

FY 2016 Generic Drug Research  
FDA Public Hearing  
20 May 2016

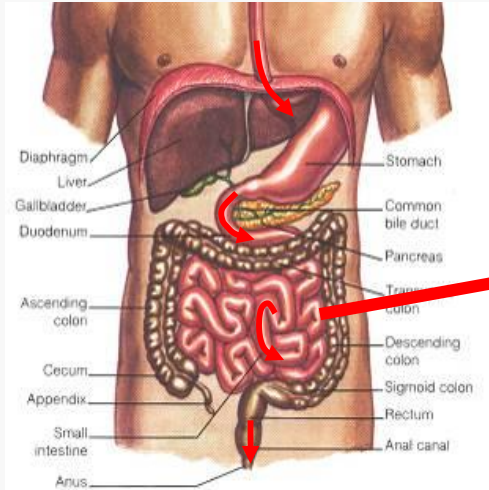
# Importance and Modeling of Hydrodynamic Effects in Dissolution and Absorption *In Vivo* vs. *In Vitro*

**James G. Brasseur, Ph.D.**

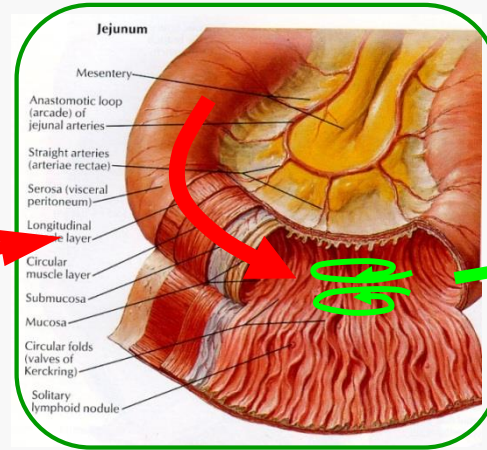
Research Professor, Aerospace Engineering Sciences  
University of Colorado Boulder

Emeritus/Adjunct Professor of Mechanical Engineering, Bioengineering and Mathematics  
Pennsylvania State University

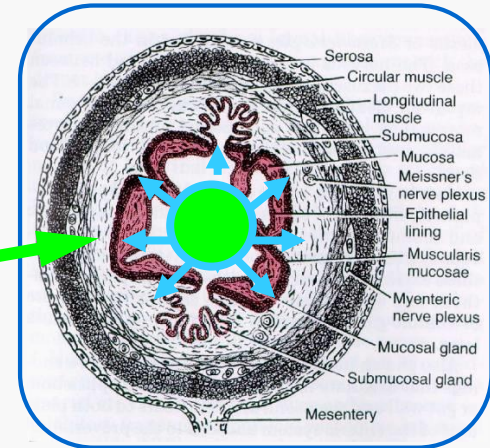
# Intestinal vs. *In Vitro* Hydrodynamics



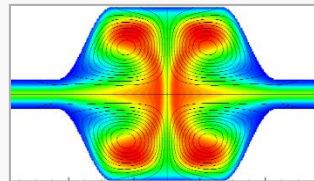
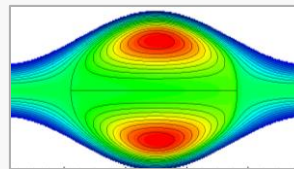
**Intestinal Hydrodynamics:  
Transport, Mixing,  
Absorption**



**MACRO: Mixing at the  
Lumen Scale**



**MICRO: Mixing and  
Absorption at Mucosa**



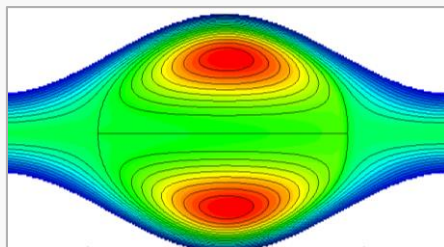
# Intestinal vs. *In Vitro* Hydrodynamics



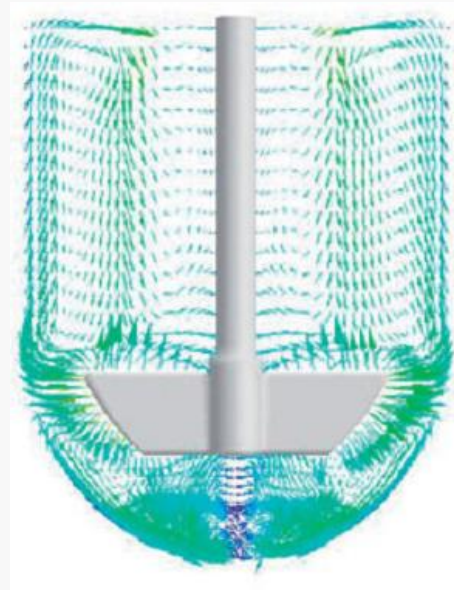
## Peristaltic Contractions (propagating waves)



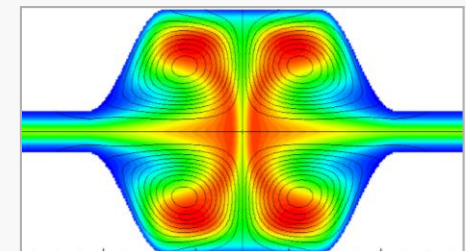
(axial transport)



## Segmental Contractions (standing waves)



(radial mixing)



