

# Welcome To Today's Program

Thanks for joining us!  
We'll get started in a few minutes

**Today's Topic:**  
**Medical Device Sterilization Town Hall:**  
**Sterilization Short Topics, Series Updates and Impact, Wrap Up and Next Steps**

**December 4, 2024**

# Medical Device Sterilization Town Hall:

## Sterilization Short Topics, Series Updates and Impact, Wrap Up and Next Steps



# Today's Panelists

**Suzanne Schwartz, MD, MBA**

Director

Office of Strategic Partnerships and Technology Innovation



**Lisa Simone, PhD**

Senior Health Scientist / EtO Incident Lead

Office of Readiness and Response  
Office of Strategic Partnerships and Technology Innovation



**Ryan Ortega, PhD**

Regulatory Advisor

Regulatory Policy and Combination Products Staff  
Office of Product Evaluation and Quality



**Jon Weeks, PhD**

Assistant Director

Division of Biology, Chemistry, and Materials Science  
Office of Science and Engineering Laboratories



**CDR Tamara Rosbury, PhD**

Health Scientist / EtO Incident Response

Office of Readiness and Response  
Office of Strategic Partnerships and Technology Innovation



# Today's Panelists, continued



## Bella Pelina

Policy Analyst

Clinical and Scientific Policy Staff  
Office of Product Evaluation and Quality



## Jennifer Berg

Senior Staff Fellow

Office of Health Technology 4  
Office of Product Evaluation and Quality



## Jhumur Banik

Team Lead / Biomedical Engineer

Office of Regulatory Programs  
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## David Saylor, PhD

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## Yunzhi (Bonnie) Liu, PhD

Research Scientist

Division of Biology, Chemistry, and Materials Science  
Office of Science and Engineering Laboratories



Center for Devices and Radiological Health  
U.S. Food and Drug Administration

# Today's Panelists, continued



## CDR Scott Steffen, PhD

Senior Program Management Officer /  
EtO Incident Lead

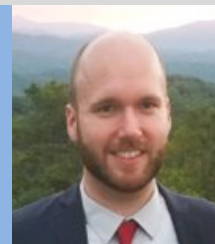
Office of Readiness and Response  
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## Christopher Dugard, MS

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## Tammy Beckham, DVM, PhD

Director

Office of Supply Chain Resilience  
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## Aftin Ross, PhD

Deputy Director

Office of Readiness and Response  
Office of Strategic Partnerships and Technology Innovation



# Opening Remarks

**Suzanne Schwartz, MD, MBA**  
Director

Office of Strategic Partnerships and Technology Innovation

A portrait photograph of Suzanne Schwartz, MD, MBA. She is a woman with short, light-colored hair, wearing glasses and a dark, ribbed turtleneck sweater. She is positioned in front of a light blue background with a portion of the American flag visible on the left side.

# Welcome

**Lisa Simone, PhD**

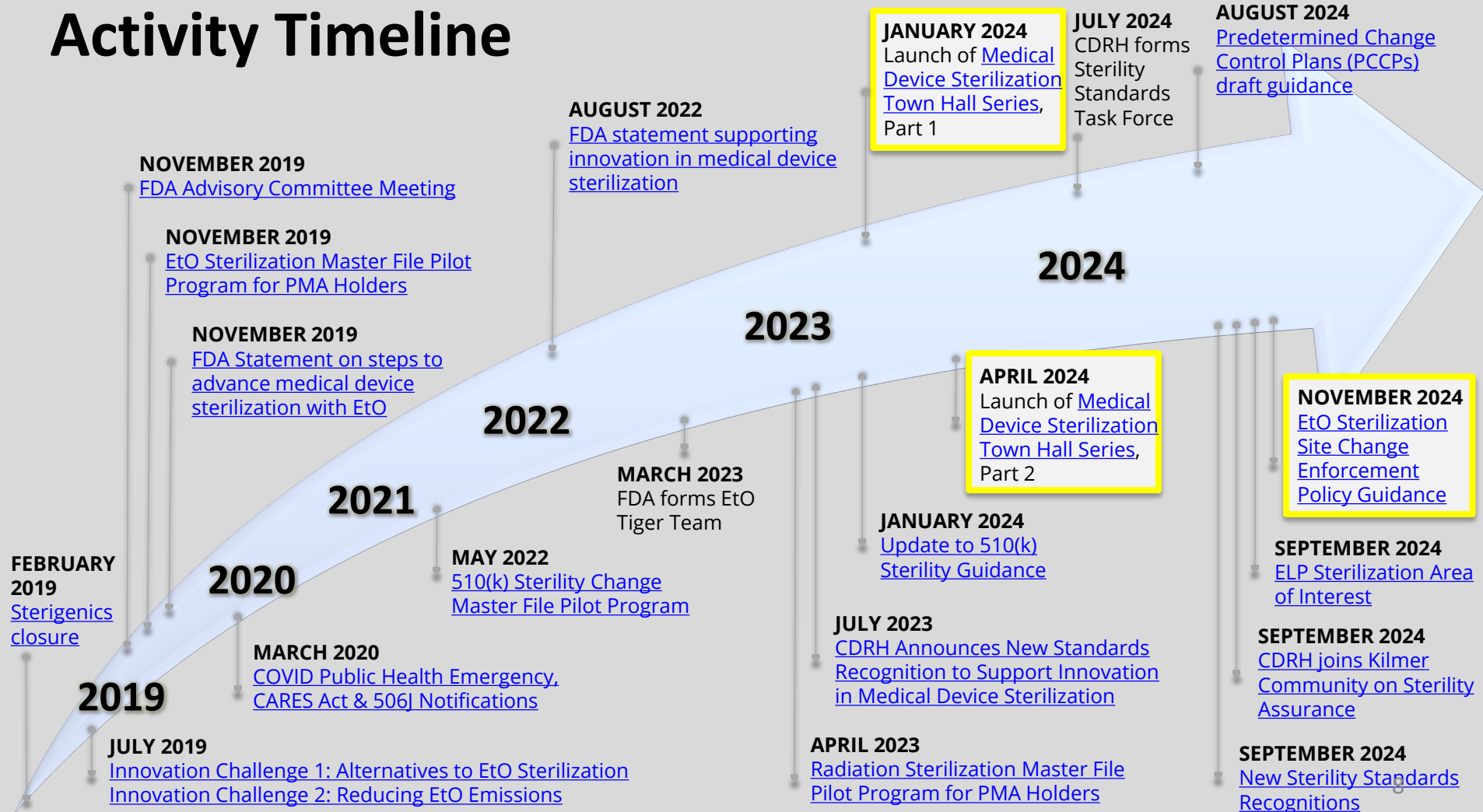
Senior Health Scientist / EtO Incident Lead

