-engineered models of 19 20 human physiological systems for biomedical 21 applications. 22 Dr. Huh won several honors and awards.

1 The details are in the book that we are 2 distributing. To name a few, he has the NIH 3 Director's New Innovator Award and also the 4 Distinguished Achievement Award from the 5 University of Michigan.

6 And the second speaker is the session's 7 keynote speaker, Dr. Sathy Balu-Iyer. He is a 8 professor in the Department of Pharmaceutical 9 Sciences at SUNY University at Buffalo, and he is 10 an Associate Dean for Research School of Pharmacy 11 and Pharmaceutical Sciences.

He's an elected fellow of the American Association of Pharmaceutical Scientists. His interdisciplinary research involves biophysical, immunological, and pharmacokinetic and dynamic approaches to rationally developing immunotherapy modalities.

And he has over 100 publications. And he's an inventor on patent on 30 applications. And that is what it is. So with no further ado, the first speaker, Dr. Dan Huh, welcome. DR. HUH: All right. So let's see. I

1 see over 200 people online, so I just want to make sure that I share my screen. It's on the slide 2 3 share mode. Okay, awesome. Good morning, everyone. 4 5 So, I'd like to start by thanking the organizers for the invitation to speak about our work today. 6 7 And I'd like also to congratulate them on the 8 great turnout of this meeting. 9 And so I have a lot to cover this morning, so I'll just get right to it. At Penn, I 10 11 lead a research group called Biolines. Here's a photo of my lab. I have about 14 people working 12 13 in the lab, equally split between grad students 14 and post-docs. 15 I would say we are problem and 16 question-drive lab, but we identify ourselves as a 17 group of bioengineers working on a technology known as organ-on-a-chip. 18 19 So, I'm assuming many of you are 20 familiar with this term, but just to get everyone 21 on the same page, what we do in my lab basically revolves around this idea of creating 22

microfabricated chips for cell culture, but we also use these systems to provide the cells with the type of environment that they would like. They would feel at home, if you will, so that they do what they're supposed to do.

6 So one way to think about it is that we 7 try to trick the cells into thinking that they're 8 sitting in the body so that they build themselves 9 into complex tissues and also recapitulate their 10 native functional capacity.

11 So our goal is oftentimes to engineer 12 these realistic human tissues that can be used for 13 a variety of applications. So in this approach, 14 my lab at Penn has developed a variety of human 15 tissue model systems over the last 11 years or so, 16 some of which are shown here.

17 So for this talk, two quick things to 18 point out. The first thing is, 85 percent of what 19 you're going to see today has not been published. 20 So these are very new results I'm going to be 21 actually presenting and also represents what's 22 happening at the very forefront of research work

1 happening in my lab, which I think it's going to 2 be good.

And I would like to organize the discussion around these three questions we've been thinking about, we've been asking ourselves a lot over the last five years.

So the first question is, as you can see
there, how do we make these organ chip model
systems more realistic, more representative, of
their deeper counterparts.

11 The second question is, what kinds of 12 information can we really get from these model 13 systems, and what's their value? And can we 14 improve the capacity of these model systems as 15 data generators?

16 And lastly, I'm going to be talking 17 about how we can make this technology practically 18 more useful.

So let me get to the first question. So
I have to say, the complexity of the realism of
the model is always dependent upon the question or
problem you're trying to address.

1 But as those problems and questions we 2 try to deal with in biomedical research become more complex, I definitely see an increasing need 3 for more realistic complex model systems. How do 4 5 we achieve those? So, if you're new to this technology, 6 7 one of the first questions you could ask is, how 8 do we actually make these model systems? How do 9 we go about designing and creating these organ chip systems? 10 11 So let me just spend a couple of minutes, just maybe one minute, talking about the 12 13 general design principle we as organ chip 14 engineers follow. 15 So obviously it's not the poor organ 16 represented by these chip systems. It's really 17 the functional elements that we try to recreate. So once you have your -- once you have your target 18 organ, identify its functional units, then you go 19 20 in and take a closer look at the system to figure 21 out what type of cells there are, how they are 22 organized with each other, and most importantly,

1 what kinds of environment they are sitting in. 2 And in the last step, you would actually create a chip that would align to mimic those 3 essential features. 4 5 It's easy to say this but this is a step where engineering expertise and ingenuity actually 6 7 really come into play. So just to give you a guick example, so 8 9 let's say, let me make this up. So you are 10 interested in developing an eye model, 11 eye-on-a-chip, to test some therapeutics, ophthalmic drugs. 12 13 So in that case, your target organ would 14 be the eye, and the functional element of the eye 15 would be the ocular surface, as all of you know, 16 that consists of cornea at the center surrounded 17 by the conjunctiva. Then we take a closer look at the 18 structures and cell types. And so the corneal 19 20 tissue, let me just use that laser pointer here, 21 consists of this stratified epithelium supported by collagen rich stroma containing these codocytes 22

and conjunctival epithelium contains these goblet
 cells that produce a mucus, which is one of the
 key components of tears.

What about the environment? So what I'm showing here is eye blinking, which I think is a very unique environment for these cells and tissues, which important for spreading the tears to form this tear film that serves to hydrate the surface and also forms a smooth reflective surface for light transmission.

11 So to mimic those features, what my lab 12 has done in the past, actually, I'm showing this 13 an example, we created this in a device and use 14 this 3-D scaffold that is highly porous that looks 15 like contact lens to inject a mixture of collagen 16 gel and codocytes in to the cavities of the 17 scaffold.

18 We also came up with a way to deposit
19 these two different cell types to mimic the
20 concentric tissue pattern.

And we can also grow these tissues anddifferentiate them under physiological conditions,

1 for example, exposing the cells to air, and as a
2 result of differentiation, they become stratified,
3 form tight layers, and they form these beautiful
4 microstructures that are almost identical to the
5 features of these microscopic features seen on the
6 surface of the human eye.

And once you engineer those systems, you
can create a system like this where this very soft
elastic ray is actuated by this motor to mimic
blinking.

11 So using this blinking actuation, we can form a very thin tear film, as is shown here in 12 13 this OCT image, and in this paper we also use the 14 system and turn it into a disease model, dry eye 15 disease model, and we work with pharmaceutical 16 companies to test their investigational compound. 17 So my apologies for going through these very quickly, but there's a reason to do that. So 18 I will say we now consider this as a very 19 20 traditional approach to creating organ chip 21 systems. 22 What I mean by that is, even before you

create this model, you have a very good idea of 1 2 what type of cells they are going to be and where 3 they're going to be. So it's pretty deterministic in that 4 5 sense. But as we start thinking more and more about creating more realistic complex model 6 7 systems over the last five years, we actually are 8 now exploring a new approach where we use 9 embryogenesis development tissue and organ 10 development as a source of inspiration. 11 So what I'm showing here is respiratory system development happening during embryogenesis 12 13 of [INDISCERNIBLE 00:09:42] embryo. And it's 14 amazing how these stem cells and presenter cells 15 can do this through this process of 16 self-organization and face specification and so 17 on. So can we at least try to mimic certain 18 aspects of these very dynamic processes happening 19 20 in people? 21 So the first example I'm going to 22 actually present today is a model of human marrow,

1 more specifically hematopoietic vascular niche in 2 the marrow.

This is a new paper coming out in Cell Stem Cell. So in the interest of time, I'm going to skip the introduction, but as many of you know, bone marrow is one of the organs that develop the last during embryogenesis.

8 And it's known that these hematopoietic 9 stem cells arise from hemogenic sites. And they 10 move around a lot through different anatomical 11 sites receiving signals needed for their expansion 12 and maturation.

But eventually, they migrate into the medullary cavity of the bone and they colonize this compartment. But it's also known that this process happens internally with the development of these assigned soto blood vessels in the same compartment.

So the question was simple. Learning about this, we ask ourselves, would it be possible to mimic this concurrent process of HSC colonization in vasculogenesis in an engineered 1 system?

2 So the first thing we had to do was to 3 figure out ways to engineer realistic, sign soto 4 blood vessels. And so long story short, this is 5 actually one of the platforms and devices that we 6 use.

7 This is a cutaway view of this device. 8 It's got three compartments and there are these 9 protrusions from the ceiling that partially 10 actually separates these three compartments. 11 In this design, one interesting thing we can do is to inject liquid into this air filled 12 13 channel, and because of surface tension, liquid is 14 pinned along the center lane, if you will, due to 15 surface tension.

16 It's a pretty well known physics 17 phenomenon called capillary pinning effect, as 18 demonstrated in this movie. So using this 19 technique, we would inject a mixture of ECM 20 precursor solution, hydrogel solution, with 21 fibroblast and vascular endothelial cells. 22 We would actually gel it and fill the

1 side chambers with media, feed the cells, and we would also feed the side chambers with endothelial 2 cells. Seeing this is a 3-D culture condition, 3 something really interesting happens. 4 5 So all these endothelial cells in the gel, in the presence of all these supporting 6 7 factors and ECM produced by surrounding 8 fibroblasts, they self-assemble into these 3-D 9 vessels that open to the side channels. So let me show you a movie. I hope the 10 11 movie is not too choppy on your end. This is actually a sped-up movie that happens, a birds eye 12 13 view of the device. 14 So these are RFP expressing endothelial cells cultured in fibrin scaffold in the device, 15 16 and as you can tell, over a period of five days or 17 so, they've developed this vascular network in this device. 18 As a result, we can engineer very 19 20 realistic blood vessels that are very similar to 21 human micro-vessels. I'm just going to slide 22 through these.

1 And in this image, actually, we used GFP 2 expressing in those in the side chambers. And what you can see at this interphase is that the 3 endothelial cells in the side channel actually 4 5 form endothelial sprouts into the gel, and they end up connecting with the red blood vessels in 6 7 the gel. And as a result of this anastomosis, the entire vascular network becomes accessible and 8 9 profusable by using these two side channels. 10 We can also see a flow of blood cells of 11 different kinds. These are actually really fun movies to watch. 12 13 So going back to this marrow model, we 14 actually used this approach. And what we did was we added CD 34 positive human hematopoietic stem 15 16 and presenter cells into the mixture. We let them 17 kind of self-organize. It took us about six months to figure 18 this out, but under the right conditions, they 19 20 self-organize into these very complex tissues that 21 kind of resemble hematopoietic vascular niche, or 22 the environment, in the marrow.

1 So just to kind of demonstrate this 2 organ specific function, depending on media conditions, these HSPCs can generate erythrocytes. 3 So we see the formation of these restored blastic 4 5 [PH 00:09:46] islands, device affluent contained in these cells that look like red blood cells. 6 7 And also, we are able to induce the 8 formation of myeloid cells, granular sites. We 9 got to really locate it close to these blood vessels. And we found out that many of these 10 11 cells are functionally mature neutrophils in the device. 12 13 But very importantly, we are also able 14 to maintain a very small fraction of those stem and presenter cell population with colony forming 15 16 activities actually in this system. 17 So, one of the things we can do is to harvest these tissues as a whole, living or fixed 18 tissues after the experiments for further 19 20 analysis. 21 So, we actually use this capability to do single-cell sequencing to really dive into 22

1 cellular heterogeneitian complexity. We would 2 actually start with just three or four different cell types, but as a result of HSPC 3 differentiation into these different lineages, we 4 5 would get more than 10 different cell types. And we can also look at cell/cell 6 7 interactions through by performing ligand receptor 8 interaction analysis and so on. In the interest 9 of time, I'm going to actually have to skip this, but I'm happy to tell you more about these results 10 11 offline if you're interested. 12 So when these granular sites are 13 generated under myelopoietic conditions, they actually look -- they are situated in the vicinity 14 of the vessels. But when they are stimulated with 15 16 pleural and vent OIN cytokines or drugs, they have 17 the ability to intravasate and flow out of the device through the vasculature. 18 So we actually use this capability to 19 20 build a multi-organ system that contained marrow 21 connected to an area on a chip. So this is 22 actually a photo of the device. And the area on a

1 chip contains three compartments, epithelial 2 compartment with the lining of epithelial cells 3 that are fully ciliated in mucus producing cells. The middle compartment was filled with 4 5 the fibroblast containing hydrogel. And the bottom compartment was lined with vascular endothelial 6 7 cells to mimic the vasculature. 8 So one set of experiments, what we did 9 was to infect the epithelium to mimic airway infection. We used pseudomonas. So as you can 10 11 tell, we saw compromised epithelial barrier. But very importantly, these cells produce a lot of 12 13 cytokines and chemokines. And because those two 14 devices were fluidly connected, all these factors 15 floating to the marrow, and actually they induced

16 massive release of cells actually from the marrow 17 chip.

And many of these cells, vast majority of these cells, were mature neutrophils that are CD 60 positive. And we also saw the number of cells going in was much larger than the number of cells coming out.

1 So we thought something was going on in 2 the device. And so we went in and used the 3 scanning electron microscopy to look at the 4 events.

5 So as you can see here, on the vascular side, these neutrophils that stick to the vascular 6 7 endothelium, and then they undergo transmigration. 8 On the epithelial surface, they come up and then 9 they move toward the bacteria and they kill them. 10 We saw mitosis as well as neutrophil swarming 11 happening in this engineered system. So I have to point out the fact that scientifically, there's 12 13 nothing new. We've known about these things for 14 many decades, but being able to mimic this complex 15 cascade of events in this engineered system 16 without, that was something.

And in collaboration with GSK, we actually succeeded in scaling up this model using a robotic system. I'll actually talk about this toward the end of this presentation to look at myelotoxicity and myelosuppression of commonly used anti-cancer drugs.

1 So I'm happy to tell you more about the results. So this actually, the project started as 2 part of the Tissue Chips in Space program. On the 3 way up in our first launch, the flow regulator was 4 5 short circuited. So it was an epic failure. But thanks to this project, we were able to build this 6 7 really nice model that we are now using for a 8 variety of other applications. 9 This idea of creating more realistic 10 complex model systems would not be complete in my 11 mind actually without talking about, thinking about organoids. 12 So about five years ago, I was asked by 13 14 a senior editor in science actually to write a 15 review or prospective article to talk about how 16 organ chip technology can be used to advance the 17 field of organoids. So, long story short, this paper talks 18 about how the tightly controlled micro-environment 19 20 of these micro-engineered devices could be used to 21 build more functionally mature actually and more 22 in vivo like organoid-driven tissues, and with a

1 higher predictive capacity.

2 So, I don't think I have a lot of time actually today, but OCTOPUS, this is actually a 3 platform we demonstrated a couple of years ago to 4 5 kind of highlight the promise of this approach. 6 And so, this work was motivated by a lot 7 of failures and difficulties we as engineers faced 8 when we were actually getting our foot in the 9 door. So, as you can see here, this is one of the 10 ways in which intestinal organoids can be aerated 11 by embedding stem cells in Matrigel drop. So we did it, and we tried this method 12 13 and we saw these beautiful mini organs forming in 14 the Matrigel scaffolds. But over time, they die 15 off. And it starts at the core, and it propagates 16 through the entire gel drop. 17 So this is the reason why we learned the hard way. People stop at day seven or day six and 18 they passage them into new drops. But by doing 19

that, if you think about it, the flip side is 21 actually you have to constantly perturb their 22 process of development.

20

1 So they really want to be sitting in the 2 same gel maturing and growing, actually. So we 3 thought this is a consensual representation. 4 Wouldn't it be nice to eliminate this hypoxic 5 core? You're left with this shell without any divisional limitations. You would then radially 6 7 segment the shell to lay flat to minimize division limitations. 8 9 So this is actually the device that we came up with to achieve this goal. So basically, 10 11 it's actually, it was designed as a tissue culture insert with a sensor access port and with eight 12 13 radially open chambers. 14 And just to demonstrate the ease of use, let me play this movie. So all you have to do is, 15 16 you don't have to make any changes to the workflow 17 or the materials that you use. All you have to do is to inject the mixture of cells and gel into 18 these inserts and you fill the wells. And that's 19 20 it. 21 So the question is, does it work? So it 22 does, actually. So in Matrigel drops, these

organisms would die off over time, but in OCTOPUS, they would continue to grow. And we were able to form these huge, actually, interoids, human intestinal organioids. This is a size comparison to help you better understand how big we are able to grow them.

7 It's not about the enlargement size.
8 The tissues actually become actually more mature.
9 So as evidenced by these cell text specific
10 markers, we also did glucose stimulated calcium
11 sicnolene as a functional assay.

And we were able to show that we get 12 13 better cellular complexity heterogeneity by using 14 the OCTOPUS device. And it's also possible to use patient derived cells to use these diseased 15 16 organoids. They spontaneously recapitulate their 17 normal features, like compromised barrier function in a normal epithelial morphology insulin and so 18 19 on.

20 And then, this is actually the last 21 figure of the paper where we introduce this 22 organoid vascularization platform that can be used

1 for a variety of actions. In this example, we 2 used intestinal organoids. We can form these 3 vessels around these organoids and profuse the 4 entire construct.

5 So, this is one example, but now we have 6 actually other organoid models. For example, 7 these are liver organoids, IPS 2 derived liver 8 organoids that we're using to study heart 9 failure-induced liver fibrosis.

10 And we are also working with Deborah 11 Cotton's lab at BU to grow these long organoids 12 where we form more phenological special conditions 13 to make these cystic, spherical, long organoids 14 look more like they're in vivo counterparts and so 15 on, okay?

All right. So, just checking time here. Need to speed things up here. So the second question is, how do we actually increase their capacity as a data generator? What kinds of information can we really get? And how can we use actually this information? So this example I'm going to be actually

1 talking about briefly is a new paper coming out in 2 Nature Biotechnology. So, where we use our platform to model CAR-T immunotherapy. We actually 3 use a very similar platform in this study. The 4 5 only difference is we actually introduced the patient x-points, tumor x-points. 6 7 We vascularized these tumor x-points in 8 our device. We also have an open-top 9 configuration where we would actually form the 10 vessels first and then when these tissues become 11 available, we go pick them up, open the device, and we drop them in there to vascularize. 12 13 But at the end of the day, what we can 14 do is to form these fully profusable, accessible, vascularized human solid tumors. So we're now 15 16 using this actually to investigate CAR-T therapy 17 of solid tumors, which is a huge clinical challenge, apparently. 18 So we are now using this platform to 19 20 investigate three essential steps of CAR-T cell 21 trafficking, which is first, they would have to 22 recognize the tumor cell, tumor-associated

1 antigens, and then they would have to get out of 2 the vessels and move toward tumors. And then in there, they would have to exert antigen-directed 3 cytotoxicity for a certain amount of time. 4 5 So can we actually really model these essential steps and investigate those essential 6 7 steps? So just to show a snapshot, this is 8 actually CD X tumor engineered over express, 9 mesothelin tumor antigen. These CAR-T cells are designed to target 10 11 mesothelin. So this is two hours after infusion, as you can tell. Many of these CAR-T cells are 12 13 stuck to the tumor associated vessels. They show 14 activated morphology. They move around. And what's really nice about this model is we can view 15 16 the entire process of extravasation, directional 17 migration towards the tumor, and then effective functions. 18 So within a couple days, many of these 19

20 cells are found within the tumors. Unfortunately,
21 this is not representative of what happens in
22 clinical patients. We actually did this study to

1 really demonstrate the proof of concept.

2 But, so as a result, we can actually 3 also track these tumors to measure their size and 4 phenotype and so on.

5 And to talk about information, we really try to actually really diversify our methods of 6 7 analysis and get as many different kinds of 8 information as possible. So in one example, we 9 can get tumors or CAR-T cells from the tumors and 10 differentiate or actually distinguish them from 11 those in the surrounding stroma. And as a result, we are able to use, for example, photometry to 12 13 really identify and also track and really look 14 deeper into the phenotype of these CAR-T cells 15 that can get into the solid tumors.

We did similar things using single cell sequencing. We have a lot of data here. But I'm going to have to skip many of these data today. But one important thing here is actually, we conducted using sequencing data a ligand receptor interaction analysis to find out this well known CXCL/CXCR three signaling, which CXCL 10, CXCL 11,

secreted by those endothelial cells and the tissue, they bind to CXCL 3 receptor on CAR-T cells or T cells, and that's actually, that contributes to, that's known to contribute to CAR-T cell trafficking or T-cell trafficking in general.

But we found out that this enzyme, DPP
4, was interacting by truncating these chemokines.
And so the question was simple. Can we actually
inhibit the activity of DPP 4 as a way to increase
CAR-T cell trafficking?

So long story short, it was very effective. And so we use this FDA-approved drug actually that's currently used for diabetics to inhibit the activity. And as a result, we were able to dramatically increase the CAR-T cell trafficking.

18 The second question was can we go 19 further and use mesotheliomics actually to maybe 20 try to identify biomarkers, potential biomarkers, 21 that would be indicative of efficacy of this 22 combinatorial approach.

1 So in collaboration with Josh 2 Rabinowitz's lab at Princeton, we actually did unbiased double mixed analysis of affluent samples 3 collected from our devices. And as a result, we 4 5 were able to suggest a panel of biomarkers that could be used for potential clinical indicators. 6 7 I don't have time to talk about all 8 this, but this is a new paper coming out in Nature 9 of BME where we actually use on-a-chip to really rebuild something very new and interesting about 10 11 sensitivity of asthmatic tissues to mechanical forces exerted by bronchoconstriction, and 12 implantation on a chip where we actually can study 13 14 directional migration of embryo cells into 15 maternal tissues to find out what factors really 16 contribute to this process and so on. 17 I'll skip this. So the last topic, if you can give me just a couple minutes, five years 18 ago, actually had I given this talk five years 19 20 ago, this is where I would have finished my talk, 21 but we've had actually, we have a field, we've had 22 a lot of success, I have to say.

1 A lot of papers, a lot of patents coming But if you think about like the real-world 2 out. impact this technology has made over the last 10 3 years, we're trying to get there but it's 4 5 questionable. So this is what I have been talking 6 about as inconvenient truth to at least our organ 7 8 chip models that we build in our system. I don't 9 mean disrespect to the field. 10 So one of the major issues is, if you 11 think about it, the reason why people are upset about this technology at the beginning was it 12 13 allows us to mimic complex things happening the 14 body in these engineered manmade systems. 15 But the flip side is, it's also the 16 complexity that makes this technology, it makes it 17 really difficult to translate this technology into industry practice, research practice. 18 So one of the key challenges, my 19 20 personal opinion, is the scalability. So simply 21 speaking, it becomes really difficult to conduct 22 many, many experiments at the same time. So it

really limits our ability to get sufficiently
 large amounts of data that we need to make
 accurate prediction or to better understand
 complex duties, processes happening in trackable
 disease and so on.

6 And another kind of well-known fact is 7 many of these model systems are not very 8 reproducible in terms of fabrication, in terms of 9 experimentation. So, after a seminar like this, I 10 would actually, a line of people actually asking 11 and wanting to test some of those models in their 12 labs.

And I would say, many times we actually think this is our experience as a lab, many of these experiments fail when they try to do it in other labs. So it's not very reproducible.

17 So we now try to actually, this gets us 18 to the last question I wanted to address, how do 19 we actually make this technology practically more 20 useful?

21 So, to resolve that scale issue, we now 22 walk away from these single channel, single

experiment devices, and we and other labs commonly
 use these plate devices, each of which contains
 tens of replicates, but these are the most recent
 events.

5 This is a six-inch wafer size device containing over 200 individually addressable self 6 7 chambers. In other words, on one device, it 8 becomes possible to conduct 256 independent 9 experiments. It's fairly easy to stack five of these devices, which makes the number of 10 11 concurrent experiments over 1,000, which I think is orders of magnitude higher than what is 12 13 currently possible in my lab, what used to be 14 possible in my lab. 15 And this was actually by a phenomena 16 engineer, my former grad student, Andrei 17 Georgescu, who came up with this very sophisticated, elegant floating circuit design. 18 19 Long story short, using this floating 20 circuit, these rectangular chambers are blood 21 forming devices, actually you saw earlier. It

22 becomes possible to precisely control what goes

1 into each of these chambers, which I think is very 2 exciting.

We also view humans as a source of irreproducibility in errors. And so we try to remove humans completely from the entire workflow. So to do that, we build robots.

7 So these automated systems are making 8 our lives easier, but what we are most excited 9 about is that we now are able to think about 10 drastically increasing the amount of data, or the 11 type of data we can get from these human cell 12 based, supposedly much better in vitro model 13 systems.

14 And so, I have a couple examples, but in 15 the interest of time, I'm going to skip that 16 today.

17 So based on this vision and technology, 18 we started this company called Vivodyne three 19 years ago. Andrei Georgescu, who is my former 20 grad student who developed this platform, is now 21 leading this effort as the CEO. The company is 22 intending to offer and is currently offering very 1 realistic tissue without harming scalability and 2 complexity.

3 So we actually can generate AI scaling 4 data using these fully automated systems, 5 actually. So this is the main workhorse that we 6 use in the lab. Our business model is not to sell 7 these systems, but to generate data. It's really 8 the data that our business model is based on.

9 Really, it's entering automation. So we 10 automate the entire process of tissue engineering 11 analysis. So all you have to do is put a vial of 12 cells and media bottles in the industrial robotic 13 arm, combined with many other systems, take care 14 of the entire workflow.

15 So we're gaining traction and currently 16 working with many pharmaceutical companies to 17 really demonstrate and also verify the potential promise of this technology, but what's really 18 important here is actually, what's really exciting 19 20 is that we can now think about generating large 21 scale, large amounts of human relevant data before 22 clinical trials. So that's actually what we're

1 most excited about now.

2 Okay, with that, I'd like to thank my group, collaborators, and funding sources. Thank 3 you very much for your attention. 4 5 DR. ATREYA: Now the floor is open for questions. 6 7 UNKNOWN FEMALE: Okay, good morning. 8 Thank you for that beautiful talk. I quess I can 9 say I experienced the first microfluidic 10 microprocessor being presented. 11 My question to you, as I have many questions, but what I would like to ask is, now 12 13 that you are thinking about this scaling up and 14 repeatability, are you thinking about 15 incorporating the human diversity, genetic 16 diversity? 17 Because one of the things that microchips organoids, is we only have one donor 18 cells, right? And how can we incorporate that? 19 20 DR. HUH: Yes, that's a good question. 21 We are in the process of designing some case 22 studies or representative studies actually to

1 address the question you just mentioned.

And so, when a troop is low, actually the variability oftentimes in our experience has been a source of frustrations. And sometimes it works, sometimes it doesn't, sometimes it shows phenotype A but sometimes it would show phenotype B. But when you have high enough

9 perplexity, the variability and also diversity 10 actually, these things actually become very 11 interesting topics to investigate.

12 And so, we're now thinking about 13 actually conducting more systematic experiments to 14 look at specifically at genetic diversity and also 15 patient variability.

16 It would also obviously would have to 17 depend upon the availability of these tissues. 18 And so right now many of these studies, the 19 platform, I think it's fairly ready, but the 20 tissue sourcing, cell sourcing, sometimes becomes 21 problematic or sometimes becomes a bottleneck. 22 But we're in the process of sorting

1 these issues out. I hope that answers your 2 question. MR. NORCROSS: Yes, hi, Mike Norcross. 3 Hi, Dan, that was really impressive. I mean, you 4 5 got any more systems to talk about? 6 So, I want to know on several things, 7 one is on the bone marrow, do you see platelet 8 maturation, megakaryocytes, in bone marrow 9 cultures? DR. HUH: We do see the formation of 10 11 megakaryocytes, but in very small numbers, I have to say. So, it would be fantastic if we can go 12 13 down that route and then start producing 14 platelets. But we haven't. So what I can say is 15 there is a small number of megakaryocytes that 16 form in the device. 17 But we don't know what happens to them over time. But, yes, that's the answer I can give 18 19 you now. 20 MR. NORCROSS: Okay, and the other thing 21 is about lymph nodes or immune responses. You

22 briefly touched on that, but have you made any

1 more progress on kind of getting an immune response to happen on the chip? You got T-cells, 2 3 antibody forming cells? 4 DR. HUH: Right. 5 MR. NORCROSS: How you doing on that? DR. HUH: Yes, so, the focus of that 6 7 study was on innate immune cells or innate immune 8 systems. So, neutrophils are of course responders 9 actually responding to infection. We do see 10 formation of small numbers of lymphocytes in this 11 system, but again, like, for this proof of concept study, we didn't think it would make sense for us 12 13 to really put a lot of emphasis on that, given the 14 fact that they would be --they would form there, 15 but in a maturation and subsequent process would require other organs and tissue systems. 16 17 And so, we haven't done it yet, but there's a lot of interest. And so, in terms of 18 modeling immunity, this is pretty much the only 19 20 system that we currently have, to be completely 21 honest. But, I mean, we've been getting a lot of

22 inquiries.