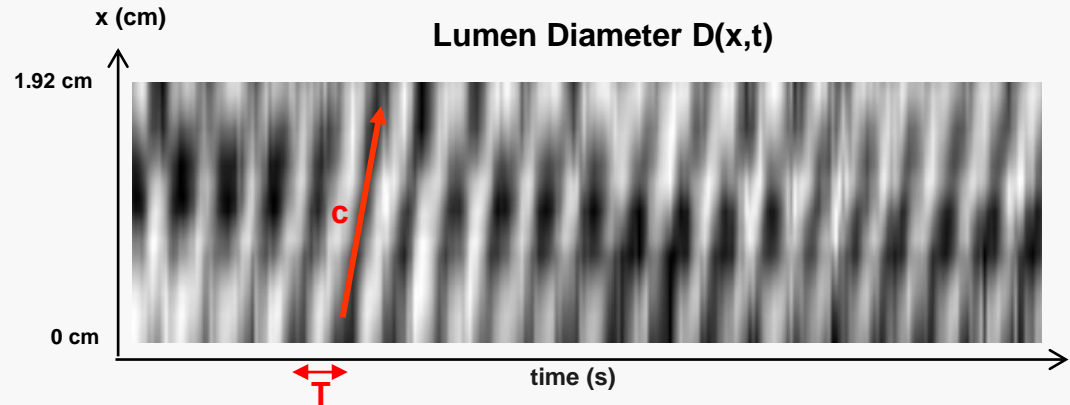
*Videofluoroscopy of dog intestine*  
by H. J. Ehrlein  
(<http://www.wzw.tum.de/humanbiology/>  
)

