REVIEW CRITERIA FOR PREMARKET APPROVAL OF IN VITRO DIAGNOSTIC
DEVICES FOR DETECTION OF ANTIBODIES TO PARVOVIRUS B19

This is a flexible document representing the current major
concerns and suggestions regarding in vitro diagnostic devices
employing immunochemical or other methodologies for detection of
antibodies to Parvovirus B19 (B19) in human serum or plasma
specimens. It is based on 1) current basic science, 2) clinical
experience, and 3) the Safe Medical Devices Act of 1990 (SMDA)
and FDA regulations in the Code of Federal Regulations (CFR).
As advances are made in science and medicine, these review
criteria will be re-evaluated and revised as necessary to
accommodate new knowledge.

PURPOSE: The purpose of this document is to provide guidance on
information to present to the Food and Drug Administration (FDA)
before a device to detect antibodies to B19 in serum or plasma
specimens may be approved for marketing. This document is an
adjunct to the CFR and the FDA 87-4214 Premarket Approval (PMA)
Manual.

DEFINITION: This generic type device is intended for use in
clinical laboratories as an in vitro diagnostic test for
qualitative or semi-quantitative measurement of antibodies to
B19 in human serum or plasma by immunochemical and other
methodologies. A PMA submission must show the device has
clinical utility and that there is reasonable assurance of its
safety and efficacy.

In addition to this guidance, refer to the National Committee
for Clinical Laboratory Standards (NCCLS), "Specifications for
Immunological Testing for Infectious Diseases"[1]. The NCCLS
document may be used for definitions of terms used in this
guidance document.

PRODUCT CODE(S): To be assigned

CLASSIFICATION: III

PANEL: MICROBIOLOGY (83)

REVIEW REQUIRED: Premarket Approval (PMA)

I.   CLINICAL INDICATION/SIGNIFICANCE/INTENDED USE

Provide a concise discussion to include the following as
appropriate. Support the discussion with key literature
citations.

A.   Background description of the virus and infectious
     process(es).

B.   Description of etiology for the disease syndromes for which
     this device was developed.

C.   Salient concerns of the medical community including relevant

medical/societal issues that may impact the review process
or possibly the development of public policy.

D.   Significance of false positive and false negative results.

E.   Historical summary of all test methodologies used to detect
      antibodies to B19.

II.   DEVICE DESCRIPTION

Key issues in the PMA review of a new device are the specific
intended use (the analyte detected, the clinical utility, and the
indications for use), the type of specimen tested, and the
technology utilized. The following descriptive information must
be included to adequately characterize the new in vitro device.
Appropriate literature references that have been subjected to peer
review should be attached.

A.   Intended Use.

      Describe the intended use based on the technology/methodology
      employed in the device. The following questions should be
      addressed:

      1.   What patient populations should be tested?

      2.   What are the conditions and limitations for use of the
            device when used to diagnose or manage a specific
            syndrome?

      3.   What is the clinical utility of the device in specific
            patient populations?

B.   Detailed Principle of the Test Methodology.

      Discuss the principle of the test methodology(ies). For new
      types of technologies, provide information to substantiate
      application of the methodology to the detection of specific
      antibodies. Cite literature references where appropriate.
      If available, furnish copies of appropriate scientific
      references for any recombinant or synthetic protein utilized.
      If scientific references are not available, discuss how the
      antigen was determined to be representative of the native
      antigen. Include a complete description of the following
      components if appropriate:

      1.   Any pretreatment procedure.

      2.   Antigen utilized in the assay.

            a.   If a native antigen, from what source was the
                  antigen obtained.

            b.   If a recombinant antigen or synthetic peptide
                  (oligonucleotide), from what source was the native

antigen derived and what nucleic acid or protein sequence was used to prepare the recombinant.

3. Define antisera used in the assay.

    a. Specify the species in which antisera was produced.

    b. If a native antigen was used as the immunogen, identify the source from which the antigen was obtained.

    c. If a recombinant antigen was used as the immunogen, furnish the source of the native nucleic acid and provide the sequence for the derived recombinant.

    d. Explain how the specificity of the antisera was determined.