1 But we do not have any further studies based off of this work yet. 2 3 MR. NORCROSS: And then on the CAR-T cells, did you do any correlations with the 4 5 patient responses? These are tumors from patients. Are they going to get the same CAR-T 6 7 cells? Do you see any relevance of what you see 8 in vitro versus in patients? 9 DR. HUH: Right. So, the data that these CAR-T cells crawling out of the vessels, 10 11 moving toward the tumor, was again a very artificial system, I have to say, where we 12 13 overexpressed the mesothelian inputs. 14 In tumor cells, we inject into mice to form the CDX tumors. What we try to do to 15 16 transplant, put in patient meso, what is it? What 17 type of actually, the skin tumor, actually? And then we did the same experiment 18 using CAR-T, mesothelian targeting CAR-T cells. 19 20 We were able to show their trafficking there. But 21 again, as I said, this is not representative of 22 what happens in most patients, right?

1 So one of our ongoing studies is to look at T-cell exclusion exhaustion. And I think it's 2 too early to talk about that. But we're getting 3 there. Yes. 4 5 MR. NORCROSS: One thing I was just going to point out to you, DPP 4 effect on 6 7 chemokines. 8 DR. HUH: Yes. 9 MR. NORCROSS: We were the first ones to publish that many years ago in JM where we looked 10 11 at different chemokines and could see some of them were inactivated but others are actually activated 12 13 and changed specificity for receptors. DR. HUH: So was it done in the context 14 of CAR-T therapy? 15 16 MR. NORCROSS: No. 17 DR. HUH: Yes. MR. NORCROSS: This is way before all 18 19 that. 20 DR. HUH: Okay. Okay. 21 MR. NORCROSS: I mean, this is back in the dark days. But we found DPP 4 chemokines. It 22

1 was in with HIV. We were studying HIV. 2 DR. HUH: I see. 3 MR. NORCROSS: David remembers years 4 ago. 5 DR. HUH: Thanks for pointing that out. It's good to have -- good to know that, yes. All 6 7 right. MR. VILLA: Hi, Carlos in the Office of 8 Blood here at FDA. Really fascinating work. Have 9 10 you looked at using whole blood as the profusate 11 in some of these systems where you can look at the function of actually the blood that's going into 12 13 and interacting with the organ? 14 DR. HUH: We have not, actually. So the only, maybe the closest thing I can think of is 15 16 actually RBC profusion in the context of 17 transfusion-induced endothelial injury. So I didn't talk about any of that 18 today, but we actually showed in the paper that 19 20 stored RBC can harm endothelium, actually, in the 21 transfusion settings. 22 But whole blood in general, we don't use

1 actually whole blood as profusate. We use just 2 defined media that's actually, I mean, that's actually one of the major challenges in developing 3 multi-organ systems, when you have multiple cell 4 5 types across these different devices. How do we make all of them happy, right? 6 7 So, in the body, we have whole blood. 8 But as far as I know, whole blood actually in 9 those in vitro settings doesn't work for those 10 purposes. Yes. So that's actually -- yes. 11 DR. RAGUPATHY: Excellent presentation. This is Viswanath Ragupathy from Office of Blood. 12 13 All these micro fluidity platforms, this sort of 14 kind of simply is a major role. So did you consider any kind of modifications with the 15 16 surface chemistry for different cell types, 17 thereby you could able to complete all these cells 18 on a chip? DR. HUH: Yes, so it's definitely 19 20 possible to do that. In our case, in many of our 21 model systems, we use either naturally -- almost 22 all of these model systems, we use natural ECM as

1 coating materials.

2 And what's also important to keep in mind is that over time, we grow these cells in 3 these devices for at least two to three weeks. 4 And so over time, they kind of deposit their own 5 ECM to remodel their surrounding matrices. 6 And so that's kind of -- that's been the 7 8 approach we've relied on in many of these studies. 9 But, yes, I certainly acknowledge the possibility 10 of adding more complexity to the cell surface and 11 interactions. Yes. DR. ELKINS: Whoops. We have lots of 12 13 online interest. So several of them revolve 14 around the overall question of how you validate each of the systems, individually or collectively, 15 16 against things like animal models, traditional in 17 vitro culture systems, or even human systems. Could you talk a little bit about maybe the 18 overall strategies there? 19 20 DR. HUH: Sure. So our strategies, 21 actually, yes, I didn't talk about any of that. 22 But in the eye model, for example, that could be a

1 good example, we would actually use a variety of 2 techniques like histology and expression of 3 differentiation markers and production of secretive factors. 4 5 In many cases, in vivo data are available in the literature that we can use to 6 7 compare our data against. And whenever possible, 8 we also try to have access to gain access to human data, like of any kinds. 9 In many of those situations, the 10 11 challenge is the huge difference in scale. So measuring cytokines, secretory factor levels in 12 13 the blood could be a good set of data. But if you 14 were to compare what's happening at the cellular 15 tissue levels to what happens at the whole 16 organism, whole body levels, that becomes a huge 17 challenge. But we try to actually gain and get 18 human data that can be compared to our in vitro 19 20 data. So for that, we look at the structure. We

22 availability of the old mixed data, multi old

look at functional markers. And with the

21

1 mixed data, like single cell sequencing atlas 2 data, papers, we try to maximize the utility of 3 these available data into literature for 4 verification validation purposes. 5 DR. ELKINS: Thank you. Some of the more specific ones. In the OCTOPUS and 6 7 microfluidic chambers, how do you overcome cell 8 aggregation when you're feeding that could limit 9 the media flow and organoid growth? 10 DR. HUH: Yes, so that's actually one of 11 the things we're now investigating. Like, how far apart do these cells want to be? But in the first 12 13 study, we didn't really care about that too much. 14 So we just made sure that they were nicely 15 suspended. 16 And also, this radial geometry seems to 17 help. And so it's equal distribution into these eight radial chambers, and that seems to help in 18 terms of spreading the cells uniformly across the 19 20 gel. 21 DR. ELKINS: Next, is it possible to use 22 the 3-D culture systems to isolate exosomes or

1 secreted components of signaling proteins? 2 DR. HUH: Certainly. That's a very hot topic now, and now as these model systems or model 3 development techniques or technologies become more 4 5 mature, many of us actually try to kind of leverage our capabilities for other purposes. 6 7 And so one of them I think it's 8 definitely, I see on the horizon where this is 9 actually turning out to be a very active field, 10 active topic of investigation. 11 So it's actually readily possible to get affluent samples or cells from these devices for 12 13 further analysis. And so, it's fairly 14 straightforward and easy to isolate exosomes from these in vitro bioengineered tissues. 15 16 And we have one example, but I don't 17 think we have time to talk about that. But it is definitely possible, yes. 18 DR. ELKINS: The next one could be 19 20 lengthy, too, but we'll try it. In in vitro 21 systems that serve as disease model systems, can 22 you comment on the timeline of changes in

1 relationship to the disease onset? DR. HUH: Yes, so, I mean, there are 2 really, in my mind, two questions. And so, do we 3 want to mimic acute responses and processes versus 4 5 chronic responses and processes? And so, for modeling acute responses, 6 7 you can start off with normal cells and stimulate 8 them as needed, as long as the experiments you're 9 doing are relevant to the real life situations. 10 But oftentimes, it's really the chronic 11 inherent indigenous features we want to drill down, or look deeper into. 12 13 So in those cases, commonly we use -- we 14 try to get diseased cells from patient samples. And these days, many of these vendors like Lanza, 15 16 they carry a wide variety of actually primary 17 human cells isolated from diseased tissues. So we would actually order these cells 18 from reputable commercial vendors or we try to 19 20 work with our collaborators in medical school to 21 get patient explants and harvest and isolate cells 22 from those samples.

1 DR. ELKINS: Next, going to the other 2 end of scalability, have you used platforms to evaluate the feasibility of individualized 3 approaches, personalized medicine approaches? 4 5 DR. HUH: Not yet, but certainly, that's one of the main directions we are headed. Yes. 6 7 DR. ELKINS: And then there are 8 questions about the immune system that we have 9 already covered. What steps do you need to take to ensure interactions between biological surfaces 10 11 and physical surfaces that don't alter the physiological 12 13 conditions? 14 DR. HUH: Yes, so we think about these questions a lot. But at the end of the day, it's 15 16 actually, it's kind of, they're in a collective 17 behavior and collective properties that matter to us. So we would use those, define end points. 18 19 I briefly mentioned earlier actually to 20 really see whether or not they're exhibiting the 21 properties they are supposed to exhibit. 22 And so to achieve that, you could

1 engineer the surfaces emitted from waves or 2 biological surfaces and physical surfaces. I'm not sure what that means exactly in the context of 3 model development. 4 5 But again, it's really their properties and functional endpoints, phenotypes, that we 6 7 monitor and we care about. Yes. 8 DR. ELKINS: One specific one. In 9 certain 2-D culture systems, like lung epithelial, the cells survive for months to years with 10 11 maintenance, how long can cells survive in the multi-channel microfluidic plates? 12 13 DR. HUH: Yes. So, the longest we've 14 done in lung models, some of the lung models we 15 have was 2 1/2 months, and we didn't have a good 16 reason to continue. But, yes, those experiments 17 or duration of experiments really depends on the goal or the question you're asking. 18 So if the question involves lengthy 19 20 culture, typically, somewhere between one and two 21 months is considered pretty good, and pretty good 22 long-term culture.

1 But going beyond that can be challenging. That's another half-hour lecture 2 3 discussion. 4 DR. ELKINS: And for the final half-hour 5 lecture potential, I'll bundle together several questions that are interested in your thoughts on 6 7 the prospects for a whole body system, putting it 8 all together. 9 DR. HUH: Yes. So the whole body body-on-a-chip as many of you know, actually, 10 11 there are pioneers actually in this area. It's still an active area of investigation. 12 13 Again, just to limit my answer to what 14 we've done in my lab, we haven't had -- I mean, 15 there are many, many interesting questions we 16 could investigate using whole body on a chip systems, but our interests so far have focused 17 mainly on kind of single organ tissue systems. 18 19 And the questions involving single 20 tissue types or single organ systems. And so, 21 but, just know that it can actually build multi-organ systems connecting fluid. It could be 22

1 connecting or somehow you can figure out ways to 2 allow these chips to communicate with each other so you can actually build these separate organ 3 chips fluidically or somehow connect them to whole 4 5 body physiology, which will be very, very important for drug testing studies and so on. 6 7 Yes. But there are people actively 8 working on that idea in the field. Yes. 9 DR. ELKINS: Thank you very much. DR. HUH: All right. Thank you. 10 11 DR. ATREYA: Without further ado, please invite the keynote speaker today, Dr. Balu-Iyer. 12 13 DR. BALU-IYER: Thank you. So I get 14 five more minutes? So thank you very much for this opportunity. It's indeed an honor to be 15 16 here. So can you hear me? Can you hear me now if 17 I move out of the podium? Don't me if I work for a cell phone company or something. 18 So, what I'm going to share with you 19 20 today are the lessons -- is it turned on or 21 something? Oh, okay. 22 Okay, thank you very much for this

1 opportunity. What I am going to share with you 2 today are the lessons we are learning about immunogenicity of biologics. In particular, 3 prediction and mitigation of immunogenicity of 4 5 protein based therapies. So, just a disclaimer. So I am going to 6 7 talk for about 40 minutes. Now you know exactly 8 when the start of my talk would end, that, too, 9 after the impressive talk of the first speaker. So if I don't stop, I'm sure CD and Dan 10 11 will come to your rescue. Immunogenicity in the context of protein 12 13 based therapeutics refers to unwanted immune 14 responses. In the clinic, it manifests as anti-drug antibody response, such as ADA. 15 16 For example, 1/3 of severe hemophilic 17 patients who received Factor VIII develop anti-Factor VIII antibody. And this kind of 18 antibody response can impact efficacy, both 19 20 directly and indirectly, directly to the formation of neutralizing antibodies. 21 22 These neutralizing antibodies are the

1 antibodies that recognize the regions and the 2 protein that have critical for biological 3 activity, so impacting directly pharmacodynamics and efficacy. 4 5 For example, ADA response against the antigen binding region of the molecular based 6 7 drugs can inhibit its binding to the target 8 antigen, impacting efficacy directly. 9 ADA can impact efficacy indirectly as well by through the formation of binding 10 11 antibodies. These binding antibodies, R3PK, generally, they increase the clearance and reduce 12 13 the drug exposure. 14 So, immunogenicity and ADA response should be considered in the context of clinical 15 16 pharmacology and pharmacokinetics and 17 pharmacodynamics aspects as well. Sorry about this. So a couple of -- a 18 19 couple of examples of how ADA response can impact 20 pharmacokinetics and pharmacodynamics. So 21 rheumatoid arthritis patients who receive 22 adalimumab developed anti-rheum antibody. In the

1 ADA positive patients, the efficacy is lost as measured by the pain scale. 2 3 So the next example is anti-PCSK antibody. During the clinical trials, several 4 5 patients developed antibody against this drug that increased the clearance of the protein and reduced 6 7 the drug exposure. 8 So, this immunogenicity can be a 9 significant issue that can lead to a major 10 contributor of discontinuing drug development 11 process. That too, if it happens in the later stages of the clinical trial, the clinical sort of 12 13 financial impact could be very significant. 14 So addressing the issue of immunogenicity during drug discovery and drug 15 16 design and pre-clinical trials should be very 17 useful in cost effective manner. So, you know the best in this world that 18 the incidence of immunogenicity is reported under 19 20 Section 6.2 of the package insert. 21 In 2016, analysis by Food and Drug 22 Administration scientists, they looked at the

post-marketing analysis of 121 clinically used therapeutic proteins, and they found out that 108 of them reported incidents of immunogenicity in their package insert.

5 And many of them impacted efficacy in 6 PK. So overall, the ADA impacts safety and 7 efficacy of significant number of therapeutic 8 proteins.

9 So, the mechanism of immune response against therapeutic proteins, this is the 10 11 schematic that shows that, in a piece of dependent process, upon administration of therapeutic 12 13 proteins, antigen presenting cells suggest cells 14 take up the protein that process them and process them into bits and pieces as we all know, 15 16 epitoles, and present it to the T-cells in the 17 context of major still compatibility complex of human leukocyte antigen. 18

And with the help of [inaudible 57:33]
these cells get activated and activated T cells
differentiate the B cells into memory B cells and
plasma cells and plasma cells secrete the antibody

1 against the administered drug.

2 There are several factors that can influence immunogenicity of therapeutic proteins, 3 and they are classified as patient related, 4 product related, and treatment related 5 characteristics. 6 7 So first is patient related 8 characteristics. This HLA, it's a human leukocyte 9 antigen, is a highly polymeric protein, different 10 [inaudible 58:13]. So depending on their HLA 11 genotype, individuals vary in variability to mount 12 an immune response. 13 The second patient related factor is the 14 immune status of the patient. So autoimmune patients due to their overactive immune system 15 16 respond more than the immunocompromised patients. 17 There are several product related factors impact immunogenicity of therapeutic 18 proteins. So primary sequences containing 19 20 epitoles that are recognized by HLA increases the 21 incidence of immunogenicity. 22 As we all know, proteins are expressed

1 in subculture systems, so expressing systems based 2 in purity generally increase the incidence of 3 immune response. And a related topic is the 4 5 glycosylation, which is a post-translational modification. And glycosylation has been linked 6 7 to several plasma survival and immunogenicity of 8 therapeutic proteins. 9 In this particular case, lack of glycosylation increased the incidence of the 10 11 immunogenicity compared to the glycosylated version of the drug. 12 13 The next one is speculation, which is a 14 covert modification that's attaching the peg to the therapeutic protein to see benefits in the PK 15 16 properties, and there is a link between pegylation 17 and immunogenicity. One of the common, most important, most 18 important product related factor that impacts the 19 20 immunogenicity is aggregation. The link between 21 aggregation and immunogenicity have long been 22 known. However, there are different types of

1 aggregates that have been recognized, and they 2 vary in their size, confirmation, morphology, et cetera, and our understanding of type and amount 3 of aggregates present in the protein formulation 4 5 impacting immunogenicity is expanding. The next factors influencing 6 7 immunogenicity is treatment related factors. So 8 for example, prolonged treatment increases the 9 immunogenicity incidents. For example, in this 10 case, long term use of adalimumab in RA patients 11 increased the incidence of immunogenicity to about 28 percent of the patients. This was really a use 12 13 of two years. 14 And the next important treatment related 15 factor is route of administration. So based on 16 extensive experience with vaccines, subcutaneous 17 route of administration is expected to be more 18 immunogenic than intravenous route. Due to current significant interest on 19 20 this topic, I am going to expand on subcutaneous 21 route of administration and immunogenicity of 22 therapeutic proteins.

1 So subcutaneous route of administration 2 is desired over intravenous route because patients can self-administer at home avoiding a trip to 3 healthcare set up. So it is cost effective and 4 convenient. Because of this, compliance is very 5 high. 6 7 Depending on specific protein examples, it can also have additional benefit that is 8 switching from IV to subcue administration can 9 10 also have additional benefits. 11 However, the subcutaneous route of administration is challenging due to three 12 13 interrelated pharmaceutical issues that has 14 formulation of pharmacokinetics and 15 immunogenicity. 16 For example, in formulation, due to the 17 limited injection volume because of the subcutaneous delivery and also hype therapeutic 18 formulations, for example, molecular antibodies, 19 leads to some stability issues and also some 20 21 physical chemical properties of the protein 22 solution.

1 So upon administration of therapeutic 2 proteins, generally it leads to incomplete bioavailability. That is a pharmacokinetics 3 issue. And then third is immunogenicity, as I 4 5 mentioned to you before, that based on extensive experience with vaccines, that subcutaneous route 6 7 of administration is expected to be more 8 immunogenic than IV route of administration. 9 So, head to head comparison of, in a clinical trial, of clinical ADA response following 10 11 subcutaneous and IV route of administration was performed for Orencia, which is an (inaudible) 12 13 CTLA where they found out that the efficacy and 14 immunogenicity are comparable between these two 15 routes of administration. 16 There are few pre-clinical studies have 17 also support the notion that subcutaneous route of administration does not increase immunogenicity. 18 This brings us to an important question. 19 20 Is that the generalization that subcutaneous route is more immunogenic than IV route is still 21 22 universally valid if the therapeutic proteins is

1 given in the absence of (inaudible).

2 So if you flip the other side of the coin, there are several pre-clinical and clinical 3 observations that support the notion that SC route 4 5 of administration is more immunogenic. For example, in the table we compiled 6 7 for the clinical trials comparing ADA incidence between subcue and IV route of administration, the 8 subcue route of administration did increase the 9 10 incidence of immunogenicity. 11 This brings us to a conundrum, that both pre-clinical, the clinical and pre-clinical 12 13 studies both support and refute the notion that subcue route of administration is more 14 immunogenic. 15 16 Irrespective of whether subcutaneous 17 route of administration is more immunogenic or not, understanding what drives the subcutaneous 18 immunogenicity is useful to design safer 19 20 biologics. 21 From this, we need to have a mechanistic

22 understanding of what drives subcutaneous

1 immunogenicity. However, this topic is not 2 complete. So what we did was, we attempted to propose a model similar in molecule model, what 3 drives the subcutaneous immunogenicity of 4 5 therapeutic proteins using (inaudible) for using vaccine (inaudible) where the control experiments 6 7 were done in the absence of (inaudible), and our 8 own studies, and also others in this process. 9 We tried to formulate a mechanism of what drives subcutaneous immunogenicity. First 10 11 question is asked of ourselves, is there any difference in the antigen presenting cells of the 12 protein of product process of the protein, is 13 14 there any difference between subcutaneous route 15 and the IV route of administration? 16 So some elegant studies done in late 17 '90s and 2000, they used the molecular antibodies that binds to MXC (inaudible) and MXC2 complex. 18 What it allowed them to do is to track the fate of 19 20 the antigens and the cells that produce them following different route of administration. 21 22 Following intravenous route of

1 administration, antigen and specific B cells 2 rapidly take up the protein and present it in the spleen within four hours of administration. 3 This is followed by presentation by 4 dendritic cells after 24 hours. After this, it's 5 just a numbers game, because antigen and specific 6 7 B cells outnumber the dendritic cells in the 8 spleen, so it is safe to assume that antigen and 9 specific B cells in the spleen are the primary 10 antigen presenting cells following intravenous 11 route of administration. In contrast, dendritic cells produce the 12 13 protein antigen to the T cells in the lymph node 14 following subcue route of administration. So, the 15 dendritic cell, we all know is the primary 16 initiator of T cells. So early on, the 17 subcutaneous immunogenicity may be driven by neurological exposure to very active dendritic 18 19 cells.

20 The anatomy of the skin and subcue space 21 contribute to this immunological exposure. So, 22 just a primer on the anatomy of the subcue space.

1 So the most superficial layer is 2 epidermis, and the layer beneath this is dermis, and the layer below that is hypodermis, or 3 subcutaneous space. 4 5 So the similar component of the subcutaneous space is made of (inaudible) 6 7 fibroblasts, and macrophages, mostly. And then 8 the fibroblasts intersects the competence of extracellular matrix that's collagen and 9 10 hyaluronic acid. We all know this extracellular matrix is 11 a barrier to the subcutaneous delivery of 12 13 therapeutic proteins. 14 In terms of immune cells that constitute the subcutaneous space, landerhand cells, 15 16 dendritic cells, a type of dendritic cells, 17 resides in the epidermis, and then there is a dermis resident dendritic cell that is found in 18 19 the next layer. 20 So then you might wonder, the passing of 21 the protein in the subcue space, how does it 22 increase the immunological exposure to these

dendritic cells? Because this exposure is
 important for the intensity of the adapt to
 response because it has to present it to the T
 cells in the local lymph nodes.

5 So under the set of experiments that was 6 done using (inaudible) antigen, then we could 7 follow peptide and MXC2 complexes, like monoclonal 8 antibodies approach what they used before, they 9 found out that two waves of antigen presentation 10 and processing of first following subcutaneous 11 route of administration.

12 The first wave of presentation that the 13 protein presented itself after depositing it here, 14 it exposes itself to the lymph node resident 15 dendritic cells. So how did they find their way 16 from here to here? It's PK, pharmacokinetics and 17 biodistribution.

18 We all know the monocular wave dependent 19 of PK and lymphatic uptake is well known. And 20 this kind of presentation, this kind of 21 presentation occurs within a few hours of 22 therapeutic protein administration.

1 And then second wave of presentation is 2 mediated by the migration of these cutaneous dendritic cells. They migrate from this space to 3 this space and then carry the protein to the local 4 5 lymph node. The cellular and molecular mechanism of 6 7 this particular migration of cutaneous dendritic 8 cells is well characterized, and I would like to 9 highlight three steps in this particular process. 10 The first step is that upon 11 administration of the therapeutic protein, it increases the phemokyne receptor expulsion, such 12 13 as CXCR 4 on the dendritic cell. 14 The second step upon administration of therapeutic protein, it triggers the production of 15 16 pro inflammatory cytokines, and that increases, or 17 regulates the production that in turn increases the number of lymphatic vessels. 18 And then third step in this process, 19 20 these lymphatic vessels secrete ligands for these 21 chemokine receptors. 22 So basically, what drives the migration

1 of the dendritic cell is nothing but the receptor 2 ligand interaction that drives the migration of 3 this dendritic cell. So overall, what drives the subcutaneous 4 5 route of immunogenicity of subcutaneous route of administration is that immunological exposure to 6 7 dendritic cells and their migration. 8 This particular cartoon that shows the 9 two waves of presentation, for example, upon 10 therapeutic protein administration, they find that 11 they are rare and present it to the local lymph nodes that is driven by biodistribution of the 12 13 therapeutic proteins. 14 And the second wave is that upon administration of the therapeutic protein in a 15 16 subcue space, these dendritic cells migrate and 17 then they travel and present it to the local lymph nodes and then to the T cells in the local lymph 18 19 nodes. 20 So overall, what drives the subcutaneous 21 route of administration, immunogenicity of this,

is the immunological exposure to dendritic cell

1 and its ability to migrate.

2 So this mechanistic understanding, we 3 used it for two purposes. The first one, we used 4 it to develop an actual risk assessment tool to 5 predict clinical immunogenicity, and the second 6 one is the rational development of innovative 7 mitigation strategies.

8 So could these two purposes be used this 9 mechanistic understanding? The first one is risk 10 assessment tool of clinical immunogenicity.

Some might ask, why do we need an actual clinical immunogenicity prediction? So, for example, if it is a high to good screening, and validated method, it could be useful in a high throughput manner, to screen for compounds and develop less immunogenic, safer version of the protein drugs.

And then the second one, it can also align with FDA Modernization Act that it can act as an animal trial alternate for rapid screening of this.

22

And then, third reason, as I mentioned

1 to you before, percent of the clinically used therapeutic protein showed 2 incidence of immunogenicity -- reported 3 incidents of immunogenicity in the package insert. 4 5 That raises an important question. Is there any gap in our understanding of pre-clinical 6 7 screening, of pre-clinical development, and a clinical correlation? Is there any gap that 8 9 because it's not sufficient enough to predict clinical immunogenicity? So what are all of our 10 11 current tools to predict a screen for this compound during the pre-clinical stages? It's the 12 13 in silico tools. 14 There are routine screenings for lenience epitoles. But when it comes to the 15 16 confirmational epitoles, they did not predict 17 well. The second unnatural amino acids 18 containing peptide and protein drugs, and chemical 19 20 instabilities, and also it doesn't have any 21 impurities in the formulation that can be predicted by in silico methods. 22

1 So there are some issues with that. And 2 then any diesel based acids require a prolonged culturing conditions and a very high concentration 3 of the protein to make a meaningful conclusion. 4 5 And then they used the skin models and skin explants. For example, the major problem 6 7 with these things is that it doesn't have an 8 immune cell that's irrelevant. So immune response, you measure with not relevant immune cells. 9 Second thing is maintaining the 10 11 integrity of the skin explant is very difficult in an explant system, so it maintains its prediction 12 13 by these methods may be limited. 14 So, then use of animal models, that's generally done. That is generally done because, 15 16 for example, the relative immunogenicity of many 17 therapeutic proteins, you can compare, they can provide. So use of most models are very useful 18 because it's cheaper to do it, you can manipulate 19 20 them to get transgenic mice, and also, to say, 21 very controlled background is raise so that the 22 varied routine response will be too tight. Then

1 it may be beneficial to it.

2 However, when it comes to predicting the incidence of immunogenicity, that too for new 3 biologics, there are limitations. It cannot 4 5 predict very well. 6 Because evolutionary pressure, 7 evolutionary pressure on a species that sniffs the 8 ground and a vertical species like us, there's so much of difference. 9 Mice are not humans. We do not have a 10 11 tail. So humans are not mice, but humanization of mice is very successful. 12 13 But humanization of rodents could go the 14 other way, too. So irrespective of whether its 15 ability to predict, ability to predict immune 16 responses is limited. 17 So we use this current understanding of what drives the subcutaneous immunogenicity, what 18 drives the (inaudible). We boil that down into 19 20 three immunological readouts. 21 So the first readout is the migratory

22 phenotype, this expression of CXCR 4 on dendritic

cells. Combined that with an activation phenotype
 that's percentage of (inaudible) producing
 dendritic cells. And the third one, we combined
 this with a direct migratory potential that is
 number of dendritic cells migrating using a
 transfer assay.

7 So this transfer assay is that outer 8 chamber. We put the therapeutic protein in the 9 presence of chemokine ligands. And in that chamber, we grow dendritic cells from donors that 10 11 can capture patient readability in a number of cells migrating from inner chamber to the outer 12 13 chamber used to calculate percentage migration. 14 And then that is extended to migration index. 15 And we combined these immune readouts to validate an approach whether these three readouts 16 17 can predict the clinical immunogenicity incidents. As you go from top to bottom, it increases the 18 incidence of clinical immunogenicity. 19 20 So what we found out was that CXCR 4 is 21 very sensitive to the immunogenic potential, and

then that matches with the expression of CD 40 and

1 interlocking to all expression.

2 But this is not enough to correlate pre-clinical and clinical immunogenicity. 3 4 However, when they combined that with the 5 migration potential and number of cells migrated, the correlation between pre-clinical 6 7 immunogenicity and clinical immunogenicity, the 8 correlation was very high. 9 So as you can see that it predicted 10 immunogenicity of this therapeutic proteins very 11 well from low, moderate, and high immunogenic 12 response. So the next topic, so the advantages of 13 this ex vivo model is that it is mechanism based 14 and sensitive, that can capture early steps in the 15 16 antigen presentation and processing, and also it 17 can give you an innate and adaptable connectivity that is missing in somewhat in all these 18 pre-clinical tools. 19 20 Then it could be done in a 96-volt 21 plate, so it's high proof and cost effective. And importantly, it's not influenced by the mechanism 22

drug action, because there's no direct exposure.
 So anti (inaudible) drugs and T-cell engages that
 immunogenicity could be predicted.