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# Activity Timeline



# Discussion Topics

- Topic 1: Discuss the new Transitional Enforcement Policy for Ethylene Oxide Sterilization Facility Changes for Class III Devices Guidance and a brief comparison to the existing PDA/HDE enforcement policies
- Topic 2: Share research and modeling on diffusion of vaporized hydrogen peroxide (VH<sub>2</sub>O<sub>2</sub>) through select polymeric materials and discuss the potential impacts
- Topic 3: Discuss the scope and impact of the Sterilization Town Hall series and related FDA activities, and explore potential next steps in the continued effort to reduce reliance on ethylene oxide for sterilization of medical devices

# **Topic 1: Transitional Enforcement Policy for Ethylene Oxide Sterilization Facility Changes for Class III Devices Guidance**



# Why was the Transitional Enforcement Policy for Ethylene Oxide Sterilization Facility Changes for Class III Devices Guidance developed?

**MODERATOR:**

**Ryan Ortega, PhD**

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**Bella Pelina**

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Senior Staff Fellow

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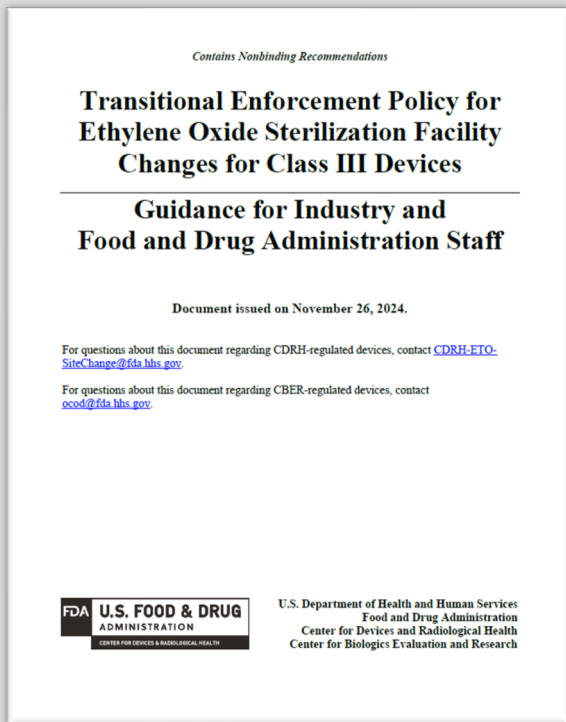
**Jhumur Banik**

Team Lead / Biomedical Engineer

OPEQ, Office of Regulatory Programs, PMA/HDE/Q-Sub and Device Lifecycle Tracking



# EtO Sterilization Site Change Enforcement Policy Guidance



This guidance is intended to communicate FDA's policy regarding sterilization site changes for EtO sterilized PMA and HDE devices.

# Why was the Transitional Enforcement Policy for Ethylene Oxide Sterilization Facility Changes for Class III Devices Guidance developed?

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# What is the enforcement policy being introduced?



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# How is this policy different than other PMA/HDE enforcement policies noted in other guidance documents?