# Lumen-Scale Motility in the Intestines

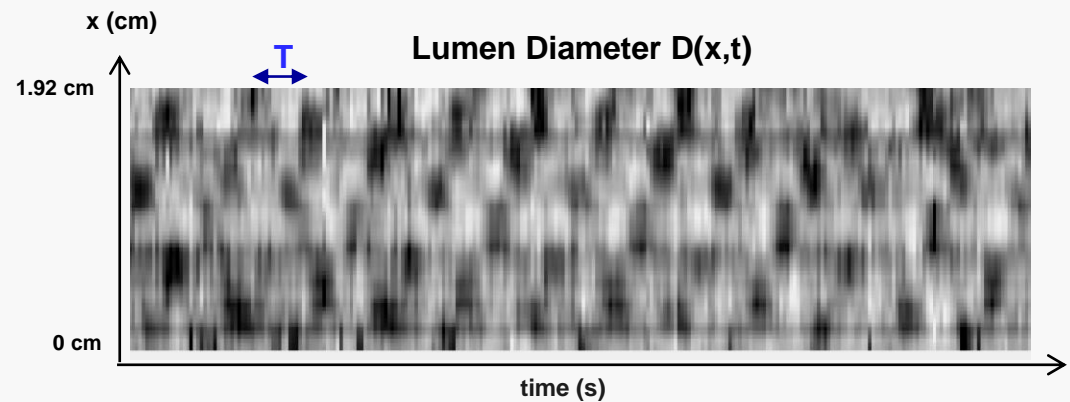
- Fed State -



## Peristaltic Contractions

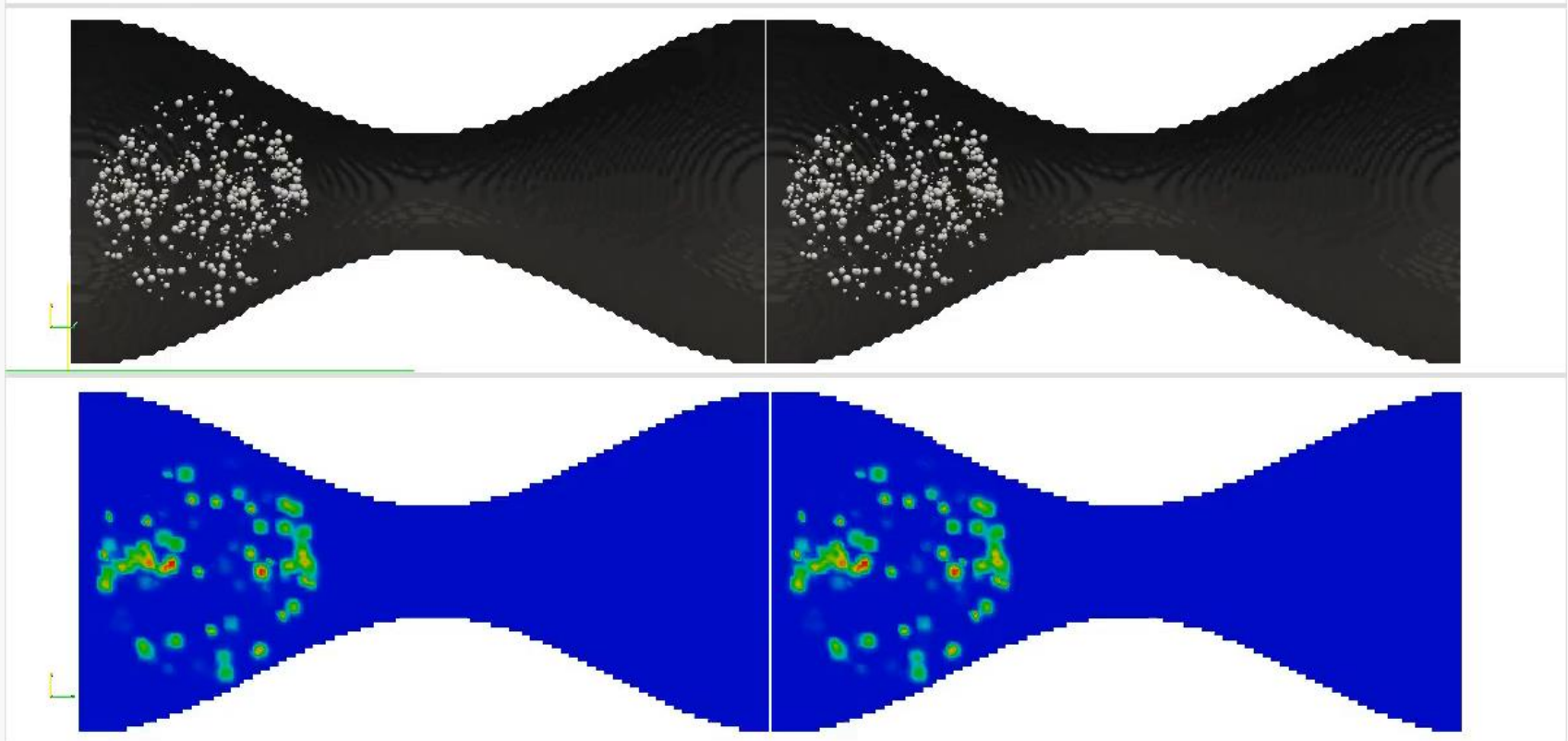


## Segmental Contractions

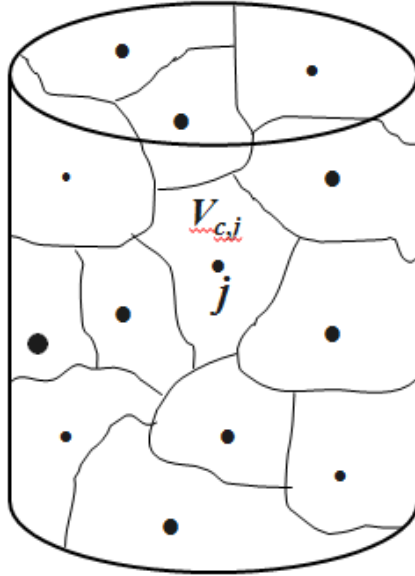


$c \sim 1-3 \text{ mm/s}$   
 $T \sim 2-4 \text{ s}$   
(also humans)

# *In Vivo* Hydrodynamics for Drug Dissolution



# Modeling Hydrodynamic Influences on Dissolution Rate

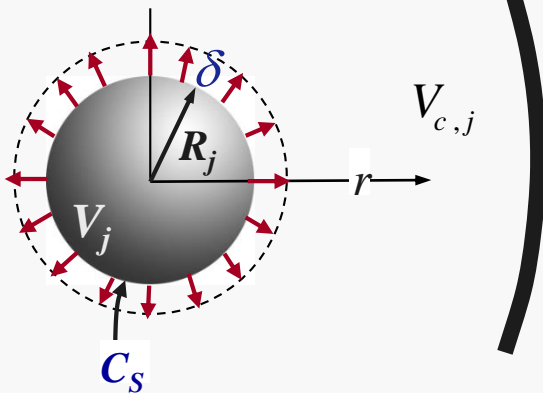


$$\frac{dR_j(t)}{dt} = -v_m D_m \frac{[C_s - C_{b,j}(t)]}{R_j(t)} Sh_j(t)$$

$$Sh_j = 1 + \underbrace{\Delta_{confinement} + \Delta_{hydrodynamics}}$$

effective container surrounding particle j

effective container boundary

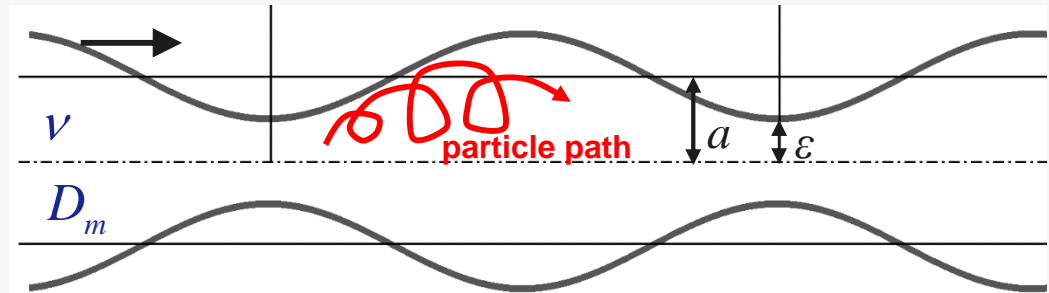
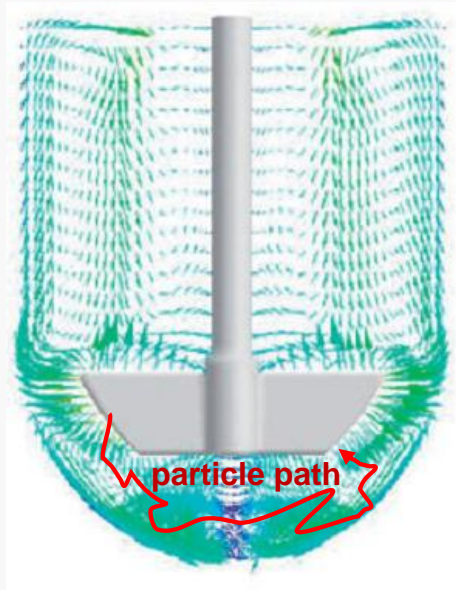


## Hydrodynamic Enhancements to Dissolution:

- 1/ Convection
- 2/ Flow Shear

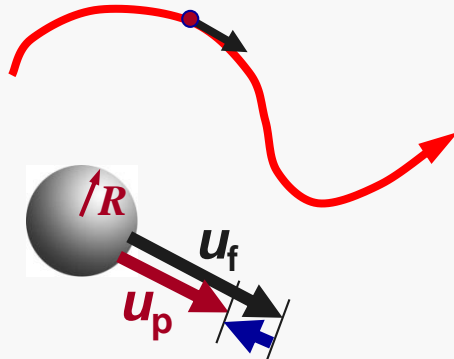
In vivo vs. In vitro?

