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Given the above, you should not use this document as a tool, guide, or manual for the preparation of applications or submissions to FDA. Instead, all interested persons should refer to the Federal Food, Drug, and Cosmetic Act, and its implementing regulations, as well as guidance documents prepared by FDA, for information on FDA's tobacco authorities and regulatory framework. FDA <u>also regularly posts</u> <u>additional resources</u> for applicants, such as webinars and application tips, on <u>CTP's website</u> and <u>social media</u>.

# Memorandum

| То:      | File  |            |  |
|----------|---|------------|--|
| From:    | Rosanna Beltre, M.P.H<br>Deputy Director<br>Division of Regulatory Project<br>Office of Science | Vanagement | RosannaDigitally signed by<br>Rosanna Beltre -SBeltre -SDate: 2022.01.21<br>14:37:17 -05'00' |
| Through: | Director  |            | d by Matthew R. Holman -S<br>21 15:27:09 -05'00'   |
| Subject: | Filing Prioritization for PMTAs received between September 10, 2020 to November 3, 2021         |            |  |

## **Background**

On July 12, 2019, the U.S. District Court for the District of Maryland ordered FDA to require premarket applications for all new<sup>1</sup> deemed tobacco products on the market as of August 8, 2016. On April 22, 2020, the Court granted a motion for a 120-day extension of the May 12, 2020, deadline (until September 9, 2020) in light of the global coronavirus disease pandemic.<sup>2</sup> Consistent with the original Court order, tobacco products with timely received applications by September 9, 2020, may remain on the market during the one-year compliance period.

During the compliance period for deemed tobacco products, the Office of Science (OS) invested most review resources on applications that met the September 9, 2020 court ordered deadline. As of the date of this memorandum, OS is nearing completion of processing Premarket Tobacco Application (PMTA) submissions received by September 9, 2020 for Phase I Acceptance and Phase II Filing; in addition to PMTA submissions received between September 9, 2020 and November 4, 2021, for Phase I Acceptance. As OS shifts to allotting review resources to processing Filing for PMTAs received after September 9, 2020, a new prioritization plan for Filing is necessary.

<sup>&</sup>lt;sup>1</sup> Section 910(a)(1) of the Federal Food, Drug, and Cosmetic Act defines a "new tobacco product" as: (1) Any tobacco product (including those products in test markets) that was not commercially marketed in the United States as of February 15, 2007; or

<sup>(2)</sup> any modification (including a change in design, any component, any part, or any constituent, including a smoke constituent, or in the content, delivery or form of nicotine, or any other additive or ingredient) of a tobacco product where the modified product was commercially marketed in the United States after February 15, 2007.

<sup>&</sup>lt;sup>2</sup> American Academy of Pediatrics, et al. v. Food and Drug Administration, et al., No. 8:18-cv-883 (PWG), (D. Md. Apr. 22, 2020) (Dkt. No. 182)

#### Discussion

To determine applications pending Filing review, we conducted an archive search for PMTAs received as of September 10, 2020 and before November 4, 2021, which is the effective date of the PMTA final rule, Premarket Tobacco Applications and Recordkeeping Requirements. The rule sets forth new regulatory requirements that do not apply to applications received prior to the effective date. Appendix A contains a full list of applications that were received between September 10, 2020 and November 3, 2021. OS decided to use receipt order to determine Filing prioritization. For example, in general, applications received on September 10<sup>th</sup> will be processed for Filing prior to an application received on September 15<sup>th</sup>. However, we note the following exceptions to the prioritization plan:

- For example, if an applicant submitted applications on September 10, 15, and 20<sup>th</sup>, for Filing purposes, these applications will be grouped together and processed for Filing using the earliest receipt date.
- If a submission is pending Acceptance for Phase I, the company will be skipped until completion of acceptance review.
- OS identified (b) (4) and Magellan Technology Inc. (Magellan) as two companies that submitted PMTAs within this timeframe that merit further prioritization.