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PMA/HDE/Q-Sub and Device Lifecycle Tracking



## **Topic 2: Research and modeling on diffusion of vaporized hydrogen peroxide (VH<sub>2</sub>O<sub>2</sub>) through select polymeric materials**

# Modeling vaporized hydrogen peroxide (VH<sub>2</sub>O<sub>2</sub>) penetration through medical device materials

**MODERATOR:**

**Jon Weeks, PhD**

Assistant Director

OSEL, Biology, Chemistry, and Materials Science



**David Saylor, PhD**

Research Materials Engineer

OSEL, Biology, Chemistry, and Materials Science



**Yunzhi (Bonnie) Liu, PhD**

Research Scientist

OSEL, Biology, Chemistry, and Materials Science



FDA/CDRH: Yunzhi Liu, Sara Linden, Iskinder Arsano, David Saylor, Jon Weeks, Michael Eppihimer

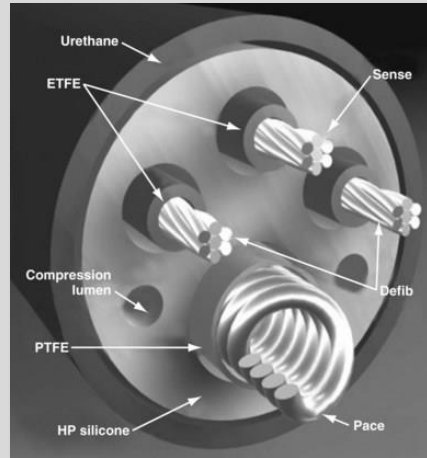
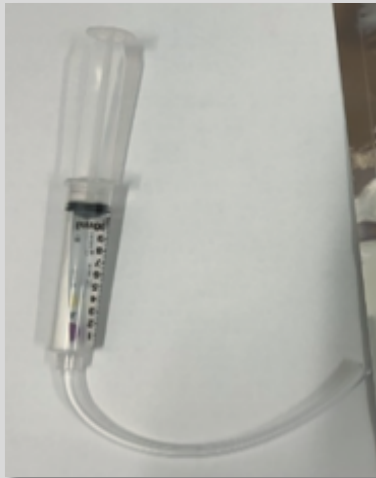
Medtronic: Ioan Gitsov, Maruti Sinha, Angie Hendrickx, Kimberly Chaffin

# Motivation and Need

## Motivation

- Increase the usability and adoption of alternative gaseous sterilants (e.g., VH2O2).

McEvoy, B. et al. Journal of Applied Microbiology 134, 2023.



<https://thoracickey.com/engineering-and-construction-of-pacemaker-and-icd-leads-2/>

## Need

- While modeling is routinely used to support radiation-based sterilization, gas/vapor-based modalities currently rely solely on empirical evidence.
- Models of VH2O2 permeation could facilitate cycle development and scalability of sterilization parameters.

E.g., Sterilization of enclosed surfaces via permeation through device materials



# Approach

Objective: Develop a physics-based computational model for VH2O2 permeation through medical device polymers

Challenge: Collecting data for model calibration and validation is resource intensive; e.g., FDA does not have access to commercial sterilizers

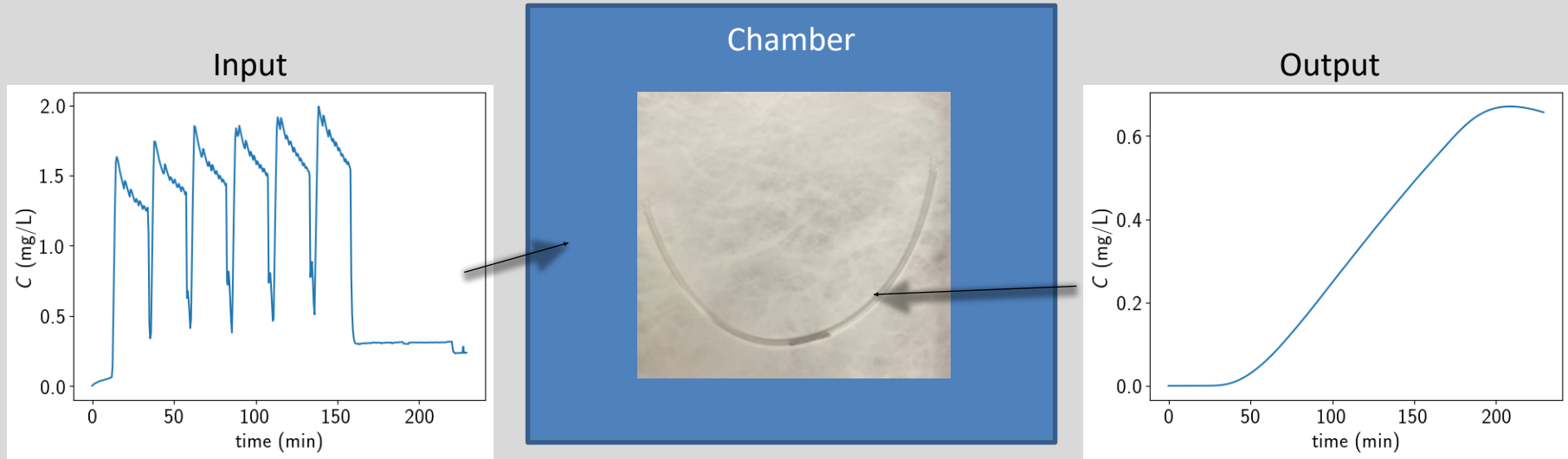
Solution: Industry partnership: FDA and Medtronic

## Disclaimer

The findings and conclusions reported herein have not been formally disseminated by the Food and Drug Administration and should not be construed to represent any agency determination or policy. The mention of commercial products, their sources, or their use in connection with material reported herein is not to be construed as either an actual or implied endorsement of such products by Department of Health and Human Services.

