



Jim Lassiter
REJIMUS, Inc.
600 W. Santa Ana Blvd.
Suite 1100
Santa Ana, CA 92701

Re: GRAS Notice No. GRN 001088

Dear Mr. Lassiter:

The Food and Drug Administration (FDA, we) completed our evaluation of GRN 001088. We received the notice that you submitted on behalf of Cell Biotech Co. Ltd. (CBI) on May 12, 2022, and filed it on January 24, 2023. CBI submitted amendments to the notice on May 26, 2023, June 23, 2023, October 6, 2023, and October 17, 2023, providing clarifying information about the microorganism, manufacturing method, stability, specifications, including revised heavy metal specifications, results from additional batch analyses, and clarification on the dietary exposure assessment.

The subject of the notice is *Lactococcus lactis* subsp. *lactis* KCTC 11865BP for use as an ingredient at a level up to 10^9 colony forming units (CFU)/serving in liquid unflavored milk.¹ The notice informs us of CBI's view that this use of *L. lactis* subsp. *lactis* KCTC 11865BP is GRAS through scientific procedures.

CBI describes *L. lactis* subsp. *lactis* KCTC 11865BP as a light brown powder. CBI states that *L. lactis* subsp. *lactis* KCTC 11865BP is a non-pathogenic, non-toxicogenic, non-spore forming, Gram-positive, rod-shaped bacterium. The strain was isolated from fermented food and is deposited in the strain collection of the Korean Collection for Type Cultures (KCTC) in Jeongeup-si, South Korea. CBI discusses the results of the phenotypic and genotypic characterization used to confirm the strain's identity.

CBI describes the manufacture of *L. lactis* subsp. *lactis* KCTC 11865BP by fermentation of a pure culture under controlled conditions. After fermentation, the bacterial cells are separated from the fermentation media by centrifugation. Following this, coating ingredients are added to water, mixed, sterilized with saturated steam, and blended with the concentrated bacterial cells and corn starch. The resulting product is quick-frozen, freeze dried, milled, and blended with corn starch to a standardized cell count. CBI states that the manufacturing process is monitored for contamination, and that *L. lactis* subsp. *lactis* KCTC 11865BP is manufactured under current good manufacturing

¹ CBI states that *L. lactis* subsp. *lactis* KCTC 11865BP is not intended for use in infant formula, food products intended for infants and young children, or in food products under the jurisdiction of the United States Department of Agriculture.

practices and that all raw materials are food-grade and are used in accordance with existing U.S. authorizations.

CBI provides specifications for *L. lactis* subsp. *lactis* KCTC 11865BP that include viable cell count ($\geq 10^{11}$ CFU/g); limits for heavy metals, including lead (≤ 0.01 mg/kg); and microorganisms, including coliforms (absent in 10 g), yeast and mold (≤ 10 CFU/g), *Escherichia coli* (absent in 1 g), *Staphylococcus aureus* (absent in 25 g), *Salmonella* serovars (absent in 25 g), and *Listeria monocytogenes* (absent in 25 g). CBI provides the results from the analyses of three non-consecutive batches to demonstrate that the ingredient can be manufactured to conform with the provided specifications.

CBI estimates an eaters-only dietary exposure to *L. lactis* subsp. *lactis* KCTC 11865BP from the intended use to be 8.94×10^8 CFU/person (p)/d at the mean and 1.85×10^9 CFU/p/d at the 90th percentile for the U.S. population aged 2 years or older based on food consumption data from the 2017-2018 National Health and Nutrition Examination Survey (NHANES).

CBI cites published literature that documents the history of safe use of *L. lactis* subsp. *lactis* in human foods, including in fermented foods. CBI summarizes published literature and governmental evaluations that support the safe consumption of *L. lactis* subsp. *lactis*, including *L. lactis* subsp. *lactis* KCTC 11865BP, with no serious adverse effects reported. CBI further concludes that the phenotypic characteristics of *L. lactis* subsp. *lactis* KCTC 11865BP do not pose a safety concern (i.e., production of antimicrobials, production of secondary metabolites, antibiotic resistance).