# Hydrodynamics, *In Vivo* vs. *In Vitro*: Convection (Slip)



## Hydrodynamic Particle Parameters for Dissolution Rate

particle path



$\Delta u \leftarrow$  slip velocity

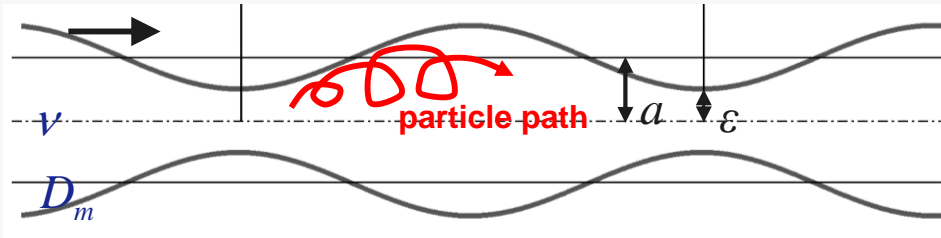
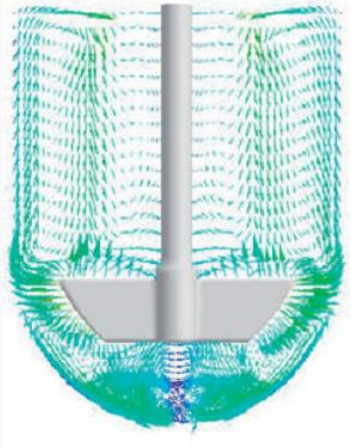
$$\text{Re}_{\Delta u} = \frac{(\Delta u)R}{\nu}$$

Slip Velocity  
"Reynolds Number"

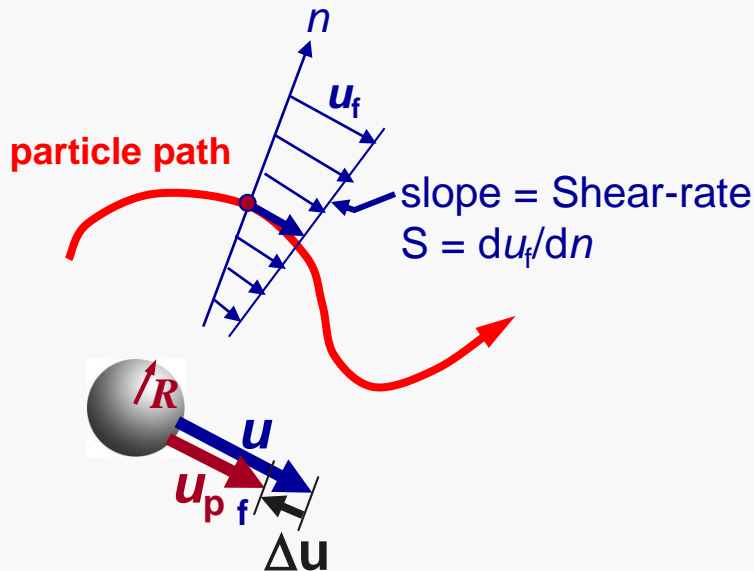
$$\text{Pe}_{\Delta u} = \frac{(\Delta u)R}{D_m}$$

Slip Velocity  
"Peclet Number"

# A Previously Unappreciated Hydrodynamic Effect on Dissolution Rate: Fluid Shear



## Hydrodynamic Particle Parameters for Dissolution Rate



$$\text{Re}_{\Delta u} = \frac{(\Delta u)R}{\nu} \quad \text{Slip Velocity Reynolds Number}$$

$$\text{Pe}_{\Delta u} = \frac{(\Delta u)R}{D_m} \quad \text{Slip Velocity Peclet Number}$$

$$\text{Re}_s = \frac{SR^2}{\nu} \quad \text{Shear Reynolds Number}$$

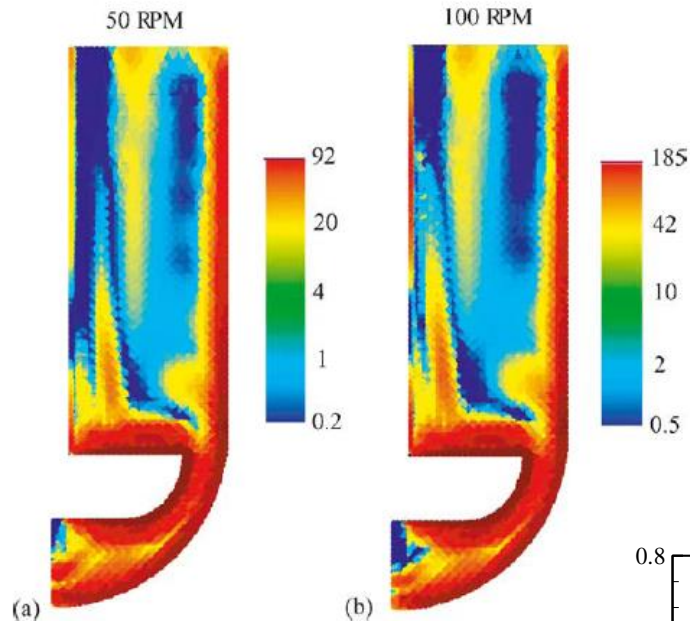
$$S^* = \frac{SR^2}{D_m} \quad \text{Shear Peclet Number}$$