4 It captures patient variability. It can 5 screen for impurities and aggregates. And also, 6 it can be combined with other screening tools as I 7 mentioned before to get a complete picture of what 8 drives immunogenicity of therapeutic protein in 9 some of our outstanding studies that came from FDA 10 will be incredibly useful in this regard.

11 So the next application, what basic 12 understanding of what drives the subcutaneous 13 immunogenicity, we use for the purpose of 14 mitigation strategy.

As you know, an important step in this process is migration of dendritic cells. So any process that reversed the migration would be an immunogenic contributor. So avoiding that would be helpful.

20 So any inflammation that causes this, 21 the cleaning of the product free of impurities, 22 aggregates, and (inaudible) contaminates would

1 reduce the migration.

2 At the molecular level, this migration is accompanied by similar -- sorry, molecular 3 infraction between receptor and the ligand. 4 5 So any process that interferes with this process, take a small molecule formulation 6 7 excipient, that binds to CXCR 4, that prevents the 8 migration of the dendritic cells would be a good 9 approach. But our approach has been using the 10 11 challenge as an opportunity. The challenge of immunological exposure to dendritic cell and 12 13 migration has also presented us with an 14 opportunity. 15 For example, if you expose the 16 therapeutic protein to the mature dendritic cells 17 in a tolerogenic context, it can convert this cell into a tolerogenic phenotype and then it can 18 produce regulatory T-cells that can reduce the 19 20 immunogenicity of therapeutic proteins, whereas if 21 you present them in immunogenetic context, if will increase the effective T-cell population. 22

1 So, for this purpose, we want to harness the biological properties of lipids. Why the 2 3 focus on lipids? We think that lipids are superheroes. So to this structure of lipids, we 4 5 add the Superman costume so that it can save the 6 world. It did, right? In the case of COVID 7 8 vaccine, this lipid nanoparticle delivered the modern vaccine for COVID vaccine that saved 9 millions of lives. Because of that, this is our 10 11 lab logo. So when harnessing the biological 12 13 function of phospholipids, it gave us tips. So 14 the word tips means different things to different 15 people. 16 For the restaurant employee who is 17 waiting at the table, tips mean something to him. A laboratory scientist, bench scientist, tips mean 18 something else to her. We always use helpful tips 19 20 to even solve all our day-to-day tasks. 21 But for the next few minutes, tips means 22 tolerance inducing phosphorite seeding. This

1 harnesses the biological function, novel biological function, of phosphorite seeding in 2 3 that it can convert an immunogenetic tolerogen. In harnessing this property, we double 4 5 up immunotherapy tolerance platform with broad clinical potential. And in this talk, I want to 6 7 talk about to prevent a reduced immunogenicity of 8 therapeutic proteins. 9 So what is? So phosphatidylserine is an anionic phospholipid that is present in the inner 10 11 leaf flap of a living cell. When the cell dies, it flips to the outer leaf flap. This 12 externalization of PS sends an "eat me" signal to 13 14 the phagocytic cells. 15 The phagocytic cells take up this cell 16 debris exposing phosphoric seeding and clear them 17 with no immunological consequence. So generally, a (inaudible) process was 18 always thought to be an immunologically silent 19 20 event where the externalization of PS mediates 21 that the immune system to ignore the cell debris 22 to prevent immune responses and a wide

1 autoimmunity.

2 However, the lessons we are learning by using phosphatidylserine to reduce immunogenicity 3 is that it is not an immunologically silent 4 5 process. If PS get exposed and it is taken up by 6 the immune cells, it teaches the immune cells to 7 8 tolerate the antigen. So it is not an 9 immunological inherence, where it actively teaches 10 the immune system to tolerate it. 11 So based on this, our hypothesis was refined so that PS is not just a clean up crew, 12 13 but a well-meaning teacher. 14 So, if phosphatidylserine induced tolerance to therapeutic protein, pre-exposure of 15 16 the protein in the presence of phosphatidylserine 17 will desensitize the immune system. When you rechallenge them with a free 18 form protein, it should not note a response. So 19 20 this experimental design was based on this one. 21 So we pre-exposed the therapeutic 22 proteins in the hemophilia mice with the Factor

1 VIII and the Factor VIII in the presence of 2 various phospholipids. 3 For example, phosphatidylserine and a charge matched to phosphoryl glycerol as a 4 5 control. We also added another treatment group that is Factor VIII dexamethasone. 6 7 So as you then, after pre-exposure, two 8 weeks wash up period, and all these animals were 9 re-challenged with pre forma Factor VIII. And two 10 weeks later, we collected the blood and measured 11 the anti Factor VIII neutralizing antibody titers. As you can see, the animals that were 12 13 pre-exposed to Factor VIII PS show significantly 14 lower antibody types compared to another treatment 15 groups. 16 I would like to bring up the comparison 17 between Factor VIII PS and Factor VIII dexamethasone group. Both of them show the 18 reduction in the titers during the pre-exposure 19 20 period, but only PS treated group still remembered 21 the antigen building, the re-challenge period, not 22 to mount the response, whereas dexamethasone did.

1 So this pilot shows that the PS is not 2 just an immune suppression but it actively teaches 3 the immune system to tolerate Factor VIII. 4 Immunological ignorance cannot explain this. 5 So this is the first to our knowledge, the first direct evidence that phosphatidylserine 6 7 has the ability to induce tolerance towards an 8 antigen. 9 So, this PS media to the fact, we found to be antigen specific. When Factor VIII treated 10 11 mice were re-challenged with non-crossed reactive antigen truvalvomin, the animals responded 12 13 normally. 14 And we believe that this antigen specificity could be coming from regulatory 15 16 T-cells. Three different experiments supported 17 this role of regulatory T-cells in this process. For example, adaptive plants of regulatory T-cells 18 from unimmunized mice to mice. When this recipient 19 20 mice was re-challenged with Factor VIII, these 21 animals also showed hyporesponsiveness. At the molecular level, we found out 22

1 this antigen is a (inaudible) is driven by TFG 2 beta and one of the PS receptor called TIM-4 3 receptor. So at pre-administration of an 4 5 anti-TIM-4 function blocking antibody reversed the PS media to the fact, clearly showing that the 6 7 role of TIM-4 antibody in this process. 8 So overall, if you expose any antigen to 9 phosphatidylserine, in the presence of 10 phosphatidylserine to mature dendritic cell, it 11 innovates this maturation, and then with the help of TGF beta, these tolerogenic dendritic cells 12 13 produce regulatory T-cells and that can block the 14 B cells. 15 So harnessing this particular --16 harnessing this particular understanding of how PS 17 work, we tried to develop an immunotherapy platform, tolerance platform. 18 So, just a couple of highlights about 19 20 TIM-4 receptor. So out of 13 different PS 21 receptors, TIM-4 is specifically expressed on 22 antigen-presenting cells, engaged in the integrity

1 pathway for their intracellular signaling to induce tolerance. 2 And an additional interesting study that 3 showed that TIM-4's receptor is sensitive to the 4 5 PS surface charge density. That means TIM-4 receptor, this 6 7 tolerance inducing receptor, engages only involve 8 if the cell debris exposed phosphatidylserine above a threshold limit. 9 10 So based on that, our working hypothesis 11 became that increasing surface charge density will increase the tolerogenic potential by engaging the 12 13 TIM-4 receptor. 14 For this to design a platform, an antiparticle platform, or a T-reg adjuvant, then 15 16 we need to understand the structure/function 17 relationship of phosphatidylserine. So what is the structure of 18 phosphatidylserine? It has a polar head group 19 20 that is O phosphatidylserine. It is connected to 21 the acyl chain through a glycerol backbone. And structural variations can include two or one acyl 22

chain. If it's one acyl chain, it's called
 lisophosphatidylserine. And the number of carbon
 atoms in the acyl chain, a number of double bonds
 in the acyl chain, would give structural
 variation.

In the interest of time, I'm going to 6 7 talk only about O phosphatidylserine, the head 8 group of phosphatidylserine that is the minimum 9 structural requirement for engaging the TIM-4 10 receptor that will affect the T-reg adjuvant. 11 So we investigated the use of OPLS in reducing the immunogenicity gains to several 12 13 molecular antibodies in the presence of volume 14 expanders, like hyaluronidase.

15 As I mentioned, the hyaluronidase break 16 down the hyaluronic acid. It allows larger volume 17 of therapeutic protein could be injected. But it recently showed that it increased the incidence of 18 immunogenicity with molecular antibodies. So we 19 20 wanted to check whether it can reduce the 21 immunogenicity for cantuzumab, altumomab, and 22 rituximab in the presence and the absence of

1 hyaluronidase.

As you can see, the OPLS treated animals showed significantly lower ADA titers against the protein and also reduced against the volume sexpanders as well.

That correlated with a reduction in the 6 7 plasma cells and also showed increase in the 8 effective T-cells and also -- but surprisingly, it 9 did not increase the exhaustion marker, but did 10 increase the production of regulatory T-cells such 11 as lag-positive T-cells that correlated with (inaudible) experiments that showed that increase 12 13 in the regulatory T-cells.

So mechanism is that if you inject the protein in the presence of O phosphatidylserine it can expose it to therapeutic protein to the dendritic cells in a tolerate context that can produce regulatory T-cells that can reduce the antibody levels.

20 So summarizing my talk, sorry, probably 21 I went a little bit overboard, but that 22 immunogenicity in the context of protein

1 therapeutics is unwanted immune response that 2 manifests as ADA response that impacts efficacy. There are several factors that influence 3 the immunogenicity and subcutaneous route of 4 5 administration is an important treatment related factors, and readout of the proposed mechanistic 6 7 understanding of mechanism of what drives 8 subcutaneous immunogenicity. 9 And we used it for two purposes, to 10 develop risk assessment, somewhat validate it. 11 Still it's a process, ongoing process. And rational development of innovative strategies. 12 13 And then with this, I would like to 14 thank funding agency, National Institute of Health, supporting this research, and taxpayers 15 16 like you, and the hardworking students at 17 facilities, collaborators, and mentors. This is my disclosure statement. 18 Thank you. I will be happy to answer 19 20 any questions you have. 21 DR. MAZOR: Hi, I'm Ronit Mazor from the Office of Gene Therapy. Thank you for the 22

presentation. It was very interesting. Can you
hear me?

DR. BALU-IYER: Yes, I can hear you. 3 DR. MAZOR: Okay. So I was very 4 5 intriqued by the mechanism of how the phosphatidylserine induces tolerance. 6 I'm 7 thinking about a lot of therapeutic proteins whose 8 mechanism of action is a apoptosis and those are 9 still immunogenic, thinking about a lot of cells 10 that are undergoing apoptosis and we're still 11 seeing immunogenicity when we have other proteins 12 in there. 13 So can you elaborate about the mechanism

14 and why you're convinced it's that and not the 15 nanoparticle that have tropism to immune cells? 16 DR. BALU-IYER: Okay, that's a great 17 question. Because the other things, they don't have phosphatidylserine in them. So I strongly 18 believe in the phospholipid. It's a superhero. 19 20 I think it is adding phosphatidylserine 21 using antigen specificity. Antigen themselves may not be able to achieve this. People tried 22

1 exosomes and exosomes also expose phosphoryl feeding that could be used. 2 3 So apoptosis exposure to phosphatidylserine that too in the apoptotic 4 5 context is only tolerance inducing. There are other ways of PS exposure happen in many other 6 7 ways. 8 That's where we started doing the structure/function relationship. And then there 9 are certain biophysical characteristics and 10 11 structural requirements for an antigen specific tolerance. 12 13 If you look at the -- there are 13 14 different receptors. So if there is not PS 15 exposure at setting threshold limit, other 12 16 receptors that take the PS exposing cell debris or 17 anything, and they clear them with no immunological consequence. 18 19 But coming back to a question, that's an 20 interesting approach that induce apoptosis with 21 therapeutic proteins. And then if therapeutic proteins found its way to the cell memories, 22

1 exposing phosphatidylserine, ensure that that will also induce tolerance. 2 3 In my opinion, they are not becoming part of the cell debris. That's my understanding. 4 5 As I told you, most of the time, I'm wrong. DR. MAZOR: Thank you. So if I 6 7 understand your thought, you would assume that 8 anti-cancer therapeutic agents that work through 9 apoptosis should be less immunogenic than one that 10 works in other mechanisms of action? 11 DR. BALU-IYER: It should be. But problem with getting this kind of disease 12 13 situation that they are used for a very short 14 time, and also getting immunogenicity for a 15 chronic condition is very nicely explored. 16 But for shorter duration, it's very 17 challenging. But it could, as I told you. It becomes a part of apoptotic bodies or exosomes by 18 some means, it could produce tolerance. 19 20 But already, the patients are immune 21 compromised. Normally, they tend to respond less. 22 So these are all multiple things. Probably, I did

1 not answer your question. I'm sorry if I did not. 2 DR. MAZOR: Thank you. 3 DR. BALU-IYER: Thank you. DR. ATREYA: Thank you for a wonderful 4 5 talk, as always. I was looking at the model, in your model, one of the things that's missing for 6 7 subcutaneous is the stroma cells, right? How do 8 those figure in and how during periods that might 9 trigger the stroma models, how do you take those 10 into consideration? 11 DR. BALU-IYER: That's a fantastic question. Because we need an immunological 12 trigger in this model. That's one that triggers 13 14 it. 15 Now as you look at it, the system is 16 very, very simple. It lacks many components of 17 subcue space. So we created what was lacking in the subcue space. That's one use of immunological 18 triggers, stroma cells. 19 20 Let me add a little bit of a trigger 21 mechanism, like LPS in the system, so that it is 22 not enough to mature the dendritic cells but it is

1 enough to produce the trigger.

2 DR. ATREYA: That would make it -- so we know that different KR ligands or OPR ligands can 3 synergize. So how does that figure into your 4 5 model if you're already spiking it with LPS? You may be exaggerating some of the --6 7 DR. BALU-IYER: Yes, that's the way I 8 think it requires more validation. That's the 9 correct point. I do agree. 10 But we did so much of LPS concentration 11 optimization. That's what the advantage is. Last one, I did not mention, is the disadvantage. 12 13 There's quite a lot of validation. But we did 14 this. 15 So majority of the time, it did not 16 increase the immunogenic potential of it, but it 17 requests more validation. That's what we are expanding, or getting more therapeutic proteins 18 that showed clinical immunogenicity and cross 19 20 validate it. 21 But it can build into some kind of 22 immunogenicity database. That's what we are

1 trying to do.

2 DR. ATREYA: Thank you. So another question that I have, that other people have too, 3 is that you centered on proteins and not peptides. 4 5 Have you looked at peptides and whether your model can predict immunogenicity with those? 6 7 DR. BALU-IYER: That's a great question, 8 and some of these things we just started. It's 9 not ready for prime time. 10 That is unnatural amino acids containing 11 peptides. We are working on it in collaboration with some pharma companies and the Center for 12 13 Protein Therapeutics. 14 And it does predict, but we have to repeat it a few times to make sure it is kind of 15 16 reliable. 17 DR. ATREYA: Okay, and one last question. So, in your model, you think that's it 18 PS that in? And then I was thinking back to the 19 20 GLP1 simulators and the ones that are least 21 immunogenic have fatty acids but not PS. So how much impact of fatty acid in your tolerance, do 22

you think? Because you think it's more the head,
 right?
 DR. BALU-IYER: That's an interesting
 question, and I can talk for another 30 minutes on

5 it. But the thing is, what happens is, if you
6 look at this, we did some bioengineering type of
7 thing.

8 If you look at the assay, it is very 9 important. That's what I carefully mentioned, 10 that it is the minimal structural requirement for 11 receptor binding.

But if you look at the

12

phosphatidylserine shape, when you reduce them from two acyl chain to one acyl chain, the shape changes from a cylinder to a cone shaped structure.

17 So when you have a cylinder, how you 18 pack the matches in a matchbox, they pack really 19 well. But if you put a cone in a PC bilayer, a 20 phosphocholine bilayer that is cylindrical, they 21 don't pack very well. They just increase the 22 curvature.

1 The curvaturing flips. More and more PS 2 comes out from the inner leaf flap to the outer leaf flap, and that's where we achieve the PS 3 exposure above a threshold limit. 4 5 So to answer your question, acyl chain one, acyl chain and one and saturation does the 6 7 trick. The others did not. I can share the data 8 with you. 9 It need to be in writing, manuscript, but I'll be happy to share the data with you. 10 11 DR. ATREYA: Thank you very much. 12 DR. BALU-IYER: Thank you. 13 DR. ZHOVMER: Hello, Alex. I'd like to 14 extend the question that Ronit asked about tumor cells. I think of it about tumor cells. They are 15 16 making the cells (inaudible) against the tumor new 17 antigens and interfering with tumor cells may actually stimulate renewed response. 18 19 DR. BALU-IYER: That is a good one. I 20 forgot to answer a question. Nice that you 21 brought it up. So we looked at how tumor evades 22 the immune system, okay?

1 The same thing, parasites, how they evade the immune system. They all do it by 2 3 exposing or releasing exosomes containing 4 self-antigens. 5 One commonality of all these things is the exposure of PS. So PS, phosphatidylserine, 6 7 and then this phosphatidylserine based immune 8 tolerance, like how parasites evade the immune system. 9 10 And we published a paper on tumor, how 11 tumor evades the immune system by giving out exosomes, exposing phosphatidylserine containing 12 13 tumor antigens. That's how they evade the immune 14 system. 15 Because of that data set, regular tumor 16 enrollment happened in the microvicinity of the 17 tumor cells. That's just an answer to your question, too. 18 19 So it does. It can happen. So in order 20 to do that, we flip the other side of this 21 technology. What we have is called Exoblock 22 (phonetic). So we have an anti-PS antibodies in

1 small molecules to block the PS in the tumor 2 vicinity to have -- it's like developing that as 3 an amino therapy. 4 DR. ZHOVNER: Thank you. 5 DR. ELKINS: In the interest of time, one online question. Factor VIII find 6 7 phosphatidylserine on platelets, is the 8 phosphatidylserine plus Factor VIII still 9 functional? 10 DR. BALU-IYER: Yes, it is functional. 11 It's a very specific question, yes. It does. And we did the activity assay PTT and then intercell 12 13 that is in vivo assay with the hemophilia mice and 14 hemophilia dogs. Everywhere, it's found to be 15 very active. 16 But the interesting thing is that 17 phosphatidylserine stabilizes the activated form of Factor VIII, which is thrombin, which is three 18 times more potent than the regular Factor VIII. 19 20 So because of that, it not only pursue 21 the activity, it increases the potency of Factor 22 VIII. And it also works with other therapeutics.

1 We have shown for several other therapeutic 2 proteins that phosphatidylserine need not bind to 3 the therapeutic protein to see this effect. DR. ELKINS: That will have to be the 4 5 last word. DR. BALU-IYER: Thank you very much. 6 7 Thank you very much for this opportunity. It is 8 an honor to be here. Thank you. 9 DR. LAGASSE: Okay, for the next part of our session, we have two speakers from CBER. 10 11 We'll introduce both of them first and then we'll have the question and answer period after both 12 13 speakers give their presentations. 14 So the first speaker is Dr. Zhaohui Ye. He's a Principal Investigator and Chief of Gene 15 16 Transfer and Immunogenicity Branch in the Office 17 of Therapeutic Proteins here at CBER. He's responsible for CMC review and 18 19 policy development and cell and gene therapy 20 products, and he has an active research program on 21 stem cell engineering. 22 And following Dr. Ye, we'll have Dr.

1 Robert Daniels. He's a Principal Investigator in 2 the Laboratory of Pediatric and Respiratory Viral Diseases in the Division of Viral Products at FDA. 3 And his primary research focuses to 4 5 increase the breadth and efficacy of annual influenza vaccines by developing approaches to 6 7 introduce neuraminidase antigens into influenza 8 vaccines. 9 So please join me in welcoming Dr. 10 Zhaohui Ye and Dr. Robert Daniels. Thank you. 11 DR. YE: Okay, thank you. So I know 12 most of you are here for the flash talks, I guess, 13 so I'll do my own version of flash talk and tell you the story what we did here in our lab. 14 15 So as Daniel said, I'm from the Office 16 of Gene Therapy. So why do we do genome editing? 17 That is because this has some relevance to our 18 product. So there's a wide variety of a product 19 20 that come into our office. To your left, you have 21 the ex in vivo modified cells. Those are like 22 genetically modified stem cells and T-cells such

1 as CAR-T cells.

2 And then to the right, you also have the in vivo delivered, direct administered lectures 3 that get carried into transgenes. 4 5 So in the last couple days, you have heard some excellent talks on AV vectors and lipid 6 7 nanoparticles. 8 So what's common about these products, 9 so all these products can potentially incorporate 10 genome editing. So either having the genome 11 editing as one of the main modes of action for the drugs or if nothing else, the genome editing can 12 13 be used in the development of the drug product. 14 So by now, we are all familiar with genome editing tools in general. So these are the 15 16 tools for making precise additions, divisions, and 17 alterations to the genome in living cells. But there's more than just CRSPR CAS 9. 18 And there have been other designer nucleuses like 19 20 CFNs or Talens before CRSPR come on stage, right? 21 And right now, there's now all type of 22 editors such as base editors and prime editors, as

well as other tools that are actually not showing
 on this slide.

3 So this is rapid expansion of technology 4 tools. It's very important for all the 5 stakeholders to actually understand the 6 specificity and the efficiency of the genome 7 editing tools.

8 For the gene therapy part, actually, 9 it's important to understand, there are potential 10 to achieve the therapeutic effect, and what's the 11 risk of intended genome modifications as well as 12 the long-term effects of the both on-target and 13 off-target genome editing.

14 So there are many technologies methods 15 can be used to evaluate genome editing tools. And 16 today, I am going to focus on some of our past 17 research that used high group sequencing, or next 18 gen sequencing, NGS, in the study.

And I'll share a few things we learned along the way. So we're going to talk about some differential activities between different types of endo-nucleus.

1 The example I will give you here is the Talens versus CRSPR CAS 9 as well as different 2 3 versions of certain types of editors such as base 4 editor. 5 We'll also discuss what the cell type influence editing outcomes and impact of the other 6 7 components, the non-nucleus editor components, on 8 editing specificity. 9 Because right now, the editors look at it more complexing post-structure and the 10 11 functionality. Okay, so before we start over the first 12 13 example, let's go over quickly how the so-called 14 conventional genome editors work, how they handle genome editing efficiency. 15 16 So, this combination of nucleus, what 17 they do is they create a cut, generate a double strand break at the genomic sequence. 18 And what happens, and to follow this, it 19 20 depends on the cellular machinery, heavily depends 21 on the machinery, such as what kinds of components 22 of the machinery present in the cells at the time

1 of this break was created.

2 So one way for the cells to fix it is through this new homologous enjoining, and the 3 results are quite open for the end source 4 5 conditions, or the endose (phonetic). These can be relatively random. And the 6 7 other way the cells repair it is through the 8 homology needing the repair or the HDR if there is 9 a donor template that has the homology sequence, the DNA sequence, near the double strand break. 10 11 So these HDR needing the repair usually are more precise so you can put exactly what the 12 13 sequence you want because the end of endose right 14 can be random. 15 Now just because it's random doesn't 16 mean it has no therapeutic value. As many of you 17 know, the first and current VD, the only approved genome editing product, Casgevy, actually relies 18 on the ability of CAS 9 to create an endo at the 19 20 cat one binding site in the PCL 11 aging.

21 And by doing this, this abolishes the 22 finding of the cat one to PCL 11 A and increase

1 the (inaudible) production.

2 So the HDR also have wide applications. One example here is that you can use HDR to first 3 knock out a -- I'm sorry, let's go back -- to 4 knock out the TCR gene, and in the meantime, you 5 insert your transgene, in this case a car 6 7 transgene into the TCR locus. 8 And this is commonly used in the 9 heterogeneric CAR-T production. So the first story I'm going to tell you is how we studied the 10 11 differential activities that were used by Talens and CRSPR CAS 9. So this is a study we did quite 12 a few years ago. 13 14 And so here is the study design. We targeted three genomic load sites, right? So the 15 16 first one is the JAK 2 gene at where the JAK 2 17 V67F occurs. This is one now of the most common mutations in hematological malignancy. 18 And the second one is the SERPINA 1, 19 20 also called alpha antitrypsin, at the site of the 21 AT, so called the PIZ mutation, which caused the

22 alpha antitrypsin deficiency.

1 And for this reason, because we're 2 targeting the disease, really the mutation, we 3 actually used patient-derived IPSCs which carry 4 this specific mutation. 5 And the third site we target is the AVS1 site. As many of you know, this is one of the 6 7 commonly used safe harbor sites for integrating 8 transgenes in the cell. 9 So, in parallel to delivering this 10 genome editing endonucleus into the cell, here we 11 also have a control arm and deliver the homologous 12 donor. 13 So one example for the AAVS 1 was 14 showing here, you have the left arm, right arm. 15 There's a homology to the sequence around the 16 cutting site. 17 So we then use the amplicon sequencing, the deep sequencing, to analyze what happened 18 right around the cutting site to determine what 19 20 kind of endos and what endos occurred at this 21 cutting site. 22 And also the frequency in the case of

Talen or CRSPR CAS 9 mediated cutting. So you can
 see here, actually, we were kind of surprised.
 You can see a big difference that the CAS 9
 mediate induced a large amount of a much higher
 level of endo at this load site as compared to
 Talen.

7 So at least tenfold highs or even much 8 higher. But in the meantime, if you look at the 9 HDR efficiency, they are not so different. In 10 fact, they are quite comparable to each other. 11 So this tells you that when choosing the editor, you need to really consider what's the 12 13 intended outcome. So the editor really matters. 14 So in this case, the CAS 9 used to be at a far greater endo induction than the -- or the 15 16 gene disruption in the case of such as the 17 Casgevy, right, than Talens. However, in this case, the efficiency of 18 immediate in the HDR seems to be quite equivalent. 19 20 So we then also look into how the cell 21 type affects, in this case, the endo frequency. 22 So we compared elementary T versus the IPSCs. And

1 again, in addition to the target site, we also --2 I'm sorry, target site, we also analyzed, used the deep sequencing to analyze those incomatic 3 (phonetic) predicted most likely off-target site, 4 5 14-15 of them. So each has their risk. This indicates 6 7 that there is a statistically significant increase 8 in those, in the experimental group, as compared to the mock transfection. 9 10 So you can see there is a lot of at risk 11 here in the 293T cells compared to the IPSC. So, that tells you the cell type difference, it may 12 13 influence the outcome. 14 And this also correlates to the on-target efficiency as you may notice here. The 15 16 on-target efficiency in the 293T is also in this 17 case about four-fold higher. If you look at the AVS 1 site, the 18 difference is even much striking. So the 293T, 19 20 you have over 40-fold higher of endogeneration 21 than the IPSCs. 22 And also, again, just like the JAK 2

1 site, you'll see a correlation of increased off-2 target editing when the on-target editing was also 3 higher. You'll see this correlation. 4 Okay, so, now I'm going to switch gears. 5 In addition to the targeting the deep sequencing, here's a story how we used the whole genome 6 7 sequencing in odyssey. 8 So the so-called unbiased sequencing to 9 study editor specificity. The example I'll give 10 you here is the cytosine base editor. So as you 11 know, the base editor is a fusion protein, right, of a catalytically impaired CAS 9 that fused to a 12 13 cytosine deaminase. 14 In this case, there's a before, CBE base editor is -- this deaminase domain is APOBEC1. So 15 16 when this enzyme encounters a single strand DNA 17 which created by this CAS 9, the cytosine that we think is rich, the window can be converted to a 18 uracil, right? 19 20 This uracil during the DNA replication 21 will be recognized as a T. That's how the C2T 22 mutation happens.

1 So the reason that we jumped into this study was there was concerns. Around 2013, there 2 was a group of studies that found this APOBEC 3 morphogenesis pattern in human cancers. And they 4 5 also identified it as so-called APOBEC mutation 6 pattern. This TCT or TCA motif. So when this 7 APOBEC domain that deaminase was effused to a dCAS 8 9 where it's always pressed that also caused this 10 unwanted mutation. 11 So to answer that question, because from those past study, this APOBEC mutation is kind of 12 13 random. There's no hot spot. So how do we locate 14 them? 15 We can now use targeted deep sequencing. 16 So the only way to look at it is how genome 17 sequencing is unbiased way. However, whole genome sequencing also has limitations such as 18 sensitivity, right? 19 20 So I think this was discussed in 21 yesterday's metagenomic session. So one way to 22 overcome this is we use the approach that whole

1 genome sequencing of the single IPSC clone,

2 aggregated clone.

3 So here is the overall approach. We 4 added in the cells and the clones, identify those 5 ones that have been successfully edited at the on-6 target site.

So this is where we published the study.
So I will go quickly. And we have found that some
of the clones have significantly increased numbers
of C2T mutations.