Based on the totality of evidence, CBI concludes that *L. lactis* subsp. *lactis* KCTC 11865BP is GRAS for its intended use.

Standards of Identity

In the notice, CBI states its intention to use *L. lactis* subsp. *lactis* KCTC 11865BP in a food category for which standards of identity exist, located in Title 21 of the CFR. We note that an ingredient that is lawfully added to food products may be used in a standardized food only if it is permitted by the applicable standard of identity.

Potential Labeling Issues

Under section 403(a) of the Federal Food, Drug, and Cosmetic Act (FD&C Act), a food is misbranded if its labeling is false or misleading in any way. Section 403(r) of the FD&C Act lays out the statutory framework for labeling claims characterizing a nutrient level in a food or the relationship of a nutrient to a disease or health-related condition (also referred to as nutrient content claims and health claims). If products containing *L. lactis* subsp. *lactis* KCTC 11865BP bear any nutrient content or health claims on the label or in labeling, such claims are subject to the applicable requirements and are under the purview of the Office of Nutrition and Food Labeling (ONFL) in the Center for Food Safety and Applied Nutrition (CFSAN). The Office of Food Additive Safety (OFAS) did

not consult with ONFL on this issue or evaluate any information in terms of labeling claims. Questions related to food labeling should be directed to ONFL.

Allergen Labeling

The FD&C Act requires that the label of a food that is or contains an ingredient that contains a “major food allergen” declare the allergen’s presence (section 403(w)). The FD&C Act defines a “major food allergen” as one of nine foods or food groups (i.e., milk, eggs, fish, Crustacean shellfish, tree nuts, peanuts, wheat, soybeans, and sesame) or a food ingredient that contains protein derived from one of those foods.

L. lactis subsp. *lactis* KCTC 11865BP may require labeling under the FD&C Act because it may contain protein derived from soy. Questions about petitions or notifications for exemptions from the food allergen labeling requirements should be directed to the Division of Food Ingredients in OFAS. Questions related to food labeling in general should be directed to ONFL in CFSAN.

Section 301(ll) of the FD&C Act

Section 301(ll) of the FD&C Act prohibits the introduction or delivery for introduction into interstate commerce of any food that contains a drug approved under section 505 of the FD&C Act, a biological product licensed under section 351 of the Public Health Service Act, or a drug or a biological product for which substantial clinical investigations have been instituted and their existence made public, unless one of the exemptions in section 301(ll)(1)-(4) applies. In our evaluation of CBI’s notice concluding that *L. lactis* subsp. *lactis* KCTC 11865BP is GRAS under its intended conditions of use, we did not consider whether section 301(ll) or any of its exemptions apply to foods containing *L. lactis* subsp. *lactis* KCTC 11865BP. Accordingly, our response should not be construed to be a statement that foods containing *L. lactis* subsp. *lactis* KCTC 11865BP, if introduced or delivered for introduction into interstate commerce, would not violate section 301(ll).

Conclusions

Based on the information that CBI provided, as well as other information available to FDA, we have no questions at this time regarding CBI’s conclusion that *L. lactis* subsp. *lactis* KCTC 11865BP is GRAS under its intended conditions of use. This letter is not an affirmation that *L. lactis* subsp. *lactis* KCTC 11865BP is GRAS under 21 CFR 170.35. Unless noted above, our review did not address other provisions of the FD&C Act. Food ingredient manufacturers and food producers are responsible for ensuring that marketed products are safe and compliant with all applicable legal and regulatory requirements.

In accordance with 21 CFR 170.275(b)(2), the text of this letter responding to GRN 001088 is accessible to the public at www.fda.gov/grasnoticeinventory.

Sincerely,

Susan J. Carlson -S

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Susan Carlson, Ph.D.
Director
Division of Food Ingredients
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