# Shear Rate: *In Vitro* USP II vs. *In Vivo* Intestine



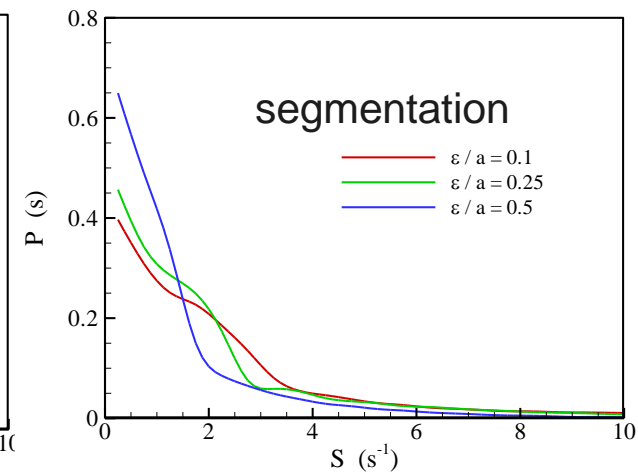
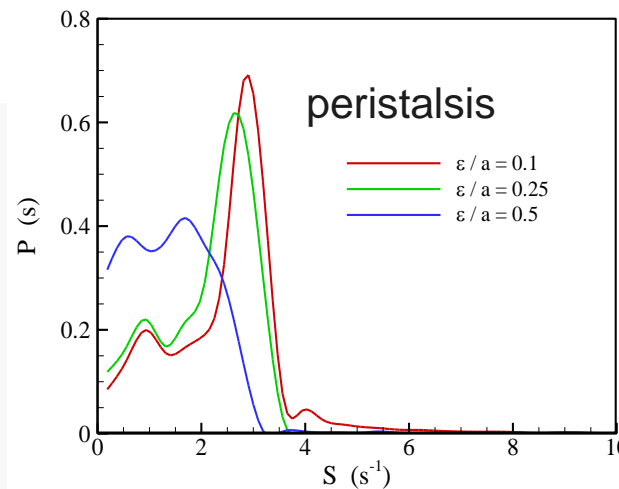
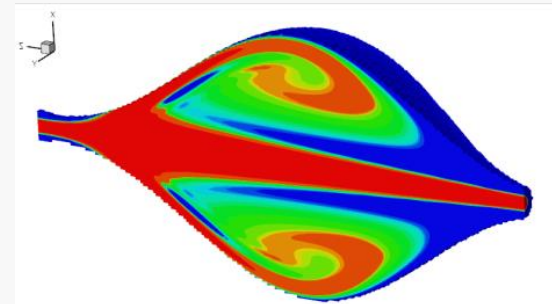
## USP II, Computer Simulation\*



Distribution of shear rates within the media at 50 and 100 rpm

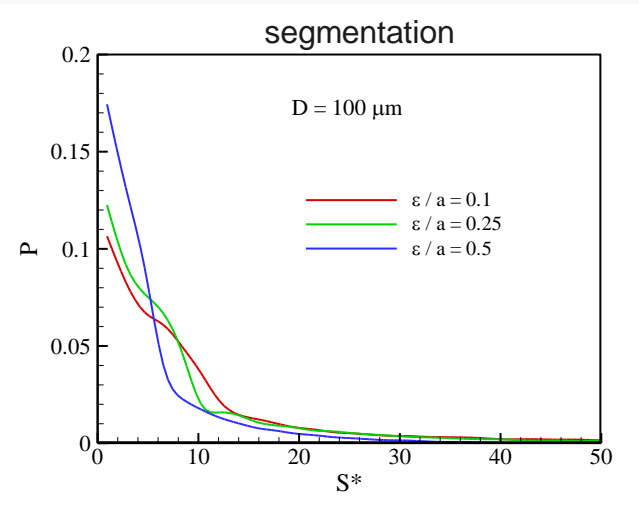
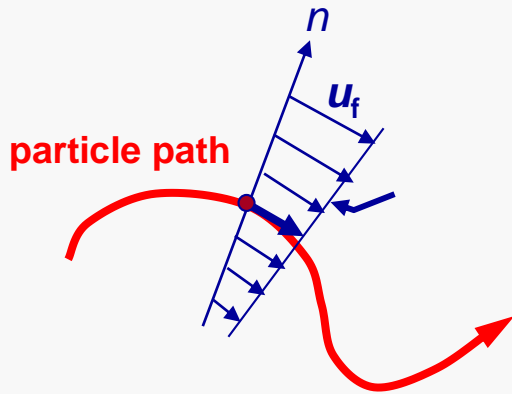
\*J. Kukura, J. Baxter, and F. Muzzio, *Int. J. Pharm.*, 279 (2004) 9-17; from Computational Fluid Dynamics

## GUT, Computer Simulation\*\*



\*\*Wang & Brasseur

# Shear Parameters with Shear: Intestine vs. USP II



$$Re_s = \frac{SR^2}{\nu}$$

$$S^* = \frac{SR^2}{D_m}$$

for Felodipine

for D < 100 μm

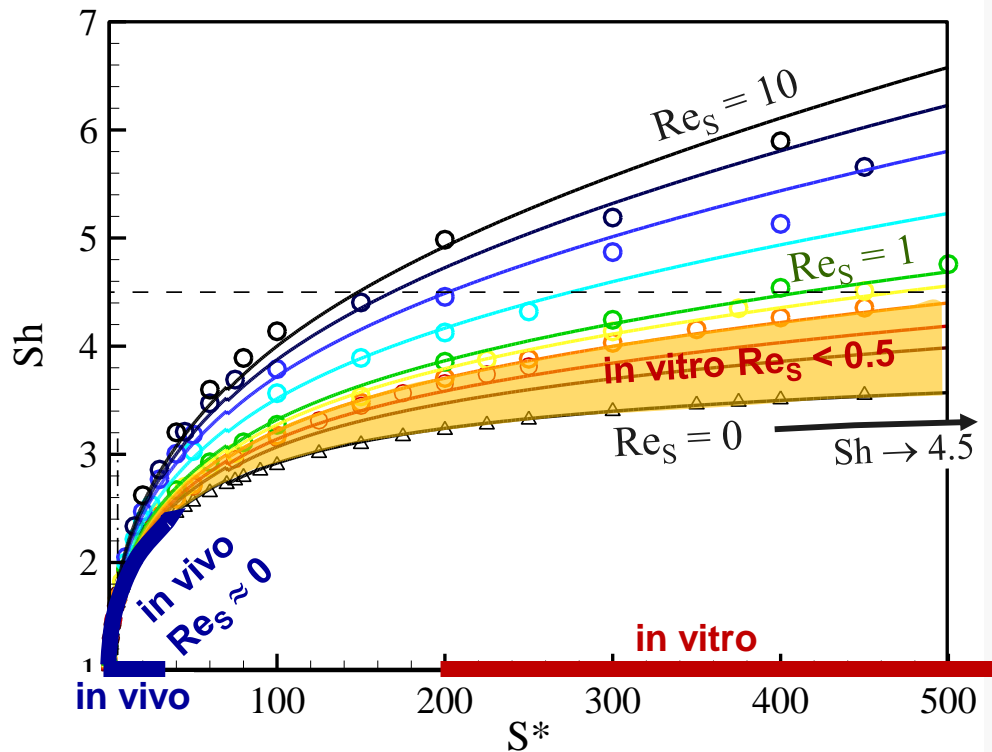
	Intestine	USP II
Shear Reynolds Number	$Re_s < 0.0007 - 0.003$	$Re_s < 0.25 - 0.5$
Shear Peclet Number	$S^* < 10 - 25$	$S^* < 250 - 500$