11 So what they show you here, each one, each bar is a clone, and each color represents one 12 13 type of mutation. The red is the C2T notation. 14 So you can see some of the clones are almost dominated by the C2T. The absolute number is also 15 16 much higher than the control clones, which I 17 forgot to mention first, these are the control, what you expect to see, a non-edited IPSC clone. 18 And also, if you analyze those 19 20 mutations, that we also identify these local 21 APOBEC with the genesis signature. 22 So, and these mutations, when you map

1 them onto the genome, they are evenly distributed 2 among the chromosomes. So here is the chromosome size. You can see they pretty much just randomly 3 distributed around the chromosome. 4 5 So, which means that to identify them, an unbiased matter has to be used. 6 7 Okay, so what about the other version of 8 cytosine editor? So, here's another version or variant of the CBE, the so called CBE 4. It's 9 10 created again by Dr. Debbie Lu's (phonetic) lab. 11 So this one only has two mutations, right? The mutations on the APOBEC domain of this 12 13 editor, these two mutations. 14 And in their study, they also, when they look at 293T cells, they see a reduction of the 15 16 C2T mutation. We see the CAS 9 binding 17 independent of the mutation. So what about in the IPSC? So we used 18 the same approach. And here's the results. 19 20 Again, each bar represents one IPSC clone. In 21 this case, we also included an analysis of the 22 adenine base editor.

So this one has been from our study as
 well as many people's reports as a much higher
 fatality. So they have a less unwanted off-target
 effect.

5 So, as you can see, when it's compared, 6 the YU1 version compared to AV, they are pretty 7 comparable. It's also where the level is similar 8 to the control clones. However, in the C2T 9 mutation, in this group, you do see an increase in 10 the C2T mutation, in the YU1 edited clones.

11 Now, here is a summary of the mutation 12 type, right? Again, the red one is the C2T 13 mutation, but you see a slight increase but it's 14 not to the degree of the pre-edited version of 15 four, which some clones pretty dominated the 16 mutation landscape.

And also, when you compare the absolute numbers, so here's the previous three or four, you can see the clone. Some clones, the mutation total numbers are pushing from 1,000 to over 2,000, but in comparison, the other version of the CB, you have about tenfold lower.

1 So this also tells us the different version of the same type of editor can give you a 2 quite different off-target profile. 3 And so that's basically the summary. So 4 5 from using those high group sequencing, we have found that different genome editors may trigger 6 7 different cellular response and that the editing 8 efficiency specificity can often be cell type 9 dependent. 10 And also, here is just an example of how 11 the NGS can be important for evaluating genome editing. So such as the target of the sequencing 12 13 to understand the off-target effect, and the 14 genome unbiased may be needed for certain editors 15 where you don't know where to look, such as in the 16 cytosine base editor. So, here's all the people from our lab 17 and our collaborators who have contributed to 18 these studies. I'll be happy to answer questions 19 20 at the end of the session. DR. LAGASSE: Now, please welcome Dr. 21 22 Daniels to the stage.

1 DR. DANIELS: Okay, before I start, I'd 2 like to thank the organizers for giving us the opportunity or me the opportunity to present some 3 of the work from our lab on an assay we developed 4 5 for assessing influenza neurominidase. So as many of you know, there's been a 6 7 big push in recent years to make more efficacious influenza vaccines. 8 9 And one of those strategies is to incorporate the neurominidase antigen, or higher 10 11 amounts of neurominidase antigen into the vaccines, but currently we don't know which NA 12 13 should go in the vaccine or which strategy is most 14 optimal. And we hope this assay can start to help 15 assess these two questions or address them. 16 So what I want to do really briefly in 17 this talk is introduce currently influenza vaccines, the benefits of incorporating 18 neurominidase antigens, and then the main barriers 19 20 that currently exist for adding NA antigens to 21 these vaccines, and then just give a brief 22 snapshot of our current work on the assay

1 development that we've been doing for selecting vaccines transfer to neurominidase, which ones 2 should we actually use, and then how to profile 3 those responses in say a vaccine trial in order to 4 5 try to identify a correlate of protection. So if we look at influenza vaccines, 6 7 there's tons that have been licensed. If you're 8 not aware, you can actually request specific ones. 9 I like to tell my parents this. 10 They're all produced via three different 11 platforms. One is viral based, and what I've shown here is just four different viruses of the 12 13 previous quadrivalent vaccine. 14 And these would be made attenuated and this would be something you would find in flu 15 16 mist. And then the more predominant vaccines that 17 are on the market are inactivated or split versions of these viruses, where all we've done is 18 treat them with detergent. 19 20 And the more recently, there's been a 21 recombinant protein based vaccine that just 22 contains HA.

1 One thing to keep in mind is the viral 2 based vaccine through either propagated in eggs or more recently in cells, and the recombinant 3 proteins are made in insect cells. 4 5 And the product gualities are controlled by these systems. So in case of viral based 6 7 vaccines, the amount of NA that you would have in 8 the vaccine would be dependent on the viral -- the 9 property of that particular virus, whereas in a 10 recombinant approach, the product is controlled by 11 the cells or the design of the protein. So for instance, there's no NA in the 12 13 recombinant HA vaccine. So as you're well aware, 14 seasonal influenza vaccines show variable efficacy that ranges borderlines around 50 percent, but 15 16 varies by season. 17 And as I alluded to in the intro, one strategy to improve them is to try to actually 18 incorporate the NA antigen which can have these 19 20 types of benefits. 21 So current vaccines focus on

hemagglutinin or HA that's on the cell surface.

22

The function of HA is to bind a sialic acid,
 mediate viral entry, and ultimately fusion and
 delivery of the viral component genome to the host
 cell. And that's the main target of current
 vaccines.

6 NA has an opposing function, and its 7 role is to cleave sialic acid to sort of promote 8 the mobility or release, people like to refer to 9 it as the gene that promotes release from the 10 infected cell, by eliminating the ability of HA to 11 persistently bind.

So what we know from literature back in 12 the '70s is that existing NA antibodies in a human 13 14 clinical study could reduce the infection 15 severity. And we also known from a follow up 16 study that an NA-based vaccine could reduce 17 illness from a challenge as well. The other benefit of having NA in a 18 vaccine is cross protection. So what I'm showing 19

20 on the left is the nine subtypes of NA versus the
21 subtypes of HA. That gives you 144 combinations.
22 And the current vaccines that focus on HA give us

1 about -- cover about 18.

2 If you just include NA in this IV-based vaccine, you would have 46 of the 144 3 combinations. So you'd approach a third of the 4 5 entire space. The other example is in antigenic drift, 6 7 which is where this assay we've developed comes in 8 handy. Antigen drift just means these proteins evolve over time. 9 10 So for instance, in this example, each 11 bar is showing when the antigenicity of the particular antigen changed. 12 13 And as you're aware of, vaccine strain 14 selection, that occurs say six to nine months 15 before the actual season. So on the left, we have 16 identified the selected strain, and by the time we 17 administer it nine months, six months later, you can see the HA is now antigenically distinct but 18 the NA is still the same. So you can have a 19 20 benefit from that. 21 So the main barriers for NA vaccine is 22 really simple. One, what's the optimal way to put NA in a vaccine? It's not to make a viral based
 vaccine.

3 Viruses by definition usually have at 4 least 10 to 1 HA to NA content. We have looked at 5 that. So what we've shown in one publication is 6 you actually can modify the NA content of vaccines 7 just by changing the viral premise.

8 I think we increased four-fold, but it's 9 still not probably sufficient for a vaccine. The 10 more common strategy is to supplement those 11 vaccines with say recombinant NA, and that was 12 introduced by Ed Kilborne's group back in the 13 '80s. And we've done some work with that as well 14 and then more recently in MRNALNPS.

And then lastly, we need an antigenic And then lastly, we need an antigenic assay for selecting or identifying a suitable NA for that vaccine. And so when you just think of circulating virus diversity, which NA should actually be in that vaccine?

20 Currently, people use the NA that's in a 21 strain that's selected based on HA antigenicity, 22 so it doesn't make any sense because they can be

1 different.

20

2 So here's a little bit of our work on this NA active site proximity assay for measuring 3 NAI titers. 4 So if we look at NAI or neurominidase 5 inhibiting antibodies, they basically prevent 6 7 cleavage of sialic acid. 8 So NA is an enzyme that cuts sialic 9 acid. What I'm showing here is a small reporter 10 substrate. It has sialic acid in purple. It has 11 a fluorescent molecule blepharon on the right. And so when that molecule is processed 12 13 by NA, you get fluorescent signals. The issue 14 with this small substrate is if you put anti-sera mouse, anti-sera on these viruses and measure NA 15 16 activity, I'm showing you on the graph, you can 17 see you don't get much inhibition. So what people have known for a long 18 19 time is that you need large substrates, so they

21 So glycoproteins have branch structures of adenine 22 glycans, or have sialic acid on the end.

use glycoproteins for measuring NAI antibodies.

1 And so theoretically, if you have an 2 antibody that binds around the active site, it would sterically block the ability for the enzyme 3 to bind that sugar. And that's what you see over 4 5 on the right. All of the sudden, the same sera will give you good inhibition of the enzyme. 6 7 One of the main issues with this assay, and I think people overlook it quite a bit, is 8 9 that you're using a multivalence substrate. 10 You're also using a virus. 11 HA targets the virus to the multivalence substrate, which gives you an increase in the 12 13 apparently NA activity. So any HA antibody that 14 blocks receptor binding is going to give you a wonderful NAI titer, and that's what I'm showing 15 16 you here with three different monoclonals. You 17 can see the titer is 40,000. So what we did is try to address this. 18 We sort of took this principles all into account 19 20 and we created a simple assay that I'm just going 21 to refer to in terms of how we do it. 22 We take a virus. We add one reagent.

1 We had sera. We incubate. We add another reagent. We incubate. We add another reagent. 2 We measure. We're done. 3 Here's the results from the assay. So 4 5 on the left, we're showing ferret anti-sera again, the same exact ferret sera I showed you before, 6 7 and one additional sera. 8 And you can see that we get a signal 9 increase with the black and the red sera that are against DNA. And we see no signal increase with 10 11 the negative sera. 12 If we reuse the same monoclonal 13 antibodies that gave you a 40,000 titer, in Ella, 14 it gives you zero in this assay. So it removes 15 the HA-dependent effect. 16 To prove that even further, we took a 17 bunch of reassortant (phonetic) viruses that have H6 in the particular vaccine strain NA, and then 18 we mixed them with the exact same ferret anti-19 20 sera, all generated, all have H6 antibodies. 21 And you can see that we get signal only 22 for the ferret sera that matches the NA in all of

1 these examples.

2 We went a step further to say, hey, how 3 did this coordinate with Ella? So we took a panel 4 of 27 monoclonal antibodies. We ran Ella, we ran 5 our assay. You can see the titer difference on the 6 table. I think we had three deviations, and they 7 were generally where we saw Ella titers around 30 8 or 40.

9 But you can see the correlation plot is 10 close to a line. It gives a Pearson correlation 11 coefficient, or an r squared, of.81. And that's 12 for N1, N2, and type B, which are in a vaccine. 13 Then we ask, can this provide antigenic

14 data? So, to try to simplify this, we just have 15 five viruses. They're from 2007, shown as like 16 Brisban 07, California 09, Michigan 15, Brisban 17 2018, Victoria 2019.

18 And then we have ferret anti-sera
19 against all these NAs. And then we run the assay.
20 And essentially, if you get the same curve, you're
21 antigenically similar. If you get a distinct
22 curve, like for Brisban 07, you know you're

1 antigenically distinct from the other anti-sera. 2 So in the top left, you can see Brisban as antigenically distinct from the earlier --3 From the more recent NAs. You can see 4 5 California as antigenically distinct from the previous Brisban 07. And then you can see that 6 7 Michigan 15, Brisban 18, Victoria 19 are all 8 antigenically similar. 9 The reason we did this is because we 10 previously looked at these exact same NAs with 11 Ella and you can see the correlation or the pattern of the results is almost identical. And 12 13 this is done in an afternoon and not in four days. Then we took human sera and we did a 14 blind analysis. So we got these from our 15 16 collaborator at NIH. They sent over sera that was 17 from a clinical challenge study. And we measured. We used our assay to 18 measure steric inhibitory antibodies in black as 19 20 well as active site inhibitory antibodies, or 21 enzymatic ones in blue. 22 And then once we were done, we got this

Ella date from them. You can see when you combine the two different phenotypes there's a clear pattern match. The interesting thing to us was the high prevalence of active site antibody inhibitors in humans.

6 You can see black bars are steric. You 7 can see people that only have steric, like patient 8 three. You can see people that only have 9 enzymatic, like patient five. And then you can 10 see people that have both, like patients eight, 11 nine, and ten.

12 And so now you can start to use this 13 mechanistic insight to ask do one of these or both 14 of these correlate better with protection in 15 humans or when you're doing a vaccine design, 16 which type of response are you actually aiming 17 for?

18 This is not what you would see with 19 naïve animals. You would basically see black bars 20 everywhere. So you have to also ask how much is 21 this naïve animal informing us on what's going on 22 in humans, as many people have alluded to.

1 So the conclusions, I think this assay, 2 we clearly demonstrate that it can provide NA inhibitory antibody measurements. It can be used 3 to assess NA antigenic changes. Think of this as 4 5 it's automatable. You can use the exact same reagents that 6 7 the WHO collaborating centers use for HA antigenic 8 drift. They have anti-sera. They have the 9 strains. You just run our assay instead of theirs. 10 You're compatible with the same 11 reagents. That's what I just alluded to. It distinguishes between steric and enzymatic NAI 12 13 antibodies, something Ella can't do. It just goes 14 down. 15 And then we believe that you can 16 implement this to help identify suitable NAs for a 17 vaccine and also profile those responses. And finally, I would just like to thank 18 all the folks in the lab, Jin Soma, Galina Mira, 19 20 Goa Tahir and Sylvie have contributed to this 21 presentation, or all the work here, because I 22 certainly didn't pipette. And then a whole bunch

1 of collaborators. And thank you for your 2 attention.

3 DR. LAGASSE: At this point, we'd like to have both speakers come to the table and answer 4 5 questions. Are there any questions online? DR. ELKINS: While folks in the room 6 7 think about it, I have the online questions. And 8 also, people who are doing flash talks while we 9 work through questions, if you could cluster and 10 differentiate in the upper righthand corner of the 11 room and get ready for the flash talks, that would be helpful. 12

All right, online. For Zhaohui first, how is the transfection efficiency normalized for the comparison of CAS 9 efficiency and HEK293s versus IPSCs?

DR. YE: Right. Great question. So in this case, it was not normalized. But that's a valid point, especially when you're comparing the on-target antigen efficiency. That's a very valid point.

22 But the one thing we're trying to point

out is that the correlation between the on-target and the off-target effect, but that's a good question. In that particular experiment, it was not normalized.

5 DR. ELKINS: All right, and then I'll 6 cluster the ones that are related to CAS 9. Do 7 your results for Talens versus CAS 9 suggest that 8 Talens are actually a better approach for gene 9 repair or gene insertion, given the strong 10 selectivity for Talens to induce HDR over INDL 11 formation.

DR. YE: Is that a question or comment? DR. YE: Is that a question or comment? So our data doesn't really suggest Talen is better, right? So even in terms of HDR efficiency, CAS 9 is as good or you'll actually see the AVS 1 side, you have about two-fold higher efficiency than Talen. Then again, one point that we're trying

10 International again, one point that we re-trying 19 to make is it really depends on what your intended 20 purpose is for your therapeutic drop keep element, 21 right?

22 So in a Casgevy case, then you actually

1 want to add, to be able to abolish the gotten 2 sequence. So in that case, at that particular 3 site, the CAS 9 might be a better editor. DR. ELKINS: Okay, and then a couple of 4 5 versions of the same general questions. Why does CAS 9 demonstrate different efficiencies in 6 7 different cell types? 8 DR. YE: So one thing is that I think 9 the first -- so similar data we presented as the 10 first question alluded to that there is main 11 release to the transaction efficiency. And also, when you think of this, 12 13 editing may also relevant to epigenetic states, 14 right? How it is accessible to the editors as 15 well as the overall population, where the cells 16 are, what percentage the cells are in which cell 17 cycle. So that all contributes to the 18 difference. I forgot the -- the question is about 19 20 cell type, right? Yes. 21 DR. ELKINS: Right, different 22 efficiencies in different cell types.

DR. YE: Yes.

1

2 DR. ELKINS: All right. Thank you. 3 Switching to Rob. Since influenza infection itself is supposed to induce NAI antibodies, in an 4 5 adult challenge study, why do only less than 50 percent of adults have NAI titers as measured by 6 7 the NASF assay? And is the NASF assay, does that 8 assay have a lower sensitivity than Ella? Perhaps 9 those two go together. DR. DANIELS: Sure. I think one thing 10 11 to look at is the quantity of the enzyme that's in the assay is going to dictate your sensitivity. 12 13 Ella is done for 18 hours at 37 degrees. 14 Our assay is done at 10 minutes. We can go to 2 hours to increase it by tenfold. That'll match it 15 16 to Ella, and we can go overnight and decrease it 17 by 100 fold. So I'm pretty sure if we just do an 18 overnight incubation, we would get higher titers 19 20 than we've shown there. And the consistency of

21 the titers across the NA amount, if you go to
22 longer incubations are basically, it's completely

consistent where Ella's changing titer based on 1 the NA amount that's unavoidable. 2 DR. ELKINS: Yes, and there's another 3 question about the relative sensitivity of the two 4 5 assays. So is there anything more you want to say about that? 6 7 DR. DANIELS: Yes, so that was the 8 sensitivity. So I think you can match sensitivity 9 easy by just prolonging the incubation. We spent a lot of time developing it 10 11 just to show it works. The last one was in a human challenge study, what do you see? 12 13 Well, interesting. So if we do Ella, we 14 have no idea what we see. We see something go down. So when you use an assay that 15 16 differentiates between enzymatic inhibition versus 17 steric inhibition, you actually learn something. You learn that you have two different 18 types of antibodies. We hypothesize that you 19 would have steric based antibodies in pediatric 20 21 populations, and over time, you would start to 22 develop these NA inhibitory antibodies.

1 The most interesting thing about this is there's several recent papers that seem to allude 2 to the fact that it's difficult to find NA 3 inhibitory antibodies or ones that bind the active 4 5 site. And we actually have no problem finding 6 7 these, either in humans or in monoclonal antibody 8 screening. It's just doing a clever way of 9 looking at the assay. 10 DR. LAGASSE: Are there any questions 11 from the room? I have a question for you, Rob. What do you think are the limitations of your NASF 12 13 assay as far as what would you do as far as 14 potentially making it better with a second 15 generation? 16 DR. DANIELS: I didn't show this. So 17 the first one that we did, we used a particular binder which had varying affinities across NAs. 18 So it had a one step ahead where you 19 20 have to identify the binding affinity. We've 21 actually done in collaboration with a chemist, put 22 together a ligand that has pretty much the exact

1 same affinity for all NAs. So you can use just a 2 fixed amount for everything. And then you can modify the, let's just say the footprint, so that 3 you can get information on how far away is that 4 5 antibody from the active site. So that's the second generation that's in the pipeline. 6 7 DR. LAGASSE: Yes, thanks. Well, if 8 there's no more questions in the room or online, I 9 would like to thank everyone. And we're going to 10 move on to the next section of our session. 11 We'd like to thank the speakers, of course. So the next section of our session is the 12 13 flash talks, where we'll have a number of speakers 14 come up and they will each have about two or three minutes to -- two minutes to go through their 15 16 talk. 17 So I'd like to welcome the first -well, all the speakers, they'll just come up right 18 after the next speaker. So the first speaker is 19 20 Dr. Jankowska. DR. JANKOWSKA: Good afternoon. My name 21 22 is Katarzyna Jankowska. I'm working in Dr.

(inaudible) lab. And here is the title of my talk 1 and poster number is four. 2 3 The central premise for incorporating synonymous variants into the genetic sequences of 4 5 optimizing biopharmaceuticals and gene therapies is that this changes in other protein primary 6 7 structure. Nevertheless, the current studies show 8 9 that even synonymous variation may impact MRNA 10 expression and protein confirmation, which may 11 lead to protein deficiency and disease manifestations. 12 13 We reported comprehensive in silicon 14 (inaudible) assessing the impact of single synonymous variants on ADAMTS 13, and highlighted 15 16 numerous variants that can affect protein 17 functions. ADAMTS 13 is the protein which is 18 essential in (inaudible) studies that this 19 20 deficiency may lead to life-threatening disease 21 called thrombotic thrombocytopenia purpura. Our recent studies predicted that nine 22

1 of ADAMTS 13 single synonymous variants can affect 2 the binding size of (inaudible) and that is 3 including the variants 972C2T that was predicted to gain the binding site to microRNA 221. 4 5 To evaluate the effect of synonymous variation under all of microRNA in ADAMTS 13, 6 7 functions the binding of microRNA 221 to ADAMTS 13 8 coding sequence were validated by luciferase 9 supported assay and in cellular systems. 10 Our studies demonstrated that microRNA 11 221 can modulate ADAMTS13 genome protein expression, which can be further disturbed by a 12 single synonymous variation or code optimizations. 13 14 This study suggests that any genetic variation may also affect microRNA binding to 15 16 ADAMTS 13 and demonstrate the potential impact of 17 single synonymous variants in modifying important characteristics and disease severity. 18 Thank you so much for your time and 19 20 attention. I will be happy to take questions 21 during the course. 22 DR. LAGASSE: Please welcome Dr. Oakley.

1 DR. OAKLEY: Okay. Hi, my name is Miranda Oakley. I work in the Laboratory of 2 3 Emerging Pathogens. I conduct research on malaria and Babesia in Dr. Sanjay Kumhar's lab. 4 5 So cerebral malaria is a major cause of malaria mortality and occurs mostly in young 6 7 African children. There's an urgent need to 8 develop adjunctive therapies that can reduce the 9 high mortality rate from cerebral malaria. 10 There's a very good mouse model of 11 cerebral malaria. It's the plasmodium Berghei ANKA model. And it's used to study experimental 12 13 cerebral malaria in mice. 14 The objective of our project on my poster is to preform single cell sequencing of 15 16 pathogen brain sequestered CD8 T cells during 17 experimental cerebral malaria and P Berghei ANKA infected mice. 18 Our experimental design is that we 19 20 infected susceptible C57 BL6 mice with a million 21 parasites and then brain sequestered leukocytes 22 were prepared from profused brain tissue so that

1 we look at the CD8 cells that are binding to the endothelium and are pathogenic. 2 3 And three groups of mice are uninfected controls are mice that are infected but non-4 5 (inaudible) and then our infected mice that actually exhibit symptoms 6 7 of cerebral malaria. 8 After we prepare these brain sequestered 9 leukocytes, we performed single cell sequencing on 10 40,000 cells from five mice using the BD rhapsody 11 single cell analysis system. I have a lot of results on my poster. 12 13 Poster 8, I will highlight three of these results. 14 We found that brain sequestered CD8T cells are 15 heterogeneous rather than homogeneous population. 16 We have more than nine different 17 clusters that sequester in the brain. Second, we looked at differentially expressed genes comparing 18 our mice with cerebral malaria to our infected 19 20 mice without cerebral malaria, and comparing our infected mice without cerebral malaria to 21 uninfected controls. 22

And then lastly, we've created this 1 transcriptional atlas of the pathogenic CD8T cell. 2 We've looked at various types of classes of cells. 3 We've looked at transcription factors, cytokines, 4 5 checkpoint inhibitors, and T and F receptors, super families, as well as other families such as 6 7 like signal transection molecules. 8 And we can look at all the genes in each 9 of these categories and see which ones are differentially expressed in the CD8T cell. 10 11 So again, my poster is Poster 8. Thank 12 you. 13 DR. LAGASSE: Please welcome Dr. Fatima. 14 DR. FATIMA: Hi, everybody. My name is Tahira Fatima. I am a star fellow in Dr. Day's 15 16 lab in TBBB. And our lab's mission is to improve 17 safety of cell and tissues, which are used for 18 therapy. For my stated objectives, first, I want 19 20 to compare metabolic to (inaudible) based 21 detection acid and immunogenic acid, and also to 22 develop metabolic markers for detection of

1 flaviviruses in human IPSCs.

2 According to my study design, first I infected cells with Zika MR766 strains and then we 3 treat at MOI1, and then collected mock and 4 5 infected cells between 0-96 hours post-infection. And then I tested these cells for viral 6 7 detection using restrum (phonetic) block and 8 QRDPCR. Simultaneously, I prepared cells on both 9 sections for LTMS analysis to identify any shift 10 in metabolite. 11 And also I highlighted the results. In A, I'm showing Zika detection by restrum block at 12 13 48 hours post-infection. And in 2PCR, we detected 14 Zika at eight hours. 15 In case of Dengue retreat, we did not 16 detect DENV retreat in restrum block. However, 17 QPCR data showed DENV positive result at 48 hours 18 post-infection. So in contrast, LCMS successfully 19 20 differentiated between infected and mock cells at 21 all time points, as I'm showing in B, the one 22 camouflaged. And we identified six metabolite

1 markers so far. This is a potential panel in C. 2 So for my future experiment, I plan to validate candid biomarkers in patient samples and 3 also to extend this technology to other viruses 4 5 such as herpes viruses. 6 Thank you so much. And if you have any 7 questions, please visit me at Poster Number 38. 8 DR. LAGASSE: Please welcome Dr. Klenow 9 to the stage. DR. KLENOW: Hello, everyone. My name 10 11 is Laura Klenow and I am from the Office of Blood Research and Review. And I just want to direct 12 13 your attention briefly to the Leishmanin skin 14 test. So there are locally acquired infections 15 16 as well as travel associated cases that have 17 started to be popping up in the United States 18 recently. And in the past year, there have been 19 20 several papers that have highlighted these cases, 21 as close as Washington, D.C., which is right next 22 door.

1 So one of the things that our lab has been focusing on is that we're very aware that 2 this is an issue in the U.S. because we already 3 have the awareness of the potential sandfly 4 5 vectors that are highlighted in these light blue 6 states. 7 So one of the things that we want to 8 look at, particularly because we have an emerging 9 epidemic of Leishmaniasis in the lower United 10 States, particularly in Texas and Oklahoma. 11 And so we really need to focus on having an increased diagnostic for not only blood safety 12 13 but also for potentially assessing the future 14 immunogenicity of any vaccine candidates. 15 So in looking to assess these unmet 16 needs, one thing that our lab has been focusing on 17 is the development of a diagnostic that is reliable and scalable so that we can meet these 18 needs for not only surveillance, but also for 19 20 vaccine efficacy. 21 And one of the things that we have done is we have very surly characterized a strain of 22

visceral Leishmaniasis, L. donovani, and we have
 focused on creating a reliable and reproducible
 layoff flies antigen, which is a GMP grade
 Leishmanin antigen.

5 We have tested this potency of our 6 antigen in both vaccination and in latent 7 infection models. And what we have seen is that 8 with our antigen, that we are able to reproducibly 9 induce a delayed type hypersensitivity immune 10 response in both of these models.

11 And so what that's telling us when we look at this through high dimensional flow 12 13 analysis is that it's also mediated by CD4 Tcells. And so we're able to combine all these 14 results in not only a very nice diagnostic for 15 16 surveillance and emerging epidemics, but also as a 17 really nice test for future vaccine trials for the immunogenicity and efficacy of upcoming vaccines. 18 19 And I'm Poster Number 40 if you would 20 like to hear any more. Thank you. 21 DR. LAGASSE: Please welcome Dr. Konduru 22 to the stage.

DR. KONDURU: Good afternoon. I'm 1 Krishnamurthy Konduru, Staff Scientist in the 2 3 Office of Blood, LLV. So Dengue and Zika widest outbreaks have 4 5 increased raising CD's public health concern. Most of these wider post-infection are 80 percent 6 7 infections are asymptomatic. The remaining 20 percent are flu-like. Some develop serious 8 9 disease. The outcome is entirely different. 10 Dengue can cause severe form of dengue 11 disease. Zika can cause fatal death. So differential diagnosis very important to provide 12 13 support to specific care. (Inaudible) have 14 extensive (inaudible) activity between these wider 15 cases because of high homology sequence between 16 these two. 17 Nucleic acid test is affected by shock (inaudible) only presents for 12 days, 18 approximately, 12 days with low viral loads. 19 20 So we want to explore microRNA in plasma 21 as non-human biomarkers for differential diagnosis 22 between Dengue and Zika viruses.