# Physics-based model

- Links applied concentration (chamber) to concentration at interior surfaces:

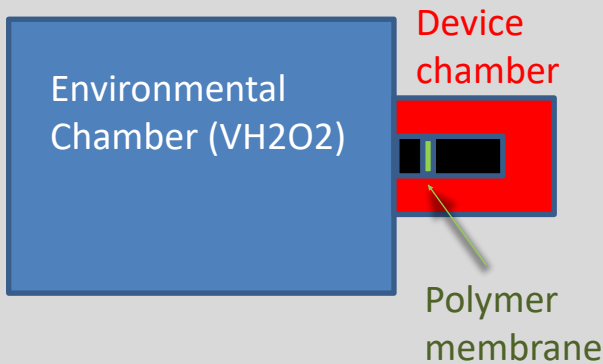


- Requires geometric information and material specific parameters

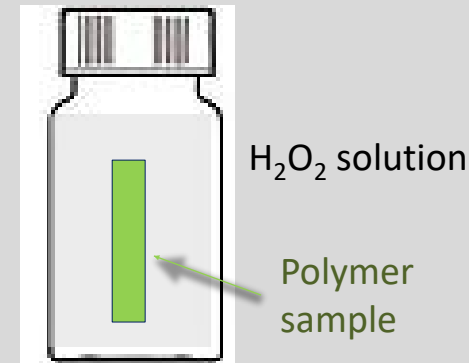
# Material parameters: test methods



## Vapor penetration



## Swelling

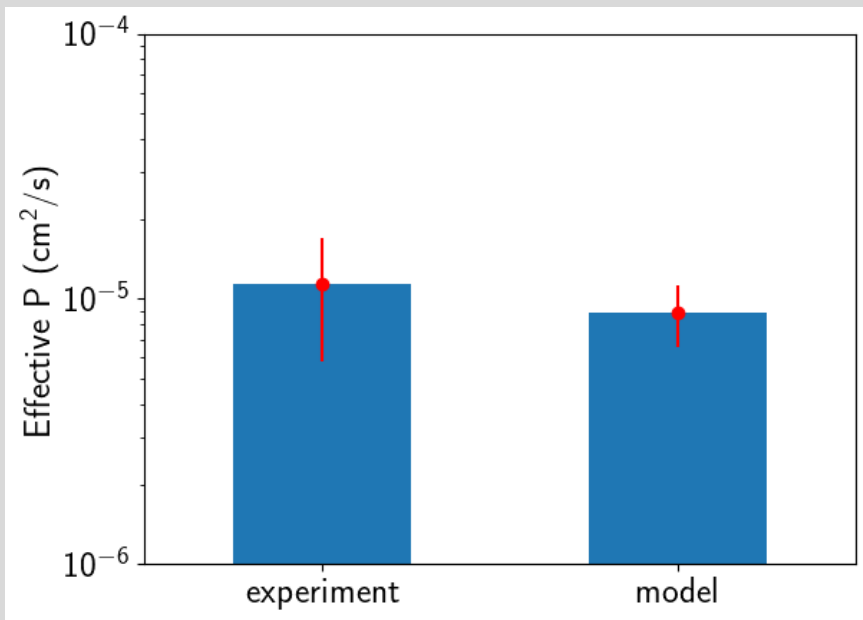


- Validated theoretical link between liquid and vapor phase behavior
- Swelling provides a simple, facile method to evaluate device materials

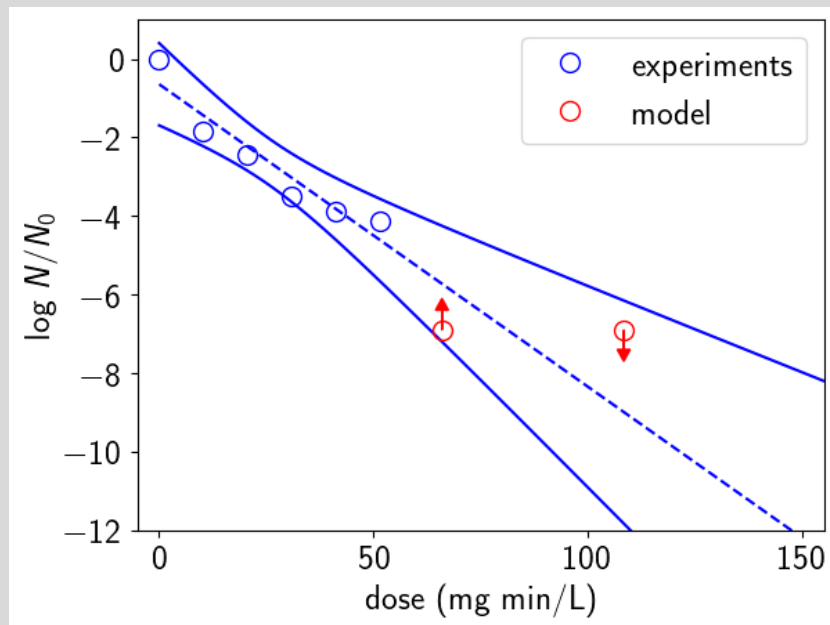
# Validation (preliminary)



