

HIGHLIGHTS OF PRESCRIBING INFORMATION

These highlights do not include all the information needed to use ALLOCORD safely and effectively. See full prescribing information for ALLOCORD.

ALLOCORD (HPC, Cord Blood)

Injectable Suspension for Intravenous Use

Initial U.S. Approval: XXXX

WARNING: FATAL INFUSION REACTIONS, GRAFT VERSUS HOST DISEASE, ENGRAFTMENT SYNDROME, AND GRAFT FAILURE

See full prescribing information for complete boxed warning.

- **Fatal infusion reactions: Monitor patients during infusion and discontinue for severe reactions. Use is contraindicated in patients with known allergy to dimethyl sulfoxide (DMSO), Dextran 40 or human serum albumin (4, 5.1, 5.2).**
- **Graft-vs.-host disease (GVHD): GVHD may be fatal. Administration of immunosuppressive therapy may decrease the risk of GVHD (5.3).**
- **Engraftment syndrome: Engraftment syndrome may be fatal. Treat engraftment syndrome promptly with corticosteroids (5.4).**
- **Graft failure: Graft failure may be fatal. Monitor patients for laboratory evidence of hematopoietic recovery (5.5).**

INDICATIONS AND USAGE

ALLOCORD, HPC, Cord Blood, is an allogeneic cord blood hematopoietic progenitor cell therapy indicated for use in unrelated donor hematopoietic progenitor cell transplantation procedures in conjunction with an appropriate preparative regimen for hematopoietic and immunologic reconstitution in patients with disorders affecting the hematopoietic system that are inherited, acquired, or result from myeloablative treatment (1).

The risk benefit assessment for an individual patient depends on the patient characteristics, including disease, stage, risk factors, and specific manifestations of the disease, on characteristics of the graft, and on other available treatments or types of hematopoietic progenitor cells (1).

DOSAGE AND ADMINISTRATION

- For intravenous use only
- Do not irradiate

- Unit selection and administration of ALLOCORD should be done under the direction of a physician experienced in hematopoietic progenitor cell transplantation (2).
- The recommended minimum dose is 2.5×10^7 nucleated cells/kg at cryopreservation (2.1).
- Do not administer ALLOCORD through the same tubing with other products except for normal saline (2.3).

DOSAGE FORMS AND STRENGTHS

Each unit contains a minimum of 5×10^8 total nucleated cells with at least 1.25×10^6 viable CD34+ cells at the time of cryopreservation. The exact cryopreservation nucleated cell content of each unit is provided on the accompanying records (3).

CONTRAINDICATIONS

Known sensitivity to dimethyl sulfoxide (DMSO), Dextran 40 or plasma proteins (4).

WARNINGS AND PRECAUTIONS

- Hypersensitivity Reactions (5.1)
- Infusion Reactions (5.2)
- Graft-versus-Host Disease (5.3)
- Engraftment Syndrome (5.4)
- Graft Failure (5.5)
- Malignancies of Donor Origin (5.6)
- Transmission of Serious Infections (5.7)
- Transmission of Rare Genetic Diseases (5.8)

ADVERSE REACTIONS

Mortality, from all causes, at 100 days post-transplant was 25% (5, 6.1).

The most common infusion-related adverse reactions ($\geq 5\%$) are hypertension, vomiting, nausea, bradycardia, and fever (6.1).

To report SUSPECTED ADVERSE REACTIONS, contact the St. Louis Cord Blood Bank at 1-888-253-CORD (1-888-253-2673) and FDA at 1-800-FDA-1088 or www.fda.gov/medwatch

USE IN SPECIFIC POPULATIONS

Pregnancy: No animal or human data. Use only if clearly needed (8.1).

See 17 for PATIENT COUNSELING INFORMATION

Revised: XX/XXXX

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1 FULL PRESCRIBING INFORMATION

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WARNING: FATAL INFUSION REACTIONS, GRAFT VERSUS HOST DISEASE, ENGRAFTMENT SYNDROME AND GRAFT FAILURE

Fatal infusion reactions: ALLOCORD administration can result in serious, including fatal, infusion reactions. Monitor patients and discontinue ALLOCORD infusion for severe reactions. Use is contraindicated in patients with known allergy to dimethyl sulfoxide (DMSO), Dextran 40 or human serum albumin [See Contraindications (4) and Warnings and Precautions (5.1, 5.2)].

Graft-vs.-host disease (GVHD): GVHD is expected after administration of ALLOCORD, and may be fatal. Administration of immunosuppressive therapy may decrease the risk of GVHD[See Warnings and Precautions (5.3)].

Engraftment syndrome: Engraftment syndrome may progress to multi-organ failure and death. Treat engraftment syndrome promptly with corticosteroids [See Warnings and Precautions (5.4)].

Graft failure: Graft failure may be fatal. Monitor patients for laboratory evidence of hematopoietic recovery. Prior to choosing a specific unit of ALLOCORD, consider testing for HLA antibodies to identify patients who are alloimmunized [See Warnings and Precautions (5.5)].