4. Enzymatic, fluorescent, or other substrate used to detect the antigen-antibody complexes.

5. Determination of the cut-off value(s) or endpoint(s) for the assay. Provide validation data as described in III.A.1.

6. Controls/calibrators included in the assay kit and what aspects of the procedure are verified.

    Quality control material should be representative of and correlate with the intended use and clinical utility of the device. In addition to the specific requirements listed below, the manufacturer should refer to the current FDA CLIA '88 Quality Control Guidance.

    Qualitative assay:

    a. At a minimum, include in the device or make available two controls (positive and negative) in the same matrix as test specimens indicated for use with the assay. Controls should be within a statistically significant range of the cut-off. This range should be appropriate for the statistical method used to determine the cut-off. Provide documentation and justification for the value selected for the control.

    b. Make recommendations and justify the frequency of testing control material.

    Semi-quantitative assay:

    In addition to the controls and recommendations listed for a qualitative assay, include a control at the upper end of the linear range with a known expected value.

7. Any additional reagents or methods which contribute to

the effectiveness of the device.

8.   Collection and transport materials provided in the kit
     or recommended for use.

9.   Software elements and dedicated instrumentation that are
     responsible for specimen handling and/or that are used
     to calculate assay results. See requirements for Minor
     Level of concern in Reviewer Guidance for Computer
     Controlled Medical Devices Undergoing 510(k) Review
     (available from the Division of Small Manufacturers
     Assistance). Furnish the following for dedicated
     instrumentation and software elements:

     a.   Reference premarket notification [510(k)]
          submission for any dedicated instrument.

     b.   Algorithms used to calculate results in either
          dedicated or non-dedicated instruments.

     c.   Mathematical curve-fitting method(s) used when
          results are calculated non-manually.

C.   Merits and Limitations of the Methodology(s).

     Discuss the merits and limitations/advantages/disadvantages
     of the test methodology(ies) of the new device.

D.   Specimen Type(s).

     List all specimen types (matrices) indicated for use with the
     device.

III. SPECIFIC PERFORMANCE CHARACTERISTICS

The FDA requests different types and amounts of data and
statistical analyses to market in-vitro diagnostic devices. The
amount and types of data requested depend on the intended use
and the technological characteristics of the new device. The
data and statistical evaluation should be sufficient to
determine if the device is safe and effective for all claimed
specimen type(s). Additional data may be necessary to
substantiate certain claims of intended use or clinical
significance, and to validate use of a new technology.

Clearly document all protocols for in vitro testing. Present
test data with analyses and conclusions. Summarize results and
include explanations for unexpected results and any additional
testing performed. When appropriate, charts (scattergrams,
histograms, ROC curves, etc.) may be used as part of analyses
and conclusions. Furnish all raw laboratory data.

Submission of the following data is required to determine the
device's ability to detect B19-specific antibodies:

A.   Analytical Laboratory Studies.

1.   Validation of Cut-off and/or Calibration Curve.

Describe the rationale for determination of the assay
cut-off(s). Furnish descriptive information and
laboratory data to show how the cut-off (CO)
(distinction between positivity and negativity) was
determined for the assay.

a.   Define the population used, including the following
information:

(1). geographical area(s) from which the population
was derived;

(2). number of samples comprising the population,
with samples summarized according to sex
and age groups in decades.

(3)   the month of the year during which sample was
collected.

(4). graphical (e.g., histogram, scattergram, etc.)
representation of population characteristics.

b.   Define the statistical method used to determine the
cut-off.

c.   Present ROC analysis of cut-off selection and other
graphical representations as appropriate.

d.   Define the basis for the equivocal zone.

e.   If semi-quantitative, perform appropriate clinical
studies to show the relationship of results to the
diagnostic stage (e.g., early, acute, waning
infection) for which value ranges have been
established. For each diagnostic stage, present
results from a minimum of 10 patients.

f.   For devices which determine interpolated values
(e.g., ELISAs), verify the accuracy and working
range of the calibration curve used by serially
diluting patient samples.