## Composite material effective permeability (P)



## Microbial inactivation kinetics



# Considerations for Potential Applications



Inform cycle development: predict if cycle parameters will provide sufficient dose to interior surfaces of device constructs

Material screening: establish conditions and acceptance criteria for simple swelling test to evaluate the suitability of a device material for VH2O2 sterilization

Process control device (PCD) validation: demonstrate that permeation into PCD is more conservative than target device(s)

# **Topic 3: Activity updates, impact of the Sterilization Town Hall series, and Next Steps**

# Can you share any further updates about FDA activities?



**MODERATOR:**

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**CDR Tamara Rosbury, PhD**

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# In what ways did the Sterilization Town Hall Series adapt to help FDA engage industry and inform our activities?

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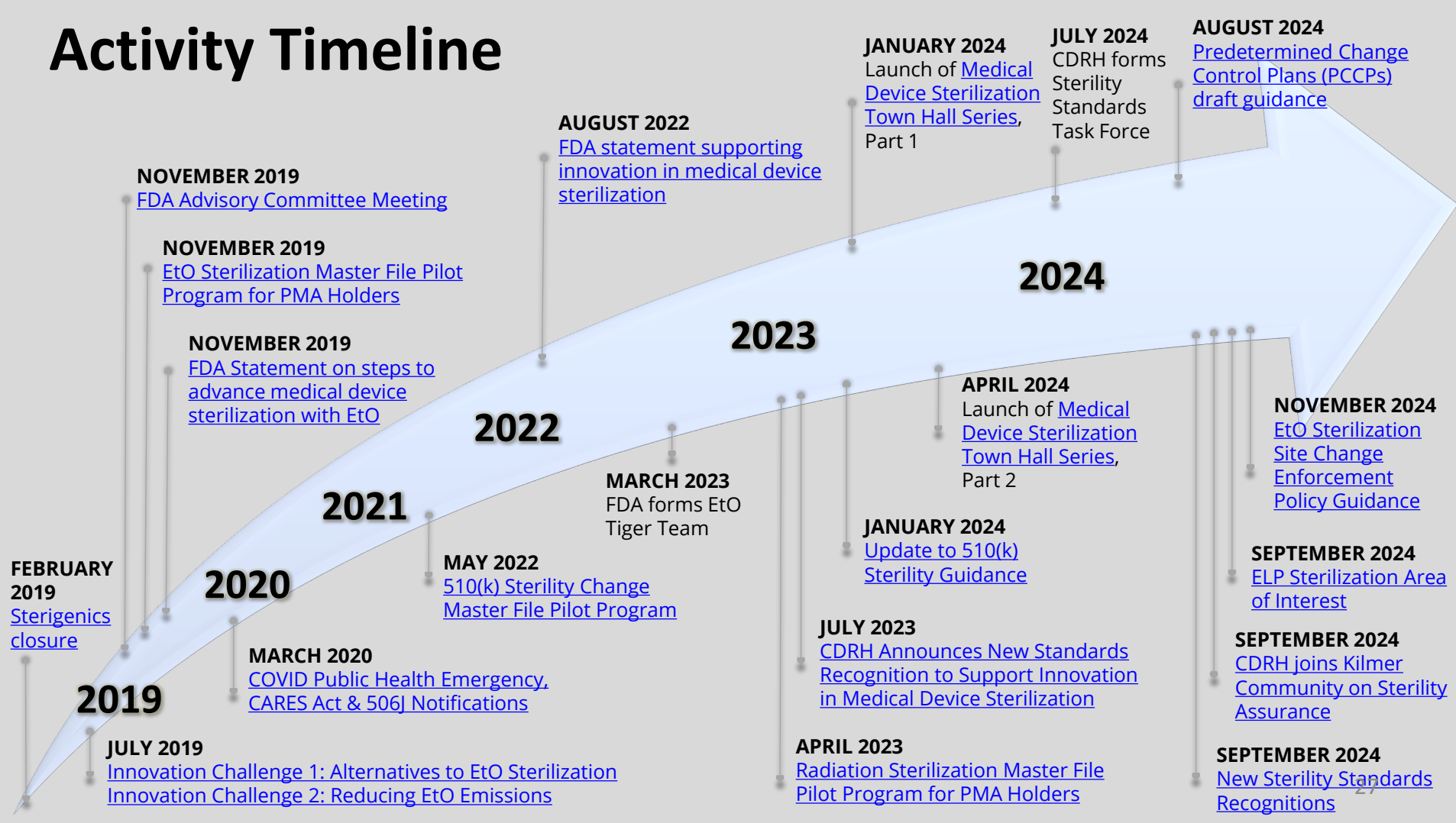
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Incident Response Lead  
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# Activity Timeline