1 INDICATIONS AND USAGE

ALLOCORD, HPC (Hematopoietic Progenitor Cell), Cord Blood, is an allogeneic cord blood hematopoietic progenitor cell therapy indicated for use in unrelated donor hematopoietic progenitor stem cell transplantation procedures in conjunction with an appropriate preparative regimen for hematopoietic and immunologic reconstitution in patients with disorders affecting the hematopoietic system that are inherited, acquired, or result from myeloablative treatment.

The risk benefit assessment for an individual patient depends on the patient characteristics, including disease, stage, risk factors, and specific manifestations of the disease, on characteristics of the graft, and on other available treatments or types of hematopoietic progenitor cells.

2 DOSAGE AND ADMINISTRATION

- For intravenous use only.
- Do not irradiate.

Unit selection and administration of ALLOCORD should be done under the direction of a physician experienced in hematopoietic progenitor cell transplantation.

2.1 Dosing

The recommended minimum dose is 2.5×10^7 nucleated cells/kg at cryopreservation. Multiple units may be required in order to achieve the appropriate dose.

Matching for at least 4 of 6 HLA-A antigens, HLA-B antigens, and HLA-DRB1 alleles is recommended. The HLA typing and nucleated cell content for each individual unit of ALLOCORD are documented in accompanying records.

2.2 Preparation for Infusion

ALLOCORD should be prepared by a trained healthcare professional.

- 57
58 • Do not irradiate ALLOCORD.
59 • See the appended detailed instructions for preparation of ALLOCORD for infusion.
60 • Once prepared for infusion, ALLOCORD may be stored at 4 to 25°C for up to 4 hours [*see*
61 *Instructions for Preparation for Infusion*].
62 • The recommended limit on DMSO administration is 1 gram per kg body weight per day [*see*
63 *Warnings and Precautions (5.2) and Overdosage (10)*].
64

65 **2.3 Administration**

66
67 ALLOCORD should be administered under the supervision of a qualified healthcare professional
68 experienced in hematopoietic progenitor cell transplantation.
69

- 70 1. Confirm the identity of the patient for the specified unit of ALLOCORD prior to
71 administration.
- 72 2. Confirm that emergency medications are available for use in the immediate area.
- 73 3. Ensure the patient is hydrated adequately.
- 74 4. Premedicate the patient 30 to 60 minutes before the administration of ALLOCORD.
75 Premedication can include any or all of the following: antipyretics, histamine
76 antagonists, and corticosteroids.
- 77 5. Inspect the product for any abnormalities such as unusual particulates and for breaches
78 of container integrity prior to administration. Prior to infusion, discuss all such product
79 irregularities with the laboratory issuing the product for infusion.
- 80 6. Administer ALLOCORD by intravenous infusion. Do not administer in the same
81 tubing concurrently with products other than 0.9% Sodium Chloride, Injection (USP).
82 ALLOCORD may be filtered through a 170 to 260 micron filter designed to remove
83 clots. Do NOT use a filter designed to remove leukocytes.
- 84 7. For adults, begin infusion of ALLOCORD at 100 milliliters per hour and increase the
85 rate as tolerated. For children, begin infusion of ALLOCORD at 1 milliliter per kg per
86 hour and increase as tolerated. Reduce the infusion rate if the fluid load is not
87 tolerated. Discontinue the infusion in the event of an allergic reaction or if the patient
88 develops a moderate to severe infusion reaction [*See Warnings and Precautions (5.2)*
89 *and Adverse Reactions (6)*].
- 90 8. Monitor the patient for adverse reactions during, and for at least six hours after,
91 administration. Because ALLOCORD contains lysed red cells that may cause renal
92 failure, careful monitoring of urine output is also recommended.
93

94 **NOTE:** If product is being prepared for a multi-unit infusion, infuse units independently.
95 Should a reaction occur, appropriately manage the reaction before second unit is thawed for
96 infusion.
97

98 **3 DOSAGE FORMS AND STRENGTHS**

99
100 Each unit of ALLOCORD contains a minimum of 5×10^8 total nucleated cells with a minimum
101 of 1.25×10^6 viable CD34+ cells, suspended in 10% dimethyl sulfoxide (DMSO) and
102 1% Dextran 40, at the time of cryopreservation.
103

104 The exact pre-cryopreservation nucleated cell content is provided in accompanying records.
105

106 **4 CONTRAINDICATIONS**

107

108 ALLOCORD is contraindicated in patients with known hypersensitivity to dimethyl sulfoxide
109 (DMSO), Dextran 40 or plasma proteins [See Description (11) and Dosage and Administration
110 (2.2)].

111 112 **5 WARNINGS AND PRECAUTIONS**

113 114 **5.1 Hypersensitivity Reactions**

115
116 Allergic reactions may occur with infusion of HPC, Cord Blood, including ALLOCORD.
117 Reactions include bronchospasm, wheezing, angioedema, pruritus and hives [see Adverse
118 Reactions (6)]. Serious hypersensitivity reactions, including anaphylaxis, also have been
119 reported. These reactions may be due to dimethyl sulfoxide (DMSO), Dextran 40, or a plasma
120 component of ALLOCORD.

121
122 ALLOCORD may contain residual antibiotics if the cord blood donor was exposed to antibiotics
123 in utero. Patients with a history of allergic reactions to antibiotics should be monitored for
124 allergic reactions following ALLOCORD administration.