**Enhances  
Dissolution  
Rate (next)**

Shear Reynolds  
Number

Shear Peclet  
Number

# The Influence of Hydrodynamic Shear on Dissolution



$$\mathbf{Sh} \equiv \frac{\mathbf{surface\ flux}}{D_m (C_s - C_b) / R}$$

$$\text{Shear Reynolds number: } Re_s = \frac{SR^2}{\nu}$$

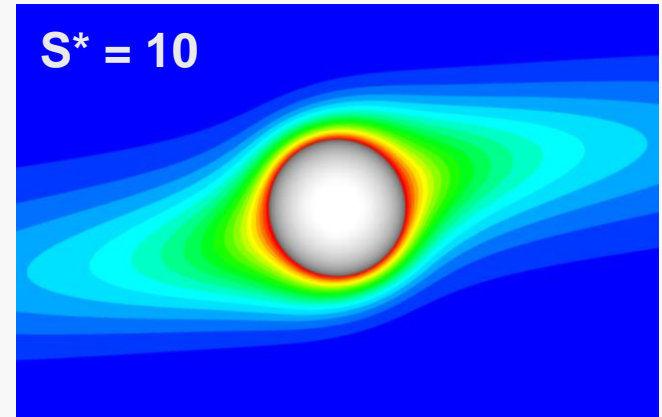
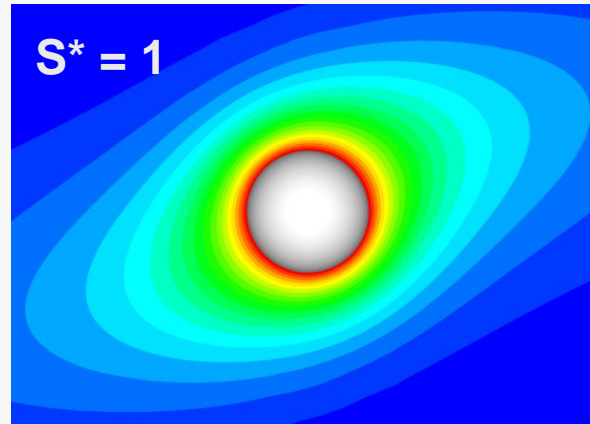
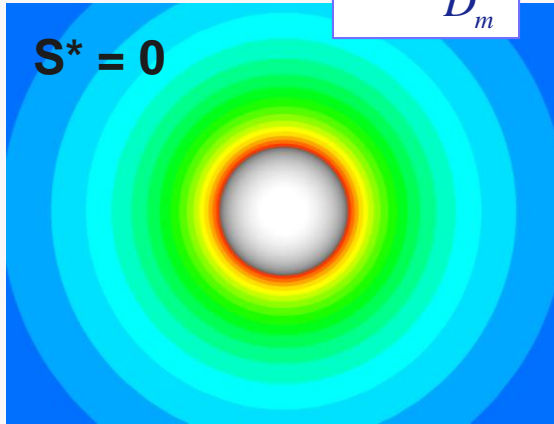
$$\text{Shear Peclet Number: } S^* = \frac{SR^2}{D_m}$$

- **Shear increases dissolution rate in intestine:**  $\frac{dC_b}{dt} = \frac{D_m}{V_b} [C_s - C_b(t)] R_{eff}(t) \mathbf{Sh}(t)$
- **Enhancement is 2-3 times larger in the USP II device compared to the intestine**

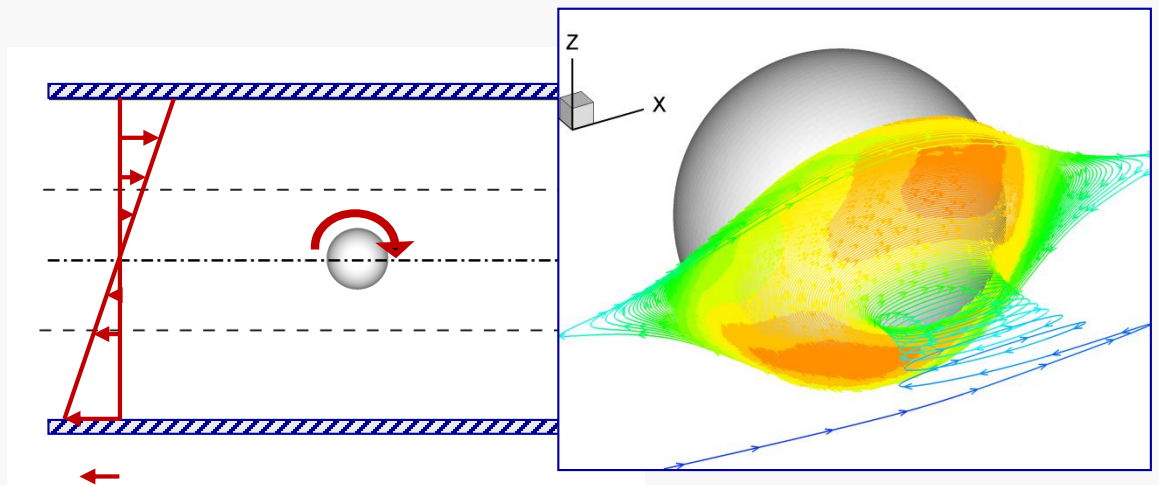
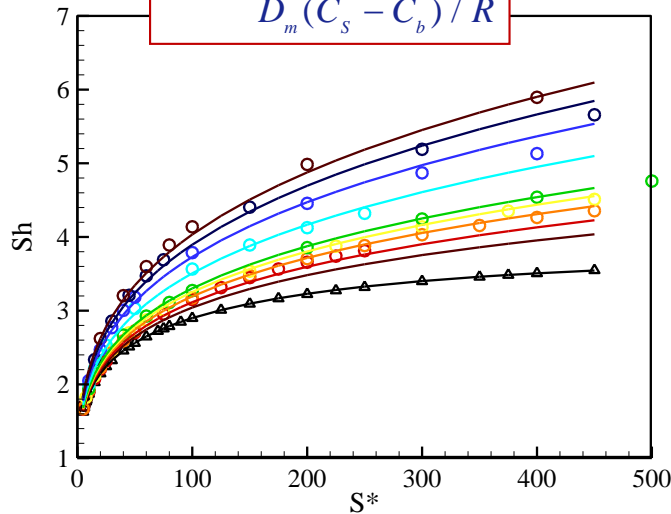
# Why Hydrodynamic Shear Enhances Dissolution Rate