2.   Establish the prevalence of the analyte in two diverse
asymptomatic populations using the specified CO.

a.   Assay a statistically significant number of
specimens which are representative of the intended
use, clinical utility, and matrix of the specimens.

b.   Summarize the distribution of males and females
according to age groups in decades, geographical
area, month of year specimens were collected, and
the number of positive, negative, and equivocal
results.

3. Assay Specificity

   a. Perform cross-reactivity studies with sera
       containing relatively high titers against rubella,
       rubeola (measles), mumps, influenza A, influenza B,
       parainfluenza, HSV1, HSV2, CMV, EBV, VZV, and
       Mycoplasma pneumoniae. Test sera from five (5)
       patients with each disease; the predominate
       antibody class should be the class measured in the
       device. Furnish the antibody "titer" of the
       potential cross-reactant samples and the method
       used to determine the "titer".

   b. If the antigen utilized in the device is a
       recombinant, test sera containing antibodies
       against the organism in which the vectors were
       induced for cross-reactivity with the organism.

   c. If the antisera utilized in the device were
       produced by using a recombinant as the immunogen,
       test sera containing antibodies against the
       organism in which the vectors were induced for
       cross-reactivity with the antisera.

   d. For immunoglobulin class-specific devices, test ten
       sera positive in moderate to high levels for
       specific antibody class other than what is detected
       in the device for type specificity (i.e., if the
       assay is for IgG antibody then test ten sera which
       are positive for IgM antibody to show the device
       will not detect specific IgM antibody). Retest
       following removal of IgM or IgG.

       NOTE: Serum samples may be artificially produced;
       describe method of preparation.

   e. If an absorbent is used to remove interference of
       RF, ANA, and specific IgG, document the amount of
       IgG removed in mg/dL or mg/sample volume. State
       this value in the LIMITATIONS section of the
       package insert.

   f. If device is for the detection of IgM antibodies
       the following studies are required:

       (1). Test five sera containing high levels of RF
            and ANA with virus-specific IgG. Provide
            levels of RF and ANA tested.

       (2). Describe the methods used to determine the
            amounts of virus-specific IgG, RF, and ANA
            present. Devices used should be legally
            marketed devices.

4. Interference Studies.

Any potentially cross-reacting or interfering substances potentially encountered in specific specimen types or conditions should be tested <u>using the assay system</u>, e.g., storage conditions, hemolysis, lipemia, freeze-thawing, etc.

a. Verify that recommended storage conditions are compatible with the assay. State the optimal conditions based on specimen storage stability studies. Both false positivity and false negativity should be evaluated.

b. If the use of plasma is claimed, a study with each anticoagulant must be performed to show the anticoagulant does not interfere with the assay.

   (1). For each anticoagulant, test 10 concurrent serum and plasma specimens which have reactivity near the CO. These specimens may be artificially produced as long as the matrix is maintained and it is noted in the submission that the samples were artificially created.

   (2). For each anticoagulant, test 10 concurrent non-reactive serum and plasma specimens.

c. If heating of the specimen is claimed not to interfere with the assay:

   (1). Test 10 specimens with reactivity near the CO, for each matrix type claimed, heated and not heated. These specimens may be artificially produced as long as the matrix is maintained and it is noted in the submission that the samples were artificially created.

   (2). Test 10 specimens which are non-reactive for each matrix claimed, heated and not heated.

5. Reproducibility

The National Committee for Clinical Laboratory Standards (NCCLS) recommends an analysis of variance experiment that permits estimation of within-run and total standard deviations (SD).[2] See the NCCLS Guideline for recommended data collection formats and calculations. Perform separate calculations for each specimen tested for within-run and total precision.

Test six to ten patient blinded sera with varying degrees of reactivity plus controls supplied with device in triplicate on three different days at three laboratory sites (6-10 sera tested X 3 X 3 days X 3 sites). One testing site may be in-house.

For calculated endpoint tests (e.g., ELISAs), present coefficients of variation for each set of values for with-in run and total precision, using absorbance values and reporting units defined in the test procedure.

For single endpoint assays, provide percentage of results that are negative, borderline/equivocal, or positive for each set of tests.