1 So this is our workflow. We used 10 2 samples from each pathogen, Zika, Dengue, and controls, profound by NGS. NGS identified hits, 3 1,945 microRNAs. Then we narrowed down. 4 Here, for Zika, just these volcano 5 plots, we get to show that red dots are 6 7 upregulated microRNAs, green are downregulated. 8 Similarly, with Dengue as well. 9 From them, we identified 80 candidates, 10 Zika, 35 Dengue. We did validation by QRTPCR with 11 the lots sample sets. From them, we identified 22 microRNAs as potential candidates. From them, 12 13 nine shows highly significant. 14 Among nine here, we have showing a representative three candidates as three 15 16 categories. The first on, one left, microRNA 17 3195, able to discriminate all three Dengue versus Zika versus controlled (inaudible). 18 And another category, at least five 19 20 microRNA identified. For example, microRNA 328, 21 able to discriminate Dengue from Zika or control. 22 So the left one, the third category, at

1 least two candidates, we identified here, microRNA 2 369, able to discriminate Zika from control or 3 Dengue. 4 So, we got autocycles for each microRNA 5 here. I'm showing just two assay examples, microRNA 3195 to calculate under the area curve, 6 for this.79. 7 8 So other microRNA, 4485, that you use 9 this.76. Now, we are assembling at the panel to give a powerful diagnosis. 10 11 So we are using both mission learning algorithm as well as manual assembly. Here, two 12 13 microRNAs increase the powerful diagnosis. 14 For example, the blue line increased from.79 to.762, almost.95. So currently, we are 15 16 using these, testing these panels with large 17 samples. Thanks. I have Poster Number 42. 18 19 Thanks. 20 DR. LAGASSE: Please welcome our next 21 speaker, Catherine Jean, to the stage. 22 DR. JEAN: Hi, everyone, my name is

1 Catherine, and I'm in the lab of Dr. Zuben Sauna. 2 Today, I'll be presenting our research on high-3 throughput APTT and one-stage APTT-based Factor 4 VIII potency assays, namely in low volumes of 5 mouse plasma. 6 So what is APTT, right, and why do we 7 need to optimize it? Essentially, APTT is a 8 conventional coagulation test often used in clinical laboratories for the function of 9 10 diagnosing functionality and intrinsic coagulation 11 pathways. Here, we'll be looking at Factor VIII-12 13 based ATTP assays. Factor VIII is a blood clot 14 formation protein that we find deficient in people 15 with hemophilia A. 16 This needs optimization because in pre-17 clinical studies, as well as in research labs, the amount of plasma available to conduct this 18 research is often minimal, and even if it's enough 19 20 for one test, often it's very few for a second 21 test. 22 So our aim is to develop a high-

1 throughput APTT assay to test small volumes of mass plasma. And we did it. 2 So we were able to show 80, 77, and 90 3 percent recovery in our in-house developed 4 5 microplate assay. We were also able to show similar levels of success in a comparison analysis 6 7 using common hemostasis drugs, such as Afstyla and Altuvio. 8 9 We did a comparison of the ACL TOP, 10 which is a machine often used in clinical 11 laboratories for assessing APTT. We did that compared to our in-house 12 13 microplate assay. And our results were promising. 14 In short, our sensitivity was as low as 0.00015, 15 and our assay took less than 10 minutes. 16 Check out my poster at number 44 for 17 more questions. Thank you for your time. DR. LAGASSE: Please welcome Dr. 18 Pacheco- Fernandez to the stage. 19 20 DR. PACHECO-FERNANDEZ: Hi, everyone. 21 My name is Thalia Pacheco, and I'm going to be talking about how we have been identifying 22

1 Leishmania parasites in the bone marrow at the 2 Nacasi (phonetic) lab. 3 So, Leishmania is a blood-borne pathogen that is very recently became endemic to the U.S. 4 5 So that particularly, the contagious manifestation of the disease is what is being prevalent in the 6 7 U.S. This manifestation causes skin lesions 8 9 and ulcers. So, this obviously increases the risk 10 of transfusion, of transmission via transfusion, 11 via vector transmission and also via organ transplantation. 12 13 So there's a need for new methods of 14 detection. The thing is that current methods of detection have very low sensitivity for latent 15 16 infections. 17 So what we did was to use a combination of computational and molecular biology methods to 18 detect these parasites in mouse model of cutaneal 19 20 Leishmaniasis. 21 So we found two main findings in our 22 studies. The first one is that the cutaneous

parasites are capable to reach all the way to the
 bone marrow in very low numbers, which was
 previously not identified because of these low
 numbers.

5 These parasites particularly reach to the stem cells and the monocytes. Now, also 6 7 you're seeing single cell RNA sequencing and flow 8 cytometry. We found that the main changes driven 9 by these low numbers of parasites in the bone marrow are modifying the myelopoiesis, which has 10 11 raised a calibrated differentiation to monocytes and neutrophils, and also (inaudible). 12

13 So, we tested these results in two 14 models, one which is the top one. Oh, not that 15 one. Yes, the top one is in a viral infection of 16 Leishmania, and the second one is in a vaccine 17 model using a life attenuated vaccine.

18 So overall, these results show two 19 things. One is that this method is good to prove 20 the safety of the blood supply, and the second one 21 is that we can also evaluate immunogenicity of 22 possible vaccines.

1 So if you want to know more, please stop 2 by Poster 18. Thank you. 3 DR. LAGASSE: Please welcome our next speaker, Dr. Pilewski, to the stage. 4 5 DR. PILEWSKI: Okay, hello, my name is Kelsey Pilewski, and I am part of the 6 7 gastrointestinal viruses unit, also known as the 8 PAR Lab. 9 Today, I'm going to tell you a little 10 bit about my research, looking at the humoral 11 antibody response to human norovirus. Norovirus is the leading cause of non-12 bacterial acute gastroenteritis in all age groups. 13 14 It's also extraordinarily diverse, with greater than 30 different genotypes that are capable of 15 16 infecting humans, the frequency of which is shown 17 on the righthand of the screen. Due to this diversity, along with the 18 fact that we do not have a traditional subculture 19 20 model or small animal model system, has really led 21 to the delay in the development of effective 22 vaccines against norovirus or specific

1 therapeutics.

2 Due to this, understanding how to elicit long-lasting, broadly protective immunity against 3 norovirus really remains critical. 4 5 In my study, I am looking at the humoral immune response following controlled human 6 7 challenge with a G22 norovirus. 8 I found the following challenge, all individuals elicited a robust antibody response to 9 10 the challenge agent, with IGG antibody titers 11 peaking at 30. Interestingly, all individuals also had 12 13 diverse antibody titers to diversity types such as 14 G24, G26, and G217, shown here. 15 Interestingly, although all individuals 16 had cross reactives, binding antibodies to the 17 different genotypes, we found that norovirus G22 was the only one or the challenge with G22 18 norovirus really only induced neutralizing titers 19 20 to itself, and we really didn't see any increase in functional or neutralization titers to the 21 22 heterologous genotype.

1 Finally, using a novel competitive neutralization assay that we recently developed, I 2 looked at the contribution of specific sites to 3 4 the observed neutralization phenotype over time. 5 And what I found was that both conserved and highly variable immunodominant sites are both 6 7 important for these broadly neutralizing 8 activities, and that this activity really changes over time. 9 10 And so if you'd like to hear more, I 11 look forward to seeing you at Poster 20. DR. LAGASSE: Our next speaker is Dr. 12 13 Rajasagi. 14 DR. RAJASAGI: Okay, move forward. 15 Okay. Before we start, I would like to thank the 16 audience for giving me this opportunity. 17 I'm Naveen. I work in the lab with Dr. Meyenmajor in Seaport (phonetic). We work with 18 19 hepatitis C virus. 20 Hepatitis C virus is a post standard 21 interloped virus. The low proteins E1 and E2, 22 they are responsible for the impurity virus. It's

1 officially the hepatocytes, the natural proteins. 2 They help the virus be (inaudible). 3 The hep C is a blood-borne virus. It's a major problem in people who share needles. It's 4 5 a major infectious cause of liver cirrhosis and also liver cancers. 6 7 There are antiviral treatments that are 8 available, but these don't prevent reinfections. 9 They cannot protect against infections. Unfortunately, there's no effective 10 11 vaccine against hepatitis C virus to prevent infections. So our group is interested in 12 13 developing different vaccine approaches to tackle 14 or come up with a vaccine kinds for HCV. 15 We mainly, for this study, we mainly 16 focused on developing these vaccine approaches, 17 mainly target humoral immune responses. This is based on the evidence with 18 support of the important role which neutralizing 19 20 antibodies play against HCV. 21 And coming to the extensive design, we 22 used mice (inaudible) non-replicating antivirus

1 (inaudible) or the common 14, which is formulated 2 with the adjuvant F43 or the E2 formulated with 3 the CPG alum. So (inaudible), what we chose to do is 4 5 because it's a main target for the neutralizing antibodies, so after planting the bunch of the 6 7 mice at day 21, and we sacrifice these mice at 8 different timepoints post-boost. 9 And we cultured the spleen, lymph nodes, 10 and also we cultured the serum for the analysis. 11 So, examined the effectiveness of the (inaudible) we used. We looked at what the similar response 12 but also the humoral response. 13 14 We looked at the CD response we did (inaudible) assay. Here, we can see, on the X 15 16 axis, these are the vaccine groups and the 17 proportional (inaudible). What we found was like the group use of 18 19 it is stronger (inaudible) response. However, the 20 minimal response was observed in the mice which 21 eliminates with these recombinant proteins. 22 Next, we looked at the (inaudible) in

1 general, the recent responses. These are 2 collected up in the lymph nodes. So these responses are very important because the injection 3 of the T-cells (inaudible) is important for the 4 5 formation of high-guality, high-efficacy antibodies, and also for the generation of long-6 7 lived antibody producing memory cells. 8 We found that bodies, all the groups 9 were able to produce (inaudible) recent responses 10 are very (inaudible) reproduced in the vectors and 11 also in the E2 adeno vector groups. Coming to the last slide, the most 12 important is the humoral responses. We have good 13 14 titers in all the three groups. They had high levels of antibody titers in the mice (inaudible) 15 16 with the recombinant proteins. 17 And the good thing is, the antibody titers did not go down post-boost, which is 18 actually a good point when we are looking at the 19 20 long-term for potential vaccines. 21 Coming to the notation capacity, the CM 22 from the mice which had immunity, which was E2,

also had the E2CPG alum, they exhibited a higher 1 2 neutralizing capacity than the adeno vector mice. 3 So what I confirmed is although the 4 outside group gives produced a good (inaudible) 5 response, the common 14 in use is better quality antibody response than the adeno vector group. 6 7 This I would like to end. Thank you for 8 listening. 9 DR. ATREYA: This closes the flash talk session, and then we have 12:30 p.m. We have the 10 posters. You guys can have your lunch. And the 11 even number posters are going to be shown today. 12 13 They are on different topics, advances in 14 computational science, methods in biomarker 15 discovery, imaging and reimaging decisions, and 16 immunoresponse to vaccinations. 17 Thank you all for attending this morning's session. 18 19 DR. ELKINS: And speakers and speaker 20 lunch guests, you know who you are, you have boxed 21 lunches with your name on it at the kiosk in the 22 lobby. And we have a dedicated lunchroom since

1 outside is not so good, at the end of the hallway 2 behind the kiosk. 3 We will reconvene promptly at 2:00. 4 (RECESS) 5 DR. KURTZ: So, if everybody could take their seats, we're hoping to get started on time 6 7 here, because one of our speakers needs to catch a 8 flight. 9 So, Dr. Golding is going to introduce our first two extramural speakers, and we'll 10 11 hopefully get the session started. Thank you. DR. GOLDING: Welcome everyone to the 12 last session of the wonderful symposium. And I 13 14 would like to start by introducing Dr. David 15 Montefiori, who is a professor and Director of the 16 Laboratory for HIV and COVID-19 Vaccines Research 17 and Development in the Department of Surgery, Division of Surgical Sciences at Duke University 18 19 Medical Center. 20 His major research interests are viral 21 immunology and HIV and COVID vaccine development with special emphasis on neutralizing antibodies.

1 In addition, Dr. Montefiori is also 2 direct a large vaccine immune monitoring program supported by the Bill and Melinda Gates 3 Foundation, and has served as national and 4 international resource for standardized assessment 5 of neutralizing antibody responses in pre-clinical 6 7 and clinical trials of candidate HIV vaccines since 1988. Our hats off to him. 8 9 At the onset of the COVID-19 pandemic, he turned his attention to SARS CoV-2, which a 10 11 special interest in emerging variants and how they might impact transmission, vaccine, and 12 13 immunotherapeutics. 14 His rapid response to emerging SARS CoV-2 variants of concern provided some of the 15 16 earliest evidence of the potential risks variants 17 posed to vaccines. In May 2020, his laboratory was 18 recruited by the U.S. government to lead the 19 20 national neutralizing antibody laboratory program 21 for COVID-19 vaccines. 22 Today, he is going to share with us his

1 experience in developing neutralizing, validated neutralizing assays against both COVID-19 and the 2 3 HIV. 4 And he's changed his title, so I am going to let him take the lead. 5 DR. MONTEFIORI: Thank you, Hana, for 6 7 that wonderful introduction, and I'd like to thank 8 the organizers for inviting me here today. 9 All right, so, the title of my talk 10 today is Assessing Vaccine Elicited Neutralizing 11 Antibodies Against SARS CoV-2 and HIV. And I'd like to start out with a brief 12 13 introduction into my program. As Hana mentioned, 14 since 1988, I've been an essential laboratory for neutralization assays for the HIV vaccine trials 15 16 network back when it was called the AIDS Vaccine 17 Evaluation Group, AVEG. And then for the Dades pre-clinical 18 program, we've been the central laboratory for all 19 20 of the non-human primate studies and a lot of 21 studies in smaller animals since 1993, and that's 22 still going.

1 In 2006, we became a central lab for the Gates CAVD and for the Duke CHAVD, and both of 2 those are still going, and then more recently for 3 the Duke CFAR. 4 And then in 2020, we got recruited, as 5 Hana said, to get involved in the COVID-19. So 6 7 I've been part of the COVEPN, which is just 8 another name for the HVTN, and then the U.S. 9 Government's COVID-19 vaccine program and the 10 Moderna COVID-19 vaccine program. 11 So, a lot of what we do focuses on immune monitoring. And we've been a GCLP 12 13 compliant laboratory for over 20 years. 14 We also have a basic research program, though, that benefits from all of the samples that 15 16 we get from the pre-clinical and clinical studies, 17 and we do a lot of research with those samples and other samples that benefits our immune monitoring. 18 We are very focused on how to improve 19 20 the way we assess neutralizing antibodies. So we 21 look at the antigenic diversity of the viruses. 22 We develop reference reagents. We study

1 mechanisms of neutralization in the scape. We 2 develop reagents to map neutralizing epitopes. 3 The data is useful for interpreting structural studies. We've been involved in 4 5 identifying and characterizing broadly neutralizing monoclonal antibodies for HIV, and 6 7 we're very interested in immune correlates. 8 And all of this feeds into being able to 9 design new immunogens, to perform pre-clinical and clinical studies, how to design those pre-clinical 10 and clinical studies, identifying lead products to 11 move through the various phases of clinical 12 13 testing. 14 And then our experience with COVID and the Moderna program, actually being involved in 15 16 regulatory approvals and my first direct 17 interactions with the FDA. So again, my lab was recruited in May of 18 2020 when Operation Warp Speed was formed. That 19 20 was later, became the Countermeasures Acceleration 21 Group under the Biden Administration. 22 And the government was investing

1 billions of dollars in a number of companies to develop their vaccines and take some of the 2 3 financial risk out of it. 4 And in return, they wanted the assays to 5 be done, the binding and neutralizing antibody assays were the highest priority, in laboratories 6 7 that they designated. 8 And so they started a program to have 9 the laboratory program, and eventually it became a 10 lot of CROs doing the assays. But I was asked to 11 help with the neutralizing antibody program, and to develop a pseudovirus neutralization assay, 12 13 since I had a lot of experience with that for a 14 number of years for HIV. 15 So one of the first things I did, a lot 16 of people had neutralizing antibody assays very 17 early in 2020. A lot of people had neutralizing antibody assays for SARS CoV-2, live virus assays, 18 pseudovirus assays. 19 20 And I wanted to understand how they 21 compared to one another. And so we initiated this

22 program with Tom Denney and we called it SNACS,

the SARS CoV-2 Neutralizing Antibody Concordance
 Survey.

3 Our primary objective was to gain an 4 understanding of the variability of the results in 5 the different assays, which in turn provides 6 insights into the comparability of published data 7 sets that were starting to come out at the time, 8 and the design of future standardization efforts, 9 which I was very much involved in.

10 And also, because these assays were in 11 the early stages of development for the most part, and the limited number of samples, I urged caution 12 13 when drawing general conclusions about the 14 potential superiority of one assay over another. 15 And we had a total of 54 assay results 16 submitted from 46 sites. There were 20 live virus 17 assays and 34 pseudo virus assays, 23 of which were lentivirus based and 11 were VSV based. 18 Those assays were quite diverse. 19 20 We did take a survey of the experience 21 that the laboratories had, whether or not they

22 were GCLP or GLP compliant. A number of spike

1 proteins, they had a lot of different names, but they all boiled down to either the ancestral or 2 3 the D614G variant. A number of different cell lines are 4 5 being used. Spike characteristics varied. Some people were deleting the cytoplasmic tail for 6 7 increased expression. Other people weren't. Some 8 people were codon optimizing. Others weren't. So 9 we had a mixed bag there. 10 And then a lot of different assay 11 readouts. So considerable diversity in all of the assays and how they were being performed. 12 13 The samples that we had available to 14 share with all of these laboratories, there were samples comprised of high, medium, and low titers. 15 Some were in triplicate to look at 16 17 repeatability. We had four negative samples to look at specificity. So 21 samples total that all 18 of these laboratories ran in their assay. 19 20 And I'm only going to show this one data 21 set because I think it was the most revealing data

22 set. So this is a range of titers that all of the

1 laboratories obtained in their assays.

2 The live virus assays are in red. The lentivirus based pseudovirus assays are in green. 3 And the VSV based pseudovirus assays are in blue. 4 5 And the numbers along the X axis are all of the different laboratories blinded. And you 6 7 can see that it's a mixed bag all throughout. You 8 don't have a clustering really of one type of 9 assay at one end versus the other. 10 There is a little bit of a clustering of 11 the lentivirus based pseudovirus assays in green on the far right, but you also see them spread all 12 13 the way out and one of them on the far left. 14 The live virus assays in red, you see one of them was the least sensitive on the far 15 16 left and another one was the most sensitive on the 17 far right. So there was really no clear association between the assay type and the 18 sensitivity that they have. 19

20 And that was a big question at the time.
21 There was a feeling that live virus assays, which
22 were also called authentic virus assays, and

whether or not they were going to be a more
 reliable readout than pseudovirus assays.
 And so that's some general conclusions.
 We saw about a two log difference in ID50 titers
 and a one log difference in ID80 titers across all
 of these assays.
 There was greater precision for ID80

8 than ID50. We see the same thing for HIV. And 9 there was greater concordance among pseudovirus 10 assays than among live virus assays.

11 So we went ahead and adopted an assay 12 that was actually developed at the VRC by Barney 13 Graham and Kizzi Corbett. We used these targets, 14 293HT cells that we got from Mike Farzam and Hui 15 Hui Mu. Very generous, both of these groups in 16 sharing the reagents and allowing us to work with 17 them.

18 I'm showing a schematic here of this 19 particular assay. And we optimized, qualified, 20 and validated this, and all of this was vetted by 21 the FDA. So it's a 96 well-played assay. We run 22 four samples on a plate, eight delusions in duplicate, and we have a positive plate control
 for every plate.

It's luminescence based. We generate 3 those response curves and then the results are 4 5 QC'ed. We have acceptance criteria for the assay. We have, in addition to the plate control, we have 6 7 high, medium, and low run controls and a negative 8 control, and a number of other parameters that we 9 look at in terms of the QC'ing all of the data and 10 the acceptability of it.

11 This is just the workflow for generating 12 all of the documents that we sent to the FDA. It 13 was quite an extensive process and we have over 50 14 documents in our master file now.

15 And this is just quickly an outline of 16 the GCLP compliance sample and data flow for all 17 of the work that we've done and continue to do for 18 the Moderna program.

A lot of work went into this. 2020 was a very busy year for us, and part of 2021. We have validated and revalidated this assay for eight variants, most recently JN1 and KP2.

1 And the validation for the D614G variant, which was the variant circulating during 2 the time that the Moderna and Pfizer phase three 3 trials were being conducted, there was a real rush 4 5 to get the assay validated in time to do a correlates analysis in these efficacy trials. And 6 7 I was involved in the Moderna efficacy trial and 8 doing the neutralization assays for the correlate 9 study. 10 And we got those assays done in early 11 2021, and I was very relieved to find that our assay did correlate with efficacy and it's shown 12 13 down below there in the red box. Pretty 14 significant correlation for both ID50 and ID80. A 15 little better correlation even then for the spike 16 in RBD specific binding antibodies. 17 So this is really nice. Very comforting. And of course, these findings 18 strengthen immunobridging for regulatory 19 20 approvals. 21 For example, I'm showing non-inferiority of the neutralizing antibody responses in children 22

1 less than 18 years of age.

2 This is a list of all of the SARS CoV-2 variants that we have in the lab. In the red box 3 below there, that's JN1 and all of the subsequent 4 5 ones showing the variability in spike, and we focus a lot on the variability in the RBD. 6 7 This is a slide that I look at a lot, 8 stare at a lot, and think about a lot, and keep 9 adding the next variants to. And we continue to 10 do this. 11 I'll show you an example now of some of the neutralizing antibody data that we've 12 13 generated to continually look at new variants and 14 the extent to which they are evading neutralizing 15 antibodies. 16 Right now, the sample set, the serum 17 sample set that we've mostly focused on are from people who have been fully immunized with the 18 Moderna vaccine. So they got the first two doses 19 20 of the ancestral spike at 100 microgram dose, and 21 then they got the third dose at 50 microgram, and 22 then they were boosted with the bivalent Wuhan

1 BA5, and then about eight months later, they were 2 boosted with XBB1.5. And we're using serum 3 samples from the day of boost and then four weeks 4 after the boost to look at neutralization of 5 sequential variants.

6 And so these are the results on the top 7 for people that, as far as we know, were not 8 infected previously, in the middle for people we 9 know were previously infected, and then the 10 combined group on the bottom.

11 On the left is before the boost, so this 12 is basically eight month durability data, and then 13 on the right is four weeks after the boost. And 14 it's again, you can see over time, so as you move 15 from left to right, the variants are becoming less 16 and less susceptible to neutralization.

After XBB1.5 boosting, the titers
against XBB1.5 range from 1500 in the non-infected
group to 3600 in the previously infected people,
2755 average.

21 To me, that's a pretty good titer. In 22 the initial phase three efficacy study, after two

1 doses the geometric mean titer to D614G was about 2 2,000. There was over 90 percent efficacy there. So I'm always looking, personally, at least, for 3 titers that -- a geometric mean titer of around 4 5 2,000. We have a ways to go there now for JN1 and its offspring KP2 and KP3 and KP3.1.1, which is a 6 7 predominant variant at this time. 8 We also got involved in calibrating. I 9 know calibrating is important for the FDA. And we 10 embarked on this because we were doing 11 neutralization assays for the Moderna program, and Monogram Biosciences had the honor of doing the 12 13 neutralization assays for all of the other 14 programs. 15 But we were getting different titers in 16 our assay. Both of these assays were validated 17 and vetted by the FDA. And so, our objectives here for calibration were immune correlates, 18 19 understanding that licensure for ages less than 18 20 years and immunobridging regulatory approvals, and 21 then making decisions about when to boost and what 22 to boost with moving forward.

1 And we were concerned about the four-fold difference in titers that we were 2 getting. And so we did calibration, and with the 3 help of Yunda Wong and Peter Gilbert and others as 4 5 statisticians, we decided we needed 248 convalescent serum samples. 6 7 These were all from early in the 8 pandemic when D614G was dominant. And another set 9 of samples were similar to the ones I described 10 from Moderna, but these people only received the 11 initial Wuhan spike vaccine. And then we looked at the WHO 12 13 international standard that was available at the 14 time. And there were three calibration 15 approaches. 16 And so these are the results on the 17 left. The titers with the 248 convalescent serum samples, you can see Monogram's titers in red are 18 about four times higher than ours. And that was 19 20 true for the vaccine sera and the WHO 21 international standard. 22 And so the statisticians used three

1 different approaches to calibrate. One was based 2 on the international standard. Another one was -the other two were based on the convalescent sera 3 using a bivariant normal distribution model or a 4 5 linear regression model. All of them performed pretty well. 6 But 7 approach number two with the concordance correlation coefficient of.87 was the best. But 8 9 it showed that you can calibrate these two assays. And more recently, we did calibration 10 11 again with Monogram for several additional variants. And you can see non-calibrated titers 12 13 on the top. Their titers were again higher than 14 ours for pre-Omicron but not post-Omicron 15 variants. And with calibration, everything comes 16 in line very nicely. 17 So now, I'll switch to HIV. Very different situation with HIV. It has a somewhat 18 smaller spike. It has at least a half a dozen 19 20 broadly neutralizing epitopes that are susceptible 21 and are major targets for vaccines.

22 We know a lot about those epitopes and

1 we know a lot about the antibody lineages that 2 give rise to broadly neutralizing antibodies. 3 The early days of HIV neutralizing antibody assays, there was a lot of inconsistency 4 5 and confusion. And I was there right from the very beginning of this. And I remember Marjory 6 7 Robert Guroff's first demonstration of the 8 antibody mediated neutralization she published in Nature in 1985. 9 And then after that, multiple cell types 10 11 were used to propagate the virus and use these targets for neutralization. Numerous human 12 13 lymphoblastoid cell lines and peripheral blood 14 mononuclear cells, a lot of ways of reading out 15 neutralization. But then the pseudovirus technology came 16 17 along in about 2003. John Cappas and George Shaw first applied this to neutralization and Monogram 18 had a similar pseudovirus assay at about the same 19 20 time. 21 And this really changed things. It was 22 an assay that was reproducible. It was

1 validatable. And the use of pseudoviruses really 2 strengthened our ability to understand what we were measuring, even at the genetic level. 3 We optimized, qualified, and validated 4 5 the assay that John and George developed. We successfully transferred this assay to at least 54 6 7 laboratories around the world. It's been the gold standard in the field since 2005. 8 9 But our validation, qualification and validation of this assay has not yet been vetted 10 11 by the FDA, and I suspect that we have some work to do to meet their standards. 12 13 We did develop an international 14 proficiency testing program that was implemented 15 in 2009. We went through several rounds of 16 optimization. And then based on the results from 17 the third round, and this is laboratories from the U.S. as well as international laboratories were 18 involved in all of those rounds, after the third 19 20 round, we developed a statistical qualification rule. We manufactured kits. And then those kits 21 22 went out to a number of reference laboratories

1 that were highly experienced to get our acceptable 2 ranges.

3 And this, again, has been used by multiple laboratories for quite some time now to 4 5 facilitate their GCLP compliance. And all of our methods, all of our detailed protocols for all of 6 7 this, have been openly available on the websites 8 since the very early days, so that anyone can see 9 how we're doing things and hopefully do things the 10 same way to improve standardization.

11 So we have this TZMBL assay for HIV 12 that's been the gold standard for many years, but 13 what I was always concerned about is, is it the 14 right assay?

So would the results in this assay
correlate with protection against HIV acquisition
in humans? And we finally got to test that with
the antibody mediate prevention trial.

19 This was an efficacy trial that tested a 20 monoclonal antibody to the CD4 binding site of JP1 21 -- of the HIV spike. The monoclonal antibody is 22 called VRC01. There were two trials. They were

1 conducted the same but in different cohorts, one 2 in North and South America and the other one in 3 Southern Africa. These people received the IV infusion, 4 5 10 IV infusions of VRC01. Every two months, they would receive an infusion for a total of 10 6 7 infusions. 8 And what we were most interested in in this trial was to look at whether or not there 9 would be a neutralization sieve effect. With 10 11 viruses that infected people who got the treatment be more resistant to VRC01 than people who got 12 13 infected in the placebo group? And so there were two laboratories that 14 sequenced the transmitted founder lineage 15 16 envelopes in breakthrough cases. 17 Then we had pseudo viruses made from those, and we would test those pseudo viruses for 18 neutralization by VRC01. 19 20 This is the program that we put 21 together. So again, the two trials, one in 22 sub-Saharan Africa, one in the Americas. For

sub-Saharan Africa, Carolyn Williamson's lab did the envelope sequencing and the founder variants selection for the HV10704. Jim Mullins's lab did the sequencing and the founder selection. Those sequences went to Gene Whiz, the contractor. They synthesized the genes and put it into our expression plasmid.

8 And then for 703, those plasmids were 9 sent to Lynn. For 704, they were sent to my lab. 10 We resequenced the envelope chains in those 11 plasmids and made sure that they matched the sequence that Carolyn and Jim got. And then we 12 13 produced the pseudo viruses, titrate the pseudo 14 viruses, and did neutralization assays with VRC01 15 in autologous serum samples. And all of those 16 data went to the statisticians at Sharp for 17 analysis.