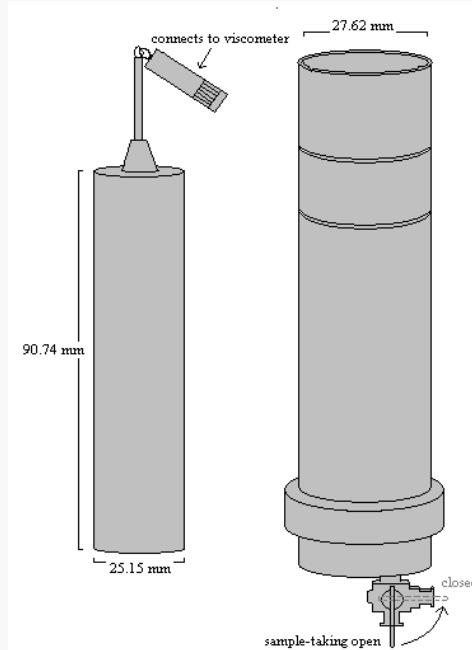
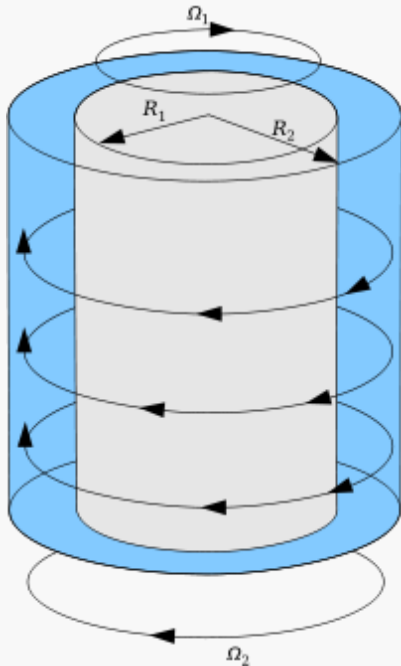
$$S^* = \frac{SR^2}{D_m}$$



$$Sh \equiv \frac{\text{surface flux}}{D_m (C_s - C_b) / R}$$



# Validation with Couette Cell In Vitro Shear Experiments: (Deanna Mudie, Greg Amidon)



## Benzoic Acid

$$v_m = 92.73 \text{ cm}^3 / \text{mole}$$

$$C_S = 3149 \text{ } \mu\text{M} = 385 \text{ } \mu\text{g} / \text{ml}$$

$$C_S v_m = 2.92 \times 10^{-4} \square 1$$

$$D_m = 8.47 \times 10^{-7} \text{ cm}^2 / \text{s}$$

$$C_{tot} = 417 \text{ } \mu\text{g} / \text{ml} \Rightarrow C_{tot} / C_S = 1.08$$

$$\Rightarrow C_{tot} v_m = V_0 / V_C = 3.15 \times 10^{-4} \square 1$$

## 3 Initial Particle Distributions

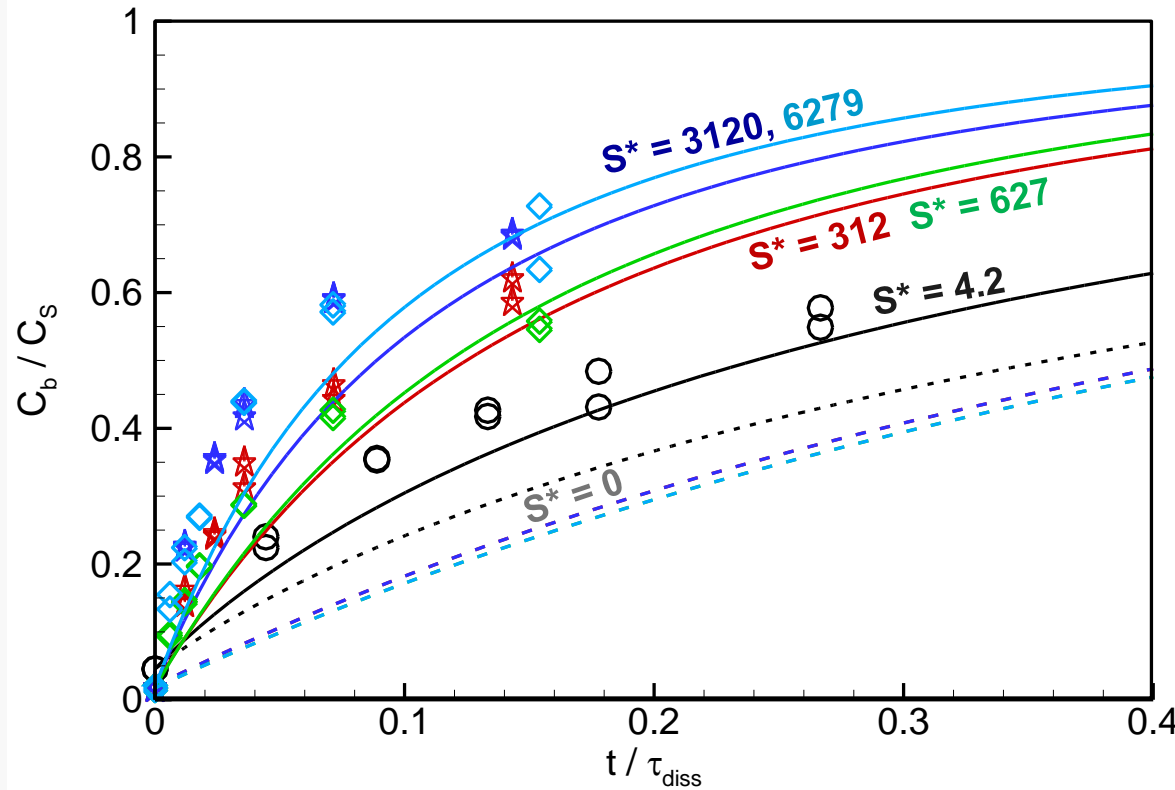
$$R_{avg,v}: R_1 = 26 \text{ } \mu\text{m}, R_2 = 50 \text{ } \mu\text{m}, R_3 = 71 \text{ } \mu\text{m}$$