Exceptions made for (b) (4) received on July 21, 2021, and Magellan (b) (4) received on October 28, 2020 are based product information that may have impact regarding public health concerns. The <sup>(b) (4)</sup> application was prioritized for Filing because it contains purported age-gating technology. FDA was made aware this application contained age-gating because the company submitted a meeting request ((b) (4) received on January 12, 2021. The purpose of the meeting request was to discuss the new technology embedded within their new tobacco products. Based on information contained in the meeting request, the applications were processed for Phase II and were subsequently Filed.

The Magellan applications for their  $^{(b)}$  (4) tobacco products were also pending Phase II Filing. (b) (4)

Filing prioritization as outlined in Appendix A are pending PMTAs received between September 10, 2020 and November 3, 2021 based on order of FDA receipt of the submission.

## **Conclusion**

The Filing teams should use order of receipt to prioritize for Filing applications received September 10, 2020 and November 3, 2021 as outlined in Appendix A.

# Appendix A

| Order | FDA Receipt Date | Applicant                              |
|-------|------------------|--|
| 1     | 7/21/2021        | (b) (4)                                |
| 2     | 10/28/2020       | Magellan Technology Inc.               |
| 3     | 9/10/2020        | (b) (4)                                |
| 4     | 9/10/2020        | (b) (4)                                |
| 5     | 9/10/2020        | Drip More LLC                          |
| 6     | 9/10/2020        | (b) (4)                                |
| 7     | 9/10/2020        | (b) (4)                                |
| 8     | 9/10/2020        | Imperial Vapors LLC                    |
| 9     | 9/10/2020        | (b) (4)                                |
| 10    | 9/10/2020        | (b) (4)                                |
| 11    | 9/10/2020        | (b) (4)                                |
| 12    | 9/10/2020        | Parallel Direct LLC dba The Magic Mist |
| 13    | 9/10/2020        | (b) (4)                                |
| 14    | 9/10/2020        | (b) (4)                                |
| 15    | 9/10/2020        | (b) (4)                                |
| 16    | 9/10/2020        | (b) (4)                                |
| 17    | 9/10/2020        | (b) (4)                                |
| 18    | 9/10/2020        | (b) (4)                                |
| 19    | 9/10/2020        | (b) (4)                                |
| 20    | 9/11/2020        | (b) (4)                                |
| 21    | 9/11/2020        | (b) (4)                                |
| 22    | 9/11/2020        | (b) (4)                                |
| 23    | 9/11/2020        | (b) (4)                                |
| 24    | 9/11/2020        | (b) (4)                                |
| 25    | 9/12/2020        | (b) (4)                                |
| 26    | 9/15/2020        | (b) (4)                                |
| 27    | 9/15/2020        | (b) (4)                                |
| 28    | 9/15/2020        | (b) (4)                                |
| 29    | 9/15/2020        | (b) (4)                                |
| 30    | 9/15/2020        | (b) (4)                                |
| 31    | 9/18/2020        | (b) (4)                                |
| 32    | 9/21/2020        | (b) (4)                                |
| 33    | 9/22/2020        | (b) (4)                                |
| 34    | 9/29/2020        | (b) (4)                                |
| 35    | 9/29/2020        | (b) (4)                                |
| 36    | 9/29/2020        | VPR Collection                         |
| 37    | 9/30/2020        | Breeze Smoke LLC                       |
| 38    | 9/30/2020        | (b) (4)                                |
| 39    | 10/6/2020        | (b) (4)                                |
| 40    | 10/19/2020       | (b) (4)                                |
| 41    | 11/6/2020        | (b) (4)                                |