18 While we were in the process of doing 19 this, Lynn and I exchanged plasmids, regrew the 20 pseudo viruses in our lab, and did the assays with 21 VRC01 to test equivalency across the two labs. 22 We were highly concordant equivalent,

1 really, in those tests, and that effort was 2 overseen by the director of our quality assurance unit, Marciello Sarzetti Kelso. And John Herall 3 was the overall program manager for this. 4 5 This is a really well thought out, very robust program to generate reliable results. And 6 7 in fact, even though there was no overall efficacy 8 in the trial, when you looked at efficacy just in 9 the group of people who got infected with viruses that were the most sensitive to VRC01 that had an 10 11 IC80 of less than 1 microgram per mil, this is about 30 percent of the breakthrough cases, there 12 13 was about 75 percent efficacy against those 14 viruses. So now we finally had the first evidence 15 in people that the TZMBL assay was a correlate of 16 17 protection against the acquisition of HIV

18 infection, mediated by a neutralizing antibody.

And those results were further extended and analyzed by the statisticians to come up with what they call a PT 80, predicted titer 80 of 200. This is a titer of neutralization that is required

1 to prevent transmission of the virus, the acquisition. If you have a titer of 200 against a 2 virus you're exposed to, this predicts that that 3 titer would protect you. It's a very high titer 4 5 to achieve. It's an ID 50 titer of about 800-1000. Somewhat daunting. 6 7 But there are some other monoclonal 8 antibody combinations, a triple combination, in 9 fact, that is expected to do much better than 10 VRC01 that's shown on the right there in the 11 purple curves on the top compared to the efficacy 12 of VRC01. 13 Those are moving forward into efficacy 14 trials that may be beginning in the next couple of 15 years. 16 I'm showing the three monoclonal 17 antibodies there at the top, the CD4 binding site of V3 glycan and V2 apex antibody. 18 19 And these results will, if we get the efficacy that we're predicting based on PT 80 that 20 21 is guiding the design of this trial in terms of the antibodies being used and the dose and 22

schedule, that would validate the VRC1 amp results 1 and the PT 80 threshold and applicability of that 2 3 to being against other epitopes. We're hoping that the efficacy will be 4 5 good enough to lead to product approval for another long acting prep option. 6 7 And of course, we'll be generating 8 comparative data sets for next generation 9 products, and for immunobridging to permit 10 expanded access. And there will be next 11 generation BNABs that will be tested in clinical studies for safety and tolerability, 12 13 pharmacokinetics, ADA induction. 14 But here, the thought is immunobridging will replace efficacy studies because it will 15 16 probably no longer be possible to conduct efficacy 17 studies. And both of these, as you noticed, being from the FDA, are likely to require a high 18 regulatory bar. 19 20 Now, HIV, as most of you know, is highly 21 genetically and antigenically diverse virus. When you look at it genetically at the nucleotide 22

1 level, it can be broken down into multiple genetic subtypes and circulating recombinant forms. 2 3 And they have different geographic distributions. So in North and South America and 4 5 Europe, it's mostly claim B. Southern Africa and in India, it's primarily claim C. 6 7 Southern Africa carries the heaviest 8 burden of HIV infections in the world, so claim C 9 is of major importance for vaccines. 10 And it's important to have reference 11 strains that represent all of this diversity to understand where they are claim specific 12 13 neutralization phenotypes and for the evaluation 14 of candidate vaccines and candidate broadly neutralizing monoclonal antibodies in combinations 15 16 for prevention. 17 This is now looking at the diversity of SARS CoV-2. Right now, shown in the box at the 18 19 bottom, are the most recent JN1 lineages. And 20 looking at the amino acid diversity in spike, the 21 total diversity that we've seen in SARS CoV-2 in 22 the beginning is about.6 percent of the amino acid 1 sequences.

2 This is what HIV looks like now. This
3 isn't the total evolution from the beginning.
4 This is what it looks like now. And it continues
5 to get worse.

6 So if you compare any two variants of 7 HIV within the same subtype, they could be up to 8 20 percent different in their amino acid sequence 9 between claims or subtypes. They can be up to 35 10 percent difference. The total opposite end of the 11 spectrum.

We, a number of years ago, tried to 12 13 understand some of this diversity. And there is also a need for standardized reference strains in 14 15 the field. And so, through our Gates consortium 16 in 2008 and 2013, we started what we called our 17 CAVD Standard Virus Panel Consortium and a neutralization sera type discovery program. 18 19 Again, this is a five year program, 20 about \$10 million. We had a number of specimen 21 acquisition laboratories around the world. We had 22 half a dozen cloning and sequencing labs to get

1 the envelopes cloned from plasma samples that we
2 were acquiring.

We had three laboratories doing neutralization assays in two very good groups doing computational analyses of the data. We created more than 500 non-pseudo type viruses of all major genetic subtypes and geographic diversity.

9 We used the subset of those for all of 10 the neutralization assays and came up with 11 reference strains that are still in use today. 12 There are large panels and then there are subset 13 panels.

14 So this is just a heat map of the neutralization data from over 200 serum samples 15 16 assayed against over 200 isolates, 45,000 assays. 17 And I asked Allen de Camp, a computational biologist and statistician, to tell me how many 18 and which of these strains would we need to use as 19 reference strains where the results represent the 20 21 overall diversity in this heat map.

22 And he used the method called LASSO, and

that showed that you only needed nine viruses to do that. The right viruses. You see in gray up there in the top right the spectrum of representation, and the solid line is the best selection of strains, and nine of them got us an R squared value of.952. We really didn't need any more than that.

So those are reference strains that have 8 9 been used for quite a few years now in the field 10 and have been very useful. And we did the same 11 thing for claim C since that is such a major subtype of major importance. It was a little 12 13 smaller in terms of the number of serum samples 14 that we assayed, but we did have 200 Southern 15 African claim C viruses. 16 These were all transmitted founder 17 viruses. And the same type of analyses, and came up with a panel of 12 viruses just for subtype C. 18

And we share these with everybody in the field. They're available through reagent repositories. And the whole purpose of this is for standardization.

1 Now, we also have the breakthrough viruses from the amp trials, and we've been 2 working with those. A number of people have. 3 We've shared these with other people who are 4 5 interested in them. A lot of work is being done with them. 6 7 And we're learning that these viruses 8 are more recent in the pandemic compared to our older referenced viruses, and we're seeing 9 10 evidence of drift. 11 So for example, the subtype C viruses have greater resistance to VRC07523 and there's 12 13 greater resistance to PG2121 and PGDM1400 in 14 subtype B. So this is telling us that there's 15 some need for us to update our reference panels as 16 well. 17 This drift isn't happening fast, it's happening slowly over decades, but it is 18 occurring. And now these viruses from the amp 19 20 placebo group are new reference strains that are 21 available for people to use. But we are still in

22 need of many other subtypes and for viruses from

1 breastmilk transmission.

2 Ultimately, when an HIV vaccine starts generating the types of neutralizing antibodies we 3 want to see that cross neutralize primary 4 5 isolates, our goal is to be able to measure the magnitude and breadth of neutralization and be 6 7 able to compare immunogens and see which ones are 8 doing better to continue to advance. 9 We don't have a vaccine right now that 10 generates antibodies that neutralize heterologous, 11 what we call heterologous tier two viruses to any great extent, but we do have statistical methods 12 13 to actually analyze those types of data. 14 So shown on the left and the right, really, the X axis is the titer of neutralization 15 16 and the Y axis is the number of viruses that are 17 neutralized at that titer. Again, this is a type of magnitude 18 breadth plot. It's something that the 19 20 statisticians can analyze to look for statistical 21 differences. 22 On the right is where we applied this to

1 the very early efficacy trial of the GP120 2 immunogen where there was no efficacy. 3 But we did detect some weak heterologous tier two neutralizing activity that was 4 5 statistically significant compared to the lowlevel non-specific activity in the placebo group. 6 7 But again, this was too weak of a response to 8 amount of any efficacy. So we're looking for much 9 greater differences with future vaccines. 10 These magnitude breadth plots have also 11 been used to identify best in class broadly neutralizing antibodies for passive administration 12 13 as prepped and to identify the best combinations 14 of those best in class. And what I'm showing here in red is the 15 magnitude breadth plot of VRC01 and then in purple 16 17 and green and maroon color are the three monoclonal antibodies I mentioned earlier that are 18 19 going in, are expected to be going into an 20 efficacy study. 21 Their magnitude and breadth

22 individually, and those ones in purple and green,

1 they look similar in terms of their curves, but 2 they're complimentary to one another.

What the green antibody neutralizes, or 3 doesn't neutralize oftentimes, the purple one 4 5 does, and vice versa. And so when you put those three antibodies together you get that black 6 7 curve. And you can see that that magnitude and 8 breadth is about 50 times better than VRC01, and 9 we're really counting on that translating into 10 being that much better in the field and in people 11 as well.

Another very important property of HIV, 12 13 you've heard me talking about tier two viruses, 14 heterologous tier two viruses. What is that? 15 When you phenotype a variant of HIV with 16 serum samples from chronically infected people and 17 look at how sensitive the variants are, you can actually break it down into four different tiers 18 19 of sensitivity.

20 You have viruses on the far right there 21 that are really sensitive to neutralization. We 22 call that Tier 1 A. And then you have the green

1 in the middle there, the majority of viruses. We 2 call that Tier 2. And then between Tier 1 A and Tier 2 is this intermediate phenotype we call Tier 3 2 B. And then on the left are the least sensitive 4 5 viruses that we call Tier 4. And so most of our focus is on those 6 7 viruses in the middle that are Tier 2 phenotype. 8 We stay away from Tier 1 viruses because they tend 9 to have an open confirmation where epitopes are 10 exposed that are not exposed on Tier 2 viruses, 11 which are the majority of circulating strength. And Monomer TP120 immunogens will 12 generate antibodies to these epitopes, these 13 14 cryptic epitopes, that aren't exposed on primary isolates. And those aren't good antibodies. We 15 16 need antibodies that will neutralize Tier 2 17 viruses. And we know that the trimer, the HIV 18 19 trimer, breathes. It's constantly opening and 20 closing. And on Tier 2 viruses, it's in a closed

22 viruses, it's in an open confirmation more of the

confirmation more of the time, whereas in Tier 1 A

21

1 time. And in the Tier 1 B virus, it's kind of somewhere in the middle. 2 And this helps to explain these 3 different neutralization tier phenotypes that we 4 5 see in HIV when assayed with serum samples from chronically people living with HIV. 6 7 So just to summarize, SARS CoV-2 is a 8 new human pathogen for which much is unknown about 9 its evolutionary trajectory as it relates to neutralizing antibodies. 10 11 I mentioned that we were required to revalidate the assay for eight variants, and we 12 anticipate having to continue to do that for 13 14 important variants in the future. And this extensive assay method 15 16 revalidation provides assurances of quality 17 results. But HIV is going to require a different path to method validation. 18 19 We have done a lot of validation of the 20 TZMBL neutralization assay. But because HIV is so 21 much more complex, it's going to require a 22 different path.

1 But much more is known about HIV 2 variability and antibody mediated neutralization. 3 And this information may provide a framework for 4 decision making. 5 I'll stop there, and there are a lot of people -- this is a short list of all the people 6 7 who are involved in this work and then the people 8 in my lab. Thank you. 9 DR. KURTZ: Thank you very much. Are there any questions, please? 10 11 MR. WANG: Beautiful work. This is Tony Wang from the Office of (inaudible). 12 I am 13 wondering whether you have any comments regarding 14 what kind of improvement you would like to see 15 with the SARS 2 neutralization assay in general. 16 DR. MONTEFIORI: What type of 17 improvement --MR. WANG: What type of improvement --18 19 DR. MONTEFIORI: -- in the assay? 20 MR. WANG: Right. 21 DR. MONTEFIORI: Oh, it's a wonderful 22 assay. And coming from someone with a long

1 history of very cumbersome, extensive, time consuming assays for HIV over the years, the 2 pseudo virus assay technology is wonderful, and 3 4 it's just really nice to see that it's working out 5 so well in terms of being a correlate. It was a correlate for SARS CoV-2. 6 The 7 pseudo virus technology is now correlate for HIV 8 as well. 9 Yes, I really -- yes, there's always 10 room for improvement, but again, from where we 11 came from, it's a really nice assay. MS. KATAGIRI: Effie Strugel, Office of 12 13 Plasma Protein Therapeutics. So, you talked a little bit about monoclonal antibodies and using 14 combination of those in clinical trials. 15 16 Now, we know that a lot of newer 17 monoclonals have modification in VFC to increase their half-life, and as you know, that also 18 affects the bad distribution and how it goes and 19 20 deposit. 21 So do you have any advice on how we can 22 improve our neutralizing assays to take into

1 account this property of these antibodies? 2 DR. MONTEFIORI: Yes, I mean, that's very -- those are very good points. And a good 3 question. The LS modification for improved 4 5 recycling of the antibody, prolonged half-life, doesn't really have an impact on the neutralizing 6 7 activity in the assay in terms of entering 8 inhibition, which is how these antibodies work. 9 But that said, the VRC01 amp trial, that VRC01 antibody, and those data that were used to 10 11 derive the PT80 that's being used to design the next efficacy trial, the VRC01 was a non-LS 12 13 antibody. It was not the LS version. 14 The three antibodies going into the combo amp trial are LS versions. And those 15 16 antibodies are likely to localize at the mucosa 17 rather than VRC01 did. So there's a possibility that they'll be 18 even more protective than VRC01 being at the site 19 20 of exposure. And that's not something we can 21 measure in our assay. 22 But we will from that trial get a

1 revised PT80 because if there is a positive impact of that localization of the antibodies at the 2 mucosa, the protective titer is likely to be 3 different than what we found in VRC01, and that 4 5 titer will be more useful moving forward. MS. KATAGIRI: Thank you. I hope you 6 7 have time for another question. So as you know 8 very well, we are now moving to new platforms of 9 vaccine development, especially messenger and lay vaccines, not just for Coronavirus and RSV but 10 11 also for HIV. And there's always the question, A, what 12 13 is the nature of the immunogen? What is the 14 nature of the immune response? And whether the 15 current neutralization assays are going to capture 16 all the antibodies that are generated by the new 17 platform. I assume this is some -- there are some 18 efforts to address this? 19 20 DR. MONTEFIORI: Yes, so, very good 21 question. A lot of the vaccine approaches for HIV 22 right now are focused on inducing broadly

1 neutralizing antibodies.

2 The pendulum has swung toward BNABs. And we now know that one of the problems of not 3 being able to induce BNABs in the past is that we 4 5 didn't have immunogens that would initiate the correct lineages of antibodies that give rise to 6 7 BNABs. 8 And now there are immunogens with 9 information that we've gained over the past ten 10 years or so, it's been possible to design 11 immunogens that will engage the germ line reverted 12 form of these BNABs. 13 So it triggers the naïve B cell receptor 14 on the right lineage of B cells, activate those cells, to begin making early pre-cursors of these 15 16 BNABs. 17 And then the goal is to design boosting immunogens that will continue to mature that 18 response, to drive the somatic hypermutation and 19 20 affinity maturation that's needed to become a fully mature BNAB. 21

22 But where the field is right now, is

1 they're initiating early pre-cursors. They 2 haven't figured out how to mature them yet. 3 And I wanted to be able to use the neutralization assay to monitor progress eliciting 4 5 early precursors of these antibodies. But the early precursors don't neutralize wild type HIV. 6 7 And what we had to do was figure out 8 ways to engineer our pseudo viruses so that they 9 would be susceptible to neutralization by these early precursors. 10 11 And we basically use the same strategies, design strategies, that people use to 12 13 engineer immunogens to have high affinity for the 14 germ line reverted antibodies. 15 We engineered those features into pseudo 16 viruses and made the pseudo viruses sensitive to 17 those germ line reverted antibodies. And so we are capable of monitoring for 18 certain BNAB lineages, particularly the CD4 19 20 binding site lineage BNABs. We're able to detect 21 those early precursors very early on. And with 22 intermediates of these engineering features that

we put into the pseudo viruses, we can also
 monitor progress in terms of maturing the response
 before the antibody is able to neutralize wild
 type viruses.

5 So, and that's something I didn't have time to describe today, but it's been very useful 6 7 in the field and primarily identifying interesting 8 people in clinical trials for other laboratories 9 to do their deeper interrogation for the B cells, 10 look at the molecular level, the sequence level, 11 of what those antibodies are, getting monoclonal antibodies out to confirm things. 12

13Our data have been fairly reliable so14far, pointing to the right candidates and15correlating with what they're finding. So that's16-- and we can generate these data quickly.17The B cell interrogations are more18costly and take a lot longer, and you can't do it

19 on everybody like we can.

20 DR. GOLDING: There are no questions 21 online? Well, in this case, I want to thank you 22 very much for very thoughtful and thorough talk,

1 and good luck catching your plane.

2 DR. MONTEFIORI: Thank you. Yes, unfortunately, I have to run. 3 DR. GOLDING: Now we have the pleasure 4 5 to have Dr. Sette who is going to shift field a little bit. Dr. Alessandro Sette has devoted more 6 7 than 35 years in biotech and academia to 8 understand and measuring mainly T-cell immune 9 responses and developing disease intervention 10 strategies against cancer, autoimmunity elegy, and 11 infectious diseases. Dr. Sette has overseen the design and 12 13 curation efforts of National Immune Epitope 14 Database, IEDB, a freely available, widely used 15 bioinformatic resource that I think a lot of 16 scientists really are appreciating. 17 Dr. Sette's lab uses knowledge of epitope to define the hallmark of the beneficial 18 immune response associated with effective vaccine 19 20 as opposed to immune responses that are 21 ineffective or that can actually cause harm. 22 Importantly, he founded Epimmune in

1 1997, where he serves both as the Vice President of Research and Chief Scientific Counsel until 2 2002 when he joined the La Jolla institute for 3 immunology, Head of the Division of Vaccine 4 5 Discovery and is also the Head of Center for Infection Disease at De La Jolla University. 6 7 And at this point, I would like to 8 invite Dr. Sette. The title of his talk is the 9 Study of Adaptive Immunity to Viruses of Pandemic 10 Concern. DR. SETTE: Okay, so first, yes, okay, 11 so, the current scenario with SARS CoV-2 as we all 12 know, has been a lot of people that have been 13 14 vaccinated and received multiple vaccinations and a lot of people have also experienced breakthrough 15 16 infections. 17 And so we've been curious about defining the interplay between vaccinations and infection 18 in adaptive responses to SARS CoV-2. 19 20 And going back to early years in 2020, 21 there was a lot of confusion and concern about 22 adaptive immune responses in SARS CoV-2.

1 There was polls that said that this was a Coronavirus, and the biweekly immunogenic would 2 be difficult to induce a good immune response 3 against SARS CoV-2. 4 5 The other end of the spectrum, people were saying that the overreactive immune response 6 7 was killing people in the acute phase of disease and infection. 8 9 And so we set out to measure immune 10 responses to SARS CoV-2, and we specifically 11 focused on initial status to people who have experienced a mild form of infection. 12 13 So we wanted to have a picture of what 14 was a success story, so to speak. 15 And also, in other studies, we focused 16 on what were predictors of such a mild infection versus a more severe infection. And there's a lot 17 of data that accumulated over early on over the 18 years, pointing out to an important role of T 19 20 cells in SARS CoV-2 immunity. 21 There's data we generated early in 2020, 22 but we demonstrated that the best predictor of

1 having a mild disease course was having early CD4 2 T-cell responses, by far. That is and has remained the best predictor of mild disease. 3 4 Also, early CD8 response correlate. 5 Antibodies do not correlate in terms of early predictors of mild outcomes. 6 7 And also, onset of protection in the 8 Moderna and Pfizer actually preceded the 9 appearance of neutralizing antibodies. 10 There was data from Bertoletti's group 11 in Singapore that showed that the early CD4 T-cell response was actually a predictor of lower viral 12 13 titers later on. 14 And finally, we showed, I don't know how you -- does that work to point? No. Can they see 15 16 it? 17 DR. KURTZ: No, sorry, only in person, not online. 18 19 DR. SETTE: Aha. Okay, so, forget it. 20 Anyway, what you see in the righthand side is 21 another study that was eventually published later 22 in the pandemic, but was done with the early

1 samples from Italy.

And as you recall, Italy was hit very hard early in the pandemic. And what we saw in a number of collaborations with Italian research centers is that a predictor of a mild disease was having a broad response, not only directly against spike, but also T-cell response against other antigens.

9 Based on this data, Shankrote and our 10 collaborators at the La Jolla Institute came out 11 with a model in which we posed the notion that 12 protection against infection of course is driven 13 mostly by neutralizing antibodies, as David has 14 shown us a minute ago.

15 This is what you want in a vaccine 16 preventative setting, to prevent infection. You 17 want neutralizing antibodies.

But in the context of protection against severe disease, really that is driven still by antibodies, but to a large extent by cellular immunity, both memory B cells but also importantly by CD4 and CD8 T-cells.

1 Now, as I was mentioning in my opening remarks, we are in a situation where there are two 2 3 important contributing factors to our immunity 4 wall. 5 By now, everybody has been vaccinated, or most people have been vaccinated multiple 6 7 times, and most people have also been infected and 8 reinfected multiple times. 9 And most of these factors contribute to develop and maintain an immunity wall against 10 11 severe disease. So we were interested in, as I was 12 13 mentioning, to understand this a little bit 14 further, and there's been conflicting reports 15 about the effect of breakthrough infections on T-16 cell responses. 17 We have seen in collaboration, for example, with a South African group and in other 18 studies in collaboration with John Wang's group, 19 20 an increase of T-cell responses associated with 21 breakthrough infections. 22 Other studies have shown very mild

1 increase. And other studies have shown actually a 2 decrease, which was potentially very worrisome. And unfortunately, I think some of his studies got 3 a lot of press in the catastrophic thing of we're 4 5 all going to die because the more you get breakthrough infection, the system gets exhausted 6 7 and apocalypse. 8 In our mind, it was important to see 9 that these studies are pointing to a decrease 10 where actually only looking at the RBD, which is a 11 small fraction of one of the proteins of SARS CoV-2. 12 13 So we thought it was important to really 14 get a global knowledge and analysis of what's really going on at the level of T-cell responses 15 16 in the context of breakthrough infection. 17 So we developed this assay early on to dissect the impact of infection and vaccination. 18 And this is conceptually a very simple assay, 19 20 which is based on measuring T-cell activity and 21 plotting this T-cell activity in a two-dimensional 22 space.

So on the Y axis, you have activity
 spike. And on the X axis, you have activity
 against everything but spike, the rest of the SARS
 CoV-2 proto.

5 And we validated this early on in the 6 pandemic when actually you could still readily 7 find people that are only vaccinated or infected 8 as opposed to now.

9 And so you see here, the blue people are 10 people who are vaccinated only. The yellow people 11 are people that are experienced both vaccination 12 and infection. The red people are people that 13 have only been infected. And the gray are people 14 that were neither infected nor vaccinated.

15 And so you see that the blue people live on the Y axis. So they only see spike because 16 17 they've been vaccinated and they've never seen the 18 rest of a genome, while the red people live on the diagonal because they've seen both spike and the 19 20 rest of the genome. And the yellow people have 21 seen spike twice, once when they were vaccinated 22 and the other time when they were infected. So

1 they also live on the diagonal. But they have a
2 higher content of spike, if you wish, in their
3 immune responses.

We more recently started a study where we looked at people that had experienced breakthrough infections, symptomatic breakthrough infections, and were part of several hundred people, longitudinal cohorts that we follow at La Jolla Institute over time get blood every once in a while.

11 So we had the pre- and post-symptomatic breakthrough infection samples. And so, when we 12 13 looked at the blood, in fact we saw that about 30 14 percent of the people that, according to them, 15 never had a positive SARS CoV-2 test, nor ever 16 experienced any symptoms, nevertheless they had 17 reactivity against the SARS CoV-2 non-spike rest of the genome. 18

So presumably, they have had an asymptomatic infection. We show that actually having these pre-symptomatic infections mattered in the sense that people that had this

1 asymptomatic infection actually responded better. 2 So much for exhaustion -- responded better in the context of the breakthrough infection, both in 3 terms of CD4 or antibody responses. 4 5 As I was saying, there was no sign that we could see of this being associated with the 6 7 breakthrough infection being associated with Tcell exhaustion. 8 9 In fact, the T-cell after breakthrough 10 infection seemed to have increased all the 11 functionality as defined by the capacity to secrete more than one cytokine. 12 13 And this to me was the most cool piece 14 of data out of the study in the sense that when we 15 look at the comparing the reactivity, the T-cell 16 reactivity, before and after the breakthrough 17 infection, as we and many others have shown, in reality, most of the T-cell response is not 18 impacted by limitations in the variants. 19 20 But we also saw both in the case of 21 Delta or Armitron, there were new epitopes 22 appearing.

So these T-cells actually recognized the 1 2 sequence of the variant, the half cause of the 3 breakthrough infection. There was no T-cell activity against that peptide, that mutated 4 5 peptide, before a breakthrough infection, but there was reactivity after infection. 6 7 So that means that your immune system 8 keeps up with viral evolution. So as SARS CoV-2 9 variants pick up more variants, your immune system 10 actually takes note and develops a matching piece 11 of activity, that matches this appearance of new mutated sequences, which I think is pretty cool. 12 13 So, and this data was published earlier 14 in the year. Major points, boost in magnitude. I did not show you this, but obviously, it goes --15 16 it's not unexpected that people that were 17 vaccinated and then had a breakthrough infection had another important maturation, if you wish, of 18 their immune response, because they started to now 19 20 recognize also non-spike antigens. 21 So they had a broader spectrum of

response, which is as you might remember from one

22

of my earliest slides, we showed with Italian samples that having a broad response is actually associated with milder disease after, in return and published.

5 So, going back to an issue of vaccination, there is a combination of keep on 6 7 getting boosted with SARS CoV-2, particularly with 8 now the updated vaccine, which is a good thing. 9 We were curious about some fairly basic questions of what happens when you boost people 10 11 multiple times. And again, is that a good thing? A bad thing? And what happens to, for example, 12 13 your magnitude, as you keep boosting? You get 14 every time same increase or eventually you get to a point where you plateau out. What happens to 15 16 the durability of both antibody and T-cell 17 responses? Do you increase the magnitude but then 18

10 your DK has a similar slope? Or does the slop 20 change as a functional repeated vaccination? 21 And while conceptually simple, those 22 questions are difficult to answer in the real

world because for example, it's very difficult to
 find someone that was vaccinated only once, never
 boosted, and never experienced a breakthrough
 infection.

5 We have a few of those that we 6 affectionately refer to as the unicorns in our 7 cohort. But it's becoming increasingly difficult 8 to get any statistical power where you can compare 9 apples to apples, this kind of thing.

10 And this was actually also made more 11 difficult by real life. So this is the study 12 design of this cohort. Several hundred people, as 13 I was saying, that had the first two vaccinations 14 and the third and the fourth.

And obviously, this was being done at the same time that Omicron and Delta variants were ravaging through.

And so, we selected about, I think it was people that had received different number of boosters, and again, never tested positive nor experienced any symptoms.

22 And as you can see here, if we run these

people that were never affected, according to 1 2 them, through the two-dimensional assay, we can 3 clearly see that about 30 percent or more, it 4 depends where you draw the line, had 5 experienced -- had been infected. They recognized non-spike antigens. 6 7 And so, these are people that presumably 8 have experienced an asymptomatic infection, 9 because these are all people that when asked, 10 through the main blog, they were asked whether 11 they had any respiratory episodes. So how does it look in terms of the 12 13 response? This is the antibody response. It's 14 actually, I think it's a cool graph. 15 You can see, I can't point, but you can 16 see, again, vaccinated, it goes up and then goes 17 down. Then the booster goes up and goes down. Third injection, up and down. 18 But you can see where the slope inches 19 20 up. So it basically, as you keep boosting, you 21 inference not so much the magnitude but also the 22 durability response.