125 126 **5.2 Infusion Reactions**

127
128 Infusion reactions are expected to occur and include nausea, vomiting, fever, rigors or chills,
129 flushing, dyspnea, hypoxemia, chest tightness, hypertension, tachycardia, bradycardia,
130 dysgeusia, hematuria, and mild headache. Premedication with antipyretics, histamine
131 antagonists, and corticosteroids may reduce the incidence and intensity of infusion reactions.

132
133 Severe reactions, including respiratory distress, severe bronchospasm, severe bradycardia with
134 heart block or other arrhythmias, cardiac arrest, hypotension, hemolysis, elevated liver enzymes,
135 renal compromise, encephalopathy, loss of consciousness, and seizure also may occur. Many of
136 these reactions are related to the amount of DMSO administered. Minimizing the amount of
137 DMSO administered may reduce the risk of such reactions, although idiosyncratic responses may
138 occur even at DMSO doses thought to be tolerated. The actual amount of DMSO depends on the
139 method of preparation of the product for infusion. Limiting the amount of DMSO infused to no
140 more than 1 gram per kilogram per day is recommended [see Overdosage (10)].

141
142 Infusion reactions may begin within minutes of the start of infusion of ALLOCORD, although
143 symptoms may continue to intensify and not peak for several hours after completion of the
144 infusion. Monitor the patient closely during this period. If a reaction occurs, discontinue the
145 infusion and institute supportive care as needed.

146
147 If infusing more than one unit of HPC, Cord Blood, on the same day, do not administer
148 subsequent units until all signs and symptoms of infusion reactions from the prior unit have
149 resolved.

150 151 **5.3 Graft-versus-Host Disease**

152
153 Acute and chronic graft-versus-host disease (GVHD) may occur in patients who have received
154 ALLOCORD. Classic acute GVHD is manifested as fever, rash, elevated bilirubin and liver
155 enzymes, and diarrhea. Patients transplanted with ALLOCORD also should receive
156 immunosuppressive drugs to decrease the risk of GVHD [See Adverse Reactions (6.1)].

157

158 **5.4 Engraftment Syndrome**

159
160 Engraftment syndrome is manifested as unexplained fever and rash in the peri-engraftment
161 period. Patients with engraftment syndrome also may have unexplained weight gain,
162 hypoxemia, and pulmonary infiltrates in the absence of fluid overload or cardiac disease. If
163 untreated, engraftment syndrome may progress to multi-organ failure and death. Begin treatment
164 with corticosteroids once engraftment syndrome is recognized in order to ameliorate the
165 symptoms [See *Adverse Reactions (6.1)*].

166 **5.5 Graft Failure**

167
168 Primary graft failure, which may be fatal, is defined as failure to achieve an absolute neutrophil
169 count greater than 500 per microliter blood by Day 42 after transplantation. Immunologic
170 rejection is the primary cause of graft failure. Patients should be monitored for laboratory
171 evidence of hematopoietic recovery. Consider testing for HLA antibodies in order to identify
172 patients who are alloimmunized prior to transplantation and to assist with choosing a unit with a
173 suitable HLA type for the individual patient [See *Adverse Reactions (6.1)*].

174 **5.6 Malignancies of Donor Origin**

175
176 Patients who have undergone HPC, Cord Blood, transplantation may develop post-transplant
177 lymphoproliferative disorder (PTLD), manifested as a lymphoma-like disease favoring non-
178 nodal sites. PTLD is usually fatal if not treated.

179
180 The incidence of PTLD appears to be higher in patients who have received antithymocyte
181 globulin. The etiology is thought to be donor lymphoid cells transformed by Epstein-Barr virus
182 (EBV). Serial monitoring of blood for EBV DNA may be warranted in high-risk groups.

183
184 Leukemia of donor origin also has been reported in HPC, Cord Blood recipients. The natural
185 history is presumed to be the same as that for *de novo* leukemia.

186 **5.7 Transmission of Serious Infections**

187
188 Transmission of infectious disease may occur because ALLOCORD is derived from human
189 blood. Disease may be caused by known or unknown infectious agents. Donors are screened for
190 increased risk of infection with human immunodeficiency virus (HIV), human T-cell
191 lymphotropic virus (HTLV), hepatitis B virus (HBV), hepatitis C virus (HCV), *T. pallidum*,
192 *T. cruzi*, West Nile Virus (WNV), transmissible spongiform encephalopathy (TSE) agents, and
193 vaccinia. Donors are also screened for clinical evidence of sepsis, and communicable disease
194 risks associated with xenotransplantation. Maternal blood samples are tested for HIV types 1
195 and 2, HTLV types I and II, HBV, HCV, *T. pallidum*, WNV, and *T. cruzi*. ALLOCORD is
196 tested for sterility. These measures do not totally eliminate the risk of transmitting these or other
197 transmissible infectious diseases and disease agents. Report the occurrence of a suspected
198 transmitted infection to the St. Louis Cord Blood Bank of the SMM Cardinal Glennon Children's
199 Medical Center at 1-888-253-CORD (1-888-253-2673).

200
201 Testing is also performed for evidence of donor infection due to cytomegalovirus (CMV).The
202 result may be found in accompanying records.