# CDRH Learn, “Specialty Technical Topics”, Sterility

Medical Device Sterilization Town Hall Series *(Updated 11/19/24)*

Series Number	Topic	Date	Materials
01	Medical Device Sterilization Town Hall Overview	01/10/2024	<a href="#">Presentation</a> <a href="#">Transcript Slides</a>
02	FDA's Sterilization Related Activities	01/26/2024	
03	Premarket Pt 1: New submissions & considerations	02/07/2024	
04	Premarket Pt 2: Modifications and Master Files	02/29/2024	
05	Premarket Pt 3: Use of Standards & Standards Development	03/21/2024	
06	Topics and Formats for the Continuing Town Hall Series	04/29/2024	
07	Sterilization Method Selection	05/23/2024	

08	Sterilization Open Q&A	06/12/2024	<a href="#">Presentation</a> <a href="#">Transcript Slides</a>
09	Mock Pre-Submission for Sterilization Method Change	07/10/2024	<a href="#">Presentation</a> <a href="#">Transcript Slides</a>
10	Bioburden, bacterial endotoxin and packaging integrity testing for sterile medical devices	08/07/2024	<a href="#">Presentation</a> <a href="#">Transcript Slides</a>
11	Effective use of Sterility Master Files	09/11/2024	<a href="#">Presentation</a> <a href="#">Transcript Slides</a>
12	Predetermined Change Control Plans (PCCPs)	10/09/2024	<a href="#">Presentation</a> <a href="#">Transcript Slides</a>
13	Supporting medical device innovators and bundling sterility submissions	10/30/2024	<a href="#">Presentation</a> <a href="#">Transcript Slides</a>
14	Recognized consensus standards and biocompatibility assessment considerations	11/20/2024	<a href="#">Presentation</a> <a href="#">Transcript Slides</a>

## Premarket

Sterility submission expectations;  
Mock Pre-submission; Biocompatibility assessment considerations; Bioburden, bacterial endotoxin and packaging integrity testing

## New/updated Guidance

EtO Sterilization Site Change Enforcement Policy Guidance; 510(k) Sterility Guidance Updates

## Activities for Innovators

Q-Submission Program; DICE; CDRH Learn; Regulatory Education for Industry (REDI Conference); Sterilization Town Hall Series; SBIR Grants

## Sterility Standards & Recognitions

List 60: ISO22441, TIR17, TIR104;  
List 63: ISO11737-3, ISO11140-1, ISO13004;  
Standards use in premarket submissions

## Sterilization Modalities

Diffusion of vaporized hydrogen peroxide (VH2O2) through select polymeric materials; Sterilization Method Selection for New and Existing Devices

## Use of Existing Guidance

Predetermined Change Control Plans (PCCPs); Bundling sterility submissions; FDA Modifications (Mods) Guidances

# Topic areas in the Sterilization Town Hall Series

## Collaboration

RCAs; Kilmer Community on Sterility Assurance; Conferences and Workshops

## Education for CDRH Staff

Experiential Learning Program (ELP); Informational Q-subs

## Participant Feedback

"What we heard from you" mailbox questions; Live Q&A segments; Live polling for feedback

## Incentive Structures

Master File Pilot Programs; Innovation Challenges; Use of Device Master Files in Premarket Submissions; EtO Sterilization Site Change Enforcement Policy Guidance

## FDA Internal Initiatives

EtO Tiger Team; Focal Point Programs; Sterilization Standards Task Force

## Other Explorations

E-labeling to reduce EtO use; International harmonization/reliance

# Looking forward, what activities might we continue to engage in as an industry, to reduce reliance on EtO and increase resiliency in the sterilization landscape?

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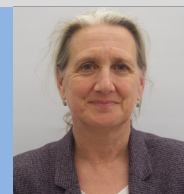
OST, Office of Readiness and Response



**Tammy Beckham, DVM, PhD**

Director

OST, Supply Chain Resilience



# Contacting Us

Follow-ups to this town hall series: [MedicalDeviceSterilization@fda.hhs.gov](mailto:MedicalDeviceSterilization@fda.hhs.gov)

Office of Supply Chain Resilience (OSCR): [Deviceshortages@fda.hhs.gov](mailto:Deviceshortages@fda.hhs.gov)

Division of Industry and Consumer Education (DICE): [DICE@fda.hhs.gov](mailto:DICE@fda.hhs.gov)

Medical Device Sterilization website <https://www.fda.gov/medical-devices/general-hospital-devices-and-supplies/sterilization-medical-devices>