1 And the other point here, I'm not going to go into detail in the interest of time, but it 2 does matter if people have had an asymptomatic 3 4 infection, that kind of counts like an additional 5 booster. 6 So people that had experienced 7 asymptomatic infection have in general more stable 8 antibody response as if they had had multiple 9 boosters. 10 We and others have reported previously, 11 and this confirms, that the T-cell response is actually relatively stable, if you look in a six-12 13 to eight-month period. 14 So, I'm surprised that there's not much of an action going on here. 15 16 So we were curious at this point, okay, apart from the effect of booster vaccination of 17 magnitude on antibody and T-cell responses in DK 18 antibody and T-cell responses, is there a 19 20 qualitative difference in memory phenotypes of the 21 antigen-specific T-cells. 22 And so we approached this by single cell

1 sequencing. So we sorted the antigen-specific T-2 cells identified by the aim assay and then we did single cell sequencing. 3 4 And you see here, we actually sequenced 5 a million, more than a million antigen specific Tcells. To the best of my knowledge, this is the 6 7 biggest study in terms of number of cells that 8 have been sequenced that are antigen specific for 9 acute respiratory infection. So, what do we see? These are the CD4 10 11 T- cells, and you see here, you see what you expect to see. You see center of memory, TH 1, TH 12 13 2, TH 17, like, and you see also this TFR, very 14 prominent T-cell subset. 15 It's resemblant of T or regulatory T-16 cells. And I'll get back to that in a minute. At 17 the level of CD 8, again, you see what you expect to see, a large group of granzyme high CD8 18 particles, are your legit killers, and then other 19 20 effector population and so forth. 21 So, first things, what we were interested in is there T-cell exhaustion as a 22

1 function of repeated vaccination and as a function of asymptomatic infection? And there is not. 2 3 If you look at the CD 4 T cells, whether they experienced asymptomatic infection or not, 4 5 they keep secreting their cytokines, CDA T cells do not change in their subset of exhausted T 6 7 cells. Again, regardless of whether these people 8 have experienced an asymptomatic infection or not. 9 And to reiterate this point, in the context of the people in red here that have had 10 11 asymptomatic infection, if anything, they have higher effector function, defined as TH 17 like, 12 13 population or for the CDA T cells, the granzyme 14 positive T cells. 15 So, there is no exhaustion that we can 16 see at all as a function of multiple vaccination, 17 and having had asymptomatic breakthrough infection, doesn't give you exhaustion, either. 18 Again, in terms of perhaps countering the 19 20 catastrophic point of view of the badness of 21 repeated exposure. 22 But what we do see instead, which is

1 very interesting to me, is this P follicular regulatory T cell. 2 3 And what we see is that this population 4 increases over time, but only in the people that have had this asymptomatic infection. 5 So we have a situation here where the 6 7 repeated vaccination and the breakthrough 8 infection preserves and increases the adaptive 9 immune response, both in the antibody and at the T 10 cell level. 11 But at the same time, there is a regulatory T cell population that develops. And 12 13 actually Dunafarber (phonetic) has very similar 14 data in their data looking at tissue resident 15 memory T-cells. 16 So our hypothesis is that this is 17 actually a good population in the sense that this is a population that may be linked with limiting 18 or preventing tissue damage in the context of a 19 20 breakthrough infection. 21 Remember, these are all asymptomatic

22 infections. This is, at this point, a

1 speculation. And the way to address this 2 properly, and the reason for doing both experiments, is to show -- to look at people that 3 have had symptomatic infections. 4 5 So we would predict the people that have had symptomatic infections maybe have less of this 6 7 regulatory population that prevents may be 8 involved in preventing the immunopathology of a 9 stated liver infection. 10 And I think we went through all these 11 conclusions. In the last -- how much time do I have? Okay, so in the last few slides, I wanted 12 13 to talk about avian flu, highly pathogenic avian 14 flu, which is of course an issue that is of concern right now as a potential pandemic or 15 16 outbreak, so forth. 17 So I just want to bring you back to some basic observations made during the pandemic, and 18 the observation was that again, the T cell was 19

20 largely -- T cell response was largely preserved 21 at the level of different variants because the 22 variant mutation were concentrated and rapidly few

compared to the very large breadth of epitopes
 recognized by the human T-cell response.

3 And also, there's data that showed that people that had pre-existing immunity, presumably 4 5 because of recent exposure to common cold Coronaviruses, we're talking about at the start of 6 7 the pandemic, actually did better in terms of 8 disease severity compared to people that did not. 9 And this all went way down to actually 10 different rates of mortality. So the concept that 11 I'm putting forth is that if cross reactive preexisting T-cell immunity may be important and a 12 13 factor in planting disease severity, not 14 implementing infection, but in influencing the 15 disease severity. 16 Okay, so highly pathogenic avian

influenza. We all know there has been a number of different pandemics, 1918 the most famous killing tens of millions of people, and has been the recent spillover of HPAI into not only poultry but also fields or cattle and wildly detected in wastewater.

1 So, really of concerns. I want to point 2 out also that the label of highly pathogenic is actually referring to highly pathogenic for birds. 3 So if you're a bird, the H5N1, highly 4 5 pathogenic is a thing, how highly pathogenic is, for example, in cows and other mammals, is more 6 7 debatable. In fact, in most cases has been 8 rapidly mild. 9 And in humans, the pathogenicity is very 10 all over the place, in some cases in the old 11 studies were up to 50 percent recently, has been no, that I know of, severe case of severe disease 12 13 associated with human infection with the 2, 3, 4 14 claim. 15 And it's also complicated to really know 16 what is the pathogenicity for humans, because A, 17 this can continue to evolve, but also in many cases, probably infections have not been detected 18 in the first place, so you don't know what the 19 20 denominator is, the real number of infections. 21 So, nevertheless, it's a very concerning 22 situation that we need to monitor and follow

1 closely.

2 Now, is there immunity, pre-existing immunity, to humans, in H5N1? So, we heard 3 4 earlier on the fact that actually neuraminates is 5 an important antigen. 6 Actually, antibodies against 7 neuraminates are consequential in terms of 8 potentially having an anti-viral effect. 9 And H1N1 is one of a currently circulating influenza in humans. And H5N1 has the 10 11 same N1. Not exactly the same, but basically, there would be some degree of potential cross-12 13 activity at the antibody level against 14 neuraminates. In general, VHA does not cross 15 react. 16 But there's also -- and there is 17 evidence in the old swine origin 1957 pandemic that preexisting immunity really can be 18 influential in the case of influenza. 19 20 And what was the observation back then 21 was that old people, for one, as an old person, I 22 can say sometimes things are good for old people,

1 so the older people in that context fared better 2 in terms of disease severity than younger people. And that was correlated to a fact that 3 again, H1N1 had circulated before the 1957 in 4 5 humans. And so people that were old enough to have had exposure to that had some degree of 6 7 preexisting immunity, planted presumably disease 8 severity from the swine origin H1N1. 9 So what about T cells? So there's some 10 bioinformatic analysis, and the first thing that 11 we were curious to ask was is there a fundamental difference? Is H5N1 a fundamentally different 12 13 beast in terms of what antigens are recognized? 14 And if you do an analysis of what is published overall literature of what humans and 15 animals recognize in H5N1 as opposed to the 16 17 currently circulating in humans influenza, the hierarchy of what antigens are recognized is 18 19 essentially the same. 20 What degree of conservation is there, or 21 is there conservation, between the targets of T

cell response? And again, this is a bioinformatic

22

1 analysis where we went in and defined what -- not defined. We curated what the scientific 2 literature had defined as dominant T cell epitopes 3 in the currently circulating influenza strains and 4 5 subtypes, and asked, are these epitopes which make up existing T cell response in humans right now, 6 are those conserved or mutated in H5N1? 7 8 And as you can see in this slide, we are 9 going into the den, essentially, the epitopes are conserved to a large extent in the 50-70 percent 10 11 range and also as the claid of H5N1 has been evolving, there is no evidence that it's picking 12 13 up more mutations in the dominant vectors. 14 And finally, we did some experiments. This is with lab. So here, it's a simple mind of 15 16 experiment in which you basically have the 17 dominant T cell epitope seen in humans from the currently circulating influenza and you ask, if I 18 synthesize the matching peptide from H5N1, is 19 20 there still cross reactivity? 21 So the T cell that are elicited by the 22 currently circulating influenza strains, do they

1 also recognize H5N1? And as you can see in the 2 slide, that is absolutely very strong preservation of the T cell reactivity. 3 In other words, the reactivity that you 4 5 get from normal donors in the San Diego region that we had for this purpose, are capable to 6 7 recognize also the H5N1 matching thing. 8 So whether this is going to be actually 9 consequential is a matter of debate in the sense 10 that the degree of conversation is less than there 11 was between -- at the time of the swine origin pandemic, but it's more than it was between common 12 13 cold and SARS CoV2. So by that criteria, it's 14 somewhere in the middle. 15 And so at this point, it's a very soft 16 conclusion. But we would expect that where to be 17 a widespread human transmission of H5N1, the existing piece of response may be able to some 18 19 degree to impact disease severity. 20 And with that, I'd like to end 21 acknowledging some of the main contributors of 22 this study, all the COVID stuff, the early stuff

1 in particular, was done in collaboration with 2 Shane Crawdey lab, Al Bagrifoni, and Ricardo Silva 3 have been doing a lot of the work with the 4 breakthrough and setting up the immunophenotyping 5 studies. And Jacinta and Greg and BJ have been involved in the single cell studies to a large 6 7 extent and collaborations and funding as well. 8 Thank you. 9 UNKNOWN MALE: I have a question about 10 the TFRs. Were they from paid for blood? 11 DR. SETTE: Yes, this is all paid for 12 blood. 13 UNKNOWN MALE: Did you have a chance to 14 look at any tissues or lymph nodes, if they're 15 also increasing? 16 DR. SETTE: No, we have not. And I was 17 mentioning, there is also study from Donafarber where they have access to organ donors, material 18 19 from organ donors. 20 And in the tissues, they see something, 21 not exactly the same but very, very similar, but also they call regulatory population. 22

1 UNKNOWN MALE: And I also saw a note. They are not inhibitory? They don't have IL 10, 2 TGF data? 3 4 DR. SETTE: They do not. They do not 5 have IL 10. So they are not classic T regs, at least in our hands. 6 7 UNKNOWN MALE: The tissue damage 8 control, then what function -- what cytokines 9 would do that tissue damage control? 10 DR. SETTE: There is a bunch of other 11 markers that are associated with regulatory function. I mean, IL 10 is not the only thing 12 13 that can now regulate T cell response. UNKNOWN MALE: IL 10 is the classical 14 15 TFR. 16 DR. SETTE: Right, right, right. 17 UNKNOWN MALE: It's not the same. Is only facts through three posted? Are they? 18 19 DR. SETTE: They are. 20 UNKNOWN MALE: Okay. 21 UNKNOWN MALE 2: I'm quite intrigued with the slide right before this, where you showed 22

1 that, if I remember correctly, like less than 6 2 percent conserved T cell epitopes, that's 3 sufficient to limit disease severity in the case of SARS CoV-2? Am I right, or no? 4 5 DR. SETTE: So there, I was trying to be conservative. In terms, if you look at the degree 6 7 of how frequently you find an epitope which is 8 conserved between SARS CoV-2 and common cold, 9 that's 6 percent. So that's completely different 10 ___ 11 UNKNOWN MALE 2: Oh, okay. DR. SETTE: -- viruses. And so, that's 12 13 a low estimate. And nevertheless, the data was 14 very clear that that can have an impact. So I was trying to sandwich between two, a low end and a 15 16 high end, wherein the swine flu was 70 percent or 17 so conservative. UNKNOWN MALE 2: The other thing is, I 18 have this question for a long time. When you run 19 20 cell immunity, like those assays, I know your lab 21 will look at an NP or maybe R3A and those other 22 things.

1 How do cellular immunity really work in the case that an epitope is derived from a protein 2 that is primarily found intracellularly? 3 So is it mainly through CTL, meaning 4 5 killing of the infected cells, or are there any other magnets for cellular immunity to control the 6 7 disease severity if the epitope itself is derived 8 from a viral protein that is primarily intracellular? 9 10 DR. SETTE: So, well, I quess this is a 11 very fundamental basic question. UNKNOWN MALE 2: I'm trying to improve 12 13 my immunology. 14 DR. SETTE: Right. Yes. So, in general, yes, CD8, and I don't want to be too 15 16 trivial, so CD8 T cells in general recognize 17 things that are inside the cell but there are pathways called cross presentation where CD8 T 18 cells can actually recognize things that are 19 20 entering through an exogenous pathway. 21 CD8 T cells can kill directly through a 22 variety of different mechanisms, one of which is

to make a big hole in the plasma membrane of the target cells and there's granzymes and other things, as well as they secrete a bunch of other cytokines, such as (inaudible) TNF but can have also bystander effect.

CD4 T-cells, it's pretty much the same 6 7 thing except in very much different equilibrium, 8 in the sense that CD4 T-cells tend to see mostly 9 things that come from the outside, but also if you 10 purify class two molecules and allude to the 11 peptides that are bound to class two molecules, a large fraction of them are also derived from 12 13 inside the cell.

14 So it's not that a CD4 T-cell can't 15 recognize things from inside the cell, but the 16 cell that they recognize with the finish, they 17 need to express class two, and class two molecules are expressed by lymphoid origin cells while class 18 one is ubiquitously expressed by every single cell 19 20 of the body apart from red blood cells and neurons 21 and stuff like that.

22 But, so, in general, CD4 T-cells with

1 aid in the development of antibody response, but there are also cytotoxic T-cells that kill and 2 3 secrete bystander cytokines. 4 DR. GOLDING: I think we need to move on 5 because we have another two speakers. So, Jerry, would you like to --6 7 MR. WARE: Jerry Ware. 8 DR. GOLDING: You'll be the last one. MR. WARE: Viral Products. This is a 9 10 speculative question for you. Based on everything 11 you showed both for SARS CoV-2 and influenza, if one believes that a broad T-cell response actually 12 13 aids in protection against severe disease or 14 disease, to me, that suggests that one would not 15 limit a successful vaccine design to a single 16 anagen. 17 Do you have any comment you want to make on that or am I reading too much into your data? 18 DR. SETTE: Yes, and I believe actually 19 20 having multiple vaccines, multiple antigens in a 21 vaccine is potentially of interest. And certainly, one could answer that 22

1 live influenza vaccines have multiple antigens or pertussis vaccine has for different antigens. 2 3 I think one has to balance the fact that one maybe beneficial developing something that has 4 5 multiple antigens is by definition more complex for a variety of reasons. 6 7 You have to purify, say four different 8 things, may interfere with each other and so forth. So I think it's a balance between 9 different components. 10 And also different modalities to 11 delivery may induce prevalently only CD 4 T-cells 12 13 or both CD 4 and CD 8 and so forth. DR. GOLDING: Thank you very much. I 14 15 think we have to move on. 16 DR. KURTZ: Thank you, everybody, for 17 joining us. And so, we are in the final stretch of our CBER symposium. And I have the privilege to 18 introduce our last two speakers for the day who 19 20 are colleagues of mine from the Office of Vaccines 21 here at the FDA. 22 So I'm going to introduce both of them

1 together and they will take joint questions at the end of their two talks to end us out. 2 3 So today, we have talks from Dr. Akkoyunlu, who is in the Division of Bacterial 4 5 Parasitic and Allergenic Products. And his lab mostly focuses on the 6 7 development of immunity and immune needs to 8 specifically develop B cell immunity to conjugate vaccines. 9 10 So Dr. Akkoyunlu has been here at the 11 FDA for over 20 years and he is a principal investigator in DBPAP. 12 13 And our last speaker for the day will be 14 Dr. Weiss, who has also been at the FDA for almost 15 25 years in the Division of Viral Products. So 16 Dr. Weiss, her lab focuses on viral pathogenesis 17 as well as the development of neutralization and understanding neutralizing antibody responses for 18 viruses including HIV, influenza, and most 19 20 recently, SARS CoV-2. 21 So with that, Dr. Akkoyunlu would like to come and give his talk, we'd appreciate it. 22

1 DR. AKKOYUNLU: Okay, thank you for the opportunity to give this talk about early age 2 3 immune responses to vaccines. 4 So I'm part of the Laboratory of 5 Bacterial Polysaccharides, where we regulate bacterial polysaccharide vaccines. 6 7 So these vaccines target and capsulate 8 bacteria. Some of them are listed here. Nice, I 9 manage this, streptococcus nomania, group B streptococcus, and they can cause debilitating, 10 11 very serious diseases such as bacteremia, meningitis. 12 13 And another very important common 14 feature of these bacteria are that they mostly 15 target newborns and infants. 16 So they are encapsulated. The capsule 17 is composed of polysaccharides and the structure of these polysaccharides dictate their serotypes 18 19 and serogroups. 20 And the capsule itself has very loose 21 properties. Some of them are listed here. They 22 can inhibit complement, phagocytosis, and it's

1 always true that the more the capsule, the more invasive the bacteria are. 2 3 And then we have also contributed to this while we go showing that some bacterial 4 5 polysaccharides can inhibit LPS-induced recognition by the host. So it's veering its 6 7 property to evade the host's immune system. 8 And it's been a long time since anybody 9 developed against these polysaccharides was recognized in 1930. It was shown in a skin test, 10 11 hosts have antibodies against polysaccharides. And then in 1940s, based on these 12 13 observations, people started to think about 14 immunizing. 15 And here you see a paper from 1945 about 16 immunizing with pneumococcal polysaccharides. But 17 the problem with these polysaccharides vaccines are they are T cell independent type two antigens, 18 which means that they only induce short lived 19 20 antibody response, composed of mainly IGM and 21 IGG3. 22 And they elicit big memory response to

booster immunizations. And more importantly in infants, and especially those who are younger than one year old, they don't induce any antibody response.

5 As I said earlier, that this age group is the most vulnerable to these pathogens. But 6 nevertheless, these efforts to develop vaccines 7 8 proceeded, and you can see a list of some of the 9 vaccines that were polysaccharides, pure polysaccharide vaccines approved, and they are all 10 11 indicated about infancy. So why are they polymerogenic? So 12

13 typically, this multivalent structure of the 14 polysaccharides are recognized by the B cells BCRs 15 or B cell receptors, and this recognition is not 16 sufficient to activate these B cells.

And sometimes, it's actually inhibitory. And during an infection, though, there are a lot of bacterial products that can get a ticking signal, then these B cells would respond, for example, they have PS. But it was for a long time, it was not

1 known what was the second physiological second 2 signal. And this question was -- so by the way, 3 again, this recognition of BCR, polysaccharides of 4 BCR, doesn't lead to antibody response, but this 5 question of the second signal, physiological signal, was answered in 2001 by several papers, by 6 7 using a TACI knockout mouse. 8 This mouse is expressed on B cells, this 9 TACI. They show that these mice didn't respond to 10 polysaccharides at all. 11 And so TACI's a receptor on most B cells, as I said. It has two ligands, BAFF and 12 13 APRIL. They are TNF family ligands. 14 And they can then provide the second signal and then allow these B cells to respond. 15 16 And we became interested in this system because of 17 the similarity between the TACI knockout mouse and 18 the early age newborns. 19 So then we focused on the newborn mice 20 and looked at -- measured TACI levels first. And 21 we saw that, as you can see here, they were severely reduced compared to adult B cell TACI 22

1 levels.

2 So as a result, when you expose these 3 details to BAFF and APRIL, the ligands, there's 4 not enough TACI to induce the second signal. So 5 you cannot get antibody secretion from these B 6 cells.

7 So we believe that at this point that 8 newborns don't respond to polysaccharides because 9 they lack this expression of TACI. And then we 10 further tested this hypothesis by using CPG, which 11 we had earlier shown that CPG can upregulate TACI 12 on adult B cells. You can see here in this full 13 cytometry.

And we did the same thing with neonates. And indeed, in neonates also we were able to induce the upregulation of TACI expression, which then resulted with responsiveness to BAFF and APRIL.

19 Now these newborn B cells were also able 20 to respond to BAFF and APRIL. Then we moved on to 21 in vitro experiments where we immunized neonatal 22 mice. We can see here that when we add CPG to NP

1 cycle, the present type T cell in phenotype two
2 antigen, now we can induce antibodies, even in
3 newborns.
4 And this was because of the TACI
5 upregulation because the TACI knockout newborns

6 were not able to respond, even when we added CPG 7 to NP cycle.

8 So these collectively, these data then 9 showed that newborn unresponsiveness to 10 polysaccharides, the independent type two 11 antigens, was because they didn't express sufficient amount of TACI, and this observation 12 13 was subsequently shown that in human newborns 14 also, and infants, their TACI levels are low, so most likely the same scenario applies to humans 15 16 also.

17 But the field has moved on, and with the 18 efforts of many labs and especially the late John 19 Robbins, the polysaccharide vaccines were made 20 more immunogenic by conjugating the proteins. 21 And this conjugation led to more 22 immunogenic polysaccharide vaccines because it

1 involved T cells. So now they became -- the 2 vaccines became T cell dependent. 3 And for a long time, how T cells were involved was not known, but Dennis Cappas's lab 4 5 then showed that these T cells actually recognize the polysaccharide in the MAC2 when they are bound 6 7 to the peptide. 8 So there are polysaccharide specific CD 9 4 T cells which then provide this T cell help, and 10 you get T cell dependent immune response. 11 And then this led to development of many vaccines including ML4 influenza and pneumococcal 12 13 conjugate vaccines. Now they are part of the 14 routine immunization schedule. 15 But the problem is that they need to be 16 administered multiple times, like most other 17 protein vaccines to independent response. And this in the field is recognized as a 18 window of vulnerability, because during this time 19 20 until they complete the whole regimen, they are 21 still susceptible to a degree to infection with 22 this bacteria.

1 So this is a burden. And the need to 2 administer multiple doses also leads to compliance 3 issues. 4 There was this study in 2016 showed that 5 in the United States, 75 percent of children complete all those six recommended vaccines by the 6 7 age of 18 months of age, and some of them are 8 undervaccinated which is also pretty significant. 9 And then if you think about developing 10 countries, this issue of course most likely is 11 much bigger. So then the question is then why do 12 infants need multiple doses when one vaccine dose 13 14 is sufficient to elicit protective immune response in adults? 15 16 So we became interested in this 17 question. And we started to study the mechanism of dysregulated T-dependent response to vaccines 18 in early age. 19 20 So in order to be able to understand 21 that, we need to focus on how T-dependent response 22 happens in adults, because it's very well studied.

1 We know that in the T cell zone, the 2 antigen is captured by dendritic cells and then presented to CD 4, which then relocate the 3 4 follicles and become T follicular helper cells. 5 And then they then help the dendritic helper B cells, which leads to memory and plasma 6 7 cells. And this reaction is very tightly 8 regulated. And there are stimulators and inhibitors. 9 For example, IL 6 is a subject cytokine. 10 11 It's very important in the generation of TFHs. In addition to IL 6, there's IL 21. But there's also 12 13 the inhibitor, cytokine IL 2. 14 This is mostly likely needed because excess TFH leads to autoimmune disease and also it 15 16 has to do with clone expansion and affinity 17 maturations. And then as I said, the IL 2 inhibits --18 and how IL 2 inhibits TFH is very well studied 19 20 through phosphoryl step five, which then blocks 21 BCF six, which is the transcription factor for 22 TFHs.

1 But how IL 6 improved TFHs was not known 2 until 2019, where (inaudible) lab showed that in a very I think neat study, they showed that IL 6 3 signals through phosphoryl step three, and then 4 inhibits IL 2 receptor beta, which then removes 5 these receptors and the IL 2 doesn't have 6 7 sufficient receptors to induce the inhibitory BCR 8 inhibitory signal. And that's how it appears like 9 this IL 6 mediated stimulation of TFH generation 10 happens. And it's also very well established that 11 newborns don't generate TFHs as good as adults, which then explains why that you need multiple 12 13 immunizations. 14 And typically TFHs are marked by PD1 and CXCR 5, and many labs have shown this. And we 15 16 also presented the same data. You can see how 17 small the TFH population in newborn mice. And this is the kinetics and this 18 dramatic difference explains why they don't 19 respond well. And since based on these findings 20 21 about IL 6 and IL 2, then we wanted to focus on 22 their physiology in newborns.

1 So we immunized newborn nice, five to 2 seven day old mouse, and adult mice with pneumococcal TT conjugate vaccine, and then 3 analyzed their cells. 4 5 And we first looked at IL 6 production, IL 2 production, IL 2 receptor expression, and 6 7 then step five maturation. 8 The first thing we saw was very 9 surprising to us. We saw that after immunization 10 by day one, neonates in the spleen had a lot more 11 IL 6 than the adults. So here, the IL 6, which is a signature 12 13 cytokine that stimulates TFHs in adults, you have 14 even more in neonates. You can see that it continues until day three and then goes down. 15 16 You see in one example, you see the C 17 cells, they are cells, but we saw them in B cells, even T cells for this IL 6 after immunization with 18 19 PTS 14. 20 Next, we looked at IL 2. Here, I need 21 to focus on -- present this data indicating that we exclude TFRs. And these cells are PD 1 post, 6 22

1 CR posted, and then fox B3 negative. And you can 2 see that IL 2 actually has produced much more in 3 neonatal TFH compared to adult TFH. And not only 4 IL 2 has produced more, but also IL 2 receptors, 5 both alpha and beta produced more in neonates 6 compared to adults.

So now here we have a situation where in adults, IL 6 inhibits IL 2 receptor beta, but after immunization, not only we have more IL 6 in neonates, but then also this leads to more IL 2 production and also more IL 2 receptor production, which I didn't show the data, but there's more phosphoryl step five also.

So this is the working hypothesis on how neonates TFHs are not generated as good as adults. So we wanted to do additional experiments to prove if this is true or not.

18 So one way to prove it is to give excess 19 IL 6. So if IL 6 is inhibitory, so we gave the 20 vaccine again with the PTS 14 with IL 6. It's 21 like an adjuvant, or without IL 6. 22 In adults, what we saw is that IL 6

1 induces more TFH, whereas neonates, already low 2 TFH goes even lower, if we have excess IL 6. 3 And this is the quantification. And not only the TFHs are affected with excess IL6, in 4 5 adults, we see more IGG and in neonates, already low IGG response against TPS 14 decreases further. 6 7 And then when we look at cytokines, IL 2 8 inhibitory cytokines, IL 2, now with IL 6, we have 9 more IL 2 in neonates. We have less IL 2 in 10 adults. 11 And what about the receptors? Also receptors are increased in neonates, and only IL 2 12 13 receptor beta is decreased, just like (inaudible) 14 lab showed, which IL 6 targets receptor beta in 15 adults. 16 So this is the summary of what I have 17 shown so far with the immunized mice and mice immunized with IL 6 containing vaccine. It is 18 inhibitory for TFHs. IL 6 induces more IL 2, more 19 20 receptors, and more step five. 21 And then the question is that again, is 22 IL 6 responsible? Then the final experiment with

1 it was in IL 6 knockout mouse. So if IL 6 is 2 really responsible, then we should see a phenotype 3 reversing this effect. And IL 6 knockout mouse has been used in 4 5 the field within adults in numerous labs. And here is one example. 6 7 You can see that in IL 6 knockout mouse, 8 TFH population decreases significantly, 9 underscoring the importance of IL6 and generating 10 TFHs. So in newborns, first we looked at 11 antibody response. You have more antibodies when 12 13 you don't have IL 6. 14 You have better TFH when you don't have IL6. And you have less IL 2 when you don't have 15 16 IL 6 compared to wild type. 17 And then also the receptor levels go down, both alpha and beta. So this is the 18 situation. Then in wild type mouse, you have the 19 20 lower IGG, TFH, germinal center B, germinal center 21 B cells. I didn't show the data there also. 22 Same as TFH. And that is correlated

with the receptor expression, IL 2 expression, and
 also step three.

But when we remove IL 6, then everything reverses and we improve the vaccine. And then we know that IL 6 signals through step three, you can see that here, ex vivo staining for step three shows more step three phosphorylation when we have excess IL 6.

9 But then how is it possible that in 10 adults, you have this opposite effect on IL 2 and 11 IL 2 receptors? And we are now trying to 12 understand that.

13 The challenge here is that the 14 subpopulation is very small. So we are developing 15 an in vitro system to generate TFHs, which can 16 respond to IL 6.

And I can say that I had a poster today. We were able to succeed in that, which means that in vitro, we can give IL 6 to human T cells and improve TFHs in neonatal T cells. We can suppress TFH with IL 6. So hopefully, we will be able to interrogate those cells and try to get the answer 1 we are looking for.

2 Yes, this is the summary of what I just 3 said. But what does this mean? The implications 4 of all these studies show that in response to 5 vaccines, adults and newborns in this system 6 substantially differently.

7 And then the delineation of these 8 differences between adult and neonatal in the 9 system can help improve pediatric vaccines by 10 devising strategies tailored for neonatal immune 11 system.

12 And with that, I want to thank people 13 who did the work. The initial TACI work was done 14 by Sunita Anora and (inaudible) led the TFH work. 15 And we had great support from the Core facility. 16 And thanks to Richard Brown for TACI knockout 17 mouse. And we were generously supported by the Administration and also from the Perinatal Health 18 19 Center of Excellence Grant. Thank you. 20 DR. KURTZ: Thanks, Moustafa. So you

21 guys are going to take questions at the end 22 together. So, if we could ask Dr. Weiss to come

1 up for the last talk of the session, and then they'll take joint questions. Thank you. 2 3 DR. WEISS: Okay, so good afternoon, everyone. And thank you to those of you in the 4 5 audience and online who have made it here through the end of the symposium. This is the final talk. 6 7 So, also, I want to mention that the 8 important work that you saw earlier today by Dr. 9 Satte and Dr. Montefiori has provided a great 10 background for some of the topics that I'm going 11 to touch on in my talk, which is Antigenic Assessments of Recent SARS CoV-2 Variants for 12 13 Guiding COVID-19 Vaccine Variant Composition 14 Updates. 15 So I'll begin my talk with a very brief 16 review of SARS CoV-2 variant evolution, and then 17 I'll go through some of our laboratory data that informs variant composition updates for COVID-19 18 19 vaccines. 20 And this will include neutralization of 21 new variants by post-vaccination serum as well as

22 antigenic assessments of new variants using

1 primary infection sera.