203
204
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207 **5.8 Transmission of Rare Genetic Diseases**

208
209 ALLOCORD may transmit rare genetic diseases involving the hematopoietic system for which
210 donor screening and/or testing has not been performed [see *Adverse Reactions (6.1)*]. Cord
211 blood donors have been screened by family history to exclude inherited disorders of the blood
212 and marrow. ALLOCORD has been tested to exclude donors with sickle cell anemia, and
213 anemias due to abnormalities in hemoglobins C, D, and E. Because of the age of the donor at the
214 time ALLOCORD collection takes place, the ability to exclude rare genetic diseases is severely
215 limited.

216
217 **6 ADVERSE REACTIONS**

218
219 Day-100 mortality from all causes was 25%.

220
221 The most common infusion-related adverse reactions ($\geq 5\%$) are hypertension, vomiting, nausea,
222 bradycardia, and fever.

223
224 **6.1 Clinical Trials Experience**

225
226 Because clinical trials are conducted under widely varying conditions, adverse reaction rates
227 observed in the clinical trials of a drug cannot be directly compared to rates in the clinical trials
228 of another drug and may not reflect the rates observed in practice.

229
230 The safety assessment of ALLOCORD is based primarily on review of the data submitted to the
231 FDA dockets from various sources, the dataset for the COBLT Study, and published literature.

232
233 *Infusion Reactions*

234
235 The data described in Table 1 reflect exposure to 442 infusions of HPC, Cord Blood, (from
236 multiple cord blood banks) in patients treated using a total nucleated cell dose $\geq 2.5 \times 10^7/\text{kg}$ on
237 a single-arm prospective trial or expanded access use (COBLT Study). The population was 59%
238 male and the median age was 5 years (range 0.05-68 years), and included patients treated for
239 hematologic malignancies, inherited metabolic disorders, primary immunodeficiencies, and bone
240 marrow failure. Preparative regimens and graft-vs.-host disease prophylaxis were not
241 standardized. The most common infusion reactions were hypertension, vomiting, nausea, and
242 sinus bradycardia. Hypertension and any grades 3-4 infusion-related reactions occurred more
243 frequently in patients receiving HPC, Cord Blood, in volumes greater than 150 milliliters and in
244 pediatric patients. The rate of serious adverse cardiopulmonary reactions was 0.8%.

245

Table 1: Incidence of Infusion-Related Adverse Reactions
Occurring in $\geq 1\%$ of Infusions (COBLT Study)

| | Any grade | Grade 3-4 |
|-------------------|-----------|-----------|
| Any reaction | 65.4% | 27.6% |
| Hypertension | 48.0% | 21.3% |
| Vomiting | 14.5% | 0.2% |
| Nausea | 12.7% | 5.7% |
| Sinus bradycardia | 10.4% | 0 |
| Fever | 5.2% | 0.2% |
| Sinus tachycardia | 4.5% | 0.2% |
| Allergy | 3.4% | 0.2% |
| Hypotension | 2.5% | 0 |
| Hemoglobinuria | 2.1% | 0 |
| Hypoxia | 2.0% | 2.0% |

246
247 Information on infusion reactions was available from voluntary reports for 737 patients who
248 received ALLOCORD. Preparative regimens and graft-vs.-host disease prophylaxis were not
249 standardized. The reactions were not graded. An infusion reaction occurred in 13% of patients.
250 The most common infusion reactions, occurring in $\geq 1\%$ of patients, were hypertension (54%),
251 vomiting (12%), dyspnea (9%), bradycardia (6%), nausea (4%), chest pain (2%), hemoglobinuria
252 (2%), fever (2%) and hives (2%).

253
254 *Other Adverse Reactions*
255

256 For other adverse reactions, the raw clinical data from the dockets were pooled for 1299 (120
257 adult and 1179 pediatric) patients transplanted with HPC, Cord Blood, (from multiple cord blood
258 banks) with total nucleated cell dose $\geq 2.5 \times 10^7/\text{kg}$. Of these, 66% (n=862) underwent
259 transplantation as treatment for hematologic malignancy. The preparative regimens and graft-
260 vs.-host disease prophylaxis varied. The median total nucleated cell dose was $6.4 \times 10^7/\text{kg}$
261 (range, 2.5-73.8 $\times 10^7/\text{kg}$). For these patients, Day-100 mortality from all causes was 25%.
262 Primary graft failure occurred in 16%; 42% developed grades 2-4 acute graft-vs.-host disease;
263 and 19% developed grades 3-4 acute graft-vs.-host disease.

264
265 Data from published literature and from observational registries, institutional databases, and cord
266 blood bank reviews reported to the dockets for HPC, Cord Blood, (from multiple cord blood
267 banks) revealed nine cases of donor cell leukemia, one case of transmission of infection, and one
268 report of transplantation from a donor with an inheritable genetic disorder. The data are not
269 sufficient to support reliable estimates of the incidences of these events.