|    | / /        |   |
|----|------------|---|
| 42 | 11/10/2020 | (b) (4)                                   |
| 43 | 11/18/2020 | DS Technology Licensing LLC               |
| 44 | 11/20/2020 | (b) (4)                                   |
| 45 | 12/22/2020 | (b) (4)                                   |
| 46 | 12/23/2020 | (b) (4)                                   |
| 47 | 12/29/2020 | (D) (4)                                   |
| 48 | 12/30/2020 | LAS Ventures Itd                          |
| 49 | 1/6/2021   | (b) (4) (4)                               |
| 50 | 1/10/2021  | (b) (4)                                   |
| 51 | 1/14/2021  | Shenzhen Yibo Technology Co. Ltd.         |
| 52 | 1/20/2021  | Performance Plus Marketing Inc.           |
| 53 | 1/25/2021  | Apollo Future Technology Inc.             |
| 54 | 1/26/2021  | (b) (4)                                   |
| 55 | 2/1/2021   | Blue Label Elixir                         |
| 56 | 2/15/2021  | (b) (4)                                   |
| 57 | 3/13/2021  | (b) (4)                                   |
| 58 | 3/17/2021  | (b) (4)                                   |
| 59 | 3/26/2021  | American Vapor Company LLC                |
| 60 | 3/28/2021  | (b) (4)                                   |
| 61 | 4/5/2021   | (b) (4)                                   |
| 62 | 4/26/2021  | (b) (4)                                   |
| 63 | 5/6/2021   | FuZion Vapor LLC                          |
| 64 | 5/10/2021  | (b) (4)                                   |
| 65 | 5/12/2021  | HMD Investment Group LLC                  |
| 66 | 5/18/2021  | Mountain Vaporz, LLC                      |
| 67 | 5/31/2021  | Fuma International LLC                    |
| 68 | 5/31/2021  | MH Global LLC dba Streamline Vape Co. LLC |
| 69 | 6/2/2021   | (b) (4)                                   |
| 70 | 6/4/2021   | Shenzhen IVPS Technology Co.Ltd.          |
| 71 | 6/10/2021  | (b) (4)                                   |
| 72 | 6/30/2021  | (b) (4)                                   |
| 73 | 7/1/2021   | Savage Enterprises                        |
| 74 | 7/5/2021   | Vapor Ventures Inc dba Innovated Vapors   |
| 75 | 7/6/2021   | (b) (4)                                   |
| 76 | 7/15/2021  | Rogue Vapers LLC                          |
| 77 | 7/21/2021  | (b) (4)                                   |
| 78 | 7/27/2021  | eCig Source                               |
| 79 | 7/29/2021  | (b) (4)                                   |
| 80 | 8/8/2021   | (b) (4)                                   |
| 81 | 8/13/2021  | Mid Cities Vapor LLC                      |
| 82 | 8/31/2021  | Fumizer LLC                               |
| 83 | 8/31/2021  | (b) (4)                                   |
| 84 | 9/7/2021   | (b) (4)                                   |
| 85 | 9/8/2021   | (b) (4)                                   |
| 86 | 9/9/2021   | (b) (4)                                   |
|    |            |   |

| 87  | 9/10/2021  | BLB7 LLC dbaThe Vape Mall |
|-----|------------|---------------------------|
| 88  | 9/13/2021  | LoneStar Vapor Shop LLC   |
| 89  | 9/15/2021  | (b) (4)                   |
| 90  | 9/16/2021  | (b) (4)                   |
| 91  | 9/16/2021  | (b) (4)                   |
| 92  | 9/16/2021  | (b) (4)                   |
| 93  | 9/16/2021  | (b) (4)                   |
| 94  | 9/16/2021  | (b) (4)                   |
| 95  | 9/20/2021  | (b) (4)                   |
| 96  | 9/20/2021  | (b) (4)                   |
| 97  | 9/23/2021  | Vapor Depot LLC           |
| 98  | 9/28/2021  | (b) (4)                   |
| 99  | 9/28/2021  | (b) (4)                   |
| 100 | 10/4/2021  | (b) (4)                   |
| 101 | 10/4/2021  | (b) (4)                   |
| 102 | 10/9/2021  | (b) (4)                   |
| 103 | 10/12/2021 | (b) (4)                   |
| 104 | 10/14/2021 | (b) (4)                   |
| 105 | 10/20/2021 | (b) (4)                   |