2 And what I mean by primary infection sera, it's convalescent sera from people that have 3 been infected by their first virus, SARS CoV-2 4 5 virus, the first variant, and there's no background immunity from vaccination. 6 7 So, this graph from the CDC makes the 8 important point that there has been a divergence 9 of SARS CoV-2 test positivity, number of cases shown by this orange line on the graph, and COVID-10 11 Tests shown by the blue bars on this 12 graph over time. 13 And this is really primarily due to the 14 increasing population immunity. So somewhere around about 2022, you can see that the test 15 16 positivity cases continue. 17 So you can see that the test positive cases continue, but the deaths fortunately do not 18 increase at the same rate. 19 20 And so this is due again primarily to 21 the impact of immunity, and as you heard from Dr. 22 Sette, cellular immunity helps clear virus

1 infected cells, which mitigates disease. 2 And as you heard from Dr. Montefiori, neutralizing antibodies can help prevent virus 3 infection of cells. 4 5 Nonetheless, we still see that these waves continue, and actually our most recent wave 6 7 of test positive cases was high, not quite as high as the first Omicron variant. 8 9 So why do these waves continue? Well, clearly, it's because SARS CoV-2 is evolving 10 11 quickly, as we know too well. And so what we're seeing in this graph, 12 13 as I'm point -- what I'm pointing out here is that 14 each of these peaks in the test positivity cases shown in the orange actually is associated with 15 16 new variants that dominate that wave. 17 And so, what is believed then is that these -- and there's data that I'll show you and 18 has already been shown, is that these new variants 19 20 are escaping antibody neutralizers. 21 So believe then that vaccines that can 22 match the circulating variants can improve

1 protection against those variants as well as variants that are similar to them, and there is 2 plenty of data to support that. 3 So during the course of the pandemic, my 4 5 lab has been involved in measuring postvaccination and primary infection serum 6 7 neutralization of new variants as they've 8 occurred. 9 And in the context of high population immunity, there's really several important 10 11 considerations. And that is, and Dr. Montefiori pointed 12 13 this out as well as Dr. Sette, serum 14 neutralization titers reflect past antigenic exposures from vaccinations, infections, or both. 15 16 And then as well, the responses to 17 COVID- vaccines may also be influenced by prior SARS CoV infections and vaccinations. So for our 18 studies, our serum sources come from two large 19 20 prospective cohort studies. One is called the 21 PASS study, and this is our major source of COVID-19 post vaccination sera. 22

1 This comes from healthcare workers at Walter Reed National Military Medical Center and 2 this clinical study is led by Edward Mitre. 3 The EPICC study also is our primary 4 5 source of primary infection sera, and this comes from Adult U.S. Military Healthcare Beneficiaries. 6 7 And that clinical trial is led by Simon Pollett. 8 And the methods that we use in our lab 9 are very similar to the ones that you heard from 10 Dr. Montefiori. We use a lentiviral pseudovirus 11 neutralization assay. And I think the main difference in our 12 13 assays is that we've created a stable cell line 14 expressing both ACE 2 and Tempris 2. 15 We've also done antigenic analysis using 16 a variety of methods. And that's done in 17 collaboration with Leah Katzelmek at MIAID. So, in this first data slide, I'm 18 showing you that new variants evade neutralization 19 20 by post vaccination sera. 21 So, we looked at sera from different 22 groups and have had different histories of

1 vaccinations. So this dark purple line is the 2 group that had just three vaccinations with the 3 original or prototype vaccine based on the 4 ancestral variant. 5 And what we see is that we have very good titers obviously to the max strain and pretty 6 7 good titers against the later Delta strain. 8 But as you know in 2021, and 2022, the 9 first Omicron variant developed a very large wave to be a one variant. And we saw that titers 10 11 dropped four fold. And then a later Omicron variant, I'm 12 13 calling here BA4/5, those are related variants 14 that have the same spike but different internal 15 genes. 16 But you can see that that led to more 17 than tenfold drop in neutralization titers against that variant. 18 People that got four doses of the 19 20 original vaccine had a similar drop in titers to 21 those new Omicron variants at the time. But the 22 titers were higher if you had that fourth dose.

1 So in the spring of 2022, there was 2 decisions being made about the next booster and whether the variant composition should be updated. 3 And at the time, the BA 4/5 variants or 4 5 the BA 5 variant was replacing the first Omicron variant. And so a decision was made that an 6 7 Omicron antigen should be included in the next 8 vaccine booster. 9 And because this was really just the 10 first time that the variant composition had been 11 updated and there was no clinical data for this new change, a decision was made to make it a 12 13 bivalent vaccine that included both the original 14 ancestral antigen for which there was clinical 15 data as well as improved coverage over this 16 Omicron variant. 17 That vaccine contained a half dose of the original ME Omicron antigens. 18 So that is what I'm showing you here now 19 20 in this blue line. And actually, it turns out 21 that there was really only a modest increase in 22 titers against the matched variant, BA 4/5, about

1 two-fold, if you compare it to someone who got 2 four doses of the original vaccine. But the following year, when in the 3 spring when decisions were being made about again 4 5 whether to update the variant composition of the vaccine for the fall campaign, obviously the virus 6 7 had continued to evolve. 8 In thils case, it was a whole new 9 lineage. It was a recombinant virus called 10 XBB.1.5. And so, when we looked at post-11 vaccination sera for those that had gotten already three doses of the original and the bivalent, you 12 13 can still see that there was a great drop off of 14 titers of more than 13-fold. 15 So at that point, a decision was made 16 then to include -- to update the vaccine to 17 include the XBB.1.5 variant. And this is now a monovalent with the full dose. 18 19 So this graph then shows in the green 20 bar a sera from the work then that got the booster 21 with the XBB.1.5. And what you can see is just 22 GMP titers, comparing it against all the other

1 vaccine groups.

2 They're not all highly controlled the same way, but you can clearly see that those that 3 4 did get the XBB.1.5 booster had an increased titer 5 to all the variants. So here's the match variant here, the 6 7 XBB.1.5, quite a bit higher. We even did four 8 doses of the original vaccine and the bivalent, so 9 they're an equal number of vaccinations, and still 10 the XBB.1 gave higher titers. I left that off 11 here to not have too many lines on the graph. So, and however, the virus obviously has 12 13 continued to evolve. And by the time we were 14 making decisions about potential updates, a brand 15 new lineage had emerged, and this is now called 16 the JN1 lineage. 17 And then some sublineages called KP2 and KP3, which are somewhat related to the JN1 18 lineage, emerged around the time that a decision 19

20 was being made this past spring.

21 So as you can see, there was a five-fold 22 drop in titers relative to the match strain here

1 for the JN1, more than nine-fold for the KP2, and then more than tenfold for the KP3. And the KP3.1 2 actually is already evolved a little bit more. 3 It's even slightly more evasive as actually David 4 5 showed you in his slides. So, the other important point that I 6 7 want to point out here is that this was a 8 monovalent against XBB.1.5, but interestingly, we 9 did get some boosting, what we call this back boosting, to titers to earlier variants that were 10 11 seen by people in this group. So it back boosted the BA, the BA 1, and 12 13 some of these earlier variants. And so that 14 message then said that for people that had earlier 15 exposures, there was no need to continue to 16 include some of these earlier antigens in order to 17 get the benefit of the updated vaccine. 18 So, as you know, recently, the updated 19 JN1 or KP2, that's what's in the current updated 20 vaccines, those are monovalent, it's KP2 for the 21 MRNA vaccines and JN1 for the protein based 22 vaccines, and that's just based on the

1 capabilities of the manufacturing.

2 We don't have that sera against the updated vaccine, but what we do have is primary 3 4 infection sera made for the JN1 infection. And this was done in the hamster model, 5 which is one of the preferred models for SARS CoV-6 7 2. So this will give us an idea that if you're 8 exposed to a JN1 antigen, what does it look like 9 against some of the recent variants. 10 So we have very high titers against the 11 match strain here, against the JN1 variant, against now the KP2 and the KP3 variants. 12 13 We get pretty good cross neutralization, 14 really not more than twofold drop in 15 neutralization titer. And these are pretty related. You can 16 17 see there's just a few mutations that are different between the JN1 and these KP2 and KP3. 18 19 And that actually most of the immune evasive properties is due to this 4/5/6 20 21 substitution that's actually present in both of 22 them.

1 The other interesting point I want to --2 so here is that they're unlike what I just showed you with the post-vaccination sera, there's no 3 cross -- relatively no cross neutralization to the 4 earlier variant. 5 And so this is kind of a feature of 6 7 primary infection sera, that it tends to be very 8 focused mostly on the infecting variant or variants that are somewhat related. 9 10 And in this next slide, I provide more 11 examples of that. So we have primary infection sera here now against many different variants. 12 13 And what I want to point out here is 14 that for the infecting variant shown in red, the highest titers tend to be against the infecting 15 16 variant. 17 Now, we do see some cross neutralization among different variants, but they tend to be 18 variants that have the same kinds of RBD 19 20 mutations. So for the beta infection, we see we get 21 22 the cross neutralization to the gamma variant, the

iota and the mu variance, and they all have this
 immunodominant E484K mutation.

And similarly, for this epsilon And similarly, for this epsilon infection, primary infection sera, we get the highest titers, but we also see pretty high titers against the delta and the lambda variants, which also share this L452R mutation.

8 And the final point I want to make on 9 this slide is that while we do have good cross 10 neutralization among some of these pre-Omicron 11 variants, these primary infection sera from pre-12 Omicrons do not tend to cross neutralize the 13 Omicron variants at all.

And most of them are really below the level of detection. And the exact opposite is true. We have a few sera for people that have been infected only with the BA1 variant. And we do not see good cross neutralization to the pre-Omicron variant.

20 So because the primary infection sera 21 tends to be more specific to the infecting variant 22 and more focused, it's really well suited to help us distinguish some antigenic differences among
 the variants.

And so one of the visualization tools that we use now is called antigenic cartography, where we generate an antigenic map and we think primary infection sera is going to give us the best discrimination among these variants. So antigenic cartography is simply a

9 visualization tool that shows the antigenic 10 relatedness among the variants. And this is done 11 by applying two-dimensional scaling to the 12 neutralization titers that I just showed you. So 13 it's not new data, it's just a new analysis of the 14 same titers that I just showed you.

And these squares, I'm not sure if they show up in the projection, there's little grid squares here, that corresponds to two-fold dilutions and the serum titer for neutralization, and that translate into antigenic distances. And so the main point I want to show here is that we do have a clustering of these pre-

22 Omicron variants forming one sort of broad

1 antigenic cluster.

2 But then as you can see, the Omicron, especially this first one, and then that's the 3 only one we had true sera against, forming a 4 5 completely separate kind of antigenic cluster. 6 So, if we want to continue making 7 antigenic maps, we're running into a problem 8 because it's very hard now to get primary 9 infection sera from humans because of the high 10 population immunity due to people having repeated vaccinations and infections. 11 So the obvious guestion then is can we 12 use animal primary infection sera as a suitable 13 14 alternative to human primary infection sera for generating these antigenic maps. 15 16 So we looked into that question, and the 17 serum sources we used were from hamster primary infection sera. And these were generously 18 provided by some collaborators that includes 19 20 Michael Holbrook's lab at NIH. 21 He gave us primary infection for the 22 early variants. And Tony Wang here in NDDP. His

lab provided us primary infection sera for some of
 the more recent variants.

3 So obviously, we simply compared the 4 neutralization titers to hamster and human primary 5 infection sera using our same assays and then 6 applied antigenic cartography and an additional 7 analysis.

8 So this shows the comparison of the 9 hamster and the human GMTs against the panel of 10 variants that we tested. We just looked at the 11 variants that we had match set for in both 12 hamsters and humans.

13 The red trace is the GMTs for the 14 hamster titers against the different variants, and 15 the black is against the humans. And sorry for 16 the colorblind out there. I'm just realizing. 17 But anyway, you can see that the traces 18 are very similar in both titers and specificity, 19 and they correlate quite well.

20 So that gave us confidence then to go 21 ahead and generate an antigenic map using the 22 hamster sera. And when we compare it to the human

1 map that we made, and I showed you earlier, is they're pretty similar. 2 So we're getting a cluster, pre-Omicron 3 cluster, where the beta variant is a little bit of 4 5 an outlier in the upper part of the antigenic space and the delta is in the opposite direction, 6 7 and then the BA 1 forms a separate cluster. 8 But now with the hamster sera, we have 9 additional primary infection sera for some of 10 these newer variants. 11 And what we're seeing is now a new antigenic cluster up here with a lot of the XBB 12 13 variants as well. 14 So we think this is going to be helpful and just doing some of these kinds of antigenic 15 16 analyses going forward. 17 So, with more complex sera from humans that have been a result of multiple antigen 18 exposures from vaccines or infections or both, we 19 use a different kind of visualization tool, and 20 21 that's called a landscape analysis. 22 So landscapes are generated by plotting

the neutralization titer of this complex sera
 corresponding to the primary antigenic map that I
 just showed you.

4 So the antigenic map, this X/Y plain, 5 kind of gives you the whole antigenic space of the 6 different viruses and then the landscape shows you 7 roughly the antibody coverage across that space.

8 So you can see that the serum group that 9 had the XBB.1.5 booster has a more flat landscape 10 corresponding to higher titers to all the variants 11 and these happen to be the Omicron and the XBB variants in this part of the space where the pre-12 13 Omicron variants are here, but the groups that did 14 not see that XBB.1.5 booster have low titers. 15 This can also be useful if your primary

16 antigenic map has a lot more variants and you 17 haven't really tested your complex sera.

18 You might be able to interpolate what 19 the titers and coverage might be. So these are 20 just tools again to help us think about the 21 antigenic differences in coverage across the 22 antigenic space.

1 So in summary, continued variant 2 surveillance and assessments of post-vaccination sera against new variants help inform COVID-19 3 vaccine composition updates. 4 5 Hamster primary infection sera may be a good substitute for human primary infection sera 6 7 for assessing antigenic differences among new 8 variants. 9 Antigenic cartography aids the 10 visualization of antigenic differences among the 11 variants. And the landscape analysis model serum neutralization coverage of the variants across the 12 13 antigenic space. 14 And so efforts are now underway by many public health groups to establish more of a 15 16 coordinated network, framework for assessing 17 antigenic changes in new variants to aid decisions 18 about the updates. And with that, all credit goes to my 19 20 dedicated, hardworking lab, including Russell Way, 21 Sabrina, Sabri, Rachel, Richard, Stephanie, and 22 Brittany.

1 Several of these people have moved on to 2 medical school. And my collaborators, I mentioned. And all of the investigators over at the Uniformed 3 Services University and Military Facilities have 4 5 really made the collection of these valuable serum possible. 6 7 And with that, I'm done. 8 DR. KURTZ: I'm going to turn over the 9 table here to our two final speakers, and they can take any questions and we can see if there's any 10 11 online. I'll start off with a question for 12 13 Carol. So I'm assuming this is knowable. Has 14 mutation rate changed in SARS CoV-2 between the introduction of the vaccination pre and post? So 15 has vaccination actually ramped up the mutation 16 17 rate or selecting for or against it? DR. WEISS: I can't quote you any 18 references for that, but I don't think so. I 19 20 mean, I think most of the world is not vaccinated, 21 probably, and we get these big global sweeps. There's some geographic variation, but, 22

yes, I think -- early on, pre-Omicron, I mean, there was some sampling with these mutations going on where the virus was trying to -- was clearly escaping.

5 But early on, it was just a lot of adaptation as well. But now with the highly 6 7 immune population, there's a lot of selection. 8 DR. KURTZ: So actually as one other 9 quick follow up, so it seems like there's, with all these new lineages, there's a pretty quick 10 11 expansion and then contraction or evolutionary 12 death.

And so, and then on to the next lineage And the next lineage, and some are related and some aren't. But in terms of thinking about a timeline between the expansion and contraction of a lineage that's so different you're losing neutralization, antibody potential. What does that also mean in terms of

20 sort of the timeline of the expansion and 21 contraction of the average lineage and the escape 22 variants and the timeline of how we can pivot the

1 manufacturers to picking a new strain? 2 I mean, it seems like these strains are coming and going within a few months. Maybe the 3 average lifespan of one of these lineages is maybe 4 5 on the order of three to six months, and that seems like a really quick timeframe to be able to 6 7 pivot to a new variant for a vaccine. 8 DR. WEISS: Yes, so there's a 9 complicated answer. I mean, there are many considerations going into whether vaccines get 10 11 updated. Market demand, infection capability, 12 13 pathogenesis, epidemiology and all those things. 14 So it's true that it seems that the virus is evolving, in my opinion, about three times faster 15 16 than flu, where we're trying to do an update every 17 year. And we may never get there. If you want 18 a perfect match, obviously, what's important is 19 20 this less severe disease over time, obviously. 21 And so a lot of these updates may only 22 be taken by people at high risk, for example, or

1 by choice or whatever.

2 But there's a limit to what the market will bear for how many times things are going to 3 get updated to be quite honest about it. 4 5 But if we can increase durability, and maybe as people get more and more immune, that 6 7 durability is going to be better, different types 8 of vaccines. 9 So I think we're still trying to figure 10 out the variant waves, if they're going to 11 continue at the same rate or not. They might, but certainly, hopefully the disease will not be as 12 13 great. 14 DR. RAJASAGI: One message to follow up on the mutation rates, whatever, how much has been 15 16 driven by vaccine or not, and I don't know the 17 answer, that's why I'm asking. Has there been an increase on non-spike 18 mutations as a function of the evolution or not? 19 20 Do you know? I mean --21 DR. WEISS: I would ask you that for 22 this order. I think there's selection going on in

1 some of the internal teams a little bit in terms 2 of escape maybe from host innate responses and 3 things like that. But that's more adaptation, not really escape. 4 5 So ask your question again. I just got distracted there. 6 7 DR. RAJASAGI: Other antigens. Whether 8 there has been a change over time in how 9 frequently we see mutations outside of spike. DR. WEISS: Yes, okay. So I'm not an 10 11 expert on that. It's not changing as much. Spike is clearly changing the most. 12 13 But I think most of those changes you 14 see going on is probably not necessarily immune pressure, per se, but the cat and mouse of the 15 16 virus adapting to maybe innate responses and 17 things going on. Because we do see some recurrent changes 18 in like the morph state and some other interesting 19 20 genes that I know a little bit less about. 21 But I would really be interested in what 22 you're seeing from this pressure from the cellular 1 level. Okay.

2 DR. RAJASAGI: And one question for him. Again, highlighting my ignorance. I think that 3 4 there are examples of vaccines that, like BCG, my 5 recollection is works better in preventing in childhood than in adults. 6 7 And I was wondering, is there any 8 difference with IL 6 business that you were highlighting in terms of BCG or not? 9 10 DR. AKKOYUNLU: No, we haven't studied 11 BCG. But I think this is only valid for antibody responses, CD 4. So BCG, we have, yes, it's 12 13 another mechanism. 14 So it's worth looking at it. I mean, we are expanding it to look at other components of an 15 16 active immune system to see if there are other 17 differences. So it's just like scratching the surface 18 so far. And just to remind you, when you're 19 20 talking about MRNA vaccines, there is a very nice 21 paper showing that its immunogenicity highly dependent on the lipid particles inducing IL 6. 22

1 So as we know that MRNA vaccines are 2 only approved about six months. So we don't know. 3 Maybe that's why there was some observation that 4 it's not working very well. But I don't know, 5 just speculation.

6 DR. GOLDING: Yes, thanks. I have a 7 question for each. So, what I'm learning from 8 you, neonatal immune response is significantly 9 different, not only against polysaccharides in 10 terms of the need for inability to recognize the 11 independent antigens.

But even the response to the dependent 12 13 is not the same. How do you think it should 14 impact our regulation of vaccines for children and 15 design of -- because normally we -- even vaccines 16 that are trying to target childhood diseases, we 17 test in young adults and then we do de-escalation. And the assumption is that it will show 18 safety and immunogenicity. But would you suggest 19 20 from your studies, based on either the different 21 role of IL 6 and germinal center, that you need to have a totally different approach to generating 22

1 vaccines for the very young?

DR. AKKOYUNLU: Yes, I think that's the 2 take home message from our studies so far. 3 There's still much to do. 4 5 But I also would like to emphasize that current vaccines have mostly have all of them, 6 7 right? So the addition of adjuvants has been a 8 bit slow so far trying different adjuvants. 9 Experimentally, there were a lot of trials. But what people do is trial and error. 10 11 It's empirical. You just add certain adjuvant and see if it's working or not, rather than designing 12 13 an adjuvant that would target those pathways that 14 are beneficial. 15 For in this case, if you're inducing IL 16 6, it wouldn't be good. Right? So I think our 17 data clearly shows that. But it needs to be seen if it's also 18 with different adjuvants, which adjuvants induce 19 20 IL 6, which adjuvants don't induce IL 6, in vivo 21 after immunization. 22 So those are the I think take home

messages. And as I showed the vaccination schedule for all the T-dependent, you have to get three to four vaccines in order to elicit protective response.

5 Otherwise, people try to truncate that and it doesn't work with the current formulations. 6 7 In our field for the pneumococcal, we know there's 8 another phenomenon. Maybe we're diverting from the 9 thing, but immunological creeping in pneumococcal 10 vaccines where it started with 7 valiant and then 13 valiant and now we have 20 and then there are 11 even up to 30 coming. 12

And we know that with every vaccine, And we know that with every vaccine, antibody responses and (inaudible) activity against the common sero types are decreasing. And that's called immunological creeping.

So, now the companies are coming up with strategies to improve their vaccines by adding adjuvants for the first time.

All of them had alum or nothing before.
So I think the field really needs more knowledge
to be able to tailor vaccines for different age

1 groups.

2 Older age is another issue that 3 Letterman from U.K. has done really nice work on showing that there are differences there also. 4 5 And most vaccines of course are tested in adults. And the mechanisms are also revealed 6 7 in adults. So I think there's a gap there. 8 DR. GOLDING: Thank you. So, Carol, I'm 9 thinking again about all the last couple of slides 10 that you showed. 11 So what struck me is when you showed the cartography. You really emphasized the fact that 12 13 after XBB.1.5, it sort of was latent, which 14 suggested there is a decent cross reactivity 15 against new variants. 16 When you showed the data from the 17 hamster that was just infected let's say with JN.1, there was very little cross reactivity 18 against XBB.1.5. 19 20 So I'm starting to get a sense that what 21 the hamster tells us and what the human post-22 vaccination tells us may not be the same, even

1 though when you do the cartography they look sort 2 of similar.

What do you think really should be the more relevant measurement to decide on strain change? And it's a little bit like what happened with influenza, right, when we take the ferrets they give you sometimes for differences and human panels give you less.

9 So I think we are at the same crossroads 10 now with COVID. And just your own personal --11 DR. WEISS: Yes, maybe to frame it 12 optimally. So, I mean, I think we use data from 13 many different sources.

We are interested in the

14

post-vaccination sera which reflects the human population. But when you have to -- if you want to find out whether some of the new variants -you want to optimize your ability to distinguish how different they are, if you're going to update it, the vaccine, right now, why not making it closer to one that is circulating.

22 But how different is it from something

1 else? So I guess what I'm trying to say is, the primary infection sera gives you more antigenic 2 3 discrimination. It's just another piece of 4 information. 5 And then if you kind of do that landscape analysis, it kind of lets you sort of 6 7 see what your complex sera looks like over this 8 antigenic space. 9 But both are important. And I guess the 10 last thing I would say is, we still have to worry 11 about the pediatric population that hasn't seen 12 anything. 13 So it's not completely irrelevant 14 looking at this primary infection sera as it 15 pertains to the pediatric population that hasn't 16 seen it. 17 But, so it's not one or the other. I think the post-vaccination sera is clearly the 18 most important, actually. 19 20 But there's quite a bit of value in 21 helping to distinguish these variants using the primary infection sera. So, that's -- I didn't 22

1 mean to say one is better than the other. They're 2 all useful.

3 DR. GOLDING: Yes, the last comment that 4 I wanted to make is that this point, we really 5 don't know what fall decrease in cross reactivity 6 predict major breakthrough infections.

7 What struck me again, based on your 8 slides, that after the XBB.1.5, you only see maybe 9 one or twofold reduction towards later Omicron, 10 yet we know right now that we have lots of 11 infections.

So maybe we should pay attention for 12 13 just twofold decrease in cross reactivity. Is it 14 the fall decrease from last year or is it the -or do you think we will identify a GMP threshold? 15 16 DR. WEISS: Yes, we don't know. Again, 17 why keep with the old one if you're going to boost again? But, yes, so we don't know that threshold. 18 And I think it could change over time, too, again, 19 20 based on sort of like the durability of the 21 responses. 22 So part of it is what I showed you, and

1 I forgot to point it out, but those were samples 2 taken at the peak time post-immunization. 3 So six months later, not everyone's titers are going to be at that level, and they may 4 5 be well below what could be enough to protect against infection. 6 7 So durability is a big question. A lot 8 of people are looking into that now as we get more and more exposures, you're getting better 9 10 durability. 11 DR. GOLDING: Thanks. Beautiful talk. DR. ELKINS: We want to do justice to 12 13 the people online. So for Moustafa, I think 14 you've already addressed this, but the question 15 has to do with the state of the situation with adjuvants to make polysaccharide vaccines 16 17 effective in infants. Is there anything more you want to say about that? 18 DR. AKKOYUNLU: For polysaccharides, if 19 20 I understand correctly, yes, I mean, that should be tried, but at the same time, the conjugate 21 22 vaccines are working pretty good if you give them

1 repetitively.

2 So, I think one has to try and see if it's better or not. But I doubt that it will be 3 better because the memory part still be, you need 4 5 T-cells I think. DR. ELKINS: And then the other one asks 6 7 about your thoughts about whether those higher IL 8 levels that you see in neonates are serving 9 another functional purpose, such that if you 10 manipulated that, you may have some unintended 11 consequences, and maybe you learn something about 12 that from the IL 6 knockout mice. DR. AKKOYUNLU: Yes. No, that's 13 important. I'm sure there is a function for the 14 15 development of the babies. 16 And then if you elevate that, would 17 there be consequences adverse? I doubt it, because it would be temporary during vaccination 18 that there will be long-term consequences, but 19 20 that needs to be bear in mind so that -- yes, I 21 agree, that's a good point. 22 DR. BURTS YOUNG: Coach from the back

1 row here. So I think we'll just thank our speakers now as we wrap up. So let's give 2 3 everybody a round of applause. And our ADR receiver, Karen Elkins, is 4 5 going to give us just a few wrap up comments. DR. ELKINS: So I know it's late, but 6 7 some attention must be paid to a few important 8 points. First, I really want to thank all of our 9 external speakers who joined us for our first hybrid meeting post-pandemic and who took the 10 11 trouble and the time to come and to enrich us with their science and with their presence. We are 12 13 really very grateful. 14 And we thank everybody here and online. And there have been hundreds and hundreds for 15 16 joining and making this symposium what it is. 17 I hope you all learned something. I hope you all made a connection or a collaboration. 18 And I hope you enjoyed some of the wonder and 19 20 magic of biological science in the last couple of 21 days. 22 But the meeting itself is not a product

1 of magic. It happens by incredibly hard work. 2 And I particularly want to call out the planning committee who has worked for the better part of a 3 year on the scientific content and the format. 4 5 Ronna, David, Muhamma, Marisabella, Yen, Ronit, Daniel, Hana, Daron, Katie, Alex, Emily, 6 7 thank you ever so much. 8 Please, when you see these people, give 9 them your appreciation. Not listed here are a 10 cast of thousands who were involved in the 11 logistics who got our speakers traveled, who got our rooms arranged, who did our publicity, and our 12 13 AV team who made it all work, which I find 14 borderline miraculous. They all deserve a debt of 15 thanks. 16 And not listed on this slide, because I 17 really have to call out Monica. Monica has done thousands and thousands of details and hours of 18 work on this, not only kept track of those 19 20 thousands of details but integrated them all. 21 The neural networks involved are 22 remarkable. Thank you, Monica. And, yes, right,

she's back there somewhere. And we are in your debt, as ever. And with that, thank you all for coming, and we are adjourned. (Whereupon, at 4:33 p.m., the PROCEEDINGS were adjourned.) * * * * *

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| 3 | I, Michelle Begley, notary public in and |
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