270
271 In the COBLT Study, 15% of the patients developed engraftment syndrome.
272

273 **8 USE IN SPECIFIC POPULATIONS**

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275 **8.1 Pregnancy**
276

277 Pregnancy Category C. Animal reproduction studies have not been conducted with
278 ALLOCORD. It is also not known whether ALLOCORD can cause fetal harm when
279 administered to a pregnant woman or can affect reproduction capacity. There are no adequate
280 and well-controlled studies in pregnant women. ALLOCORD should be used during pregnancy
281 only if the potential benefit justifies the potential risk to the fetus.

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8.4 Pediatric Use

HPC, Cord Blood, has been used in pediatric patients with disorders affecting the hematopoietic system that are inherited, acquired, or resulted from myeloablative treatment [*See Dosage and Administration (2), Adverse Reactions (6), and Clinical Studies (14)*].

8.5 Geriatric Use

Clinical studies of HPC, Cord Blood, (from multiple cord blood banks) did not include sufficient numbers of subjects aged 65 years and over to determine whether they respond differently than younger subjects. In general, administration of ALLOCORD to patients over age 65 years should be cautious, reflecting their greater frequency of decreased hepatic, renal, or cardiac function, and of concomitant disease or other drug therapy.

8.6 Renal Disease

ALLOCORD contains Dextran 40 which is eliminated by the kidneys. The safety of ALLOCORD has not been established in patients with renal insufficiency or renal failure.

10 OVERDOSAGE

10.1 Human Overdosage Experience

There has been no experience with overdosage of HPC, Cord Blood, in human clinical trials. Single doses of ALLOCORD up to 67.0×10^7 TNC/kg have been administered. HPC, Cord Blood, prepared for infusion may contain dimethyl sulfoxide (DMSO). The maximum tolerated dose of DMSO has not been established, but it is customary not to exceed a DMSO dose of 1 gm/kg/day when given intravenously. Several cases of altered mental status and coma have been reported with higher doses of DMSO.

10.2 Management of Overdose

For DMSO overdosage, general supportive care is indicated. The role of other interventions to treat DMSO overdosage has not been established.

11 DESCRIPTION

ALLOCORD consists of hematopoietic progenitor cells, monocytes, lymphocytes, and granulocytes from human cord blood for intravenous infusion. Blood recovered from umbilical cord and placenta is volume reduced and partially depleted of red blood cells and plasma.

The active ingredient is hematopoietic progenitor cells which express the cell surface marker CD34. The potency of cord blood is determined by measuring the numbers of total nucleated cells (TNC) and CD34+ cells, and cell viability. Each unit of ALLOCORD contains a minimum of 5×10^8 total nucleated cells with at least 1.25×10^6 viable CD34+ cells at the time of cryopreservation. The cellular composition of ALLOCORD depends on the composition of cells in the blood recovered from the umbilical cord and placenta of the donor. The actual nucleated cell count, the CD34+ cell count, the ABO group, and the HLA typing are listed in accompanying records sent with each individual unit.

333 ALLOCORD has the following inactive ingredients: PrepaCyte-CB separation solution, citrate-
334 phosphate-dextrose, dimethyl sulfoxide (DMSO) and Dextran 40. When prepared for infusion
335 according to instructions, the infusate contains the following inactive ingredients: PrepaCyte-CB
336 separation solution, citrate-phosphate-dextrose, Dextran 40, human serum albumin, and residual
337 DMSO.

338

339 **12 CLINICAL PHARMACOLOGY**

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341 **12.1 Mechanism of Action**

342

343 Hematopoietic stem/progenitor cells from HPC, Cord Blood, migrate to the bone marrow where
344 they divide and mature. The mature cells are released into the bloodstream, where some
345 circulate and others migrate to tissue sites, partially or fully restoring blood counts and function,
346 including immune function, of blood-borne cells of marrow origin [*See Clinical Studies (14)*].

347

348 In patients with enzymatic abnormalities due to certain severe types of storage disorders, mature
349 leukocytes resulting from HPC, Cord Blood, transplantation may synthesize enzymes that may
350 be able to circulate and improve cellular functions of some native tissues. However, the precise
351 mechanism of action is unknown.

352

353 **14 CLINICAL STUDIES**

354

355 The effectiveness of HPC, Cord Blood, as defined by hematopoietic reconstitution, was
356 demonstrated in one single-arm prospective study (COBLT Study), and in retrospective reviews
357 of data from an observational database for ALLOCORD and data in the dockets and public
358 information. Of the 1299 patients in the dockets and public data, 66% (n=862) underwent
359 transplantation as treatment for hematologic malignancy. Results for patients who received a
360 total nucleated cell dose $\geq 2.5 \times 10^7/\text{kg}$ are shown in Table 2. Neutrophil recovery is defined as
361 the time from transplantation to an absolute neutrophil count more than 500 per microliter.
362 Platelet recovery is the time to a platelet count more than 20,000 per microliter. Erythrocyte
363 recovery is the time to a reticulocyte count greater than 30,000 per microliter. The total
364 nucleated cell dose and degree of HLA match were inversely associated with the time to
365 neutrophil recovery in the docket data.