## 3 Rotation Rates

RPM	Shear Rate (S)
0.5	0.532 / s ( <i>in vivo</i> relevant)
10	10.6 / s ( <i>in vivo</i> relevant)
100	106 / s ( <i>in vitro</i> relevant)

RPM	$(R_{v,avg})_0$	$S^*$ for $(R_{v,avg})_0$	$S^*$ for $(R_{max})_0$
0.5	26 mm	4.19	20
10	50 mm	312	700
10	71 mm	627	1100
100	50 mm	3121	7000
100	71 mm	6270	11000

# Validation of the "Hierarchical Polydisperse Mathematical Model"



- ———  $S^* = 4.19$ , R1
- ★ ———  $S^* = 312$ , R2
- ◇ ———  $S^* = 627$ , R3
- ★ ———  $S^* = 3120$ , R2
- ◇ ———  $S^* = 6267$ , R3

Shear Peclet Number

$$S^* = \frac{SR^2}{D_m}$$

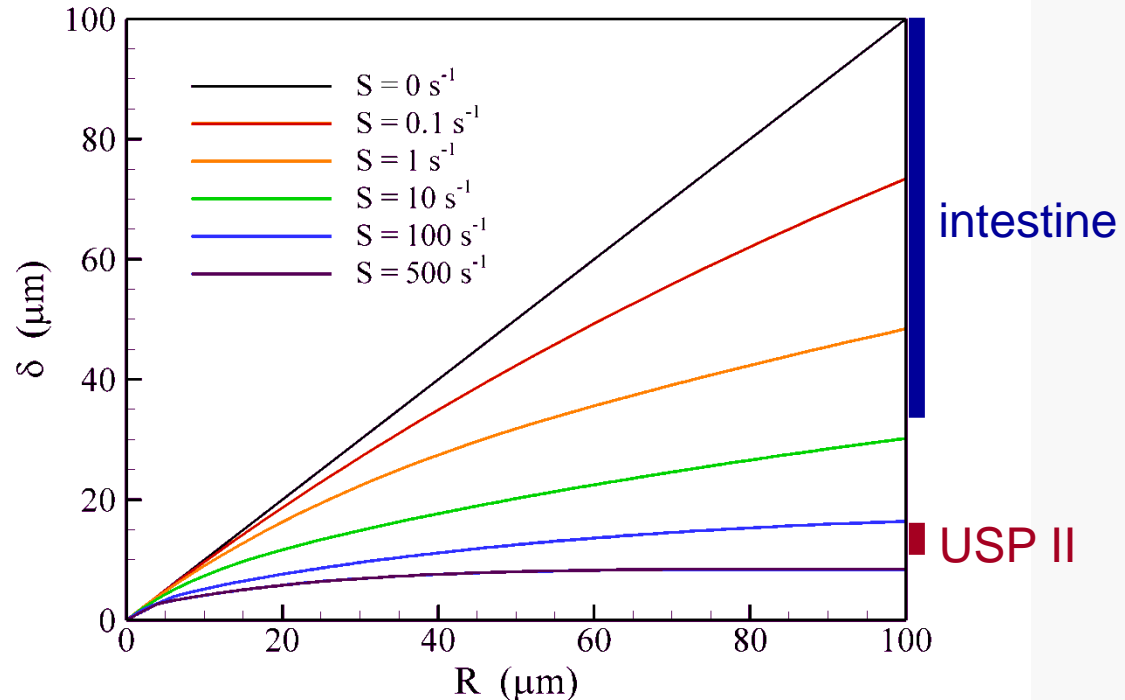
$$\frac{dR_j(t)}{dt} = -v_m D_m \frac{[C_s - C_{b,j}(t)]}{R_j(t)} Sh_j(t)$$

$$Sh_j = 1 + \Delta_{confinement} + \Delta_{shear}$$

# Effect of Shear on Diffusion Layer Thickness Commonly used in Mathematical Models



$$\frac{dR_j}{dt} = -v_m D_m \frac{[C_s - C_{b,j}]}{\delta_j}$$



## Effect of Hydrodynamic Shear (and convection) on diffusion layer thickness $\delta$ :

1. reduce the thickness of the diffusion layer  $\delta$
2. reduce  $\delta$  more at larger particle sizes

# Conclusion

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**Hydrodynamic Influences are  
Important to Drug Release and  
Distinctions between *In Vivo* and *In Vitro*  
Dissolution Dynamics,  
and therefore need to be understood,  
characterized and properly incorporated  
into mathematical and in vitro modeling.**