# Resources

Slide Number	Cited Resource	URL
8, 27	Sterigenics closure	<a href="http://www.epa.gov/il/sterigenics-willowbrook-facility">www.epa.gov/il/sterigenics-willowbrook-facility</a>
8, 27	Innovation Challenge 1: Alternatives to EtO Sterilization	<a href="http://www.fda.gov/medical-devices/general-hospital-devices-and-supplies/fda-innovation-challenge-1-identify-new-sterilization-methods-and-technologies">www.fda.gov/medical-devices/general-hospital-devices-and-supplies/fda-innovation-challenge-1-identify-new-sterilization-methods-and-technologies</a>
8, 27	Innovation Challenge 2: Reducing EtO Emissions	<a href="http://www.fda.gov/medical-devices/general-hospital-devices-and-supplies/fda-innovation-challenge-2-reduce-ethylene-oxide-emissions">www.fda.gov/medical-devices/general-hospital-devices-and-supplies/fda-innovation-challenge-2-reduce-ethylene-oxide-emissions</a>
8, 27	FDA Advisory Committee Meeting	<a href="http://www.fda.gov/advisory-committees/advisory-committee-calendar/november-6-7-2019-general-hospital-and-personal-use-devices-panel-medical-devices-advisory-committee">www.fda.gov/advisory-committees/advisory-committee-calendar/november-6-7-2019-general-hospital-and-personal-use-devices-panel-medical-devices-advisory-committee</a>
8, 27	EtO Sterilization Master File Pilot Program for PMA Holders	<a href="http://www.federalregister.gov/documents/2019/11/26/2019-25631/center-for-devices-and-radiological-health-ethylene-oxide-sterilization-master-file-pilot-program">www.federalregister.gov/documents/2019/11/26/2019-25631/center-for-devices-and-radiological-health-ethylene-oxide-sterilization-master-file-pilot-program</a>
8, 27	FDA Statement on steps to advance medical device sterilization with EtO	<a href="http://www.fda.gov/news-events/press-announcements/statement-new-steps-advance-innovation-medical-device-sterilization-ethylene-oxide">www.fda.gov/news-events/press-announcements/statement-new-steps-advance-innovation-medical-device-sterilization-ethylene-oxide</a>
8, 27	COVID Public Health Emergency, CARES Act & 506J Notifications	<a href="http://www.fda.gov/medical-devices/medical-device-safety/medical-device-supply-chain-and-shortages">www.fda.gov/medical-devices/medical-device-safety/medical-device-supply-chain-and-shortages</a>
8, 27	FDA statement supporting innovation in medical device sterilization	<a href="http://public4.pagefreezer.com/content/FDA/07-09-2023T11:58/https://www.fda.gov/news-events/press-announcements/fda-continues-efforts-support-innovation-medical-device-sterilization">public4.pagefreezer.com/content/FDA/07-09-2023T11:58/https://www.fda.gov/news-events/press-announcements/fda-continues-efforts-support-innovation-medical-device-sterilization</a>
8, 27	510(k) Sterility Change Master File Pilot Program	<a href="http://www.federalregister.gov/documents/2022/05/20/2022-10925/medical-devices-510k-sterility-change-master-file-pilot-program">www.federalregister.gov/documents/2022/05/20/2022-10925/medical-devices-510k-sterility-change-master-file-pilot-program</a>
8, 27	Radiation Sterilization Master File Pilot Program for PMA Holders	<a href="http://www.fda.gov/medical-devices/medical-devices-news-and-events/cdrh-announces-radiation-sterilization-master-file-pilot-program">www.fda.gov/medical-devices/medical-devices-news-and-events/cdrh-announces-radiation-sterilization-master-file-pilot-program</a>

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Slide Number	Cited Resource	URL
8, 27	CDRH Announces New Standards Recognition to Support Innovation in Medical Device Sterilization	<a href="https://www.fda.gov/medical-devices/medical-devices-news-and-events/cdrh-announces-new-standards-recognition-support-innovation-medical-device-sterilization">public4.pagefreezer.com/content/FDA/05-11-2023T15:04/https://www.fda.gov/medical-devices/medical-devices-news-and-events/cdrh-announces-new-standards-recognition-support-innovation-medical-device-sterilization</a>
8, 27	Update to 510(k) Sterility Guidance	<a href="https://www.fda.gov/regulatory-information/search-fda-guidance-documents/submission-and-review-sterility-information-premarket-notification-510k-submissions-devices-labeled">www.fda.gov/regulatory-information/search-fda-guidance-documents/submission-and-review-sterility-information-premarket-notification-510k-submissions-devices-labeled</a>
8, 27	FDA Medical Device Sterilization Town Hall Series, Parts 1 and 2	<a href="https://www.fda.gov/medical-devices/general-hospital-devices-and-supplies/sterilization-medical-devices#town-halls">www.fda.gov/medical-devices/general-hospital-devices-and-supplies/sterilization-medical-devices#town-halls</a>
8, 27	Predetermined Change Control Plans (PCCPs) draft guidance	<a href="https://www.fda.gov/regulatory-information/search-fda-guidance-documents/predetermined-change-control-plans-medical-devices">www.fda.gov/regulatory-information/search-fda-guidance-documents/predetermined-change-control-plans-medical-devices</a>
8, 27	New Sterility Standards Recognitions	<a href="https://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfstandards/results.cfm?start_search=1&amp;effectivedatefrom=09/09/2024&amp;effectivedateto=09/10/2024">www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfstandards/results.cfm?start_search=1&amp;effectivedatefrom=09/09/2024&amp;effectivedateto=09/10/2024</a>
8, 27	CDRH joins Kilmer Community on Sterility Assurance	<a href="https://www.fda.gov/about-fda/cdrh-strategic-priorities-and-updates/collaborative-communities-addressing-health-care-challenges-together#cdrhparticipation">www.fda.gov/about-fda/cdrh-strategic-priorities-and-updates/collaborative-communities-addressing-health-care-challenges-together#cdrhparticipation</a>
8, 27	ELP Sterilization Area of Interest	<a href="https://www.fda.gov/science-research/fda-stem-outreach-education-and-engagement/experiential-learning-program-elp-areas-interest#reprocessing">www.fda.gov/science-research/fda-stem-outreach-education-and-engagement/experiential-learning-program-elp-areas-interest#reprocessing</a>
8, 27	EtO Sterilization Site Change Enforcement Policy Guidance	<a href="https://www.fda.gov/regulatory-information/search-fda-guidance-documents/transitional-enforcement-policy-ethylene-oxide-sterilization-facility-changes-class-iii-devices">www.fda.gov/regulatory-information/search-fda-guidance-documents/transitional-enforcement-policy-ethylene-oxide-sterilization-facility-changes-class-iii-devices</a>