366

Table 2: Hematopoietic Recovery for Patients Transplanted with HPC, Cord Blood, Total Nucleated Cell (TNC) Dose $\geq 2.5 \times 10^7/\text{kg}$

| Data Source | COBLT Study* | Docket* and Public Data* | ALLOCORD |
|--|------------------------|--------------------------|--------------------------------------|
| Design | Single-arm prospective | Retrospective | Retrospective |
| Number of patients | 324 | 1299 | 1086 |
| Median age (years) (range) | 4.6 (0.07 – 52.2) | 7.0 (<1 – 65.7) | 6.6 (0.05 – 70) |
| Gender | 59% male 41% female | 57% male 43% female | 54% male 43% female 3% unknown |
| Median TNC Dose ($\times 10^7/\text{kg}$) (range) | 6.7 (2.6 – 38.8) | 6.4 (2.5 – 73.8) | 6.4 (2.5 – 67.0) |
| Neutrophil Recovery at Day 42 (95% CI) | 76% (71% – 81%) | 77% (75% – 79%) | 88%** (85% – 91%) |
| Platelet Recovery at Day 100 of 20,000/microliter (95% CI) | 57% (51% – 63%) | - | 87%** (83% – 91%) |
| Platelet Recovery at Day 100 of 50,000/microliter (95% CI) | 46% (39% – 51%) | 45% (42% – 48%) | 79%** (73% – 83%) |
| Erythrocyte Recovery at Day 100 (95% CI) | 65% (58% – 71%) | - | - |
| Median time to Neutrophil Recovery | 27 days | 25 days | 21 days** |
| Median time to Platelet Recovery of 20,000/microliter | 90 days | - | 48 days** |
| Median time to Platelet Recovery of 50,000/microliter | 113 days | 122 days | 56 days** |
| Median time to Erythrocyte Recovery | 64 days | - | - |

* HPC, Cord Blood (from multiple cord blood banks)

** The analysis of hematopoietic recovery is based on a different number of patients, ranging from 335 to 442, for each variable because the amount of data missing is different for each variable.

16 HOW SUPPLIED/STORAGE AND HANDLING

ALLOCORD is supplied as a cryopreserved cell suspension in a sealed bag containing a minimum of 5×10^8 total nucleated cells with a minimum of 1.25×10^6 viable CD34+ cells in a volume of 25 milliliters (ISBT 128 Product Code S1393, ISBT 128 Facility Identifier Number W1205). The exact pre-cryopreservation nucleated cell content is provided in accompanying records.

Store ALLOCORD at or below -150°C until ready for thawing and preparation.

17 PATIENT COUNSELING INFORMATION

Discuss the following with patients receiving ALLOCORD:

- Report immediately any signs and symptoms of acute infusion reactions, such as fever, chills, fatigue, breathing problems, dizziness, nausea, vomiting, headache, or muscle aches.

- 387 • Report immediately any signs or symptoms suggestive of graft-vs.-host disease, including
388 rash, diarrhea, or yellowing of the eyes.
389
390

391 **INSTRUCTIONS FOR PREPARATION FOR INFUSION**

392

393 **1 EQUIPMENT, REAGENTS, AND SUPPLIES**

394

395 **EQUIPMENT:**

396 Biologic safety cabinet

397 Waterbath, 37°C

398 Heat sealer

399 Scale

400 Automated cell counter

401 Flow cytometer

402 Microscope

403

404 **REAGENTS:**

405 25% Albumin (Human), USP

406 Dextran 40 in Sodium Chloride Injection, USP or Dextran 40 in Dextrose Injection, USP

407

408 **SUPPLIES:**

409 Sterile sealable zip lock bag

410 Syringes - 1 mL, 3 mL, 5 mL, 30 mL, 60 mL

411 18 gauge safety needles

412 Blunt plastic cannulas

413 Sterile syringe caps (dual end: male/female)

414 Alcohol wipes

415 Plasma transfer sets (2 inch tubing, female luer adapter) – included with product shipment

416 Transfer packs – 150 mL, 300 mL

417 Blood Culture Vials

418 Blood Culture Device

419 Hemostat

420 2 mL cryovial

421

422 **2 RECEIPT INSTRUCTIONS**

423

424 ALLOCORD is shipped frozen in a steel canister that is contained in an insulating foam sleeve.

425 ALLOCORD must be stored at or below -150 °C, either inside the container used for shipping

426 (dry-shipper) or in a liquid nitrogen (LN₂)-cooled storage device at the Transplant Center

427 (recommended).

428

429 Upon receiving the shipment, perform the following steps:

430

431 a. Confirm receipt of the shipment and the identity of the expected unit.

432 b. Inspect the shipper for tampering or damage prior to opening.

433 c. Weigh the shipper and document the weight on the Unit Receipt Form.

434 d. Note the temperature displayed on the data logger and document the temperature on the
435 Unit Receipt Form.

436 e. Using cryoprotective gloves, remove the product from the canister and place in a
437 reservoir with LN₂ or in the vapor phase of a LN₂ freezer.

438 f. Carefully open the cassette. Inspect the integrity of the unit(s) received and document its
439 conditions on the Unit Receipt Form.

440 g. Confirm the identity of the cord blood unit. Include this check on the Unit Receipt Form.