If dedicated instrumentation is used in specimen handling, or reading and interpreting results, use a different instrument at each site. If non-dedicated instruments are used, state specifications of instrument(s) used at each site.

6.   Stability

Document stability from three different manufactured lots that represent real time studies. Accelerated stability studies are acceptable as interim data only. Include testing to show that the reagents are stable under variable shipping temperatures.

B.   Clinical Studies.

Clinical studies provide data on the ability of the system to accurately detect antibodies to B19. It should be demonstrated that the performance of the device is safe and effective when used as an aid in the diagnosis of specific B19 infections.

Provide the names and telephone numbers of principal investigators and sites at which testing was performed. Clinical testing should be performed by at least three independent investigators at separate independent locations not affiliated with the manufacturer. Identify the clinical laboratory sites by institutional name and address; include the name, title, and phone number of the responsible investigators at each site.

The tests should be performed on an adequate number of positive and negative clinical specimens (following collection, storage, and testing instructions recommended in the package insert) from a population consistent with the intended use of the device. The sensitivity and specificity calculated from this population should be stated in the PERFORMANCE CHARACTERISTICS section of the package insert.

1.   Test samples submitted to the clinical laboratory for the rule out of illness associated with rash or other symptoms of B19 infection.

2.   Clinical confirmation of infection may be done by clinical diagnosis, immune electron microscopy, or other standard methods.

a. Clinical diagnosis may be based on the following
    criteria:

    (1). documented contact with infected individuals
    during a community outbreak (usually April
    through May).

    (2). typical "slapped-cheek" rash which during
    clearing may take on a lacelike appearance on
    the trunk of the body.

    (3). symmetric polyarthropathy.

    (4). absence of increased markers to other
    illnesses associated with rash (measles,
    rubella, etc.).

    (5). reticulocytopenia and a fall in hemoglobin
    concentration

    (6). bone marrow cytology.

For pediatric patients (1) and/or (2) is
considered diagnostic. For immunocompetent
adults, (1), (2) (with or without trunk rash), and
(3) is diagnostic. When the symptomatology is not
diagnostic, at least two of the criteria (1-6)
must be documented for establishing the diagnosis.

b. If other standard testing methods are used, the
    antigen should be different than the antigen
    present in the device. This additional testing
    may be performed at the manufacturer's or other
    site(s). Note in the submission where this
    testing was performed. Provide a description of
    the antigen used, criteria for positivity and
    negativity, and quality control performed.
    Furnish copies of any pertinent scientific
    references for the testing method with the
    submission.

3. As an option, results obtained from a well-documented
    panel of sera such as the CDC panel or a panel
    established by the manufacturer may be included with
    the submission. If a manufacturer's sera panel is
    used, furnish the criteria for defining the diagnosis
    and/or disease stage of the patients from whom samples
    were obtained. This study may be conducted at the
    manufacturer's facility or at a clinical laboratory
    site.

4. Test sera from documented illnesses which produce
    symptoms similar to B19 illness. Provide the assay
    results, final diagnosis, and how the diagnosis was
    determined with the data. Examples of diseases to
    include are:

    a.   Kawasaki disease

    b.   Aplastic anemia caused by other than B19 infection

    c.   Thyroiditis

    d.   Hemolytic uremic syndrome

    e.   Rheumatoid arthritis

    f.   Lupus erythematosus

    g.   Contact dermatitis and other diseases which may
         include a rash (e.g., Lyme disease, Rocky Mountain
         spotted fever, syphilis, meningococcal disease,
         streptococcal infections, toxoplasmosis, etc.)

5.   Additional testing of sera from a small group of
     chronically B-19-infected patients may be appropriate
     depending on the intended use.

IV. LABELING CONSIDERATIONS

The following are additional details for some of the points in the
statute [502(f)(1)] and regulations [21 CFR § 809.10(b)].

A.   The Intended Use Statement

The intended use statement should be a concise description of
the essential information about the product. It should
communicate the following information:

1.   Test methodology.

2.   Whether the assay detects a specific antibody class.

3.   Indications for use.

     These conditions for use may be addressed further in
     either the Summary and Explanation, Limitations, or
     Performance Characteristics section of the package
     insert.