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Slide Number	Cited Resource	URL
11	Verbal reference to EPA’s final rule to amend its National Emission Standards for Hazardous Air Pollutants (NESHAP)	<a href="http://www.epa.gov/stationary-sources-air-pollution/ethylene-oxide-emissions-standards-sterilization-facilities">www.epa.gov/stationary-sources-air-pollution/ethylene-oxide-emissions-standards-sterilization-facilities</a>
11	Verbal reference to Transitional Enforcement Policy for Ethylene Oxide Sterilization Facility Changes for Class III Devices	<a href="http://www.fda.gov/regulatory-information/search-fda-guidance-documents/transitional-enforcement-policy-ethylene-oxide-sterilization-facility-changes-class-iii-devices">www.fda.gov/regulatory-information/search-fda-guidance-documents/transitional-enforcement-policy-ethylene-oxide-sterilization-facility-changes-class-iii-devices</a>
15	Verbal reference to Enforcement Policy for Certain Supplements for Approved PMA or HDE Submissions	<a href="http://www.fda.gov/regulatory-information/search-fda-guidance-documents/enforcement-policy-certain-supplements-approved-premarket-approval-pma-or-humanitarian-device">www.fda.gov/regulatory-information/search-fda-guidance-documents/enforcement-policy-certain-supplements-approved-premarket-approval-pma-or-humanitarian-device</a>
28	CDRH Learn	<a href="http://www.fda.gov/training-and-continuing-education/cdrh-learn">www.fda.gov/training-and-continuing-education/cdrh-learn</a>
30	Verbal reference to link for submitting notifications through the 506J process	<a href="http://fda-cdrh.my.salesforce-sites.com/shortages">fda-cdrh.my.salesforce-sites.com/shortages</a>



# Summary

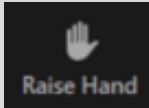
- Discussed the new Transitional Enforcement Policy for Ethylene Oxide Sterilization Facility Changes for Class III Devices Guidance and a brief comparison to the existing PMA/HDE enforcement policies
- Shared research and modeling on diffusion of vaporized hydrogen peroxide (VH<sub>2</sub>O<sub>2</sub>) through select polymeric materials and discussed considerations for potential applications
- Discussed the scope and impact of the Sterilization Town Hall series and related activities, and explored potential next steps in the continued effort to reduce reliance on ethylene oxide for sterilization of medical devices



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ADMINISTRATION

# Let's Take Your Questions and Comments



- **To ask a question/share a comment:** A black square icon with a white hand symbol and the text "Raise Hand" below it.
  - Raise your hand in Zoom
  - Moderator will announce your name and invite you to speak
  - Unmute yourself when prompted in Zoom to speak
- **When asking a question/sharing a comment:**
  - Keep question/comment as short as possible
  - No questions about specific submissions
- **After question/comment is addressed:**
  - Mute yourself and lower your hand
  - If you have another question/comment - raise your hand again

Additional questions/comments about today's presentation

- Email: [MedicalDeviceSterilization@fda.hhs.gov](mailto:MedicalDeviceSterilization@fda.hhs.gov)

# Thanks for Joining Today!

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  - Email: [MedicalDeviceSterilization@fda.hhs.gov](mailto:MedicalDeviceSterilization@fda.hhs.gov)
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  - [www.fda.gov/CDRHevents](http://www.fda.gov/CDRHevents)



Start Here/The Basics! (Updated 10/29/2024) <a href="#">MDUFA Small Business Program, Registration and Listing</a>	▼
How to Study and Market Your Device - (New module 10/18/24) 510k, De Novo, IDE, PMA, HUD/HDE, Q-Submissions, Standards, Classification	▼
Postmarket Activities (Updated module 10/16/24) Quality System, QMSR, Exporting, Device Recalls, MDR, Inspection - Global Harmonization	▼
In Vitro Diagnostics - (Updated 10/29/24) IVD Development, CLIA, and Virtual Town Hall Series	▼
Unique Device Identification (UDI) System	▼
<b>Specialty Technical Topics - (Updated 11/27/24)</b>	▼
Radiation-Emitting Products	▼
510(k) Third Party Review Program (for Third Party Review Organizations)	▼
Industry Basics Workshop Series	▼