- 441 h. Store the product in an LN₂ storage vessel that maintains a temperature below -150°C.
442 i. Reserve the samples that accompany the unit as a DNA source for confirmatory testing or
443 post engraftment studies:
444 i. a segment remains on the unit; reserve it prior to thawing the unit
445 ii. an aliquot containing the material remaining from the red blood cell/plasma
446 reduction
447 iii. an aliquot containing unmanipulated cord blood collected in Citrate Phosphate
448 Dextrose (CPD)
449 iv. a spot card containing unmanipulated cord blood collected in CPD (in envelope)
450

451 **NOTE:** Aside from the segment, ancillary samples are **not** intended to represent the cell
452 count or potency of the cryopreserved product.
453

- 454 j. Replace data logger temperature probe wire inside the inner dry shipper container if
455 necessary, and reassemble the shipper for return.
456 k. Fax the completed Unit Receipt Form to St. Louis Cord Blood Bank of the SMM
457 Cardinal Glennon Children's Medical Center at 314-268-4186.
458

459 **NOTE:** If there is any error or ambiguity with regard to the product documentation, close
460 the canister and keep the product at LN₂ temperature. Immediately advise the staff
461 of the St. Louis Cord Blood Bank and the transplant physician. Do not proceed
462 until the problem is resolved. If your LN₂ storage tanks have no space to store the
463 product in its canister and insulated sleeve, add LN₂ to the St. Louis Cord Blood
464 Bank dry-shipper to keep the product frozen until a completely satisfactory
465 determination is made.
466

467 3 PREPARATION

468

- 469 a. Coordination with the clinical team
470 i. Confirm the infusion time in advance, adjusting the start time for thaw so the unit
471 is available for infusion when the recipient is ready.
472 ii. Consult with the clinicians about final product volume based on the recipient's
473 weight and possible fluid restrictions.
474 b. General Information
475 i. Use aseptic technique in a biological safety cabinet for all processing steps,
476 including all open-container processing and all spiking of container ports.
477 ii. Use only sterile materials when processing the cellular product.
478 iii. Record the manufacturer information, lot number and expiration date (if
479 applicable) of all reagents and disposables.
480 iv. Prepare the water bath and verify that the temperature is 37°C.
481 c. Prepare the reconstitution solution
482 i. Combine 250 mL Dextran 40 and 50 mL 25% albumin in a 300 mL transfer pack.
483 Clamp the tubing with a hemostat.
484 ii. Using appropriate sized syringes, withdraw the following and cap the syringes
485 with blunt plastic cannula:
486 1) 50 mL of reconstitution solution. If the total frozen volume (product +
487 DMSO volume) exceeds the standard 50mL reconstitution solution, use
488 a volume of reconstitution solution equal to the total frozen volume so
489 that the dilution ratio is at least 1:1
490 2) 30 mL of reconstitution solution to be used as a container rinse for
491 microbial culturing

- 492 d. Obtain product
493 i. Prepare a portable canister with LN₂ using appropriate personal protective
494 equipment (gloves, gowns, faceshield).
495 ii. Product verification requires two members of the laboratory staff. With product
496 and recipient files at hand, locate and remove the product from its location in the
497 freezer, but maintaining in vapor phase. Expediently verify product identity,
498 labeling, accuracy of information, and container integrity.
499 iii. Remove any segment attached to the unit, place into a 2 mL cryovial and store in
500 either vapor or liquid phase of nitrogen (<-150°C).
501 iv. Immediately transfer the product from LN₂ storage tank into the portable canister
502 containing LN₂.
503

504 4 PROCEDURE

505

506 Reconstitution or simple dilution of ALLOCORD with dextran/human serum albumin (HSA)
507 solution, using the Thawing and Diluting procedures described below, is recommended. The
508 Alternate Procedure – Washing may be considered if the infusion volume and/or DMSO dose
509 are contraindicated (>1 mL/kg).
510

511 **NOTE:** Minimize the time from initiation of thaw to completion of infusion.
512

513 **THAWING:**

514

- 515 a. Verify the identity of the product being thawed.
516 b. Remove the ALLOCORD unit from the cassette. Examine the cryobag for breaks or
517 cracks.
518 c. Carefully place the unit inside a sterile sealable zip-lock bag and submerge in the 37°C
519 water bath, keeping port dry and above water.
520 d. Document the thaw start time.
521 e. To accelerate thawing, gently knead contents of bag.
522

523 **NOTE: Inspect for leaks!**If container integrity is observed to be compromised, position
524 the cryobag and/or clamp with hemostats to prevent further escape of blood.
525

- 526 f. When the contents of the cryobag become slushy, remove the bag from the 37°C water
527 bath.
528 g. Note the thaw stop time. Product expiration time is four hours from this step.
529 h. Gently wipe the outside surface of the cryobag with alcohol, and place the cryobag into
530 the biologic safety cabinet.
531

532 **DILUTING:**

533

- 534 i. Insert a plasma transfer set into the cryobag.
535 j. Attach the syringe containing the 50mL reconstitution solution to the transfer set on the
536 cryobag.
537 k. Slowly introduce approximately half the volume of the reconstitution solution to the
538 thawed product while mixing the fluids in the bag.
539 l. Insert the spike of a correctly labeled appropriate volume capacity transfer pack into the
540 second access port of the cryobag.
541 m. Weigh the empty transfer pack to determine the tare weight of the bag.
542 n. Drain the contents from the cryobag into transfer pack.