4.   What specimen source(s) may be tested.

5.   If the assay is to be used only with special
     instrumentation.

C.   Specimen Collection and Handling

1.   State the type of specimen to be collected, and the
     types of collection devices which may be used.

2.   State the conditions for patient preparation, e.g.,

timing of collection, order of collection, etc.

3.   Provide adequate directions for sample collection
     and/or references for appropriate collection
     procedures, e.g., textbooks, journals, etc.

4.   Identify interfering substances or conditions.

5.   State the specimen storage conditions and stability
     periods.

D.   <u>Quality Control</u>

Information provided in a Quality Control section should
include the following information:

1.   Provide recommendations for frequency of quality
     control.

2.   Provide directions for interpretation of the results of
     quality control samples.

3.   The Quality Control section should conclude with a
     statement similar to the following: "If controls do not
     behave as expected, results are invalid and patient
     results should not be reported".

4.   Refer to the current CLIA '88 FDA Quality Control
     Guidance for additional information.

E.   <u>Expected Results</u>

1.   Reference expected prevalence of antibodies to B19 in
     different populations.

2.   Indicate that prevalence may vary depending on
     geographical location, age, sex of population studied,
     type of test employed, specimen collection and handling
     procedures, clinical and epidemiological history of
     individual patients, etc.

F.   <u>Limitations of the Test</u>.

List important test limitations and all known
contraindications, with references when appropriate. The
following are examples of statements which may apply:

1.   Anti target-specific viral IgG antibodies may compete
     with the less avid target specific viral IgM antibodies,
     which would decrease the sensitivity of the
     assay.[3] Test only indicated specimen types. Testing of
     other specimen types may result in false negative or
     positive results.

2.   The predictive value of a positive test decreases when
     prevalence decreases. Interpretation of positive

results in a low risk patient population should be made
with caution. Usefulness of this test has only been
established in testing sera from a specific population
(e.g., adult patients with arthropathies).

G.   Performance Characteristics:

Summarize the data upon which the performance characteristics
are based, e.g., clinical sensitivity and specificity
compared to clinical diagnosis; also include summary of
reproducibility studies. Positive and negative predictive
values should be based on specific populations sampled for
each disease syndrome. State the prevalence at each testing
site. Also show the effect of prevalence on positive and
negative predictive values in different test populations.

1.   Present cross-reactivity studies in a tabular form,
     indicating negative, positive, and
     borderline/equivocal/indeterminate results for each
     condition/disease.

2.   Summarize within-run and total reproducibility.

3.   Present data from clinical studies, using separate
     categories for different patient categories. All
     borderline/equivocal/indeterminate results should be
     clearly displayed. Discrepancies between test and
     clinical diagnosis may be discussed and presented as
     footnotes.

V.   **BIBLIOGRAPHY**

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     Evaluation of precision performance of clinical chemistry
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     diagnostic methods for viral, rickettsial and chlamydial
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     procedures for viral, rickettsial, and chlamydial
     infections, 6th edition. Washington, DC: American Public
     Health Assoc. 1989:1-35.

VI. REFERENCES

1.   Anderson LJ. Role of parvovirus B19 in human disease.
     Pediatric Infectious Disease Journal 1987;6:711-718.

2.   Anderson MJ, Lewis E, Kidd IM, et al. An outbreak of
     erythema infeciosum associated with human parvovirus
     infection. J Hyg (Camb) 1984;93:85-93.

3.   Anderson MJ, Higgins PG, Davis LR, et al. Experimental
      parvoviral infection in humans. J Infect Dis
      1985;152:257-265.

4.   Kajigaya S, Shimada T, Fujita S, Young NS. A genetically
      engineered cell line that produces empty capsids of B19
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      1989;86:7601-7605.

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9.   Simpson RW, McGinty L, Simon L, et al. Association of
      parvoviruses with rheumatoid arthritis of humans. Science
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      intrauterine infection with parvovirus B19 by the polymerase
      chain reaction technique. Clin Inf Dis 1992; 14:149-155.

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