- 543 o. Clamp the tubing between the bags with a hemostat.
- 544 p. Add the remaining reconstitution solution to the cryobag.
- 545 q. Mix well to rinse the cells from the bag and drain into transfer pack.
- 546 r. Clamp the tubing between the bags.
- 547 s. Weigh the transfer pack subtracting the tare weight to get product volume.
- 548 t. Insert a transfer set into the product transfer pack.
- 549 u. Aseptically attach a 3 mL syringe and aspirate a 1 mL aliquot for quality control testing.
- 550 v. Deliver the 1mL testing aliquot into a labeled aliquot tube.
- 551 w. Subtract the 1mL (testing aliquot) from product volume to determine **infusion volume**.
- 552 Record the infusion volume which will be used for calculating cell numbers.
- 553 x. Heat seal the tubing between the cryobag and the transfer pack.
- 554 y. Cut tubing at the seals and separate the bags.
- 555

556 **NOTE:** At this point, it is approximately 30 minutes from infusion. Notify the clinical
557 transplant team to pre-medicate the patient as ordered.

- 558
- 559 z. Aseptically introduce the 30 mL of reconstitution solution from the syringe prepared in
- 560 step 3.c.ii.2) into the (now empty) original product cryobag.
- 561 aa. Immediately transport the product to the clinical transplant site per the facility's SOP.
- 562

563 **ALTERNATIVE PROCEDURE - WASHING:**

564 Perform steps a. through r. of the Thawing Procedure and Diluting Procedure as outlined
565 above, then complete the following:

- 566
- 567
- 568 a. Place the transfer pack into a sterile overwrap bag ready for centrifugation.
- 569 b. Support bag in centrifuge bucket insert to prevent the formation of creases during
- 570 centrifugation.
- 571 c. Balance carriers and centrifuge at 650 x g (1500 rpm) for 20 minutes at 10°C (no brake).
- 572 d. Carefully remove the transfer pack from the centrifuge into the biologic safety cabinet,
- 573 placing the transfer pack into a plasma expresser.
- 574 e. Using the original cryobag to collect the waste volume, express 75% of the volume of
- 575 reconstitution solution originally added to the thawed product pre-centrifugation. Avoid
- 576 accidental passage of cells with the supernatant.
- 577 f. Allow the cells to rest for five minutes. Resuspend the sedimented cell pellet by gentle
- 578 agitation.
- 579 g. Obtain quality control samples as described in steps s. through aa. above.
- 580

581 **5 QUALITY CONTROL:**

582

583 Perform quality control assays per transplant center policies and procedures using the aliquot of
584 thawed product obtained in step u above. Recommended assays include:

- 585 a. Nucleated Cell count
- 586 b. Viability test
- 587 c. Viable CD34+ cell count
- 588 d. Colony Forming Unit
- 589 e. Microbial cultures (aerobic, anaerobic and fungal)
- 590

591 **CALCULATIONS:**

592

593 Infusion TNC [$\times 10^9$] = (WBC/ mL + NRBC/mL [$\times 10^6$]) x infusion volume (mL)

594

595 TNC dose [$\times 10^7$ /kg] = $\frac{\text{Infusion TNC } [\times 10^9]}{\text{Recipient wt (kg)}}$

596

597

598 Post-thaw TNC recovery [%] = $\frac{\text{TNC of thawed product } [\times 10^9]}{\text{TNC of original frozen product } [\times 10^9]} \times 100$

599

600

601 Total CD34+ cells [$\times 10^6$] = CD34+ cells/ mL x dilution factor x 1000 mL x product volume(mL)

602

603 CD34+ cell dose [$\times 10^5$ /kg] = Absolute CD34+ cell cells ($\times 10^6$) \div Recipient weight (kg)

604

605 Product RBC volume [mL] = product hematocrit x product volume (mL)

606

607 RBC dose [mL/kg] = Product RBC volume [mL] \div Recipient weight (kg)

608

609 Product CFU count [$\times 10^5$] = $\frac{\text{Colonies scored per } 10^5 \text{ NC x product TNC } [\times 10^9]}{10^5}$

610

611

612 CFU dose [$\times 10^4$ /kg] = Product CFU count [$\times 10^5$] \div Recipient weight (kg)

613

614 **6 CONTACT INFORMATION**

615

616 SSM Cardinal Glennon Children's Medical Center

617 St. Louis Cord Blood Bank (SLCBB)

618 3662 Park Avenue

619 St. Louis, MO 63110

620

621 SLCBB Hours: Monday-Friday, 7:00am – 5:00pm, Central Time

622 SLCBB Phone Number: 314-268-2787 or 888-453-CORD (888-453-2673)

623 SLCBB Fax Number: 314-268-4186

624

625 After Hours Numbers:

626 Director: 314-486-2488

627 Distribution: 314-277-1638

628

629

630 **DISTRIBUTED BY:**

631 SSM Cardinal Glennon Children's Medical Center

632 dba St. Louis Cord Blood Bank

633 1465 South Grand Blvd

634 St. Louis, MO 63104

635 US License XXXX

636

637